

Pfenex Inc

CORTELLIS COMPANY DETAILED PIPELINE REPORT

A comprehensive coverage of the the company's drug pipeline portfolio including detailed product records.

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GLOSSARY

Number of Drugs in Active Development

Number of drugs associated with the company or subsidiary that are currently in active development, i.e. the development status for the drug(s) is one of the following: Discovery, Clinical, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

Number of Inactive Drugs

Number of drugs associated with the company or subsidiary that are currently classified as inactive, i.e. where the development status for the drug(s) is one of the following: No Development Reported, Discontinued, or Withdrawn.

Number of Patents as Owner

Number of patents associated with the company where the company is listed as owner; i.e. the relationship type (or way the patent refers to the company) is: Patent Assignee/Owner, Patent owner (not assignee), Licensee for development and marketing, Licensee – marketing only (Distributor), Patent assignee of family member, Inferred assignee.

Number of Patents as Third Party

Number of patents associated with the company where the company is listed as third party; i.e. the relationship type (or way the patent refers to the company) is: Patent assignee (not owner), Ex-Licensee for development and marketing, Ex-Licensee marketing only (Distributor), Customer of technology, Ex-Customer of technology, Patent opponent or infringer, Affiliate organization of inventor, Owner of underlying technology.

Patents summary table

This table represents a summary of the core patent coverage for this company covering Therapeutic EP, US and WO patents since 1990 only.

Number of Deals

A count of deals where the company or one of its subsidiaries is the primary company.

Key Indications

Displays top ten key indications for the company and its subsidiaries based on frequency (indications occurring with high and identical frequency are always included, and this may result in more than ten Key Indications being listed). Includes both indications associated with patents where the company is patent owner and indications associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

Key Target-based Actions

Displays top ten key target-based actions for the company and its subsidiaries based on frequency (actions occurring with high and identical frequency are always included, and this may result in more than ten Key Target-based Actions being listed). Includes both target-based actions associated with patents where the company patent owner and target-based actions associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended. A target-based action is one that is associated with a target.

Key Technologies

Displays top ten key technologies for the company and its subsidiaries based on frequency (technologies occurring with high and identical frequency are always included, and this may result in more than ten Key Technologies being listed). Includes both key technologies associated with patents where the company relationship is patent owner and key technologies associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

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Pfenex Inc

COMPANY OVERVIEW

| Company Name | Pfenex Inc |
|---------------------------------------|--|
| Parent Company Name | Pfenex Inc |
| Farent Company Name | FIGURA IIIC |
| Website | http://www.pfenex.com/ |
| Country | US |
| Number of Drugs in Active Development | 15 |
| Number of Inactive Drugs | 4 |
| Number of Patents as Owner | 5 |
| Number of Patents as Third Party | 0 |
| Number of Deals | 16 |
| Key Indications | Inflammatory disease,Bacillus anthracis infection,Neutropenia,Cancer,Growth hormone deficiency,Multiple sclerosis,Plasmodium falciparum infection,Age related macular degeneration,Metastatic breast cancer,Non-Hodgkin lymphoma,Plasmodium infection,Rheumatoid arthritis |
| Key Target-based Actions | GCSF receptor agonist,GCSF ligand,Interferon beta ligand,GP IIb IIIa antagonist,Growth hormone ligand,Interferon alpha 2 ligand modulator,Interferon receptor modulator,TNF alpha ligand inhibitor,TNF antagonist,VEGF-A ligand inhibitor |
| Key Technologies | Biological therapeutic,Protein recombinant,Biosimilar product,Parenteral formulation unspecified,Injectable formulation,Antibody fragment,Subcutaneous formulation,Antigen,Infusion,Intravenous formulation,Monoclonal antibody humanized,PEGylated formulation |

COMPANY PROFILE

SUMMARY

Pfenex Inc, headquartered in San Diego, CA is a leading biotechnology company focused on recombinant protein expression for a broad range of applications including therapeutic proteins, vaccines, research, reagents and biosimilars.

ACQUISITIONS AND SPINOFFS

In April 2013, Pfenex, established an unnamed joint venture with Strides Acrolab subsidiary, Agila Biotech, to develop biosimilar products at Agila's Malaysian manufacturing facility. In July 2014, Strides disclosed that R&D programs for the biosimilar products were on track but further delays were expected in the manufacturing facility setup in Malaysia.

FINANCIAL

In September 2014, the shares began trading on the Russell Microcap and the Russell Global Indices.

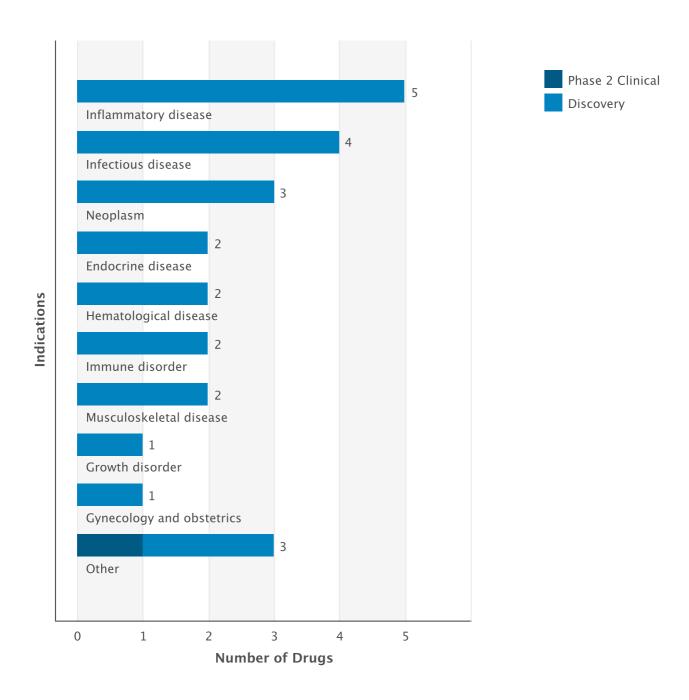
In June 2014, Pfenex planned an IPO of common stock; in July 2014, the company priced its IPO of 8,333,333 common stock shares at a price of \$6.00 per share to the public and at that time, the underwriters were granted a 30-day option to purchase up to 1,250,000 additional common stock shares at the same price. The shares had begun trading under the symbol "PFNX" on the NYSE MKT; later that month, the offering was closed.

PRODUCT PORTFOLIO SUMMARY

DRUGS

Drugs by Indication

Active Drugs by Indication Chart



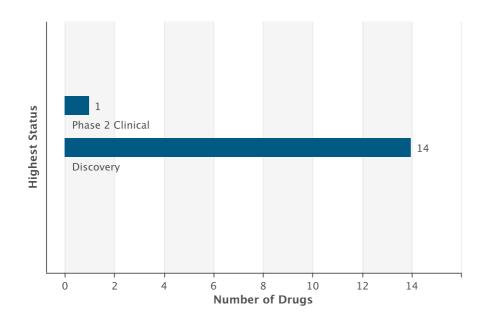


Drugs by Indication Table

| Indication | Active | Inactive | Total |
|---------------------------|--------|----------|-------|
| Inflammatory disease | 5 | 0 | 5 |
| Infectious disease | 4 | 1 | 5 |
| Neoplasm | 3 | 1 | 4 |
| Hematological disease | 2 | 2 | 4 |
| Musculoskeletal disease | 2 | 0 | 2 |
| Immune disorder | 2 | 0 | 2 |
| Endocrine disease | 2 | 0 | 2 |
| Metabolic disorder | 1 | 0 | 1 |
| Growth disorder | 1 | 0 | 1 |
| Neurological disease | 1 | 0 | 1 |
| Ocular disease | 1 | 0 | 1 |
| Respiratory disease | 0 | 1 | 1 |
| Cardiovascular disease | 0 | 1 | 1 |
| Gynecology and obstetrics | 1 | 0 | 1 |

Drugs by Highest Status

Active Drugs by Highest Status Chart





Drugs by Highest Status Table

| Development Status | Number of Drugs |
|-------------------------|-----------------|
| Phase 2 Clinical | 1 |
| Discovery | 14 |
| No Development Reported | 4 |

DEALS

| Deal Type | Prin | cipal | Par | tner | Total |
|--------------------------------|--------|----------|--------|----------|-------|
| | Active | Inactive | Active | Inactive | |
| Technology - Other Proprietary | 7 | 0 | 0 | 0 | 7 |
| Drug - Funding | 4 | 0 | 1 | 0 | 5 |
| Drug - Manufacturing/Supply | 0 | 0 | 3 | 0 | 3 |

CLINICAL TRIALS

Trials by Condition Studied

| Condition Studied | Ongoing | All |
|-------------------|---------|-----|
| Ocular disease | 1 | 2 |

Trials by Phase

| Phase | Ongoing | All |
|---------|---------|-----|
| Phase 1 | 1 | 2 |

Phase Definitions

Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

Phase 1 Clinical

Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

PATENTS *

| Indication | As Owner | As Third Party | Total |
|--------------------------|----------|----------------|-------|
| Cardiovascular disease | 2 | 0 | 2 |
| Endocrine disease | 6 | 0 | 6 |
| Gastrointestinal disease | 4 | 0 | 4 |



| Growth disorder | 2 | 0 | 2 |
|---------------------------|----|---|----|
| Hematological disease | 2 | 0 | 2 |
| Immune disorder | 5 | 0 | 5 |
| Musculoskeletal disease | 1 | 0 | 1 |
| Neoplasm | 8 | 0 | 8 |
| Genetic disorder | 1 | 0 | 1 |
| Metabolic disorder | 3 | 0 | 3 |
| Neurological disease | 4 | 0 | 4 |
| Respiratory disease | 3 | 0 | 3 |
| Infectious disease | 13 | 0 | 13 |
| Unidentified indication | 2 | 0 | 2 |
| Inflammatory disease | 4 | 0 | 4 |
| Gynecology and obstetrics | 1 | 0 | 1 |
| Dermatological disease | 2 | 0 | 2 |

^{*} This table represents a summary of the core patent coverage for this company covering Therapeutic EP, US and WO patents since 1990 only.

PRODUCT PORTFOLIO DRUG PIPELINE DETAIL

PLEASE NOTE: Highest status refers to highest development of that drug for one of the active companies

PF-582

PF-582 SNAPSHOT

| Drug Name | PF-582 |
|----------------------|--|
| Key Synonyms | ranibizumab |
| Originator Company | Pfenex Inc |
| Active Companies | Pfenex Inc |
| Inactive Companies | |
| Highest Status | Phase 2 Clinical |
| Active Indications | Age related macular degeneration |
| Target-based Actions | VEGF-A ligand inhibitor |
| Other Actions | Ocular antineovascularisation agent; Angiogenesis inhibitor |
| Technologies | Biosimilar product;Injectable formulation;Ophthalmic formulation;Biological therapeutic;Parenteral formulation unspecified;Protein recombinant;Monoclonal antibody humanized;Antibody fragment |
| Last Change Date | 01-Sep-2014 |

PF-582 DEVELOPMENT PROFILE

SUMMARY

Pfenex is investigating a PF-582, biosimilar version of ranibizumab, a humanized anti-VEGF-A mAb fragment, as an injectable formulation, for the potential treatment of age-related macular degeneration (AMD). In November 2013, a pilot, phase I/II study in AMD was initiated. In August 2014, a phase III trial was planned for mid 2015. In February 2013, the company was seeking to outlicense the biosimilar in Western markets.

PF-582 DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| CONTRACT DEVELOR MENT CIATOC | | | | |
|------------------------------|----------------------------------|-------------|---------------------------|-------------|
| Company | Indication | Country | Development Status | Date |
| Pfenex Inc | Age related macular degeneration | New Zealand | Phase 2 Clinical | 30-Nov-2013 |
| Pfenex Inc | Age related macular degeneration | US | Discovery | 21-Jun-2012 |



PF-582 DRUG NAMES

| Names | Туре |
|---|---------------|
| ranibizumab | INN, USAN |
| PF-582 | Research Code |
| ranibizumab biosimilar (age related macular degeneration), Pfenex | |
| ranibizumab biosimilar, Pfenex | |

PF-582 CLINICAL TRIALS

Trials by Phase and Condition Studied

| | se 4 lical | | se 3 nical | | se 2 nical | | se 1 nical | | ase ecified | То | tal |
|----------------------------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|----------------|--------------|-----|
| On- going | All | On- going | All | On- going | All | On- going | All | On- going | All | On- going | All |
| Age related macular degeneration | | | | | | | | | | | |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 |

Total Trials by Phase and Status

| | se 4 nical | | se 3 nical | | se 2 nical | | se 1 nical | | ase ecified | То | tal |
|--------------|---------------------------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|----------------|--------------|-----|
| On- going | All | On- going | All | On- going | All | On- going | All | On- going | All | On- going | All |
| Total by | Total by Phase and Status | | | | | | | | | | |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 |

Phase Definitions

Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

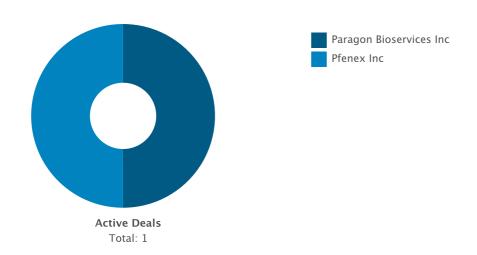
Phase 1 Clinical

Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

PF-582 DEALS AND PATENTS

DEALS

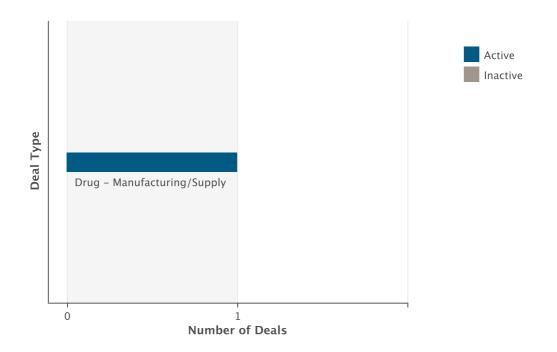
Deals by Parent Company Chart



Deals by Parent Company Table

| Company Name | Prin | cipal | Partner | | Total |
|-------------------------|--------|----------|---------|----------|-------|
| | Active | Inactive | Active | Inactive | |
| Pfenex Inc | 0 | 0 | 1 | 0 | 1 |
| Paragon Bioservices Inc | 1 | 0 | 0 | 0 | 1 |

Deals by Type Chart



Deals by Type Table

| Deal Type | Active | Inactive | Total |
|-----------------------------|--------|----------|-------|
| Drug - Manufacturing/Supply | 1 | 0 | 1 |

Px-533

Px-533 SNAPSHOT

| Drug Name | Px-533 |
|----------------------|--|
| Key Synonyms | |
| Originator Company | Pfenex Inc |
| Active Companies | National Institutes of Health; Science Applications International Corp; Pfenex Inc |
| Inactive Companies | |
| Highest Status | Discovery |
| Active Indications | Plasmodium falciparum infection |
| Target-based Actions | |
| Other Actions | Antiparasitic;Protein subunit vaccine |
| Technologies | Injectable formulation;Biological therapeutic;Parenteral formulation unspecified;Peptide |
| Last Change Date | 04-Dec-2013 |

Px-533 DEVELOPMENT PROFILE

SUMMARY

Pfenex in collaboration with NIH and SAIC is investigating Px-533, a Plasmodium falciparum antigen vaccine comprising recombinant circumsporozoite protein (CSP) antigens, using Pfenex's Expression technology which is a Pseudomonas fluorescens-based platform technology, as an injectable formulation, for the potential prevention or treatment of malaria,. In November 2011, the vaccine was listed as being in the preclinical development. By November 2013, preclinical studies had been completed and at that time, the company was seeking to outlicense the vaccine.

Px-533 DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|--|---------------------------------|---------|---------------------------|-------------|
| National Institutes of Health | Plasmodium falciparum infection | US | Discovery | 26-Jan-2011 |
| Pfenex Inc | Plasmodium falciparum infection | US | Discovery | 26-Jan-2011 |
| Science Applications International Corp | Plasmodium falciparum infection | US | Discovery | 26-Jan-2011 |

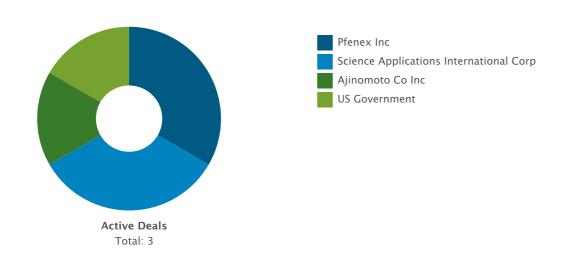


Px-533 DRUG NAMES

| Names | Туре |
|---|---------------|
| Plasmodium falciparum antigen vaccine (malaria), Pfenex/SAIC | |
| recombinant circumsporozoite protein antigen vaccine (malaria), Pfenex/SAIC | |
| Plasmodium falciparum antigen (circumsporozoite protein, malaria), Pfenex | |
| Px-533 | Research Code |

Px-533 DEALS AND PATENTS

DEALS Deals by Parent Company Chart

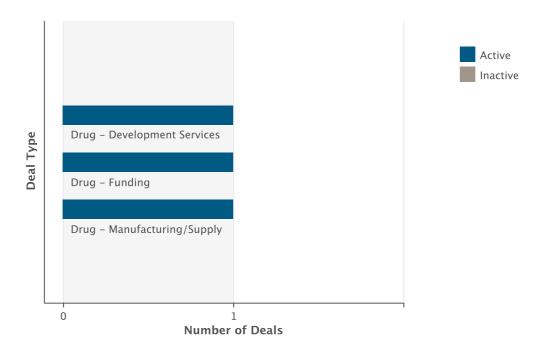


Deals by Parent Company Table

| Company Name | Prin Active | cipal Inactive | Par Active | tner Inactive | Total |
|---|-----------------------|--------------------------|---------------|------------------|-------|
| Science Applications International Corp | 1 | 0 | 1 | 0 | 2 |
| Pfenex Inc | 1 | 0 | 1 | 0 | 2 |
| US Government | 0 | 0 | 1 | 0 | 1 |
| Ajinomoto Co Inc | 1 | 0 | 0 | 0 | 1 |



Deals by Type Chart



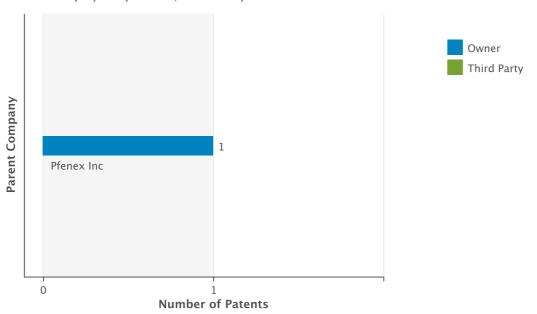
Deals by Type Table

| Deal Type | Active | Inactive | Total |
|-----------------------------|--------|----------|-------|
| Drug - Manufacturing/Supply | 1 | 0 | 1 |
| Drug - Funding | 1 | 0 | 1 |
| Drug - Development Services | 1 | 0 | 1 |

PATENTS

Patents by Parent Company Chart

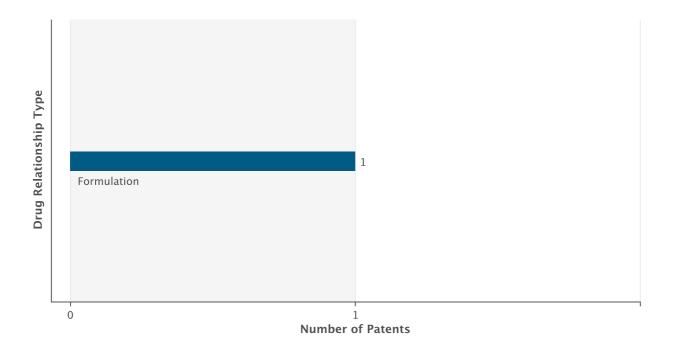
Chart displayed by Owner/Third Party



Patents by Parent Company Table

| Company Name | As Owner | As Third Party | Total |
|--------------|----------|----------------|-------|
| Pfenex Inc | 1 | 0 | 1 |

Patents by Drug Relationship Type Chart





Patents by Drug Relationship Type Table

| Drug Relationship | Total |
|-------------------|-------|
| Formulation | 1 |



GCSF biosimilar, Pfenex/Ranbaxy

GCSF biosimilar, Pfenex/Ranbaxy SNAPSHOT

| Drug Name | GCSF biosimilar, Pfenex/Ranbaxy |
|----------------------|---|
| Key Synonyms | |
| Originator Company | Pfenex Inc |
| Active Companies | Pfenex Inc;Ranbaxy Laboratories Ltd |
| Inactive Companies | |
| Highest Status | Discovery |
| Active Indications | Neutropenia |
| Target-based Actions | GCSF ligand;GCSF receptor agonist |
| Other Actions | Hematopoietic stimulant;Neutrophil stimulator |
| Technologies | Biosimilar product;Biological therapeutic;Subcutaneous formulation;Intravenous formulation;Infusion;Protein recombinant |
| Last Change Date | 04-Dec-2013 |

GCSF biosimilar, Pfenex/Ranbaxy DEVELOPMENT PROFILE

SUMMARY

Pfenex, presumed to be in collaboration with Ranbaxy, is investigating a biosimilar version of a recombinant methionyl, non-glycosylated form GCSF, for the potential treatment of neutropenia. In June 2012, the biosimilar was in preclinical development; in September 2013, development was ongoing. In July 2012, Pfenex was seeking to outlicense the biosimilar.

GCSF biosimilar, Pfenex/Ranbaxy DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|-----------------------------|-------------|---------|---------------------------|-------------|
| Pfenex Inc | Neutropenia | US | Discovery | 29-Mar-2010 |
| Ranbaxy Laboratories Ltd | Neutropenia | India | Discovery | 29-Mar-2010 |

GCSF biosimilar, Pfenex/Ranbaxy DRUG NAMES

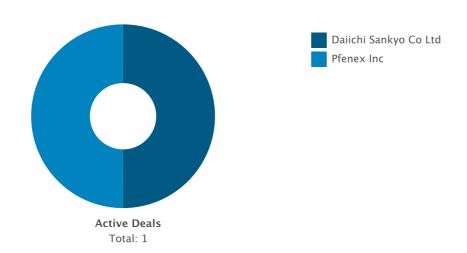
| Names | Туре |
|---------------------------------------|------|
| G-CSF | |
| granulocyte colony-stimulating factor | |
| GCSF biosimilar, Pfenex/Ranbaxy | |



GCSF biosimilar, Pfenex/Ranbaxy DEALS AND PATENTS

DEALS

Deals by Parent Company Chart



Deals by Parent Company Table

| Company Name | | cipal Inactive | | tner Inactive | Total |
|-----------------------|---|--------------------------|---|------------------|-------|
| Daiichi Sankyo Co Ltd | 0 | 0 | 1 | 0 | 1 |
| Pfenex Inc | 1 | 0 | 0 | 0 | 1 |

Deals by Type Chart



Deals by Type Table

| Deal Type | Active | Inactive | Total |
|--------------------------------|--------|----------|-------|
| Technology - Other Proprietary | 1 | 0 | 1 |

Px-563L

Px-563L SNAPSHOT

| Drug Name | Px-563L |
|----------------------|--|
| Key Synonyms | |
| Originator Company | Pfenex Inc |
| Active Companies | Pfenex Inc;US Health and Human Services Department |
| Inactive Companies | |
| Highest Status | Discovery |
| Active Indications | Bacillus anthracis infection |
| Target-based Actions | |
| Other Actions | Antibacterial;Recombinant bacterial vector vaccine |
| Technologies | Injectable formulation;Biological therapeutic;Parenteral formulation unspecified;Quick release formulation;Antigen |
| Last Change Date | 23-Dec-2014 |

Px-563L DEVELOPMENT PROFILE

SUMMARY

Pfenex, in collaboration with BARDA, is investigating Px-563L, a stable, fast acting, dose sparing vaccine containing an anthrax antigen, mutant recombinant protective antigen (mrPA)formulated in Immunovaccine's DepoVax liposome delivery platform, as a single dose vaccine, using Pfenex's Expression technology, which is a Pseudomonas fluorescens-based platform technology, as an injectable formulation, for the potential prevention or treatment of anthrax infection,.. In September 2013, the vaccine was listed as being in preclinical development. In April 2014, positive data were reported. In December 2014, an IND was filed.

Px-563L DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|--|------------------------------|---------|--------------------|-------------|
| Pfenex Inc | Bacillus anthracis infection | US | Discovery | 03-Aug-2010 |
| US Health and Human Services Department | Bacillus anthracis infection | US | Discovery | 03-Aug-2010 |

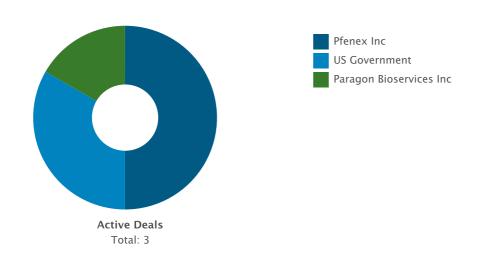
Px-563L DRUG NAMES

| Names | Туре |
|--|---------------|
| mrPA vaccine (DepoVax, anthrax), Pfenex | |
| recombinant protective antigen vaccine (anthrax infection), Pfenex/BARDA | |
| Px-563L | Research Code |
| rPA vaccine (anthrax), Pfenex/BARDA | |

Px-563L DEALS AND PATENTS

DEALS

Deals by Parent Company Chart

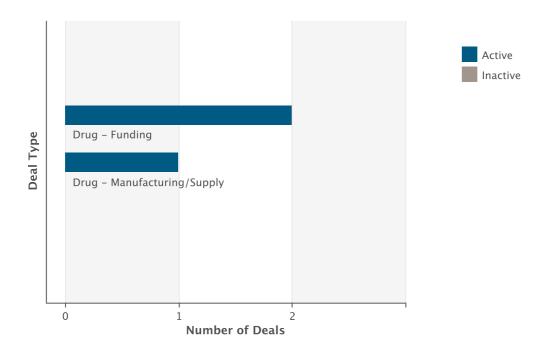


Deals by Parent Company Table

| Company Name | | cipal Inactive | | tner Inactive | Total |
|-------------------------|---|--------------------------|---|------------------|-------|
| Pfenex Inc | 2 | 0 | 1 | 0 | 3 |
| US Government | 0 | 0 | 2 | 0 | 2 |
| Paragon Bioservices Inc | 1 | 0 | 0 | 0 | 1 |



Deals by Type Chart



Deals by Type Table

| Deal Type | Active | Inactive | Total |
|-----------------------------|--------|----------|-------|
| Drug - Funding | 2 | 0 | 2 |
| Drug - Manufacturing/Supply | 1 | 0 | 1 |

PF-530

PF-530 SNAPSHOT

| Drug Name | PF-530 |
|----------------------|--|
| Key Synonyms | interferon beta-1b |
| Originator Company | Pfenex Inc |
| Active Companies | Stelis Biopharma Pvt Ltd;Pfenex/Stelis Joint Venture |
| Inactive Companies | Pfenex Inc |
| Highest Status | Discovery |
| Active Indications | Multiple sclerosis |
| Target-based Actions | Interferon receptor modulator;Interferon beta ligand |
| Other Actions | Immunomodulator |
| Technologies | Biosimilar product;Biological therapeutic;Subcutaneous formulation;Protein recombinant |
| Last Change Date | 22-Jan-2014 |

PF-530 DEVELOPMENT PROFILE

SUMMARY

Pfenex/Stelis (following Agila's name change), an unnamed joint venture created by Pfenex and Stelis (formerly Agila Biotech (Agila Specialties)), is investigating PF-530, a biosimilar version of Betaseron, an IFN beta-1b, for the potential treatment of multiple sclerosis,. In June 2012, the biosimilar was in preclinical development. In April 2013, phase I trials were expected to begin by Q4 2013.

PF-530 DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|--------------------------------|--------------------|----------|---------------------------|-------------|
| Pfenex/Stelis Joint Venture | Multiple sclerosis | Malaysia | Discovery | 16-Apr-2013 |
| Stelis Biopharma Pvt Ltd | Multiple sclerosis | Malaysia | Discovery | 16-Apr-2013 |
| Pfenex Inc | Multiple sclerosis | US | Discontinued | 16-Apr-2013 |

PF-530 DRUG NAMES

| Names | Туре |
|--|-----------------|
| interferon beta-1b biosimilar, Pfenex | |
| interferon beta-1b biosimilar, Pfenex/Stelis Joint Venture | |
| interferon beta-1b | BANN, INN, USAN |
| interferon beta-1b biosimilar, Pfenex/Agila Joint Venture | |
| PF-530 | Research Code |



somatropin biosimilar, Pfenex/Ranbaxy

somatropin biosimilar, Pfenex/Ranbaxy SNAPSHOT

| Drug Name | somatropin biosimilar, Pfenex/Ranbaxy |
|----------------------|--|
| Key Synonyms | somatropin |
| Originator Company | Pfenex Inc |
| Active Companies | Ranbaxy Laboratories Ltd;Pfenex Inc |
| Inactive Companies | |
| Highest Status | Discovery |
| Active Indications | Growth hormone deficiency |
| Target-based Actions | Growth hormone ligand |
| Other Actions | |
| Technologies | Biosimilar product;Biological therapeutic;Parenteral formulation unspecified;Protein recombinant |
| Last Change Date | 04-Dec-2013 |

somatropin biosimilar, Pfenex/Ranbaxy DEVELOPMENT PROFILE

SUMMARY

Pfenex, presumed to be in collaboration with Ranbaxy, is investigating a biosimilar version of the human growth hormone (HGH) ligand somatropin, presumably for the potential treatment of growth disorder. In September 2013, development was ongoing. In July 2012, the company was seeking to outlicense the biosimilar.

somatropin biosimilar, Pfenex/Ranbaxy DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|-----------------------------|---------------------------|---------|---------------------------|-------------|
| Pfenex Inc | Growth hormone deficiency | US | Discovery | 29-Mar-2010 |
| Ranbaxy Laboratories Ltd | Growth hormone deficiency | India | Discovery | 29-Mar-2010 |

somatropin biosimilar, Pfenex/Ranbaxy DRUG NAMES

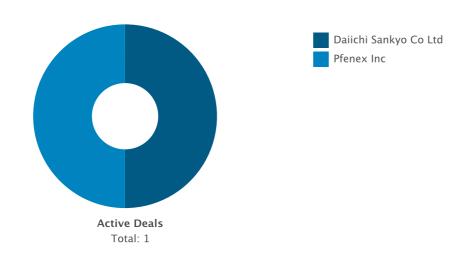
| Names | Туре |
|---|----------------|
| somatropin biosimilar, Pfenex/Ranbaxy | |
| somatropin | BAN, INN, USAN |
| human growth hormone biosimilar, Pfenex/Ranbaxy | |



somatropin biosimilar, Pfenex/Ranbaxy DEALS AND PATENTS

DEALS

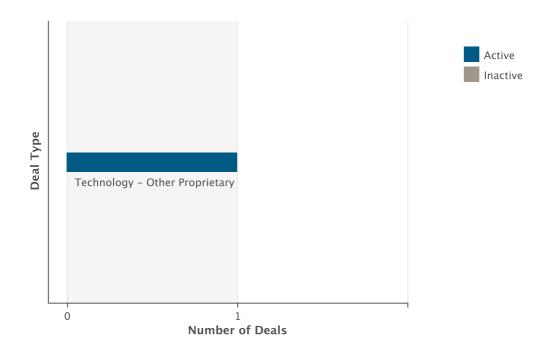
Deals by Parent Company Chart



Deals by Parent Company Table

| Company Name | | cipal Inactive | | tner Inactive | Total |
|-----------------------|---|--------------------------|---|------------------|-------|
| Pfenex Inc | 1 | 0 | 0 | 0 | 1 |
| Daiichi Sankyo Co Ltd | 0 | 0 | 1 | 0 | 1 |

Deals by Type Chart



Deals by Type Table

| Deal Type | Active | Inactive | Total |
|--------------------------------|--------|----------|-------|
| Technology - Other Proprietary | 1 | 0 | 1 |

undisclosed mAb biosimilar (non-Hodgkin's lymphoma/rheumatoid arthritis), Pfenex/Stelis

undisclosed mAb biosimilar (non-Hodgkin's lymphoma/rheumatoid arthritis), Pfenex/Stelis SNAPSHOT

| Drug Name | undisclosed mAb biosimilar (non-Hodgkin's lymphoma/rheumatoid arthritis), Pfenex/Stelis |
|----------------------|---|
| Key Synonyms | |
| Originator Company | Inbiopro Solutions Pvt Ltd |
| Active Companies | Pfenex/Stelis Joint Venture |
| Inactive Companies | Inbiopro Solutions Pvt Ltd;Stelis Biopharma Pvt Ltd |
| Highest Status | Discovery |
| Active Indications | Rheumatoid arthritis;Non-Hodgkin lymphoma |
| Target-based Actions | B-lymphocyte antigen CD20 inhibitor |
| Other Actions | Anticancer monoclonal antibody;Anti-inflammatory |
| Technologies | Biosimilar product;Biological therapeutic;Parenteral formulation unspecified;Protein recombinant;Chimeric monoclonal antibody |
| Last Change Date | 20-Sep-2014 |

undisclosed mAb biosimilar (non-Hodgkin's lymphoma/rheumatoid arthritis), Pfenex/Stelis DEVELOPMENT PROFILE

SUMMARY

Pfenex/Stelis (following Agila's name change), an unnamed joint venture created by Pfenex and Stelis (formerly Agila Biotech (Agila Specialties)), following the acquisition of Inbiopro, is investigating IBPM-001RX, a chimeric IgG1 kappa mAb targeting CD20 antigen and a biosimilar version of an undisclosed drug, for the potential treatment of non-Hodgkin's lymphoma and rheumatoid arthritis,,. By 2010, process development and toxicology studies had been completed. In August 2012, the drug was listed on Inbiopro's development pipeline ; in January 2014, this was still the case.

undisclosed mAb biosimilar (non-Hodgkin's lymphoma/rheumatoid arthritis), Pfenex/Stelis DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|--------------------------------|----------------------|---------|---------------------------|-------------|
| Pfenex/Stelis Joint Venture | Non-Hodgkin lymphoma | India | Discovery | 16-Apr-2013 |
| Pfenex/Stelis Joint Venture | Rheumatoid arthritis | India | Discovery | 16-Apr-2013 |
| Inbiopro Solutions Pvt Ltd | Non-Hodgkin lymphoma | India | Discontinued | 09-Dec-2010 |
| Inbiopro Solutions Pvt Ltd | Rheumatoid arthritis | India | Discontinued | 09-Dec-2010 |



undisclosed mAb biosimilar (non-Hodgkin's lymphoma/rheumatoid arthritis), Pfenex/Stelis DRUG NAMES

| Namas | Turo |
|---|---------------|
| Names | Туре |
| IBPM-001RX | Research Code |
| undisclosed mAb biosimilar (non-Hodgkin's lymphoma/rheumatoid arthritis), Agila | |
| undisclosed mAb biosimilar (non-Hodgkin's lymphoma/rheumatoid arthritis), Inbiopro | |
| undisclosed mAb biosimilar (non-Hodgkin's lymphoma/rheumatoid arthritis), Pfenex/Stelis | |
| undisclosed mAb biosimilar (non-Hodgkin's lymphoma/rheumatoid arthritis), Stelis | |
| unspecified biological active substance | |



undisclosed mAb biosimilar (inflammatory diseases), Pfenex/Stelis

undisclosed mAb biosimilar (inflammatory diseases), Pfenex/Stelis SNAPSHOT

| Drug Name | undisclosed mAb biosimilar (inflammatory diseases), Pfenex/Stelis |
|----------------------|--|
| Key Synonyms | |
| Originator Company | Inbiopro Solutions Pvt Ltd |
| Active Companies | Pfenex/Stelis Joint Venture |
| Inactive Companies | Inbiopro Solutions Pvt Ltd;Stelis Biopharma Pvt Ltd |
| Highest Status | Discovery |
| Active Indications | Inflammatory disease |
| Target-based Actions | TNF antagonist |
| Other Actions | Anti-inflammatory |
| Technologies | Biosimilar product;Biological therapeutic;Parenteral formulation unspecified;Protein recombinant;Monoclonal antibody human |
| Last Change Date | 20-Sep-2014 |

undisclosed mAb biosimilar (inflammatory diseases), Pfenex/Stelis DEVELOPMENT PROFILE

SUMMARY

Pfenex/Stelis (following Agila's name change), an unnamed joint venture created by Pfenex and Stelis (formerly Agila Biotech (Agila Specialties)), following the acquisition of Inbiopro, is investigating IBPM-004AM, a fully human mAb targeting TNF and a biosimilar version of an undisclosed drug, for the potential treatment of inflammatory diseases including rheumatoid arthritis, juvenile arthritis, ankylosing spondylitis, Crohn's disease and psoriatic arthritis,... In 2010, process development and toxicology studies had begun. In January 2014, IBPM-004AM was listed on the Inbiopro company's pipeline.

undisclosed mAb biosimilar (inflammatory diseases), Pfenex/Stelis DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|--------------------------------|----------------------|---------|---------------------------|-------------|
| Pfenex/Stelis Joint Venture | Inflammatory disease | India | Discovery | 16-Apr-2013 |
| Inbiopro Solutions Pvt Ltd | Inflammatory disease | India | Discontinued | 09-Dec-2010 |

undisclosed mAb biosimilar (inflammatory diseases), Pfenex/Stelis DRUG NAMES

| Names | Туре |
|---|---------------|
| undisclosed mAb biosimilar (inflammatory diseases), Stelis | |
| undisclosed mAb biosimilar (inflammatory diseases), Agila | |
| undisclosed mAb biosimilar (inflammatory diseases), Pfenex/Stelis | |
| undisclosed mAb biosimilar (inflammatory diseases), Inbiopro | |
| IBPM-004AM | Research Code |
| unspecified biological active substance | |



undisclosed humanized VmAb biosimilar (metastatic breast cancer), Pfenex/Stelis

undisclosed humanized VmAb biosimilar (metastatic breast cancer), Pfenex/Stelis SNAPSHOT

| Drug Name | undisclosed humanized VmAb biosimilar (metastatic breast cancer), Pfenex/Stelis |
|----------------------|--|
| Key Synonyms | |
| Originator Company | Inbiopro Solutions Pvt Ltd |
| Active Companies | Pfenex/Stelis Joint Venture |
| Inactive Companies | Inbiopro Solutions Pvt Ltd;Stelis Biopharma Pvt Ltd |
| Highest Status | Discovery |
| Active Indications | Metastatic breast cancer |
| Target-based Actions | Erbb2 tyrosine kinase receptor inhibitor |
| Other Actions | Anticancer monoclonal antibody |
| Technologies | Biosimilar product;Biological therapeutic;Parenteral formulation unspecified;Protein recombinant;Monoclonal antibody humanized |
| Last Change Date | 20-Sep-2014 |

undisclosed humanized VmAb biosimilar (metastatic breast cancer), Pfenex/Stelis DEVELOPMENT PROFILE

SUMMARY

Pfenex/Stelis (following Agila's name change), an unnamed joint venture created by Pfenex and Stelis (formerly Agila Biotech (Agila Specialties)), following the acquisition of Inbiopro, is investigating an angiogenesis inhibitor and Her-2/neu receptor expression down-regulator, IBPM-003TZ, a humanized anti-VmAb and a biosimilar version of an undisclosed drug, for the potential treatment of metastatic breast cancer ,.. In 2011, process development and toxicology studies had begun ; in January 2014, IBPM-003TZ was listed on the Inbiopro company's pipeline.

undisclosed humanized VmAb biosimilar (metastatic breast cancer), Pfenex/Stelis DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|--------------------------------|--------------------------|---------|---------------------------|-------------|
| Pfenex/Stelis Joint Venture | Metastatic breast cancer | India | Discovery | 16-Apr-2013 |
| Inbiopro Solutions Pvt Ltd | Metastatic breast cancer | India | Discontinued | 09-Dec-2010 |

undisclosed humanized VmAb biosimilar (metastatic breast cancer), Pfenex/Stelis DRUG NAMES

| Names | Туре |
|---|---------------|
| undisclosed humanized VmAb biosimilar (metastatic breast cancer), Pfenex/Stelis | |
| undisclosed humanized VmAb biosimilar (metastatic breast cancer), Inbiopro | |
| IBPM-003TZ | Research Code |
| undisclosed humanized VmAb biosimilar (metastatic breast cancer), Stelis | |
| unspecified biological active substance | |
| undisclosed humanized VmAb biosimilar (metastatic breast cancer), Agila | |



undisclosed mAb biosimilar (cancer), Pfenex/Stelis

undisclosed mAb biosimilar (cancer), Pfenex/Stelis SNAPSHOT

| Drug Name | undisclosed mAb biosimilar (cancer), Pfenex/Stelis |
|----------------------|--|
| Key Synonyms | |
| Originator Company | Inbiopro Solutions Pvt Ltd |
| Active Companies | Pfenex/Stelis Joint Venture |
| Inactive Companies | Inbiopro Solutions Pvt Ltd;Stelis Biopharma Pvt Ltd |
| Highest Status | Discovery |
| Active Indications | Cancer |
| Target-based Actions | Erbb2 tyrosine kinase receptor inhibitor |
| Other Actions | Anticancer protein kinase inhibitor;Anticancer monoclonal antibody |
| Technologies | Biosimilar product;Biological therapeutic;Parenteral formulation unspecified;Protein recombinant;Monoclonal antibody humanized |
| Last Change Date | 20-Sep-2014 |

undisclosed mAb biosimilar (cancer), Pfenex/Stelis DEVELOPMENT PROFILE

SUMMARY

Pfenex/Stelis (following Agila's name change), an unnamed joint venture created by Pfenex and Stelis (formerly Agila Biotech (Agila Specialties)), following the acquisition of Inbiopro, is investigating IBPM-002BZ, a humanized anti-Her-2/neu mAb and a biosimilar version of an undisclosed drug, for the potential treatment of cancer including metastatic colorectal cancer, small cell lung cancer and metastatic renal cell carcinoma ,.. In 2010, process development and toxicology studies had begun ; in 2012, development was ongoing . In January 2013, IBPM-002BZ was listed on the Inbiopro's company pipeline.

undisclosed mAb biosimilar (cancer), Pfenex/Stelis DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|--------------------------------|------------|---------|---------------------------|-------------|
| Pfenex/Stelis Joint Venture | Cancer | India | Discovery | 16-Apr-2013 |
| Inbiopro Solutions Pvt Ltd | Cancer | India | Discontinued | 09-Dec-2010 |

undisclosed mAb biosimilar (cancer), Pfenex/Stelis DRUG NAMES

| Names | Туре |
|--|---------------|
| undisclosed mAb biosimilar (cancer), Pfenex/Stelis | |
| IBPM-002BZ | Research Code |
| undisclosed mAb biosimilar (cancer), Inbiopro | |
| undisclosed mAb biosimilar (cancer), Stelis | |
| unspecified biological active substance | |
| undisclosed mAb biosimilar (cancer), Agila | |



undisclosed chimeric mAb biosimilar (inflammatory diseases), Pfenex/Stelis

undisclosed chimeric mAb biosimilar (inflammatory diseases), Pfenex/Stelis SNAPSHOT

| Drug Name | undisclosed chimeric mAb biosimilar (inflammatory diseases), Pfenex/Stelis |
|----------------------|---|
| Key Synonyms | |
| Originator Company | Inbiopro Solutions Pvt Ltd |
| Active Companies | Pfenex/Stelis Joint Venture |
| Inactive Companies | Inbiopro Solutions Pvt Ltd;Stelis Biopharma Pvt Ltd |
| Highest Status | Discovery |
| Active Indications | Inflammatory disease |
| Target-based Actions | TNF alpha ligand inhibitor |
| Other Actions | Anti-inflammatory |
| Technologies | Biosimilar product;Biological therapeutic;Parenteral formulation unspecified;Protein recombinant;Chimeric monoclonal antibody |
| Last Change Date | 20-Sep-2014 |

undisclosed chimeric mAb biosimilar (inflammatory diseases), Pfenex/Stelis DEVELOPMENT PROFILE

SUMMARY

Pfenex/Stelis (following Agila's name change), an unnamed joint venture created by Pfenex and Stelis (formerly Agila Biotech (Agila Specialties)), following the acquisition of Inbiopro, is investigating IBPM-005IX, a chimeric mAb targeting TNF-alpha and a biosimilar version of an undisclosed drug, for the potential treatment of inflammatory diseases including rheumatoid arthritis, juvenile arthritis, ankylosing spondylitis, Crohn's disease and psoriatic arthritis,.. In 2011, process development and toxicology studies had begun. In January 2013, IBPM-005IX was listed on the Inbiopro company's pipeline.

undisclosed chimeric mAb biosimilar (inflammatory diseases), Pfenex/Stelis DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|--------------------------------|----------------------|---------|---------------------------|-------------|
| Pfenex/Stelis Joint Venture | Inflammatory disease | India | Discovery | 16-Apr-2013 |
| Inbiopro Solutions Pvt Ltd | Inflammatory disease | India | Discontinued | 09-Dec-2010 |

undisclosed chimeric mAb biosimilar (inflammatory diseases), Pfenex/Stelis DRUG NAMES

| Names | Туре |
|--|---------------|
| undisclosed chimeric mAb biosimilar (inflammatory diseases), Agila | |
| IBPM-005IX | Research Code |
| undisclosed chimeric mAb biosimilar (inflammatory diseases), Pfenex/Stelis | |
| undisclosed chimeric mAb biosimilar (inflammatory diseases), Stelis | |
| unspecified biological active substance | |
| undisclosed chimeric mAb biosimilar (inflammatory diseases), Inbiopro | |



PRIMALVAC

PRIMALVAC SNAPSHOT

| Drug Name | PRIMALVAC |
|----------------------|---|
| Key Synonyms | |
| Originator Company | European Vaccine Initiative |
| Active Companies | INSERM;European Vaccine Initiative;Pfenex Inc |
| Inactive Companies | |
| Highest Status | Discovery |
| Active Indications | Plasmodium infection |
| Target-based Actions | |
| Other Actions | Protein subunit vaccine;Prophylactic vaccine |
| Technologies | Biological therapeutic;Protein recombinant |
| Last Change Date | 06-Feb-2013 |

PRIMALVAC DEVELOPMENT PROFILE

SUMMARY

European Vaccine Initiative, INSERM and Pfenex are investigating PRIMALVAC, a vaccine comprised of var2 chondroitin sulfate A (var2CSA), for the potential prevention of pregnancy related malaria. In January 2013, toxicity studies data were expected in the second quarter of 2014. At that time, a phase I trial was expected to begin in fourth quarter of 2014.

PRIMALVAC DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|-----------------------------|----------------------|---------|---------------------------|-------------|
| European Vaccine Initiative | Plasmodium infection | Germany | Discovery | 30-Sep-2011 |
| INSERM | Plasmodium infection | France | Discovery | 30-Sep-2011 |
| Pfenex Inc | Plasmodium infection | US | Discovery | 30-Sep-2011 |

PRIMALVAC DRUG NAMES

| Names | Туре |
|-----------|------|
| PRIMALVAC | |
| | |



rPA vaccine (solid, anthrax), Pfenex / Glide

rPA vaccine (solid, anthrax), Pfenex / Glide SNAPSHOT

| Drug Name | rPA vaccine (solid, anthrax), Pfenex / Glide |
|----------------------|---|
| Key Synonyms | |
| Originator Company | Pfenex Inc |
| Active Companies | Pfenex Inc;Glide Pharma |
| Inactive Companies | |
| Highest Status | Discovery |
| Active Indications | Bacillus anthracis infection |
| Target-based Actions | |
| Other Actions | Recombinant bacterial vector vaccine;Antibacterial |
| Technologies | Biological therapeutic;Parenteral formulation unspecified;Formulation solid;Antigen |
| Last Change Date | 01-May-2013 |

rPA vaccine (solid, anthrax), Pfenex / Glide DEVELOPMENT PROFILE

SUMMARY

Pfenex and Glide Pharma are investigating a solid formulation of Pfenex's recombinant protective antigen (rPA) vaccine, using Pfenex's Expression technology, which is a Pseudomonas fluorescence-based platform technology, for the potential prevention or treatment of anthrax infection.

rPA vaccine (solid, anthrax), Pfenex / Glide DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|--------------|------------------------------|---------|---------------------------|-------------|
| Glide Pharma | Bacillus anthracis infection | UK | Discovery | 30-Apr-2013 |
| Pfenex Inc | Bacillus anthracis infection | US | Discovery | 30-Apr-2013 |

rPA vaccine (solid, anthrax), Pfenex / Glide DRUG NAMES

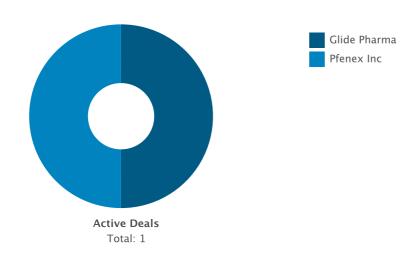
| Names | Туре |
|---|------|
| recombinant protective antigen vaccine (solid, anthrax infection), Pfenex / Glide | |
| rPA vaccine (solid, anthrax), Pfenex / Glide | |



rPA vaccine (solid, anthrax), Pfenex / Glide DEALS AND PATENTS

DEALS

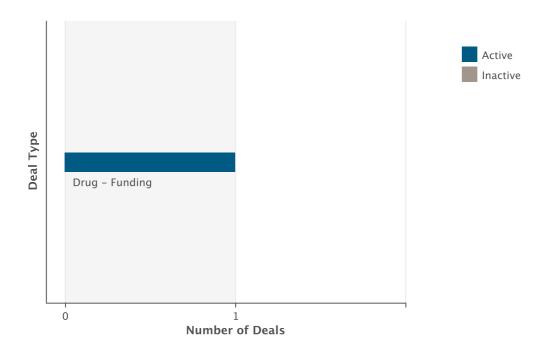
Deals by Parent Company Chart



Deals by Parent Company Table

| Company Name | | cipal Inactive | | tner Inactive | Total |
|--------------|---|--------------------------|---|------------------|-------|
| Glide Pharma | 1 | 0 | 0 | 0 | 1 |
| Pfenex Inc | 0 | 0 | 1 | 0 | 1 |

Deals by Type Chart



Deals by Type Table

| Deal Type | Active | Inactive | Total |
|----------------|--------|----------|-------|
| Drug - Funding | 1 | 0 | 1 |

IFN alpha 2b biosimilar, Pfenex

IFN alpha 2b biosimilar, Pfenex SNAPSHOT

| Drug Name | IFN alpha 2b biosimilar, Pfenex |
|----------------------|---|
| Key Synonyms | interferon alfa-2b |
| Originator Company | Pfenex Inc |
| Active Companies | Pfenex Inc |
| Inactive Companies | |
| Highest Status | Discovery |
| Active Indications | Inflammatory disease |
| Target-based Actions | Interferon alpha 2 ligand modulator |
| Other Actions | Anti-inflammatory |
| Technologies | Biosimilar product;Biological therapeutic;Protein recombinant |
| Last Change Date | 24-Jul-2014 |

IFN alpha 2b biosimilar, Pfenex DEVELOPMENT PROFILE

SUMMARY

Pfenex is investigating a biosimilar version of IFN alpha 2b for the potential treatment of inflammatory diseases. By February 2013, investigations were ongoing.

IFN alpha 2b biosimilar, Pfenex DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|------------|----------------------|---------|---------------------------|-------------|
| Pfenex Inc | Inflammatory disease | US | Discovery | 26-Feb-2013 |
| | | | | |

IFN alpha 2b biosimilar, Pfenex DRUG NAMES

| Names | Туре |
|---------------------------------|-----------|
| interferon alfa-2b | INN, USAN |
| IFN alpha 2b biosimilar, Pfenex | |



PF-688

PF-688 SNAPSHOT

| Drug Name | PF-688 |
|----------------------|---|
| Key Synonyms | certolizumab pegol |
| Originator Company | Pfenex Inc |
| Active Companies | Pfenex Inc |
| Inactive Companies | |
| Highest Status | Discovery |
| Active Indications | Inflammatory disease |
| Target-based Actions | TNF antagonist;TNF alpha ligand inhibitor |
| Other Actions | Anti-inflammatory;TNF binding agent |
| Technologies | Biosimilar product;Injectable formulation;PEGylated formulation;Biological therapeutic;Parenteral formulation unspecified;Protein recombinant;Monoclonal antibody humanized;Antibody fragment |
| Last Change Date | 04-Dec-2013 |

PF-688 DEVELOPMENT PROFILE

SUMMARY

Pfenex is investigating PF-688, a biosimilar version of certolizumab pegol, an anti-TNF-alpha humanized monoclonal antibody fragment, as an injectable formulation, for the potential use in the treatment of inflammatory diseases including Crohn's disease. In November 2013, the biosimilar was listed as being in preclinical development and at that time, the company was seeking to outlicense the biosimilar.

PF-688 DEVELOPMENT STATUS

CURRENT DEVELOPMENT STATUS

| Company | Indication | Country | Development Status | Date |
|------------|----------------------|---------|---------------------------|-------------|
| Pfenex Inc | Inflammatory disease | US | Discovery | 26-Mar-2013 |

PF-688 DRUG NAMES

| Names | Туре |
|---------------------------------------|---------------|
| certolizumab pegol | INN |
| certolizumab pegol biosimilar, Pfenex | |
| PF-688 | Research Code |



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