

Introduction to functional programming and lambda calculus

The INFDEV@HR Team

Hogeschool Rotterdam
Rotterdam, Netherlands

Introduction
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programming
and lambda
calculus

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Semantics of
imperative
languages

Lambda
calculus

Closing up

Introduction

Course introduction

- Course topic: what is this course about?
- Examination: how will you be tested?
- Start with course

Course topic: functional programming

- Lambda calculus
- From lambda calculus to functional programming
- Functional programming using F# and Haskell

Advantages of functional programming

- Strong mathematical foundations
- Easier to reason about programs
- Parallelism for “free”
- Correctness guarantees through strong typing (optional)

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Examination

- Theory exam: test understanding of theory
- Practical exam: test ability to apply theory in practice

Theory exam: reduction and typing

- One question on reduction in lambda calculus
- One question on typing in lambda calculus, F^\sharp , or Haskell
- **Passing grade** if both questions answered correctly

Practical exam: interpreter for a virtual machine

- In a group, build an interpreter for a virtual machine
- According to a specification that will be provided
- Groups may consist of up to 4 students
- Understanding of code tested **individually**

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Lecture topics

- Semantics(meaning) of imperative languages
- Lambda calculus, the foundation for functional languages

Semantics of imperative languages

Imperative program: sequence of statements

- Statements directly depend on and alter memory
- Meaning of statements may depend on contents of memory
- Any statement may depend on (read) any memory location
- Any statement may alter any memory location

Example: meaning of statement sequence

- Statement s_1 changes the machine state from S_0 to S_1
- Statement s_2 changes the machine state from S_1 to S_2
- Run statement s_1 , then run statement s_2 : s_1s_2
- Statement s_1s_2 changes the machine state from S_0 to S_2

$$(S_0 \xrightarrow{s_1} S_1) \wedge (S_1 \xrightarrow{s_2} S_2) \implies S_0 \xrightarrow{s_1s_2} S_2$$

Example: meaning of statement sequence

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What about s_2s_1 ?

Swap order of $s_1 s_2$: $s_2 s_1$

- Sometimes $s_2 s_1$ has the same meaning as $s_1 s_2 \dots$
- Sometimes $s_2 s_1$ is completely different from $s_1 s_2$!
- It depends on s_1 , s_2 , and the relevant machine state S_0
- It depends on implementation details of s_1 and s_2
- Implementation details matter \implies leaky abstraction!

Swap order of $s_1 s_2$: $s_2 s_1$

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- Implementation details matter \implies leaky abstraction!

Can we do better?

Idea for better abstraction: remove implicit dependencies

- No implicit dependencies \implies all dependencies explicit
- No access to arbitrary machine state
- Only explicitly-mentioned state may be accessed

Idea for better abstraction: remove implicit dependencies

- No implicit dependencies \implies all dependencies explicit
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What if s_1 and s_2 only read the same state?

What if $s_1\{x\}$ and $s_2\{x\}$ only read the same state x ?

- $s_1\{x\}$ calculates $x + x$, and $s_2\{x\}$ calculates the square x^2

Can we reorder $s_1\{x\}$ and $s_2\{x\}$?

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Can we reorder $s_1\{x\}$ and $s_2\{x\}$?

What if $s_1\{x\}$ and $s_2\{x\}$ alter the same state x ?

- $s_1\{x\}$ sets x to 1, and $s_2\{x\}$ sets x to 2

Can we reorder $s_1\{x\}$ and $s_2\{x\}$?

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Can we do better?

Idea for better abstraction: remove implicit dependencies

- No implicit dependencies \implies all dependencies explicit
- No reading if arbitrary machine state
- No mutating of arbitrary machine state
- Only explicitly-mentioned machine state may be read

Idea for better abstraction: remove implicit dependencies

- No implicit dependencies \implies all dependencies explicit
- No reading if arbitrary machine state
- No mutating of arbitrary machine state
- Only explicitly-mentioned machine state may be read

NB: No provision at all is made for mutating machine state

Wait a minute, this is just like functions

- Not statements, but (mathematical) functions
- Functions depend only on arguments
- Functions do not alter state
- Can calculate function value when all arguments are known
- Can always replace a function call by its value

Referential transparency:

It is always valid to replace a function call by its value

Referential transparency:

It is always valid to replace a function call by its value

Advanced topic:

Allow mutation of state without losing referential transparency

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Lambda calculus

What is lambda calculus?

- Model of computation based on functions
- Completely different from Turing machines, but equivalent
- Foundation of all functional programming languages
- Truly tiny when compared with its power
- Consists of only (function) abstraction and application

Substitution principle

- The (basic) lambda calculus is truly tiny when compared with its power.
- It is based on the substitution principle: calling a function with some parameters returns the function body with the variables replaced.
- There is no memory and no program counter: all we need to know is stored inside the body of the program itself.

A lambda calculus term is one of three things:

- a variable (from some arbitrary infinite set of variables)
- an abstraction (a “function of one variable”)
- an application (a “function call”)

Variables (arbitrary infinite set):

a, b, c, \dots

a_0, a_1, \dots

b_0, b_1, \dots

Abstractions:

For any variable x and lambda term T : $\lambda x.T$

Applications:

For any lambda terms F and T : (FT)

- Infinite set of variables: $x_0, x_1, \dots, y_0, y_1, \dots$, etc.
- Abstractions (function declarations with one parameter):
 $\lambda x \rightarrow t$ where x is a variable and t is the function body (a program).
- Applications (function calls with one argument): $t u$ where t is the function being called (a program) and u is its argument (another program).

A simple example would be the identity function, which just returns whatever it gets as input

$$(\lambda x.x)$$

We can call this function with a variable as argument, by writing:

$$((\lambda x.x) \ v)$$

A lambda calculus program is computed by replacing lambda abstractions applied to arguments with the body of the lambda abstraction with the argument instead of the lambda parameter:

A lambda calculus program is computed by replacing lambda abstractions applied to arguments with the body of the lambda abstraction with the argument instead of the lambda parameter:

$$\overline{(\lambda x \rightarrow t) u \rightarrow_{\beta} t[x \mapsto u]}$$

$t[x \mapsto u]$ means that we change variable x with u within t

$$((\lambda x.x) \ v)$$

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$$((\lambda x.x) \ v)$$
$$((\lambda x.x) \ v)$$

$$((\lambda x.x) \ v)$$

$((\lambda x.x) \ v)$ v

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Multiple applications where the left-side is not a lambda abstraction are solved in a left-to-right fashion:

Multiple applications where the left-side is not a lambda abstraction are solved in a left-to-right fashion:

$$\frac{t \rightarrow_{\beta} t' \quad u \rightarrow_{\beta} u' \quad (t'u') \rightarrow_{\beta} v}{(tu) \rightarrow_{\beta} v}$$

Variables cannot be further reduced, that is they stay the same:

Variables cannot be further reduced, that is they stay the same:

$$\frac{}{x \rightarrow_{\beta} x}$$

We can encode functions with multiple parameters by nesting lambda abstractions:

$$(\lambda x \ y. (x \ y))$$

The parameters are then given one at a time:

$$(((\lambda x \ y. (x \ y)) \ A) \ B)$$

$$(((\lambda x \ y. (x \ y)) \ A) \ B)$$

$$(((\lambda x \ y. (x \ y)) \ A) \ B)$$
$$((\lambda x \ y. (x \ y)) \ A) \ B$$

$$((\lambda x y. (x y)) A) B$$

$$((\lambda x. y. (x \ y)) \ A) \ B$$
$$((\lambda y. (A \ y)) \ B)$$

$$((\lambda y. (A \ y)) \ B)$$

$$((\lambda y. (A \ y)) \ B)$$
$$((\lambda y. (A \ y)) \ B)$$

$$((\lambda y.(A \ y)) \ B)$$

$$((\lambda y.(A \ y)) \ B)$$
$$((\lambda y.(A \ y)) \ B)$$

$$((\lambda y.(A \ y)) \ B)$$

$$((\lambda y.(A \ y)) \ B)$$
$$(A \ B)$$

(A B)

(A B)

(A B)

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Example executions of (apparently) nonsensical programs

- We will now exercise with the execution of various lambda programs.
- Try to guess what the result of these programs is, and then we shall see what would have happened.

What is the result of this program execution?

$$(((\lambda x \ y.(x \ y)) (\lambda z.(z \ z))) A)$$

$$(((\lambda x \ y. (x \ y)) \ (\lambda z. (z \ z)))) \ A)$$

```
(((\lambda x y.(x y)) (\lambda z.(z z))) A)
```

```
( ((\lambda x y.(x y)) (\lambda z.(z z))) A )
```



```
( ((λx y.(x y)) (λz.(z z))) A )
```

$$((\lambda x. y. (x \ y)) (\lambda z. (z \ z))) \ A)$$
$$((\lambda y. ((\lambda z. (z \ z)) \ y)) \ A)$$

$$((\lambda y. (\lambda z. (z \ z)) \ y)) \ A)$$

$$((\lambda y. ((\lambda z. (z \ z)) \ y)) \ A)$$
$$((\lambda y. ((\lambda z. (z \ z)) \ y)) \ A)$$

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$$((\lambda y.((\lambda z.(z \ z)) \ y)) \ A)$$

$$((\lambda y.((\lambda z.(z\ z))\ y))\ A)$$
$$((\lambda y.((\lambda z.(z\ z))\ y))\ A)$$

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```
((λy.((λz.(z z)) y)) A)
```

$$((\lambda y.((\lambda z.(z \ z)) \ y)) \ A)$$
$$((\lambda z.(z \ z)) \ A)$$

$$((\lambda z.(z \ z)) \ A)$$

$$((\lambda z.(z \ z)) \ A)$$
$$((\lambda z.(z \ z)) \ A)$$

$$((\lambda z.(z\ z))\ A)$$

$$((\lambda z.(z\ z))\ A)$$
$$((\lambda z.(z\ z))\ A)$$

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$$((\lambda z.(z\ z))\ A)$$

$$((\lambda z.(z\ z))\ A)$$
$$(A\ A)$$

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(A A)

(A A)

(A A)

What is the result of this program execution? Watch out for the scope of the two “x” variables!

```
(( (λx x.(x x)) A) B)
```

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$$(((\lambda x \ x.(x \ x)) \ A) \ B)$$

```
(((\lambda x x.(x x)) A) B)
```

```
((\lambda x x.(x x)) A) B)
```

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$$((\lambda x. (x x)) A) B$$

$$((\lambda x. x.(x x)) A) B$$
$$((\lambda x. (x x)) B)$$

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$$((\lambda x. (x \ x)) \ B)$$

$$((\lambda x. (x \ x)) \ B)$$
$$((\lambda x. (x \ x)) \ B)$$

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$$((\lambda x.(x\ x))\ B)$$

$$((\lambda x. (x \ x)) \ B)$$
$$(\ B \ B)$$

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(B B)

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(B B)

(B B)

The first “x” gets replaced with “A”, but the second “x” shadows it!

```
(( (λx x.(x x)) A) B)
```

A better formulation, less ambiguous, would turn:

$$(((\lambda x \ x.(x \ x)) \ A) \ B)$$

...into:

$$(((\lambda y \ x.(x \ x)) \ A) \ B)$$

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$$(((\lambda y \ x.(x \ x)) \ A) \ B)$$


```
(((\lambda y x.(x x)) A) B)
```

```
( ((\lambda y x.(x x)) A) B)
```

$$((\lambda y \ x. (x \ x)) \ A) \ B$$

$$((\lambda y. x. (x \ x)) \ A) \ B$$
$$((\lambda x. (x \ x)) \ B)$$

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$$((\lambda x. (x \ x)) \ B)$$

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$$((\lambda x.(x\ x))\ B)$$

$$((\lambda x. (x \ x)) \ B)$$
$$(\ B \ B)$$

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(B B)

(B B)

(B B)

What is the result of this program execution? Is there even a result?

```
((λx.(x x)) (λx.(x x)))
```

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$$((\lambda x. (x \ x)) \ (\lambda x. (x \ x)))$$

```
((λx.(x x)) (λx.(x x)))
```

```
((λx.(x x)) (λx.(x x)))
```

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```
((λx.(x x)) (λx.(x x)))
```

$$((\lambda x.(x\ x))\ (\lambda x.(x\ x)))$$
$$(\ (\lambda x.(x\ x))\ (\lambda x.(x\ x))\)$$

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$$((\lambda x. (x \ x)) \ (\lambda x. (x \ x)))$$

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```
((λx.(x x)) (λx.(x x)))
```

$$((\lambda x.(x\ x))\ (\lambda x.(x\ x)))$$
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$$((\lambda x.(x\ x))\ (\lambda x.(x\ x)))$$
$$((\lambda x.(x\ x))\ (\lambda x.(x\ x)))$$


```
((λx.(x x)) (λx.(x x)))
```

It never ends! Like a while true: ..!

Ok, I know what you are all thinking: what is this for sick joke?
This is no real programming language!

- We have some sort of functions and function calls
- We do not have booleans and if's
- We do not have integers and arithmetic operators
- We do not have a lot of things!

Surprise!

With nothing but lambda programs we will show how to build all of these features and more.

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Stay tuned.

This will be a marvelous voyage.

The best of luck, and thanks for the
attention!