CS70: Countability and Uncountability

Alex Psomas

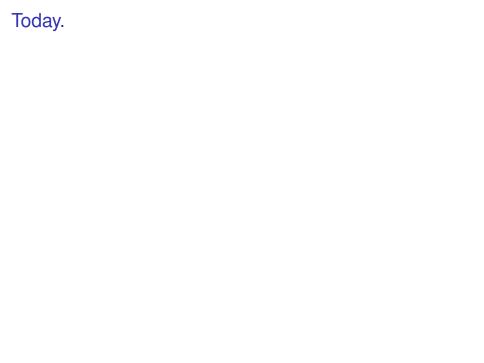
June 30, 2016

Warning!

Warning:

Warning!

Warning: I'm really loud!



One idea, from around 130 years ago.

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At the heart of set theory.

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Started a crisis in mathematics in the middle of the previous century!

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The man who worked on this was described as:

▶ Genious?

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- ▶ Genious?
- Renegade?

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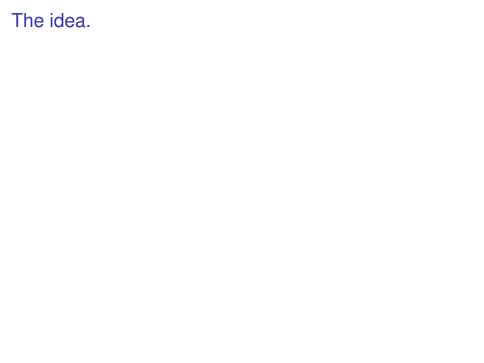
- ▶ Genious?
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- ► The King in the North?

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The idea.

The idea: More than one infinities!!!!!!

The idea.

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The man:

The idea.

The idea: More than one infinities!!!!!!

The man:



Georg Cantor

How many elements in $\{1,2,4\}$?

How many elements in $\{1,2,4\}$? 3

How many elements in $\{1,2,4\}$? 3 How many elements in $\{1,2,4,10,13,18\}$?

How many elements in $\{1,2,4\}$? 3 How many elements in $\{1,2,4,10,13,18\}$? 6

How many elements in $\{1,2,4\}$? 3 How many elements in $\{1,2,4,10,13,18\}$? 6 How many primes?

How many elements in $\{1,2,4\}$? 3 How many elements in $\{1,2,4,10,13,18\}$? 6 How many primes? Infinite!

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How many primes? Infinite!

How many elements in \mathbb{N} ?

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How many primes? Infinite!

How many elements in \mathbb{N} ? Infinite!

How many elements in $\mathbb{N} \setminus \{0\}$? Infinite!

How many elements in \mathbb{Z} ?

How many elements in $\{1,2,4\}$? 3

How many elements in $\{1,2,4,10,13,18\}$? 6

How many primes? Infinite!

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What is this infinity though?

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The symbol you write after taking a limit....

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The symbol you write after taking a limit....

Don't think about it....

Life before Cantor

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How many elements in $\{1,2,4,10,13,18\}$? 6

How many primes? Infinite!

How many elements in №? Infinite!

How many elements in $\mathbb{N} \setminus \{0\}$? Infinite!

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What is this infinity though?

The symbol you write after taking a limit....

Don't think about it

Even Gauss: "I protest against the use of infinite magnitude as something completed, which is never permissible in mathematics. Infinity is merely a way of speaking, the true meaning being a limit which certain ratios approach indefinitely close, while others are permitted to increase without restriction."

Is $\mathbb{N}\setminus\{0\}$ smaller than $\mathbb{N}?$

Is $\mathbb{N} \setminus \{0\}$ smaller than \mathbb{N} ? Is \mathbb{N} smaller than \mathbb{Z} ?

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Is $\mathbb{N}\setminus\{0\}$ smaller than \mathbb{N} ? Is \mathbb{N} smaller than \mathbb{Z} ? What about \mathbb{Z}^2 ? Is \mathbb{N} smaller than \mathbb{R} ?

A hotel with infinite rooms.

A hotel with infinite rooms. Rooms are numbered from 1 to infinity.

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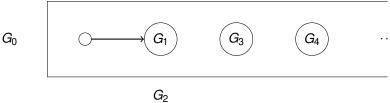
 G_0 shows up. What do we do?

A hotel with infinite rooms. Rooms are numbered from 1 to infinity. Every room is occupied. Room i has guest G_i .

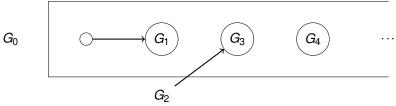


 G_0 shows up. What do we do? Move G_1 to room number 2.

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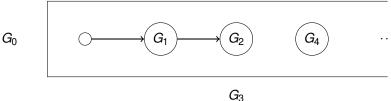


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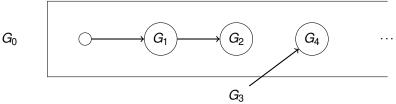


Move G_2 to room number 3.

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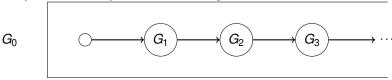


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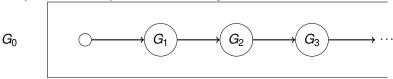
Move G_3 to room number 4.

A hotel with infinite rooms. Rooms are numbered from 1 to infinity. Every room is occupied. Room i has guest G_i .



And so on.

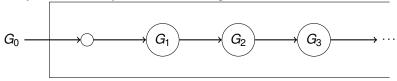
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And so on.

Now G_0 can go to room number 1!!

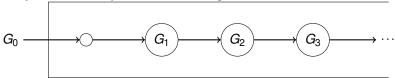
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Number of rooms:

Number of rooms: $\mathbb{N} \setminus \{0\}$

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 $\mathbb{N} \setminus \{0\}$ is not bigger than \mathbb{N} . Why?

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 $\mathbb{N} \setminus \{0\}$ is not bigger than \mathbb{N} . Why? Because it's a subset.

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Therefore, $\mathbb{N} \setminus \{0\}$ must have the same number of elements as \mathbb{N} .

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Is this a proof?
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Is this a proof? How would we show this formally???

Countable.

Countable.

Definition: S is **countable** if there is a bijection between S and some subset of \mathbb{N} .

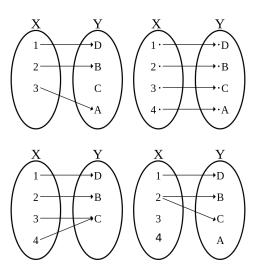
Definition: S is **countable** if there is a bijection between S and some subset of \mathbb{N} .

If the subset of \mathbb{N} is finite, S has finite **cardinality**.

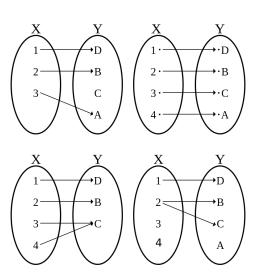
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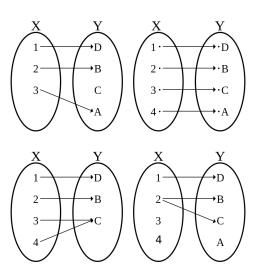
If the subset of $\mathbb N$ is infinite, $\mathcal S$ is **countably infinite**.



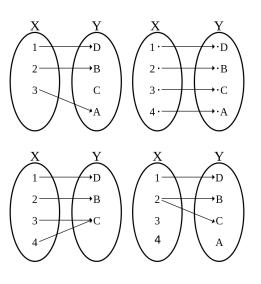
One to one.



One to one. Bijection: one to one and onto.

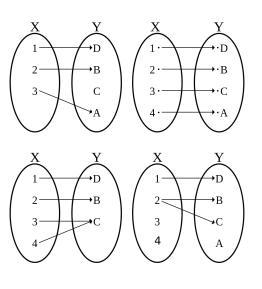


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Onto.

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Onto.

Not a function.

► Enumerable means countable.

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- Subsets of countable sets are countable.

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- ► Subsets of countable sets are countable. For example the set {14,54,5332,10¹²+4} is countable.

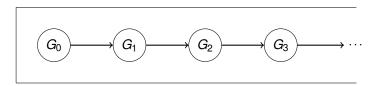
- Enumerable means countable.
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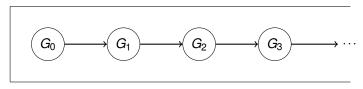
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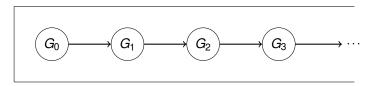
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- Subsets of countable sets are countable. For example the set {14,54,5332,10¹²+4} is countable. (It has 4 elements) Even numbers are countable. Prime numbers are countable. Multiples of 3 are countable.
- All countably infinite sets have the same cardinality as each other.



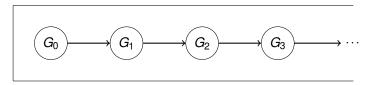


Where's the function?



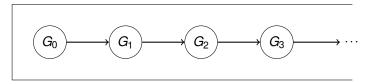
Where's the function?

We want a bijection from:



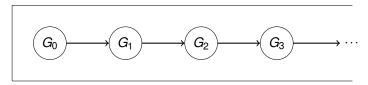
Where's the function?

We want a bijection from: \mathbb{N}



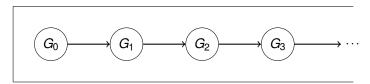
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We want a bijection from: $\mathbb N$ to



Where's the function?

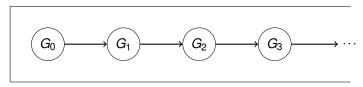
We want a bijection from: \mathbb{N} to $\mathbb{N} \setminus \{0\}$.



Where's the function?

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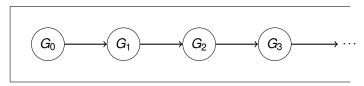
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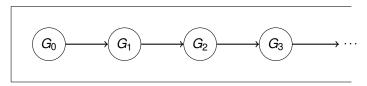
f(x) = x + 1. Maps every number from $\mathbb N$ to a number in $\mathbb N \setminus \{0\}$, and



Where's the function?

We want a bijection from: \mathbb{N} to $\mathbb{N} \setminus \{0\}$.

f(x)=x+1. Maps every number from $\mathbb N$ to a number in $\mathbb N\setminus\{0\}$, and every number in $x\in\mathbb N\setminus\{0\}$ has exactly one number $y\in\mathbb N$ such that f(y)=x.

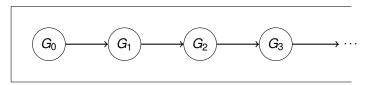


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What if we had a bijection from $\mathbb{N} \setminus \{0\}$ to \mathbb{N} ?



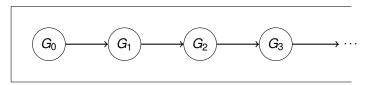
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Where's the function?

We want a bijection from: \mathbb{N} to $\mathbb{N} \setminus \{0\}$.

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What if we had a bijection from $\mathbb{N} \setminus \{0\}$ to \mathbb{N} ?

Same thing! Bijection means that the sets have the same size. Invert it and you'll get a bijection from \mathbb{N} to $\mathbb{N} \setminus \{0\}$.

Countably infinite (same cardinality as naturals)

E even numbers.

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E even numbers. Where are the odds?

Countably infinite (same cardinality as naturals)

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Where are the odds? Half as big?

Countably infinite (same cardinality as naturals)

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Countably infinite (same cardinality as naturals)

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Enumerate: 0, 2, 4, ...

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Enumeration naturally corresponds to function.

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No two evens map to the same natural.
For every natural, there is a corresponding even.
Bijection: f(e) = e/2.

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Countably infinite (same cardinality as naturals)

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(a,b) at position (a+b+1)(a+b)/2+b in this order.

Rationals

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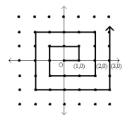
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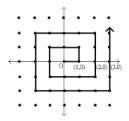
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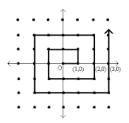
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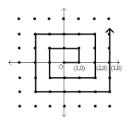
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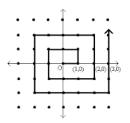
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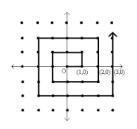
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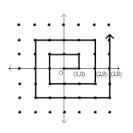


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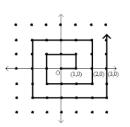
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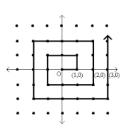
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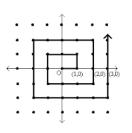
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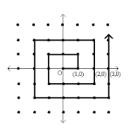
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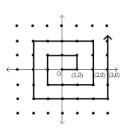
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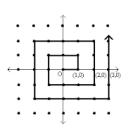
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Construct "diagonal" number: .77677...

Diagonal Number: Digit *i* is 7 if number *i*'s *i*th digit is not 7 and 6 otherwise.

Diagonal number for a list differs from every number in list!

If countable, there exists a listing (enumeration), ${\it L}$ contains all reals in [0,1]. For example

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Subset [0,1] is not countable!!

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What about all reals?

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Any subset of a countable set is countable.

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If reals are countable then so is [0,1].

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- 6. Contradiction.

The set of all subsets of N.

The set of all subsets of N.

Example subsets of N: $\{0\}$,

The set of all subsets of N.

Example subsets of N: $\{0\}, \{0, ..., 7\},$

The set of all subsets of N.

Example subsets of N: $\{0\}, \{0,...,7\},$ evens,

The set of all subsets of N.

Example subsets of N: $\{0\}, \{0,...,7\},$ evens, odds,

```
Example subsets of N: \{0\}, \{0,...,7\}, evens, odds, primes,
```

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```
Example subsets of N: \{0\}, \{0,...,7\}, evens, odds, primes, multiples of 10
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Assume is countable.

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Theorem: The set of all subsets of N is not countable.

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Theorem: The set of all subsets of N is not countable. (The set of all subsets of S, is the **powerset** of N.)

```
s_1 = 000000000000...
s_3 = 0 \, 1 \, 0 \, 1 \, 0 \, 1 \, 0 \, 1 \, 0 \, 1 \, 0 \dots
s_4 = 10101010101...
s_5 = 1 \ 1 \ 0 \ 1 \ 0 \ 1 \ 1 \ 0 \ 1 \ 0 \ 1 \dots
s_7 = 10001000100\dots
s_9 = 11001100110...
s_{10} = 1 \ 1 \ 0 \ 1 \ 1 \ 1 \ 0 \ 0 \ 1 \ 0 \ 1 \dots
s_{11} = 1 \ 1 \ 0 \ 1 \ 0 \ 1 \ 0 \ 0 \ 1 \ 0 \ \dots
```

```
s = 1 \ 0 \ 1 \ 1 \ 1 \ 0 \ 1 \ 0 \ 1 \ 1 \dots
```

► Binary strings?

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- All subsets of Reals?

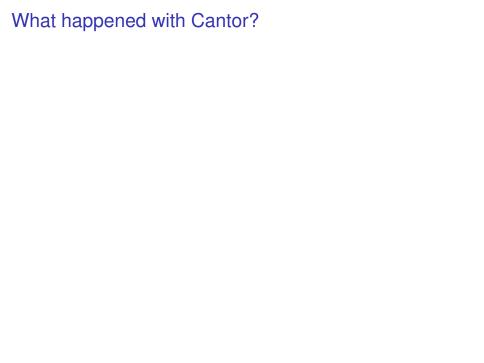
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You already know some of these.....

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You already know some of these Think about induction!





Cantor's work between 1874 and 1884 is the origin of set theory.



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Countable



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After Cantor:

0

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 - Finite and countable.



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After Cantor:

- Countable
 - ► Finite and countable. For example {1,2,3}



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Everyone was upset!

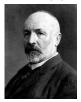


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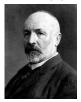


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Gottlob Frege:



Gottlob Frege: Let's look at the foundations!



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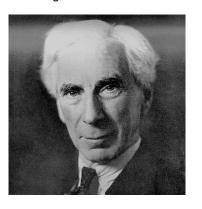
About to publish vol. 2. And then.....

Disaster!!

A bug

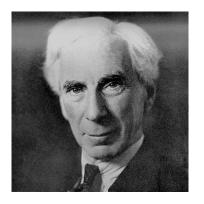
Bertrand Russell finds a bug!

A bug Bertrand Russell finds a bug!



A bug

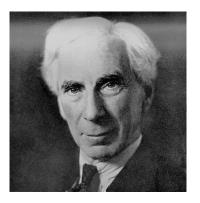
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Frege's reaction:

A bug

Bertrand Russell finds a bug!



Frege's reaction: "Hardly anything more unfortunate can befall a scientific writer than to have one of the foundations of his edifice shaken after the work is finished. This was the position I was placed in by a letter of Mr. Bertrand Russell, just when the printing of this volume was nearing its completion."

A poem

Zisimos Lorentzatos.

"Beware of systems grandiose, of mathematically strict causalities as you're trying, stone by stone, to found the goldenwoven tower of the logical, castle and fort immune to contradiction. Designed in two volumes, the foundational laws of arithmetic, or Grundgesetze of der arithmetic in 1893, the first, 1903 the second. A life's work. Hammer on chisel blows for years and years. So far, so good. But as Frege Gottlob was correcting, content, the printer's proofs already of the second volume, one cursed logic paradox, one not admitting refutation, question by Russell Bertrand, forced, without delay, the great thinker of Mecklemburg to add a last paragraph to his system, show me a great thinker who would resist the truth, accepting the reversible disaster. His foundations in ruin, his logic flawed, his work wasted, and his two volumes imagine the colossal set back, odd load and ballast for the refuge cart."

▶ "This statement is false"

- "This statement is false" Is the statement above true?
- A barber says "I shave all and only those men who do not shave themselves."

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Who shaves the barber??

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Self reference......

Naive Set Theory: Any definable collection is a set.

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Let's think about the set of all sets that don't contain themselves.

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Let's think about the set of all sets that don't contain themselves. Call it *A*.

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Does A contain itself?

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Oops!

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What type of object is a set that contain sets?

Russell's Paradox.

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Change Axioms!

They did keep trying to put all of mathematics on a firm basis...

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Consistent: You can't prove false statements

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Other people in this story:

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Other people in this story: Russell

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Other people in this story: Russell , Whitehead

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- Consistent: You can't prove false statements
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Other people in this story: Russell , Whitehead , Wittgenstein , Hilbert (We must know. We will know.) ... Until 1931.





Kurt Gödel:



Kurt Gödel: Any set of axioms is either



Kurt Gödel: Any set of axioms is either inconsistent (can prove false statements) or



Kurt Gödel: Any set of axioms is either inconsistent (can prove false statements) or incomplete (true statements cannot be proven.)



Kurt Gödel:

Any set of axioms is either inconsistent (can prove false statements) or incomplete (true statements cannot be proven.)

Concrete example:

Continuum hypothesis (see official notes if interested)

Gödel

► Gödel ..starved himself out of fear of being poisoned..

- ► Gödel ..starved himself out of fear of being poisoned..
- Russell

- ► Gödel ..starved himself out of fear of being poisoned..
- ► Russell .. was fine...

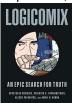
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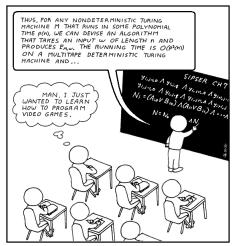
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- See Logicomix by Doxiadis, Papadimitriou (my advisor!), Papadatos, Di Donna.



Next Topic: Undecidability.

Undecidability. A happy ending?



Turing



Turing: Write me a program checker!

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A program that checks that the compiler works!

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A program that checks that the compiler works!

How about.. Check that the compiler terminates on a certain input.

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HALT(P, I)

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HALT(P, I)P - program

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Text string can be an input to a program.

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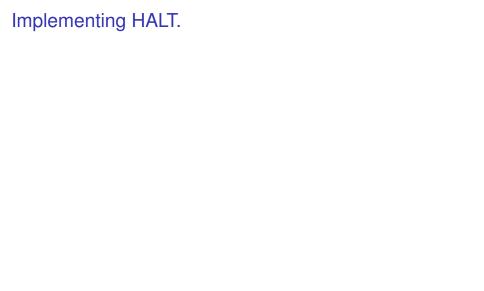
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Run P on I and check!

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Theorem: There is no program HALT.
```

Proof:

Proof: Assume there is a program $HALT(\cdot, \cdot)$.

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Code:

Proof: Assume there is a program $HALT(\cdot, \cdot)$.

Code: import HALT;

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import HALT; function Turing(Program P) {

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Does Turing(Turing) halt?
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Contradiction.
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Contradiction. Program HALT does not exist!
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Another view of proof: diagonalization.

Any program is a fixed length string.

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	" <i>P</i> ₁ "	" P ₂ "	" <i>P</i> ₃ "	• • •
P_1	Н	Н	L	
P_2	L	L	Н	
P ₁ P ₂ P ₃	L	Н	Н	
:	:	:	:	٠.
_ •	· _			_ •

Program P_1 halts on input " P_1 " and " P_2 ", doesn't halt on input " P_3 ", and so on...

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:	:	:	:	٠
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Turing is different from every P_i on the diagonal.

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P_1	Н	Н	L	
P_2	L	L	Н	
P ₁ P ₂ P ₃	L	Н	Н	
:	:	:	:	
_ •	٠			

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Turing is different from every P_i on the diagonal.

Turing is not on list.

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_				
P_1	H	H	L.	• • •
P ₁ P ₂ P ₃	<u>L</u>	L	Н	• • • •
P_3	L	Н	Н	• • • •
:	:	:	÷	٠
_				

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Turing is not on list. But, Turing is a program.

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If HALT existed, we could use it to make the following table:

	" <i>P</i> 1"	" P ₂ "	" <i>P</i> ₃ "	• • • •
D	Н	Н		
P_2	<u> </u>	L	Н	
P ₁ P ₂ P ₃	L	Н	Н	
÷	:	:	:	٠
_				

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Turing can be constructed from Halt.

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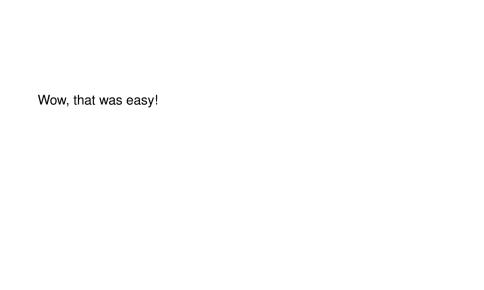
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Turing is not on list. But, Turing is a program.

Turing can be constructed from Halt.

Halt does not exist!



Wow, that was easy!
We should be famous!

No computers for Turing!

In Turing's time.

No computers for Turing!

In Turing's time.

No computers.

No computers for Turing!

In Turing's time.

No computers.

Concept of program as data wasn't really there.

Does a program ever print "Hello World"?

Does a program ever print "Hello World"? Find exit points and add statement: **Print** "Hello World."

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Is there program that makes other programs faster?

Does a program ever print "Hello World"? Find exit points and add statement: **Print** "Hello World."

Is there program that makes other programs faster?

Is there program that decides if two other programs are equivalent?

Does a program ever print "Hello World"? Find exit points and add statement: **Print** "Hello World."

Is there program that makes other programs faster?

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Does this computer program have any security vulnerabilities?

▶ Brilliant codebreaker during WWII, helped break German Enigma Code (which probably shortened war by 1 year).

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Tragic ending...

Arrested as a homosexual

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- given choice of prison or (quackish) injections to eliminate sex drive;

- Arrested as a homosexual
- given choice of prison or (quackish) injections to eliminate sex drive;
- took injections.

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- lost security clearance...

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- denied entry into the United States...

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British Apology.

Gordon Brown. 2009.

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Gordon Brown. 2009. "Thousands of people have come together to demand justice for Alan Turing and recognition of the appalling way he was treated. While Turing was dealt with under the law of the time and we can't put the clock back, his treatment was of course utterly unfair and I am pleased to have the chance to say how deeply sorry I and we all are for what happened to him. Alan and the many thousands of other gay men who were convicted as he was convicted under homophobic laws were treated terribly.

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So on behalf of the British government, and all those who live freely thanks to Alan's work I am very proud to say: we're sorry, you deserved so much better."

So on behalf of the British government, and all those who live freely thanks to Alan's work I am very proud to say: we're sorry, you deserved so much better."

2013. Granted Royal pardon.

Infinity is interesting!

Infinity is interesting! And mind boggling

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And mind boggling
Computer Programs are an interesting thing.

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Like Math.

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Deep connection between mathematical proofs and computer programs.

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Programming is a super power.

HOW MATH WORKS:



