

Pfenex Inc

COMPANY AND PIPELINE OVERVIEW REPORT

Coverage of the company and a summary of the drug pipeline portfolio.

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GLOSSARY

Number of Drugs in Active Development

Number of drugs associated with the company or subsidiary that are currently in active development, i.e. the development status for the drug(s) is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

Number of Inactive Drugs

Number of drugs associated with the company or subsidiary that are currently classified as inactive, i.e. where the development status for the drug(s) is one of the following: No Development Reported, Discontinued, or Withdrawn.

Number of Patents as Owner

Number of patents associated with the company where the company is listed as owner; i.e. the relationship type (or way the patent refers to the company) is: Patent Assignee/Owner, Patent owner (not assignee), Licensee for development and marketing, Licensee – marketing only (Distributor), Patent assignee of family member, Inferred assignee.

Number of Patents as Third Party

Number of patents associated with the company where the company is listed as third party; i.e. the relationship type (or way the patent refers to the company) is: Patent assignee (not owner), Ex-Licensee for development and marketing, Ex-Licensee marketing only (Distributor), Customer of technology, Ex-Customer of technology, Patent opponent or infringer, Affiliate organization of inventor, Owner of underlying technology.

Patents summary table

This table represents a summary of the core patent coverage for this company covering Therapeutic EP, US and WO patents since 1990 only.

Number of Deals

A count of deals where the company or one of its subsidiaries is the primary company.

Key Indications

Displays top ten key indications for the company and its subsidiaries based on frequency (indications occurring with high and identical frequency are always included, and this may result in more than ten Key Indications being listed). Includes both indications associated with patents where the company is patent owner and indications associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

Key Target-based Actions

Displays top ten key target-based actions for the company and its subsidiaries based on frequency (actions occurring with high and identical frequency are always included, and this may result in more than ten Key Target-based Actions being listed). Includes both target-based actions associated with patents where the company patent owner and target-based actions associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended. A target-based action is one that is associated with a target.

Key Technologies

Displays top ten key technologies for the company and its subsidiaries based on frequency (technologies occurring with high and identical frequency are always included, and this may result in more than ten Key Technologies being listed). Includes both key technologies associated with patents where the company relationship is patent owner and key technologies associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

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Pfenex Inc

COMPANY OVERVIEW

Company Name	Pfenex Inc
Parent Company Name	Pfenex Inc
Website	http://www.pfenex.com/
Country	US
Number of Drugs in Active Development	13
Number of Inactive Drugs	1
Number of Patents as Owner	5
Number of Patents as Third Party	0
Number of Deals	14
Key Indications	Bacillus anthracis infection, Inflammatory disease, Neutropenia, Cancer, Multiple sclerosis, Plasmodium falciparum infection, Age related macular degeneration, Coronary thrombosis, Growth hormone deficiency, Influenza virus infection, Plasmodium infection
Key Target-based Actions	GCSF receptor agonist, GCSF ligand, Interferon beta ligand, GP IIb IIIa antagonist, Growth hormone ligand, Interferon alpha 2 ligand modulator, Interferon receptor modulator, TNF alpha ligand inhibitor, TNF antagonist, VEGF-A ligand inhibitor
Key Technologies	Biological therapeutic, Protein recombinant, Biosimilar product, Parenteral formulation unspecified, Injectable formulation, Antibody fragment, Subcutaneous formulation, Infusion, Intravenous formulation, Monoclonal antibody humanized, PEGylated formulation

COMPANY PROFILE

SUMMARY

Pfenex Inc, headquartered in San Diego, CA is a leading biotechnology company focused on recombinant protein expression for a broad range of applications including therapeutic proteins, vaccines, research, reagents and biosimilars.

ACQUISITIONS AND SPINOFFS

In April 2013, Pfenex, established an unnamed joint venture with Strides Acrolab subsidiary, Agila Biotech, to develop biosimilar products at Agila's Malaysian manufacturing facility.

SUBSIDIARY COMPANIES

Names
Pfenex/Stelis Joint Venture

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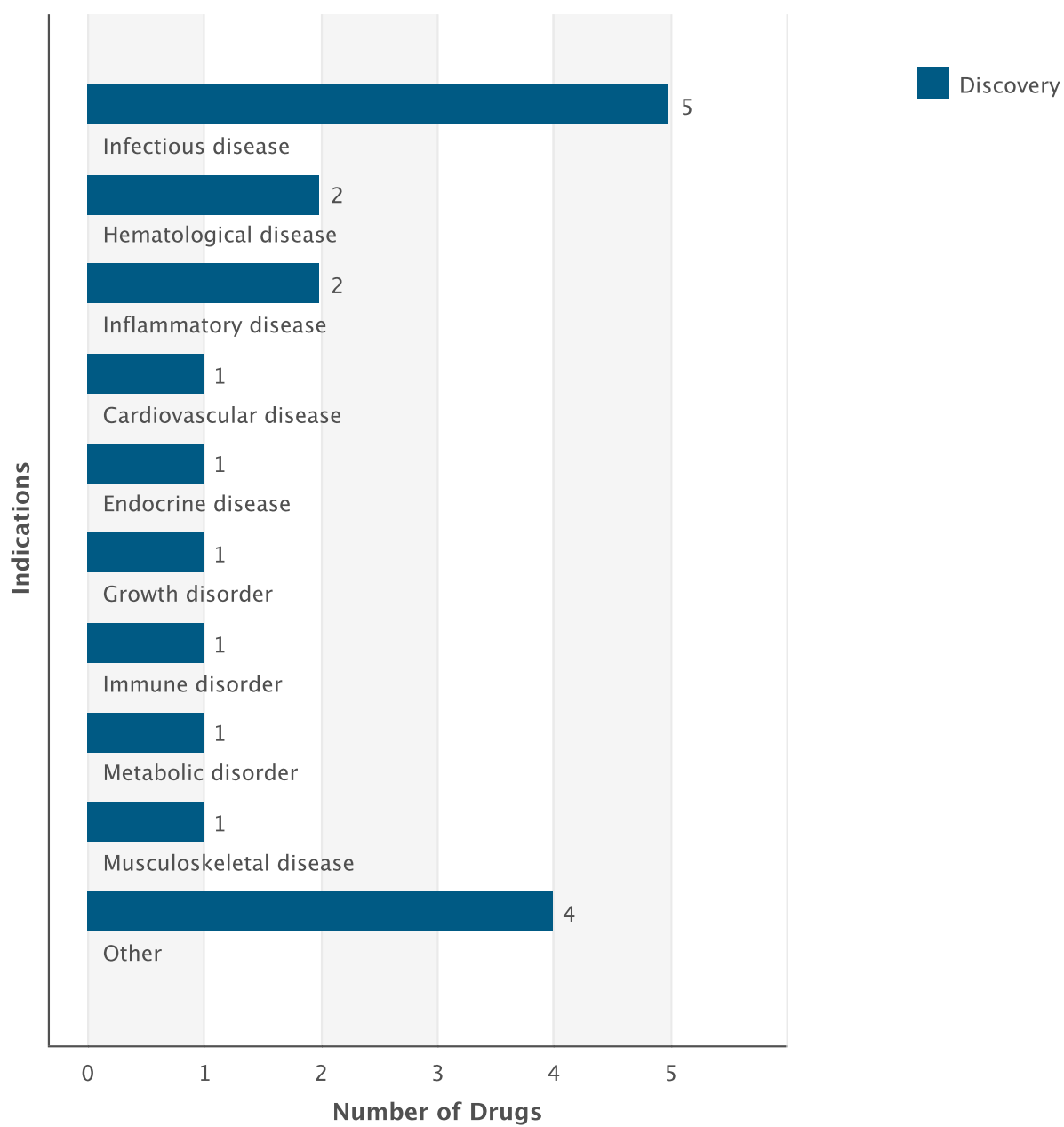


PRODUCT PORTFOLIO SUMMARY

DRUGS

Drugs by Indication

Active Drugs by Indication Chart



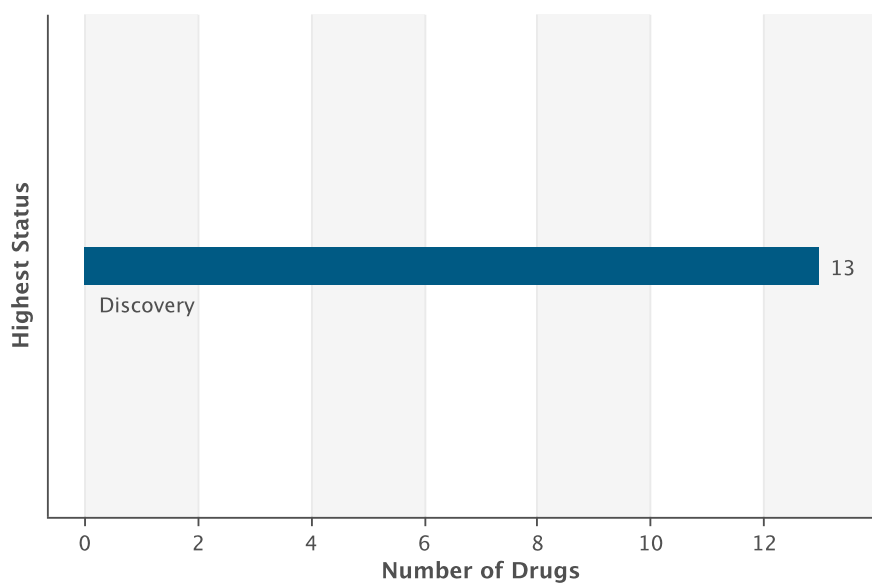
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Drugs by Indication Table

Indication	Active	Inactive	Total
Infectious disease	5	0	5
Hematological disease	2	1	3
Inflammatory disease	2	0	2
Musculoskeletal disease	1	0	1
Ocular disease	1	0	1
Cardiovascular disease	1	0	1
Metabolic disorder	1	0	1
Neurological disease	1	0	1
Respiratory disease	1	0	1
Immune disorder	1	0	1
Growth disorder	1	0	1
Endocrine disease	1	0	1
Neoplasm	1	0	1

Drugs by Highest Status

Active Drugs by Highest Status Chart



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Drugs by Highest Status Table

Development Status	Number of Drugs
Discovery	13
No Development Reported	1

DEALS

Deal Type	Principal		Partner		Total
	Active	Inactive	Active	Inactive	
Technology - Other Proprietary	6	0	0	0	6
Drug - Funding	4	0	1	0	5
Drug - Manufacturing/Supply	0	0	2	0	2

CLINICAL TRIALS

Trials by Condition Studied

Condition Studied	Ongoing	All
Ocular disease	0	1

Trials by Phase

Phase	Ongoing	All
Phase 1	0	1

Phase Definitions

Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

Phase 1 Clinical

Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

PATENTS *

Indication	As Owner	As Third Party	Total
Cardiovascular disease	2	0	2
Endocrine disease	6	0	6
Gastrointestinal disease	4	0	4
Growth disorder	2	0	2

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Hematological disease	2	0	2
Immune disorder	5	0	5
Musculoskeletal disease	1	0	1
Neoplasm	8	0	8
Genetic disorder	1	0	1
Metabolic disorder	3	0	3
Neurological disease	4	0	4
Respiratory disease	3	0	3
Infectious disease	13	0	13
Unidentified indication	2	0	2
Inflammatory disease	4	0	4
Gynecology and obstetrics	1	0	1
Dermatological disease	2	0	2

* This table represents a summary of the core patent coverage for this company covering Therapeutic EP, US and WO patents since 1990 only.

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PRODUCT PORTFOLIO DRUGS

PLEASE NOTE: Highest status refers to highest development of that drug for one of the active companies

Px-563L

Drug Name	Px-563L
Key Synonyms	
Originator Company	Pfenex Inc
Active Companies	Pfenex Inc, US Health and Human Services Department
Inactive Companies	
Highest Status	Discovery
Active Indications	Bacillus anthracis infection
Target-based Actions	
Other Actions	Antibacterial, Recombinant bacterial vector vaccine
Technologies	Injectable formulation, Biological therapeutic, Parenteral formulation unspecified
Last Change Date	04-Dec-2013

Px-533

Drug Name	Px-533
Key Synonyms	
Originator Company	Pfenex Inc
Active Companies	National Institutes of Health, Pfenex Inc, Science Applications International Corp
Inactive Companies	
Highest Status	Discovery
Active Indications	Plasmodium falciparum infection
Target-based Actions	
Other Actions	Antiparasitic, Protein subunit vaccine
Technologies	Injectable formulation, Biological therapeutic, Parenteral formulation unspecified, Peptide
Last Change Date	04-Dec-2013

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PF-530

Drug Name	PF-530
Key Synonyms	interferon beta-1b
Originator Company	Pfenex Inc
Active Companies	Stelis Biopharma Pvt Ltd, Pfenex/Stelis Joint Venture
Inactive Companies	Pfenex Inc
Highest Status	Discovery
Active Indications	Multiple sclerosis
Target-based Actions	Interferon beta ligand, Interferon receptor modulator
Other Actions	Immunomodulator
Technologies	Subcutaneous formulation, Biological therapeutic, Protein recombinant, Biosimilar product
Last Change Date	22-Jan-2014

PF-582

Drug Name	PF-582
Key Synonyms	ranibizumab
Originator Company	Pfenex Inc
Active Companies	Pfenex Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Age related macular degeneration
Target-based Actions	VEGF-A ligand inhibitor
Other Actions	Angiogenesis inhibitor, Ocular antineovascularisation agent
Technologies	Monoclonal antibody humanized, Antibody fragment, Injectable formulation, Ophthalmic formulation, Biological therapeutic, Parenteral formulation unspecified, Protein recombinant, Biosimilar product
Last Change Date	04-Dec-2013

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GCSF biosimilar, Pfenex/Ranbaxy

Drug Name	GCSF biosimilar, Pfenex/Ranbaxy
Key Synonyms	
Originator Company	Pfenex Inc
Active Companies	Pfenex Inc, Ranbaxy Laboratories Ltd
Inactive Companies	
Highest Status	Discovery
Active Indications	Neutropenia
Target-based Actions	GCSF ligand, GCSF receptor agonist
Other Actions	Hematopoietic stimulant, Neutrophil stimulator
Technologies	Subcutaneous formulation, Intravenous formulation, Infusion, Biological therapeutic, Protein recombinant, Biosimilar product
Last Change Date	04-Dec-2013

somatropin biosimilar, Pfenex/Ranbaxy

Drug Name	somatropin biosimilar, Pfenex/Ranbaxy
Key Synonyms	somatropin
Originator Company	Pfenex Inc
Active Companies	Ranbaxy Laboratories Ltd, Pfenex Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Growth hormone deficiency
Target-based Actions	Growth hormone ligand
Other Actions	
Technologies	Biological therapeutic, Parenteral formulation unspecified, Protein recombinant, Biosimilar product
Last Change Date	04-Dec-2013

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PRIMALVAC

Drug Name	PRIMALVAC
Key Synonyms	
Originator Company	European Vaccine Initiative
Active Companies	INSERM, European Vaccine Initiative, Pfenex Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Plasmodium infection
Target-based Actions	
Other Actions	Protein subunit vaccine, Prophylactic vaccine
Technologies	Biological therapeutic, Protein recombinant
Last Change Date	06-Feb-2013

abciximab biosimilar, Pfenex

Drug Name	abciximab biosimilar, Pfenex
Key Synonyms	abciximab
Originator Company	Pfenex Inc
Active Companies	Pfenex Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Coronary thrombosis
Target-based Actions	GP IIb IIIa antagonist
Other Actions	Platelet aggregation inhibitor
Technologies	Chimeric monoclonal antibody, Antibody fragment, Intravenous formulation, Infusion, Biological therapeutic, Protein recombinant, Biosimilar product
Last Change Date	28-Feb-2013

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influenza vaccine, Pfenex

Drug Name	influenza vaccine, Pfenex
Key Synonyms	
Originator Company	Pfenex Inc
Active Companies	Pfenex Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Influenza virus infection
Target-based Actions	
Other Actions	Unspecified vaccine
Technologies	Biological therapeutic
Last Change Date	26-Mar-2013

PF-688

Drug Name	PF-688
Key Synonyms	certolizumab pegol
Originator Company	Pfenex Inc
Active Companies	Pfenex Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Inflammatory disease
Target-based Actions	TNF alpha ligand inhibitor, TNF antagonist
Other Actions	TNF binding agent, Anti-inflammatory
Technologies	Monoclonal antibody humanized, Antibody fragment, PEGylated formulation, Injectable formulation, Biological therapeutic, Parenteral formulation unspecified, Protein recombinant, Biosimilar product
Last Change Date	04-Dec-2013

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cancer therapeutic, Pfenex

Drug Name	cancer therapeutic, Pfenex
Key Synonyms	
Originator Company	Pfenex Inc
Active Companies	Pfenex Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Cancer
Target-based Actions	
Other Actions	Anticancer
Technologies	Small molecule therapeutic
Last Change Date	26-Mar-2013

IFN alpha 2b biosimilar, Pfenex

Drug Name	IFN alpha 2b biosimilar, Pfenex
Key Synonyms	
Originator Company	Pfenex Inc
Active Companies	Pfenex Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Inflammatory disease
Target-based Actions	Interferon alpha 2 ligand modulator
Other Actions	Anti-inflammatory
Technologies	Biological therapeutic, Protein recombinant
Last Change Date	09-Mar-2013

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rPA vaccine (solid, anthrax), Pfenex / Glide

Drug Name	rPA vaccine (solid, anthrax), Pfenex / Glide
Key Synonyms	
Originator Company	Pfenex Inc
Active Companies	Pfenex Inc, Glide Pharma
Inactive Companies	
Highest Status	Discovery
Active Indications	Bacillus anthracis infection
Target-based Actions	
Other Actions	Antibacterial, Recombinant bacterial vector vaccine
Technologies	Antigen, Biological therapeutic, Parenteral formulation unspecified, Formulation solid
Last Change Date	01-May-2013

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