

**1.1.A.** A category in which each morphism is an isomorphism is called a **groupoid**.

(a) A perverse definition of a **group** is: a groupoid with one object. Make sense of this.

Let  $\mathcal{G}$  be a (locally-small) category with one object. Call the object  $\bullet$  and assume all morphisms are isomorphisms, i.e.,

$$\text{Mor}_{\mathcal{G}}(\bullet, \bullet) = \text{Mor}_{\mathcal{G}}(\bullet) = \text{Aut}_{\mathcal{G}}(\bullet) = \text{Iso}_{\mathcal{G}}(\bullet).$$

We claim there is a group  $G$  whose underlying set is  $\underline{G} = \text{Mor}_{\mathcal{G}}(\bullet)$  and whose operation is given by composition of morphisms. For any two elements  $f, g \in G$ , put  $f \cdot g = f \circ g$ , where composition is performed in  $\mathcal{G}$ . The distinguished identity element of  $G$  will be the identity morphism of  $\bullet$ ; put  $1_G = \text{id}_{\bullet}$ .

To see that this element satisfied the identity axioms of a group, we appeal to the axioms of a category. The associativity of the operation in  $G$  follows from the associativity of composition in  $\mathcal{G}$ :

$$(f \cdot g) \cdot h = (f \circ g) \circ h = f \circ (g \circ h) = f \cdot (g \cdot h).$$

The properties of  $1_G$  in  $G$  “are the same as” the properties of  $\text{id}_{\bullet}$  in  $\mathcal{G}$ :

$$f \cdot 1_G = f \circ \text{id}_{\bullet} = f \quad \text{and} \quad 1_G \cdot f = \text{id}_{\bullet} \circ f = f.$$

The existence of inverses in  $G$  is guaranteed by the fact that all morphisms in  $\mathcal{G}$  are isomorphisms: if  $f \in \text{Mor}_{\mathcal{G}}(\bullet)$  then there exists  $f^{-1} \in \text{Mor}_{\mathcal{G}}(\bullet)$  giving us

$$f \cdot f^{-1} = f \circ f^{-1} = \text{id}_{\bullet} = 1_G \quad \text{and} \quad f^{-1} \cdot f = f^{-1} \circ f = \text{id}_{\bullet} = 1_G.$$

Hence,  $G$  is a group.

This construction may be followed backwards from a group to obtain a category with one object, where all morphisms are isomorphisms.

(b) Describe a groupoid that is not a group.

We give the data of a category  $\mathcal{N}$  as follows:

1. a single object  $\bullet$ ,
2. a set of morphisms  $\text{Mor}_{\mathcal{N}}(\bullet) = \{\text{id}_{\bullet}, s, s^2, \dots, s^n, \dots\}$ , for which  $s^n = s \circ \dots \circ s$  is the  $n$ -times composition of  $s$  with itself, and all such compositions are distinct.

Putting  $s^0 = \text{id}_{\bullet}$  and  $s^1 = s$  suggests an obvious bijection between the set of morphisms  $\text{Mor}_{\mathcal{N}}(\bullet)$  and the set of natural numbers  $\mathbb{N} = \{0, 1, 2, \dots\}$ .

Indeed, this construction of  $\mathcal{N}$  mirrors the inductive construction of the natural numbers, in which the morphism  $s$  is the successor function.

Indeed, this is a monoid, where composition is the addition of natural numbers.

**1.1.C, 1.1.D** omitted

Did in MATH 237A Homework 7.

Didn't check naturality for D?

**1.2.A.** Show that any two initial objects are uniquely isomorphic. Show that any two final objects are uniquely isomorphic.

Let  $I$  and  $I'$  be two initial objects.

Let  $f : I \rightarrow I'$  be the unique map from  $I$  to  $I'$ , which exists since  $I$  is initial.

Let  $g : I' \rightarrow I$  be the unique map from  $I'$  to  $I$ , which exists since  $I'$  is initial.

Then  $g \circ f : I \rightarrow I$  is an endomorphism of  $I$ . But  $\text{id}_I$  is also an endomorphism of  $I$ , so by the universal property of the initial object  $I$ , we have  $g \circ f = \text{id}_I$ .

By an analogous argument,  $f \circ g = \text{id}_{I'}$ .

Hence,  $f$  and  $g$  are inverse morphisms, so  $I$  and  $I'$  are isomorphic.

The uniqueness of this isomorphism follows from the universal properties of the initial objects.

By duality, a final object in a category is an initial object in the opposite category. Initial objects in (opposite) categories are uniquely isomorphic, so final objects in the original category are uniquely isomorphic.

**1.2.B** omitted

Did in MATH 237A Homework 7.

**1.2.C.** Show that  $\iota : A \rightarrow S^{-1}A$  ( $a \mapsto a/1$ ) is injective iff  $S$  contains no zero divisors.

In a stupid predicate logic way for fun.

$\iota$ is injective	$\iff \ker \iota = 0$	classic algebra result
	$\iff \forall a \in A \text{ nonzero, } \iota(a) \neq 0$	def of kernel
	$\iff \forall a \in A \text{ nonzero, } a/1 \neq 0$	def of $\iota$

Then

$a/1 = 0$	$\iff \exists u \in S \text{ s.t. } ua = 0$	def of '=' in $S^{-1}A$
	$\iff \neg \forall u \in S, ua \neq 0$	quantifier duality

Then

$\iota$ is injective $\iff \forall a \in A$ nonzero, $\forall u \in S, ua \neq 0$	substitution
$\iff \forall u \in S, \forall a \in A$ nonzero, $ua \neq 0$	$\forall$ -commutativity
$\iff \forall u \in S, \neg \exists a \in A$ nonzero s.t. $ua = 0$	quantifier duality
$\iff \forall u \in S, u$ is not a zero divisor	def of zero divisor
$\iff \neg \exists u \in S$ s.t. $u$ is a zero divisor	quantifier duality
$\iff S$ contains no zero divisors	rewriting

**1.2.D.** Verify that  $A \rightarrow S^{-1}A$  satisfies the following universal property:  $S^{-1}A$  is initial among  $A$ -algebras  $B$  where every element of  $S$  is sent to an invertible element in  $B$ .

Define the map  $f : S^{-1}A \rightarrow B$  by  $a/s \mapsto s^{-1}a$  where  $s^{-1} \in B$  is the inverse of  $s \in S$ .

Check well-definedness: show  $a/s = b/t$  implies  $s^{-1}a = t^{-1}b$ . (falls out of equality in  $S^{-1}A$ ).

Check that  $f$  is an  $A$ -Homomorphism. Show

- $f(a/s + b/t) = f(a/s) + f(b/t)$
- $f((a/s)(b/t)) = f(a/s)f(b/t)$
- $f(a(b/s)) = af(b/s)$

For another  $A$ -Hom  $g : S^{-1}A \rightarrow B$

Check uniqueness: show that if  $g : S^{-1}A \rightarrow B$  is an  $A$ -Hom which sends elements of  $S$  to invertible elements of  $B$ , then  $g = f$ . Use def of  $A$ -Hom and def of  $f$ .

**1.2.I.** Show that the tensor product  $(T, t : M \times N \rightarrow T)$  defined by the universal property is unique up to unique isomorphism.

Consider the category  $\mathcal{C}$  consisting of

- objects: pairs  $(T, t)$  where  $t : M \times N \rightarrow T$  is  $A$ -bilinear,
- morphisms: a morphism  $(T, t) \rightarrow (T', t')$  consists of an  $A$ -linear map  $f : T \rightarrow T'$  such that the following diagram commutes:

$$\begin{array}{ccc}
 & M \times N & \\
 t \swarrow & & \searrow t' \\
 T & \xrightarrow{f} & T'
 \end{array}$$

Moreover, the identity morphism on  $(T, t)$  is the identity map on  $T$ , and composition of morphisms is composition of the linear maps.

If  $(T, t)$  and  $(T', t')$  are two tensor products of  $M$  and  $N$ , then there are morphisms  $f : T \rightarrow T'$  and  $g : T' \rightarrow T$ , which both commute with  $t$  and  $t'$ . Then their compositions must be the endomorphisms of  $T$  and  $T'$  which make the relevant diagrams commute. But the universal property requires that these be the identity morphisms on  $T$  and  $T'$ , respectively. Hence,  $(T, t)$  and  $(T', t')$  are isomorphic in  $\mathcal{C}$ . Additionally, the universal property of the tensor product guarantees that this isomorphism is unique.

**1.2.J.** no

**Tensor Product Construction** Let  $A$  be a commutative ring and let  $M$  and  $N$  be  $A$ -modules. For elements  $m \in M$  and  $n \in N$ , define the **pure tensor** of  $m$  and  $n$  to be the symbol

$$m \otimes n.$$

We now consider the free  $A$ -module  $F$  generated by the set of all pure tensors:

$$F := \bigoplus_{\substack{m \in M \\ n \in N}} A \langle m \otimes n \rangle \cong A^{(M \times N)},$$

where each  $A \langle m \otimes n \rangle$  is a copy of  $A$  with basis element  $m \otimes n$ . Now define the submodule  $K$  of  $F$ , generated by the following elements:

$$K := \left\langle \begin{array}{l} (m_1 + m_2) \otimes n - m_1 \otimes n - m_2 \otimes n, \\ m \otimes (n_1 + n_2) - m \otimes n_1 - m \otimes n_2, \\ a(m \otimes n) - am \otimes n, \\ a(m \otimes n) - m \otimes an \end{array} \right\rangle.$$

Now we define the **tensor product** of  $M$  and  $N$  over  $A$  to be the quotient module

$$M \otimes_A N := F/K.$$

In other words,  $M \otimes_A N$  is the module whose elements are finite  $A$ -linear combinations of pure tensors, subject to the relations generating  $K$ .

**1.2.K. (a)** If  $M$  is an  $A$ -module and  $A \rightarrow B$  is a morphism of rings, give  $B \otimes_A M$  the structure of a  $B$ -module (this is part of the exercise). Show that this describes a functor  $\text{Mod}_A \rightarrow \text{Mod}_B$ .

With  $A \rightarrow B$  a morphism of rings, we consider  $B$  as an  $A$ -algebra and, in particular, as an  $A$ -module.

Fix a scalar  $\beta \in B$ . We now define the map

$$\begin{aligned} g_\beta : B \times M &\longrightarrow B \otimes_A M, \\ (b, m) &\longmapsto (\beta b) \otimes m. \end{aligned}$$

We claim that this map is  $A$ -bilinear, i.e., it is  $A$ -linear in each variable.

$$\begin{aligned}
g_\beta(ab_1 + b_2, m) &= (\beta(ab_1 + b_2)) \otimes m \\
&= (\beta ab_1 + \beta b_2) \otimes m \\
&= (\beta ab_1) \otimes m + (\beta b_2) \otimes m \\
&= a(\beta b_1 \otimes m) + (\beta b_2 \otimes m) \\
&= ag_\beta(b_1, m) + g_\beta(b_2, m)
\end{aligned}$$

$$\begin{aligned}
g_\beta(b, am_1 + m_2) &= (\beta b) \otimes (am_1 + m_2) \\
&= (\beta b) \otimes am_1 + (\beta b) \otimes m_2 \\
&= a((\beta b) \otimes m_1) + (\beta b) \otimes m_2 \\
&= ag_\beta(b, m_1) + g_\beta(b, m_2)
\end{aligned}$$

By the universal property of the tensor product, there is an  $A$ -linear map

$$\begin{aligned}
\beta \cdot : B \otimes_A M &\longrightarrow B \otimes_A M, \\
b \otimes m &\longmapsto (\beta b) \otimes m.
\end{aligned}$$

We call this the  $B$ -scalar multiplication on  $B \otimes_A M$ . We check that this indeed satisfies the requirements of a  $B$ -module structure:

(i) Because  $\beta \cdot$  is  $A$ -linear on simple tensors, we get this for free:

$$\beta \cdot (b_1 \otimes m_1 + b_2 \otimes m_2) = \beta \cdot (b_1 \otimes m_1) + \beta \cdot (b_2 \otimes m_2).$$

(ii) Using the rules of tensor products, we have

$$\begin{aligned}
(\beta_1 + \beta_2) \cdot (b \otimes m) &= ((\beta_1 + \beta_2)b) \otimes m \\
&= (\beta_1 b + \beta_2 b) \otimes m \\
&= (\beta_1 b) \otimes m + (\beta_2 b) \otimes m \\
&= \beta_1 \cdot (b \otimes m) + \beta_2 \cdot (b \otimes m).
\end{aligned}$$

(iii) This property comes down to the associativity of multiplication in  $B$ :

$$\begin{aligned}
(\beta_1 \beta_2) \cdot (b \otimes m) &= ((\beta_1 \beta_2)b) \otimes m \\
&= (\beta_1(\beta_2 b)) \otimes m \\
&= \beta_1 \cdot ((\beta_2 b) \otimes m) \\
&= \beta_1 \cdot (\beta_2 \cdot (b \otimes m)).
\end{aligned}$$

(iv) Lastly,

$$1_B \cdot (b \otimes m) = (1_B b) \otimes m = b \otimes m.$$

Thus, we have indeed found a  $B$ -module structure on  $B \otimes_A M$ .

(In the case of noncommutative rings, this is a left  $B$ -module structure. We do not have a notion of scaling elements of  $M$  by elements of  $B$ , except those coming from  $A \rightarrow B$ . In other words, we have  $\alpha b \otimes m = b \otimes \alpha m$ , but  $\beta b \otimes m \neq b \otimes \beta m$  (what would  $\beta m$  even mean?). We can think of the  $B$  in  $B \otimes_A M$  as holding all the  $B$ -scalar data that cannot be directly applied to  $M$ . It is “the most general” way to extend the  $A$ -module structure of  $M$  to a  $B$ -module structure, while respecting the  $A$ -module structure of  $B$ .)

We now have a rule between the categories

$$\begin{aligned} \text{Mod}_A &\xrightarrow{B \otimes_A -} \text{Mod}_B \\ M &\longmapsto B \otimes_A M \end{aligned}$$

We claim that this is a functor.

To define  $B \otimes_A -$  on morphisms, consider  $f : M \rightarrow N$  an  $A$ -homomorphism and the  $A$ -bilinear maps characterizing the tensor products in following diagram:

$$\begin{array}{ccc} B \times M & \xrightarrow{\text{id}_B \times f} & B \times N \\ \downarrow & & \downarrow \\ B \otimes_A M & & B \otimes_A N \end{array}$$

Composing the top and right arrows gives us an  $A$ -bilinear map as follows:

$$\begin{array}{ccc} B \times M & \xrightarrow{\text{id}_B \times f} & B \times N \\ \downarrow & \searrow & \downarrow \\ B \otimes_A M & & B \otimes_A N \end{array}$$

Now, the universal property of the tensor product gives us a unique  $A$ -linear map which makes the following diagram commute:

$$\begin{array}{ccc} B \times M & \xrightarrow{\text{id}_B \times f} & B \times N \\ \downarrow & & \downarrow \\ B \otimes_A M & \xrightarrow{\exists!} & B \otimes_A N \end{array}$$

This map is what we will take as  $B \otimes_A f$ . On pure tensors, this is the map  $b \otimes m \mapsto b \otimes f(m)$ . However, as we want this to be a morphism in  $\text{Mod}_B$ , we need to check that it is  $B$ -linear. We get additivity for free as we already know the map to be  $A$ -linear, so we need only

check that it commutes with arbitrary scalars in  $B$ . Let  $\beta \in B$ , then

$$\begin{aligned}(B \otimes_A f)(\beta(b \otimes m)) &= (B \otimes_A f)(\beta b \otimes m) \\ &= \beta b \otimes f(m) \\ &= \beta(b \otimes f(m)) \\ &= \beta(B \otimes_A f)(b \otimes m).\end{aligned}$$

We now have the data of a functor  $B \otimes_A -$  from  $\text{Mod}_A$  to  $\text{Mod}_B$ :

- For each  $A$ -module  $M$ , a  $B$ -module  $B \otimes_A M$ .
- For each  $A$ -homomorphism  $f : M \rightarrow N$ , a  $B$ -homomorphism  $B \otimes_A f$  from  $B \otimes_A M$  to  $B \otimes_A N$ .

We now check the functorial properties. Temporarily denote the functor by  $T = B \otimes_A -$ .

- (i) *Preserves composition.* Let  $f : M \rightarrow N$  and  $g : N \rightarrow P$  be  $A$ -homomorphisms. We check how  $T(g \circ f)$  acts on pure tensors:

$$\begin{aligned}(T(g \circ f))(b \otimes m) &= b \otimes (g(f(m))) \\ &= (Tg)(b \otimes f(m)) \\ &= (Tg)((Tf)(b \otimes m)) \\ &= (Tg \circ Tf)(b \otimes m).\end{aligned}$$

So in fact,  $T(g \circ f) = Tg \circ Tf$ .

- (ii) *Preserves identities.* Let  $M$  be an  $A$ -module, then

$$(T\text{id}_M)(b \otimes m) = b \otimes \text{id}_M(m) = b \otimes m = \text{id}_{TM}(b \otimes m).$$

Hence,  $T = B \otimes_A -$  is a functor from  $\text{Mod}_A$  to  $\text{Mod}_B$ .

**1.2.K. (b)** If further  $A \rightarrow C$  is another morphism of rings, show that  $B \otimes_A C$  has a natural structure of a ring. Hint: multiplication will be given by  $(b_1 \otimes c_1)(b_2 \otimes c_2) = (b_1 b_2) \otimes (c_1 c_2)$ .

Sketch:

map  $(b_1, c_1, b_2, c_2) \mapsto b_1 b_2 \otimes c_1 c_2$  is multilinear so should factor good. See this by looking at diagram

$$\begin{array}{c} B \times C \times B \times C \\ \downarrow \text{transposition} \\ B \times B \times C \times C \\ \downarrow m_B \times m_C \\ B \times C \\ \downarrow \tau \\ B \otimes_A C \end{array}$$

Then by universal property has to factor thru multi tensor product

$$B \times C \times B \times C \longrightarrow B \otimes_A C \otimes_A B \otimes_A C$$

Which should factor thru

$$B \times C \times B \times C \longrightarrow (B \otimes_A C) \times (B \otimes_A C)$$

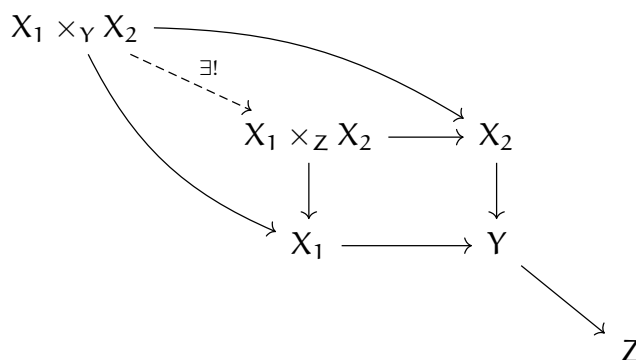
Whole thing can proly just be shown elementwise easy.

**1.2.R.** Given morphisms  $X_1 \rightarrow Y$ ,  $X_2 \rightarrow Y$ , and  $Y \rightarrow Z$ , show that there is a natural morphism  $X_1 \times_Y X_2 \rightarrow X_1 \times_Z X_2$ , assuming that both the fibered products exist.

We consider the fiber product  $X_1 \times_Z X_2$  to relative to the morphisms obtained by composition, i.e.,

$$X_1 \rightarrow Y \rightarrow Z \quad \text{and} \quad X_2 \rightarrow Y \rightarrow Z.$$

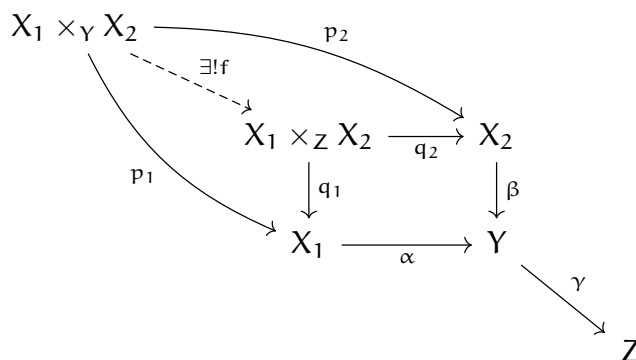
The universal property of this fiber product lets us fill in the following commutative diagram:



**1.2.S.** The Diagonal Base Change Diagram. Suppose we are given morphism  $X_1, X_2 \rightarrow Y$  and  $Y \rightarrow Z$ . Show that the following diagram is a Cartesian square.

$$\begin{array}{ccc} X_1 \times_Y X_2 & \longrightarrow & X_1 \times_Z X_2 \\ \downarrow & & \downarrow \\ Y & \longrightarrow & Y \times_Z Y \end{array}$$

Give the following names to relevant maps:





By the universal property of the fiber product  $Y \times_Z Y$ , we have the following commutative diagram:

