

## CS70: Discrete Math and Probability

June 22, 2016

### Induction

The canonical way of proving statements of the form

$$(\forall k \in \mathbb{N})(P(k))$$

- For all natural numbers  $n$ ,  $1 + 2 + \dots + n = \frac{n(n+1)}{2}$ .
- For all  $n \in \mathbb{N}$ ,  $n^3 - n$  is divisible by 3.
- The sum of the first  $n$  odd integers is a perfect square.

The basic form

- Prove  $P(0)$ . "Base Case".
- $P(k) \implies P(k+1)$ 
  - Assume  $P(k)$ . "Induction Hypothesis"
  - Prove  $P(k+1)$ . "Induction Step."

$P(n)$  true for all natural numbers  $n$ !!!

Get to use  $P(k)$  to prove  $P(k+1)$ !!!!

3

### Induction

Principle of Induction.

$$P(0) \wedge (\forall n \in \mathbb{N})P(n) \implies P(n+1)$$

And we get...

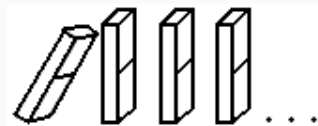
$$(\forall n \in \mathbb{N})P(n).$$

...Yes for 0, and we can conclude Yes for 1...  
and we can conclude Yes for 2.....

1

### Notes visualization

Note's visualization: an infinite sequence of dominos.



Prove they all fall down;

- $P(0)$  = "First domino falls"
- $(\forall k) P(k) \implies P(k+1)$ :  
"kth domino falls implies that  $k+1$ st domino falls"

4

### Gauss and Induction

Child Gauss:  $(\forall n \in \mathbb{N})(\sum_{i=1}^n i = \frac{n(n+1)}{2})$  Proof?

Idea: assume predicate  $P(n)$  for  $n = k$ .  $P(k)$  is  $\sum_{i=1}^k i = \frac{k(k+1)}{2}$ .

Is predicate,  $P(n)$  true for  $n = k+1$ ?

$$\sum_{i=1}^{k+1} i = (\sum_{i=1}^k i) + (k+1) = \frac{k(k+1)}{2} + k+1 = \frac{(k+1)(k+2)}{2}.$$

How about  $k+2$ . Same argument starting at  $k+1$  works!

Induction Step.  $P(k) \implies P(k+1)$ .

Are we done? It shows that we can always move to the next step.

Need to start somewhere.  $P(0)$  is  $\sum_{i=0}^0 i = 1 = \frac{0(0+1)}{2}$  Base Case.

Statement is true for  $n = 0$   $P(0)$  is true

plus inductive step  $\implies$  true for  $n = 1$   $(P(0) \wedge (P(0) \implies P(1))) \implies P(1)$

plus inductive step  $\implies$  true for  $n = 2$   $(P(1) \wedge (P(1) \implies P(2))) \implies P(2)$

...

true for  $n = k \implies$  true for  $n = k+1$   $(P(k) \wedge (P(k) \implies P(k+1))) \implies P(k+1)$

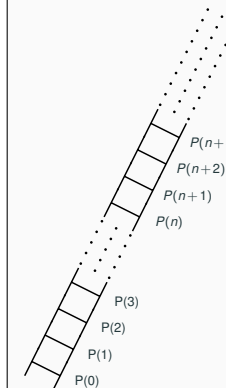
...

Predicate,  $P(n)$ , True for all natural numbers!

Is this a proof? Not really. Just an idea, not formal enough to be a proof yet

2

### Climb an infinite ladder?



$$\begin{array}{c} P(0) \\ \forall k, P(k) \implies P(k+1) \\ P(0) \implies P(1) \implies P(2) \implies P(3) \dots \\ (\forall n \in \mathbb{N})P(n) \end{array}$$

5

## Again: Simple induction proof.

**Theorem:** For all natural numbers  $n$ ,  $0 + 1 + 2 \dots n = \frac{n(n+1)}{2}$

Base Case: Does  $0 = \frac{0(0+1)}{2}$ ? Yes.

Induction Hypothesis:  $P(k)$  is true:  $1 + \dots + k = \frac{k(k+1)}{2}$   
 Induction Step: Show  $\forall k \geq 0, P(k) \implies P(k+1)$

$$\begin{aligned} 1 + \dots + k + (k+1) &= \frac{k(k+1)}{2} + (k+1) \\ &= \frac{k^2 + k + 2(k+1)}{2} \\ &= \frac{k^2 + 3k + 2}{2} \\ &= \frac{(k+1)(k+2)}{2} \end{aligned}$$

$P(k+1)$ !. By principle of induction...

□

6

## Another Induction Proof.

**Theorem:** For every  $n \in \mathbb{N}$ ,  $n^3 - n$  is divisible by 3. ( $3 | (n^3 - n)$ ).

**Proof:** By induction.

Base Case:  $P(0)$  is " $0^3 - 0$ " is divisible by 3. Yes!

Induction Hypothesis:  $k^3 - k$  is divisible by 3.

or  $k^3 - k = 3q$  for some integer  $q$ .

Induction Step:  $(\forall k \in \mathbb{N}), P(k) \implies P(k+1)$

$$\begin{aligned} (k+1)^3 - (k+1) &= k^3 + 3k^2 + 3k + 1 - (k+1) \\ &= k^3 + 3k^2 + 2k \\ &= (k^3 - k) + 3k^2 + 3k \quad \text{Subtract/add } k \\ &= 3q + 3(k^2 + k) \quad \text{Induction Hyp. Factor.} \\ &= 3(q + k^2 + k) \quad \text{(Un)Distributive + over } \times \end{aligned}$$

Or  $(k+1)^3 - (k+1) = 3(q + k^2 + k)$ .

$(q + k^2 + k)$  is integer (closed under addition and multiplication).

$\implies (k+1)^3 - (k+1)$  is divisible by 3.

Thus,  $(\forall k \in \mathbb{N}) P(k) \implies P(k+1)$

Thus, theorem holds by induction.

□

9

## Try it yourself!

For all natural numbers  $n$ ,  $0^2 + 1^2 + 2^2 \dots n^2 = \frac{1}{6}n(n+1)(2n+1)$

Define predicate  $p(n)$  as  $0^2 + 1^2 + 2^2 \dots n^2 = \frac{1}{6}n(n+1)(2n+1)$  for  $n \in \mathbb{N}$

Base case: For  $n = 0$ ,  $0^2 = \frac{1}{6} \cdot 0 \cdot 1 \cdot 1 = 0$ ,  $p(0)$  is true.

Induction hypothesis: assume  $p(k)$  is true for some natural number  $k$ .

Inductive steps: need to prove  $p(k) \implies p(k+1)$

$$\begin{aligned} 0^2 + 1^2 + 2^2 \dots + k^2 + (k+1)^2 &= (0^2 + 1^2 + 2^2 \dots + k^2) + (k+1)^2 \\ &= \frac{1}{6}k(k+1)(2k+1) + (k+1)^2 \\ &= (k+1)\left(\frac{1}{6}k(2k+1) + (k+1)\right) \\ &= \frac{1}{6}(k+1)(2k^2 + k + 6k + 6) \\ &= \frac{1}{6}(k+1)(k+2)(2k+3) \\ &= \frac{1}{6}(k+1)(k+2)(2(k+1)+1) \end{aligned}$$

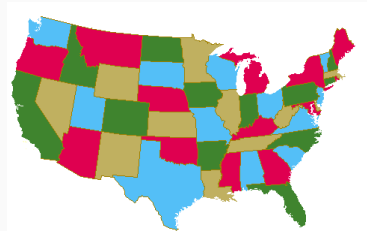
$p(k+1)$  is true. By principle of induction...

□

7

## Four Color Theorem.

**Theorem:** Any map can be 4-colored so that those regions that share an edge have different colors.



Not gonna prove it.

10

## Homework, Exam

We will use some problems from homework in our exams,

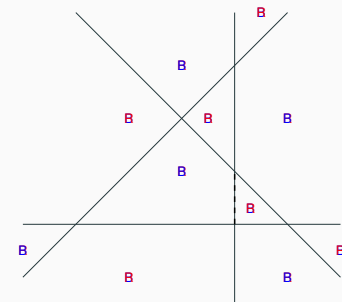
with some modifications like the question we just saw.

Take homework seriously, and study the solutions carefully after we release them.

8

## Two color theorem: example.

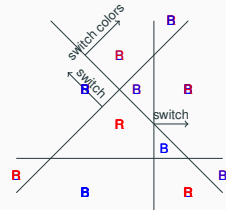
Any map formed by dividing the plane  $M$  into regions by drawing straight lines can be colored with two colors so that those regions share an edge have different colors.



**Fact:** Swapping red and blue gives another valid colors.

11

## Two color theorem: proof illustration.



Base Case.  
1. Add line.  
2. Get inherited color for split regions  
3. Switch on one side of new line.  
(Fixes conflicts along line, and makes no new ones.)

Algorithm gives  $P(k) \implies P(k+1)$ .

□

12

## Strengthening Induction Hypothesis.

**Theorem:** The sum of the first  $n$  odd numbers is a perfect square.

**Theorem:** The sum of the first  $n$  odd numbers is  $n^2$ .

$k$ th odd number is  $2(k-1)+1$ .

**Base Case** 1 (1th odd number) is  $1^2$ .

**Induction Hypothesis** Sum of first  $k$  odds is perfect square  $a^2 = k^2$ .

**Induction Step** 1. The  $(k+1)$ st odd number is  $2k+1$ .

2. Sum of the first  $k+1$  odds is

$$a^2 + 2k + 1 = k^2 + 2k + 1$$

$$3. k^2 + 2k + 1 = (k+1)^2$$

...  $P(k+1)$ !

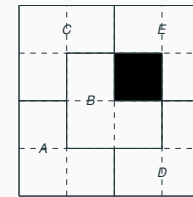
□

13

## Tiling Cory Hall Courtyard.

Use these L-tiles.

To Tile this  $4 \times 4$  courtyard.



Alright!  
Tiled  $4 \times 4$  square with  $2 \times 2$  L-tiles.  
with a center hole.

Can we tile any  $2^n \times 2^n$  with L-tiles (with a hole) for every  $n$ !

14

## Hole have to be there? Maybe just one?

**Theorem:** Any tiling of  $2^n \times 2^n$  square has to have one hole.

**Proof:** The remainder of  $2^{2n}$  divided by 3 is 1.

Base case: true for  $k=0$ .  $2^0 = 1$

Ind Hyp:  $2^{2k} = 3a+1$  for integer  $a$ .

$$\begin{aligned} 2^{2(k+1)} &= 2^{2k} \cdot 2^2 \\ &= 4 \cdot 2^{2k} \\ &= 4 \cdot (3a+1) \\ &= 12a+4 \\ &= 3(4a+1) + 1 \end{aligned}$$

$a$  integer  $\implies (4a+1)$  is an integer.

□

15

## Hole in center?

**Theorem:** Can tile the  $2^n \times 2^n$  square to leave a hole adjacent to the center.

**Proof:**

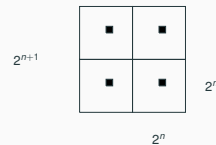
Base case: A single tile works fine.

The hole is adjacent to the center of the  $2 \times 2$  square.

Induction Hypothesis:

Any  $2^n \times 2^n$  square can be tiled with a hole at the center.

$2^{n+1}$



What to do now???

16

## Hole can be anywhere!

**Theorem:** Can tile the  $2^n \times 2^n$  to leave a hole adjacent *anywhere*.

**Better theorem ...better induction hypothesis!**

Base case: Sure. A tile is fine.



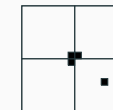
Flipping the orientation can leave hole anywhere.



Induction Hypothesis:

"Any  $2^n \times 2^n$  square can be tiled with a hole *anywhere*."

Consider  $2^{n+1} \times 2^{n+1}$  square.



Use induction hypothesis in each.

Use L-tile and ... we are done.

□

17

## Strong Induction.

**Theorem:** Every natural number  $n > 1$  can be written as a (possibly trivial) product of primes.

**Definition:** A prime  $n$  has exactly 2 factors 1 and  $n$ .

**Base Case:**  $n = 2$ .

**Induction Step:**

$P(n)$  = " $n$  can be written as a product of primes. "

Either  $n + 1$  is a prime or  $n + 1 = a \cdot b$  where  $1 < a, b < n + 1$ .

$P(n)$  says nothing about  $a, b$ !

**Strong Induction Principle:** If  $P(0)$  and

$$(\forall k \in \mathbb{N})(P(0) \wedge \dots \wedge P(k) \implies P(k+1)),$$

then  $(\forall k \in \mathbb{N})(P(k))$ .

$$P(0) \implies P(1) \implies P(2) \implies P(3) \implies \dots$$

Strong induction hypothesis: " $a$  and  $b$  are products of primes"

$\implies$  " $n + 1 = a \cdot b = (\text{factorization of } a)(\text{factorization of } b)$ "  
 $n + 1$  can be written as the product of the prime factors!

□

18

## Induction $\implies$ Strong Induction.

Let  $Q(k) = P(0) \wedge P(1) \wedge \dots \wedge P(k)$ .

By the induction principle:

"If  $Q(0)$ , and  $(\forall k \in \mathbb{N})(Q(k) \implies Q(k+1))$  then  $(\forall k \in \mathbb{N})(Q(k))$ "

Also,  $Q(0) \equiv P(0)$ , and  $(\forall k \in \mathbb{N})(Q(k)) \equiv (\forall k \in \mathbb{N})(P(k))$

$$\begin{aligned} (\forall k \in \mathbb{N})(Q(k) \implies Q(k+1)) \\ \equiv (\forall k \in \mathbb{N})((P(0) \wedge \dots \wedge P(k)) \implies (P(0) \wedge \dots \wedge P(k) \wedge P(k+1))) \\ \equiv (\forall k \in \mathbb{N})((P(0) \wedge \dots \wedge P(k)) \implies P(k+1)) \end{aligned}$$

**Strong Induction Principle:** If  $P(0)$  and

$$(\forall k \in \mathbb{N})((P(0) \wedge \dots \wedge P(k)) \implies P(k+1)),$$

then  $(\forall k \in \mathbb{N})(P(k))$ .

19

## Well Ordering Principle and Induction.

If  $(\forall n)P(n)$  is not true, then  $(\exists n)\neg P(n)$ .

Consider smallest  $m$ , with  $\neg P(m)$ ,  $m \geq 0$

$P(m-1) \implies P(m)$  must be false (assuming  $P(0)$  holds.)

This is a proof of the induction principle!

I.e.,

$$(\neg \forall n)P(n) \implies ((\exists n)\neg(P(n-1) \implies P(n))).$$

(Contrapositive of Induction principle (assuming  $P(0)$ )

It assumes that there is a smallest  $m$  where  $P(m)$  does not hold.

**The Well ordering principle** states that for any subset of the natural numbers there is a smallest element.

Smallest may not be what you expect: the well ordering principal holds for rationals but with different ordering!!

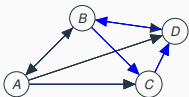
E.g. Reduced form is "smallest" representation of a rational number  $a/b$ .

20

## Tournaments have short cycles

**Def:** A round robin tournament on  $n$  players: every player  $p$  plays every other player  $q$ , and either  $p \rightarrow q$  ( $p$  beats  $q$ ) or  $q \rightarrow p$  ( $q$  beats  $p$ ).

**Def:** A cycle: a sequence of  $p_1, \dots, p_k$ ,  $p_i \rightarrow p_{i+1}$  and  $p_k \rightarrow p_1$ .



**Theorem:** Any tournament that has a cycle has a cycle of length 3.

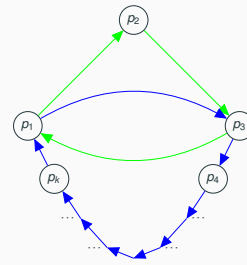
21

## Tournament has a cycle of length 3 if at all.

Assume the the **smallest cycle** is of length  $k$ .

Case 1: Of length 3. **Done.**

Case 2: Of length larger than 3.



" $p_2 \rightarrow p_1$ "  $\implies$  3 cycle

Contradiction.

" $p_1 \rightarrow p_3$ "  $\implies$   $k-1$  length cycle!

Contradiction!

22

## Strengthening Induction Hypothesis.

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**Theorem:** The sum of the first  $n$  odd numbers is  $n^2$ .

$k$ th odd number is  $2(k-1) + 1$ .

**Base Case** 1 (1th odd number) is  $1^2$ .

**Induction Hypothesis** Sum of first  $k$  odds is perfect square  $a^2 = k^2$ .

**Induction Step**

1. The  $(k+1)$ st odd number is  $2k+1$ .

2. Sum of the first  $k+1$  odds is

$$a^2 + 2k + 1 = k^2 + 2k + 1$$

3. ???

$$4. k^2 + 2k + 1 = (k+1)^2$$

$$\dots P(k+1)!$$

□

23

## Strong Induction and Recursion.

Thm: For every natural number  $n \geq 12$ ,  $n = 4x + 5y$ .

Instead of proof, let's write some code!

```
def find-x-y(n):  
    if (n==12) return (3,0)  
    elif (n==13): return (2,1)  
    elif (n==14): return (1,2)  
    elif (n==15): return (0,3)  
    else:  
        (x',y') = find-x-y(n-4)  
        return (x'+1,y')
```

Base cases:  $P(12)$ ,  $P(13)$ ,  $P(14)$ ,  $P(15)$ . Yes.

Strong Induction step:

Recursive call is correct:  $P(n-4) \implies P(n)$ .  
 $n-4 = 4x' + 5y' \implies n = 4(x'+1) + 5y'$

24

## Summary: principle of induction.

$$(P(0) \wedge ((\forall k \in \mathbb{N})(P(k) \implies P(k+1)))) \implies (\forall n \in \mathbb{N})(P(n))$$

Statement to prove:  $P(n)$  for  $n$  starting from  $n_0$

Base Case: Prove  $P(n_0)$ .

Ind. Step: Prove. For all values,  $n \geq n_0$ ,  $P(n) \implies P(n+1)$ .

Statement is proven!

Strong Induction:

$$(P(0) \wedge ((\forall n \in \mathbb{N})(P(n) \implies P(n+1)))) \implies (\forall n \in \mathbb{N})(P(n))$$

Also Today: strengthened induction hypothesis.

**Strengthen theorem statement.**

Sum of first  $n$  odds is  $n^2$ .

Hole anywhere.

**Not same as strong induction.**

Induction  $\equiv$  Recursion.

25

## Summary: principle of induction.

$$(P(0) \wedge ((\forall k \in \mathbb{N})(P(k) \implies P(k+1)))) \implies (\forall n \in \mathbb{N})(P(n))$$

Variations:

$$(P(0) \wedge ((\forall n \in \mathbb{N})(P(n) \implies P(n+1)))) \implies (\forall n \in \mathbb{N})(P(n))$$

$$(P(1) \wedge ((\forall n \in \mathbb{N})((n \geq 1) \wedge P(n) \implies P(n+1)))) \implies (\forall n \in \mathbb{N})((n \geq 1) \implies P(n))$$

Statement to prove:  $P(n)$  for  $n$  starting from  $n_0$

Base Case: Prove  $P(n_0)$ .

Ind. Step: Prove. For all values,  $n \geq n_0$ ,  $P(n) \implies P(n+1)$ .

Statement is proven!

26