

Deep Learning (DL)

Ups and downs of Deep Learning

- 1958: Perceptron
- 1980s: Multi-layer perceptron (MLP)
- 1986: Backpropagation (BP)
- 1989: 1 hidden layer is “good enough”, why deep?
- 2006: Restricted Boltzmann Machine (RBM) initialization
- 2009: GPU
- 2011: Start to be popular in speech recognition
- 2012: win ILSVRC image competition
- 2015: Image recognition surpassing human-level performance
- 2016: Alpha GO
- 2016: Speech recognition system as good as humans
- 2019: Pretrained language models (PLMs) for NLP tasks
- 2023: Large language models (LLMs)
-

Three Steps for Deep Learning



Step 1. A neural network is a function composed of simple functions (neurons)

- Usually we design the network structure, and let machine find parameters from data

Step 2. Cost function evaluates how good a set of parameters is.

- We design the cost function based on the task

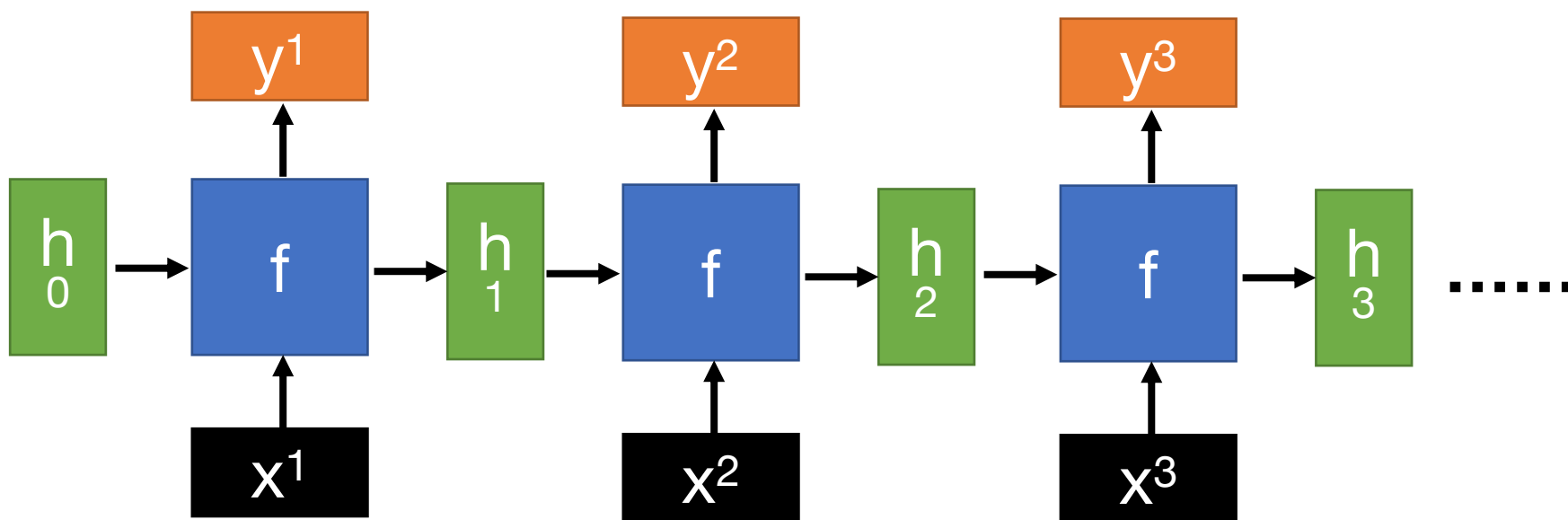
Step 3. Find the best function (e.g., gradient descent)

Basic Structure: Recurrent Structure

Simplify the network
by using the same function again and
again

Recurrent Neural Network

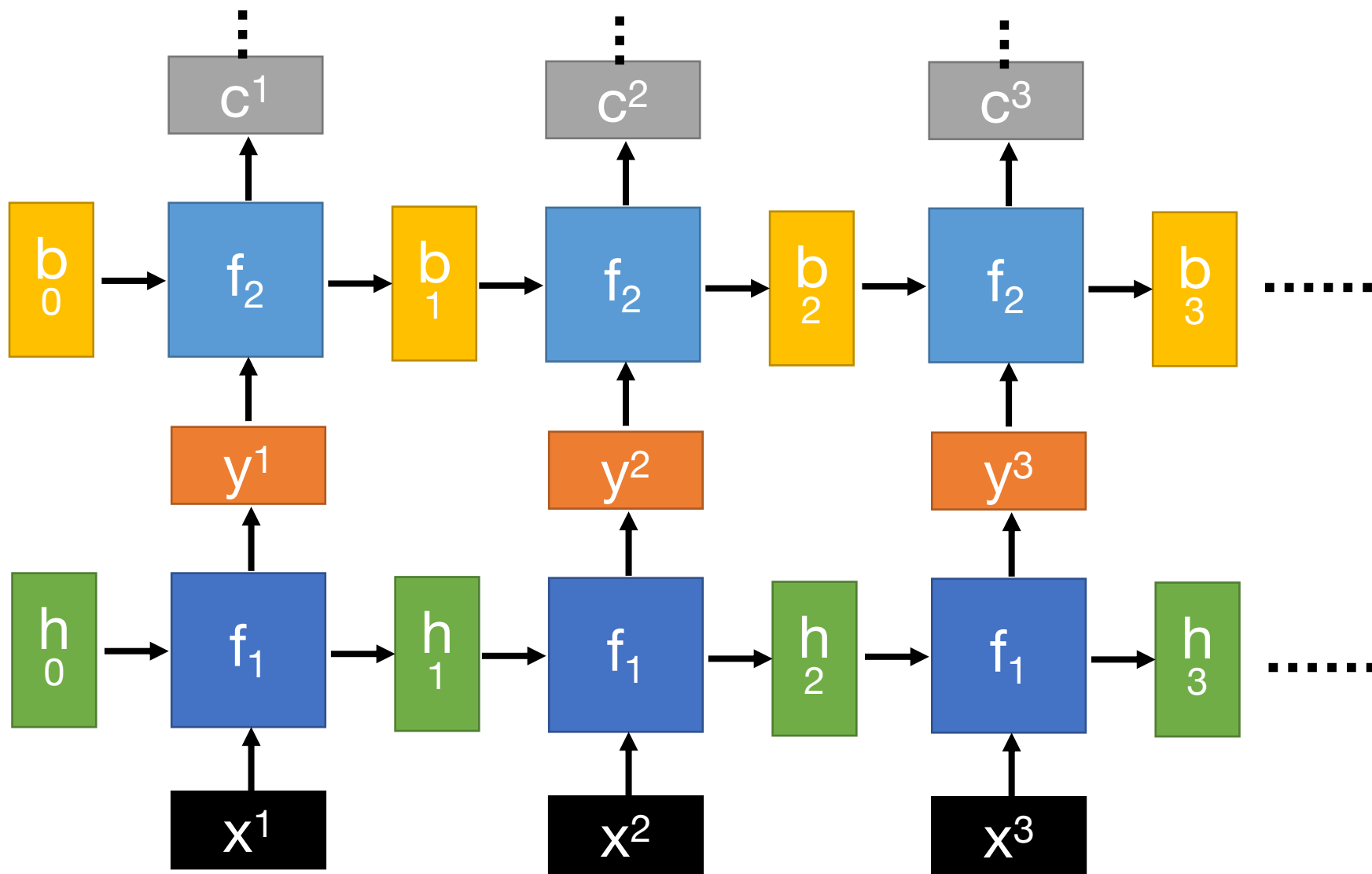
- Given function $f: h', y = f(h, x)$ h and h' are vectors with the same dimension



No matter how long the input sequence is, we only need one function f

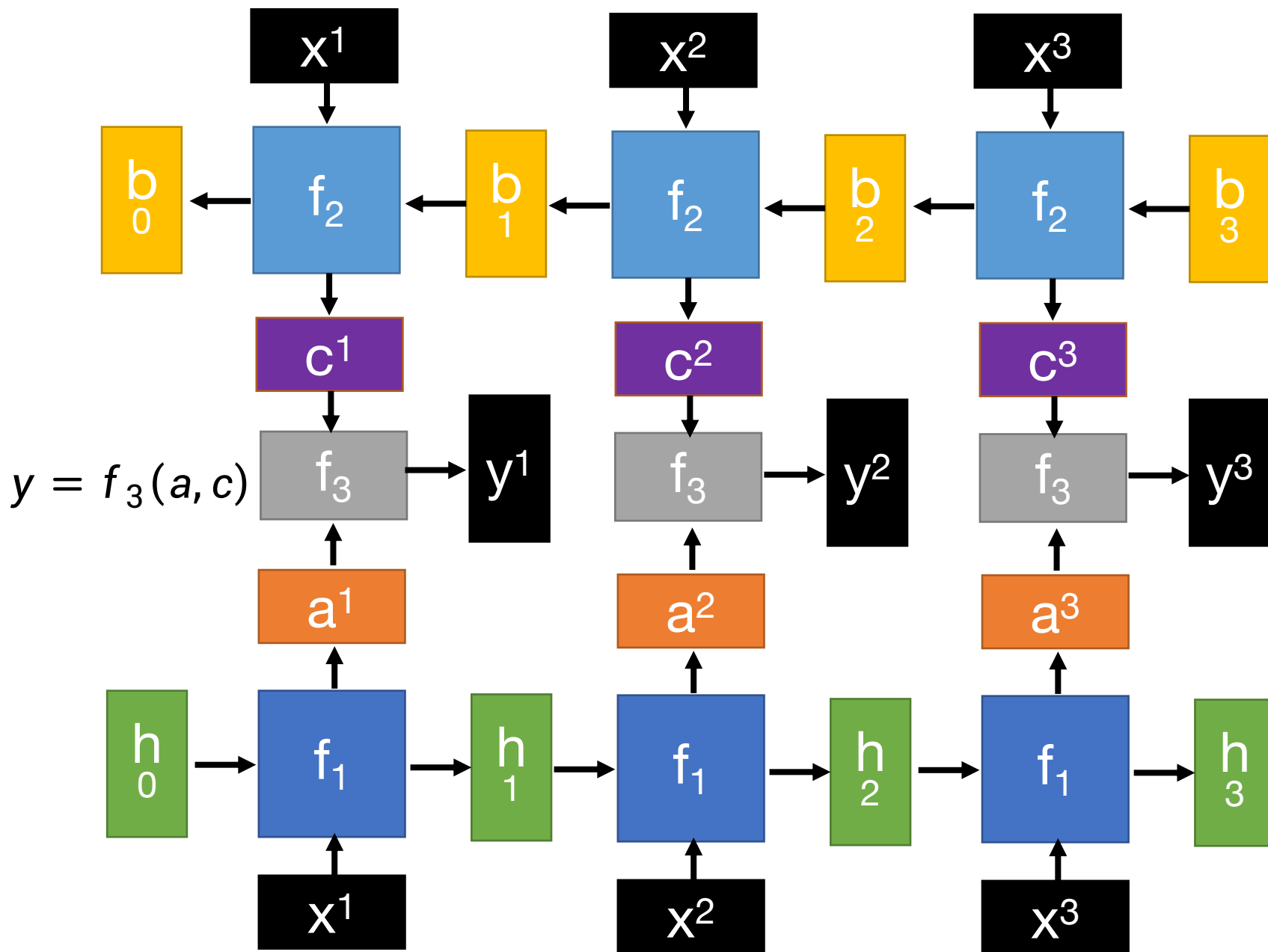
Deep RNN

$$h', y = f_1(h, x) \quad b', c = f_2(b, y) \dots$$



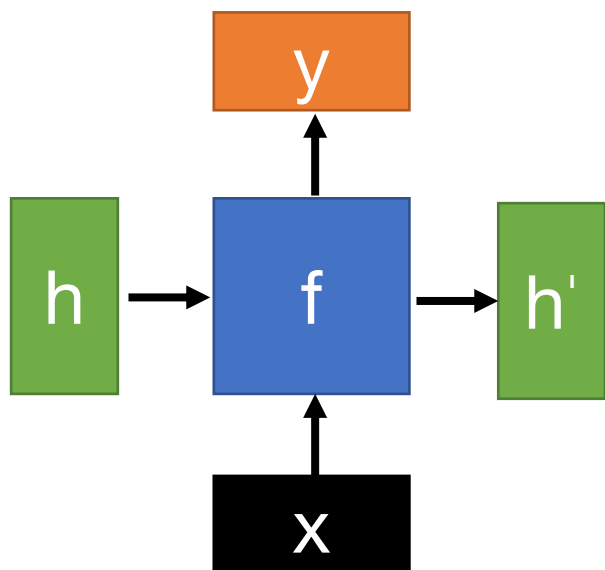
Bidirectional RNN

$$h', a = f_1(h, x) \quad b', c = f_2(b, x)$$



Naïve RNN

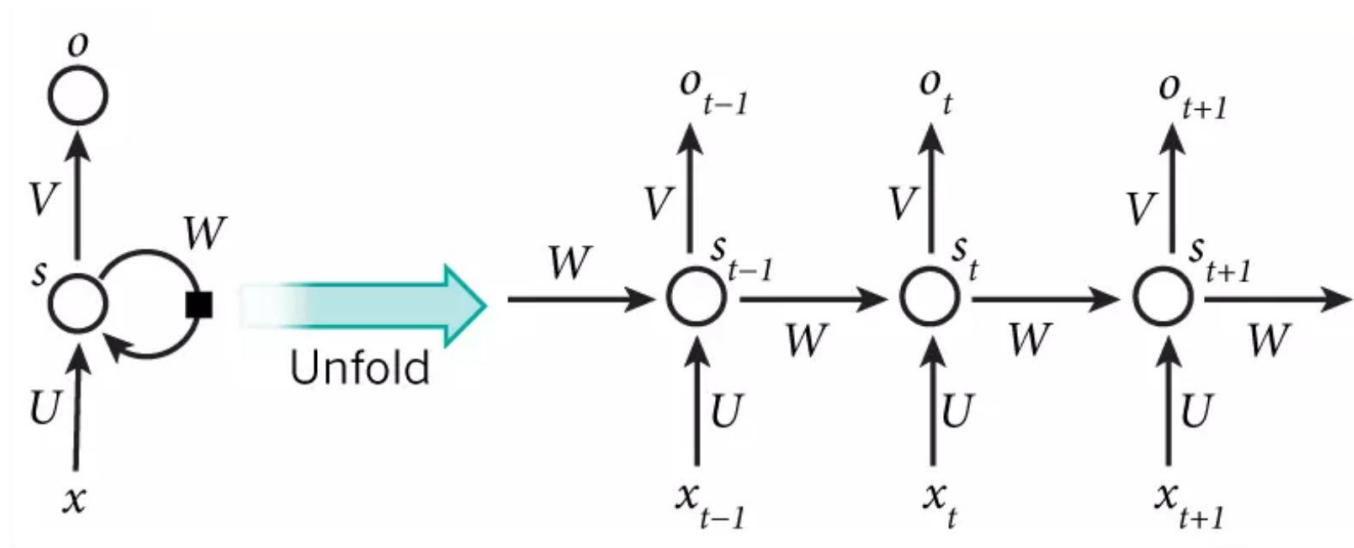
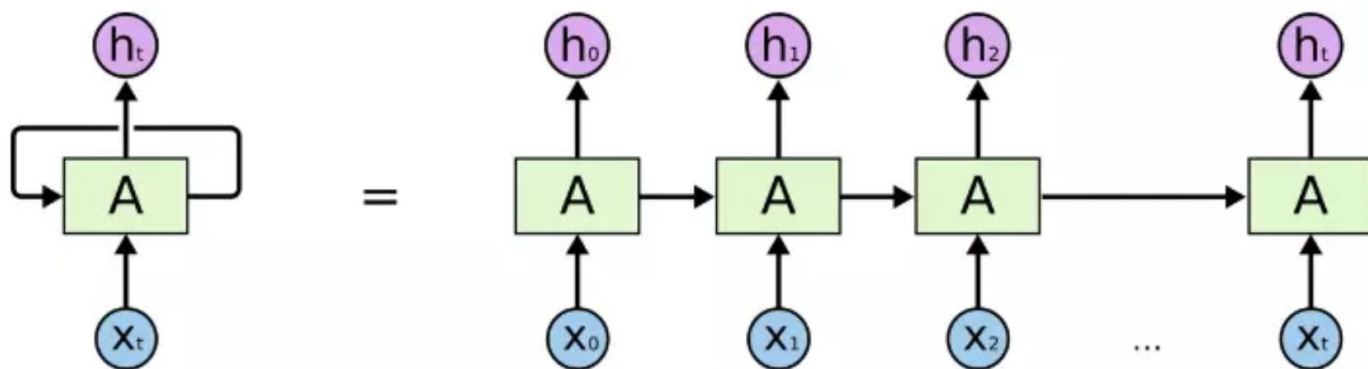
- Given function f : $h', y = f(h, x)$



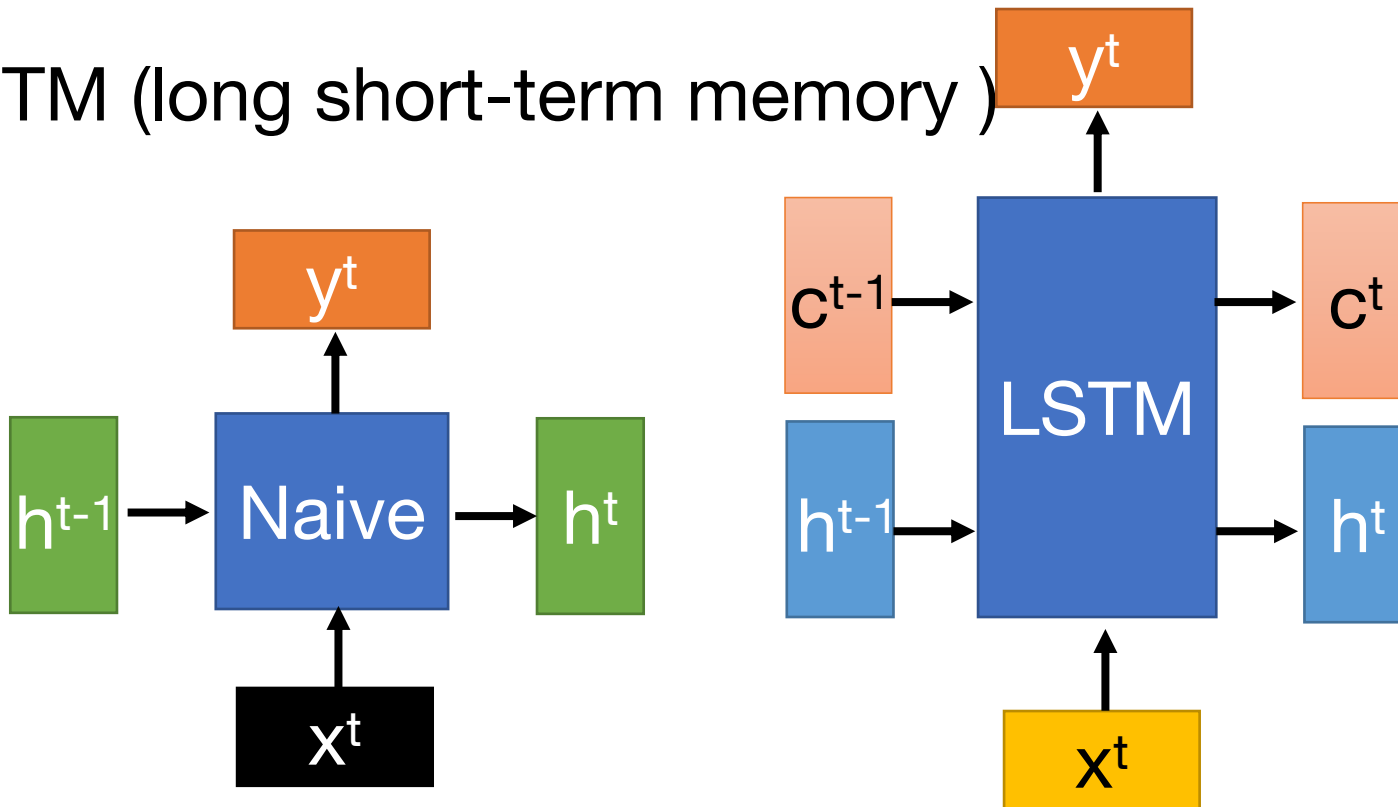
$$h' = \sigma(W^h h + W^i x)$$

$$y = \sigma(W^o h')$$

Ignore bias here



LSTM (long short-term memory)



c changes slowly

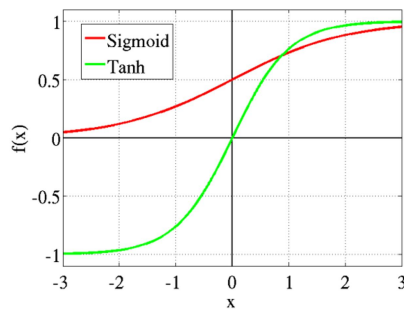
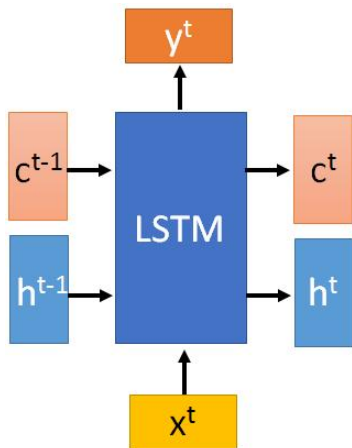


c^t is c^{t-1} added by something

h changes fast



h^t and h^{t-1} can be very different



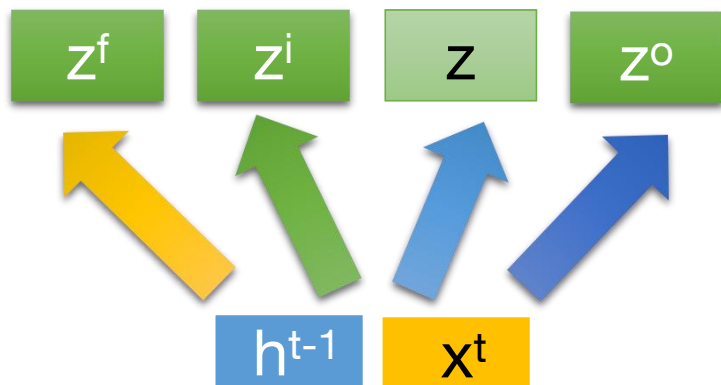
$$z = \tanh\left(W \begin{bmatrix} x^t \\ h^{t-1} \end{bmatrix}\right)$$

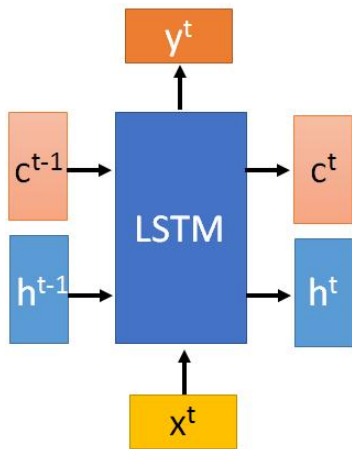
$$z^i = \sigma\left(W^i \begin{bmatrix} x^t \\ h^{t-1} \end{bmatrix}\right)$$

$$z^f = \sigma\left(W^f \begin{bmatrix} x^t \\ h^{t-1} \end{bmatrix}\right)$$

$$z_o = \sigma\left(W^o \begin{bmatrix} x^t \\ h^{t-1} \end{bmatrix}\right)$$

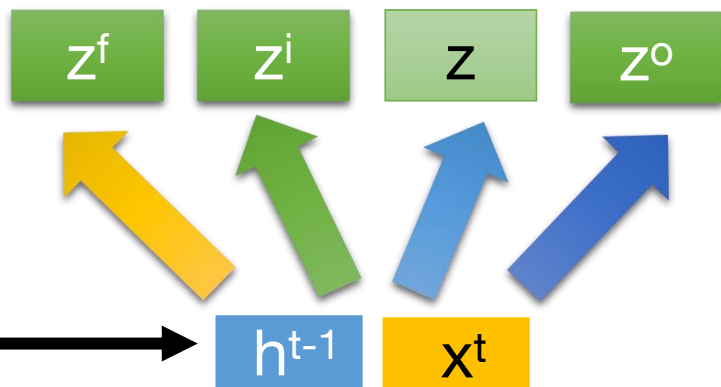
c^{t-1}

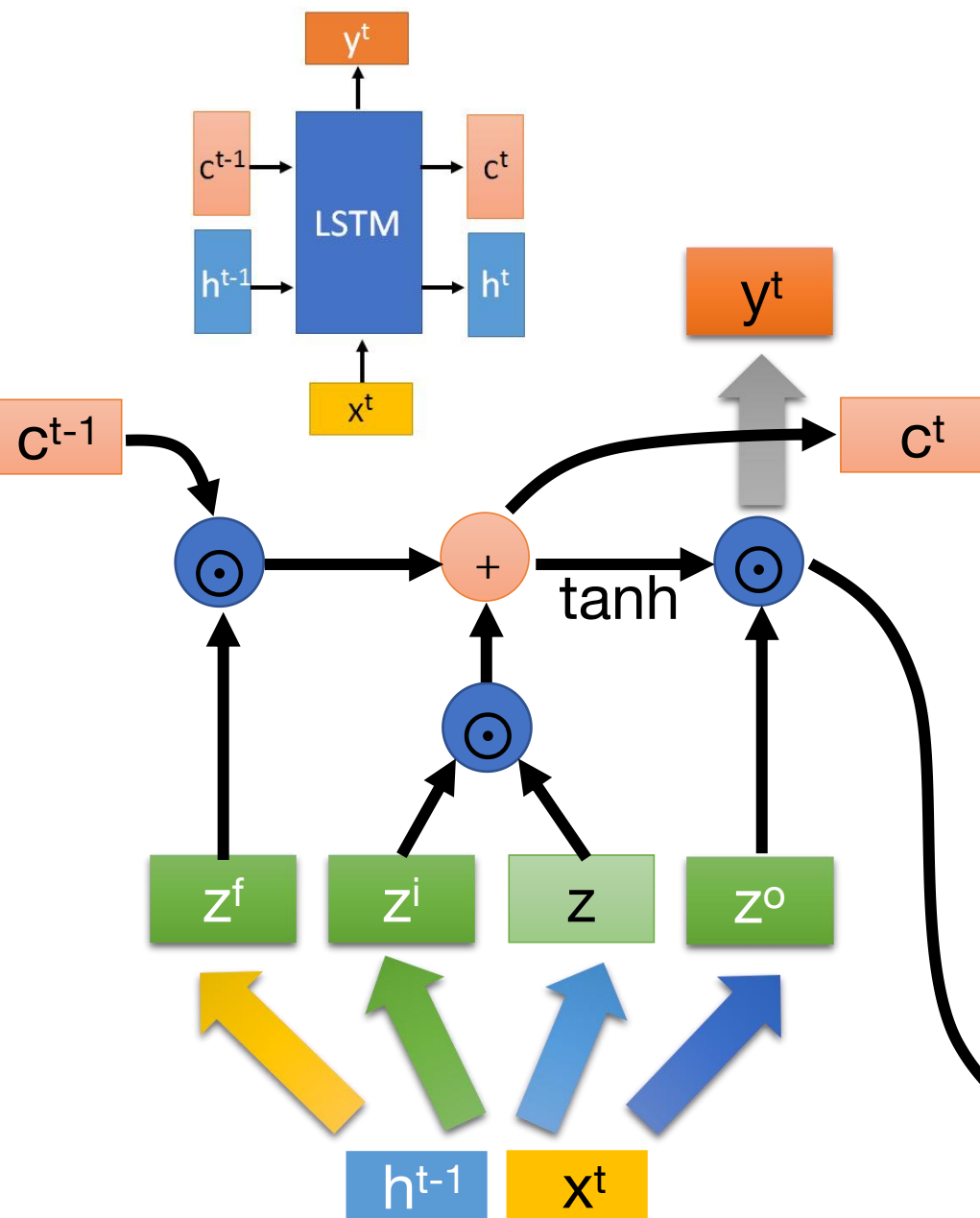




$$z = \tanh\left(\begin{bmatrix} W & \end{bmatrix} \begin{bmatrix} x^t \\ h^{t-1} \\ c^{t-1} \end{bmatrix} \right)$$

z_o z^f z^i obtained by the same way



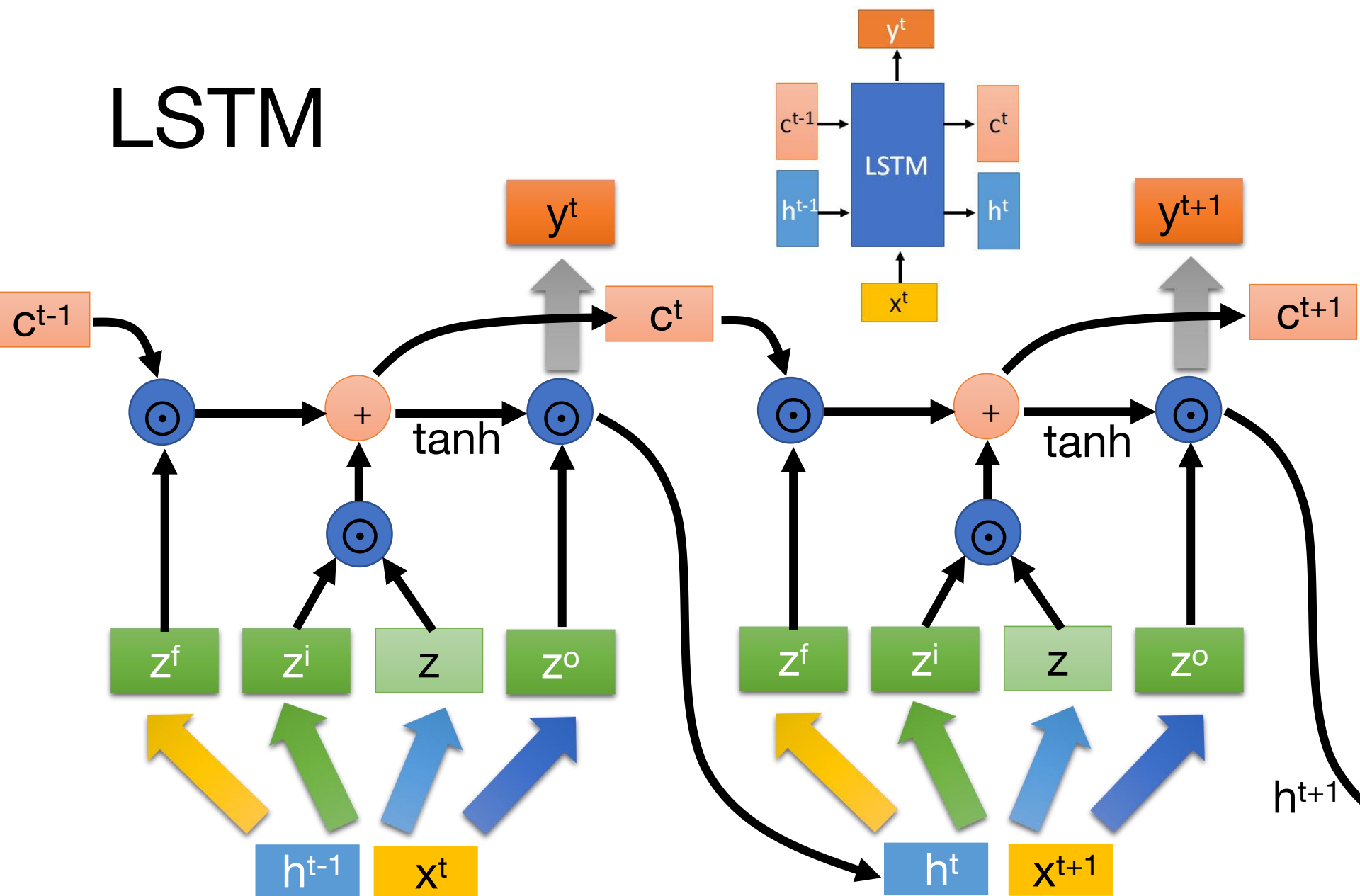


$$c^t = z^f \odot c^{t-1} + z^i \odot z$$

$$h^t = z^o \odot \tanh(c^t)$$

$$y^t = \sigma(W' h^t)$$

LSTM



```

def LSTMCELL(prev_ct, prev_ht, input):
    combine = prev_ht + input
    ft = forget_layer(combine)
    candidate = candidate_layer(combine)
    it = input_layer(combine)
    Ct = prev_ct * ft + candidate * it
    ot = output_layer(combine)
    ht = ot * tanh(Ct)
    return ht, Ct

```

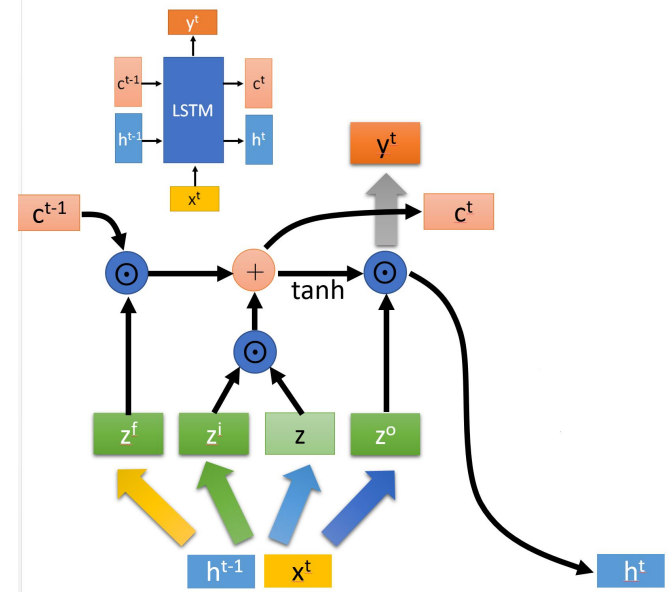
```
ct = [0, 0, 0]
```

```
ht = [0, 0, 0]
```

```

for input in inputs:
    ct, ht = LSTMCELL(ct, ht, input)

```



$$c^t = z^f \odot c^{t-1} + z^i \odot z$$

$$h^t = z^o \odot \tanh(c^t)$$

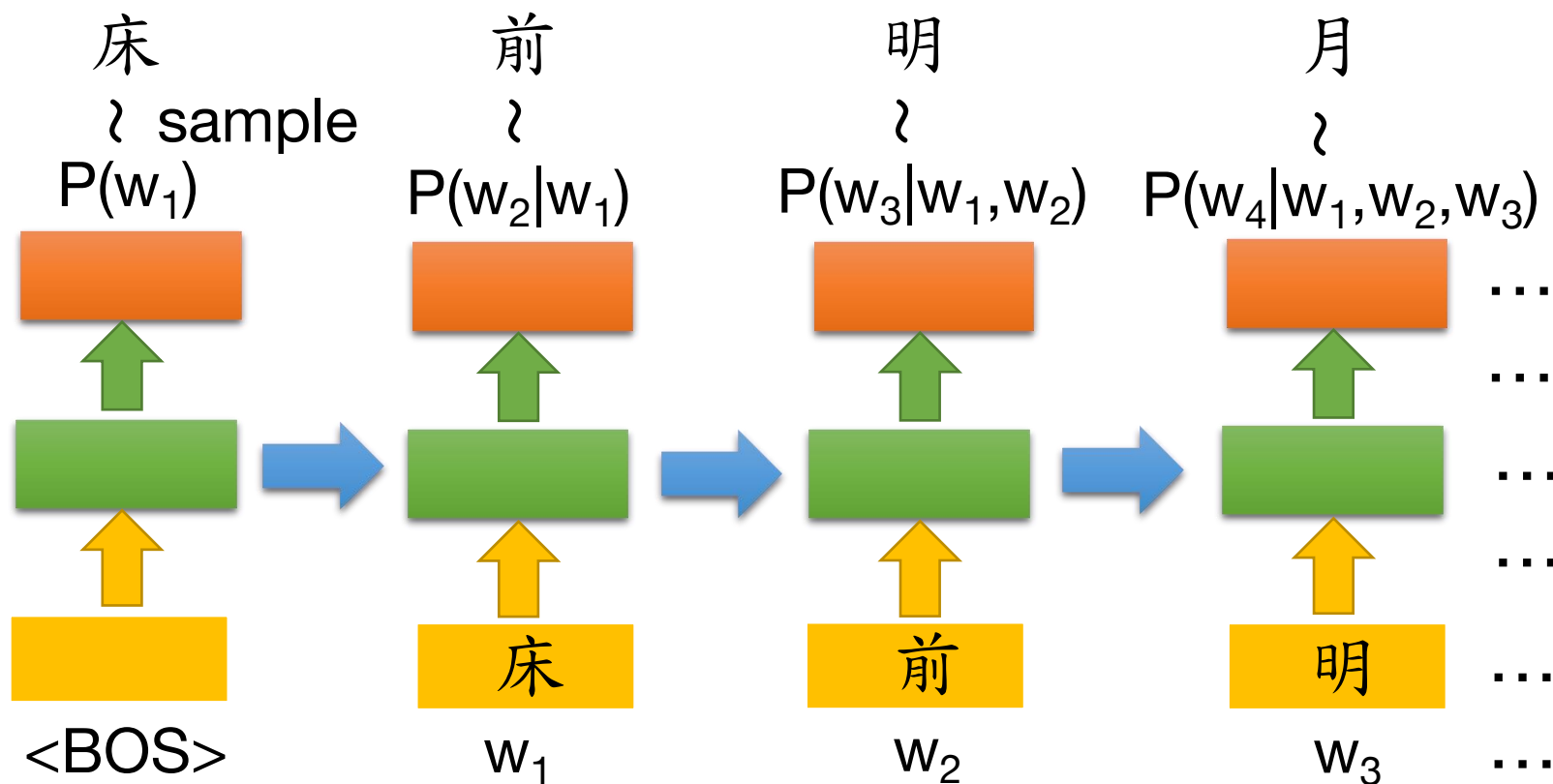
$$y^t = \sigma(W'h^t)$$

Conditional Generation by RNN & Attention

Generating a structured object component-by-
component

Generation

- Sentences are composed of characters/words
 - Generating a character/word at each time by RNN





blue red yellow
w

gray.....

-
- Diagram illustrating the sequential generation of a sentence in a sequence-to-sequence model. The process starts with a yellow box labeled $\langle \text{BOS} \rangle$ (orange text) which points to a green box. This green box points to an orange box labeled $P(w_1)$ (red text). A blue arrow points to the next step, where the green box points to an orange box labeled $P(w_2|w_1)$ (blue text), and the yellow box is now labeled 'red' (red text). This pattern continues: the green box points to an orange box labeled $P(w_3|w_1, w_2)$ (pink text), and the yellow box is now labeled 'blue' (blue text). Finally, the green box points to an orange box labeled $P(w_4|w_1, w_2, w_3)$ (blue text), and the yellow box is now labeled 'pink' (pink text). Ellipses (...) indicate the sequence continues.

Conditional Generation

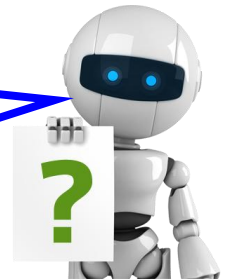
- We don't want to simply generate some random sentences.
- Generate sentences based on conditions:

Caption Generation

Given
condition:

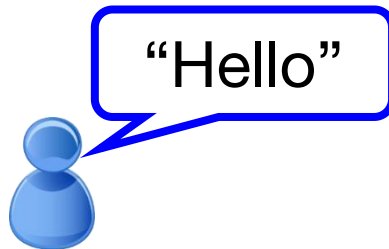


“A young
girl is
dancing.”

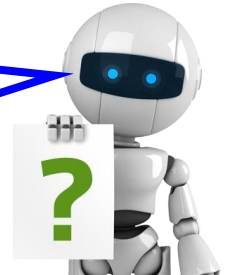


Chat-bot

Given
condition:



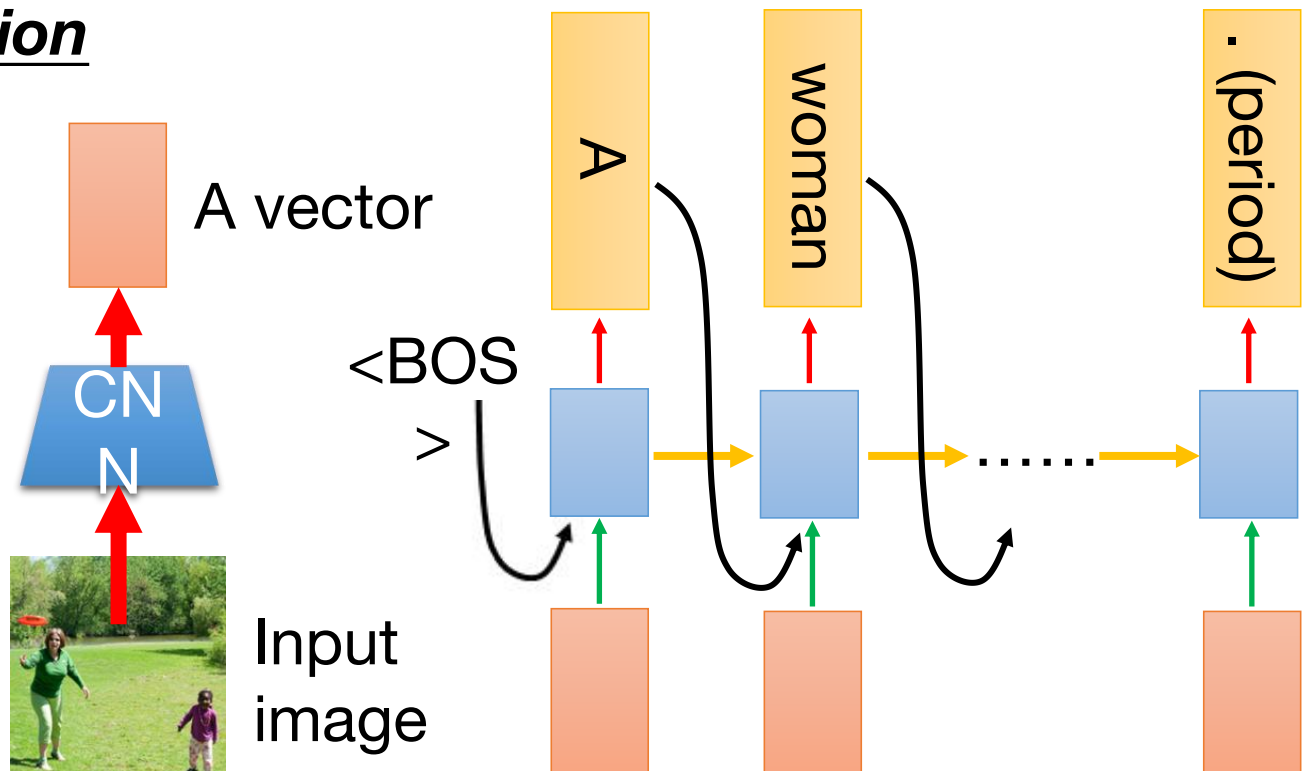
“Hello.
Nice to see
you.”



Conditional Generation

- Represent the input condition as a vector, and consider the vector as the input of RNN generator

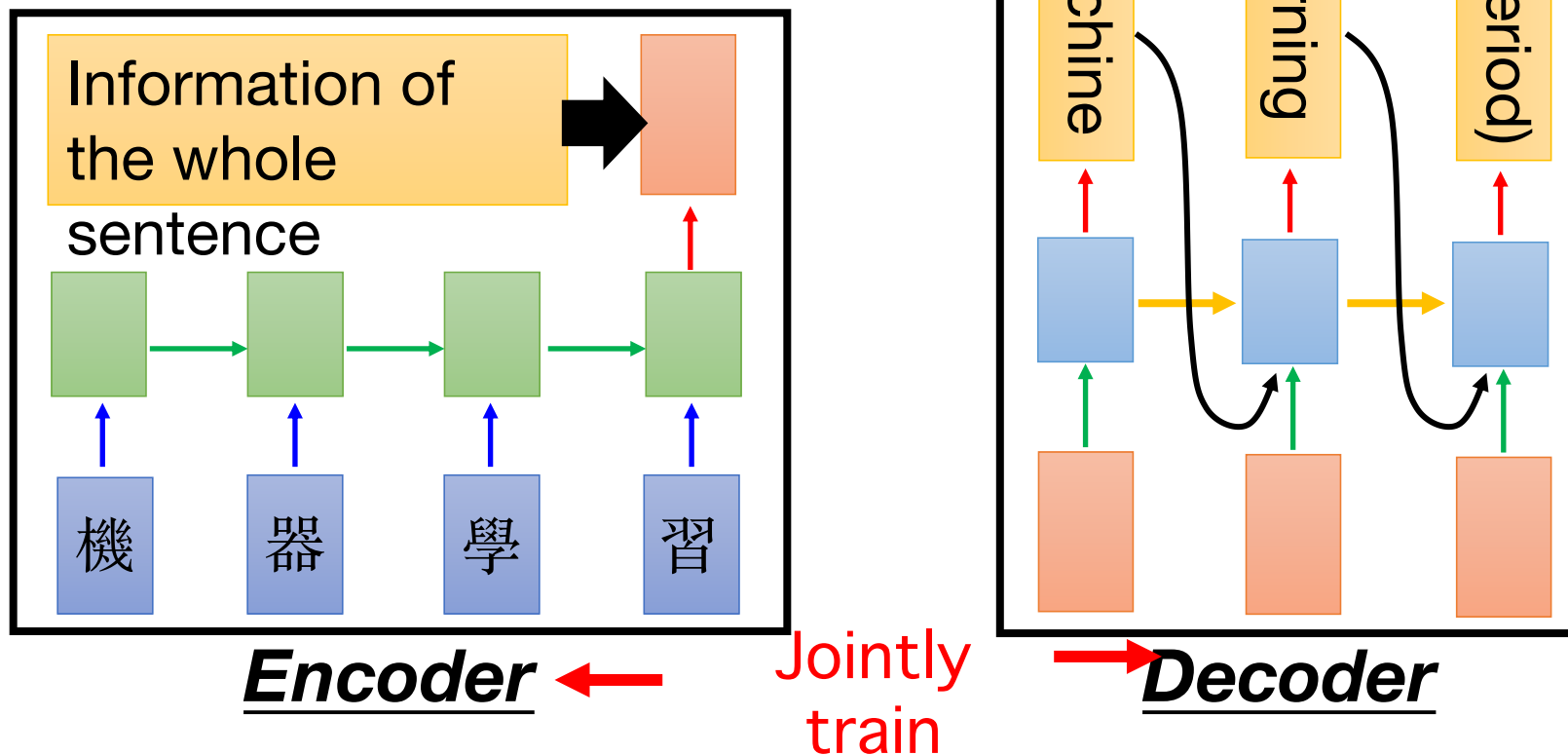
Image Caption Generation



Conditional Generation

Sequence-to-
sequence
learning

- Represent the input condition as a vector, and consider the vector as the input of RNN generator
- E.g. Machine translation / Chat-bot

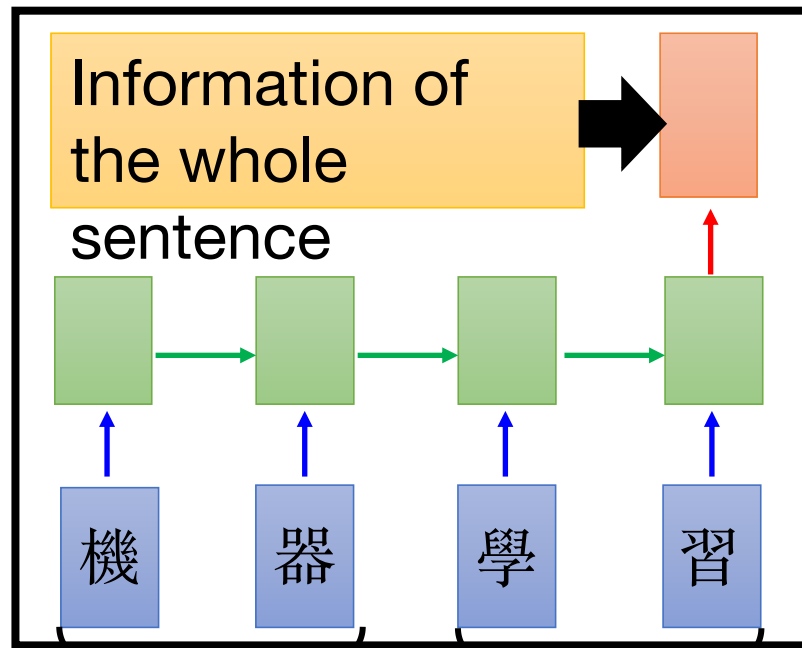


Attention

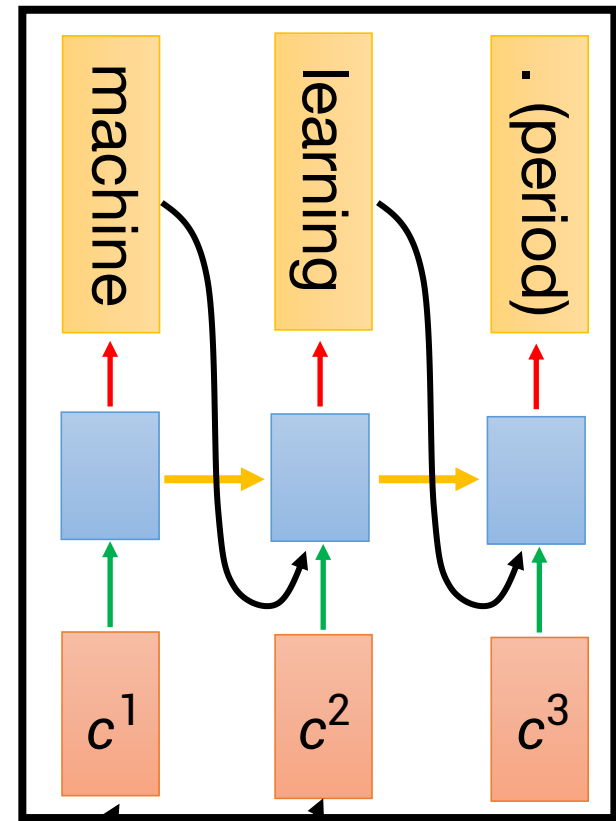
Dynamic Conditional Generation

Dynamic Conditional Generation

Encoder

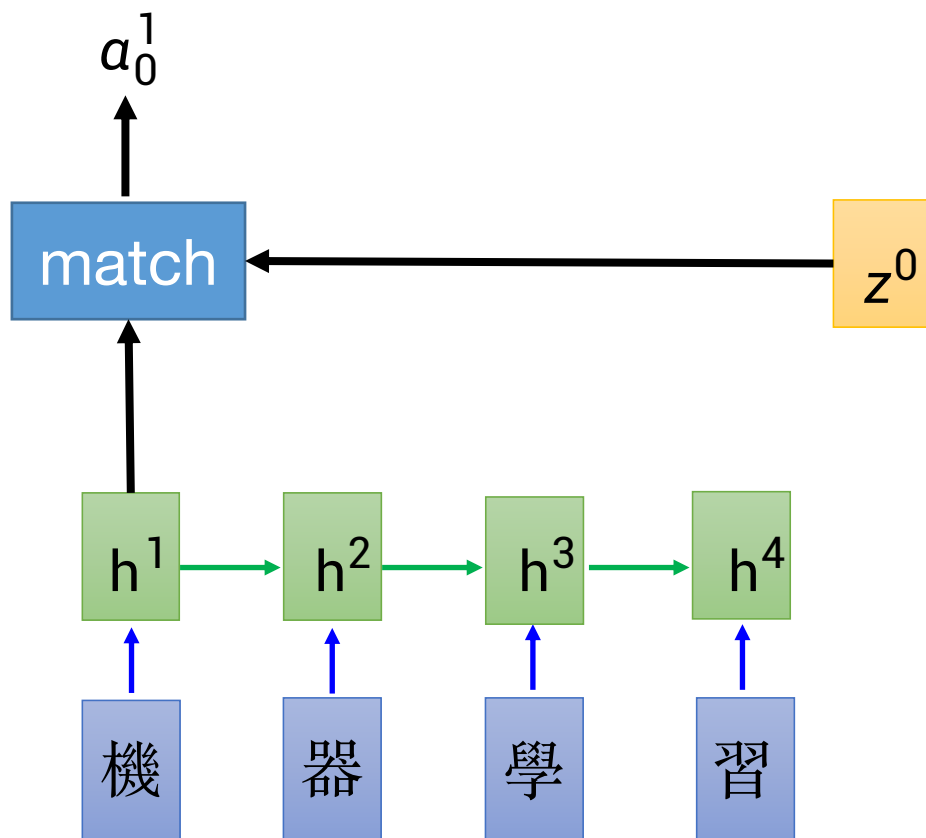


Decoder

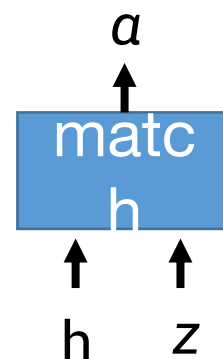


Machine Translation

- Attention-based model



Jointly learned
with other part
of the network



What
is



Design by yourself

- Cosine similarity of z and h
- Small NN whose input is z and h , output a scalar
- $a = h^T W z$

Definition [\[edit \]](#)

The cosine of two non-zero vectors can be derived by using the [Euclidean dot product](#) formula:

$$\mathbf{A} \cdot \mathbf{B} = \|\mathbf{A}\| \|\mathbf{B}\| \cos \theta$$

Given two [vectors](#) of attributes, A and B , the cosine similarity, $\cos(\theta)$, is represented using a [dot product](#) and [magnitude](#) as

$$\text{similarity} = \cos(\theta) = \frac{\mathbf{A} \cdot \mathbf{B}}{\|\mathbf{A}\| \|\mathbf{B}\|} = \frac{\sum_{i=1}^n A_i B_i}{\sqrt{\sum_{i=1}^n A_i^2} \sqrt{\sum_{i=1}^n B_i^2}},$$

where A_i and B_i are [components](#) of vector A and B respectively.

The resulting similarity ranges from -1 meaning exactly opposite, to 1 meaning exactly the same, with 0 indicating [orthogonality](#) or [decorrelation](#), while in-between values indicate intermediate similarity or dissimilarity.

For [text matching](#), the attribute vectors A and B are usually the [term frequency](#) vectors of the documents. Cosine similarity can be seen as a method of [normalizing](#) document length during comparison.

In the case of [information retrieval](#), the cosine similarity of two documents will range from 0 to 1 , since the term frequencies (using [tf-idf](#) weights) cannot be negative. The angle between two term frequency vectors cannot be greater than 90° .

NIPS17 Attention Is All You Need

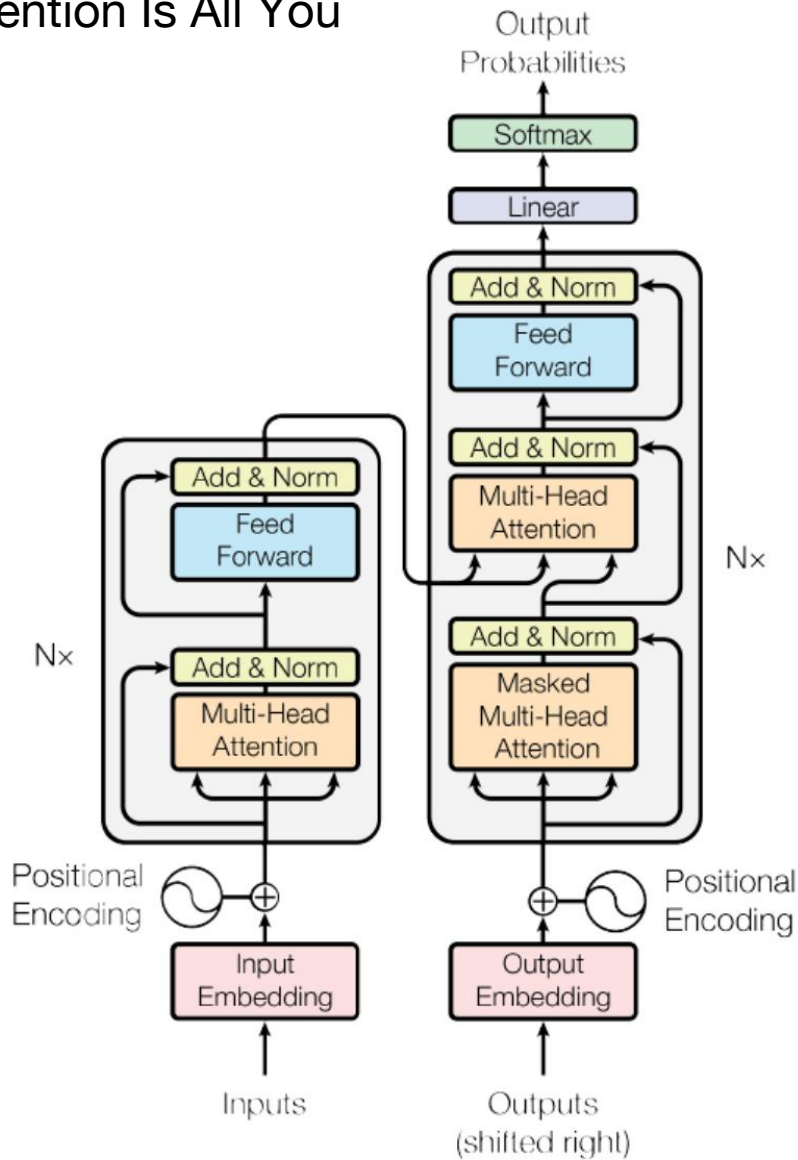
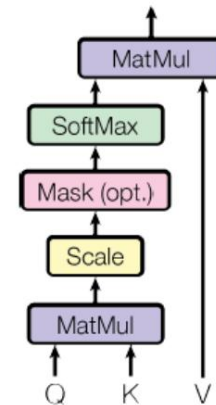
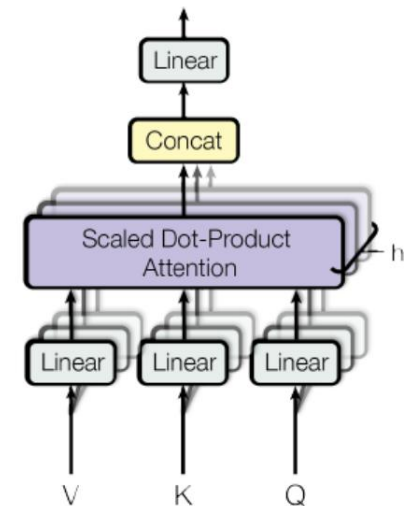


Figure 1: The Transformer - model architecture.

Scaled Dot-Product Attention



Multi-Head Attention



$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

输入

词嵌入

查询向量

键向量

值向量

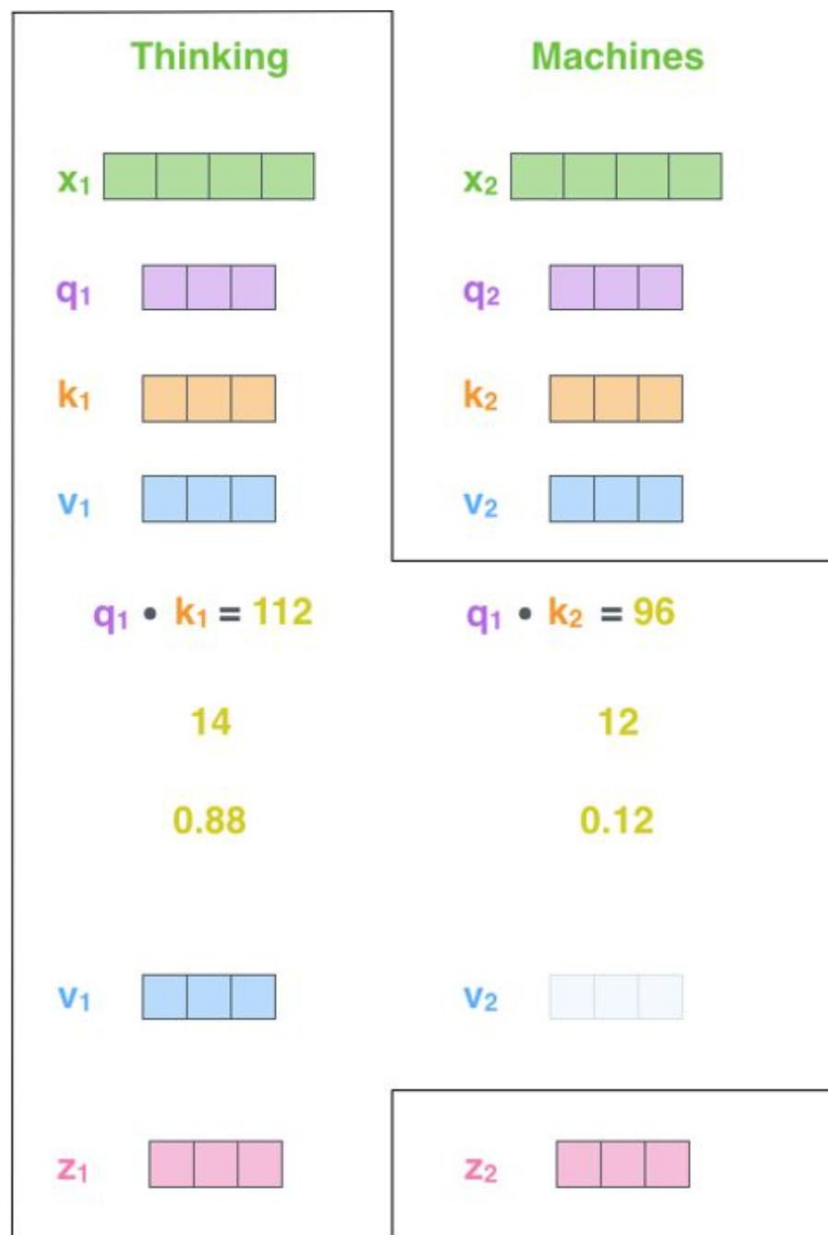
打分

除以8 ($\sqrt{d_k}$)

Softmax

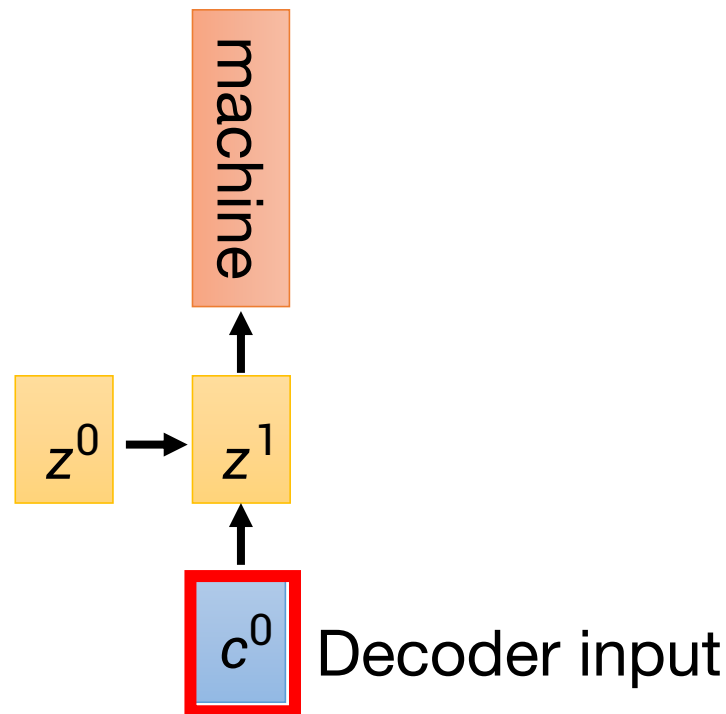
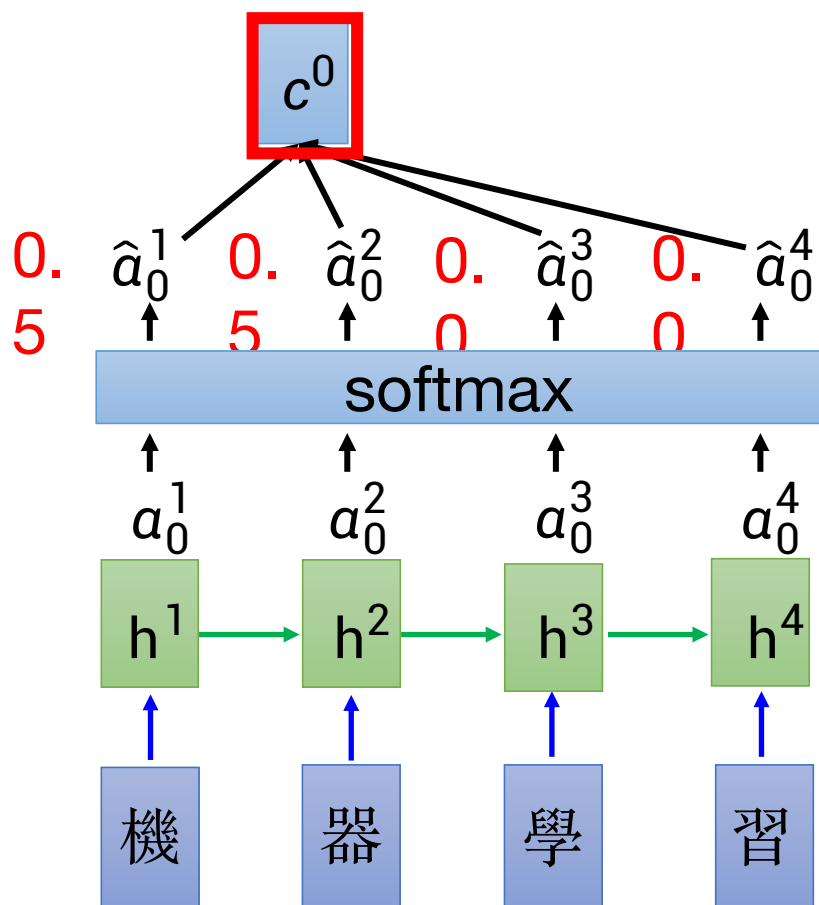
softmax
乘以
值向量

求和



Machine Translation

- Attention-based model

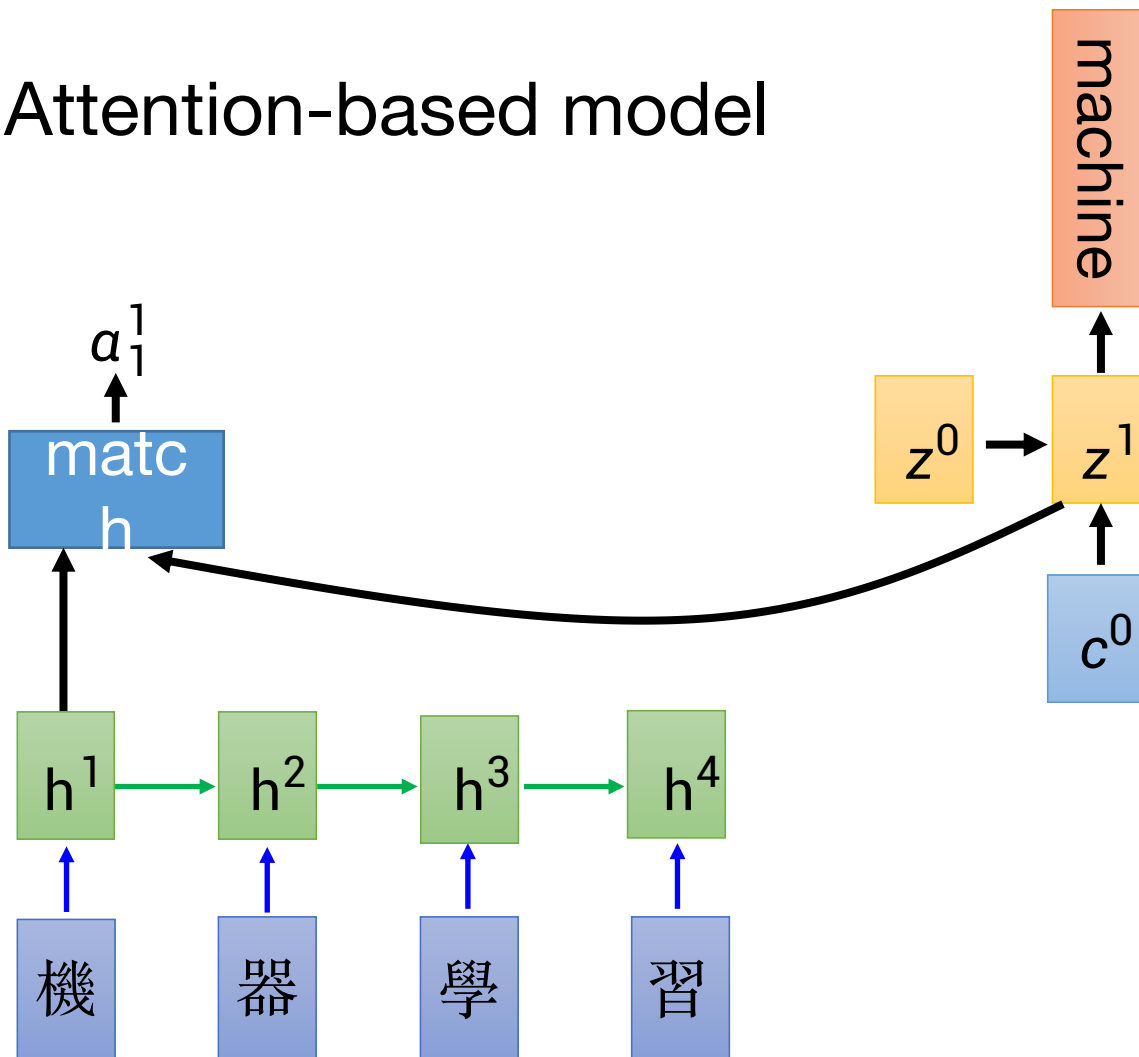


$$c^0 = \sum \hat{a}_0^i h^i$$

$$= 0.5h^1 + 0.5h^2$$

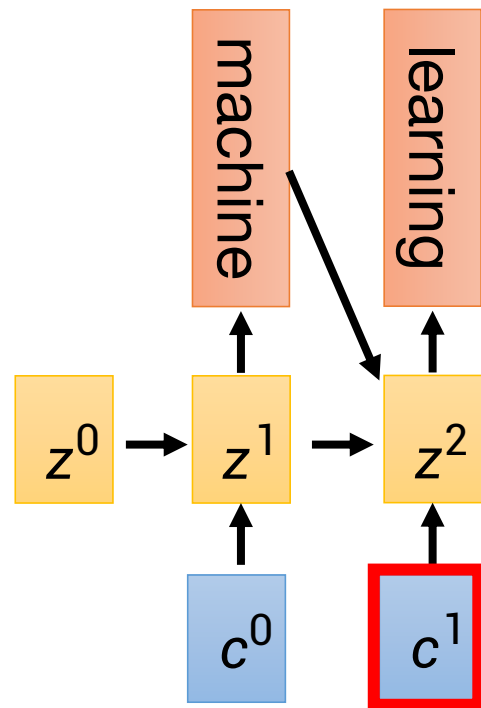
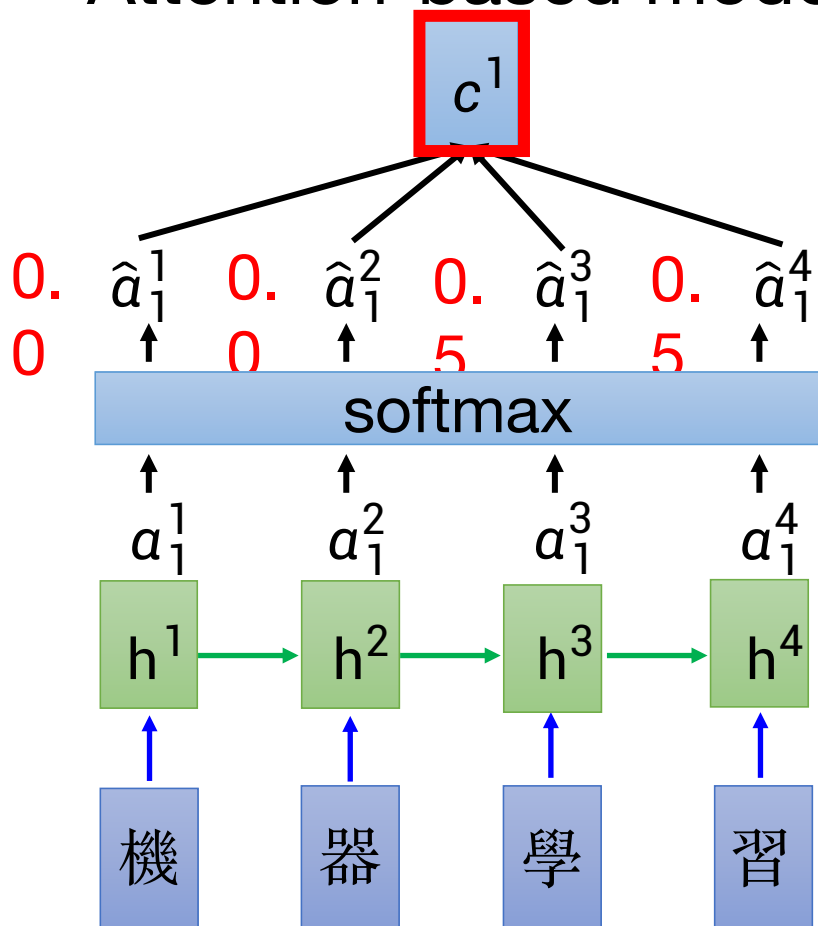
Machine Translation

- Attention-based model



Machine Translation

- Attention-based model

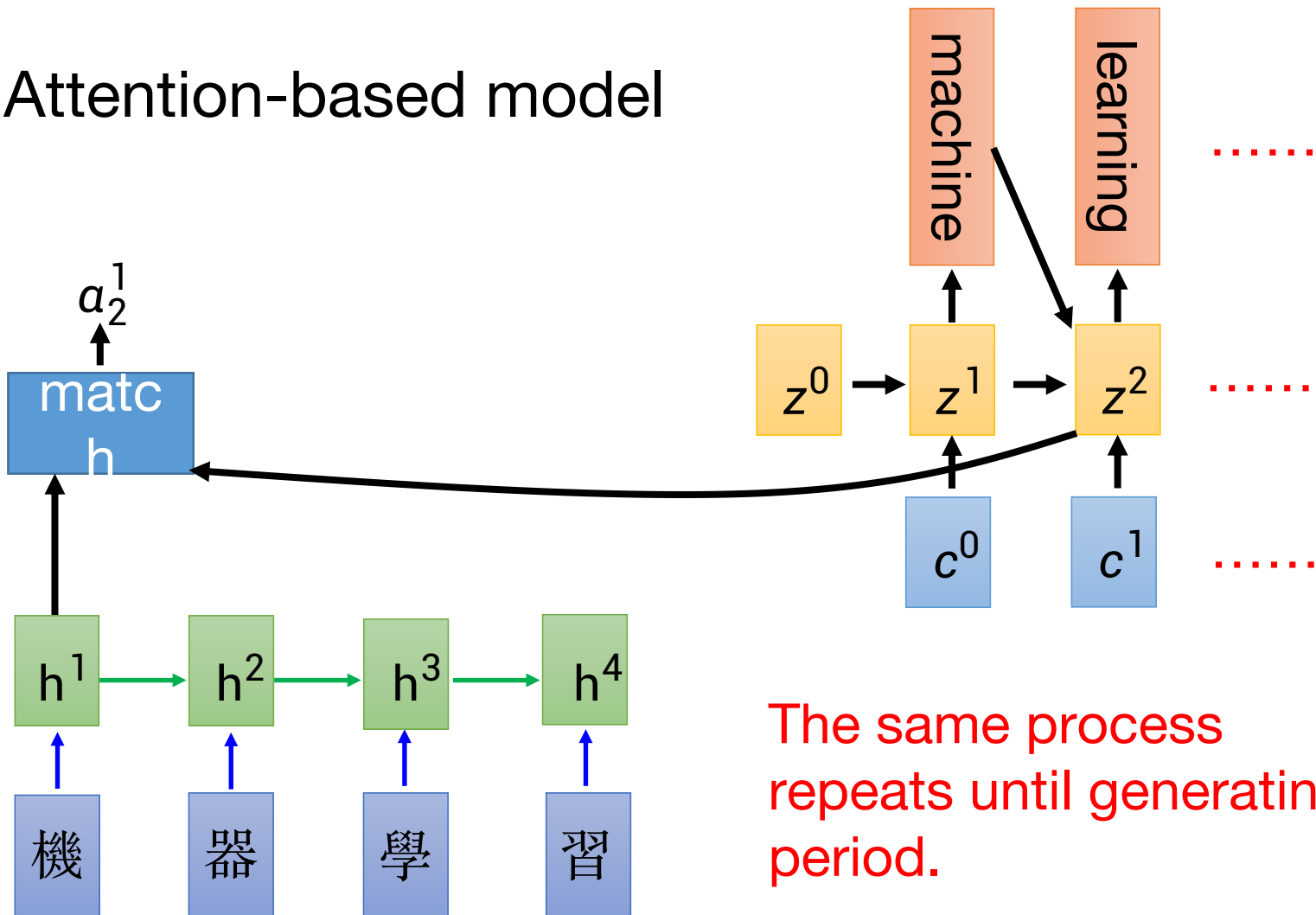


$$c^1 = \sum \hat{a}_1^i h^i$$

$$= 0.5h^3 + 0.5h^4$$

Machine Translation

- Attention-based model



The same process repeats until generating period.

Image Caption Generation

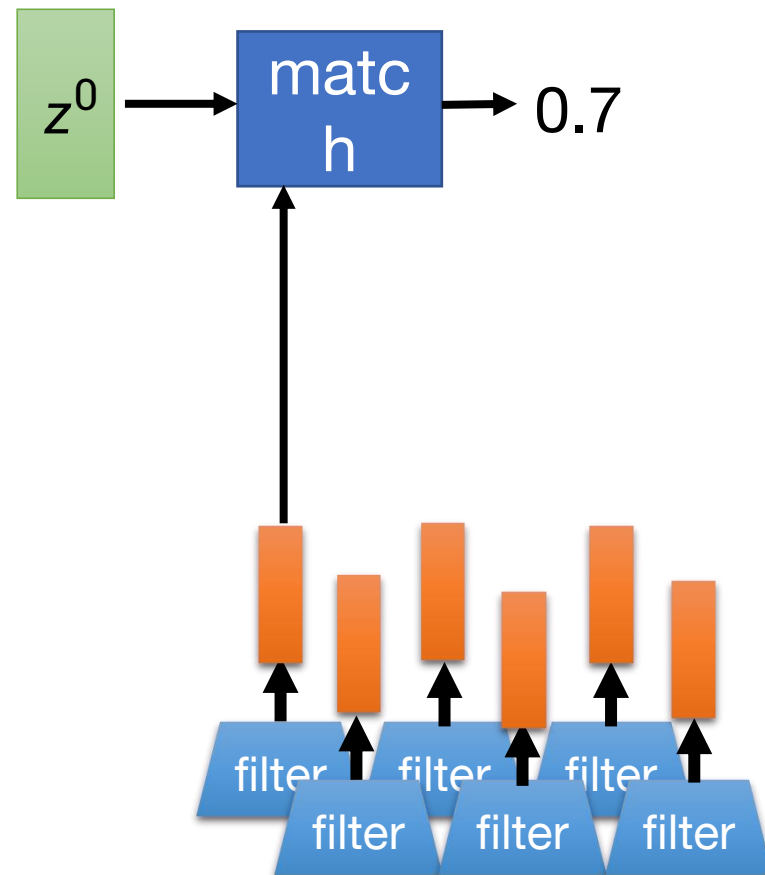
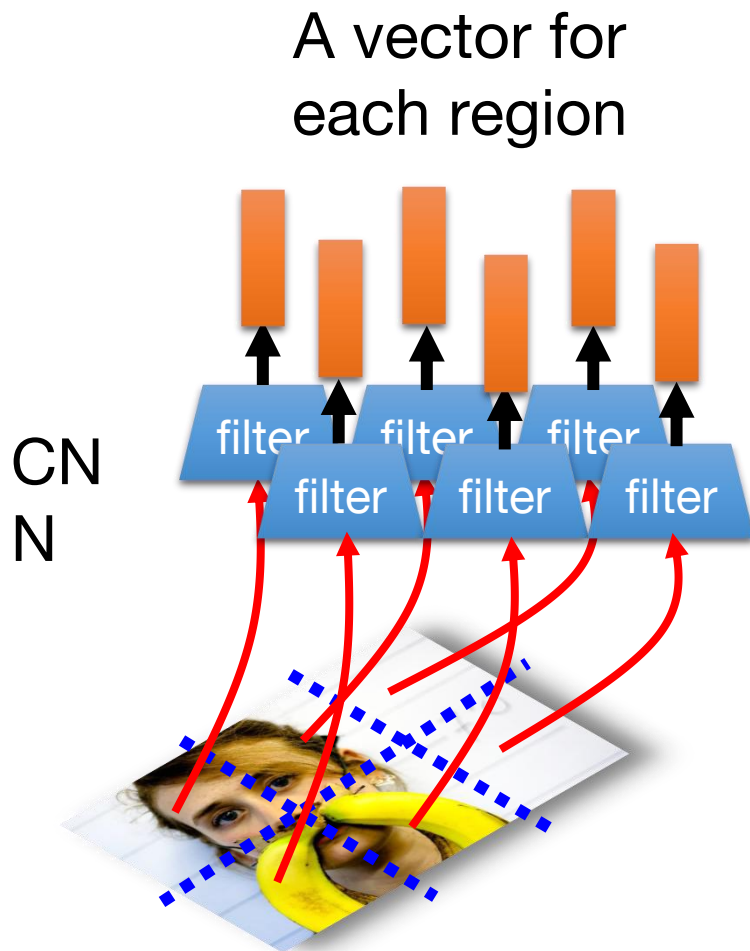


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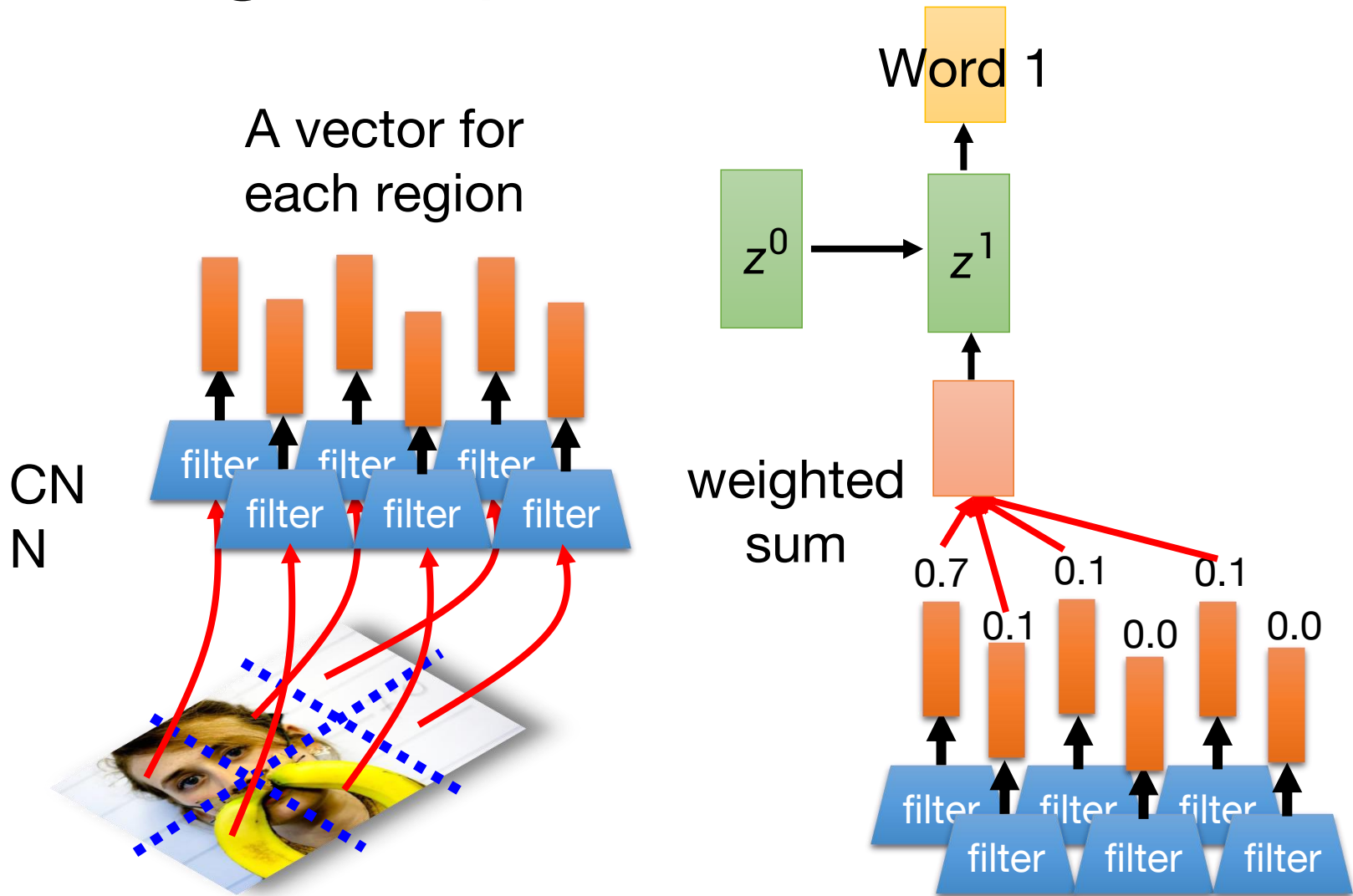


Image Caption Generation

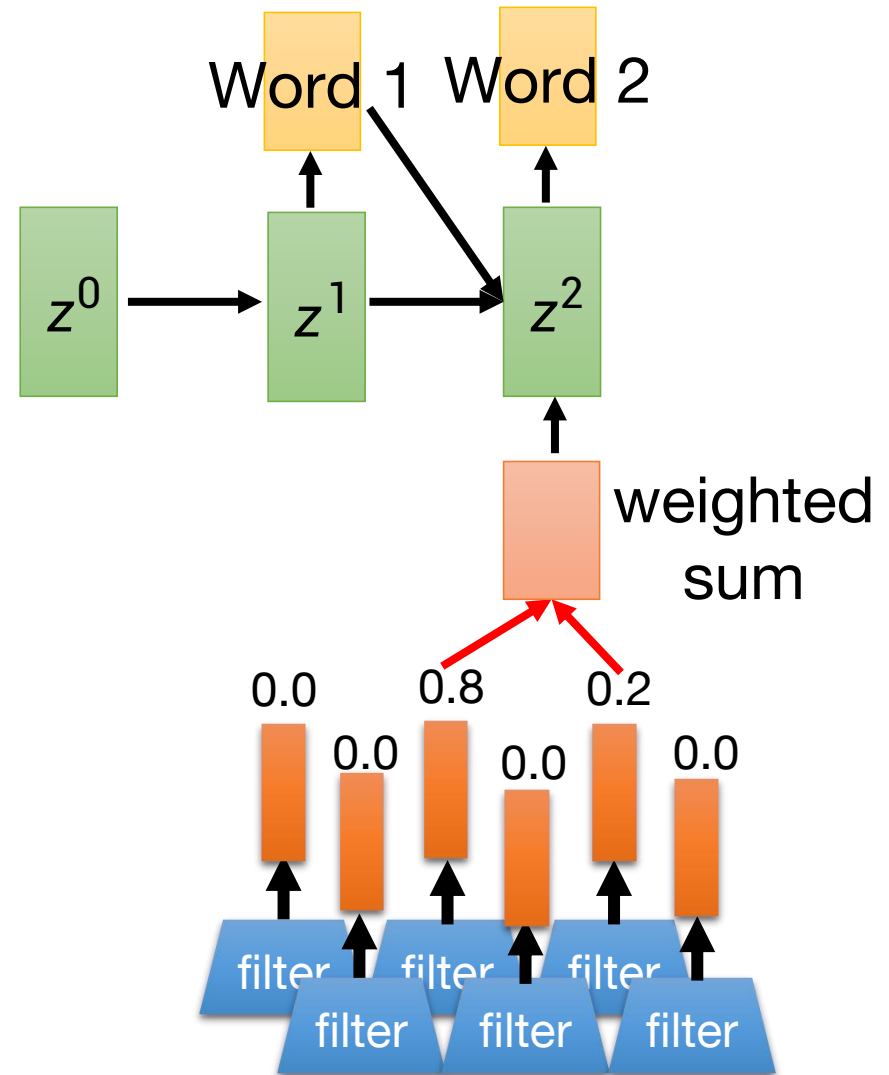
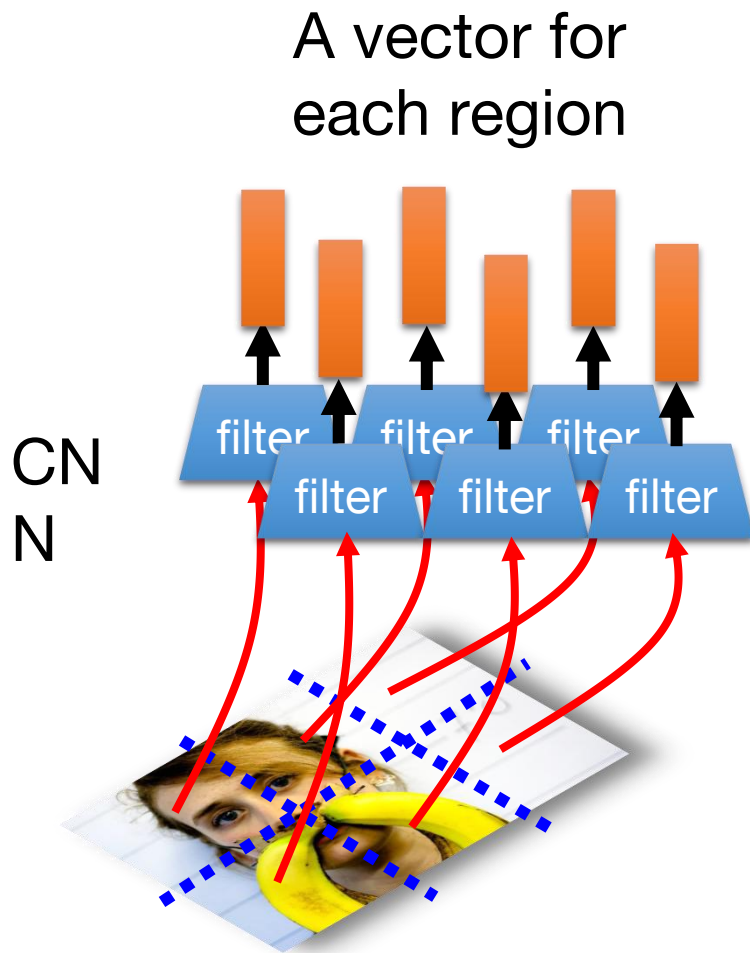
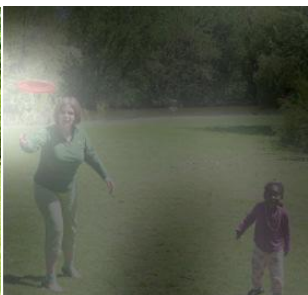


Image Caption Generation (positive samples)



A woman is throwing a frisbee in a park.



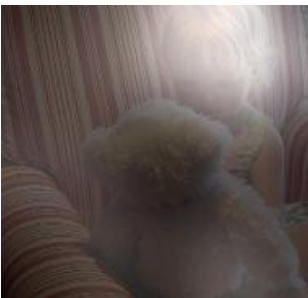
A dog is standing on a hardwood floor.



A stop sign is on a road with a mountain in the background.



A little girl sitting on a bed with a teddy bear.



A group of people sitting on a boat in the water.



A giraffe standing in a forest with trees in the background.

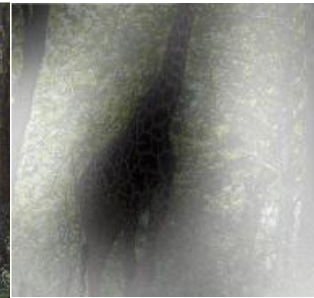


Image Caption Generation (negative samples)



A large white bird standing in a forest.



A woman holding a clock in her hand.



A man wearing a hat and a hat on a skateboard.



A person is standing on a beach with a surfboard.



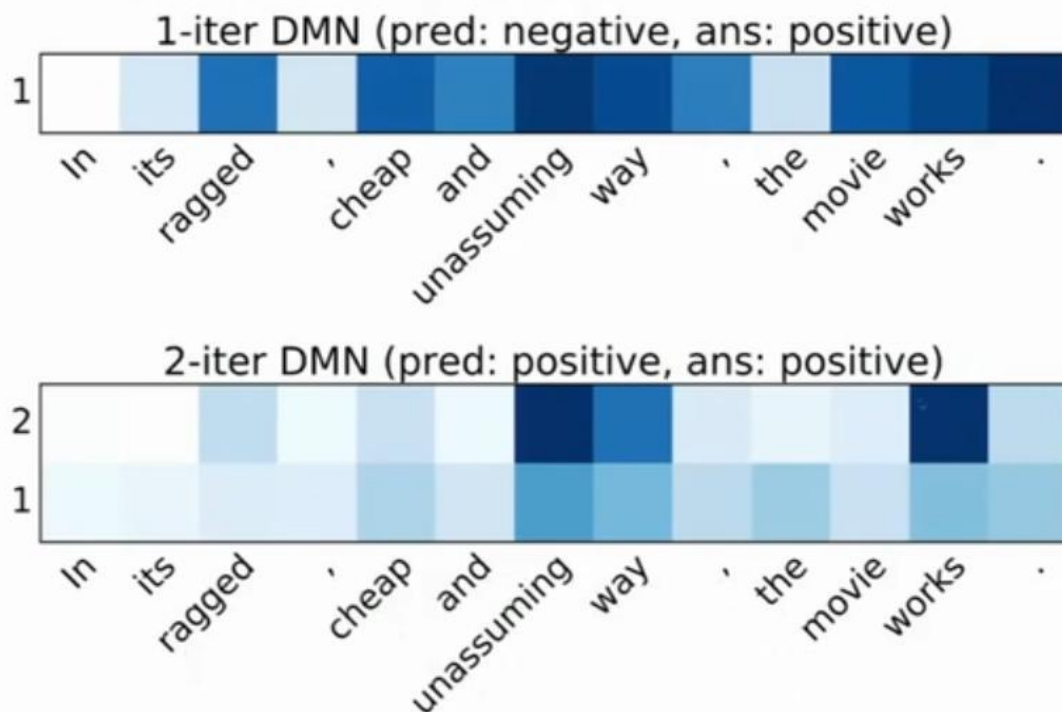
A woman is sitting at a table with a large pizza.



A man is talking on his cell phone while another man watches.

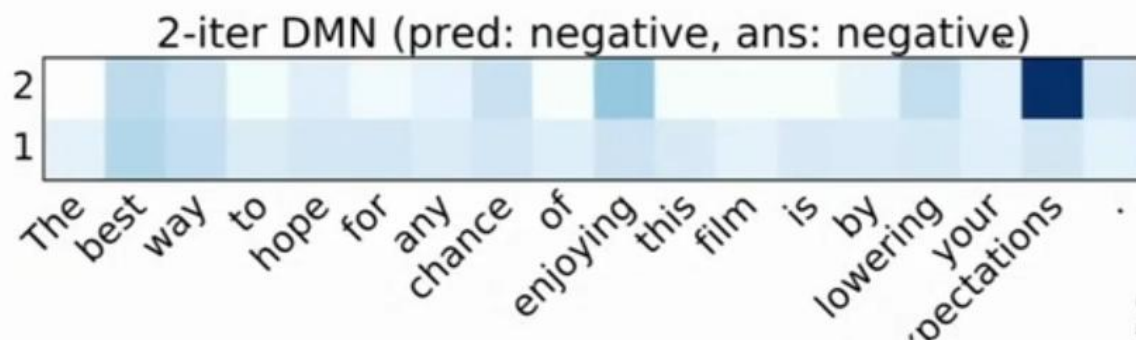
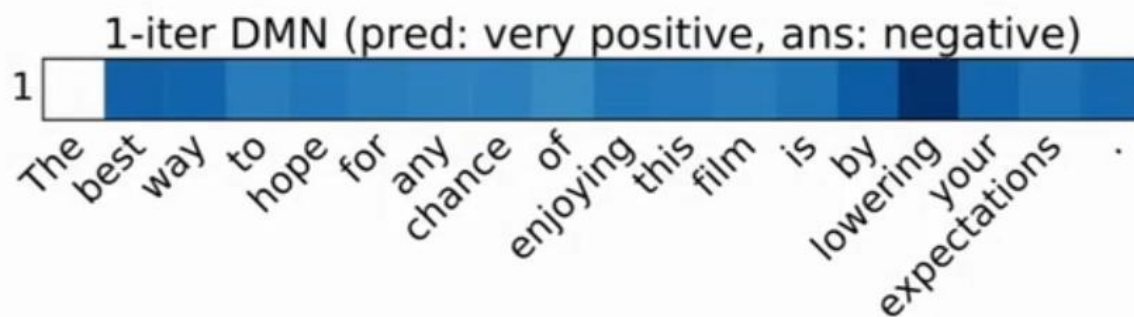
Analysis of Attention for Sentiment

- Sharper attention when 2 passes are allowed.
- Examples that are wrong with just one pass

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University

Leiphonefansub bilibili

Analysis of Attention for Sentiment

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13:14



61:34 / 78:28



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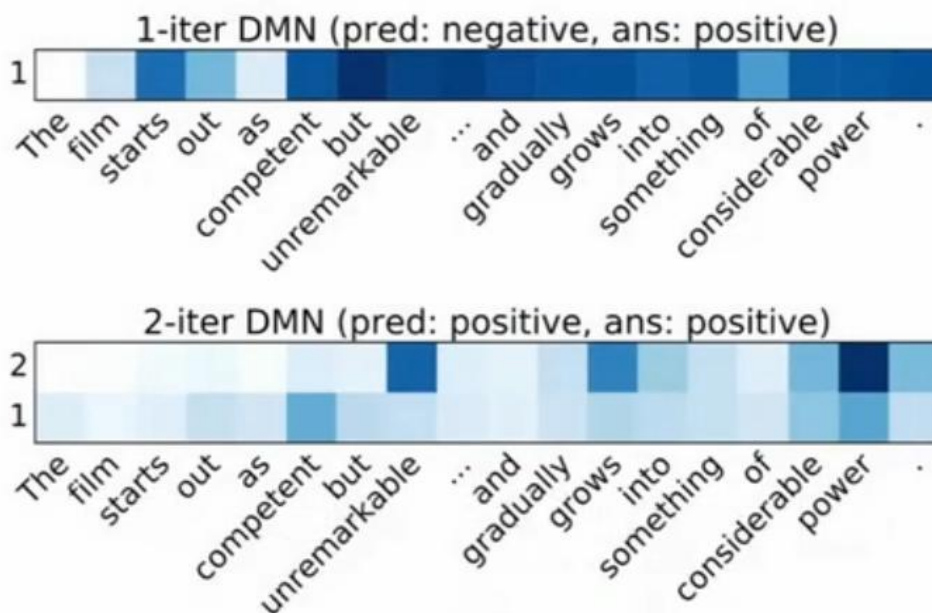
选集

倍速



Analysis of Attention for Sentiment

- Examples where full sentence context from first pass changes attention to words more relevant for final prediction



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