# Lab 3 Evaluation Dan HOU

### 1. Implement the Bleu metric.

In order to implement the complete process of BLEU score without relying on libraries like NLTK, we need to calculate the Brevity Penalty (BP) and Modified n-gram Precision in order to arrive at the final BLEU score. The following are the core mathematical expressions:

$$BP = \begin{cases} 1 & \text{if hypothesis longer than reference} \\ e^{1 - \frac{\#r}{\#h}} & \text{otherwise} \end{cases}$$

where:

- r is a reference translation (gold)
- h is a translation hypothesis (prediction)

$$P_n = \sum_{n-gram \in hyp} \frac{\#_{clip} n - gram}{\# n - gram}$$

where:

•  $\#_{clip}(a) = \min \{ \#a \in h, \#a \in r \}$ 

$$BLEU = BP \times \exp\left(\sum_{n=1}^{N} w_n \log P_n\right)$$

where:

•  $w_n$  is the weight assigned to the precision of n-grams of size n, by default, the weights are equal,  $w_n = \frac{1}{N}$ 

```
[]: import math

def brevity_penalty(ref, hyp):
    return 1 if len(ref) < len(hyp) else math.exp(1 - len(ref)/len(hyp))</pre>
```

[]: 0.846481724890614

```
[]: # generate n-grams sequences
    def create_ngrams(sentence, n):
        return [tuple(sentence[i:i+n]) for i in range(len(sentence)-n+1)]
[]: # test
    create_ngrams(['There', 'is', 'a', 'cat', 'on', 'the', 'mat'], 2)
[]: [('There', 'is'),
     ('is', 'a'),
     ('a', 'cat'),
      ('cat', 'on'),
      ('on', 'the'),
      ('the', 'mat')]
[]: from collections import Counter
    # calculate n-grams accuracy
    def clipped_ngram_precision(ref, hyp, n):
        bag_ref = Counter(create_ngrams(ref, n))
        bag_hyp = Counter(create_ngrams(hyp, n))
        # get matching n-grams
        common = sum((min(bag_hyp[ngram], bag_ref.get(ngram, 0)) for ngram in_u
      →bag_hyp))
        # compute accuracy
        return 0 if common == 0 else common / sum(bag hyp.values())
[]: # test
    clipped_ngram_precision(['There', 'is', 'a', 'cat', 'on', 'the', 'mat'], __
      []: 0.4
[]: MIN_PROB = 1e-10
    # final bleu score
    def bleu_score(ref, hyp, N, tokenizer=lambda s : s.split()):
        # tokenize the sentence
        tokenized_ref = tokenizer(ref)
        tokenized_hyp = tokenizer(hyp)
        # compute bp
        bp = brevity_penalty(tokenized_ref, tokenized_hyp)
        # compute ngram precision
        in_exp = sum([1/N * math.log(max(clipped_ngram_precision(tokenized_ref,__
      →tokenized_hyp, n), MIN_PROB)) for n in range(1, N+1)])
        return bp * math.exp(in_exp)
```

```
[]: # test
bleu_score('There is a cat on the mat', 'The cat is on the mat', 3)
```

- []: 0.36973494931036327
  - 2. Using the WMT'15 test sets, evaluate the performance of mBart and MarianMT. These two models can be easily used with the HuggingFace API. What can you conclude.

- []: device(type='cpu')
- [ ]: ! wget https://statmt.org/wmt15/test.tgz > log.txt
  ! tar zxvf test.tgz > log.txt
  - Data preprocessing

```
[]: from pathlib import Path
  import xml.etree.ElementTree as ET
  import html

def read_file(file_path):
    # well-formed, parse .sgm file and get root
    root = ET.fromstring(Path(file_path).read_text(encoding='utf-8').
    replace('&', '&').replace('<...>',"&lt;...&gt;"))
    # extracting sentences from <seg> tags
    return [html.unescape(seg).text for seg in root.findall(".//seg")]
```

• mBart

```
[]: tokenizer_mBart.lang_code_to_id.keys()
```

```
'mk_MK', 'ml_IN', 'mn_MN', 'mr_IN', 'pl_PL', 'ps_AF', 'pt_XX', 'sv_SE', 'sw_KE',
    'ta_IN', 'te_IN', 'th_TH', 'tl_XX', 'uk_UA', 'ur_PK', 'xh_ZA', 'gl_ES',
    'sl_SI'])

[]: from tqdm import tqdm

def translate_by_mBart(model, tokenizer, src_path, src_lang, ref_lang, usbatch_size, device='cpu', sub_set=None):
    # read file and extract all the sentences
    src_sents = read_file(src_path)
    if sub_set:
        # for debug
        src_sents = src_sents[:sub_set]
    tokenizer.src_lang = src_lang
        translated sents = []
```

encoded\_batch\_sentences = tokenizer(batch, return\_tensors="pt",\_\_

aforced\_bos\_token\_id=tokenizer.lang\_code\_to\_id[ref\_lang]).to('cpu')

generated batch tokens = model.generate(\*\*encoded batch sentences,

translated\_sents += tokenizer.batch\_decode(generated\_batch\_tokens,\_

for i in tqdm(range(0, len(src\_sents), batch\_size)):

batch = src sents[i:i+batch size]

• MarianMT

model.to(device)

→padding=True).to(device)

⇔skip\_special\_tokens=True)

return translated\_sents

model.to('cpu')

```
batch = src_sents[i:i+batch_size]
  encoded_batch_sentences = tokenizer(batch, return_tensors="pt",
padding=True).to(device)
  generated_ids = model.generate(**encoded_batch_sentences).to('cpu')
  encoded_batch_sentences.to('cpu')
  translated_sents += tokenizer.batch_decode(generated_ids,_u

skip_special_tokens=True)
  model.to('cpu')
  return translated_sents
```

• Compute the performance

```
# evaluate the performance
def performance(refs, hyps, tokenizer=lambda s : s.split()):
    bleu_scores = []
    for ref, hyp in zip(refs, hyps):
        bleu_scores.append(bleu_score(ref, hyp, 2, tokenizer))
    return statistics.mean(bleu_scores)
```

• All test

```
per_mBart[key] = performance(refs[key], hyps_mBart[key])
per_MarianMT[key] = performance(refs[key], hyps_MarianMT[key])
```

```
[]: import pandas as pd

data = {
    'hyp_ref': lang_pair,
        'performance_mBart': per_mBart.values(),
        'performance_MarianMT': per_MarianMT.values()
}
df = pd.DataFrame(data)
df
```

```
[]:
         hyp_ref
                   performance_mBart
                                       performance_MarianMT
        (cs, en)
                             0.380930
                                                    0.371851
     1
        (de, en)
                             0.420352
                                                    0.425130
     2
        (en, cs)
                             0.267206
                                                    0.300128
     3
        (en, de)
                             0.362379
                                                    0.385861
     4
        (en, fi)
                             0.224777
                                                    0.348175
        (en, ru)
                                                    0.335207
     5
                             0.331373
     6
        (fi, en)
                             0.320570
                                                    0.352416
     7
        (ru, en)
                             0.406453
                                                    0.385352
```

Based on the data in the above, we can conclude the performance of the two models in different translation tasks. On the whole, the average Bleu score of MarianMT (0.367) is slightly higher than that of mBart (0.346), which shows that MarianMT is more advantageous in these language pairs on the whole. In details, some language pairs such as (cs, en) and (ru, en), mBart has higher Bleu scores than MarianMT, while in other language pairs such as (de, en) and (en, de), MarianMT has slightly higher Bleu scores, and in particular in the pair (en, fi), MarianMT's scores are significantly higher than those of mBart.

This is due to the differences in model architectures between mBart and MarianMT. mBart adopts a many-to-many architecture, which is capable of handling translation tasks in multiple languages and learning translation relations between multiple languages in a single model, which gives it high performance in multilingual environments. MarianMT, on the other hand, is designed to be fine-tuned for specific source and target languages, ensuring that each model focuses on the translation of specific language pairs and is suitable for specific tasks that require high-quality translation. Overall, mBart is more flexible and suitable for multilingual scenarios, whereas MarianMT excels in language pair-specific specialization.

#### 3. Explain on an example why such permutations will never decrease the Bleu score.

Because Bleu score is mainly based on n-gram matches to calculate the score, different number of n-gram matches will affect the final Bleu score, but the order of the n-grams will not affect the number of matches, that's why it won't decrease due to different permutations. Here is an example:

```
[]: # given my example reference and hypothesis sentence
ref = 'I believe I can learn NLP well'
hyp = 'I am confident that I can learn NLP well'
```

```
[]: original_bleuscore = bleu_score(ref, hyp, 2)
     original_bleuscore
[]: 0.5773502691896257
[]: # create bigrams
     ref_bigrams = create_ngrams(ref.split(), 2)
     print(ref_bigrams)
     hyp_bigrams = create_ngrams(hyp.split(), 2)
    print(hyp bigrams)
    [('I', 'believe'), ('believe', 'I'), ('I', 'can'), ('can', 'learn'), ('learn',
    'NLP'), ('NLP', 'well')]
    [('I', 'am'), ('am', 'confident'), ('confident', 'that'), ('that', 'I'), ('I',
    'can'), ('can', 'learn'), ('learn', 'NLP'), ('NLP', 'well')]
[]: # examine bigram mismatches, if they are add a vertical bar
     for i, bigram in enumerate(hyp_bigrams):
         if bigram not in ref_bigrams:
            hyp_bigrams[i] = (bigram[0], '|', bigram[1])
    print(hyp_bigrams)
    [('I', '|', 'am'), ('am', '|', 'confident'), ('confident', '|', 'that'),
    ('that', '|', 'I'), ('I', 'can'), ('can', 'learn'), ('learn', 'NLP'), ('NLP',
    'well')]
[]: # get new hypothesis sentence
     new hyp = []
     for item in hyp_bigrams:
         new hyp += (item[:-1])
     new_hyp.append(hyp.split()[-1])
     new_hyp = ' '.join(new_hyp)
     print(new_hyp)
    I | am | confident | that | I can learn NLP well
[]: from itertools import permutations
     # get permutated hypothesis sentences
     per_hyps = [' '.join(item) for item in permutations(new_hyp.split(' | '))]
[]: import random
     random_sample = random.sample(per_hyps, 10)
     random_sample
[]: ['am I that confident I can learn NLP well',
      'I am confident that I can learn NLP well',
```

```
'am I confident that I can learn NLP well',
      'confident I am I can learn NLP well that',
      'am I confident I can learn NLP well that',
      'confident I that am I can learn NLP well',
      'I am that confident I can learn NLP well',
      'confident I am that I can learn NLP well',
      'I am confident I can learn NLP well that',
      'confident I that I can learn NLP well am']
[]: # compute new bleu scores
     new_bleuscores = []
     for item in per_hyps:
         new_bleuscores.append(bleu_score(ref, item, 2))
[]: random_sample = random.sample(new_bleuscores, 10)
     print(random sample)
    0.5773502691896257
    0.5773502691896257
    0.5773502691896257
    0.5773502691896257
    0.5773502691896257
    0.5773502691896257
    0.5773502691896257
    0.5773502691896257
    0.5773502691896257
    0.5773502691896257
```

4. Given a sentence with n words and b bigram mismatches, how many sentences can you generate with this principle. Compute the number of sentences you will obtain on the WMT'15 test set.

For a given sentence, if n is its length, which means the total number of bigrams is (n-1), and b is the number of bigram mismatches, we can get the number of bigram matches is (n-1-b), then calculate the number of sentences it can generate with this formula: (n-(n-1-b))! = (b+1)!

```
nb_sents += math.factorial(b + 1)
return nb_sents
```

```
[]: count_sents_mBart = {}
    count_sents_MarianMT = {}
    for h, r in lang_pair:
        key = f"{h}_{r}"
        count_sents_mBart[key] = nb_sents(refs[key], hyps_mBart[key])
        count_sents_MarianMT[key] = nb_sents(refs[key], hyps_MarianMT[key])
```

```
data = {
    'hyp_ref': lang_pair,
    'mBart': count_sents_mBart.values(),
    'MarianMT': count_sents_MarianMT.values()
}
df = pd.DataFrame(data)
# using scientific notation to record numbers
df['mBart'] = df['mBart'].apply(lambda x: "{:.2e}".format(x))
df['MarianMT'] = df['MarianMT'].apply(lambda x: "{:.2e}".format(x))
```

```
[]:
        hyp_ref
                    mBart
                            MarianMT
                 3.10e+64
                            8.25e+90
    0 (cs, en)
    1 (de, en)
                 7.11e+74
                            4.05e+76
    2 (en, cs)
                 1.61e+68
                            1.61e+68
    3 (en, de)
                 3.15e+85
                            3.15e+85
    4 (en, fi)
                 1.58e+66
                            6.04e+52
    5 (en, ru)
                 8.25e+90
                           2.93e+188
    6 (fi, en)
                 3.65e+94
                            1.27e+89
    7 (ru, en)
                 4.05e+76
                            8.32e+81
```

5. Why this result question the use of Bleu as an evaluation metric.

Because word order is crucial for semantic expression in many languages, but the Bleu score has the property of being "independent of the order of the n-gram matches", which allows many ungrammatical sentences to get high scores and leads to a misleading result. Therefore, Bleu is not suitable as the only indicator for evaluating translation quality.

6. sacreBleu is an implementation of Bleu that aims to provide "hassle-free computation of shareable, comparable, and reproducible Bleu scores". Evaluate the two previous systems using sacreBleu. What can you conclude?

```
[]: !pip install sacrebleu > log.txt
!pip install evaluate > log.txt
```

```
[]: import evaluate
```

```
[]: data = {
    'hyp_ref': lang_pair,
    'performance_mBart': word_sacrebleuscores_mBart.values(),
    'performance_MarianMT': word_sacrebleuscores_MarianMT.values()
}
df = pd.DataFrame(data)
df
```

```
[]:
         hyp_ref
                  performance_mBart performance_MarianMT
     0 (cs, en)
                          30.396764
                                                 29.232791
     1
       (de, en)
                          34.004313
                                                 34.044129
     2 (en, cs)
                          21.215161
                                                 23.700135
     3 (en, de)
                          28.666893
                                                 31.070945
     4 (en, fi)
                          17.067169
                                                 27.603179
     5 (en, ru)
                          27.142213
                                                 27.032437
     6
       (fi, en)
                          24.073603
                                                 25.816301
        (ru. en)
                          31.972505
                                                 29.879974
```

From the sacreBleu scores above we can conclude the performance of these two models mBART and MarianMT. Overall, mBART has a slight advantage over MarianMT in certain language pairs (e.g., cs\_en, ru\_en), which shows that it has a stronger ability to generate English on these groups of language pairs, But MarianMT is better than mBART on some other language pairs (e.g., en\_fi, en\_cs). The difference between the two models in the (de\_en) and (en\_ru) directions is very small, showing that they perform similarly on these two language pairs. In contrast, MarianMT significantly outperforms mBART in the (en\_fi) direction (27.6 vs. 17.1), which shows that MarianMT is significantly stronger in terms of translation quality from English to Finnish, while mBART underperforms in this direction.

## 7. Using sacreBleu and your own implementation of Bleu compute the score achieved:

• subword tokenization

```
[]: from transformers import BertTokenizer

# load mBert tokenizer

tokenizer = BertTokenizer.from_pretrained("bert-base-multilingual-cased")
```

```
[ ]: subword_bleuscores_mBart = {}
     subword_bleuscores_MarianMT = {}
     for h, r in lang_pair:
         key = f"{h}_{r}"
         subword_bleuscores_mBart[key] = performance(refs[key], hyps_mBart[key],__
      ⇔tokenizer=tokenizer.tokenize)
         subword_bleuscores_MarianMT[key] = performance(refs[key],__
      →hyps_MarianMT[key], tokenizer=tokenizer.tokenize)
[ ]: subword_sacrebleuscores_mBart = {}
     subword sacrebleuscores MarianMT = {}
     for h, r in lang_pair:
         key = f"{h}_{r}"
         subword_sacrebleuscores mBart[key] = sacrebleu.compute(predictions=[' '.
      ⇒join(tokenizer.tokenize(sent)) for sent in hyps_mBart[key]], references=[' '.
      ⇔join(tokenizer.tokenize(sent)) for sent in refs[key]], force=True, __
      ⇔tokenize=None)['score']
         subword_sacrebleuscores_MarianMT[key] = sacrebleu.compute(predictions=[' '.
      ⇒join(tokenizer.tokenize(sent)) for sent in hyps_MarianMT[key]],
      oreferences=[' '.join(tokenizer.tokenize(sent)) for sent in refs[key]], u

¬force=True, tokenize=None)['score']
       • caracter tokenization
[ ]: character_bleuscores_mBart = {}
     character_bleuscores_MarianMT = {}
     for h, r in lang_pair:
         key = f"{h}_{r}"
         character_bleuscores_mBart[key] = performance(refs[key], hyps_mBart[key],
      ⇔tokenizer=lambda s : s)
         character_bleuscores_MarianMT[key] = performance(refs[key],__
      →hyps_MarianMT[key], tokenizer=lambda s : s)
[ ]: character_sacrebleuscores_mBart = {}
     character_sacrebleuscores_MarianMT = {}
     for h, r in lang_pair:
         key = f"{h} {r}"
         character_sacrebleuscores_mBart[key] = sacrebleu.
      →compute(predictions=hyps_mBart[key], references=refs[key],
      ⇔tokenize='char')['score']
         character_sacrebleuscores_MarianMT[key] = sacrebleu.
      →compute(predictions=hyps MarianMT[key], references=refs[key],
      ⇔tokenize='char')['score']
       • For mBART
[]: data = {
        'hyp_ref': lang_pair,
```

```
'word_Bleu': per_mBart.values(),
         'subword_Bleu': subword_bleuscores_mBart.values(),
         'character_Bleu': character_bleuscores_mBart.values(),
         'word_Sacrebleu': word_sacrebleuscores_mBart.values(),
         'subword_Sacrebleu': subword_sacrebleuscores_mBart.values(),
         'character_Sacrebleu': character_sacrebleuscores_mBart.values()
     }
     df_mBart = pd.DataFrame(data)
     df mBart
[]:
         hyp_ref
                  word_Bleu
                             subword_Bleu
                                            character_Bleu word_Sacrebleu \
       (cs, en)
                   0.380930
                                 0.460977
                                                  0.720629
                                                                 30.396764
     0
     1 (de, en)
                   0.420352
                                 0.501891
                                                  0.750272
                                                                 34.004313
     2 (en, cs)
                   0.267206
                                 0.426904
                                                  0.683626
                                                                 21.215161
     3 (en, de)
                   0.362379
                                 0.458753
                                                  0.739520
                                                                 28.666893
     4 (en, fi)
                   0.224777
                                 0.378312
                                                  0.690271
                                                                 17.067169
     5 (en, ru)
                   0.331373
                                 0.460530
                                                  0.710566
                                                                 27.142213
     6 (fi, en)
                   0.320570
                                 0.399354
                                                  0.694316
                                                                 24.073603
     7 (ru, en)
                   0.406453
                                 0.476364
                                                  0.742836
                                                                 31.972505
        subword_Sacrebleu character_Sacrebleu
     0
                38.491523
                                      61.949720
     1
                43.035842
                                      65.374474
     2
                47.924923
                                      56.217529
     3
                44.365647
                                      63.616831
     4
                44.418577
                                      57.291907
     5
                49.786564
                                      60.642342
     6
                32.574267
                                      57.915550
                38.296699
                                      64.116429
       • For MarianMT
[]: data = {
         'hyp_ref': lang_pair,
         'word_Bleu': per_MarianMT.values(),
         'subword_Bleu': subword_bleuscores_MarianMT.values(),
         'character_Bleu': character_bleuscores_MarianMT.values(),
         'word_Sacrebleu': word_sacrebleuscores_MarianMT.values(),
         'subword Sacrebleu': subword_sacrebleuscores_MarianMT.values(),
         'character_Sacrebleu': character_sacrebleuscores_MarianMT.values()
     }
     df_MarianMT = pd.DataFrame(data)
     df MarianMT
[]:
         hyp_ref
                  word_Bleu
                             subword_Bleu
                                            character_Bleu word_Sacrebleu \
     0 (cs, en)
                                 0.459340
                                                  0.723244
                                                                 29.232791
                   0.371851
     1 (de, en)
                   0.425130
                                 0.508805
                                                  0.754834
                                                                 34.044129
     2 (en, cs)
                   0.300128
                                 0.462772
                                                  0.699709
                                                                 23.700135
```

3	(en, de)	0.385861	0.486350	0.750117	31.070945
4	(en, fi)	0.348175	0.510284	0.751089	27.603179
5	(en, ru)	0.335207	0.471489	0.714650	27.032437
6	(fi, en)	0.352416	0.438676	0.716517	25.816301
7	(ru, en)	0.385352	0.463256	0.732696	29.879974
	subword_Sa	crebleu ch	aracter_Sacrebleu		
0	38	3.603239	61.893634		
1	43	3.765782	65.751398		
2	50	.653509	58.592193		
3	47	.515898	65.445066		
4	54	.494192	65.461260		
5	51	.368148	60.359567		

60.487375

61.986108

#### How can you explain these results?

36.122189

36.549705

6

From the above data we can conclude that the scores of both Bleu and sacreBleu increase when the tokenized units become smaller, this is because when we split the sentences into smaller units, we are able to match more n-grams and thus increase the scores. In model comparisons, MarianMT slightly better than mBART on word and subword, especially on word\_sacreBLEU where the gap is obvious, e.g., on (en, fi) mBART scores 17.07 while MarianMT reaches 27.60. In general, MarianMT scores higher than mBART on most language pairs, especially on subword and character levels, which indicates that it is more compatible with different tokenization levels when dealing with multilingual translation tasks.