

Ophthotech Corp

CORTELLIS COMPANY DETAILED PIPELINE REPORT

A comprehensive coverage of the the company's drug pipeline portfolio including detailed product records.

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GLOSSARY

Number of Drugs in Active Development

Number of drugs associated with the company or subsidiary that are currently in active development, i.e. the development status for the drug(s) is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

Number of Inactive Drugs

Number of drugs associated with the company or subsidiary that are currently classified as inactive, i.e. where the development status for the drug(s) is one of the following: No Development Reported, Discontinued, or Withdrawn.

Number of Patents as Owner

Number of patents associated with the company where the company is listed as owner; i.e. the relationship type (or way the patent refers to the company) is: Patent Assignee/Owner, Patent owner (not assignee), Licensee for development and marketing, Licensee – marketing only (Distributor), Patent assignee of family member, Inferred assignee.

Number of Patents as Third Party

Number of patents associated with the company where the company is listed as third party; i.e. the relationship type (or way the patent refers to the company) is: Patent assignee (not owner), Ex-Licensee for development and marketing, Ex-Licensee marketing only (Distributor), Customer of technology, Ex-Customer of technology, Patent opponent or infringer, Affiliate organization of inventor, Owner of underlying technology.

Patents summary table

This table represents a summary of the core patent coverage for this company covering Therapeutic EP, US and WO patents since 1990 only.

Number of Deals

A count of deals where the company or one of its subsidiaries is the primary company.

Key Indications

Displays top ten key indications for the company and its subsidiaries based on frequency (indications occurring with high and identical frequency are always included, and this may result in more than ten Key Indications being listed). Includes both indications associated with patents where the company is patent owner and indications associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

Key Target-based Actions

Displays top ten key target-based actions for the company and its subsidiaries based on frequency (actions occurring with high and identical frequency are always included, and this may result in more than ten Key Target-based Actions being listed). Includes both target-based actions associated with patents where the company patent owner and target-based actions associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended. A target-based action is one that is associated with a target.

Key Technologies

Displays top ten key technologies for the company and its subsidiaries based on frequency (technologies occurring with high and identical frequency are always included, and this may result in more than ten Key Technologies being listed). Includes both key technologies associated with patents where the company relationship is patent owner and key technologies associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

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Ophthotech Corp

COMPANY OVERVIEW

| | |
|--|---|
| Company Name | Ophthotech Corp |
| Parent Company Name | Ophthotech Corp |
| Website | http://www.ophthotech.com/ |
| Country | US |
| Number of Drugs in Active Development | 2 |
| Number of Inactive Drugs | 2 |
| Number of Patents as Owner | 6 |
| Number of Patents as Third Party | 0 |
| Number of Deals | 6 |
| Key Indications | Age related macular degeneration, Retinopathy, Dry age related macular degeneration, Hippel Lindau syndrome, Wet age related macular degeneration, Diabetic retinopathy, Choroidal neovascularization, Ocular disease, Macular degeneration, Angiomas, Aortic aneurysm, Arterial occlusive disease, Neoplasm, Ocular neovascular disorder, Vascular disease, Venous occlusive disease |
| Key Target-based Actions | VEGF receptor antagonist, PDGF receptor antagonist, Integrin alpha-5/beta-1 antagonist, BTG2 gene inhibitor, PDGF-B ligand inhibitor, VEGF ligand inhibitor |
| Key Technologies | Drug combination, Ophthalmic formulation, Protein fusion, Antibody fragment, Cell delivery system, Chimeric monoclonal antibody, Directed prodrug therapy, Formulation preservation, Intravenous formulation, Monoclonal antibody, Peptide, Peptidomimetic, Polynucleotide sequence |

COMPANY PROFILE

SUMMARY

Ophthotech Corp develops therapies for back-of-the-eye diseases.

FINANCIAL

In February 2014, Ophthotech raised net proceeds of approximately \$55.5 million in a follow-on public offering of common stock.

In February 2014, Ophthotech commenced an underwritten public offering of 1,900,000 million shares. At that time, the company expected certain shareholders to grant the underwriters a 30-day option to buy up to 285,000 additional shares, the company would not receive any proceeds from the sale of shares by stockholders; later that month, the underwritten public offering of 2,285,714 shares were priced at \$31.50 per share and the underwriters were granted an option to buy up to 342,857 additional shares. Later that month, the public offering of 2,628,571 shares was closed. The underwriters fully exercised their 30-day option to purchase 342,857 additional shares.

In September 2013, Ophthotech announced the public offering of 7,600,000 shares of common stock priced at \$22.00 each. The underwriters were given a period of 30 days to purchase up to 1,140,000 additional shares of common stock at the public offering price, less the underwriting discount. Ophthotech's common stock has been approved for listing on the NASDAQ under the ticker symbol 'OPHT'. Later in September 2013, Ophthotech closed the IPO of 8,740,000 common stock shares, including full exercise of the underwriters' option. In November 2013, the company reported that it had raised \$192 million in the IPO and began trading from September 25, 2013.

In August 2013, Ophthotech filed a registration statement on Form S-1 with the US SEC for a proposed initial public offering of its common stock.

In May 2013, Ophthotech closed the first of three equal tranches of a \$175 million financing, which comprised \$125 million royalty funding and \$50 million Series C preferred stock financing from Novo A/S. In January 2014, the company received \$41.7 million in a second tranche. In February 2014, the company expected to receive \$41.7 million in a potential third tranche, based upon a further patient enrollment milestone.

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In December 2009, Ophthotech raised \$30 million from a series B financing.

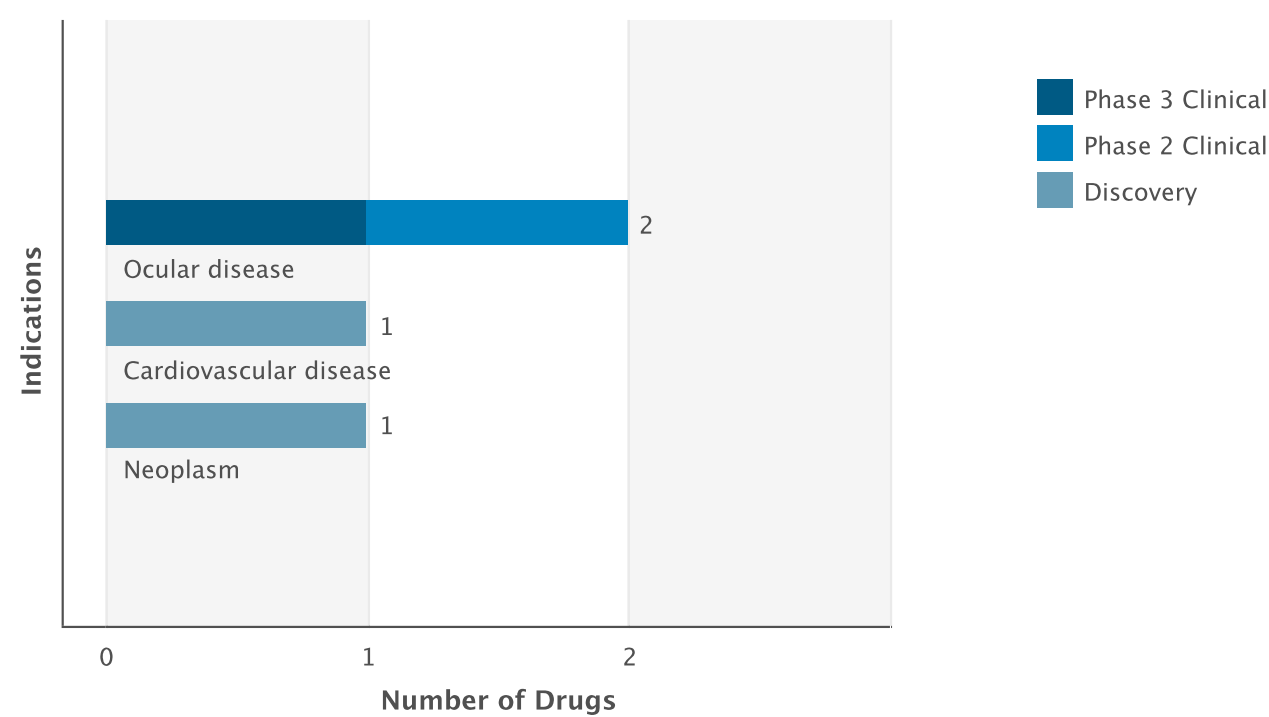
In August 2007, Ophthotech raised \$36 million from a series A financing.

PRODUCT PORTFOLIO SUMMARY

DRUGS

Drugs by Indication

Active Drugs by Indication Chart



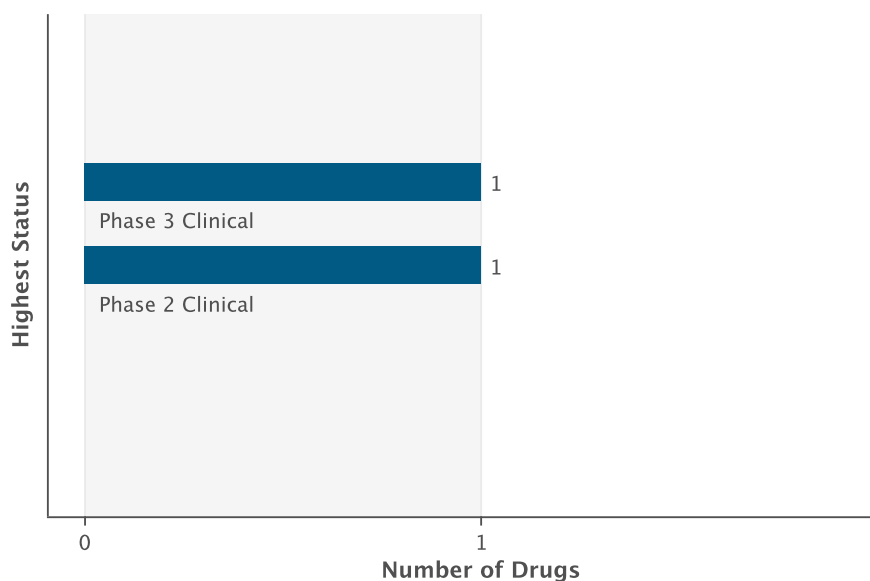
Drugs by Indication Table

| Indication | Active | Inactive | Total |
|------------------------|--------|----------|-------|
| Ocular disease | 2 | 2 | 4 |
| Cardiovascular disease | 1 | 0 | 1 |
| Neoplasm | 1 | 0 | 1 |

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Drugs by Highest Status

Active Drugs by Highest Status Chart



Drugs by Highest Status Table

| Development Status | Number of Drugs |
|-------------------------|-----------------|
| Phase 3 Clinical | 1 |
| Phase 2 Clinical | 1 |
| No Development Reported | 2 |

DEALS

| Deal Type | Principal | | Partner | | Total |
|--|-----------|----------|---------|----------|-------|
| | Active | Inactive | Active | Inactive | |
| Drug - Commercialization License | 1 | 0 | 0 | 0 | 1 |
| Technology - Other Proprietary | 0 | 0 | 1 | 0 | 1 |
| Drug - Development/Commercialization License | 0 | 0 | 4 | 0 | 4 |

CLINICAL TRIALS

Trials by Condition Studied

| Condition Studied | Ongoing | All |
|-------------------|---------|-----|
| Ocular disease | 3 | 8 |

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Trials by Phase

| Phase | Ongoing | All |
|---------|---------|-----|
| Phase 3 | 3 | 3 |
| Phase 2 | 0 | 1 |
| Phase 1 | 0 | 4 |

Phase Definitions

Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

Phase 1 Clinical

Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

PATENTS *

| Indication | As Owner | As Third Party | Total |
|------------------------|----------|----------------|-------|
| Cardiovascular disease | 5 | 0 | 5 |
| Genitourinary disease | 1 | 0 | 1 |
| Immune disorder | 1 | 0 | 1 |
| Neoplasm | 2 | 0 | 2 |
| Ocular disease | 5 | 0 | 5 |
| Neurological disease | 1 | 0 | 1 |
| Respiratory disease | 1 | 0 | 1 |
| Infectious disease | 1 | 0 | 1 |
| Inflammatory disease | 1 | 0 | 1 |
| Dermatological disease | 1 | 0 | 1 |

* This table represents a summary of the core patent coverage for this company covering Therapeutic EP, US and WO patents since 1990 only.

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PRODUCT PORTFOLIO DRUG PIPELINE DETAIL

PLEASE NOTE: Highest status refers to highest development of that drug for one of the active companies

Fovista

Fovista SNAPSHOT

| | |
|-----------------------------|---|
| Drug Name | Fovista |
| Key Synonyms | Fovista |
| Originator Company | Archemix Corp |
| Active Companies | Novartis AG;Ophthotech Corp |
| Inactive Companies | Eyetech Inc;Archemix Corp |
| Highest Status | Phase 3 Clinical |
| Active Indications | Wet age related macular degeneration;Retinopathy;Hippel Lindau syndrome |
| Target-based Actions | PDGF receptor antagonist;PDGF-B ligand inhibitor |
| Other Actions | Anticancer;Ophthalmological agent |
| Technologies | Oligonucleotide;PEGylated formulation;Ophthalmic formulation;Biological therapeutic |
| Last Change Date | 09-Jul-2014 |

Fovista DEVELOPMENT PROFILE

SUMMARY

Ophthotech, under license from (OSI) Eyetech and Archemix, is developing Fovista (formerly known as E-10030), a PEGylated aptamer directed against PDGF-B administered via intravitreal injection, for the potential treatment of neovascular age-related macular degeneration (AMD) or wet AMD, both as a single agent and in combination with pegaptanib. Ophthotech is also investigating the drug for the potential treatment of proliferative vitreoretinopathy and von Hippel-Lindau disease. In August 2013, a phase III trial was initiated in patients with wet AMD; later that month another phase III trial was initiated. In February 2014, a clinical trial was scheduled to be conducted in 2014 in von Hippel-Lindau disease patients. At that time, a clinical trial was planned to be initiated in 2015 in proliferative vitreoretinopathy. In January 2014, an IND was expected to be filed in 2016. In February 2014, an NDA filing was expected in 2016. In May 2014, Ophthotech and non-US marketing licensee, Novartis planned to seek regulatory approval outside the US.

Archemix and Eyetech were also investigating E-10030, but this collaboration was presumed to have been discontinued when Ophthotech acquired all rights to the drug from (OSI) Eyetech.

Fovista DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|-----------------|--------------------------------------|-----------|--------------------|-------------|
| Ophthotech Corp | Wet age related macular degeneration | Australia | Phase 3 Clinical | 31-Aug-2013 |

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| Company | Indication | Country | Development Status | Date |
|-----------------|--------------------------------------|---------------|--------------------|-------------|
| Ophthotech Corp | Wet age related macular degeneration | Canada | Phase 3 Clinical | 31-Aug-2013 |
| Ophthotech Corp | Wet age related macular degeneration | US | Phase 3 Clinical | 29-Aug-2013 |
| Ophthotech Corp | Wet age related macular degeneration | Europe | Phase 2 Clinical | 26-Apr-2010 |
| Ophthotech Corp | Wet age related macular degeneration | South America | Phase 2 Clinical | 26-Apr-2010 |
| Novartis AG | Wet age related macular degeneration | Switzerland | Discovery | 19-May-2014 |
| Ophthotech Corp | Hippel Lindau syndrome | US | Discovery | 27-Feb-2014 |
| Ophthotech Corp | Retinopathy | US | Discovery | 27-Feb-2014 |
| Archemix Corp | Age related macular degeneration | US | Discontinued | 27-Jul-2007 |
| Eyetech Inc | Age related macular degeneration | US | Discontinued | 27-Jul-2007 |

Fovista DRUG NAMES

| Names | Type |
|---|---------------|
| platelet-derived growth factor B antagonist, (macular degeneration), Eyetech/Archemix | |
| platelet-derived growth factor B antagonist, (macular degeneration), (OSI) Eyetech/Archemix | |
| E-10030 | Research Code |
| Fovista | Trade Name |
| PDGF-B antagonist (wet AMD), Ophthotech | |
| PDGF-B antagonist (AMD), Eyetech/Archemix | |
| PDGF-B antagonist (AMD), (OSI) Eyetech/Archemix | |

Fovista CLINICAL TRIALS

Trials by Phase and Condition Studied

| Phase 4 Clinical | | Phase 3 Clinical | | Phase 2 Clinical | | Phase 1 Clinical | | Phase Unspecified | | Total | |
|----------------------------------|-----|------------------|-----|------------------|-----|------------------|-----|-------------------|-----|----------|-----|
| On-going | All | On-going | All | On-going | All | On-going | All | On-going | All | On-going | All |
| Age related macular degeneration | | | | | | | | | | | |
| 0 | 0 | 2 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 3 |
| Choroidal neovascularization | | | | | | | | | | | |
| 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 |

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| Wet age related macular degeneration | | | | | | | | | | | |
|--------------------------------------|---|---|---|---|---|---|---|---|---|---|---|
| 0 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 2 |

Total Trials by Phase and Status

| Phase 4 Clinical | | Phase 3 Clinical | | Phase 2 Clinical | | Phase 1 Clinical | | Phase Unspecified | | Total | |
|---------------------------|-----|------------------|-----|------------------|-----|------------------|-----|-------------------|-----|----------|-----|
| On-going | All | On-going | All | On-going | All | On-going | All | On-going | All | On-going | All |
| Total by Phase and Status | | | | | | | | | | | |
| 0 | 0 | 3 | 3 | 0 | 1 | 0 | 1 | 0 | 0 | 3 | 5 |

Phase Definitions

Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

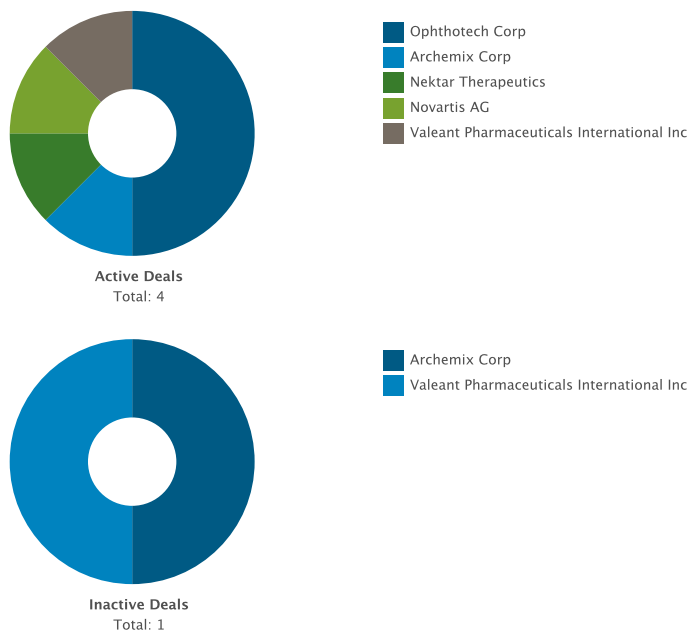
Phase 1 Clinical

Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

Fovista DEALS AND PATENTS

DEALS

Deals by Parent Company Chart

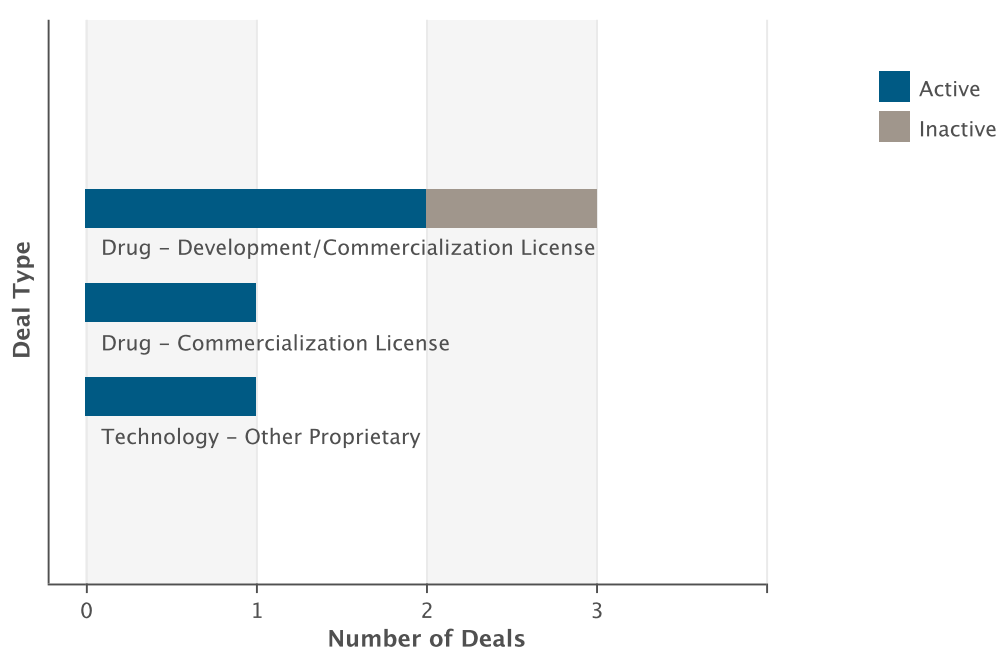


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Deals by Parent Company Table

| Company Name | Principal | | Partner | | Total |
|---|-----------|----------|---------|----------|-------|
| | Active | Inactive | Active | Inactive | |
| Ophthotech Corp | 1 | 0 | 3 | 0 | 4 |
| Valeant Pharmaceuticals International Inc | 1 | 0 | 0 | 1 | 2 |
| Archemix Corp | 1 | 1 | 0 | 0 | 2 |
| Novartis AG | 0 | 0 | 1 | 0 | 1 |
| Nektar Therapeutics | 1 | 0 | 0 | 0 | 1 |

Deals by Type Chart



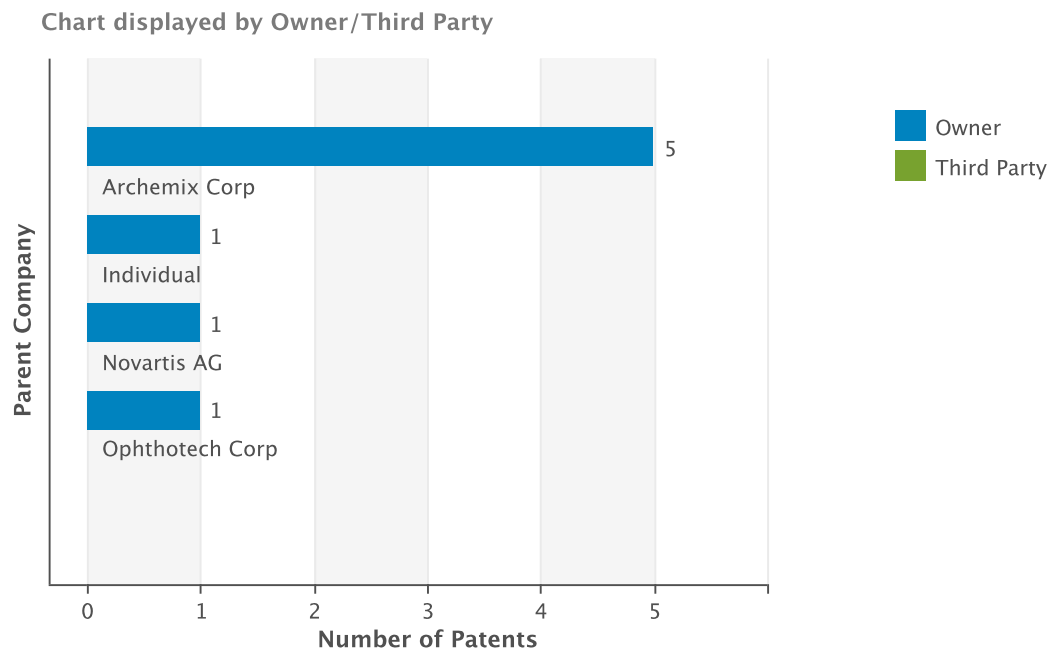
Deals by Type Table

| Deal Type | Active | Inactive | Total |
|--|--------|----------|-------|
| Drug - Development/Commercialization License | 2 | 1 | 3 |
| Drug - Commercialization License | 1 | 0 | 1 |
| Technology - Other Proprietary | 1 | 0 | 1 |

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PATENTS

Patents by Parent Company Chart

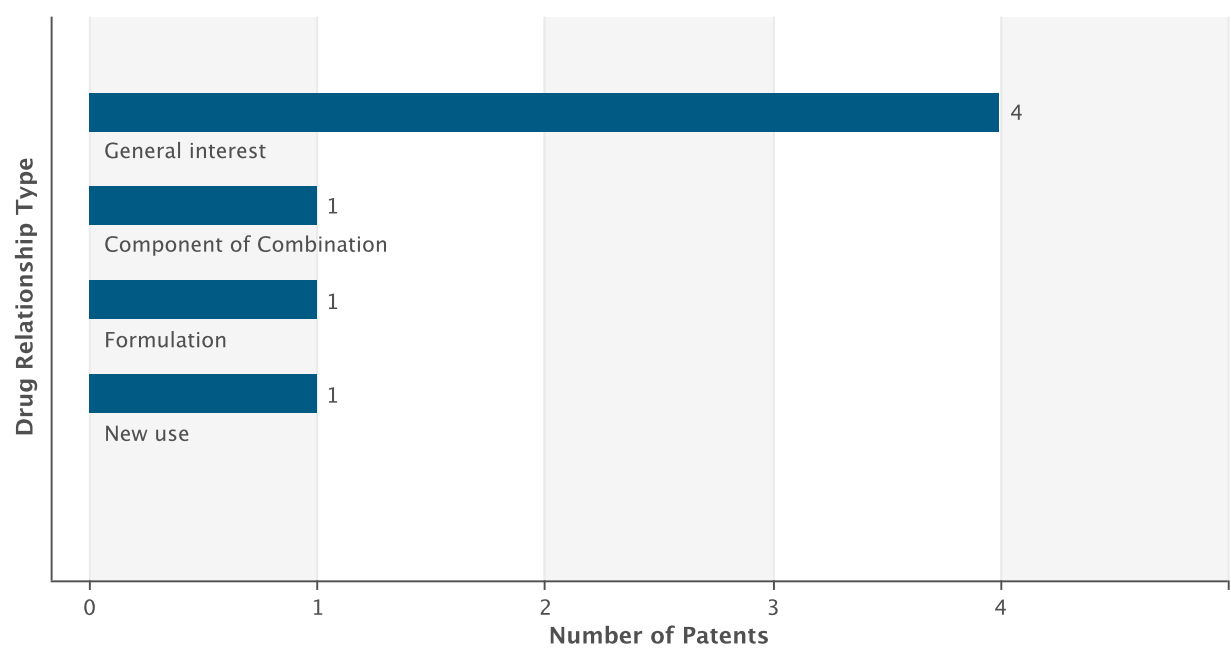


Patents by Parent Company Table

| Company Name | As Owner | As Third Party | Total |
|-----------------|----------|----------------|-------|
| Archemix Corp | 5 | 0 | 5 |
| Ophthotech Corp | 1 | 0 | 1 |
| Individual | 1 | 0 | 1 |
| Novartis AG | 1 | 0 | 1 |

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Patents by Drug Relationship Type Chart



Patents by Drug Relationship Type Table

| Drug Relationship | Total |
|--------------------------|-------|
| General interest | 4 |
| Component of Combination | 1 |
| Formulation | 1 |
| New use | 1 |

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ARC-1905

ARC-1905 SNAPSHOT

| | |
|----------------------|---|
| Drug Name | ARC-1905 |
| Key Synonyms | Zimura |
| Originator Company | Archemix Corp |
| Active Companies | Ophthotech Corp |
| Inactive Companies | Archemix Corp |
| Highest Status | Phase 2 Clinical |
| Active Indications | Age related macular degeneration;Dry age related macular degeneration |
| Target-based Actions | Complement C5a receptor antagonist |
| Other Actions | Complement cascade inhibitor |
| Technologies | PEGylated formulation;Ophthalmic formulation;Small molecule therapeutic |
| Last Change Date | 14-May-2014 |

ARC-1905 DEVELOPMENT PROFILE

SUMMARY

Ophthotech, under license from Archemix, is developing ARC-1905 (Zimura), a PEGylated anti-C5 aptamer, for the potential treatment of wet and dry age-related macular degeneration (AMD). By January 2014, a phase IIa study for dry AMD was complete and a phase II study for wet AMD was planned. In February 2014, a phase II trial for Zimura and Fovista in combination with anti-VEGF therapy for the treatment of anti-VEGF resistant wet AMD patients was scheduled to initiate in 2015. At that time, a phase II/III trial for the treatment of geographic atrophy, a severe form of dry AMD, was expected to begin in late 2014 or early 2015 ; in May 2014, the trial was expected to be initiated in 2015.

Ophthotech is also investigating ARC-186, an unPEGylated anti-C5 aptamer.

ARC-1905 DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|-----------------|--------------------------------------|---------|--------------------|-------------|
| Ophthotech Corp | Dry age related macular degeneration | US | Phase 2 Clinical | 13-Jan-2014 |
| Ophthotech Corp | Age related macular degeneration | US | Phase 1 Clinical | 27-Oct-2008 |
| Archemix Corp | Age related macular degeneration | US | Discontinued | 13-Aug-2007 |

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ARC-1905 DRUG NAMES

| Names | Type |
|---|---------------|
| ARC-1905 | Research Code |
| aptamer C5 inhibitors (age-related macular degeneration), Archemix/Ophthotech | |
| Zimura | Trade Name |

ARC-1905 CLINICAL TRIALS

Trials by Phase and Condition Studied

| Phase 4 Clinical | | Phase 3 Clinical | | Phase 2 Clinical | | Phase 1 Clinical | | Phase Unspecified | | Total | |
|----------------------------------|-----|------------------|-----|------------------|-----|------------------|-----|-------------------|-----|----------|-----|
| On-going | All | On-going | All | On-going | All | On-going | All | On-going | All | On-going | All |
| Age related macular degeneration | | | | | | | | | | | |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 2 |

Total Trials by Phase and Status

| Phase 4 Clinical | | Phase 3 Clinical | | Phase 2 Clinical | | Phase 1 Clinical | | Phase Unspecified | | Total | |
|---------------------------|-----|------------------|-----|------------------|-----|------------------|-----|-------------------|-----|----------|-----|
| On-going | All | On-going | All | On-going | All | On-going | All | On-going | All | On-going | All |
| Total by Phase and Status | | | | | | | | | | | |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 2 |

Phase Definitions

Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

Phase 1 Clinical

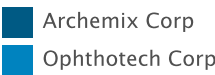
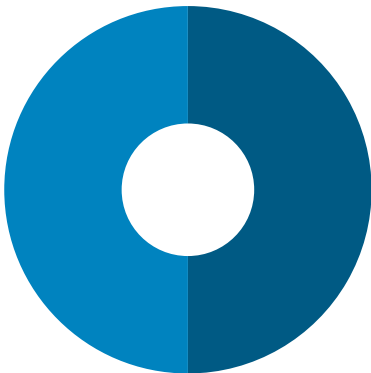
Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

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ARC-1905 DEALS AND PATENTS

DEALS

Deals by Parent Company Chart

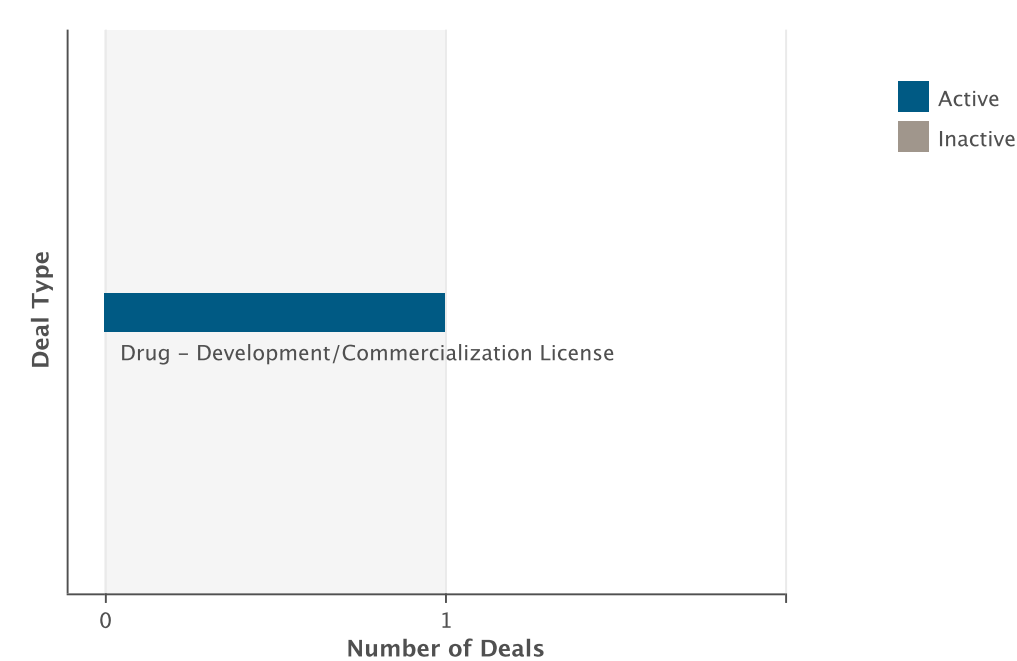


Deals by Parent Company Table

| Company Name | Principal | | Partner | | Total |
|-----------------|-----------|----------|---------|----------|-------|
| | Active | Inactive | Active | Inactive | |
| Ophthotech Corp | 0 | 0 | 1 | 0 | 1 |
| Archemix Corp | 1 | 0 | 0 | 0 | 1 |

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Deals by Type Chart



Deals by Type Table

| Deal Type | Active | Inactive | Total |
|--|--------|----------|-------|
| Drug - Development/Commercialization License | 1 | 0 | 1 |

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