

# CS70: Counting

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July 6, 2016

Today:

- ▶ Balls and bins.
- ▶ Sum rule.
- ▶ Combinatorial proofs.
- ▶ Maybe start review?

## What we've learned so far

Sample  $k$  items out of  $n$ .

|                      | With Replacement     | Without Replacement |
|----------------------|----------------------|---------------------|
| Order matters        | $n^k$                | $\frac{n!}{(n-k)!}$ |
| Order doesn't matter | $\binom{n+k-1}{n-1}$ | $\binom{n}{k}$      |

## A unifying example

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How many samples?

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How many samples? a day is a sample, so 7. From how big of a set? 10 hats.

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 $\binom{10}{7} = 120$

## A cool stars and bars application

How many (non-negative) solutions to  $x + y = 10$ ?

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How many (non-negative) solutions to  $x + y = 10$ ?

Easy:  $x = 0, y = 10, x = 1, y = 9, x = 2, y = 8, \dots, x = 10, y = 0$ . So 11 solutions.

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Same as 10 stars, and 1 bar.

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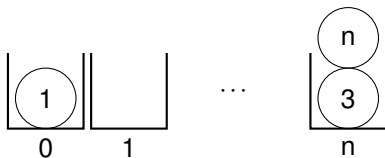
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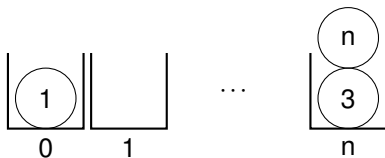
100 stars, 7 bars.

## Balls in bins.



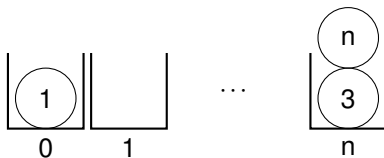


## Balls in bins.



“ $k$  Balls in  $n$  bins”  $\equiv$  “ $k$  samples from  $n$  possibilities.”

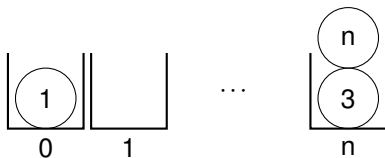
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“indistinguishable balls”  $\equiv$  “order doesn’t matter”

“only one ball in each bin”  $\equiv$  “without replacement”

# Balls and bins

How many 5 digit numbers?

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Throwing 5 numbered balls in 10 (numbered) bins:

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Picture has number 62280.

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Throwing 5 numbered balls in 10 (numbered) bins:



Picture has number 62280.

5 samples from 10 possibilities with replacement (order matters):  $10^5$



# Balls and bins

How many 5 digit numbers without repeating a digit?

# Balls and bins

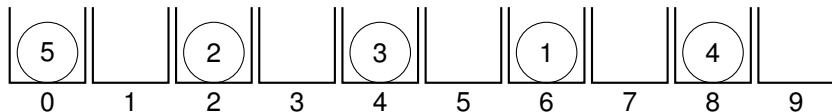
How many 5 digit numbers without repeating a digit?

Throwing 5 numbered balls in 10 (numbered) bins, at most one ball per bin:

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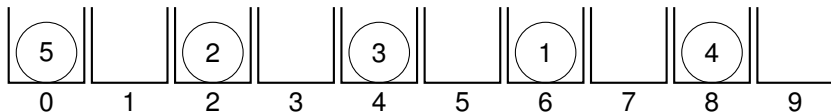
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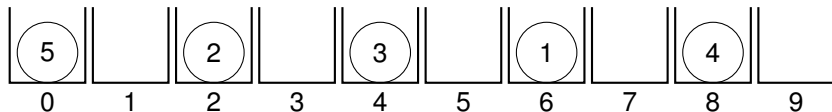


Picture has number 62480.

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Picture has number 62480.

5 samples from 10 possibilities without replacement (order matters):

$$\frac{10!}{5!}$$

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How many 3 card poker hands?

# Balls and bins

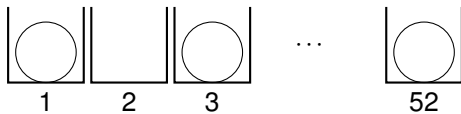
How many 3 card poker hands?

Throwing 3 indistinguishable balls in 52 (numbered) bins. At most 1 ball per bin:

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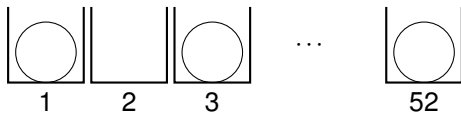




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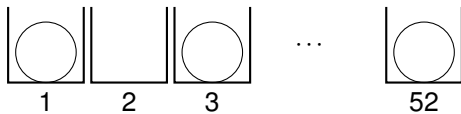


Picture has cards 1,3 and 52.

# Balls and bins

How many 3 card poker hands?

Throwing 3 indistinguishable balls in 52 (numbered) bins. At most 1 ball per bin:



Picture has cards 1,3 and 52.

3 samples from 52 possibilities without replacement (order doesn't matter):  $\binom{52}{3}$

# Balls and bins

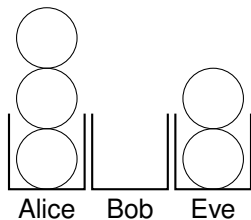
Dividing 5 dollars among Alice, Bob and Eve.

# Balls and bins

Dividing 5 dollars among Alice, Bob and Eve.  
5 indistinguishable balls into 3 (numbered) bins:

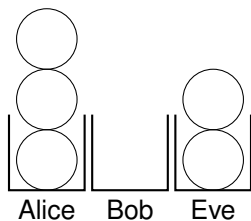
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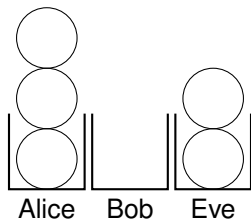
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Picture: Alice 3, Bob 0, Eve 2.

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Dividing 5 dollars among Alice, Bob and Eve.  
5 indistinguishable balls into 3 (numbered) bins:



Picture: Alice 3, Bob 0, Eve 2.

5 samples from 3 possibilities with replacement (order doesn't matter):  $\binom{7}{2}$

## Sum Rule

Two indistinguishable jokers in 54 card deck.

How many 5 card poker hands?



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**Sum rule: Can sum over disjoint sets.**

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$$\binom{52}{5}$$

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No jokers or exactly one joker or exactly two jokers

$$\binom{52}{5} + \binom{52}{4}$$

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How many 5 card poker hands (distinguishable jokers)?

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How many 5 card poker hands (distinguishable jokers)?

No jokers or exactly one of two jokers or exactly two jokers

$$\binom{52}{5} + 2 * \binom{52}{4} +$$

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Wait a minute!

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No jokers or exactly one joker or exactly two jokers

$$\binom{52}{5} + \binom{52}{4} + \binom{52}{3}.$$

Two distinguishable jokers in 54 card deck.

How many 5 card poker hands (distinguishable jokers)?

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**Proof:** Above is combinatorial proof.

## Algebraic proof

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**Proof:**

$$\binom{54}{5} = \frac{54!}{5!49!}, \quad \binom{52}{5} = \frac{52!}{5!47!}$$

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*RHS*

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49! and 5! cancel out.

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# Pascal's Triangle



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0  
1 1

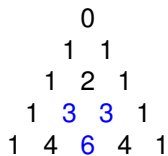
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0  
1 1  
1 2 1

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|   |   |   |   |  |
|---|---|---|---|--|
|   |   | 0 |   |  |
|   | 1 |   | 1 |  |
|   | 1 | 2 | 1 |  |
| 1 | 3 | 3 | 1 |  |

# Pascal's Triangle



0  
1 1  
1 2 1  
1 3 3 1  
1 4 6 4 1

| Row | 0 | 1 | 2 | 3 | 4 | 5 |
|-----|---|---|---|---|---|---|
| 0   | 0 |   |   |   |   |   |
| 1   | 1 | 1 |   |   |   |   |
| 2   | 1 | 2 | 1 |   |   |   |
| 3   | 1 | 3 | 3 | 1 |   |   |
| 4   | 1 | 4 | 6 | 4 | 1 |   |

# Pascal's Triangle

|   |  |   |   |   |   |   |    |    |   |   |
|---|--|---|---|---|---|---|----|----|---|---|
| 0 |  |   |   |   |   |   |    |    |   |   |
| 1 |  | 1 |   |   |   |   |    |    |   |   |
| 1 |  |   | 2 | 1 |   |   |    |    |   |   |
| 1 |  |   |   | 3 | 3 | 1 |    |    |   |   |
| 1 |  |   |   |   | 4 | 6 | 4  | 1  |   |   |
| 1 |  |   |   |   |   | 5 | 10 | 10 | 5 | 1 |

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|   |   |    |    |   |   |
|---|---|----|----|---|---|
| 0 |   |    |    |   |   |
| 1 |   | 1  |    |   |   |
| 1 |   |    | 2  | 1 |   |
| 1 | 3 | 3  | 1  |   |   |
| 1 | 4 | 6  | 4  | 1 |   |
| 1 | 5 | 10 | 10 | 5 | 1 |

Row  $n$ : coefficients of  $(1+x)^n = (1+x)(1+x)\cdots(1+x)$ .

# Pascal's Triangle

|   |   |   |   |   |  |  |  |
|---|---|---|---|---|--|--|--|
| 0 |   |   |   |   |  |  |  |
| 1 |   | 1 |   |   |  |  |  |
| 1 |   |   | 2 |   |  |  |  |
| 1 | 3 |   | 3 |   |  |  |  |
|   | 1 |   |   |   |  |  |  |
| 1 |   | 4 |   | 6 |  |  |  |
| 1 |   |   |   | 5 |  |  |  |
| 1 |   |   |   |   |  |  |  |

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|  |   | 1 |    | 1  |   |   |
|  | 1 |   | 2  |    | 1 |   |
|  | 1 | 3 |    | 3  | 1 |   |
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|   | 1 |    | 1  |   |   |
|   | 1 | 2  | 1  |   |   |
|   | 1 | 3  | 3  | 1 |   |
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|   |   |    |    |   |   |
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|   |   | 0  |    |   |   |
|   | 1 |    | 1  |   |   |
|   | 1 | 2  | 1  |   |   |
|   | 1 | 3  | 3  | 1 |   |
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| 0 |   |    |    |   |   |
| 1 |   | 1  |    |   |   |
| 1 |   | 2  | 1  |   |   |
| 1 | 3 | 3  | 1  |   |   |
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|   |  |   |   |   |   |   |    |    |   |   |
|---|--|---|---|---|---|---|----|----|---|---|
| 0 |  |   |   |   |   |   |    |    |   |   |
| 1 |  | 1 |   |   |   |   |    |    |   |   |
| 1 |  |   | 2 | 1 |   |   |    |    |   |   |
| 1 |  |   |   | 3 | 3 | 1 |    |    |   |   |
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|   |   |    |    |   |   |
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|   |   | 0  |    |   |   |
|   | 1 |    | 1  |   |   |
|   | 1 | 2  | 1  |   |   |
|   | 1 | 3  | 3  | 1 |   |
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|   |   |    |    |   |   |
|---|---|----|----|---|---|
|   |   | 0  |    |   |   |
|   | 1 |    | 1  |   |   |
|   | 1 | 2  | 1  |   |   |
|   | 1 | 3  | 3  | 1 |   |
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| 0 |  |   |    |    |   |   |
| 1 |  | 1 |    |    |   |   |
| 1 |  | 2 |    | 1  |   |   |
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|   | 1 | 2  | 1  |   |   |
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|   | 1 | 2  | 1  |   |   |
|   | 1 | 3  | 3  | 1 |   |
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Foil??

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|   |   |    |    |   |   |
|---|---|----|----|---|---|
|   |   |    | 0  |   |   |
|   |   | 1  | 1  |   |   |
|   | 1 | 2  | 1  |   |   |
|   | 1 | 3  | 3  | 1 |   |
|   | 1 | 4  | 6  | 4 | 1 |
| 1 | 5 | 10 | 10 | 5 | 1 |

Row  $n$ : coefficients of  $(1+x)^n = (1+x)(1+x)\cdots(1+x)$ .

Zero-th row:  $(1+x)^0 = 1$

First row:  $(1+x)^1 = x+1$ . Coefficients: 1 and 1

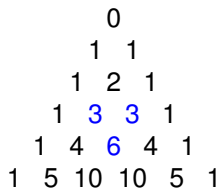
Second row:  $(1+x)^2 = 1+2x+x^2$ . Coefficients: 1,2 and 1

Third row:  $(1+x)^3 = 1+3x+3x^2+x^3$ . Coefficients: 1,3,3 and 1

.....

Foil?? I hate this already...

# Pascal's Triangle



A Pascal's Triangle diagram with 6 rows. The values are arranged in a triangular shape. The values 3, 3, 6, and 10 are highlighted in blue. The values 0, 1, 2, 4, 5, and 10 are in black.

|   |   |    |    |   |   |   |
|---|---|----|----|---|---|---|
|   |   |    | 0  |   |   |   |
|   |   | 1  |    | 1 |   |   |
|   | 1 |    | 2  |   | 1 |   |
|   | 1 | 3  |    | 3 |   | 1 |
|   | 1 | 4  | 6  |   | 4 | 1 |
| 1 | 5 | 10 | 10 | 5 | 1 |   |

# Pascal's Triangle

|   |   |    |    |   |   |  |
|---|---|----|----|---|---|--|
|   |   |    | 0  |   |   |  |
|   |   | 1  |    | 1 |   |  |
|   | 1 |    | 2  |   | 1 |  |
|   | 1 | 3  |    | 3 | 1 |  |
|   | 1 | 4  | 6  | 4 | 1 |  |
| 1 | 5 | 10 | 10 | 5 | 1 |  |

Simplify: collect all terms corresponding to  $x^k$ .

# Pascal's Triangle

|   |   |    |    |   |   |
|---|---|----|----|---|---|
|   |   | 0  |    |   |   |
|   | 1 |    | 1  |   |   |
|   | 1 | 2  | 1  |   |   |
|   | 1 | 3  | 3  | 1 |   |
|   | 1 | 4  | 6  | 4 | 1 |
| 1 | 5 | 10 | 10 | 5 | 1 |

Simplify: collect all terms corresponding to  $x^k$ .

Coefficient of  $x^k$  is  $\binom{n}{k}$ :

# Pascal's Triangle

|  |   |   |    |    |   |   |
|--|---|---|----|----|---|---|
|  |   |   | 0  |    |   |   |
|  |   | 1 |    | 1  |   |   |
|  | 1 |   | 2  |    | 1 |   |
|  | 1 | 3 |    | 3  | 1 |   |
|  | 1 | 4 | 6  | 4  | 1 |   |
|  | 1 | 5 | 10 | 10 | 5 | 1 |

Simplify: collect all terms corresponding to  $x^k$ .

Coefficient of  $x^k$  is  $\binom{n}{k}$ : choose  $k$  factors where  $x$  is in product.

# Pascal's Triangle

|  |   |   |    |    |   |   |
|--|---|---|----|----|---|---|
|  |   |   | 0  |    |   |   |
|  |   | 1 |    | 1  |   |   |
|  | 1 |   | 2  |    | 1 |   |
|  | 1 | 3 |    | 3  | 1 |   |
|  | 1 | 4 | 6  | 4  | 1 |   |
|  | 1 | 5 | 10 | 10 | 5 | 1 |

Simplify: collect all terms corresponding to  $x^k$ .

Coefficient of  $x^k$  is  $\binom{n}{k}$ : choose  $k$  factors where  $x$  is in product.

$(1+x)(1+x)(1+x)(1+x)$ :

# Pascal's Triangle

|  |   |   |    |    |   |   |
|--|---|---|----|----|---|---|
|  |   |   | 0  |    |   |   |
|  |   | 1 |    | 1  |   |   |
|  | 1 |   | 2  |    | 1 |   |
|  | 1 | 3 |    | 3  | 1 |   |
|  | 1 | 4 | 6  | 4  | 1 |   |
|  | 1 | 5 | 10 | 10 | 5 | 1 |

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Coefficient of  $x^k$  is  $\binom{n}{k}$ : choose  $k$  factors where  $x$  is in product.

$(1+x)(1+x)(1+x)(1+x)$ : Coefficients of  $x^2$  come from:



# Pascal's Triangle

|  |   |   |    |    |   |   |
|--|---|---|----|----|---|---|
|  |   |   | 0  |    |   |   |
|  |   | 1 |    | 1  |   |   |
|  | 1 |   | 2  |    | 1 |   |
|  | 1 | 3 |    | 3  |   | 1 |
|  | 1 | 4 | 6  | 4  |   | 1 |
|  | 1 | 5 | 10 | 10 | 5 | 1 |

Simplify: collect all terms corresponding to  $x^k$ .

Coefficient of  $x^k$  is  $\binom{n}{k}$ : choose  $k$  factors where  $x$  is in product.

$(1+x)(1+x)(1+x)(1+x)$ : Coefficients of  $x^2$  come from: first and second term

# Pascal's Triangle

|  |   |   |    |    |   |   |
|--|---|---|----|----|---|---|
|  |   |   | 0  |    |   |   |
|  |   | 1 |    | 1  |   |   |
|  | 1 |   | 2  |    | 1 |   |
|  | 1 | 3 |    | 3  | 1 |   |
|  | 1 | 4 | 6  | 4  | 1 |   |
|  | 1 | 5 | 10 | 10 | 5 | 1 |

Simplify: collect all terms corresponding to  $x^k$ .

Coefficient of  $x^k$  is  $\binom{n}{k}$ : choose  $k$  factors where  $x$  is in product.

$(1+x)(1+x)(1+x)(1+x)$ : Coefficients of  $x^2$  come from: first and second term, first and third term

# Pascal's Triangle

|   |   |    |   |    |   |   |
|---|---|----|---|----|---|---|
|   |   |    | 0 |    |   |   |
|   |   | 1  |   | 1  |   |   |
|   | 1 |    | 2 |    | 1 |   |
| 1 |   | 3  |   | 3  |   | 1 |
| 1 | 4 |    | 6 |    | 4 | 1 |
| 1 | 5 | 10 |   | 10 | 5 | 1 |

Simplify: collect all terms corresponding to  $x^k$ .

Coefficient of  $x^k$  is  $\binom{n}{k}$ : choose  $k$  factors where  $x$  is in product.

$(1+x)(1+x)(1+x)(1+x)$ : Coefficients of  $x^2$  come from: first and second term, first and third term, first and fourth

# Pascal's Triangle

|   |   |    |   |    |   |   |
|---|---|----|---|----|---|---|
|   |   |    | 0 |    |   |   |
|   |   | 1  |   | 1  |   |   |
|   | 1 |    | 2 |    | 1 |   |
| 1 |   | 3  |   | 3  |   | 1 |
| 1 | 4 |    | 6 |    | 4 | 1 |
| 1 | 5 | 10 |   | 10 | 5 | 1 |

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|  |   |   |    |    |   |   |
|--|---|---|----|----|---|---|
|  |   |   | 0  |    |   |   |
|  |   | 1 |    | 1  |   |   |
|  | 1 |   | 2  |    | 1 |   |
|  | 1 | 3 |    | 3  |   | 1 |
|  | 1 | 4 | 6  | 4  |   | 1 |
|  | 1 | 5 | 10 | 10 | 5 | 1 |

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# Pascal's Triangle

|  |   |   |    |    |   |   |
|--|---|---|----|----|---|---|
|  |   |   | 0  |    |   |   |
|  |   | 1 |    | 1  |   |   |
|  | 1 |   | 2  |    | 1 |   |
|  | 1 | 3 |    | 3  | 1 |   |
|  | 1 | 4 | 6  | 4  | 1 |   |
|  | 1 | 5 | 10 | 10 | 5 | 1 |

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# Pascal's Triangle

|  |   |   |    |    |   |   |
|--|---|---|----|----|---|---|
|  |   |   | 0  |    |   |   |
|  |   | 1 |    | 1  |   |   |
|  | 1 |   | 2  |    | 1 |   |
|  | 1 | 3 |    | 3  |   | 1 |
|  | 1 | 4 | 6  | 4  |   | 1 |
|  | 1 | 5 | 10 | 10 | 5 | 1 |

Simplify: collect all terms corresponding to  $x^k$ .

Coefficient of  $x^k$  is  $\binom{n}{k}$ : choose  $k$  factors where  $x$  is in product.

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$$\begin{pmatrix} 0 \\ 0 \end{pmatrix} \quad \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

# Pascal's Triangle

|   |   |    |    |   |   |
|---|---|----|----|---|---|
|   |   | 0  |    |   |   |
|   | 1 |    | 1  |   |   |
|   | 1 | 2  | 1  |   |   |
|   | 1 | 3  | 3  | 1 |   |
|   | 1 | 4  | 6  | 4 | 1 |
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$$\begin{array}{ccccc} & & \binom{0}{0} & & \\ & \binom{1}{0} & & \binom{1}{1} & \\ \binom{2}{0} & \binom{2}{1} & & \binom{2}{2} & \end{array}$$



# Pascal's Triangle

|   |   |    |    |   |   |
|---|---|----|----|---|---|
|   |   | 0  |    |   |   |
|   | 1 |    | 1  |   |   |
|   | 1 | 2  | 1  |   |   |
| 1 | 3 | 3  | 1  |   |   |
| 1 | 4 | 6  | 4  | 1 |   |
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|                |                |                |                |  |
|----------------|----------------|----------------|----------------|--|
|                |                | $\binom{0}{0}$ |                |  |
|                | $\binom{1}{0}$ |                | $\binom{1}{1}$ |  |
|                | $\binom{2}{0}$ | $\binom{2}{1}$ | $\binom{2}{2}$ |  |
| $\binom{3}{0}$ | $\binom{3}{1}$ | $\binom{3}{2}$ | $\binom{3}{3}$ |  |

# Pascal's Triangle

|   |   |    |    |   |   |
|---|---|----|----|---|---|
|   |   | 0  |    |   |   |
|   | 1 |    | 1  |   |   |
|   | 1 | 2  | 1  |   |   |
|   | 1 | 3  | 3  | 1 |   |
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|                |                |                |                |  |
|----------------|----------------|----------------|----------------|--|
|                |                | $\binom{0}{0}$ |                |  |
|                | $\binom{1}{0}$ |                | $\binom{1}{1}$ |  |
|                | $\binom{2}{0}$ | $\binom{2}{1}$ | $\binom{2}{2}$ |  |
| $\binom{3}{0}$ | $\binom{3}{1}$ | $\binom{3}{2}$ | $\binom{3}{3}$ |  |

Pascal's rule  $\implies \binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$ .

# Combinatorial Proofs.

**Theorem:**  $\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$ .

**Proof:** How many size  $k$  subsets of  $n+1$ ?

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How many contain the first element?



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How many contain the first element?

Pick the first.

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How many don't contain the first element?

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$$\implies \binom{n}{k}$$

So,  $\binom{n}{k-1} + \binom{n}{k} = \binom{n+1}{k}$ .





# Combinatorial Proof.

**Theorem:**  $\binom{n}{k} = \binom{n-1}{k-1} + \cdots + \binom{k-1}{k-1}$ .

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$$\{1, \dots, \underline{i}, \dots, n\}$$

Must choose  $k - 1$  elements from  $n - i$  remaining elements.

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2 is smallest element chosen:

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$$\{1, \dots, \underline{i}, \dots, n\}$$

Must choose  $k - 1$  elements from  $n - i$  remaining elements.

$\implies \binom{n-i}{k-1}$  such subsets.

1 is smallest element chosen:  $\binom{n-1}{k-1}$  choices for the rest.

2 is smallest element chosen:  $\binom{n-2}{k-1}$  choices for the rest.

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Answer:  $|S| + |T| - |S \cap T| = 10^9 + 10^9 - 10^8$ .

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