

Cerulean Pharma Inc

COMPANY AND PIPELINE OVERVIEW REPORT

A comprehensive coverage of the company and a summary of the drug pipeline portfolio.

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THOMSON REUTERS

3 Times Square
New York, New York 10036
United States

Tel: +1 646 223 4000

thomsonreuters.com

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ABOUT COMPANY AND PIPELINE OVERVIEW REPORT

Thomson Reuters provides the knowledge, tools, and expertise to help support drug discovery and development activities, IP portfolio optimization, identification of licensing and partnering opportunities, delivery of successful regulatory submissions, and the ability to keep current with the rapidly-changing pharmaceutical and chemical markets, supporting informed, early decisions.

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GLOSSARY

Number of Drugs in Active Development

Number of drugs associated with the company or subsidiary that are currently in active development, i.e. the development status for the drug(s) is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

Number of Inactive Drugs

Number of drugs associated with the company or subsidiary that are currently classified as inactive, i.e. where the development status for the drug(s) is one of the following: No Development Reported, Discontinued, or Withdrawn.

Number of Patents as Owner

Number of patents associated with the company where the company is listed as owner; i.e. the relationship type (or way the patent refers to the company) is: Patent Assignee/Owner, Patent owner (not assignee), Licensee for development and marketing, Licensee – marketing only (Distributor), Patent assignee of family member, Inferred assignee.

Number of Patents as Third Party

Number of patents associated with the company where the company is listed as third party; i.e. the relationship type (or way the patent refers to the company) is: Patent assignee (not owner), Ex-Licensee for development and marketing, Ex-Licensee marketing only (Distributor), Customer of technology, Ex-Customer of technology, Patent opponent or infringer, Affiliate organization of inventor, Owner of underlying technology.

Patents summary table

This table represents a summary of the core patent coverage for this company covering Therapeutic EP, US and WO patents since 1990 only.

Number of Deals

A count of deals where the company or one of its subsidiaries is the primary company.

Key Indications

Displays top ten key indications for the company and its subsidiaries based on frequency (indications occurring with high and identical frequency are always included, and this may result in more than ten Key Indications being listed). Includes both indications associated with patents where the company is patent owner and indications associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

Key Target-based Actions

Displays top ten key target-based actions for the company and its subsidiaries based on frequency (actions occurring with high and identical frequency are always included, and this may result in more than ten Key Target-based Actions being listed). Includes both target-based actions associated with patents where the company patent owner and target-based actions associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended. A target-based action is one that is associated with a target.

Key Technologies

Displays top ten key technologies for the company and its subsidiaries based on frequency (technologies occurring with high and identical frequency are always included, and this may result in more than ten Key Technologies being listed). Includes both key technologies associated with patents where the company relationship is patent owner and key technologies associated with drugs in active development. A drug is classified as 'active' if it features on a row (or rows) in the current development status table where the status is one of the following: Discovery, Clinical, Phase I, Phase II, Phase III, Pre-registration, Registered, Launched, or Suspended.

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PLEASE NOTE: the financials section where present in the report includes: Share Information, Stock Performance chart (including the consensus recommendation), and Major Shareholders. Financial Performance presents graphical and tabular data on Worldwide Sales, Operating Income and Net Income over time, together with a Quarterly earnings update. Balance Sheet lists Assets, Liabilities and Stockholders Equity, and Forecasts includes: EPS Forecast and Other Forecasts. The data reported in all sections (except share information and major stock holders) are correct as of the publication date of the report (and not the download date). For share information and major stock holders the data is correct for the date shown with these sections

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Cerulean Pharma Inc

COMPANY OVERVIEW

Company Name	Cerulean Pharma Inc
Parent Company Name	Cerulean Pharma Inc
Website	http://ceruleanrx.com/
Country	US
Number of Drugs in Active Development	4
Number of Inactive Drugs	1
Number of Patents as Owner	26
Number of Patents as Third Party	2
Number of Deals	1
Key Indications	Cancer,Breast tumor,Non-small-cell lung cancer,Ovary tumor,Stomach tumor,Renal tumor,Cardiovascular disease,Rectal tumor,Small-cell lung cancer,Autoimmune disease
Key Target-based Actions	Topoisomerase I inhibitor,Hypoxia inducible factor-1 alpha inhibitor,Proteasome inhibitor,Topoisomerase II inhibitor,DHFR inhibitor,DNA polymerase inhibitor,Hemagglutinin inhibitor,Hemagglutinin modulator,JAK tyrosine kinase inhibitor,PLK1 gene inhibitor,Thymidylate synthase inhibitor,Transferase inhibitor,VEGF receptor antagonist
Key Technologies	Nanoparticle formulation,Small molecule therapeutic,PEGylated formulation,Biological therapeutic,Oligonucleotide,Formulation preservation,Peptide,Controlled release formulation,Intravenous formulation,Cell targeting,Nanoparticle formulation injectable,Oligonucleotide

COMPANY PROFILE

SUMMARY

Cerulean Pharma (formerly Tempo Pharmaceuticals Inc) is a biopharmaceutical company specializing in the development of nanoparticle-based therapeutics for oncology, autoimmune and inflammatory diseases, based on its Nanocell technology that was exclusively licensed from the Massachusetts Institute of Technology. In October 2008, the company changed its name to Cerulean Pharma.

FINANCIAL

In April 2014, Cerulean priced its IPO of 8,500,000 shares of its common stock US \$7.00 per share. Underwriters were granted a 30-day option to purchase an additional 1,275,000 shares. Stock to be listed on the NASDAQ Global Market under the ticker symbol 'CERU'. At that time, the offering was expected to close on April 15, 2014. Later that month, the offering was closed. In May 2014, underwriters for the IPO exercised their over-allotment option and purchased an additional 1,069,715 shares, bringing total number of shares sold to 9,569,715 shares and total gross proceeds to \$67 million.

In December 2011, Cerulean raised \$15 million from a series D financing.

In November 2010, Cerulean raised \$24 million from a series C financing.

In July 2009, Cerulean raised \$10 million from a series B-1 financing.

In January 2008, Tempo raised \$8.1 million from a series B financing.

In May 2007, Tempo raised \$12.1 million from a series A financing round.

In December 2006, Tempo raised \$2 million from a seed round.

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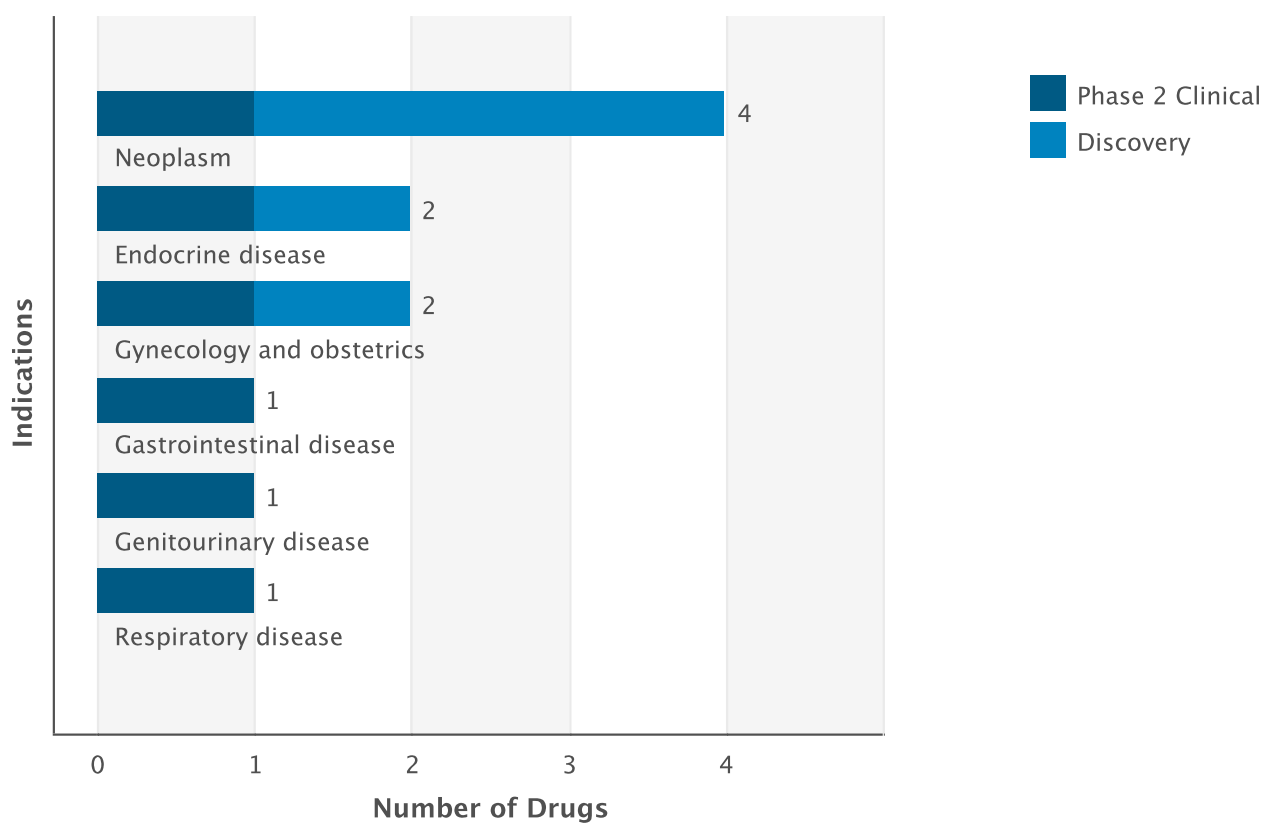


PRODUCT PORTFOLIO SUMMARY

DRUGS

Drugs by Indication

Active Drugs by Indication Chart



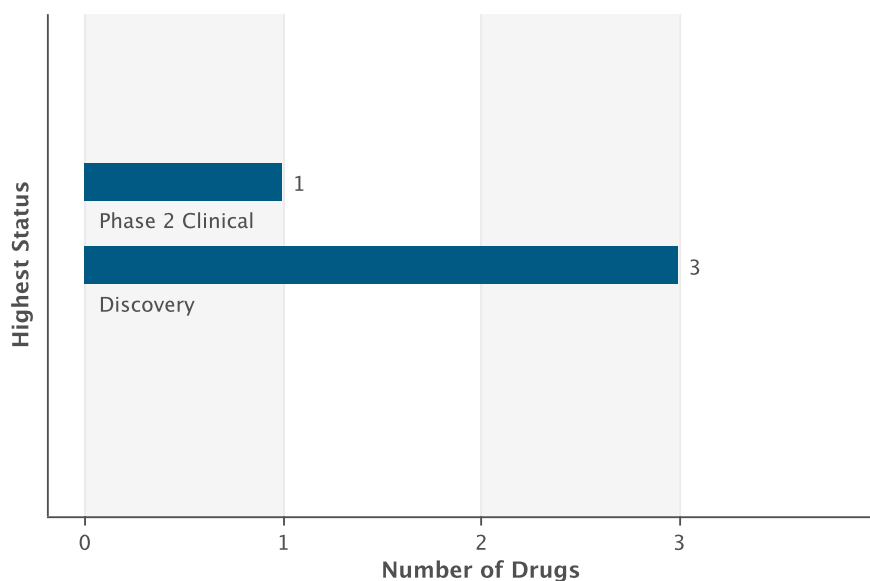
Drugs by Indication Table

Indication	Active	Inactive	Total
Neoplasm	4	1	5
Endocrine disease	2	0	2
Gynecology and obstetrics	2	0	2
Gastrointestinal disease	1	0	1
Genitourinary disease	1	0	1
Respiratory disease	1	0	1

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Drugs by Highest Status

Active Drugs by Highest Status Chart



Drugs by Highest Status Table

Development Status	Number of Drugs
Phase 2 Clinical	1
Discovery	3
No Development Reported	1

DEALS

Deal Type	Principal		Partner		Total
	Active	Inactive	Active	Inactive	
Drug - Development/Commercialization License	0	0	1	0	1

CLINICAL TRIALS

Trials by Condition Studied

Condition Studied	Ongoing	All
Neoplasm	2	5
Genitourinary disease	1	2
Endocrine disease	0	1
Gastrointestinal disease	1	1

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Respiratory disease	0	1
Gynecology and obstetrics	0	1

Trials by Phase

Phase	Ongoing	All
Phase 2	1	3
Phase 1	1	2

Phase Definitions

Phase 3 Clinical

Includes Phase 3, Phase 3b, Phase 3a, Phase 2/3 (where enrolment count is 300 or over)

Phase 2 Clinical

Includes Phase 2, Phase 2a, Phase 2b, Phase 1/2 (where enrolment count is 100 or over), Phase 2/3 (where enrolment count is under 300 or not specified)

Phase 1 Clinical

Includes Phase 1, Phase 1a, Phase 1, Phase 1/2 (where enrolment count is under 100 or not specified), Phase 0

PATENTS *

Indication	As Owner	As Third Party	Total
Cardiovascular disease	10	1	11
Endocrine disease	11	0	11
Gastrointestinal disease	11	0	11
Genitourinary disease	7	0	7
Growth disorder	1	0	1
Hematological disease	4	0	4
Degeneration	2	0	2
Andrology	2	0	2
Immune disorder	15	1	16
Psychiatric disorder	1	0	1
Musculoskeletal disease	6	0	6
Neoplasm	23	1	24
Ocular disease	2	0	2
Genetic disorder	2	0	2
Metabolic disorder	11	0	11

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Neurological disease	6	0	6
Nutritional disorder	3	0	3
Respiratory disease	5	2	7
Infectious disease	5	2	7
Inflammatory disease	14	1	15
Otorhinolaryngological disease	1	0	1
Gynecology and obstetrics	7	0	7
Dermatological disease	5	0	5

* This table represents a summary of the core patent coverage for this company covering Therapeutic EP, US and WO patents since 1990 only.

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PRODUCT PORTFOLIO DRUGS

PLEASE NOTE: Highest status refers to highest development of that drug for one of the active companies

camptothecin nanoparticle (cancer), Cerulean Pharma

Drug Name	camptothecin nanoparticle (cancer), Cerulean Pharma
Key Synonyms	
Originator Company	Calando Pharmaceuticals Inc
Active Companies	Cerulean Pharma Inc
Inactive Companies	Calando Pharmaceuticals Inc
Highest Status	Phase 2 Clinical
Active Indications	Small-cell lung cancer, Non-small-cell lung cancer, Renal tumor, Ovary tumor, Stomach tumor, Rectal tumor
Target-based Actions	Topoisomerase I inhibitor, Hypoxia inducible factor-1 alpha inhibitor
Other Actions	Anticancer, Synergist
Technologies	Nanoparticle formulation injectable, Controlled release formulation, Intravenous formulation, Small molecule therapeutic
Last Change Date	14-Nov-2014

CRLX-301

Drug Name	CRLX-301
Key Synonyms	
Originator Company	Cerulean Pharma Inc
Active Companies	Cerulean Pharma Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Cancer
Target-based Actions	
Other Actions	Anticancer, Cell cycle inhibitor, Microtubule inhibitor
Technologies	Nanoparticle formulation, Small molecule therapeutic
Last Change Date	13-Aug-2014

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siRNA-containing polymeric nanoparticle technology (cancer), Cerulean

Drug Name	siRNA-containing polymeric nanoparticle technology (cancer), Cerulean
Key Synonyms	
Originator Company	Cerulean Pharma Inc
Active Companies	Cerulean Pharma Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Breast tumor
Target-based Actions	
Other Actions	siRNA agent, Anticancer
Technologies	Oligonucleotide, Nanoparticle formulation, Biological therapeutic
Last Change Date	24-Sep-2014

CRLX-522

Drug Name	CRLX-522
Key Synonyms	
Originator Company	Cerulean Pharma Inc
Active Companies	Cerulean Pharma Inc
Inactive Companies	
Highest Status	Discovery
Active Indications	Cancer
Target-based Actions	
Other Actions	Anticancer
Technologies	Nanoparticle formulation, Small molecule therapeutic
Last Change Date	17-Apr-2014

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