

HSC Checklist for Financial Conflict of Interest

In order to inform research subjects for circumstances that may affect their decision to participate in this study, all researchers are required to disclose their financial interests with outside institutions.

The Lead Researcher of the protocol must ask the following question of all study team members: "Do you, your spouse, or dependent children have any disclosable financial interests (i) that would reasonably appear to be affected by the research; or (ii) in entities whose financial interests would reasonably appear to be affected by the research?"

Please provide the name of any study team who answers "yes" to any of the sections below. The study team member(s) must complete Form 600u-Investigator's Statement of Financial Interests Related to Human Subjects Research and email it to coi@research.ucsb.edu.

IRB approval cannot be granted until all disclosures are reviewed.

CONFLICT OF INTEREST: Disclosable financial interests are:

1. ☐ Yes X No Ownership interest, stock, stock options, or other financial interest related to the research, unless it meets all four tests:
 - *Less than \$10,000 when aggregated for the immediate family and*
 - *Publicly traded on a stock exchange and*
 - *Value will not be affected by the outcome of the research and*
 - *Less than 5% interest in any one single entity.*
2. ☐ Yes X No Compensation related to the research, including salary, consultant payments, honoraria, royalty payments, dividends, loans, or any other payments or consideration with value, unless it meets both of the following tests:
 - *Less than \$10,000 in the past year when aggregated for the immediate family and the*
 - *Amount will not be affected by the outcome of the research.*
3. ☐ Yes X No Proprietary interest related to the research including, but not limited to, a patent, trademark, copyright or licensing agreement.
4. ☐ Yes X No Board or executive relationship (e.g., director, officer, partner, or trustee) related to the research, regardless of compensation.

List the Name(s) of Study Team Members who have Disclosable Financial Interests

(Include Lead Researcher, Co-Researchers, Research Personnel and, if applicable, Faculty Sponsor)

No members of the research team have disclosable financial interests

I certify that the information provided is accurate and complete. I have discussed the requirement to disclose financial conflicts of interest with all members of the project team. The appropriate actions have been taken.



Principal Investigator Signature

August 25, 2023

Date

Joseph Holler

Principal Investigator Name