

We make reference to set theory concepts.

Set theory is not a strictly conceptually necessary prerequisite, but is helpful for understanding.

The word “collection” is used in a generally nonmathematical way, i.e., its typical usage in natural language (here English). However, we will mostly refer to collections of abstract things, as opposed to physical things.

By convention, our language will make no distinction between the various ways in which certain things (arguably) exist—e.g., physically, abstractly, hypothetically, etc.—all modes of existence are considered to be the same.

There will be no discussion of subatomic concepts. The first definition we give will not rely on any prior mathematical foundation, and it will be assumed that the reader is able to reconcile any personal concerns over the nature of sense and reference.

A note on notation.

In a moment I am going to say the following common mathematical sentence.[see footnote]:

(0) Let S be a set.

I will clarify that I have not yet said the sentence (0) with the intent of expressing its meaning. So far, I have only presented it as linguistic thing to be discussed. This is very subtly contrasted with the next paragraph in which I will say (0) with the intent of expressing its meaning. It is intended that (0) be read and understood in the usual way. The sentence itself it not meant to be confusing or deceptive, though what follows may be rather pedantic.

Let S be a set.

I really must emphasize here that the previous paragraph is fundamentally different from the first occurrence of the sentence (0) in this text. At its first occurrence, there were no mathematical objects present in the discussion. Only as it occurs in the previous paragraph does (0) have any mathematical meaning. I will repeat that the meaning of (0) is the (hopefully) obvious one, so the previous paragraph has the same meaning within the context of this text as it would within any other.

I would now like to consider a number of related sentences, which will be enumerated. Unlike (0), these will be explicitly declarative statements. The truth of these statements is unclear and for that reason I will be saying none of them with the intent of expressing their meaning.

- (1) S is a set.
- (2) S denotes a set.
- (3) “ S ” denotes a set.
- (4) S is empty.
- (5) S has a cardinality.
- (6) The cardinality of S is 3.

[footnote] *This is of course metaphorical. By “say” I mean “write,” but more exactly I mean that the reader is experiencing a sort of metaphorical dialogue with the text. For the most part, the text can be seen as a lecturer whose script is the text itself. When the reader is engaged, they will listen (read) relatively swiftly and with focus. When the text becomes boring, the reader may choose to end the conversation. When the reader is confused, they may ask the lecturer to repeat something they previously said. And so on and so forth. By “moment” I mean a moment in the context of this metaphorical conversation, in which real time is passing to the reader. In literal terms, I mean a short distance down the page, or possibly on the next page. Though not likely further than that.*

A **category** \mathcal{C} is given by the following data:

- a collection of **objects**, denoted $\text{Ob}(\mathcal{C})$;
- for each $x, y \in \text{Ob}(\mathcal{C})$, a collection of **morphisms/arrows/maps** from x to y , denoted $\text{Mor}(x, y)$;
- for each $x, y, z \in \text{Ob}(\mathcal{C})$, a function

$$\begin{aligned}\text{Mor}(y, z) \times \text{Mor}(x, y) &\longrightarrow \text{Mor}(x, z) \\ (g, f) &\longmapsto g \circ f\end{aligned}$$

called **composition**;

- for each $x \in \text{Ob}(\mathcal{C})$, an **identity morphism** $1_x \in \text{Mor}(x, x)$;

such that the following axioms hold:

- (ass) $(h \circ g) \circ f = h \circ (g \circ f)$ for all $f \in \text{Mor}(x, y)$, $g \in \text{Mor}(y, z)$, $h \in \text{Mor}(z, w)$;
- (id) $f \circ 1_x = f = 1_y \circ f$ for all $f \in \text{Mor}(x, y)$.

Remarks.

Notation we often use:

- $x \in \mathcal{C}$ to mean $x \in \text{Ob}(\mathcal{C})$;
- $\text{Mor}_{\mathcal{C}}(x, y)$ to mean $\text{Mor}(x, y)$ to distinguish the category \mathcal{C} ;
- $\text{Mor}(\mathcal{C})$ to mean the collection of all morphisms in \mathcal{C} , i.e., $\bigsqcup_{x, y \in \mathcal{C}} \text{Mor}(x, y)$;
- $f : x \rightarrow y$ to mean $f \in \text{Mor}(x, y)$.

Notation we rarely use (if at all, but is prevalent elsewhere):

- $\mathcal{C}(x, y)$ to mean $\text{Mor}(x, y)$ to distinguish the category \mathcal{C} ;
- $x \xrightarrow{f} y$ to mean $f \in \text{Mor}(x, y)$;
- gf to mean $g \circ f$.

For $f : x \rightarrow y$, call x the **domain** (or **source**) of f and y the **codomain** (or **target**) of f .

Some categories.

Category	Objects	Morphisms
Set	sets	functions
(P, \leq)	elements of P	relations in the partial order \leq
Top	topological spaces	continuous maps
Htpy	topological spaces	homotopy classes of continuous maps
$\mathcal{O}(X)$	open subsets of X	inclusions of subsets
Grp	groups	group homomorphisms
Ab	abelian groups	group homomorphisms
Ring	rings	ring homomorphisms
CRing	commutative rings	ring homomorphisms
$R\text{-Mod}$	left R -modules	left R -module homomorphisms
$\text{Mod-}R$	right R -modules	right R -module homomorphisms
$k\text{-Vect}$	k -vector spaces	k -linear transformations

Note $\mathbf{Ab} = \mathbb{Z}\text{-Mod}$ and $k\text{-Vect} = k\text{-Mod}$.

We often discuss **diagrams** in a category. This is a drawing of some objects in the category and some morphisms between them. The objects are typically drawn as their names and the morphisms as labeled arrows from their domain to their codomain. For instance, given objects $x, y, z, w \in \mathcal{C}$ and morphisms $f : x \rightarrow y$, $g : x \rightarrow z$, $h : y \rightarrow w$, $k : z \rightarrow w$, we say that we have the the following diagram in \mathcal{C} :

$$\begin{array}{ccc} x & \xrightarrow{f} & y \\ g \downarrow & & \downarrow h \\ z & \xrightarrow{k} & w \end{array}$$

One can think of such a diagram \mathcal{C} as a drawing of a directed graph whose vertices correspond to objects of \mathcal{C} and whose (directed) edges correspond to morphisms in \mathcal{C} . A finite path in this graph corresponds to an ordered sequence of morphisms in \mathcal{C} such that the codomain of each morphism is the domain of the following morphism. We can therefore compose these morphisms in the specified order to obtain another morphism in the \mathcal{C} . We say that a diagram **commutes** if any two paths in the graph with the same source and target correspond to the same morphism of objects in \mathcal{C} .

In the above diagram, there are two paths from x to w , corresponding to the composite morphisms $h \circ f$ and $k \circ g$. We would say that this diagram commutes if $h \circ f = k \circ g$.

Fix a category \mathcal{C} .

A morphism $f : x \rightarrow y$ is called an **isomorphism** if there exists a morphism $g : y \rightarrow x$ such that $g \circ f = 1_x$ and $f \circ g = 1_y$. Equivalently, f is an isomorphism if there exists $g : y \rightarrow x$ such that the following diagram commutes:

$$1_x \hookrightarrow x \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{g} \end{array} y \hookrightarrow 1_y$$

In which case, g is called the[footnote] **inverse** of f , denoted $f^{-1} = g$.

Additionally, we say x and y are **isomorphic**, written $x \cong y$.

An **endomorphism** is a morphism whose domain and codomain are the same.

An **automorphism** is an endomorphism which is also an isomorphism.

Define the collections

- $\text{Iso}(\mathcal{C}) \subseteq \text{Mor}(\mathcal{C})$ of all isomorphisms in \mathcal{C} ;
- $\text{Iso}(x, y) = \text{Mor}(x, y) \cap \text{Iso}(\mathcal{C})$ of all isomorphisms $x \rightarrow y$;
- $\text{End}(\mathcal{C}) \subseteq \text{Mor}(\mathcal{C})$ of all endomorphisms in \mathcal{C} ;
- $\text{End}(x) = \text{Mor}(x, x)$ of all endomorphisms of x ;
- $\text{Aut}(\mathcal{C}) = \text{End}(\mathcal{C}) \cap \text{Iso}(\mathcal{C})$ of all automorphisms in \mathcal{C} ;
- $\text{Aut}(x) = \text{End}(x) \cap \text{Iso}(\mathcal{C}) = \text{Iso}(x, x)$ of all automorphisms x ;

Examples

Category	Isomorphisms
Set	bijections
Top	homeomorphisms
Htpy	homotopy equivalences
Grp, Ring, R -Mod	bijective homomorphisms

A **groupoid** is a category in which every morphism is an isomorphism.

As both a definition and example: a **group** is a groupoid with only one object.

A **subcategory** \mathcal{D} of a category \mathcal{C} is a category such that $\text{Ob}(\mathcal{D}) \subseteq \text{Ob}(\mathcal{C})$ and $\text{Mor}_{\mathcal{D}}(x, y) \subseteq \text{Mor}_{\mathcal{C}}(x, y)$ for all $x, y \in \mathcal{D}$, and we write $\mathcal{D} \subseteq \mathcal{C}$. (e.g., $\mathbf{Ab} \subseteq \mathbf{Grp}$ and $\mathbf{CRing} \subseteq \mathbf{Ring}$.) It is sometimes required that $\text{Mor}_{\mathcal{D}}(x, y) = \text{Mor}_{\mathcal{C}}(x, y)$ for all $x, y \in \mathcal{D}$.

A category \mathcal{C} is called

- **small** if $\text{Mor}(\mathcal{C})$ is a set;
- **locally small** if $\text{Mor}(x, y)$ is a set for all $x, y \in \mathcal{C}$;

For any category \mathcal{C} , we construct its **opposite** (or **dual**) category \mathcal{C}^{op} as follows:

- $\text{Ob}(\mathcal{C}^{\text{op}}) = \text{Ob}(\mathcal{C})$;
- for each $f \in \text{Mor}_{\mathcal{C}}(x, y)$, a morphism $f^{\text{op}} \in \text{Mor}_{\mathcal{C}^{\text{op}}}(y, x)$;
- composition $f^{\text{op}} \circ g^{\text{op}} = (g \circ f)^{\text{op}}$ for all $f \in \text{Mor}_{\mathcal{C}}(x, y)$ and $g \in \text{Mor}_{\mathcal{C}}(y, z)$;

- for each $x \in \mathcal{C}^{\text{op}}$, an identity 1_x^{op} .

Duality stuff. If you do a category thing, reverse all the arrows to get a dual thing.

Fix an object in a category $c \in \mathcal{C}$.

The **slice category** of \mathcal{C} **over** c is a category \mathcal{C}/c with

- objects $\text{Ob}(\mathcal{C}/c) = \bigcup_{x \in \mathcal{C}} \text{Mor}_{\mathcal{C}}(x, c) = \{f : x \rightarrow c \mid x \in \mathcal{C}\}$;
- morphisms: $\text{Mor}_{\mathcal{C}/c}(f : x \rightarrow c, g : y \rightarrow c) = \{h : x \rightarrow y \mid f = g \circ h\}$, i.e., $\text{Mor}_{\mathcal{C}/c}(f, g)$ is the collection of morphisms $h : x \rightarrow y$ such that the following diagram commutes:

$$\begin{array}{ccc} x & \xrightarrow{h} & y \\ & \searrow f & \swarrow g \\ & c & \end{array}$$

The slice category of \mathcal{C} **under** c is a category c/\mathcal{C} with

- objects $\text{Ob}(c/\mathcal{C}) = \bigcup_{x \in \mathcal{C}} \text{Mor}_{\mathcal{C}}(c, x) = \{f : c \rightarrow x \mid x \in \mathcal{C}\}$;
- morphisms: $\text{Mor}_{c/\mathcal{C}}(f : c \rightarrow x, g : c \rightarrow y) = \{h : x \rightarrow y \mid g = h \circ f\}$, i.e., $\text{Mor}_{c/\mathcal{C}}(f, g)$ is the collection of morphisms $h : x \rightarrow y$ such that the following diagram commutes:

$$\begin{array}{ccc} & c & \\ f \swarrow & & \searrow g \\ x & \xrightarrow{h} & y \end{array}$$

These are dual notions in the sense that $c/\mathcal{C} = (\mathcal{C}^{\text{op}}/c)^{\text{op}}$ and $\mathcal{C}/c = (c/\mathcal{C}^{\text{op}})^{\text{op}}$.

A **(covariant) functor** $F : \mathcal{C} \rightarrow \mathcal{D}$ between categories is given by the following data:

- for each object $x \in \mathcal{C}$, an object $F(x) = Fx \in \mathcal{D}$;
equiv, a function $F_0 : \text{Ob}(\mathcal{C}) \rightarrow \text{Ob}(\mathcal{D})$
- for each morphism $f \in \text{Mor}_{\mathcal{C}}(x, y)$, a morphism $F(f) = Ff \in \text{Mor}_{\mathcal{D}}(x, y)$;
equiv, a function $F_{x,y} : \mathcal{C}(x, y) \rightarrow \mathcal{D}(Fx, Fy)$

such that the following **functoriality axioms** hold:

- $F(g \circ f) = Fg \circ Ff$ for all $f : x \rightarrow y$ and $g : y \rightarrow z$ in \mathcal{C} ;
- $F(1_x) = 1_{Fx}$ for all $x \in \mathcal{C}$.

A **contravariant functor** $\mathcal{C} \rightarrow \mathcal{D}$ is given by the data of a covariant functor $\mathcal{C}^{\text{op}} \rightarrow \mathcal{D}$.

There is a category **Cat** whose objects are small categories and whose morphisms are functors.

There is a category **CAT** whose objects are locally small categories and whose morphisms are functors.

A **presheaf of sets** on a category \mathcal{C} is a functor $\mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$ (i.e., a contravariant functor $\mathcal{C} \rightarrow \mathbf{Set}$).

For a topological space X , a presheaf of sets on X is a presheaf of sets on the category $\mathcal{O}(X)$.

Fix an object in a locally small category $c \in \mathcal{C}$.

We construct the following pair of covariant and contravariant **functors represented** by c :

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\text{Mor}(c, -)} & \mathcal{D} \\ x & \mapsto & \mathcal{C}(c, x) \\ f \downarrow & \mapsto & \downarrow f_* \\ y & \mapsto & \mathcal{C}(c, y) \end{array} \qquad \begin{array}{ccc} \mathcal{C} & \xrightarrow{\text{Mor}(-, c)} & \mathcal{D} \\ x & \mapsto & \mathcal{C}(x, c) \\ f \downarrow & \mapsto & \uparrow f^* \\ y & \mapsto & \mathcal{C}(y, c) \end{array}$$

For any categories \mathcal{C} and \mathcal{D} there is a category $\mathcal{C} \times \mathcal{D}$ called their **product** with

- objects are ordered pairs (c, d) with $c \in \mathcal{C}$ and $d \in \mathcal{D}$;
i.e., $\text{Ob}(\mathcal{C} \times \mathcal{D}) = \text{Ob}(\mathcal{C}) \times \text{Ob}(\mathcal{D})$
 - morphisms are ordered pairs $(f, g) : (c, d) \rightarrow (c', d')$ where $f : c \rightarrow c' \in \mathcal{C}$ and $g : d \rightarrow d' \in \mathcal{D}$.
i.e., $\text{Mor}_{\mathcal{C} \times \mathcal{D}}((c, d), (c', d')) = \text{Mor}_{\mathcal{C}}(c, c') \times \text{Mor}_{\mathcal{D}}(d, d')$
i.e., $\text{Mor}(\mathcal{C} \times \mathcal{D}) = \text{Mor}(\mathcal{C}) \times \text{Mor}(\mathcal{D})$
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A **bifunctor** is a functor whose domain is a product category, e.g., $F : \mathcal{A} \times \mathcal{B} \rightarrow \mathcal{C}$. For each $a \in \mathcal{A}$ and $b \in \mathcal{B}$ there are functors

$$\begin{array}{ccc} \mathcal{B} & \xrightarrow{F(a, -)} & \mathcal{C} \\ b & \mapsto & F(a, b) \\ g \downarrow & \mapsto & \downarrow F(1_a, g) \\ b' & \mapsto & F(a, b') \end{array} \qquad \begin{array}{ccc} \mathcal{A} & \xrightarrow{F(-, b)} & \mathcal{C} \\ a & \mapsto & F(a, b) \\ f \downarrow & \mapsto & \downarrow F(f, 1_b) \\ a' & \mapsto & F(a', b) \end{array}$$

If \mathcal{C} is locally small, there is a **two-sided represented functor**

$$\text{Mor}_{\mathcal{C}}(-, -) : \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathbf{Set}.$$

Given functors $F : \mathcal{A} \rightarrow \mathcal{C}$ and $G : \mathcal{B} \rightarrow \mathcal{C}$ there is a **comma category** $F \downarrow G$ with

- objects are triples $(a \in \mathcal{A}, b \in \mathcal{B}, f : Fa \rightarrow Gb \in \mathcal{C})$;
- morphisms $(a, b, f) \rightarrow (a', b', f')$ are pairs $(h : a \rightarrow a', k : b \rightarrow b')$ such that the following diagram commutes:

$$\begin{array}{ccc} Fa & \xrightarrow{f} & Gb \\ Fh \downarrow & & \downarrow Gk \\ Fa' & \xrightarrow{f'} & Gb' \end{array}$$

There are projection functors $\text{dom} : F \downarrow G \rightarrow \mathcal{A}$ and $\text{cod} : F \downarrow G \rightarrow \mathcal{B}$.

$$\begin{array}{ccc} F \downarrow G & \xrightarrow{\text{dom}} & \mathcal{A} \\ (a, b, f) & \mapsto & a \\ (h, k) \downarrow & \mapsto & \downarrow h \\ (a', b', f') & \mapsto & a' \end{array} \qquad \begin{array}{ccc} F \downarrow G & \xrightarrow{\text{cod}} & \mathcal{B} \\ (a, b, f) & \mapsto & b \\ (h, k) \downarrow & \mapsto & \downarrow k \\ (a', b', f') & \mapsto & b' \end{array}$$

Can construct slice categories \mathcal{C}/c and c/\mathcal{C} as special cases of comma categories.

Given functors $F, G : \mathcal{C} \Rightarrow \mathcal{D}$, a **natural transformation** $\alpha : F \Rightarrow G$ is given by the data of a morphism $\alpha_x : Fx \rightarrow Gx \in \mathcal{D}$ for each $x \in \mathcal{C}$, the collection of which are called the **components** of α , such that for all $f : x \rightarrow y \in \mathcal{C}$ the following diagram in \mathcal{D} commutes:

$$\begin{array}{ccc} Fx & \xrightarrow{\alpha_x} & Gx \\ Ff \downarrow & & \downarrow Gf \\ Fy & \xrightarrow{\alpha_y} & Gy \end{array}$$

In the case that α is a natural transformation from F to G . we express this fact with the following diagram:

$$\begin{array}{ccc} & F & \\ \mathcal{C} & \begin{array}{c} \curvearrowright \\ \Downarrow \alpha \\ \curvearrowleft \end{array} & \mathcal{D} \\ & G & \end{array}$$

We say that α is a **natural isomorphism** if each component $\alpha_x : Fx \rightarrow Gx$ is an isomorphism in \mathcal{D} ; sometimes write this as $\alpha : F \cong G$.

Consider the opposite map $(-)^{\text{op}} : \mathbf{Grp} \rightarrow \mathbf{Grp}$; this is a covariant endofunctor. A homomorphism $\varphi : G \rightarrow H$ induces a homomorphism $\varphi^{\text{op}} : G^{\text{op}} \rightarrow H^{\text{op}}$, which behaves the same

as φ on elements. Moreover, this functor is naturally isomorphic to the identity. For each group $G \in \mathbf{Grp}$ define the map $\eta_G : G \rightarrow G^{\text{op}}$ sending $g \in G$ to its inverse $g^{-1} \in G^{\text{op}}$. This is not an automorphism of G because taking the inverse does not in general commute with multiplication—in fact, it reverses multiplication. In other words, η_G defines an isomorphism $G \rightarrow G^{\text{op}}$. And given any homomorphism $\varphi : G \rightarrow H$, the following diagram commutes:

$$\begin{array}{ccc} G & \xrightarrow{\eta_G} & G^{\text{op}} \\ \varphi \downarrow & & \downarrow \varphi^{\text{op}} \\ H & \xrightarrow{\eta_H} & H^{\text{op}} \end{array}$$

Hence, we have a natural isomorphism

$$\begin{array}{ccc} & \xrightarrow{1} & \\ \text{Grp} & \Downarrow \eta & \text{Grp} \\ & \xrightarrow{(-)^{\text{op}}} & \end{array}$$

Fix a locally small category \mathcal{C} .

Let $f : x \rightarrow y$ and $h : z \rightarrow w$ be morphisms. Post-composition by h and precomposition by f make the following diagram commute:

$$\begin{array}{ccc} \mathcal{C}(y, z) & \xrightarrow{h \circ -} & \mathcal{C}(y, w) \\ - \circ f \downarrow & & \downarrow - \circ f \\ \mathcal{C}(x, z) & \xrightarrow{h \circ -} & \mathcal{C}(x, w) \end{array}$$

The commutativity of this diagram follows from the associativity of composition, which implies the commutativity of the following diagram for any $g : y \rightarrow z$:

$$\begin{array}{ccccc} & & h \circ (g \circ f) & & \\ & \nearrow & & \searrow & \\ x & \xrightarrow{f} & y & \xrightarrow{g} & z & \xrightarrow{h} & w \\ & \searrow & & \nearrow & \\ & & (h \circ g) \circ f & & \end{array}$$

We therefore obtain natural transformations

$$h_* : \mathcal{C}(-, z) \Rightarrow \mathcal{C}(-, w) \quad \text{and} \quad f^* : \mathcal{C}(y, -) \Rightarrow \mathcal{C}(x, -).$$

Consider the category of sets \mathbf{Set} .

For $A, B \in \mathbf{Set}$, recall that $A \times B$ is their cartesian product, $A \sqcup B$ their disjoint union, and A^B the set of functions from B to A . These define functors

$$\begin{array}{lll} \mathbf{Set} \times \mathbf{Set} \longrightarrow \mathbf{Set} & \mathbf{Set} \times \mathbf{Set} \longrightarrow \mathbf{Set} & \mathbf{Set}^{\text{op}} \times \mathbf{Set} \longrightarrow \mathbf{Set} \\ (A, B) \longmapsto A \times B & (A, B) \longmapsto A \sqcup B & (A, B) \longmapsto B^A \end{array}$$

(The third is the two-sided represented functor $\mathbf{Set}(-, -)$ previously discussed.) Then we have the following natural isomorphisms:

$$\begin{aligned} A \times (B \sqcup C) &\cong (A \times B) \sqcup (A \times C) & (A \times B)^C &\cong A^C \times B^C \\ A^{B \sqcup C} &\cong A^B \times A^C & (A^B)^C &\cong A^{B \times C} \end{aligned}$$

Let $F : \mathcal{A} \rightarrow \mathcal{C}$ and $G : \mathcal{B} \rightarrow \mathcal{C}$ be functors. Consider the comma category $F \downarrow G$. There is a natural transformation $\alpha : F \text{ dom} \Rightarrow G \text{ cod}$ with components

$$\alpha_{(a,b,f)} = f : Fa \longrightarrow Gb.$$

The naturality is immediate from the construction of the comma category, hence the following diagram commutes:

$$\begin{array}{ccc} & F \downarrow G & \\ \text{dom} \swarrow & & \searrow \text{cod} \\ \mathcal{A} & \xRightarrow{\alpha} & \mathcal{B} \\ F \searrow & & \swarrow G \\ & \mathcal{C} & \end{array}$$

An **equivalence of categories** is given by

- functors $F : \mathcal{C} \rightarrow \mathcal{D}$ and $G : \mathcal{D} \rightarrow \mathcal{C}$;
- natural isomorphisms $\eta : 1_{\mathcal{C}} \cong GF$ and $\varepsilon : FG \cong 1_{\mathcal{D}}$.

Say \mathcal{C} and \mathcal{D} are **equivalent**, written $\mathcal{C} \simeq \mathcal{D}$.

The notion of category equivalence is an equivalence relation.

A functor $F : \mathcal{C} \rightarrow \mathcal{D}$ is called

- **full** if each map $F_{x,y} : \mathcal{C}(x, y) \rightarrow \mathcal{D}(Fx, Fy)$ is surjective (i.e., “surjective on morphisms”);
- **faithful** if each map $F_{x,y} : \mathcal{C}(x, y) \rightarrow \mathcal{D}(Fx, Fy)$ is injective (i.e., “injective on morphisms”);
- **essentially surjective on objects** if each $y \in \mathcal{D}$ is isomorphic to some Fx .

An **embedding** is a faithful functor which is injective on objects.

A **fully faithful** functor is both full and faithful.

A **full embedding** is a fully faithful functor which is injective on objects, defining a **full subcategory** of the codomain.

Theorem 1 (equiv). A functor defining an equivalence of categories is full, faithful, and essentially surjective on objects. With axiom of choice, any functor with these properties defines an equivalence of categories.

Lemma 1. Any morphism $f : x \rightarrow y$ and fixed isomorphisms $x \cong x'$ and $y \cong y'$ determine a unique morphism $f' : x' \rightarrow y'$ so that any of—or, equivalently, all of—the following four diagrams commute:

$$\begin{array}{cccc}
x \xleftarrow{\quad} x' & x \xrightarrow{\cong} x' & x \xleftarrow{\sim} x' & x \xrightarrow{\cong} x' \\
f \downarrow & f \downarrow & f \downarrow & f \downarrow \\
y \xrightarrow{\quad} y' & y \xrightarrow{\cong} y' & y \xleftarrow{\sim} y' & y \xleftarrow{\cong} y'
\end{array}$$

Proof of Theorem. Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be a functor.

Suppose F defines an equivalence of categories; let $G : \mathcal{D} \rightarrow \mathcal{C}$ be an inverse functor with natural isomorphisms $\eta : 1_{\mathcal{C}} \cong GF$ and $\varepsilon : GF \cong 1_{\mathcal{D}}$.

(ess. surj.) Given $y \in \mathcal{D}$, the natural isomorphism ε has component $\varepsilon_y : FGy \cong y$.

(faithful) Suppose $f, g \in \mathcal{C}(x, y)$ such that $Ff = Fg$. Then $GFf = GFg$, so both f and g make the following diagram commute:

$$\begin{array}{ccc}
x & \xrightarrow{\eta_x} & GFx \\
\downarrow & & \downarrow GFf=GFg \\
y & \xrightarrow{\eta_y} & GFy
\end{array}$$

By the lemma, we must have $f = g$.

(full) Let $g \in \mathcal{D}(Fx, Fy)$ be given. By the lemma, there is a unique $f \in \mathcal{C}(x, y)$ such that the following diagram commutes:

$$\begin{array}{ccc}
x & \xrightarrow{\eta_x} & GFx \\
f \downarrow & & \downarrow Gg \\
y & \xrightarrow{\eta_y} & GFy
\end{array}$$

But then the right arrow can be filled by GFf , i.e.,

$$\begin{array}{ccc}
x & \xrightarrow{\eta_x} & GFx \\
f \downarrow & & \downarrow GFf \\
y & \xrightarrow{\eta_y} & GFy
\end{array}$$

also commutes. By the lemma, we must have $Gg = GFf$. Applying the faithfulness result to G , we must therefore have $g = Ff$.

Hence, F is fully faithful and essentially surjective.

Now suppose F is fully faithful and essentially surjective. We will construct an functor $G : \mathcal{D} \rightarrow \mathcal{C}$ inverse to F . For each object $y \in \mathcal{D}$, the fact that F is essentially surjective means we can choose an object $Gx \in \mathcal{C}$ and isomorphism $\varepsilon_x : FGx \cong x$. Then we have bijections

$$\mathcal{C}(Gx, Gy) \xrightarrow{F_{Gx, Gy}} \mathcal{D}(FGx, FGy) \xrightarrow{\varepsilon_y \circ \varepsilon_x^{-1}} \mathcal{D}(x, y)$$

Define $G_{x,y}$ as the inverse of this map. We now check the functorality axioms of G . First,

$$\varepsilon_x \circ F1_{Gx} \circ \varepsilon_x^{-1} = \varepsilon_x \circ 1_{FGx} \circ \varepsilon_x^{-1} = \varepsilon_x \circ \varepsilon_x^{-1} = 1_x,$$

which implies $G1_x = 1_{Gx}$. Given $f : x \rightarrow y$ and $g : y \rightarrow z$ are morphisms in \mathcal{D} , $G(g \circ f)$ is the unique morphism $Gx \rightarrow Gz$ in \mathcal{C} such that

$$\varepsilon_z \circ FG(g \circ f) \circ \varepsilon_x^{-1} = g \circ f.$$

But Gf and Gg are the unique morphisms such that

$$\varepsilon_y \circ FGf \circ \varepsilon_x^{-1} = f \quad \text{and} \quad \varepsilon_z \circ FGg \circ \varepsilon_y^{-1} = g.$$

Then

$$\begin{aligned} g \circ f &= (\varepsilon_z \circ FGg \circ \varepsilon_y^{-1}) \circ (\varepsilon_y \circ FGf \circ \varepsilon_x^{-1}) \\ &= \varepsilon_z \circ FGg \circ FGf \circ \varepsilon_x^{-1} \\ &= \varepsilon_z \circ F(Gg \circ Gf) \circ \varepsilon_x^{-1}, \end{aligned}$$

hence $G(g \circ f) = Gg \circ Gf$. This shows G is indeed a functor.

By the construction of G , we immediately see that the ε_x 's define the components of a natural isomorphism $\varepsilon : FG \Rightarrow 1_{\mathcal{D}}$; in particular, the following diagram commutes:

$$\begin{array}{ccc} FGx & \xrightarrow{\varepsilon_x} & x \\ FGf \downarrow & & \downarrow f \\ FGy & \xrightarrow{\varepsilon_y} & y \end{array}$$

We now need a natural isomorphism $\eta : 1_{\mathcal{C}} \Rightarrow GF$. There is a bijection

$$\mathcal{C}(x, GFx) \xrightarrow{F_{x, GFx}} \mathcal{D}(Fx, FGFx),$$

so we can define η_x to be the inverse image of ε_{Fx}^{-1} under this map. We first check that η_x is an isomorphism. Let α be the inverse image of ε_{Fx} under $F_{GFx, x}$. Then

$$F(\alpha \circ \eta_x) = F\alpha \circ F\eta_x = \varepsilon_{Fx} \circ \varepsilon_{Fx}^{-1} = 1_{Fx} = F1_x$$

and

$$F(\eta_x \circ \alpha) = F\eta_x \circ F\alpha = \varepsilon_{Fx}^{-1} \circ \varepsilon_{Fx} = 1_{FGFx} = F1_{GFx}.$$

But as F is injective on morphisms, we deduce that

$$\alpha \circ \eta_x = 1_x \quad \text{and} \quad \eta_x \circ \alpha = 1_{GFx},$$

hence η_x is an isomorphism with $\eta_x^{-1} = \alpha$. Lastly, we check the naturality of η , which means checking the commutativity of the following diagram in \mathcal{C} :

$$\begin{array}{ccc} x & \xrightarrow{\eta_x} & GFx \\ f \downarrow & & \downarrow GFf \\ y & \xrightarrow{\eta_y} & GFy \end{array}$$

Taking this diagram under F , we obtain the following diagram in \mathcal{D} :

$$\begin{array}{ccc} Fx & \xrightarrow{F\eta_x = \varepsilon_{Fx}^{-1}} & FGFx \\ Ff \downarrow & & \downarrow FGFf \\ Fy & \xrightarrow{F\eta_y = \varepsilon_{Fy}^{-1}} & FGFy \end{array}$$

This is the naturality diagram for ε at Ff and therefore commutes, i.e.,

$$F(\eta_y \circ f) = F\eta_y \circ Ff = FGFf \circ F\eta_x = F(GFf \circ \eta_x).$$

Since F faithful, it follows that $\eta_y \circ f = GFf \circ \eta_x$. In other words, the naturality diagram for η at f commutes. Hence, η is a natural isomorphism and we conclude that G is an inverse functor of F , which in turn defines an equivalence of categories. \square

The following things are invariant under categorical equivalence:

- If a category is locally small, any equivalent category is also locally small.
- If a category is a groupoid, any equivalent category is also a groupoid.
- If $\mathcal{C} \simeq \mathcal{D}$ then $\mathcal{C}^{\text{op}} \simeq \mathcal{D}^{\text{op}}$.
- If $\mathcal{C} \simeq \mathcal{C}'$ and $\mathcal{D} \simeq \mathcal{D}'$ then $\mathcal{C} \times \mathcal{D} \simeq \mathcal{C}' \times \mathcal{D}'$.
- A morphism in a category is an isomorphism if and only if its image under an equivalence is an isomorphism.

A **monoidal category** is a category \mathcal{C} equipped with

- A (bi-)functor $\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$ called the **monoidal product** (or **tensor product**);
- A distinguished object $1 \in \mathcal{C}$ called the **unit object** (or **tensor unit**);

- A natural isomorphism

$$\alpha : ((- \otimes -) \otimes -) \Longrightarrow (- \otimes (- \otimes -))$$

with components $\alpha_{x,y,z} : (x \otimes y) \otimes z \rightarrow x \otimes (y \otimes z)$ called the **associator**;

- A natural isomorphism

$$\lambda : (1 \otimes -) \Longrightarrow 1_{\mathcal{C}}$$

with components $\lambda_x : 1 \otimes x \rightarrow x$ called the **left-unitor**;

- A natural isomorphism

$$\rho : (- \otimes 1) \Longrightarrow 1_{\mathcal{C}}$$

with components $\rho_x : x \otimes 1 \rightarrow x$ called the **right-unitor**;

such that the following diagrams commute:

For each pair $(x, y) \in \mathcal{C} \times \mathcal{C}$ the **triangle identity**:

$$\begin{array}{ccc} (x \otimes 1) \otimes y & \xrightarrow{\alpha_{x,1,y}} & x \otimes (1 \otimes y) \\ & \searrow \rho_x \otimes 1_y \quad \swarrow 1_x \otimes \lambda_y & \\ & x \otimes y & \end{array}$$

For each quadruple $(x, y, z, w) \in \mathcal{C} \times \mathcal{C} \times \mathcal{C} \times \mathcal{C}$ the **pentagon identity**:

$$\begin{array}{ccccc} & & (x \otimes y) \otimes (z \otimes w) & & \\ & \nearrow \alpha_{x \otimes y, z, w} & & \searrow \alpha_{x, y, z \otimes w} & \\ ((x \otimes y) \otimes z) \otimes w & & & & x \otimes (y \otimes (z \otimes w)) \\ & \searrow \alpha_{x, y, z} \otimes 1_w & & \nearrow 1_x \otimes \alpha_{y, z, w} & \\ & (x \otimes (y \otimes z)) \otimes w & \xrightarrow{\alpha_{x, y \otimes z, w}} & x \otimes ((y \otimes z) \otimes w) & \end{array}$$

A monoidal category is called **strict** if the associator and unitors are identity morphisms (in which case the identities hold automatically).

In a monoidal category, the following additional triangle identities hold:

$$\begin{array}{ccc} (1 \otimes x) \otimes y & \xrightarrow{\alpha_{1,x,y}} & 1 \otimes (x \otimes y) \\ & \searrow \lambda_x \otimes 1_y \quad \swarrow \lambda_{x \otimes y} & \\ & x \otimes y & \end{array} \qquad \begin{array}{ccc} (x \otimes y) \otimes 1 & \xrightarrow{\alpha_{x,y,1}} & x \otimes (y \otimes 1) \\ & \searrow \rho_x \otimes y \quad \swarrow 1_x \otimes \rho_y & \\ & x \otimes y & \end{array}$$

Proof. (largely taken from nlab, which references MacLane and Kelly)

Consider the following diagram in \mathcal{C} :

$$\begin{array}{ccccc}
((1 \otimes 1) \otimes x) \otimes y & \xrightarrow{\alpha_{1,1,x} \otimes 1_y} & (1 \otimes (1 \otimes x)) \otimes y & \xrightarrow{\alpha_{1,1 \otimes x,y}} & 1 \otimes ((1 \otimes x) \otimes y) & \xrightarrow{1_1 \otimes \alpha_{1,x,y}} & 1 \otimes (1 \otimes (x \otimes y)) \\
& \searrow (\rho_1 \otimes 1_x) \otimes 1_y & \downarrow (1_1 \otimes \lambda_x) \otimes 1_y & & \downarrow 1_1 \otimes (\lambda_x \otimes 1_y) & & \swarrow \text{dashed} \\
& & (1 \otimes x) \otimes y & \xrightarrow{\alpha_{1,x,y}} & 1 \otimes (x \otimes y) & &
\end{array}$$

The left triangle commutes as the image of the triangle identity for $(1, x)$ under the functor $- \otimes y$. The center square commutes by the naturality diagram of $\alpha_{1,-,y}$ at the morphism λ_x . The dashed arrow is obtained by simply following any suitable path in the diagram, as all the arrows are isomorphisms. We claim that the dashed arrow is in fact $1_1 \otimes \lambda_{x \otimes y}$.

Since all the arrows are isomorphisms, it suffices to check that the morphism commutes with any other path in the diagram. In particular, we will check that it makes the perimeter commute. We will use the following diagram:

$$\begin{array}{ccccc}
& & (1 \otimes (1 \otimes x)) \otimes y & \xrightarrow{\alpha_{1,1 \otimes x,y}} & 1 \otimes ((1 \otimes x) \otimes y) & & \\
& \nearrow \alpha_{1,1,x} \otimes 1_y & & & \searrow 1_1 \otimes \alpha_{1,x,y} & & \\
((1 \otimes 1) \otimes x) \otimes y & \xrightarrow{\alpha_{1 \otimes 1,x,y}} & (1 \otimes 1) \otimes (x \otimes y) & \xrightarrow{\alpha_{1,1,x \otimes y}} & 1 \otimes (1 \otimes (x \otimes y)) & & \\
& \searrow (\rho_1 \otimes 1_x) \otimes 1_y & \downarrow \rho_1 \otimes 1_{x \otimes y} & & \swarrow 1_1 \otimes \lambda_{x \otimes y} & & \\
& & (1 \otimes x) \otimes y & \xrightarrow{\alpha_{1,x,y}} & 1 \otimes (x \otimes y) & &
\end{array}$$

The top pentagon is the pentagon identity for $(1, 1, x, y)$, the bottom left square is the naturality of $\alpha_{-,x,y}$ at the morphism ρ_1 (tensor functoriality gives $1_x \otimes 1_y = 1_{x \otimes y}$), and the bottom right triangle is the triangle identity for $(1, x \otimes y)$. Hence, the entire diagram commutes, which implies that $1_1 \otimes \lambda_{x \otimes y}$ makes the original diagram commute at the dashed arrow. In particular, the right triangle commutes:

$$\begin{array}{ccc}
1 \otimes ((1 \otimes x) \otimes y) & \xrightarrow{1_1 \otimes \alpha_{1,x,y}} & 1 \otimes (1 \otimes (x \otimes y)) \\
& \searrow 1_1 \otimes (\lambda_x \otimes 1_y) & \swarrow 1_1 \otimes \lambda_{x \otimes y} \\
& 1 \otimes (x \otimes y) &
\end{array}$$

But this is simply the desired diagram mapped under $1 \otimes -$, which is an equivalence under the natural isomorphism λ . Either via the equivalence or by mapping the diagram under λ , we deduce that the second triangle identity holds.

It is similar to prove the third triangle identity holds. □

In a monoidal category, $\lambda_1 = \rho_1 : 1 \otimes 1 \rightarrow 1$.

Proof. Since $- \otimes 1$ is an equivalence, it suffices to show $\lambda_1 \otimes 1_1 = \rho_1 \otimes 1_1$. The naturality of λ at λ_1 some morphism gives the following commutative diagram:

$$\begin{array}{ccc} 1 \otimes (1 \otimes 1) & \xrightarrow{\lambda_{1 \otimes 1}} & 1 \otimes 1 \\ 1_1 \otimes \lambda_1 \downarrow & \nearrow & \downarrow \lambda_1 \\ 1 \otimes 1 & \xrightarrow{\lambda_1} & 1 \end{array}$$

The bottom right triangle is simply $\lambda_1^{-1} \circ \lambda_1 = 1_{1 \otimes 1}$, so in fact we have $1_1 \otimes \lambda_1 = \lambda_{1 \otimes 1}$. Then the second and first triangle identity on $(1, 1)$ give

$$\lambda_1 \otimes 1_1 = \lambda_{1 \otimes 1} \circ \alpha_{1,1,1} = \rho_1 \otimes 1_1.$$

□

A **braided monoidal category** is a monoidal category, which is also equipped with

- A natural isomorphism γ with components $\gamma_{x,y} : x \otimes y \rightarrow y \otimes x$ called the **braiding**;

such that the **hexagon identities** holds, i.e., the following diagrams commute:

$$\begin{array}{ccccc} (x \otimes y) \otimes z & \xrightarrow{\alpha_{x,y,z}} & x \otimes (y \otimes z) & \xrightarrow{\gamma_{x,y \otimes z}} & (y \otimes z) \otimes x \\ \gamma_{x,y} \otimes 1_z \downarrow & & & & \downarrow \alpha_{y,z,x} \\ (y \otimes x) \otimes z & \xrightarrow{\alpha_{y,x,z}} & y \otimes (x \otimes z) & \xrightarrow{1_y \otimes \gamma_{x,z}} & y \otimes (z \otimes x) \\ \\ (x \otimes y) \otimes z & \xrightarrow{\alpha_{x,y,z}} & x \otimes (y \otimes z) & \xrightarrow{\gamma_{x,y \otimes z}} & (y \otimes z) \otimes x \\ \gamma_{x,y} \otimes 1_z \downarrow & & & & \downarrow \alpha_{y,z,x} \\ (y \otimes x) \otimes z & \xrightarrow{\alpha_{y,x,z}} & y \otimes (x \otimes z) & \xrightarrow{1_y \otimes \gamma_{x,z}} & y \otimes (z \otimes x) \end{array}$$

Can maybe prove without symmetric?

$$\begin{array}{ccc} x \otimes 1 & \xrightarrow{\gamma_{x,1}} & 1 \otimes x \\ \rho_x \searrow & & \swarrow \lambda_x \\ & x & \end{array}$$

A **symmetric monoidal category** is a braided monoidal category in which the braiding satisfies the following additional triangle identity:

$$\begin{array}{ccc} x \otimes y & \xrightarrow{1_{x \otimes y}} & x \otimes y \\ \searrow \gamma_{x,y} & & \swarrow \gamma_{y,x} \\ & y \otimes x & \end{array}$$

A **strict monoidal category** is a monoidal category in which the left and right unitors are all identity morphisms (up to ambient structure morphisms. see nlab.)

A **cartesian monoidal category** is a monoidal category in which the monoidal product is given by the usual category theoretic product.

Let \mathcal{C} be a monoidal category with product \otimes and identity I .

A **monoid** (or **monoid object**) in \mathcal{C} (or **monoid internal to \mathcal{C}**) consists of data:

- an object $M \in \mathcal{C}$;
- a morphism $\mu : M \otimes M \rightarrow M$ called *multiplication*;
- a morphism $\eta : I \rightarrow M$ called the *unit*;

such that

- the **associative law** holds:

$$\begin{array}{ccccc} (M \otimes M) \otimes M & \xrightarrow{\alpha_{M,M,M}} & M \otimes (M \otimes M) & \xrightarrow{\mu} & M \otimes M \\ & \searrow \mu \otimes 1_M & & & \swarrow \mu \\ & & M \otimes M & \xrightarrow{\mu} & M \end{array}$$

- the **left and right unit laws** hold:

$$\begin{array}{ccccc} I \otimes M & \xrightarrow{\eta \otimes 1_M} & M \otimes M & \xleftarrow{1_M \otimes \eta} & M \otimes I \\ & \searrow \lambda_M & \downarrow \mu & \swarrow \rho_M & \\ & & M & & \end{array}$$

Let \mathcal{C} be a symmetric monoidal category with braiding γ .

A **commutative monoid** in \mathcal{C} is a monoid $M \in \mathcal{C}$ such that

- **commutativity** holds:

$$\begin{array}{ccc} M \otimes M & \xrightarrow{\gamma_{M,M}} & M \otimes M \\ & \searrow \mu \quad \swarrow \mu & \\ & M & \end{array}$$

Let M_1 and M_2 be monoids in a monoidal category \mathcal{C} .

A **monoid homomorphism** $f : M_1 \rightarrow M_2$ consists of

- a morphism $f : M_1 \rightarrow M_2 \in \mathcal{C}$

such that

- f commutes with multiplication:

$$\begin{array}{ccc} M_1 \otimes M_1 & \xrightarrow{f \otimes f} & M_2 \otimes M_2 \\ \mu_1 \downarrow & & \downarrow \mu_2 \\ M_1 & \xrightarrow{f} & M_2 \end{array}$$

- f commutes with the identity:

$$\begin{array}{ccc} & I & \\ \eta_1 \swarrow & & \searrow \eta_2 \\ M_1 & \xrightarrow{f} & M_2 \end{array}$$

If \mathcal{C} is a monoidal category, the **category of monoids** in \mathcal{C} , denoted $\mathbf{Mon}(\mathcal{C})$, is the category whose objects are monoids in \mathcal{C} and morphisms are monoid homomorphisms.

If \mathcal{C} is a symmetric monoidal category, the **category of commutative monoids** in \mathcal{C} , denoted $\mathbf{CMon}(\mathcal{C})$, is the category whose objects are commutative monoids in \mathcal{C} and morphisms are monoid homomorphisms.

Let \mathcal{C} be a category.

A **diagram** in \mathcal{C} is a functor $J \rightarrow \mathcal{C}$, where J is some convenient sort of category. More generally, we may let J be any small category. In most cases, J is a free category (path category) on a quiver.

An object $i \in \mathcal{C}$ is **initial** if for every $x \in \mathcal{C}$ there is a unique morphism $i \rightarrow x$.

An object $t \in \mathcal{C}$ is **terminal** if for every $x \in \mathcal{C}$ there is a unique morphism $x \rightarrow t$.

A **zero object** is an object which is both initial and terminal.

Say \mathcal{C} is a **concrete category** when it comes equipped with a faithful functor $U : \mathcal{C} \rightarrow \mathbf{Set}$. Consider U to take objects of \mathcal{C} to their **underlying set**.

Let \mathcal{C} and \mathcal{D} be categories.

The **functor category** (or **category of functors** from \mathcal{C} to \mathcal{D}), denoted $\mathcal{D}^{\mathcal{C}}$ or $[\mathcal{C}, \mathcal{D}]$, is the category whose objects are functors $\mathcal{C} \rightarrow \mathcal{D}$ and whose morphisms are natural transformations.

If \mathcal{C} and \mathcal{D} are small categories, then so is $[\mathcal{C}, \mathcal{D}]$.

If \mathcal{C} is small and \mathcal{D} is locally small, then $[\mathcal{C}, \mathcal{D}]$ is locally small.

If only know \mathcal{C} and \mathcal{D} to be locally small, know nothing of $[\mathcal{C}, \mathcal{D}]$.

Let J and \mathcal{C} be any categories.

For any object $c \in \mathcal{C}$, there is a **constant functor** $\Delta c : J \rightarrow \mathcal{C}$ sending every object of J to c and every morphism to 1_c .