

**2** Let  $G$  and  $H$  be topological groups. Show that a group homomorphism  $f : G \rightarrow H$  is continuous if and only if for every neighborhood  $V$  of the identity  $e_h \in H$ , there is a neighborhood  $U$  of the identity  $e_g \in G$  such that  $f(U) \subseteq V$ .

**Lemma 1.** If  $G$  is a topological group and  $x \in G$ , then the map

$$\begin{aligned} G &\xrightarrow{m_x} G \\ y &\longmapsto xy \end{aligned}$$

is a homeomorphism of  $G$ .

*Proof.* Consider the subspace  $\{x\} \times G$  of  $G \times G$ , with the subspace topology (which, trivially, agrees with its product topology). Then the inclusion  $\{x\} \times G \hookrightarrow G \times G$  is continuous. Note that the projection to the second coordinate  $\{x\} \times G \rightarrow G$  is injective. Since, in general, projections are continuous, open, and surjective, this projection is a homeomorphism.

Let  $m : G \times G \rightarrow G$  denote the multiplication map, then  $m_x = m(x, -)$  can be written as the following composition of continuous maps:

$$\begin{aligned} G &\xrightarrow{\sim} \{x\} \times G \hookrightarrow G \times G \xrightarrow{m} G \\ y &\longmapsto \hspace{10em} xy \end{aligned}$$

Therefore,  $m_x$  is a continuous map, for all  $x \in G$ . Since  $G$  is a group,  $m_x$  is also bijective and has the continuous inverse  $m_{x^{-1}}$ , hence it is a homeomorphism. □

*Proof of Problem 2.* If  $f$  is continuous, then any open neighborhood  $V \subseteq H$  of  $e_h$  has an open preimage  $f^{-1}(V) \subseteq G$ . Because  $f$  is a group homomorphism, we have  $f(e_g) = e_h \in V$ , implying  $e_g \in f^{-1}(V)$ . Hence,  $f^{-1}(V)$  is an open neighborhood of  $e_g$ , with  $f(f^{-1}(V)) = V$ .

Suppose  $f$  has the second property. Let  $V \subseteq H$  be an open subset; we will prove  $f^{-1}(V)$  is open in  $G$  by looking at a point. Let  $x \in f^{-1}(V)$  and denote  $y = f(x)$ . Consider the shifted set  $y^{-1}V \subseteq H$ , which is open by Lemma 1 (can write  $y^{-1}V = m_{y^{-1}}(V)$ ). Since  $y \in V$ ,

$$e_h = y^{-1}y \in y^{-1}V.$$

That is,  $y^{-1}V$  is an open neighborhood of  $e_h$ . Applying the assumed property of  $f$ , there is an open neighborhood  $U \subseteq G$  of  $e_g$ , such that  $f(U) \subseteq y^{-1}V$ . Again applying Lemma 1, the shifted set  $xU$  is open in  $G$ . And  $e_g \in U$  implies

$$x = xe_g \in xU.$$

That is,  $xU$  is an open neighborhood of  $x$ . Then

$$f(xU) = f(x)f(U) = yf(U) \subseteq y(y^{-1}V) = V,$$

which implies  $xU \subseteq f^{-1}(V)$ . Hence  $f^{-1}(V)$  is open in  $G$ , so  $f$  is continuous. □

4 A continuous map is called *proper* if the preimage of every compact set is compact. Show that there is no surjective proper map  $\mathbb{R}^2 \rightarrow \mathbb{R}$ .

*Proof.* Suppose, for contradiction, that we have a map  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ , which is continuous, surjective, and proper. Then

$$K = f^{-1}([-1, 1]) \subseteq \mathbb{R}^2$$

is compact, therefore bounded. Suppose  $K$  is contained in a ball of radius  $R > 0$  around the origin. Define  $B = B_R((0, 0)) \subseteq \mathbb{R}^2$ , so  $K \subseteq B$ .

Since  $f$  is continuous and  $\overline{B} \subseteq \mathbb{R}^2$  is compact, the image  $f(\overline{B}) \subseteq \mathbb{R}$  is compact. So we can choose  $M > 0$  such that  $f(\overline{B}) \subseteq (-M, M)$ . Since  $f$  is surjective, there are  $a, b \in \mathbb{R}^2$  such that  $f(a) = -M$  and  $f(b) = M$ . We know that  $a, b \notin B$ , because  $\pm M \notin f(B)$ .

Notice that  $\mathbb{R}^2 \setminus B$  is a path-connected set. From any point, one can draw the line towards the origin, until it hits the circle  $\partial B$ . Then, a path between any two points can be constructed by chaining each of their paths to the circle with an arc.

Let  $\gamma : [0, 1] \rightarrow \mathbb{R}^2 \setminus B$  be a path from  $a$  to  $b$  outside of  $B$ , i.e.,  $\gamma(0) = a$  and  $\gamma(1) = b$ . Then  $g = f \circ \gamma$  is a continuous function  $[0, 1] \rightarrow \mathbb{R}$  with  $g(0) = -M$  and  $g(1) = M$ . By the intermediate value theorem, there is some  $t \in [0, 1]$  such that  $0 = g(t) = f(\gamma(t))$ . This means  $\gamma(t) \in K \subseteq B$ , which contradicts the choice of  $\gamma$  as a path outside  $B$ .

□

**5** A metric space is *proper* if every closed ball in it is compact.

**(a)** Show that every proper metric space is complete.

*Proof.* Let  $(X, d)$  be a proper metric space and  $(x_n)$  be a Cauchy sequence in  $X$ . For each  $k \in \mathbb{N}$ , choose  $N_k \in \mathbb{N}$  such that

$$n, m \geq N_k \implies d(x_n, x_m) < \frac{1}{k}.$$

Define the closed ball

$$E_k = \overline{B_{1/k}(x_{N_k})}.$$

For all  $n \geq N_k$ , we have  $d(x_n, x_{N_k}) < 1/k$ , which tells us  $x_n \in E_k$ .

Define the set  $E = \bigcap_{k \in \mathbb{N}} E_k$ ; we claim that  $E$  is a singleton. If  $E$  is nonempty, and  $x, y \in E$ , then  $x, y \in E_k$  implies  $d(x, y) \leq 2/k$ , for all  $k \in \mathbb{N}$ . Letting  $k \rightarrow \infty$ , we obtain  $d(x, y) = 0$ , so  $x = y$ . Hence,  $E$  contains at most one point, and it remains to show  $E$  is nonempty.

Suppose, for contradiction, that  $E$  is empty, then

$$X = E^c = \bigcup_{k \in \mathbb{N}} E_k^c.$$

That is, the complements  $\{E_k^c\}$  form an open cover of  $X$ . In particular, this is an open cover of the first closed ball  $E_1$ , which is compact since  $X$  is proper. Therefore, we can find a finite subcover

$$E_1 \subseteq \bigcup_{i=1}^{\ell} E_{k_i}^c.$$

Define  $K = \max\{k_1, \dots, k_{\ell}\}$ , then  $x_{N_K} \in E_k$  for all  $k \leq K$ . However, this means  $x_{N_K} \in E_1$ , but  $x_{N_K}$  is not in any  $E_{k_1}^c, \dots, E_{k_{\ell}}^c$ , which is a contradiction.

It follows that  $E = \{x\}$  for some  $x \in X$ . In fact,  $x$  is the limit of the sequence  $(x_n)$ ; we will verify this. Given  $\varepsilon > 0$ , choose  $k \in \mathbb{N}$  such that  $2/k < \varepsilon$ . Then, for all  $n \geq N_k$ ,

$$d(x_n, x) \leq d(x_n, x_{N_k}) + d(x_{N_k}, x) \leq \frac{1}{k} + \frac{1}{k} < \varepsilon,$$

hence  $x_n \rightarrow x$ . This proves  $X$  is complete. □

**(b)** Show that every open set in a proper metric space is a union of a countable sequence  $K_1 \subseteq K_2 \subseteq \cdots$  of compact sets. (Use Homework 2.)

*Proof.* Let  $(X, d)$  be a proper metric space. Let  $V \subseteq X$  be an open set. For  $n \in \mathbb{N}$ , define the closed set

$$E_n = U(V^c, 1/n)^c = \{x \in X : B_{1/n}(x) \subseteq V\}.$$

In words,  $E_n$  is points of  $V$  which are at least a distance  $1/n$  from its boundary. By construction, we have  $E_n \subseteq E_{n+1} \subseteq V$ . Fix a point  $x_0 \in X$ . For  $n \in \mathbb{N}$ , define the closed set

$$K_n = E_n \cap \overline{B_n(x_0)} = \{x \in E : d(x, x_0) \leq n\}.$$

Since  $X$  is proper, the closed ball is compact, implying the closed subset  $K_n$  is also compact. Like the  $E_n$ 's, the balls are also nested, so we again have  $K_n \subseteq K_{n+1} \subseteq V$ .

Note that every point in  $V$  has a positive distance to the boundary and a finite distance to  $x_0$ , so is eventually in some  $K_n$ . Explicitly, for each  $x \in V$ , we have

$$d(x, V^c) > 0 \quad \text{and} \quad d(x, x_0) < \infty.$$

Therefore, we can choose  $N_1, N_2 \in \mathbb{N}$  such that  $d(x, V^c) < 1/N_1$  and  $d(x, x_0) < N_2$ . So if we define  $N = \max\{N_1, N_2\}$ , then we know  $x \in K_N$ .

Hence, we can write  $V$  as

$$V = \bigcup_{n \in \mathbb{N}} K_n,$$

which is a countable union of nested compact sets.

□

**6** Are the following subspaces closed? Prove it or give a counterexample.

**(a)** The set of *compactly supported* in  $\mathcal{C}_B(\mathbb{R})$  with the sup norm.

No.

Let  $X = \{f \in \mathcal{C}_B(\mathbb{R}) : f|_{\mathbb{R} \setminus K} = 0 \text{ for some compact set } K \subseteq \mathbb{R}\}$ .

Consider the function  $f : \mathbb{R} \rightarrow \mathbb{R}$  defined by

$$f(x) = e^{-x^2}.$$

We use the following facts from real analysis:

- (i)  $f$  is continuous and positive on all of  $\mathbb{R}$ ,
- (ii)  $f$  is increasing on  $(-\infty, 0]$  and decreasing on  $[0, \infty)$ ,
- (iii)  $\lim_{|x| \rightarrow \infty} f(x) = 0$ .

It follows from (i) and (ii) that  $f \in \mathcal{C}_B(\mathbb{R}) \setminus X$ . However, we claim that  $f \in \overline{X}$ .

Let  $\varepsilon > 0$  and consider the open ball

$$B_\varepsilon(f) = \{g \in \mathcal{C}_B(\mathbb{R}) : \|f - g\|_\infty < \varepsilon\}.$$

Consider the function  $g : \mathbb{R} \rightarrow \mathbb{R}$  defined by

$$g(x) = \max\{f(x) - \varepsilon/2, 0\}.$$

Since  $g$  is continuous and  $0 \leq g(x) < f(x)$  for all  $x \in \mathbb{R}$ , we have  $g \in \mathcal{C}_B(\mathbb{R})$ . Moreover, since  $f(x) - \varepsilon < g(x) < f(x)$  for all  $x \in \mathbb{R}$ , we have  $\|f - g\|_\infty < \varepsilon$ , i.e.,  $g \in B_\varepsilon(f)$ .

By (iii), there is some  $M \in \mathbb{R}$  such that  $f(x) < \varepsilon/2$  whenever  $|x| \geq M$ . Then  $K = [-M, M]$  is a compact set with  $g|_{\mathbb{R} \setminus K} = 0$ , hence  $g \in X$ .

We have shown that every open ball around  $f$  has a nonempty intersection with  $X$ , so in fact  $f \in \overline{X}$ . But since  $f \notin X$ , this implies  $X \neq \overline{X}$ , i.e.,  $X$  is not closed.

**(b)** The set of functions in  $\mathcal{C}(\mathbb{R})$  with the compact-open topology which are zero on the set  $[0, 1]$ .

Yes.

*Proof.* Let  $X = \{f \in \mathcal{C}(\mathbb{R}) : f|_{[0,1]} = 0\}$ ; we will show that  $\mathcal{C}(\mathbb{R}) \setminus X$  is open.

Let  $f \in \mathcal{C}(\mathbb{R}) \setminus X$ , so there is some  $x \in [0, 1]$  such that  $f(x) \neq 0$ ; denote  $a = f(x)$ .

Consider the compact-open topology subbasis set

$$U = S(\{x\}, B_{|a|}(a)) = \{g \in \mathcal{C}(\mathbb{R}) : g(x) \in B_{|a|}(a)\}.$$

Then  $U$  is an open neighborhood of  $f$ , since  $f(x) = a \in B_{|a|}(a)$ .

Any  $g \in U$  must have  $|a - g(x)| < |a|$ ; in particular,  $g(x) \neq 0$ . Therefore,  $g \notin X$ , and we conclude that  $U \subseteq \mathcal{C}(\mathbb{R}) \setminus X$ . Hence,  $\mathcal{C}(\mathbb{R}) \setminus X$  is open, so  $X$  is closed.

□