

1 Exercise 0.9 Show that a retract of a contractible space is contractible.

Proof. Suppose X is a contractible space with $A \subseteq X$ a retract. Let $F : X \times I \rightarrow X$ be a contraction, i.e., a map with $F_0 = \text{id}_X$ and $F_1(X) = \{x_0\}$ for some point $x_0 \in X$. And let $r : X \rightarrow A$ be a retract, i.e., a map with $r|_A = \text{id}_A$.

We define a homotopy $G : A \times I \rightarrow A$ by the following composition:

$$A \times I \hookrightarrow X \times I \xrightarrow{F} X \xrightarrow{r} A.$$

By construction, for each $a \in A$, we have

$$G_0(a) = r(F_0(a)) = r(a) = a$$

and

$$G_1(a) = r(F_1(a)) = r(x_0).$$

In other words, $G_0 = \text{id}_A$ and $G_1(A) = \{r(x_0)\}$, hence G describes a contraction of A to the point $r(x_0) \in A$. □

2 Exercise 0.10 Show that a space X is contractible iff every map $f : X \rightarrow Y$, for arbitrary Y , is nullhomotopic.

Proof. Suppose X has a contraction $R : X \times I \rightarrow X$, and consider a map $f : X \rightarrow Y$. Then the composition $fR = f \circ R : X \times I \rightarrow Y$ defines a homotopy between the maps

$$(fR)_0 = f \circ R_0 = f \circ \text{id}_X = f$$

and

$$(fR)_1 = f \circ R_1,$$

the latter of which is a constant map since R_1 is constant. Hence, f is nullhomotopic.

On the other hand, if every map $X \rightarrow Y$ is nullhomotopic, then in particular the identity $\text{id}_X : X \rightarrow X$ is nullhomotopic, which means X is contractible. \square

Similarly, show X is contractible iff every map $f : Y \rightarrow X$ is nullhomotopic.

Proof. Suppose X has a contraction $R : X \times I \rightarrow X$, and consider a map $f : Y \rightarrow X$. Then the composition $Rf = R \circ (f \times \text{id}_I) : Y \times I \rightarrow X$ defines a homotopy between the maps

$$(Rf)_0 = R_0 \circ f = \text{id}_X \circ f = f$$

and

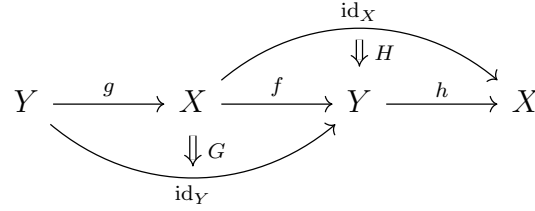
$$(fR)_1 = R_1 \circ f,$$

the latter of which is a constant map since R_1 is constant. Hence, f is nullhomotopic.

On the other hand, if every map $Y \rightarrow X$ is nullhomotopic, then in particular the identity $\text{id}_X : X \rightarrow X$ is nullhomotopic, which means X is contractible. \square

3 Exercise 0.11 Show that $f : X \rightarrow Y$ is a homotopy equivalence if there exist maps $g, h : Y \rightarrow X$ such that $fg \simeq \text{id}_Y$ and $hf \simeq \text{id}_X$.

Proof. Suppose $G : Y \times I \rightarrow X$ is a homotopy with $G_0 = f \circ g$ and $G_1 = \text{id}_Y$. Similarly, suppose $H : X \times I \rightarrow X$ is a homotopy with $H_0 = \text{id}_X$ and $H_1 = h \circ f$. We represent G and H with the following diagram (which commutes only in the sense that difference paths through the diagram are homotopic, rather than equal):



Intuitively, the diagram suggest a homotopy between g (the top path in the diagram) and h (the bottom path). To make this explicit, we construct the following “horizontal compositions” of homotopies (also used in Problem 2):

$$Hg = H \circ (g \times \text{id}_I) : Y \times I \rightarrow X$$

and

$$hG = h \circ G : Y \times I \rightarrow X.$$

The first of these describes a homotopy between the maps

$$(Hg)_0 = H_0 \circ g = \text{id}_X \circ g = g$$

and

$$(Hg)_1 = H_1 \circ g = (h \circ f) \circ g = h \circ f \circ g.$$

The second describes a homotopy between the maps

$$(hG)_0 = h \circ G_0 = h \circ (f \circ g) = h \circ f \circ g$$

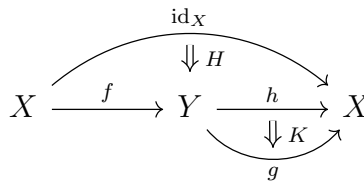
and

$$(hG)_1 = h \circ G_1 = h \circ \text{id}_Y = h.$$

The “vertical compositions” of these homotopies then gives us

$$g \simeq h \circ f \circ g \simeq h.$$

Lastly, if $K : Y \times I \rightarrow X$ is a homotopy with $K_0 = h$ and $K_1 = g$, then we could draw the following diagram of homotopies:



Again, the diagram suggests a homotopy between id_X and $g \circ f$ which we will make explicit. Constructing the horizontal composition

$$Kf = K \circ (f \times \text{id}_I) : X \times I \rightarrow X$$

gives us a homotopy between the maps

$$(Kf)_0 = K_0 \circ f = h \circ f$$

and

$$(Kf)_1 = K_1 \circ f = g \circ f.$$

Vertically composing this homotopy with H allows us to conclude

$$\text{id}_X \simeq h \circ f \simeq g \circ f.$$

By assumption, $f \circ g \simeq \text{id}_Y$, so in fact g is a homotopy inverse of f , hence f is a homotopy equivalence. \square

More generally, show that f is a homotopy equivalence if fg and hf are homotopy equivalences.

Proof. Suppose $k : Y \rightarrow Y$ and $\ell : X \rightarrow X$ are homotopy inverses to $f \circ g$ and $h \circ f$, respectively. Then let $G : Y \times I \rightarrow Y$ and $H : X \times I \rightarrow X$ be homotopies with

$$f \circ g \circ k = G_0 \simeq G_1 = \text{id}_Y$$

and

$$\text{id}_X = H_0 \simeq H_1 = \ell \circ h \circ f.$$

We describe the situation with the following diagram:

$$\begin{array}{ccccccc}
 & & & & \text{id}_X & & \\
 & & & & \downarrow H & & \\
 Y & \xrightarrow{k} & Y & \xrightarrow{g} & X & \xrightarrow{f} & Y & \xrightarrow{h} & X & \xrightarrow{\ell} & X \\
 & & & \downarrow G & & & & & & & \\
 & & & & \text{id}_Y & & & & & &
 \end{array}$$

Notice that this is essentially the same diagram as the one we used in the first part, but with g and h replaced with $g \circ k$ and $\ell \circ h$, respectively. Moreover, we can use the same techniques of horizontal and vertical composition of homotopies to obtain

$$g \circ k \simeq (g \circ k) \circ f \circ (\ell \circ h) \simeq \ell \circ h.$$

And again, if $K : Y \times I \rightarrow X$ is a homotopy with

$$\ell \circ h = K_0 \simeq K_1 = g \circ k,$$

then we can draw the following diagram of homotopies:

$$\begin{array}{ccccc}
 & & \text{id}_X & & \\
 & \nearrow & \Downarrow H & \searrow & \\
 X & \xrightarrow{f} & Y & \xrightarrow{\ell \circ h} & X \\
 & & \Downarrow K & & \\
 & & g \circ k & &
 \end{array}$$

This, in turn, gives us a homotopy

$$\text{id}_X \simeq (\ell \circ h) \circ f \simeq (g \circ k) \circ f.$$

By assumption, $f \circ (g \circ k) \simeq \text{id}_Y$, so in fact $g \circ k$ is a homotopy inverse of f , hence f is a homotopy equivalence. \square

4 Exercise 0.12 Show that a homotopy equivalence $f : X \rightarrow Y$ induces a bijection between the set of path-components of X and the set of path-components of Y , and that f restricts to a homotopy equivalence from each path-component of X to the corresponding path-component of Y .

Proof. We claim that a pair of points $a, b \in X$ are path-connected in X if and only if their images $f(a)$ and $f(b)$ are path-connected in Y .

On one hand, if $\gamma : I \rightarrow X$ is a path from a to b , then the composition $f \circ \gamma : I \rightarrow Y$ is a path from $f(a)$ to $f(b)$.

On the other hand, suppose $\gamma : I \rightarrow Y$ is a path from $f(a)$ to $f(b)$. Additionally, let $g : Y \rightarrow X$ be a homotopy inverse of f , and let $H : X \times I \rightarrow X$ be a homotopy

$$\text{id}_X = H_0 \simeq H_1 = g \circ f.$$

We construct maps $\alpha, \beta : I \rightarrow X$ by

$$\alpha(t) = H_t(a) \quad \text{and} \quad \beta(t) = H_t(b).$$

That is α is a path from

$$\alpha(0) = H_0(a) = \text{id}_X(a) = a \quad \text{to} \quad \alpha(1) = H_1(a) = g(f(a))$$

and β is a path from

$$\beta(0) = H_0(b) = \text{id}_X(b) = b \quad \text{to} \quad \beta(1) = H_1(b) = g(f(b)).$$

Lastly, $g \circ \gamma : I \rightarrow X$ is a path from $g(f(a))$ to $g(f(b))$. Hence, there is a path from a to b in X , obtained as the product of paths

$$\alpha \cdot (g \circ \gamma) \cdot \bar{\beta},$$

where $\bar{\beta}$ is the inverse path of β , i.e., $\bar{\beta}(t) = \beta(1 - t)$.

Therefore, it is indeed true that $a, b \in X$ are path-connected in X if and only if $f(a)$ and $f(b)$ are path-connected in Y . It follows that there is a well-defined injective function

$$\begin{aligned} \tilde{f} : \{PC(x) \mid x \in X\} &\longrightarrow \{PC(y) \mid y \in Y\}, \\ PC(x) &\longmapsto PC(f(x)), \end{aligned}$$

where $PC(-)$ denotes the path-component of a point in its respective space. (Note \tilde{f} is well-defined and injective since $PC(a) = PC(b)$ if and only if $PC(f(a)) = PC(f(b))$.) By the same argument, there is a well-defined injective function

$$\begin{aligned} \tilde{g} : \{PC(y) \mid y \in Y\} &\longrightarrow \{PC(x) \mid x \in X\}, \\ PC(y) &\longmapsto PC(g(y)). \end{aligned}$$

In fact, \tilde{f} and \tilde{g} are inverses of each other. A portion of the above argument shows that any given point $x \in X$ is path-connected to $g(f(x))$, by a restriction of the homotopy $\text{id}_X \simeq g \circ f$. In particular, this means

$$PC(x) = PC(g(f(x))) = \tilde{g}(\tilde{f}(PC(x)))$$

for all $x \in X$, and similarly that

$$PC(y) = PC(f(g(y))) = \tilde{f}(\tilde{g}(PC(y))),$$

for all $y \in Y$. Hence, \tilde{f} is a bijection with \tilde{g} its inverse (where g is any homotopy inverse). \square

Prove also the corresponding statements with components instead of path-components.

Proof. If $C \subseteq X$ is a connected component, the continuous image under f is connected in Y . Then $f(C)$ is contained in some connected component of Y , say $\hat{f}(C)$. This gives us a well-defined function

$$\begin{aligned} \hat{f} : \{\text{connected components of } X\} &\longrightarrow \{\text{connected components of } Y\}, \\ C &\longmapsto \hat{f}(C). \end{aligned}$$

Similarly, we have another function

$$\begin{aligned} \hat{g} : \{\text{connected components of } Y\} &\longrightarrow \{\text{connected components of } X\}, \\ C &\longmapsto \hat{g}(C). \end{aligned}$$

For a connected component $C \subseteq X$ and a point $x \in C$, we have

$$f(x) \subseteq f(C) \subseteq \hat{f}(C).$$

Note that since $\hat{f}(C)$ is a connected component and $PC(f(x))$ is a connected subspace of Y intersecting $\hat{f}(C)$, then we must have

$$\tilde{f}(PC(x)) = PC(f(x)) \subseteq \hat{f}(C).$$

By the same argument and the fact that \tilde{f} and \tilde{g} are inverses, we have

$$PC(x) = \tilde{g}(\tilde{f}(PC(x))) \subseteq \hat{g}(\hat{f}(C)).$$

Since C and $\hat{g}(\hat{f}(C))$ are connected components of X which intersect (at least at x), then in fact $C = \hat{g}(\hat{f}(C))$. Since \hat{f} and \hat{g} are symmetric, we conclude that they are inverses, and therefore describe a bijection. \square

Deduce from this that if the components and path-components of a space coincide, then the same is true for any homotopy equivalent space.

Since a homotopy equivalence induces a bijection between both the path-components and the connected components of each space, the two types of components coincide for one space if and only if they coincide for the other.

5 Exercise 0.13 Show that any two deformation retractions r_t^0 and r_t^1 of a space X onto a subspace A can be joined by a continuous family of deformation retractions r_t^s , $0 \leq s \leq 1$, of X onto A , where continuity means that the map $X \times I \times I \rightarrow X$ sending (x, s, t) to $r_t^s(x)$ is continuous.

Proof. Denote $Y = X \times I$, so that $r^0, r^1 : Y \rightarrow X$. We define a map $F : Y \times I \rightarrow X$ by

$$F^s(x, t) = r_t^0(r_{st}^1(x)).$$

(One may construct F explicitly as the result of combining continuous maps with continuity-preserving operations such as composition, product, multiplication, etc.) Then F describes a sort of “second-order” homotopy between

$$F^0 = r^0 \circ r_0^1 = r^0 \circ \text{id}_X = r^0$$

and

$$F^1 = r^0 \circ r^1.$$

Note that each $F^s : X \times I \rightarrow X$ is a homotopy with $F_t^s(x) = F^s(x, t)$. We find that

$$F_0^s = r_0^0 \circ r_0^1 = \text{id}_X \circ \text{id}_X = \text{id}_X.$$

For all $a \in A$ we have

$$F_t^s(a) = r_t^0(r_{st}^1(a)) = r_t^0(a) = a,$$

since $r_t^0|_A = r_t^1|_A = \text{id}_A$ for all t , i.e., $F_t^s|_A = \text{id}_A$ for all t . And for all $x \in X$ we have

$$F_1^s(x) = R_s(x, 1) = r_1^0(r_s^1(x)) \in A,$$

since $r_s^1(x) \in X$ and $r_1^0(X) \subseteq A$. In other words, each $F^s : X \times I \rightarrow X$ is a deformation retraction of X onto A . We conclude that R describes a second-order homotopy $r^0 \simeq r^0 \circ r^1$, where each intermediate homotopy F^s is a deformation retraction of X onto A .

We define another map $G : Y \times I \rightarrow X$ by

$$G^s(x, t) = r_{st}^0(r_t^1(x)),$$

which describes a second-order homotopy

$$r^1 = G^0 \simeq G^1 = r^0 \circ r^1.$$

One can check that, once again, each intermediate homotopy $G^s : X \times I \rightarrow X$ is a deformation retraction of X onto A . Composing these second-order homotopies, we obtain

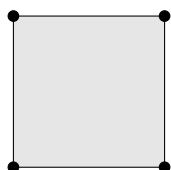
$$r^0 \simeq r^0 \circ r^1 \simeq r^1,$$

via deformation retractions of X onto A . □

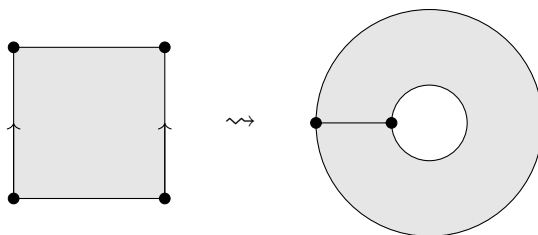
6 Exercise 0.17 Construct a 2-dimensional cell complex that contains both an annulus $S^1 \times I$ and a Möbius band as deformation retracts.

Note that a quotient of a cell complex where we identify a pair of closed n -cells is still a cell complex, as we could reconstruct the new cell complex by adding in only a single n -cell for the chosen pair, identifying smaller cells as necessary and modifying all higher attaching maps to reflect this.

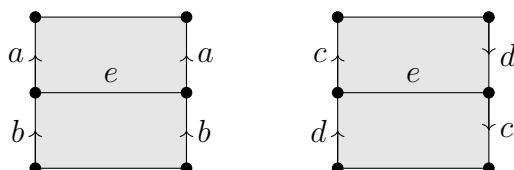
For example, we could construct a filled-in square as a 2-dimensional cell complex as follows:



We could then identify the pair of horizontally opposing 1-cells to obtain an annulus:



With this in mind, we construct a cell complex as the quotient of the following cell complex, where 1-cells are identified by name:



The left component becomes the annulus and the right component becomes the Möbius band. Each component can deformation retract onto the shared centerline e . Performing one of these deformation retractions while fixing the other component induces a deformation retraction of the whole complex onto the fixed component.

7 Exercise A.3 Show that a CW complex is path-connected iff its 1-skeleton is path-connected.

Proof. Assume $X = \bigcup_k X^k$ is a path-connected CW complex.

Let $\gamma : I \rightarrow X$ be a path in X . Since I is compact and γ is continuous, the image of γ is compact subspace of X . By Hatcher Proposition A.1, $\gamma(I)$ is contained in some finite subcomplex of X —in particular, in some k -skeleton. We conclude that every path in X is contained in some k -skeleton.

Let $x, y \in X^1$ be arbitrary distinct points in the 1-skeleton. Choose the minimum $k \geq 1$ such that X^k contains a path between x and y ; let $\gamma : I \rightarrow X^k$ be such a path. (Note that k is well-defined since some path in X exists, and the previous result tells us the path is contained in some skeleton.) Assume, for contradiction, that $k > 1$. Again, $\gamma(I) \subseteq X$ is compact, and therefore contained in finitely many cells. In particular, γ passes through finitely many k -cells e_1^k, \dots, e_n^k .

Since $k \geq 2$, the boundary ∂D_i^k of each of these k -cells is path-connected. Moreover, x and y are not in any of these k -cells, which means γ does not start or end inside of a k -cell. Therefore, we can construct a new path between x and y by following γ inside of X^{k-1} , but anywhere γ would pass through a k -cell e_i^k , we replace with a path through the boundary ∂D_i^k . This is possible since γ can only enter/exit the k -cell through its boundary, and any time γ enters a k -cell, it must eventually exit.

Since the boundaries ∂D_i^k are contained in X^{k-1} , this new path is completely contained in X^{k-1} . But this contradicts the choice of k , implying that $k = 1$. Hence, x and y are connected via a path in X^1 , and we conclude that X^1 is path-connected.

Assume now that $X = \bigcup_k X^k$ is a CW complex with X^1 path-connected. We show by induction on k that each k -skeleton is path-connected. Assume X^{k-1} is path-connected, and X^k is formed by attaching k -cells e_α^k to X^{k-1} . For a point $x \in X^k$, either $x \in X^{k-1}$ or $x \in e_\alpha^k$ for some α . In the latter case, e_α^k is path-connected to its boundary, which is contained in X^{k-1} . Hence, every point of X^k is path-connected to X^{k-1} . Then a path between any two points of X^k is obtained by first connecting each point to a point in X^{k-1} (if necessary), then finding a path connecting these points in X^{k-1} . Thus, X^k is path connected, which completes the induction. Then any two points in X are contained in some k -skeleton, which we have just shown to be path-connected. The path in X^k is also a path in X , hence X is path-connected. \square

8 Exercise A.4 Show that a CW complex is locally compact iff each point has a neighborhood that meets only finitely many cells.

Proof. Let X be a CW complex. In particular, X is Hausdorff, so we have the following equivalent criteria for X to be locally compact:

- (i) Each point of X has a compact neighborhood contained in any given neighborhood.
- (ii) Each point of X has a compact neighborhood.

Definition (i) is given by Hatcher, but we will use (ii) for this proof since, as noted, they are equivalent for Hausdorff spaces.

Suppose X is locally compact. Let $x \in X$ and let $K \subseteq X$ be a compact neighborhood of x . By Proposition A.1, we know that K is contained in a finite subcomplex of X . In particular, K meets only finitely many cells of X .

Suppose $x \in X$ has a neighborhood $N \subseteq X$ that meets only finitely many cells e_1, \dots, e_n of X . If e_i is a k -cell, then its closure $\overline{e_i} \subseteq X$ is homeomorphic to a closed ball in \mathbb{R}^k , which is compact. Therefore the finite union of compact sets $K = \overline{e_1} \cup \dots \cup \overline{e_n}$ is compact. And since N is a neighborhood of x contained in K , then K is a compact neighborhood of x , as desired. \square