

## Kite Pharma Inc

### CORTELLIS COMPANY DETAILED PIPELINE REPORT

A comprehensive coverage of the the company's drug pipeline portfolio including detailed product records.

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## GLOSSARY

### Number of Drugs in Active Development

Number of drugs associated with the company or subsidiary that are currently in active development, i.e. the development status for the drug(s) is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

### Number of Inactive Drugs

Number of drugs associated with the company or subsidiary that are currently classified as inactive, i.e. where the development status for the drug(s) is one of the following: No Development Reported, Discontinued, or Withdrawn.

### Number of Patents as Owner

Number of patents associated with the company where the company is listed as owner; i.e. the relationship type (or way the patent refers to the company) is: Patent Assignee/Owner, Patent owner (not assignee), Licensee for development and marketing, Licensee – marketing only (Distributor), Patent assignee of family member, Inferred assignee.

### Number of Patents as Third Party

Number of patents associated with the company where the company is listed as third party; i.e. the relationship type (or way the patent refers to the company) is: Patent assignee (not owner), Ex-Licensee for development and marketing, Ex-Licensee marketing only (Distributor), Customer of technology, Ex-Customer of technology, Patent opponent or infringer, Affiliate organization of inventor, Owner of underlying technology.

### Patents summary table

This table represents a summary of the core patent coverage for this company covering Therapeutic EP, US and WO patents since 1990 only.

### Number of Deals

A count of deals where the company or one of its subsidiaries is the primary company.

### Key Indications

Displays top ten key indications for the company and its subsidiaries based on frequency (indications occurring with high and identical frequency are always included, and this may result in more than ten Key Indications being listed). Includes both indications associated with patents where the company is patent owner and indications associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

### Key Target-based Actions

Displays top ten key target-based actions for the company and its subsidiaries based on frequency (actions occurring with high and identical frequency are always included, and this may result in more than ten Key Target-based Actions being listed). Includes both target-based actions associated with patents where the company patent owner and target-based actions associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended. A target-based action is one that is associated with a target.

### Key Technologies

Displays top ten key technologies for the company and its subsidiaries based on frequency (technologies occurring with high and identical frequency are always included, and this may result in more than ten Key Technologies being listed). Includes both key technologies associated with patents where the company relationship is patent owner and key technologies associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

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# Kite Pharma Inc

## COMPANY OVERVIEW

|                                       |  |
|---------------------------------------|--|
| Company Name                          | Kite Pharma Inc  |
| Parent Company Name                   | Kite Pharma Inc  |
| Website                               | <a href="http://www.kitepharma.com/">http://www.kitepharma.com/</a>  |
| Country                               | US   |
| Number of Drugs in Active Development | 9  |
| Number of Inactive Drugs              | 1  |
| Number of Patents as Owner            | 10   |
| Number of Patents as Third Party      | 0  |
| Number of Deals                       | 9  |
| Key Indications                       | Cancer, Colorectal tumor, Lung tumor, B-cell lymphoma, Glioblastoma, Metastasis, Non-Hodgkin lymphoma, Renal cell carcinoma, Melanoma, Breast tumor, Ovary tumor, Prostate tumor   |
| Key Target-based Actions              | Alpha-fetoprotein inhibitor, B-lymphocyte antigen CD19 inhibitor, T cell surface glycoprotein CD28 inhibitor, Cancer testis antigen NY-ESO-1 modulator, Arginase modulator, CD27 agonist, CD45RO agonist, CD62L agonist, CD66e agonist, CD80 modulator, CTAG1 gene modulator, Cyclin-dependent kinase-4 stimulator, Epidermal growth factor agonist, FOXO3 gene modulator, MART-1 melanoma antigen stimulator, Melanocyte protein Pmel 17 modulator, Melanoma associated antigen 1 modulator, Melanoma associated antigen stimulator, Mesothelin modulator, Mesothelin stimulator, Mucin 1 stimulator, Myelin basic protein stimulator, Myelin oligodendrocyte glycoprotein stimulator, T-cell surface glycoprotein CD8 stimulator |
| Key Technologies                      | Biological therapeutic, T-lymphocyte, Receptor chimeric, Cell therapy, Antigen, Parenteral formulation unspecified, Systemic formulation unspecified, Polynucleotide sequence, Tumor antigen, Antibody, Antibody fragment, Antigen presentation system, Autoantigen, Cell culture technique, Immunodetection, Isolation technology, Oligonucleotide, Peptide, Vector expression, Yeast recombinant   |

## COMPANY PROFILE

### SUMMARY

Kite Pharma is a biotechnology company focused on the development of immunotherapeutic products to treat cancers.

### COMPANY LOCATION

In February 2015, the company entered into a lease agreement for a commercial manufacturing facility in El Segundo, CA, and also secured a lease for a clinical manufacturing facility in Santa Monica, CA. The two facilities would support the planned clinical trials of the company's product candidates, inclusive of the commercial launch and supply of the company's lead product candidate, KTE-C19, which was anticipated in 2017.

### ACQUISITIONS AND SPIN-OFFS

In March 2015, the company acquired T-Cell Factory BV, a privately held Dutch company, and renamed it as Kite Pharma EU. Kite's acquisition of TCF included an upfront payment of up to €20.0M (US \$21.0M) to TCF shareholders, licensors and employees, of which €3.8M (US \$4.0M) would be paid in Kite stock.

### FINANCIAL

In December 2014, the company was selected for addition to the NASDAQ Biotechnology Index, and it would be effective from December 22, 2014.

In November 2014, the company planned for a follow-on public offering of shares of its common stock. In December

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2014, Kite priced the offering of 3,485,000 common stock shares at a price of US \$54 each. The underwriters were granted a 30-day option to buy up to an additional 522,750 shares of common stock. Later that month, the underwriters exercised in full their option at a price of \$54 per share. The option exercise was expected to close on January 02, 2015.

In May 2014, Kite Pharma filed a registration statement on form S-1 with the US SEC for a proposed IPO to offer their common stock shares. In June 2014, the company initiated pricing of its initial public offering of 7.5 million shares of its common stock at a price to the public of \$17 per share. The shares began trading on the NASDAQ Global Select market, under the symbol "KITE". At that time, the underwriters were granted a 30-day option to buy up to an additional 1,125,000 shares of common stock; later that month, the underwriters completely exercised their option to purchase the additional shares of the company's common stock. At that time, the total number of 8,625,000 shares was being sold in the offering and was expected to be closed on June 25, 2014.

In April 2014, the company completed a \$50 million mezzanine private financing of convertible notes.

In May 2013, Kite closed a \$20 million private placement of shares and converted \$15 million in outstanding promissory notes into shares as a part of its series A preferred stock.

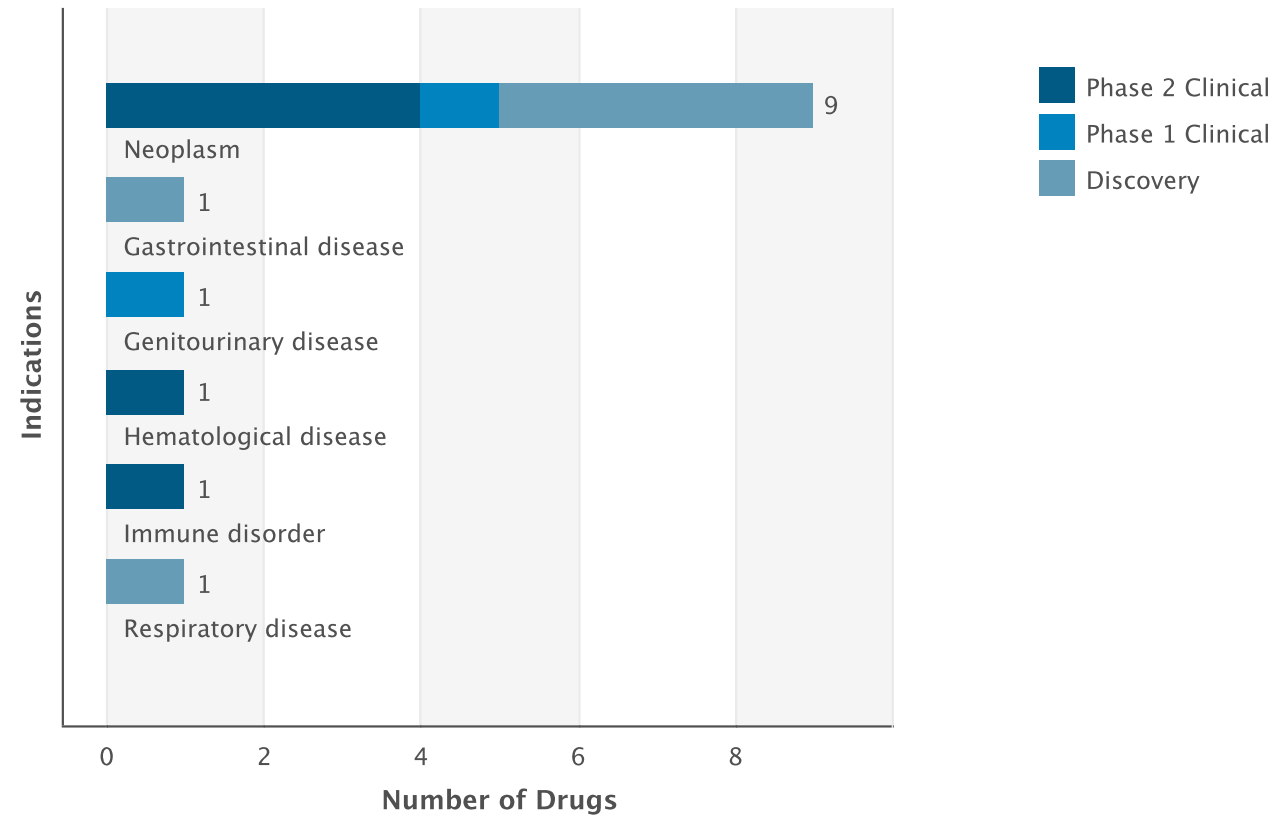
In March 2011, the company raised \$15 million in from a private placement financing round.

## PRODUCT PORTFOLIO SUMMARY

### DRUGS

#### Drugs by Indication

Active Drugs by Indication Chart



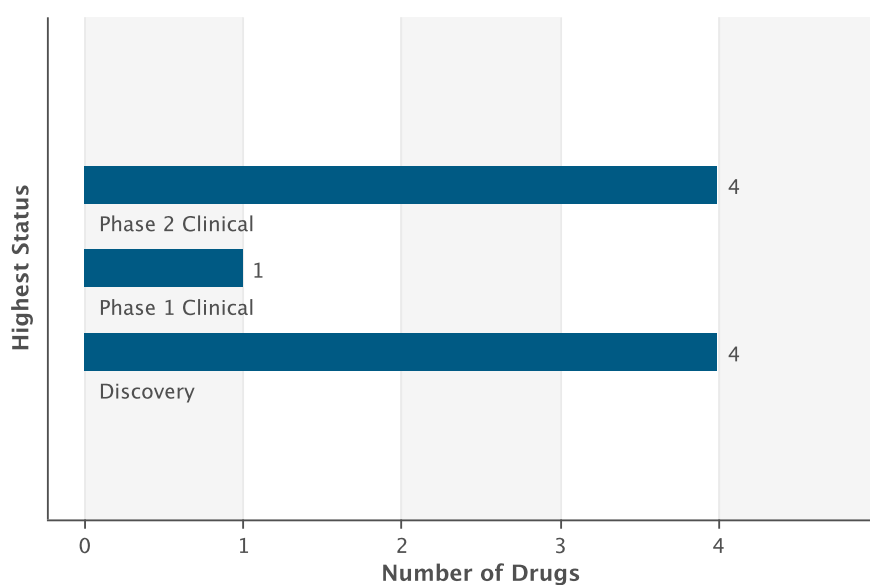
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## Drugs by Indication Table

| Indication               | Active | Inactive | Total |
|--------------------------|--------|----------|-------|
| Neoplasm                 | 9      | 1        | 10    |
| Gastrointestinal disease | 1      | 1        | 2     |
| Hematological disease    | 1      | 0        | 1     |
| Respiratory disease      | 1      | 0        | 1     |
| Immune disorder          | 1      | 0        | 1     |
| Genitourinary disease    | 1      | 0        | 1     |

## Drugs by Highest Status

### Active Drugs by Highest Status Chart



## Drugs by Highest Status Table

| Development Status | Number of Drugs |
|--------------------|-----------------|
| Phase 2 Clinical   | 4               |
| Phase 1 Clinical   | 1               |
| Discovery          | 4               |
| Discontinued       | 1               |

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## DEALS

| Deal Type                                    | Principal |          | Partner |          | Total |
|--|-----------|----------|---------|----------|-------|
|  | Active    | Inactive | Active  | Inactive |       |
| Patent - Exclusive Rights                    | 0         | 0        | 2       | 0        | 2     |
| Drug - Asset Divestment                      | 0         | 0        | 1       | 0        | 1     |
| Drug - CRADA                                 | 0         | 0        | 1       | 0        | 1     |
| Drug - Early Research/Development            | 0         | 0        | 1       | 0        | 1     |
| Drug - Development/Commercialization License | 3         | 0        | 0       | 0        | 3     |
| Drug - Development Services                  | 0         | 0        | 1       | 0        | 1     |

## CLINICAL TRIALS

### Trials by Condition Studied

| Condition Studied     | Ongoing | All |
|-----------------------|---------|-----|
| Hematological disease | 1       | 2   |
| Neoplasm              | 1       | 2   |
| Immune disorder       | 1       | 2   |

### Trials by Phase

| Phase   | Ongoing | All |
|---------|---------|-----|
| Phase 2 | 1       | 1   |
| Phase 1 | 0       | 1   |

### Phase Definitions

#### Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

#### Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

#### Phase 1 Clinical

Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

## PATENTS \*

| Indication               | As Owner | As Third Party | Total |
|--------------------------|----------|----------------|-------|
| Endocrine disease        | 3        | 0              | 3     |
| Gastrointestinal disease | 2        | 0              | 2     |
| Genitourinary disease    | 4        | 0              | 4     |

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|                           |    |   |    |
|---------------------------|----|---|----|
| Andrology                 | 2  | 0 | 2  |
| Immune disorder           | 1  | 0 | 1  |
| Neoplasm                  | 10 | 0 | 10 |
| Respiratory disease       | 4  | 0 | 4  |
| Infectious disease        | 4  | 0 | 4  |
| Gynecology and obstetrics | 3  | 0 | 3  |
| Dermatological disease    | 7  | 0 | 7  |

\* This table represents a summary of the core patent coverage for this company covering Therapeutic EP, US and WO patents since 1990 only.

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## PRODUCT PORTFOLIO DRUG PIPELINE DETAIL

**PLEASE NOTE:** Highest status refers to highest development of that drug for one of the active companies

### HPV E6/E7 targeting TCR-based T-cell therapy (cancer), Kite Pharma

#### HPV E6/E7 targeting TCR-based T-cell therapy (cancer), Kite Pharma SNAPSHOT

|                             |   |
|-----------------------------|---|
| <b>Drug Name</b>            | HPV E6/E7 targeting TCR-based T-cell therapy (cancer), Kite Pharma                  |
| <b>Key Synonyms</b>         |   |
| <b>Originator Company</b>   | National Institutes of Health   |
| <b>Active Companies</b>     | Kite Pharma Inc   |
| <b>Inactive Companies</b>   | National Institutes of Health   |
| <b>Highest Status</b>       | Phase 2 Clinical  |
| <b>Active Indications</b>   | Cancer  |
| <b>Target-based Actions</b> | Human papillomavirus E6 protein modulator;Human papillomavirus E7 protein modulator |
| <b>Other Actions</b>        | Anticancer;Genetically engineered autologous cell therapy                           |
| <b>Technologies</b>         | Biological therapeutic;Parenteral formulation unspecified;T-lymphocyte;Cell therapy |
| <b>Last Change Date</b>     | 20-Feb-2015   |

#### HPV E6/E7 targeting TCR-based T-cell therapy (cancer), Kite Pharma DEVELOPMENT PROFILE

##### SUMMARY

Kite Pharma, under license from the National Institutes of Health, is investigating an engineered autologous T-cell therapy (eACT) including TCR-1, TCR-2, TCR-3, and TCR-4, targeting human papillomavirus (HPV)-16 E6 and E7 oncoproteins, incorporating Kite Pharma's T Cell Receptor (TCR) technology, for the potential treatment of cancers associated with HPV infection. In January 2015, it was reported that the National Cancer Institute (NCI) had recently initiated a phase I/II clinical trial under a CRADA with the company.

#### HPV E6/E7 targeting TCR-based T-cell therapy (cancer), Kite Pharma DEVELOPMENT STATUS

##### CURRENT DEVELOPMENT STATUS

| Company                       | Indication | Country | Development Status | Date        |
|-------------------------------|------------|---------|--------------------|-------------|
| Kite Pharma Inc               | Cancer     | US      | Phase 2 Clinical   | 07-Jan-2015 |
| National Institutes of Health | Cancer     | US      | Discontinued       | 07-Jan-2015 |

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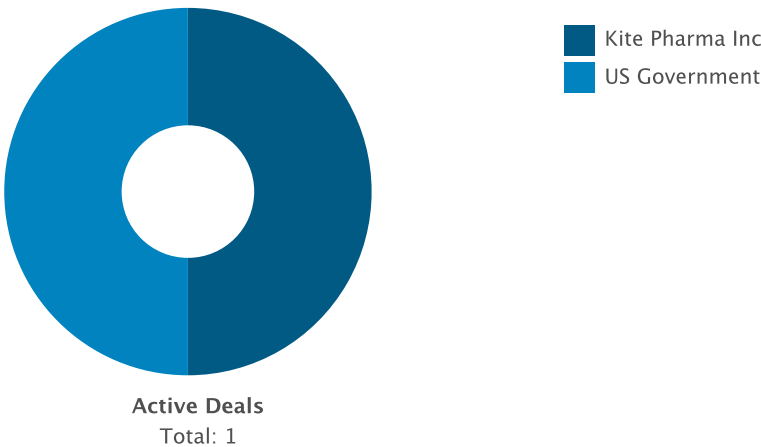
HPV E6/E7 targeting TCR-based T-cell therapy (cancer), Kite Pharma DRUG NAMES

| Names  | Type          |
|--|---------------|
| TCR-3  | Research Code |
| HPV E6/E7 targeting TCR-based T-cell therapy (cancer), Kite Pharma |               |
| TCR-2  | Research Code |
| TCR-1  | Research Code |
| TCR-4  | Research Code |

HPV E6/E7 targeting TCR-based T-cell therapy (cancer), Kite Pharma DEALS AND PATENTS

DEALS

Deals by Parent Company Chart



Deals by Parent Company Table

| Company Name    | Principal |          | Partner |          | Total |
|-----------------|-----------|----------|---------|----------|-------|
|                 | Active    | Inactive | Active  | Inactive |       |
| US Government   | 1         | 0        | 0       | 0        | 1     |
| Kite Pharma Inc | 0         | 0        | 1       | 0        | 1     |

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Deals by Type Chart



Deals by Type Table

| Deal Type                 | Active | Inactive | Total |
|---------------------------|--------|----------|-------|
| Patent - Exclusive Rights | 1      | 0        | 1     |

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## anti-NY-ESO-1 T-cell therapy (cancer), Kite Pharma

### anti-NY-ESO-1 T-cell therapy (cancer), Kite Pharma SNAPSHOT

|                             |   |
|-----------------------------|---|
| <b>Drug Name</b>            | anti-NY-ESO-1 T-cell therapy (cancer), Kite Pharma                                |
| <b>Key Synonyms</b>         |   |
| <b>Originator Company</b>   | National Institutes of Health   |
| <b>Active Companies</b>     | Kite Pharma Inc   |
| <b>Inactive Companies</b>   | National Institutes of Health   |
| <b>Highest Status</b>       | Phase 2 Clinical  |
| <b>Active Indications</b>   | Metastasis  |
| <b>Target-based Actions</b> | Cancer testis antigen NY-ESO-1 modulator  |
| <b>Other Actions</b>        | Anticancer;Genetically engineered autologous cell therapy                         |
| <b>Technologies</b>         | Biological therapeutic;Systemic formulation unspecified;T-lymphocyte;Cell therapy |
| <b>Last Change Date</b>     | 01-Jul-2014   |

### anti-NY-ESO-1 T-cell therapy (cancer), Kite Pharma DEVELOPMENT PROFILE

#### SUMMARY

Kite Pharma, under license from the National Institutes of Health, is developing a murine-based engineered autologous T-cell therapy targeting the cancer/testis antigen NY-ESO-1 (based on the NIH's autologous lymphocytes cotransduced with retroviruses encoding anti-NY-ESO-1 T-cell receptors and IL-12), incorporating Kite Pharma's T Cell Receptor (TCR) technology, for the potential treatment of cancers expressing NY-ESO-1,. By May 2014, a phase II trial in metastatic cancer had begun.

### anti-NY-ESO-1 T-cell therapy (cancer), Kite Pharma DEVELOPMENT STATUS

#### CURRENT DEVELOPMENT STATUS

| Company                       | Indication | Country | Development Status | Date        |
|-------------------------------|------------|---------|--------------------|-------------|
| Kite Pharma Inc               | Metastasis | US      | Phase 2 Clinical   | 06-Jun-2014 |
| National Institutes of Health | Metastasis | US      | Discontinued       | 06-Jun-2014 |

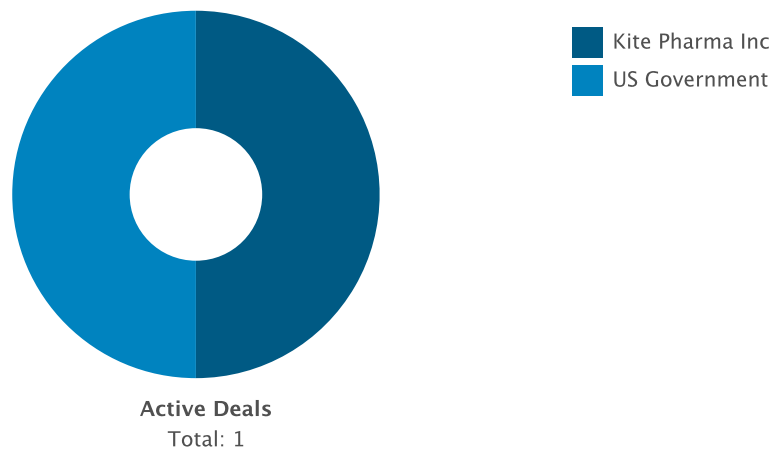
### anti-NY-ESO-1 T-cell therapy (cancer), Kite Pharma DRUG NAMES

| Names   | Type |
|---|------|
| anti-NY-ESO-1 T-cell therapy (cancer), Kite Pharma                  |      |
| murine anti-NY-ESO-1 TCR-based T-cell therapy (cancer), Kite Pharma |      |

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DEALS

Deals by Parent Company Chart



Deals by Parent Company Table

| Company Name    | Principal |          | Partner |          | Total |
|-----------------|-----------|----------|---------|----------|-------|
|                 | Active    | Inactive | Active  | Inactive |       |
| Kite Pharma Inc | 0         | 0        | 1       | 0        | 1     |
| US Government   | 1         | 0        | 0       | 0        | 1     |

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Deals by Type Chart



Deals by Type Table

| Deal Type                 | Active | Inactive | Total |
|---------------------------|--------|----------|-------|
| Patent - Exclusive Rights | 1      | 0        | 1     |

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## EGFRvIII chimeric antigen receptor program, Kite Pharma

### EGFRvIII chimeric antigen receptor program, Kite Pharma SNAPSHOT

|                      |  |
|----------------------|--|
| Drug Name            | EGFRvIII chimeric antigen receptor program, Kite Pharma                                  |
| Key Synonyms         |  |
| Originator Company   | National Cancer Institute  |
| Active Companies     | Kite Pharma Inc  |
| Inactive Companies   | National Cancer Institute  |
| Highest Status       | Phase 2 Clinical   |
| Active Indications   | Glioblastoma   |
| Target-based Actions | Epidermal growth factor receptor modulator   |
| Other Actions        | Anticancer;Genetically engineered autologous cell therapy                                |
| Technologies         | Biological therapeutic;Parenteral formulation unspecified;Receptor chimeric;Cell therapy |
| Last Change Date     | 04-Jun-2014  |

### EGFRvIII chimeric antigen receptor program, Kite Pharma DEVELOPMENT PROFILE

#### SUMMARY

Kite Pharma, under license from NIH affiliate National Cancer Institute, is developing autologous peripheral blood lymphocytes (PBLs), transduced with T-cells expressing the anti-EGFRvIII chimeric antigen receptor, for the potential treatment of glioblastoma. In October 2011, the NCI started a phase I/II trial. In September 2013, the trial was ongoing. In May 2014, work was ongoing .

### EGFRvIII chimeric antigen receptor program, Kite Pharma DEVELOPMENT STATUS

#### CURRENT DEVELOPMENT STATUS

| Company                   | Indication   | Country | Development Status | Date        |
|---------------------------|--------------|---------|--------------------|-------------|
| Kite Pharma Inc           | Glioblastoma | US      | Phase 2 Clinical   | 11-Apr-2013 |
| National Cancer Institute | Glioblastoma | US      | Discontinued       | 11-Apr-2013 |

### EGFRvIII chimeric antigen receptor program, Kite Pharma DRUG NAMES

| Names   | Type |
|---|------|
| anti-EGFRvIII PBLs (glioblastoma), National Cancer Institute  |      |
| autologous anti-EGFRvIII T-cell receptor peripheral blood lymphocytes (glioblastoma), National Cancer Institute |      |
| EGFRvIII chimeric antigen receptor program, Kite Pharma   |      |

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## EGFRvIII chimeric antigen receptor program, Kite Pharma CLINICAL TRIALS

### Trials by Phase and Condition Studied

| Phase 4 Clinical |     | Phase 3 Clinical |     | Phase 2 Clinical |     | Phase 1 Clinical |     | Phase Unspecified |     | Total    |     |
|------------------|-----|------------------|-----|------------------|-----|------------------|-----|-------------------|-----|----------|-----|
| On-going         | All | On-going         | All | On-going         | All | On-going         | All | On-going          | All | On-going | All |
| Glioma           |     |                  |     |                  |     |                  |     |                   |     |          |     |
| 0                | 0   | 0                | 0   | 1                | 1   | 0                | 0   | 0                 | 0   | 1        | 1   |

### Total Trials by Phase and Status

| Phase 4 Clinical          |     | Phase 3 Clinical |     | Phase 2 Clinical |     | Phase 1 Clinical |     | Phase Unspecified |     | Total    |     |
|---------------------------|-----|------------------|-----|------------------|-----|------------------|-----|-------------------|-----|----------|-----|
| On-going                  | All | On-going         | All | On-going         | All | On-going         | All | On-going          | All | On-going | All |
| Total by Phase and Status |     |                  |     |                  |     |                  |     |                   |     |          |     |
| 0                         | 0   | 0                | 0   | 1                | 1   | 0                | 0   | 0                 | 0   | 1        | 1   |

### Phase Definitions

#### Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

#### Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

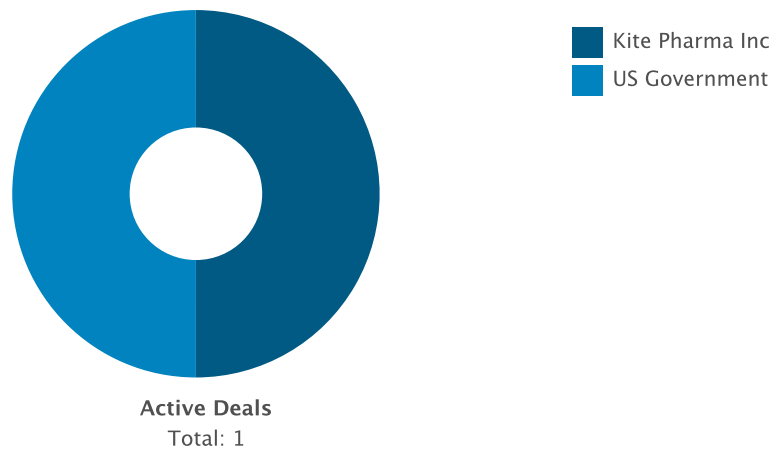
#### Phase 1 Clinical

Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

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DEALS

Deals by Parent Company Chart



Deals by Parent Company Table

| Company Name    | Principal |          | Partner |          | Total |
|-----------------|-----------|----------|---------|----------|-------|
|                 | Active    | Inactive | Active  | Inactive |       |
| Kite Pharma Inc | 0         | 0        | 1       | 0        | 1     |
| US Government   | 1         | 0        | 0       | 0        | 1     |

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Deals by Type Chart



Deals by Type Table

| Deal Type                 | Active | Inactive | Total |
|---------------------------|--------|----------|-------|
| Patent - Exclusive Rights | 1      | 0        | 1     |

## KTE-C19

### KTE-C19 SNAPSHOT

|                             |  |
|-----------------------------|--|
| <b>Drug Name</b>            | KTE-C19  |
| <b>Key Synonyms</b>         |  |
| <b>Originator Company</b>   | Kite Pharma Inc  |
| <b>Active Companies</b>     | Amgen Inc;Kite Pharma Inc  |
| <b>Inactive Companies</b>   |  |
| <b>Highest Status</b>       | Phase 2 Clinical   |
| <b>Active Indications</b>   | Non-Hodgkin lymphoma;Cancer;B-cell lymphoma  |
| <b>Target-based Actions</b> | T cell surface glycoprotein CD28 inhibitor;B-lymphocyte antigen CD19 inhibitor           |
| <b>Other Actions</b>        | Anticancer;CD3 antagonist;Immunomodulator;Genetically engineered autologous cell therapy |
| <b>Technologies</b>         | Biological therapeutic;Parenteral formulation unspecified;Receptor chimeric              |
| <b>Last Change Date</b>     | 27-Mar-2015  |

### KTE-C19 DEVELOPMENT PROFILE

#### SUMMARY

Kite Pharma is developing KTE-C19, a zeta chimeric antigen receptor engineered peripheral blood autologous T-cell therapy (eACT) transduced with a retroviral vector that targets CD19 CD28/CD3, for the potential treatment of multiple hematological cancers, including non-Hodgkin's lymphoma (NHL), diffuse large B cell lymphoma (DLBCL), leukemias and solid tumor types,,,. Kite pharma, in collaboration with Amgen is investigating KTE-C19, for the potential treatment of cancer. In January 2015, a phase I/II trial for NHL was initiated. At that time, the trial was expected to complete in March 2017 . In February 2015, pivotal studies for DLBCL, mantle cell lymphoma, acute lymphoblastic leukemia and chronic lymphocytic leukemia were to be initiated later that year. In February 2015, the company planned for commercial launch of the drug in 2017.

### KTE-C19 DEVELOPMENT STATUS

#### CURRENT DEVELOPMENT STATUS

| Company         | Indication           | Country | Development Status | Date        |
|-----------------|----------------------|---------|--------------------|-------------|
| Kite Pharma Inc | B-cell lymphoma      | US      | Phase 2 Clinical   | 11-Dec-2013 |
| Kite Pharma Inc | Non-Hodgkin lymphoma | US      | Phase 2 Clinical   | 27-Jan-2015 |
| Amgen Inc       | Cancer               | US      | Discovery          | 26-Mar-2015 |
| Kite Pharma Inc | Cancer               | US      | Discovery          | 30-Apr-2012 |

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## KTE-C19 DRUG NAMES

| Names   | Type |
|---|------|
| eACT (cancer), Kite Pharma  |      |
| CD19 targeted chimeric antigen receptor engineered T cell therapy (cancer), Kite Pharma |      |
| KTE-C19   |      |

## KTE-C19 CLINICAL TRIALS

### Trials by Phase and Condition Studied

| Phase 4 Clinical                    |     | Phase 3 Clinical |     | Phase 2 Clinical |     | Phase 1 Clinical |     | Phase Unspecified |     | Total    |     |
|-------------------------------------|-----|------------------|-----|------------------|-----|------------------|-----|-------------------|-----|----------|-----|
| On-going                            | All | On-going         | All | On-going         | All | On-going         | All | On-going          | All | On-going | All |
| Non-Hodgkin lymphoma                |     |                  |     |                  |     |                  |     |                   |     |          |     |
| 0                                   | 0   | 0                | 0   | 1                | 1   | 0                | 1   | 0                 | 0   | 1        | 2   |
| Follicle center lymphoma            |     |                  |     |                  |     |                  |     |                   |     |          |     |
| 0                                   | 0   | 0                | 0   | 1                | 1   | 0                | 0   | 0                 | 0   | 1        | 1   |
| B-cell acute lymphoblastic leukemia |     |                  |     |                  |     |                  |     |                   |     |          |     |
| 0                                   | 0   | 0                | 0   | 0                | 0   | 1                | 1   | 0                 | 0   | 1        | 1   |
| B-cell lymphoma                     |     |                  |     |                  |     |                  |     |                   |     |          |     |
| 0                                   | 0   | 0                | 0   | 1                | 1   | 0                | 0   | 0                 | 0   | 1        | 1   |

### Total Trials by Phase and Status

| Phase 4 Clinical          |     | Phase 3 Clinical |     | Phase 2 Clinical |     | Phase 1 Clinical |     | Phase Unspecified |     | Total    |     |
|---------------------------|-----|------------------|-----|------------------|-----|------------------|-----|-------------------|-----|----------|-----|
| On-going                  | All | On-going         | All | On-going         | All | On-going         | All | On-going          | All | On-going | All |
| Total by Phase and Status |     |                  |     |                  |     |                  |     |                   |     |          |     |
| 0                         | 0   | 0                | 0   | 1                | 1   | 1                | 2   | 0                 | 0   | 2        | 3   |

### Phase Definitions

#### Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

#### Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

#### Phase 1 Clinical

Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

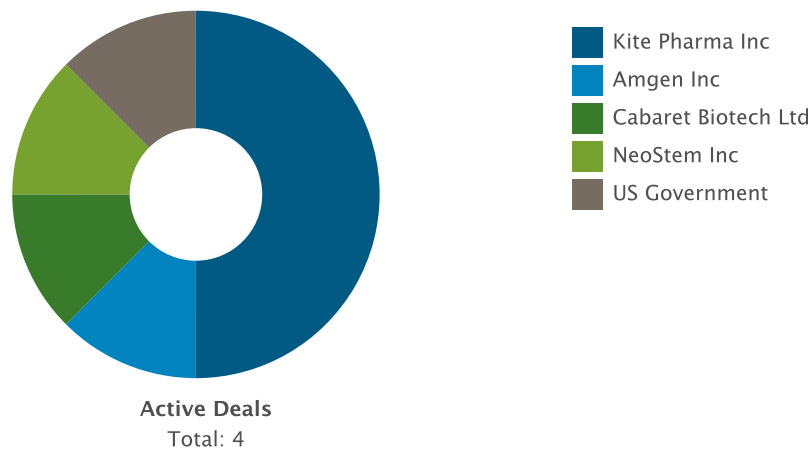
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KTE-C19 DEALS AND PATENTS

DEALS

Deals by Parent Company Chart



Deals by Parent Company Table

| Company Name        | Principal |          | Partner |          | Total |
|---------------------|-----------|----------|---------|----------|-------|
|                     | Active    | Inactive | Active  | Inactive |       |
| Kite Pharma Inc     | 1         | 0        | 3       | 0        | 4     |
| NeoStem Inc         | 1         | 0        | 0       | 0        | 1     |
| Amgen Inc           | 0         | 0        | 1       | 0        | 1     |
| US Government       | 1         | 0        | 0       | 0        | 1     |
| Cabaret Biotech Ltd | 1         | 0        | 0       | 0        | 1     |

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Deals by Type Chart



Deals by Type Table

| Deal Type                                    | Active | Inactive | Total |
|--|--------|----------|-------|
| Drug - CRADA                                 | 1      | 0        | 1     |
| Patent - Exclusive Rights                    | 1      | 0        | 1     |
| Drug - Development Services                  | 1      | 0        | 1     |
| Drug - Development/Commercialization License | 1      | 0        | 1     |

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## DC-Ad-GMCAIX

### DC-Ad-GMCAIX SNAPSHOT

|                      |   |
|----------------------|---|
| Drug Name            | DC-Ad-GMCAIX  |
| Key Synonyms         |   |
| Originator Company   | University of California Los Angeles  |
| Active Companies     | Kite Pharma Inc   |
| Inactive Companies   | University of California Los Angeles  |
| Highest Status       | Phase 1 Clinical  |
| Active Indications   | Renal cell carcinoma  |
| Target-based Actions | Carbonic anhydrase-IX modulator   |
| Other Actions        | Therapeutic vaccine;Anticancer;Protein subunit vaccine  |
| Technologies         | Tumor antigen therapeutic;Intradermal formulation;Biological therapeutic;Antigen;Protein fusion |
| Last Change Date     | 18-Jun-2014   |

### DC-Ad-GMCAIX DEVELOPMENT PROFILE

#### SUMMARY

Kite Pharma under license from the University of California, Los Angeles, is developing GM-CSF-G250 (DC-Ad-GMCAIX), a GM-CSF vaccine which consists of dendritic cells adenovirally transduced with tumor antigen, GM-CSF carbonic anhydrase IX (G250; CIAX) fusion protein for the potential intradermal treatment of renal cell carcinoma (RCC),,. In April 2013, a phase I trial was initiated.

### DC-Ad-GMCAIX DEVELOPMENT STATUS

#### CURRENT DEVELOPMENT STATUS

| Company                              | Indication           | Country | Development Status | Date        |
|--------------------------------------|----------------------|---------|--------------------|-------------|
| Kite Pharma Inc                      | Renal cell carcinoma | US      | Phase 1 Clinical   | 04-Apr-2013 |
| University of California Los Angeles | Renal cell carcinoma | US      | Discontinued       | 17-Sep-2010 |

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DC-Ad-GMCAIX DRUG NAMES

| Names   | Type |
|---|------|
| DC-Ad-GMCAIX  |      |
| GM-CSF/cancer antigen chimeric protein (renal cancer), Kite |      |
| GM-CAIX   |      |
| GM-CSF-G250 vaccine, UCLA                                   |      |

DC-Ad-GMCAIX CLINICAL TRIALS

Trials by Phase and Condition Studied

| Phase 4 Clinical        |     | Phase 3 Clinical |     | Phase 2 Clinical |     | Phase 1 Clinical |     | Phase Unspecified |     | Total    |     |
|-------------------------|-----|------------------|-----|------------------|-----|------------------|-----|-------------------|-----|----------|-----|
| On-going                | All | On-going         | All | On-going         | All | On-going         | All | On-going          | All | On-going | All |
| Metastatic renal cancer |     |                  |     |                  |     |                  |     |                   |     |          |     |
| 0                       | 0   | 0                | 0   | 0                | 0   | 1                | 1   | 0                 | 0   | 1        | 1   |

Total Trials by Phase and Status

| Phase 4 Clinical          |     | Phase 3 Clinical |     | Phase 2 Clinical |     | Phase 1 Clinical |     | Phase Unspecified |     | Total    |     |
|---------------------------|-----|------------------|-----|------------------|-----|------------------|-----|-------------------|-----|----------|-----|
| On-going                  | All | On-going         | All | On-going         | All | On-going         | All | On-going          | All | On-going | All |
| Total by Phase and Status |     |                  |     |                  |     |                  |     |                   |     |          |     |
| 0                         | 0   | 0                | 0   | 0                | 0   | 1                | 1   | 0                 | 0   | 1        | 1   |

Phase Definitions

Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

Phase 1 Clinical

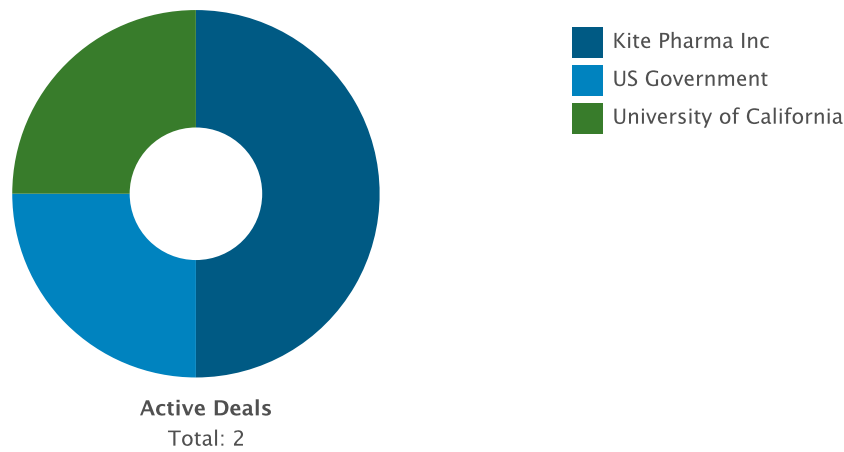
Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

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DC-Ad-GMCAIX DEALS AND PATENTS

DEALS

Deals by Parent Company Chart

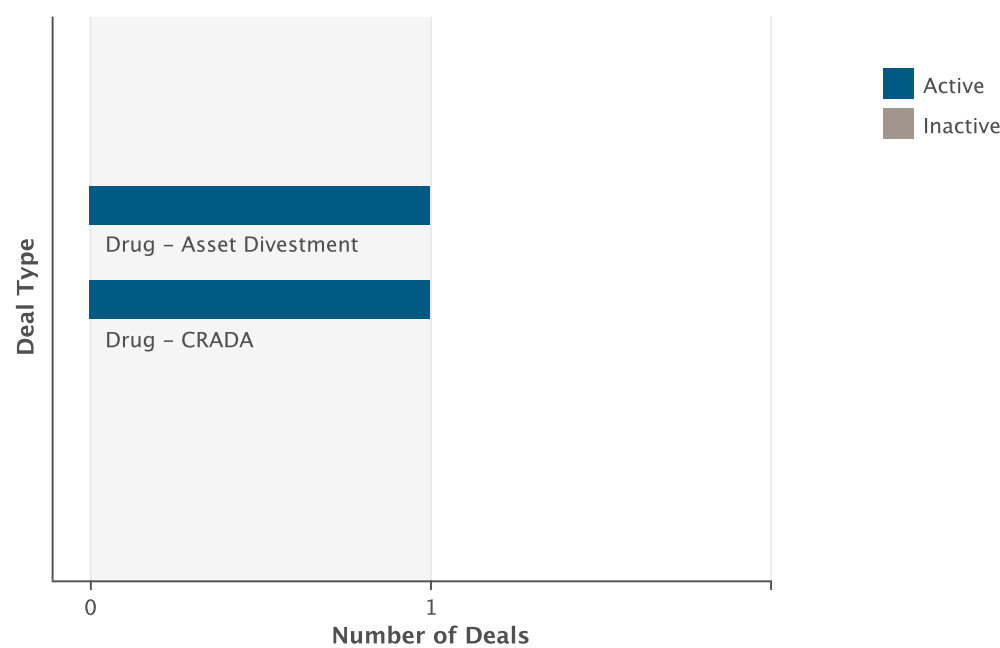


Deals by Parent Company Table

| Company Name             | Principal |          | Partner |          | Total |
|--------------------------|-----------|----------|---------|----------|-------|
|                          | Active    | Inactive | Active  | Inactive |       |
| Kite Pharma Inc          | 0         | 0        | 2       | 0        | 2     |
| US Government            | 1         | 0        | 0       | 0        | 1     |
| University of California | 1         | 0        | 0       | 0        | 1     |

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Deals by Type Chart



Deals by Type Table

| Deal Type               | Active | Inactive | Total |
|-------------------------|--------|----------|-------|
| Drug - Asset Divestment | 1      | 0        | 1     |
| Drug - CRADA            | 1      | 0        | 1     |

## anti-SSX2 T-cell therapy (cancer), Kite Pharma

### anti-SSX2 T-cell therapy (cancer), Kite Pharma SNAPSHOT

|                      |   |
|----------------------|---|
| Drug Name            | anti-SSX2 T-cell therapy (cancer), Kite Pharma            |
| Key Synonyms         |   |
| Originator Company   | National Institutes of Health                             |
| Active Companies     | Kite Pharma Inc   |
| Inactive Companies   | National Institutes of Health                             |
| Highest Status       | Discovery   |
| Active Indications   | Cancer  |
| Target-based Actions | Synovial sarcoma X breakpoint protein 2 inhibitor         |
| Other Actions        | Anticancer;Genetically engineered autologous cell therapy |
| Technologies         | Biological therapeutic;T-lymphocyte;Cell therapy          |
| Last Change Date     | 18-Feb-2015   |

### anti-SSX2 T-cell therapy (cancer), Kite Pharma DEVELOPMENT PROFILE

#### SUMMARY

Kite Pharma, under license from the National Institutes of Health, is investigating an engineered autologous T-cell therapy targeting the cancer/testis antigen SSX2 (synovial sarcoma X breakpoint protein 2), incorporating Kite Pharma's T Cell Receptor (TCR) technology, for the potential treatment of tumors including head and neck cancer, hepatocellular carcinoma, melanoma, prostate cancer and sarcoma. In February 2015, the program was listed as being under 'pre-IND' phase.

### anti-SSX2 T-cell therapy (cancer), Kite Pharma DEVELOPMENT STATUS

#### CURRENT DEVELOPMENT STATUS

| Company                       | Indication | Country | Development Status | Date        |
|-------------------------------|------------|---------|--------------------|-------------|
| Kite Pharma Inc               | Cancer     | US      | Discovery          | 11-Apr-2013 |
| National Institutes of Health | Cancer     | US      | Discontinued       | 11-Apr-2013 |

### anti-SSX2 T-cell therapy (cancer), Kite Pharma DRUG NAMES

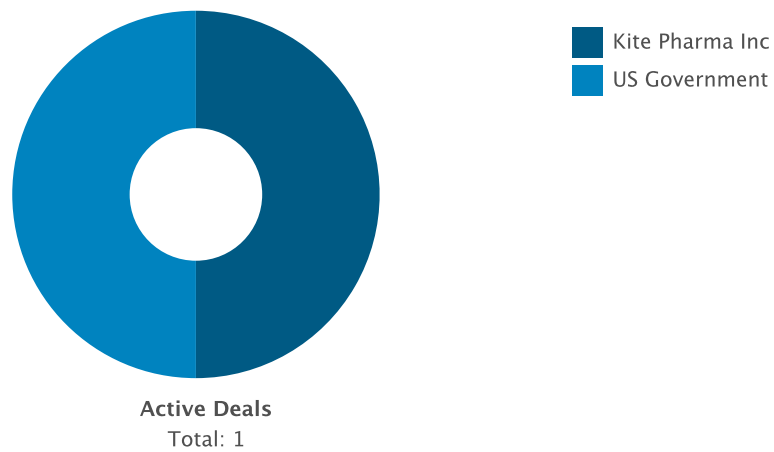
| Names  | Type |
|--|------|
| anti-SSX2 TCR-based T-cell therapy (cancer), Kite Pharma |      |
| anti-SSX2 T-cell therapy (cancer), Kite Pharma           |      |

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DEALS

Deals by Parent Company Chart

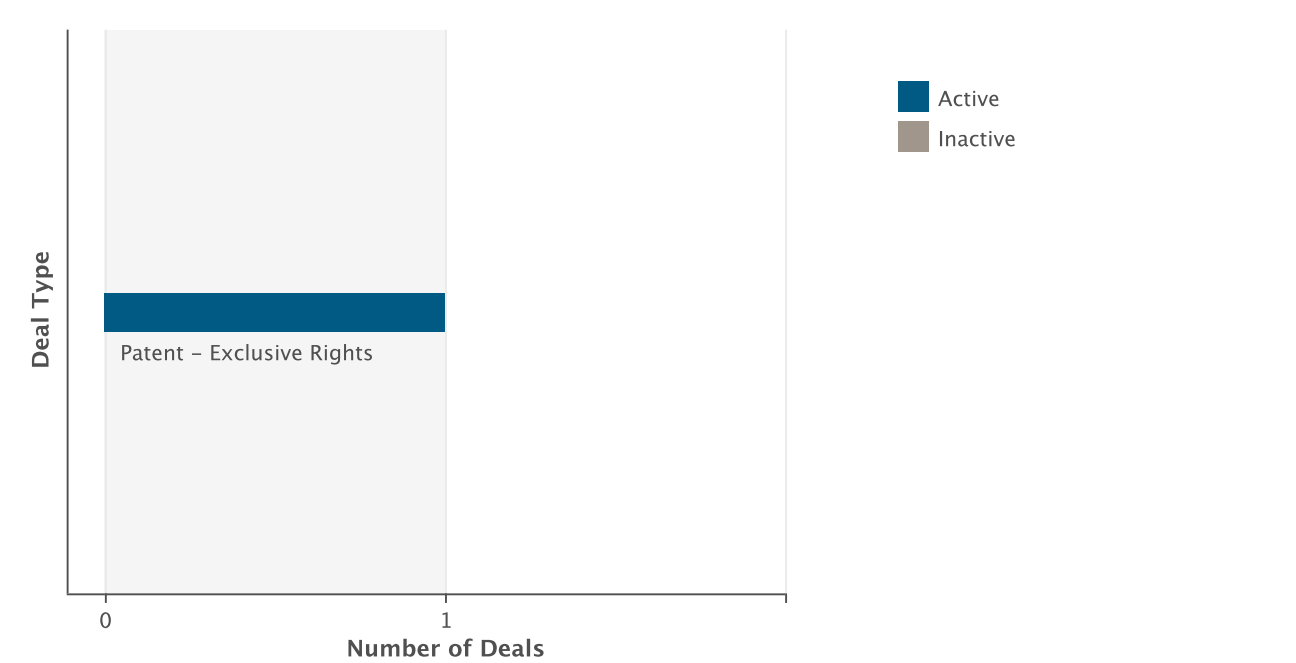


Deals by Parent Company Table

| Company Name    | Principal |          | Partner |          | Total |
|-----------------|-----------|----------|---------|----------|-------|
|                 | Active    | Inactive | Active  | Inactive |       |
| US Government   | 1         | 0        | 0       | 0        | 1     |
| Kite Pharma Inc | 0         | 0        | 1       | 0        | 1     |

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Deals by Type Chart



Deals by Type Table

| Deal Type                 | Active | Inactive | Total |
|---------------------------|--------|----------|-------|
| Patent - Exclusive Rights | 1      | 0        | 1     |

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## T-cell therapy (epithelial tumors), Kite Pharma

### T-cell therapy (epithelial tumors), Kite Pharma SNAPSHOT

|                      |   |
|----------------------|---|
| Drug Name            | T-cell therapy (epithelial tumors), Kite Pharma           |
| Key Synonyms         |   |
| Originator Company   | Kite Pharma Inc   |
| Active Companies     | Kite Pharma Inc   |
| Inactive Companies   |   |
| Highest Status       | Discovery   |
| Active Indications   | Colorectal tumor;Lung tumor                               |
| Target-based Actions |   |
| Other Actions        | Anticancer;Genetically engineered autologous cell therapy |
| Technologies         | Biological therapeutic;T-lymphocyte;Cell therapy          |
| Last Change Date     | 03-Mar-2015   |

### T-cell therapy (epithelial tumors), Kite Pharma DEVELOPMENT PROFILE

#### SUMMARY

Kite Pharma is investigating an engineered autologous T-cell therapy targeting tumor antigens, that incorporates its T Cell Receptor (TCR) technology, for the potential treatment of epithelial tumors including colorectal and lung cancers.

### T-cell therapy (epithelial tumors), Kite Pharma DEVELOPMENT STATUS

#### CURRENT DEVELOPMENT STATUS

| Company         | Indication       | Country | Development Status | Date        |
|-----------------|------------------|---------|--------------------|-------------|
| Kite Pharma Inc | Colorectal tumor | US      | Discovery          | 24-Feb-2015 |
| Kite Pharma Inc | Lung tumor       | US      | Discovery          | 24-Feb-2015 |

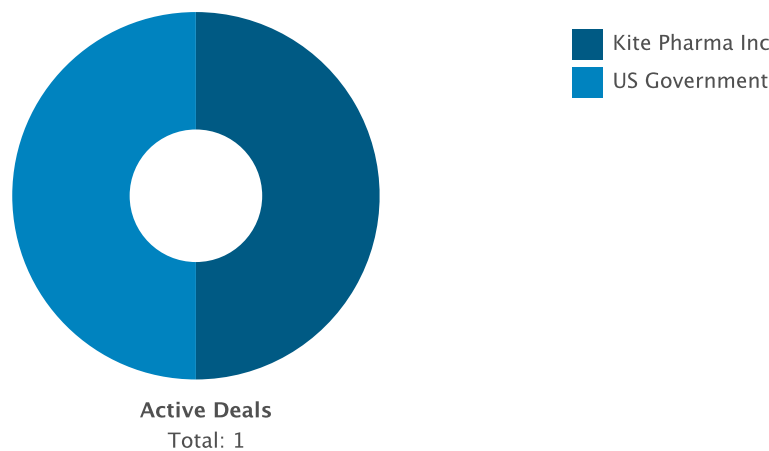
### T-cell therapy (epithelial tumors), Kite Pharma DRUG NAMES

| Names   | Type |
|---|------|
| T-cell therapy (epithelial tumors), Kite Pharma |      |

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DEALS

Deals by Parent Company Chart



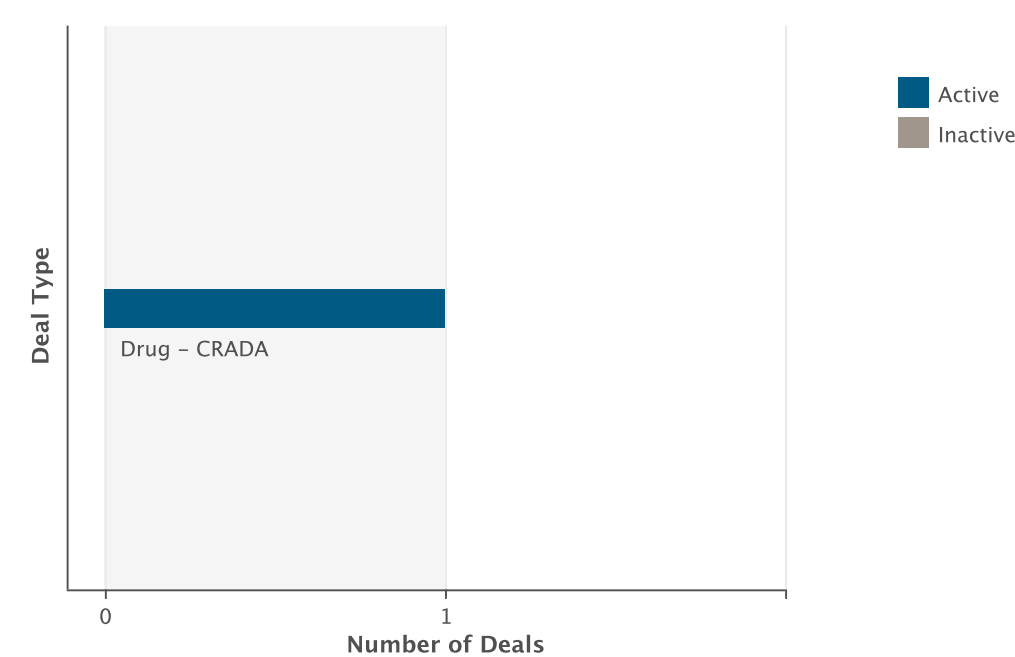
Deals by Parent Company Table

| Company Name    | Principal |          | Partner |          | Total |
|-----------------|-----------|----------|---------|----------|-------|
|                 | Active    | Inactive | Active  | Inactive |       |
| US Government   | 1         | 0        | 0       | 0        | 1     |
| Kite Pharma Inc | 0         | 0        | 1       | 0        | 1     |

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Deals by Type Chart



Deals by Type Table

| Deal Type    | Active | Inactive | Total |
|--------------|--------|----------|-------|
| Drug - CRADA | 1      | 0        | 1     |

## chimeric antigen receptor T cell therapy (cancer), Kite Pharma/ Tel Aviv Sourasky Medical Center

chimeric antigen receptor T cell therapy (cancer), Kite Pharma/ Tel Aviv Sourasky Medical Center  
SNAPSHOT

|                      |  |
|----------------------|--|
| Drug Name            | chimeric antigen receptor T cell therapy (cancer), Kite Pharma/ Tel Aviv Sourasky Medical Center |
| Key Synonyms         |  |
| Originator Company   | Kite Pharma Inc  |
| Active Companies     | Kite Pharma Inc;Tel Aviv Sourasky Medical Center   |
| Inactive Companies   |  |
| Highest Status       | Discovery  |
| Active Indications   | Cancer   |
| Target-based Actions |  |
| Other Actions        | Anticancer;Genetically engineered autologous cell therapy  |
| Technologies         | Biological therapeutic;T-lymphocyte;Receptor chimeric;Cell therapy                               |
| Last Change Date     | 24-Jan-2015  |

## chimeric antigen receptor T cell therapy (cancer), Kite Pharma/ Tel Aviv Sourasky Medical Center DEVELOPMENT PROFILE

### SUMMARY

Kite Pharma and Tel Aviv Sourasky Medical Center are investigating a chimeric antigen receptor (CAR) T cell therapy for the potential treatment of cancer.

## chimeric antigen receptor T cell therapy (cancer), Kite Pharma/ Tel Aviv Sourasky Medical Center DEVELOPMENT STATUS

### CURRENT DEVELOPMENT STATUS

| Company                          | Indication | Country | Development Status | Date        |
|----------------------------------|------------|---------|--------------------|-------------|
| Kite Pharma Inc                  | Cancer     | US      | Discovery          | 22-Jan-2015 |
| Tel Aviv Sourasky Medical Center | Cancer     | Israel  | Discovery          | 22-Jan-2015 |

## chimeric antigen receptor T cell therapy (cancer), Kite Pharma/ Tel Aviv Sourasky Medical Center DRUG NAMES

| Names  | Type |
|--|------|
| chimeric antigen receptor T cell therapy (cancer), Kite Pharma/ Tel Aviv Sourasky Medical Center |      |

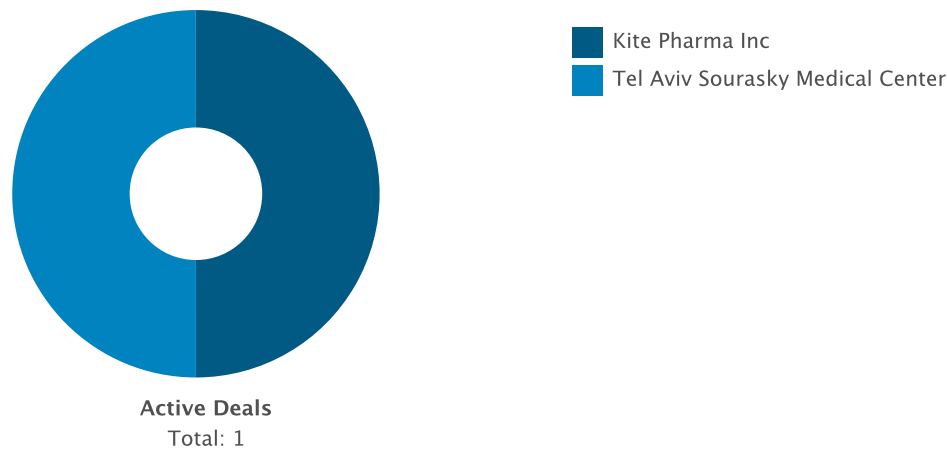
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chimeric antigen receptor T cell therapy (cancer), Kite Pharma/ Tel Aviv Sourasky Medical Center

DEALS AND PATENTS

DEALS

Deals by Parent Company Chart



Deals by Parent Company Table

| Company Name                     | Principal |          | Partner |          | Total |
|----------------------------------|-----------|----------|---------|----------|-------|
|                                  | Active    | Inactive | Active  | Inactive |       |
| Kite Pharma Inc                  | 0         | 0        | 1       | 0        | 1     |
| Tel Aviv Sourasky Medical Center | 1         | 0        | 0       | 0        | 1     |

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Deals by Type Chart



Deals by Type Table

| Deal Type                         | Active | Inactive | Total |
|-----------------------------------|--------|----------|-------|
| Drug - Early Research/Development | 1      | 0        | 1     |

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## Chimeric Antigen Receptor eACTs (cancer), Kite/ Amgen

### Chimeric Antigen Receptor eACTs (cancer), Kite/ Amgen SNAPSHOT

|                      |   |
|----------------------|---|
| Drug Name            | Chimeric Antigen Receptor eACTs (cancer), Kite/ Amgen     |
| Key Synonyms         |   |
| Originator Company   | Kite Pharma Inc   |
| Active Companies     | Kite Pharma Inc;Amgen Inc                                 |
| Inactive Companies   |   |
| Highest Status       | Discovery   |
| Active Indications   | Cancer  |
| Target-based Actions |   |
| Other Actions        | Genetically engineered autologous cell therapy;Anticancer |
| Technologies         | Biological therapeutic;T-lymphocyte;Receptor chimeric     |
| Last Change Date     | 07-Jan-2015   |

### Chimeric Antigen Receptor eACTs (cancer), Kite/ Amgen DEVELOPMENT PROFILE

#### SUMMARY

Kite Pharma and Amgen are investigating Chimeric Antigen Receptor (CAR) engineered peripheral blood autologous T-cell therapies (eACT) for the potential treatment of cancer.

### Chimeric Antigen Receptor eACTs (cancer), Kite/ Amgen DEVELOPMENT STATUS

#### CURRENT DEVELOPMENT STATUS

| Company         | Indication | Country | Development Status | Date        |
|-----------------|------------|---------|--------------------|-------------|
| Amgen Inc       | Cancer     | US      | Discovery          | 31-Dec-2014 |
| Kite Pharma Inc | Cancer     | US      | Discovery          | 31-Dec-2014 |

### Chimeric Antigen Receptor eACTs (cancer), Kite/ Amgen DRUG NAMES

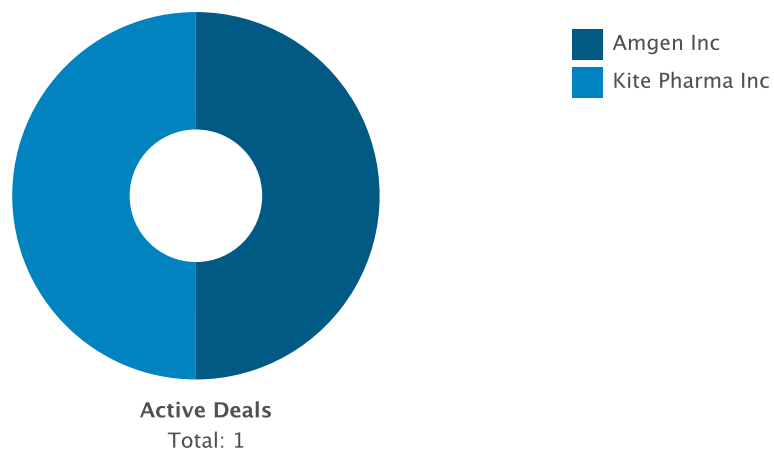
| Names   | Type |
|---|------|
| Chimeric Antigen Receptor eACTs (cancer), Kite/ Amgen |      |

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DEALS

Deals by Parent Company Chart

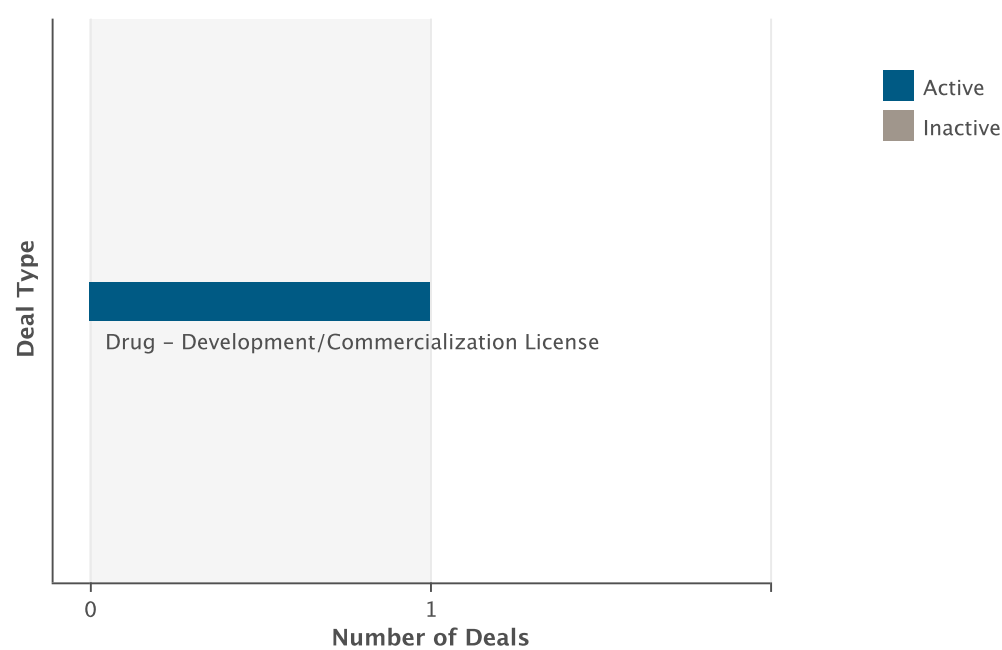


Deals by Parent Company Table

| Company Name    | Principal |          | Partner |          | Total |
|-----------------|-----------|----------|---------|----------|-------|
|                 | Active    | Inactive | Active  | Inactive |       |
| Kite Pharma Inc | 1         | 0        | 0       | 0        | 1     |
| Amgen Inc       | 0         | 0        | 1       | 0        | 1     |

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Deals by Type Chart



Deals by Type Table

| Deal Type                                    | Active | Inactive | Total |
|--|--------|----------|-------|
| Drug - Development/Commercialization License | 1      | 0        | 1     |

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