

# Idea, searching and inserting in B+-tree

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# Overview over this video

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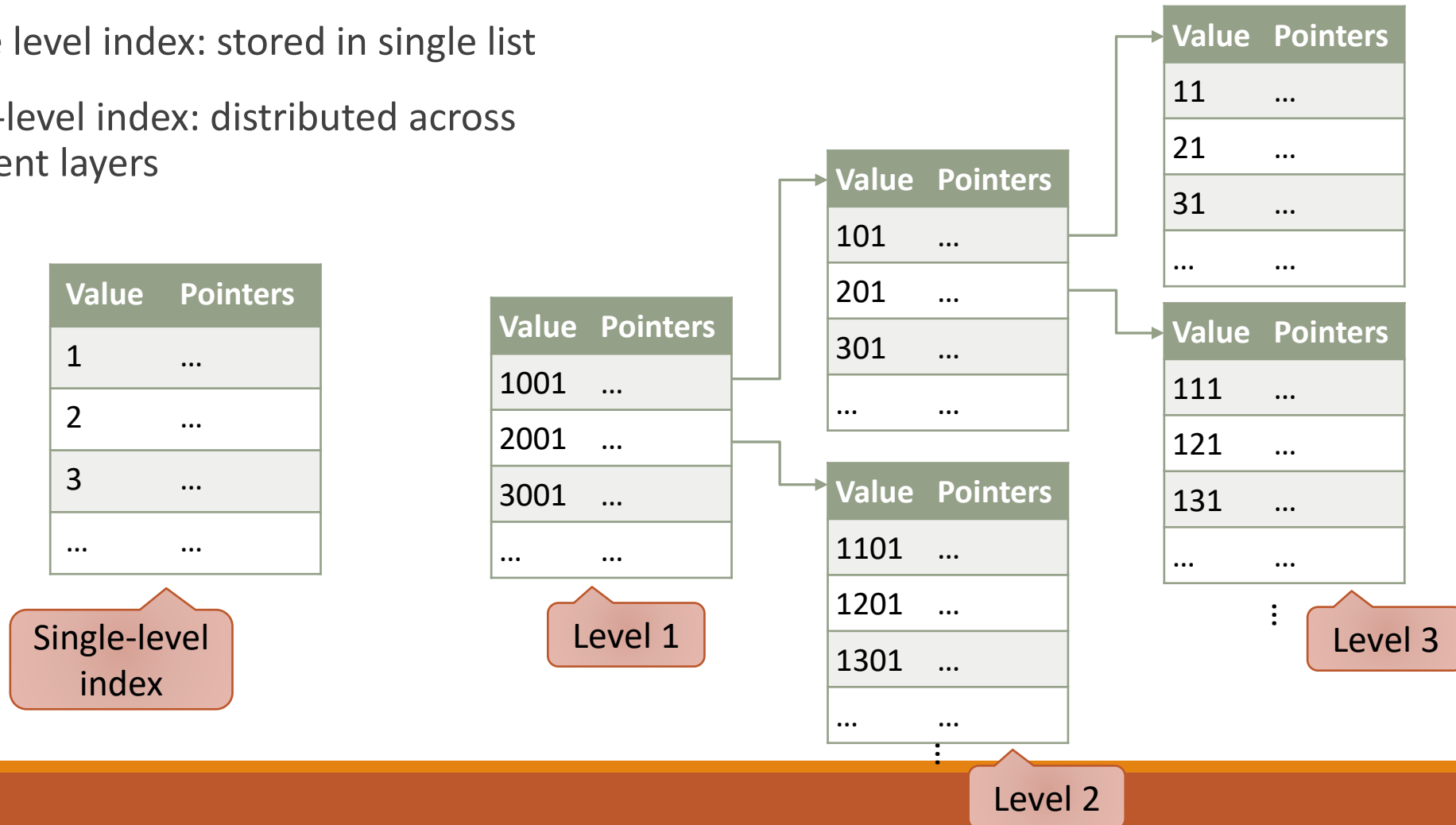
The video will cover the basics of B+-trees as well as

- Searching for values
- Inserting pairs of values and pointers

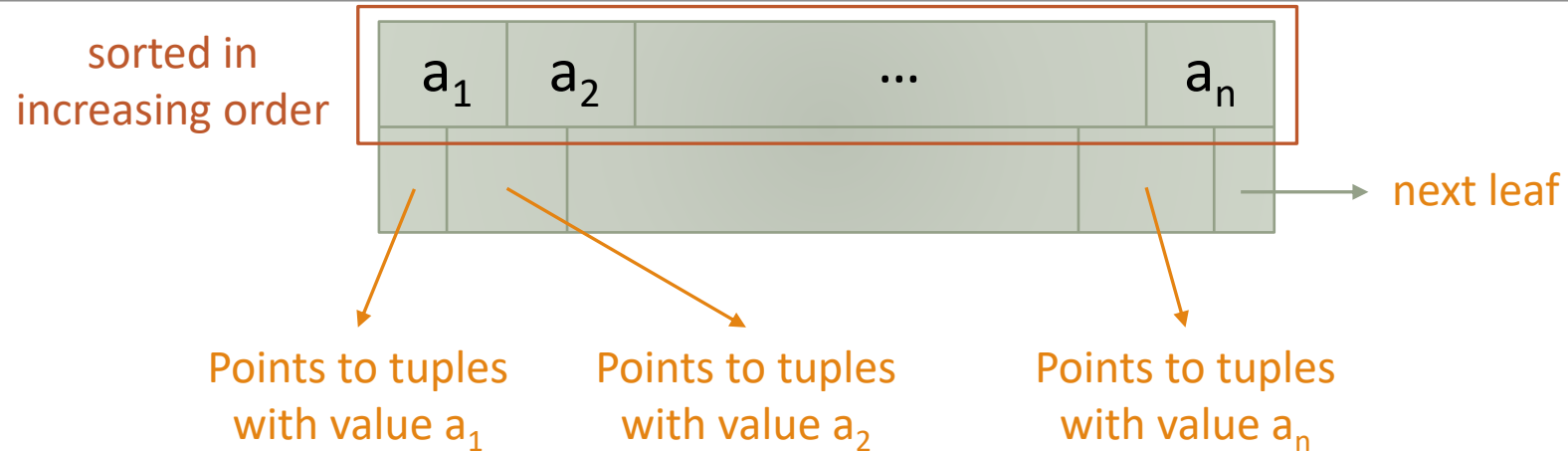
# Single Level vs Multi-Level Indexes

Single level index: stored in single list

Multi-level index: distributed across different layers



# B+ Tree: Leaves (Idea)



$n$  = chosen such that node fits into a single disk block

Example:

- Disk block size = 512 byte
- Values: 4 byte integers
- Pointers: 8 bytes

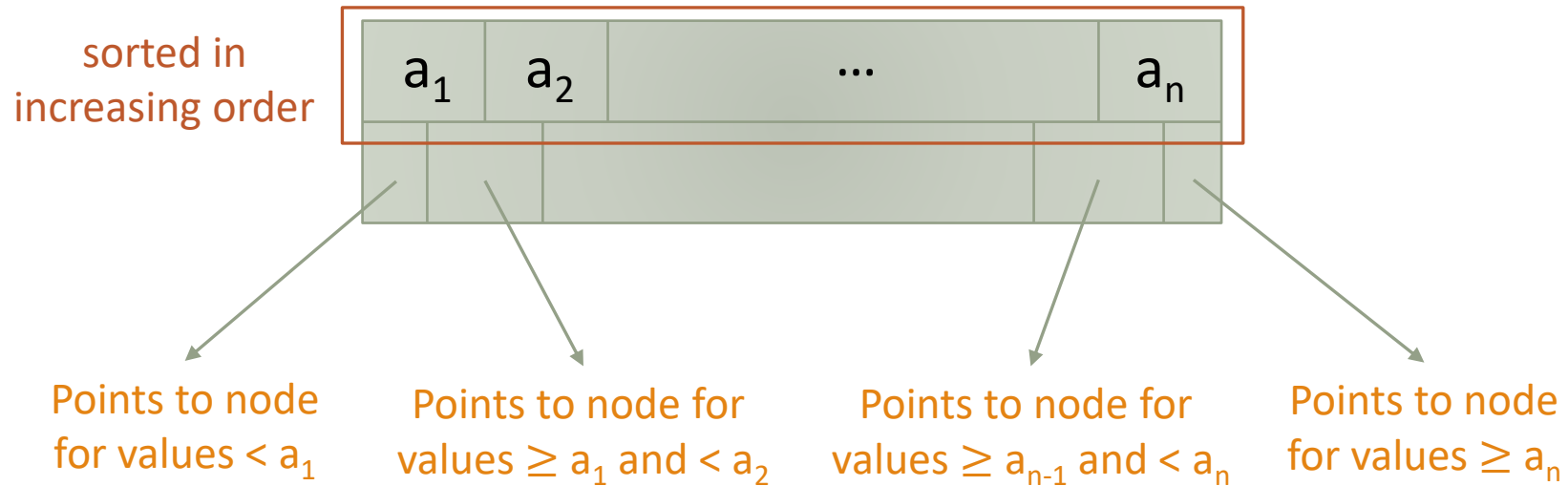
$$n = 42$$

$$(512 \geq 42 (8+4)+8)$$

$$512 < 43 (8+4)+8)$$

# B+ Tree: Inner Nodes (Idea)

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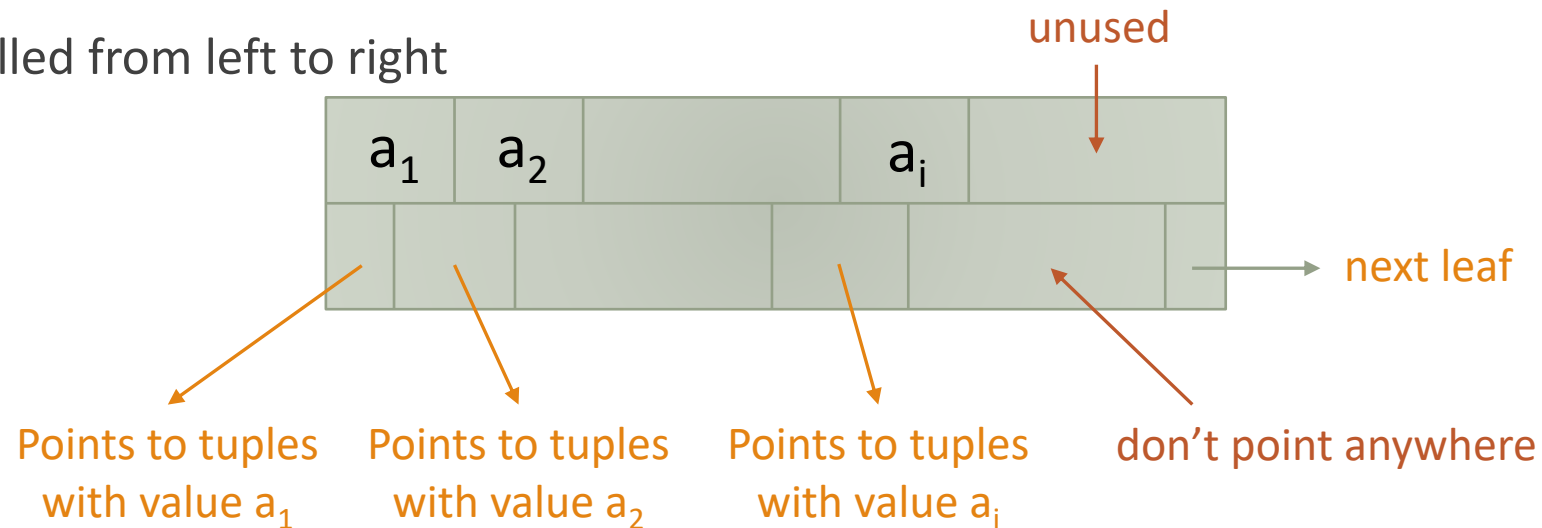
Pointers point to B+ tree nodes at level below

$n$  = chosen as before

# B+ Tree: Leaves (Actually)

Not all of the fields have to be used

Fields are filled from left to right

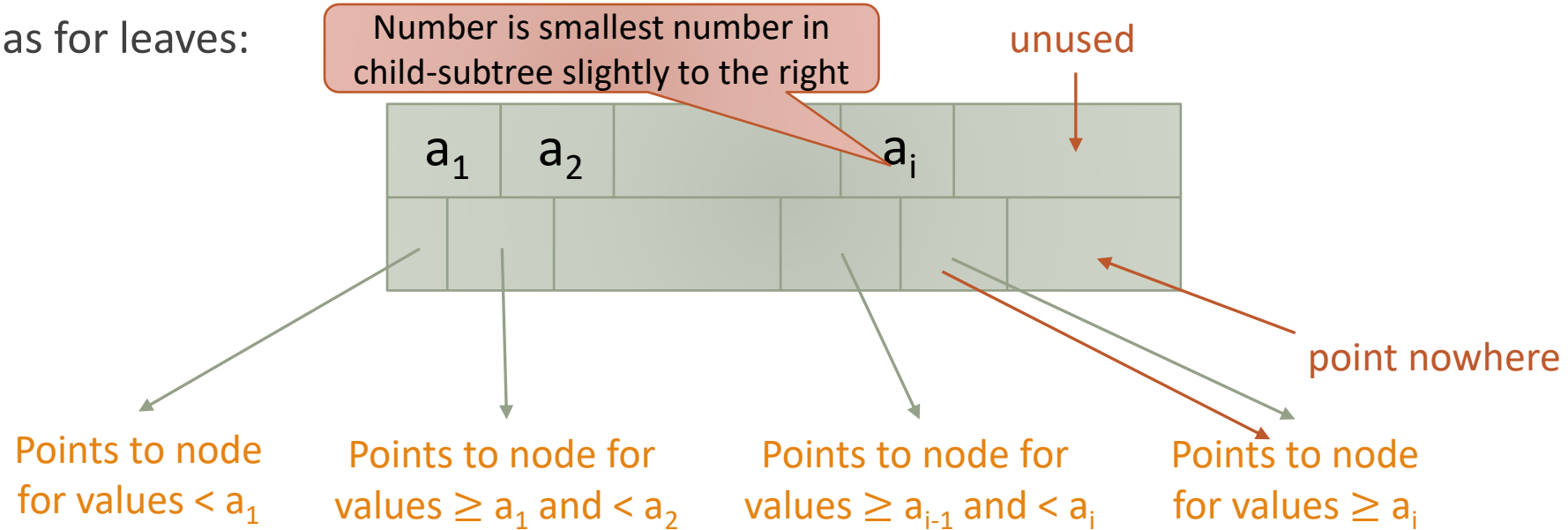


Ensure that at least  $\left\lfloor \frac{n+1}{2} \right\rfloor$  pointers are used (unless this is the only leaf)

To follow the online tool for this (will show it soon), we count the next leaf pointer as a pointer (fairly sensible), even if there are none (less sensible)

# B+ Tree: Inner Nodes (Actually)

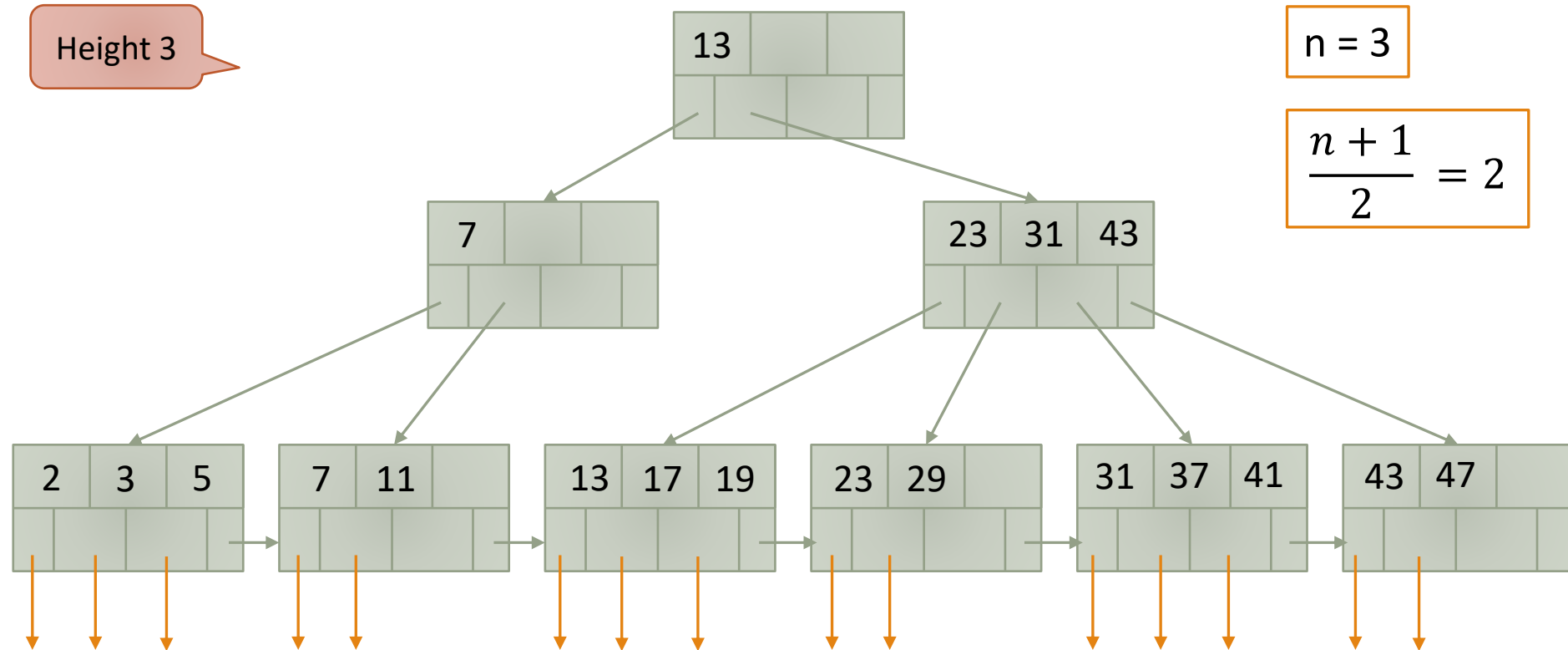
Similar as for leaves:



Ensure that at least  $\left\lceil \frac{n+1}{2} \right\rceil$  pointers are used

Exception: root must use  $\geq 2$  pointers

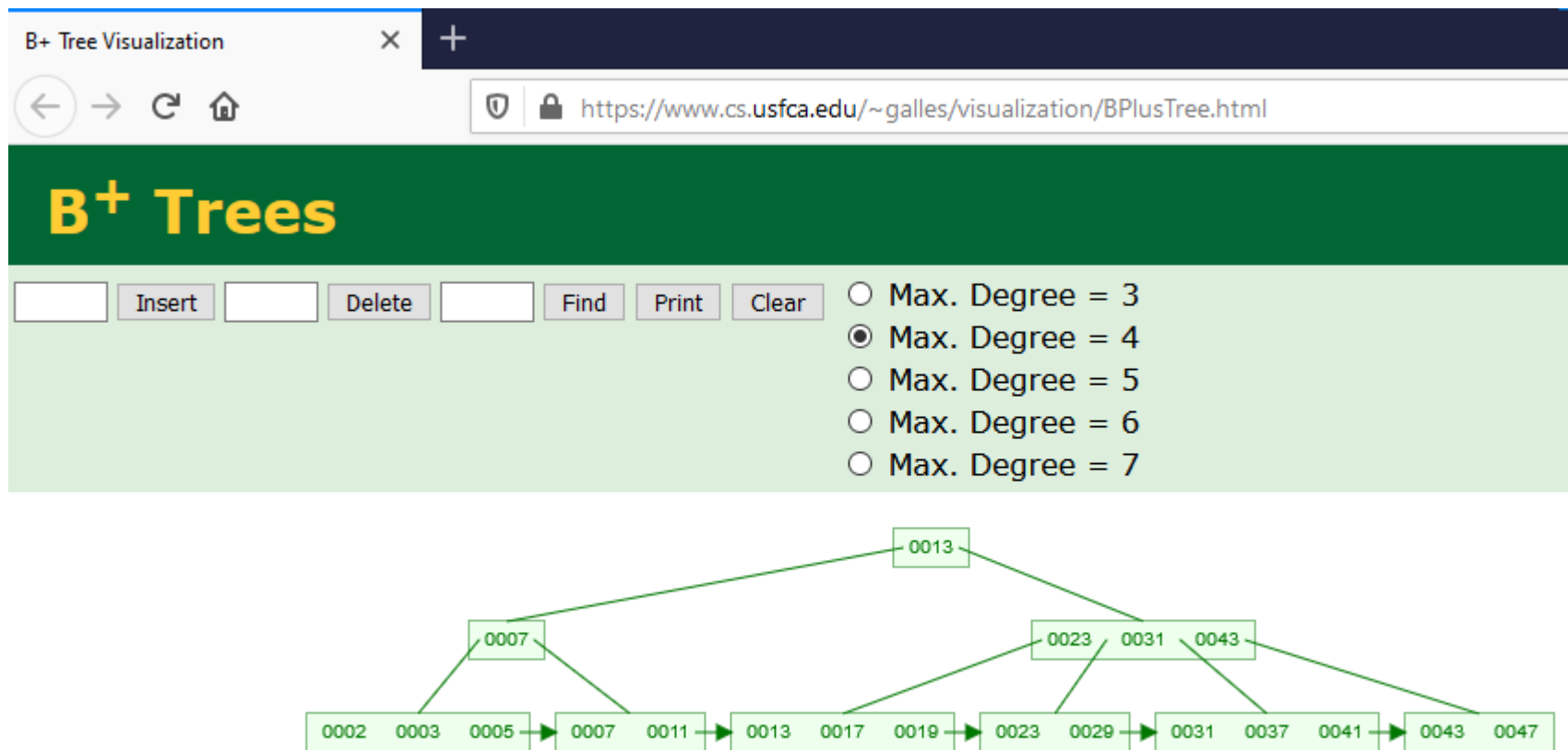
# A B+ Tree Index



(Example from "Database Systems: The Complete Book")

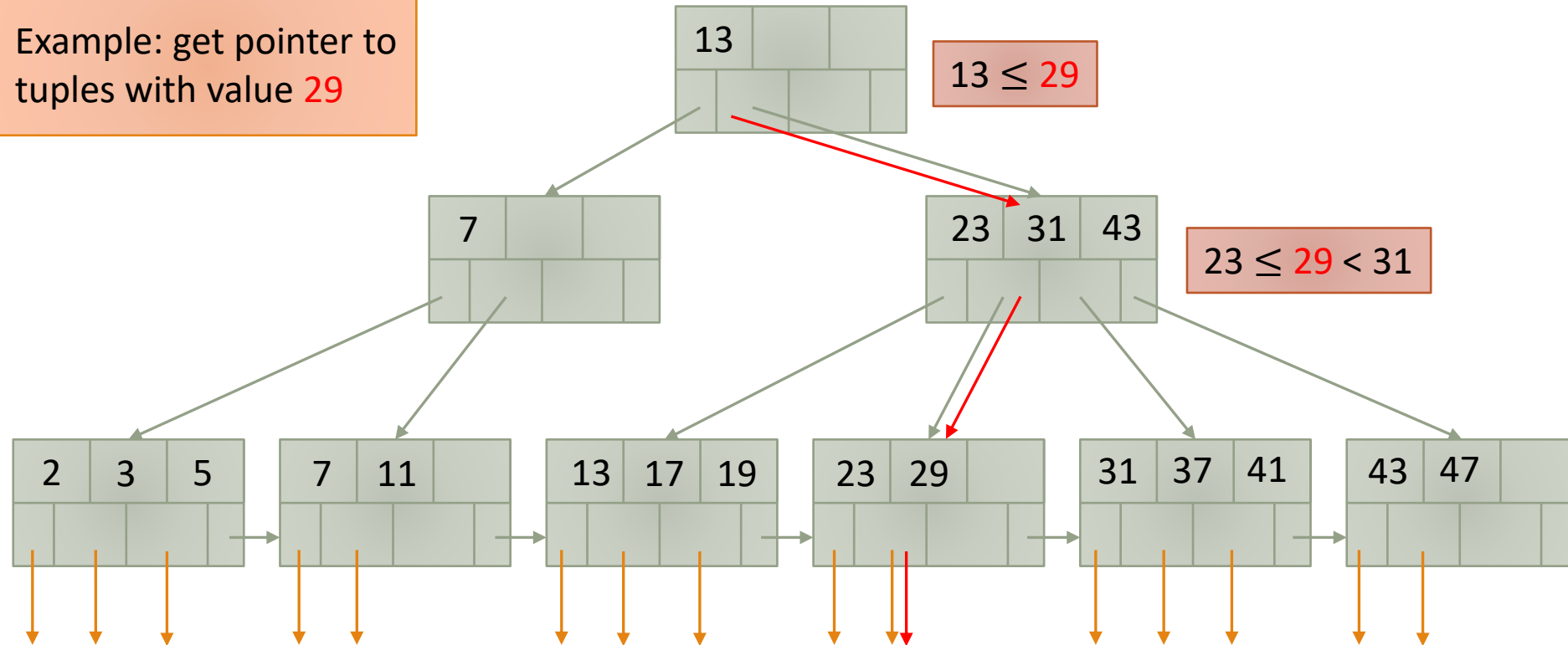


# Online version



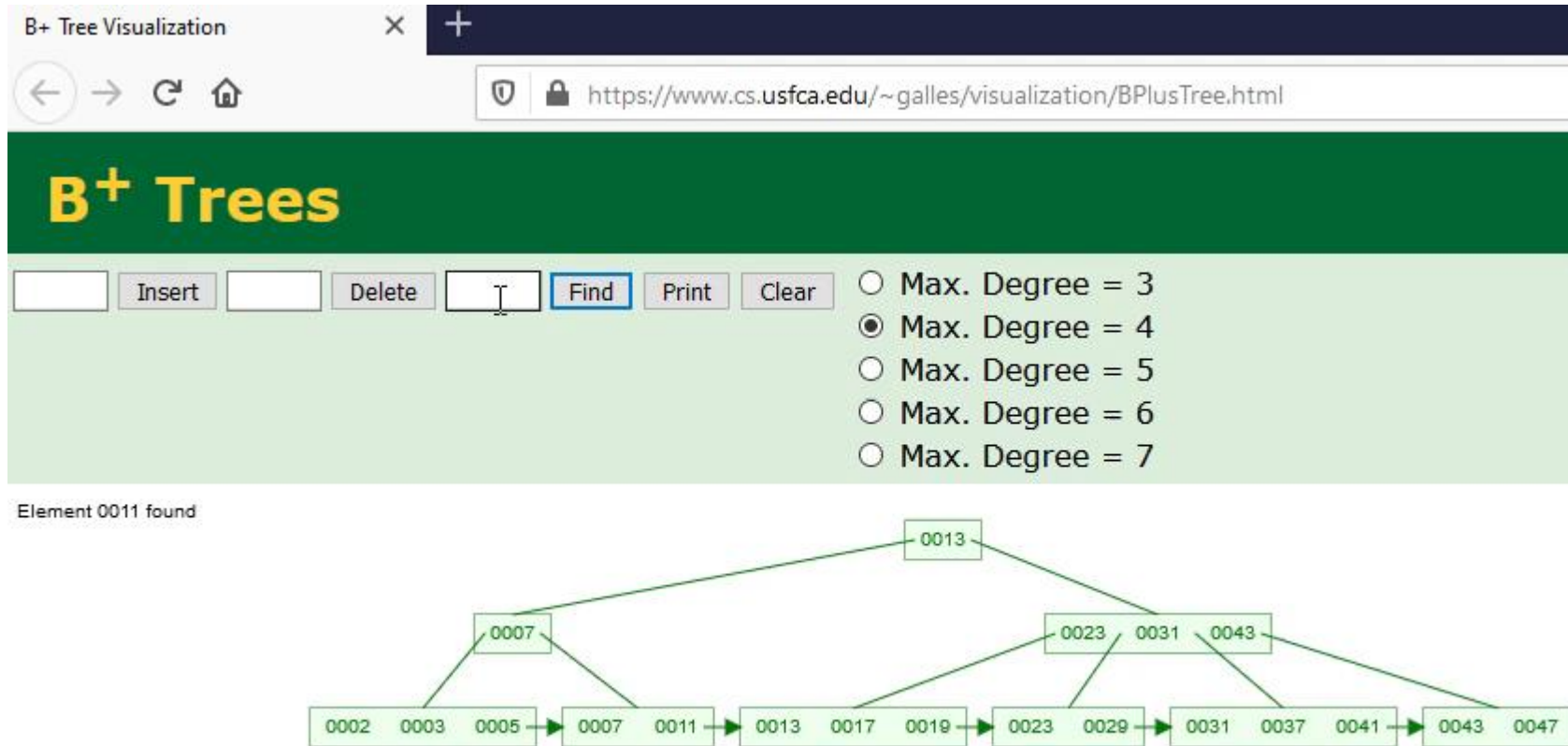
# Looking Up Values

Example: get pointer to tuples with value 29



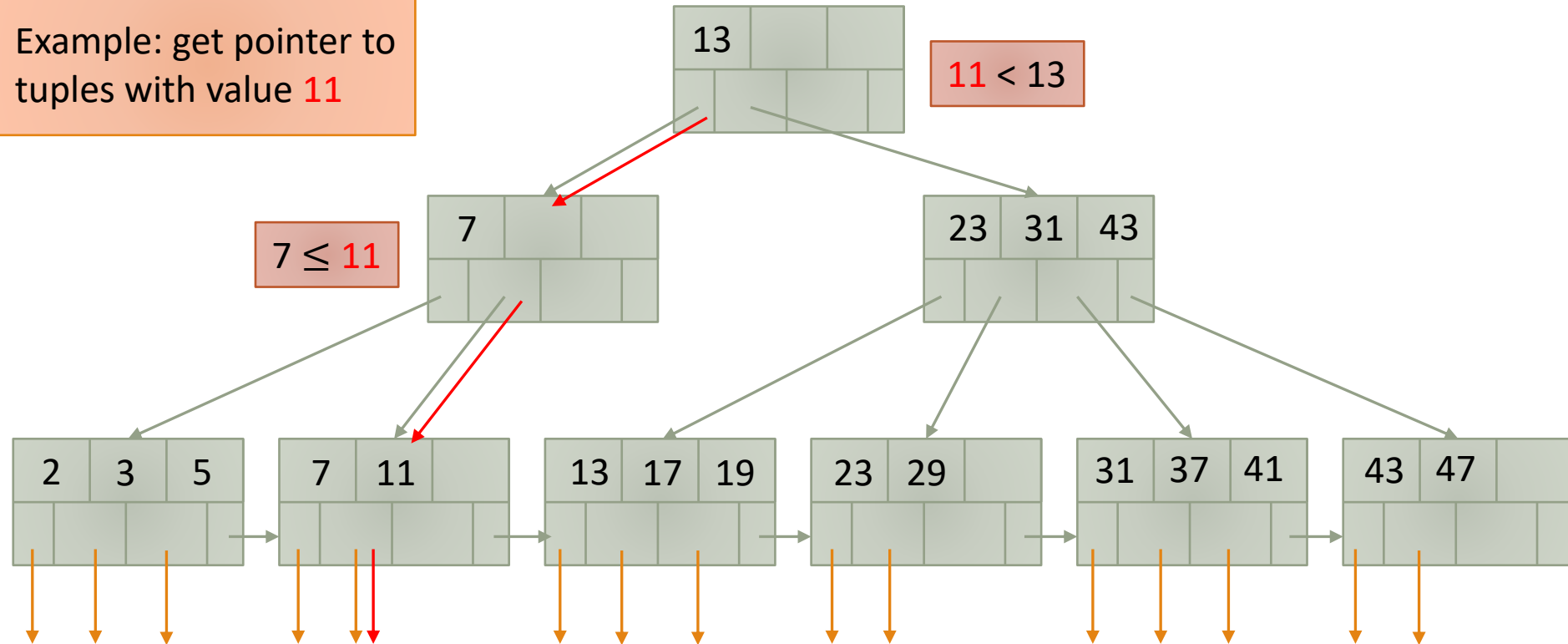
(Example from "Database Systems: The Complete Book")

# Online version of looking for 29



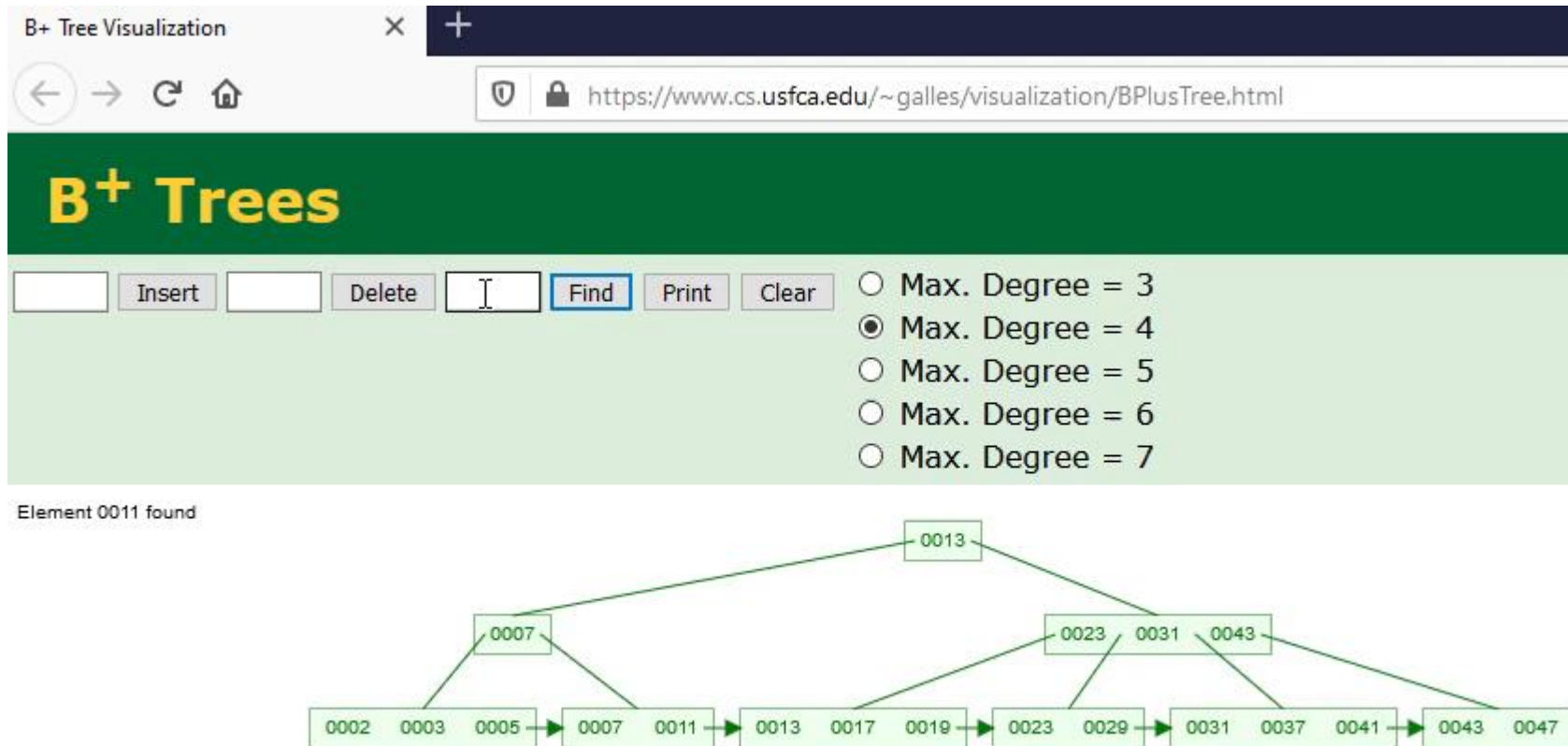
# Example 2

Example: get pointer to tuples with value **11**



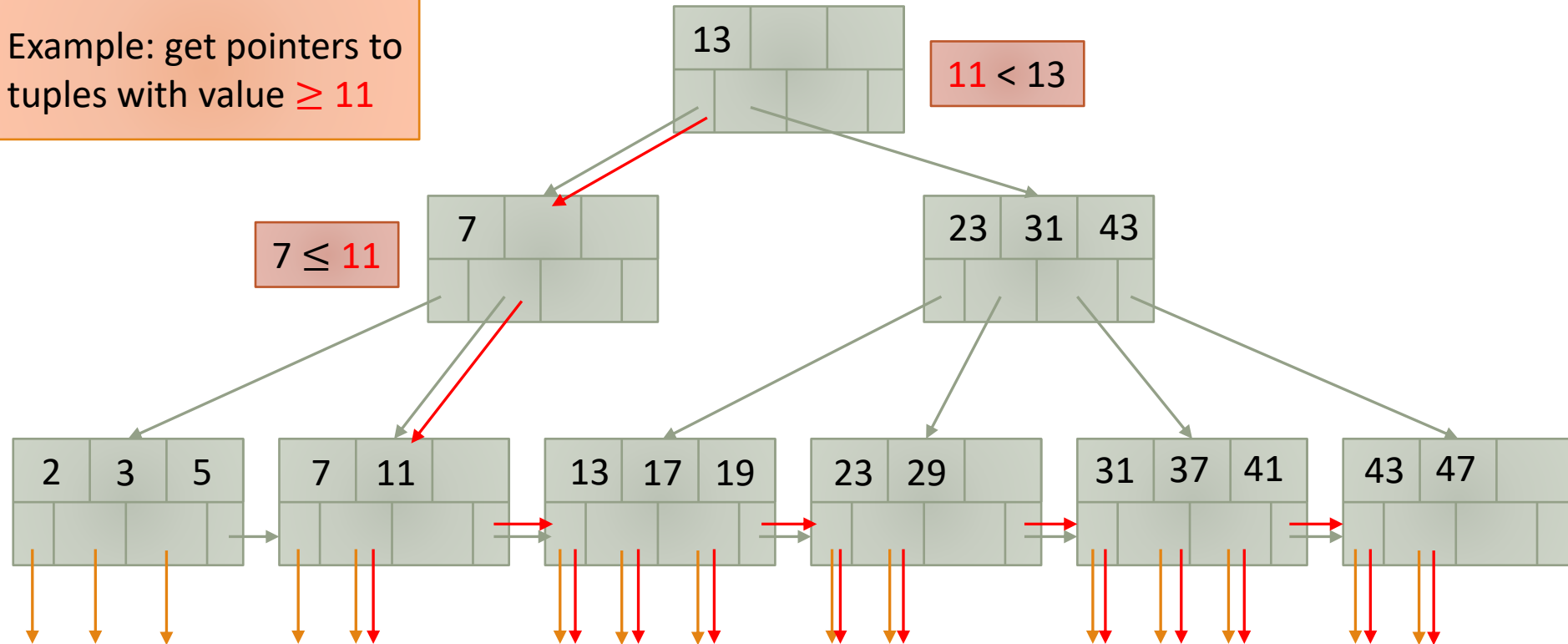
(Example from "Database Systems: The Complete Book")

# Online version of looking for 11



# Example 3

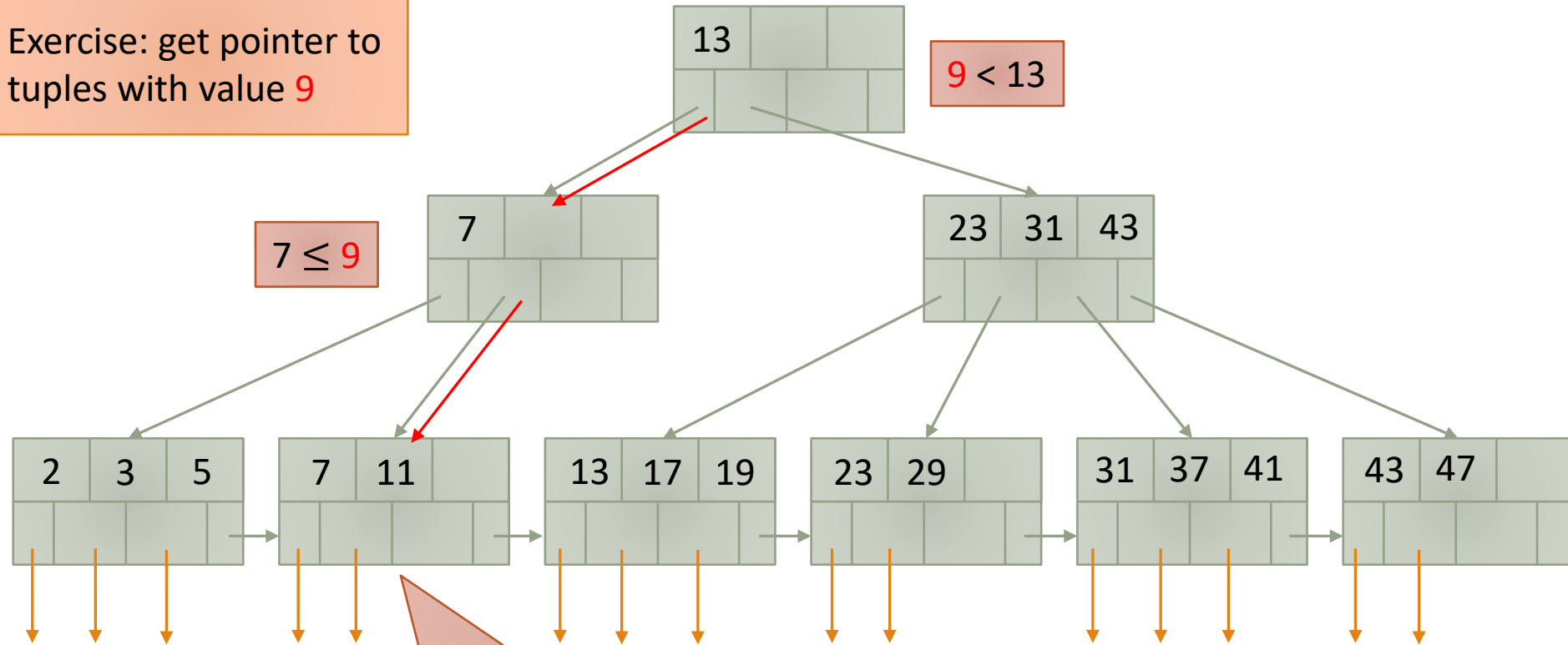
Example: get pointers to tuples with value  $\geq 11$



(Example from "Database Systems: The Complete Book")

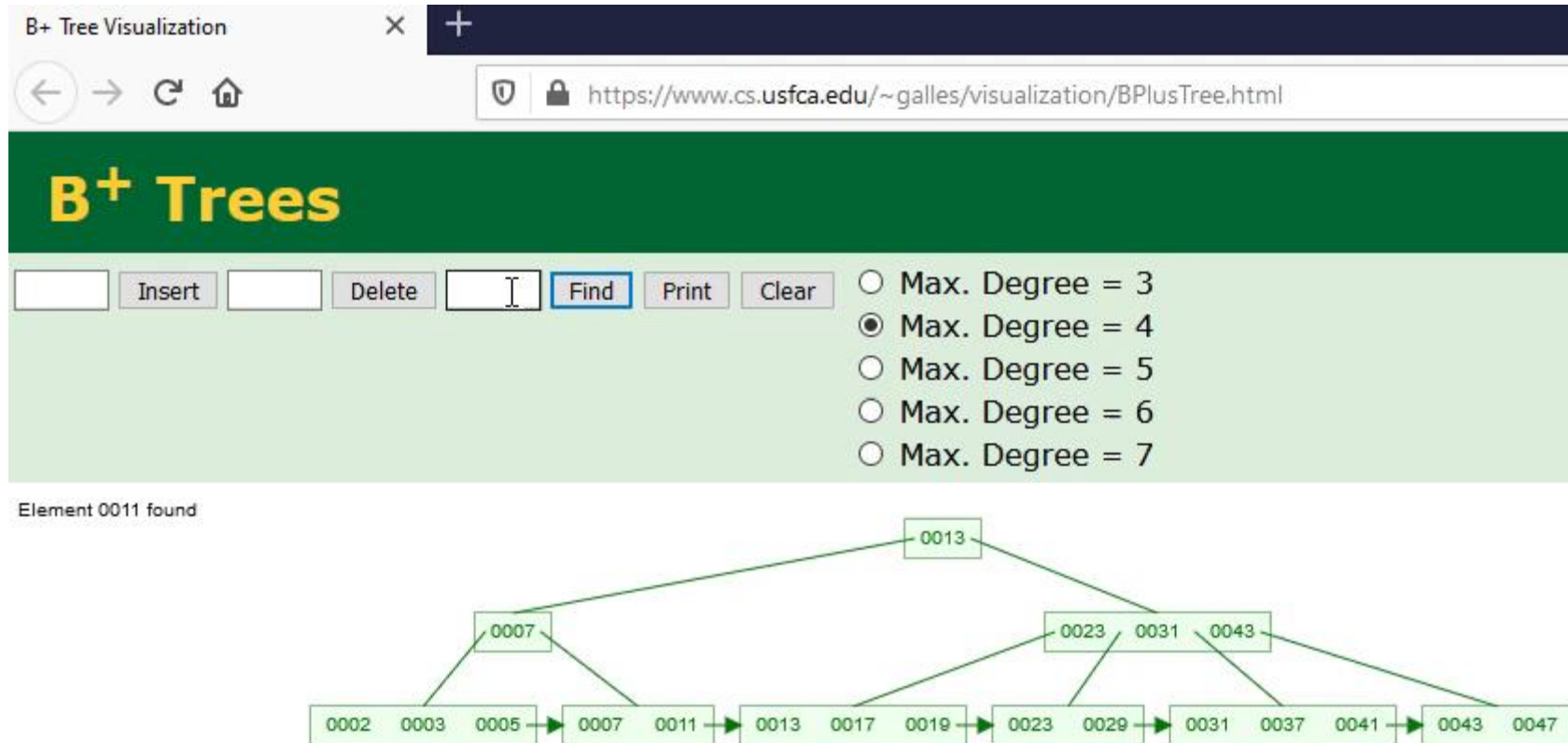
# Example 4

Exercise: get pointer to tuples with value 9



(Example from "Database Systems: The Complete Book")

# Online version of looking for 9



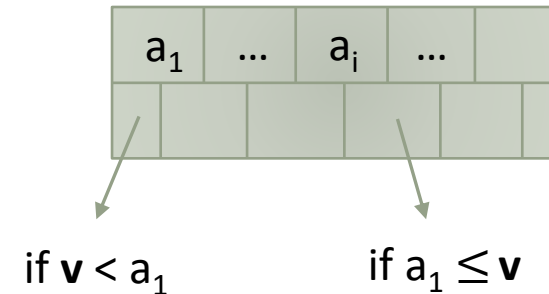


# Looking Up A Value: Summary

Goal: find the pointer to the rows with value  $v$

Procedure:

- Start at the root of the B+ tree
- While the current node is a non-leaf node:
  - If  $v < a_1$ , proceed to the first child of the node
  - Otherwise find the **largest**  $i$  with  $a_i \leq v$  and proceed to the associated child node
- If the current node is a leaf:
  - If  $v$  occurs in the leaf, follow the associated pointer
  - If  $v$  does not occur in the leaf, return “ $v$  does not exist in index”

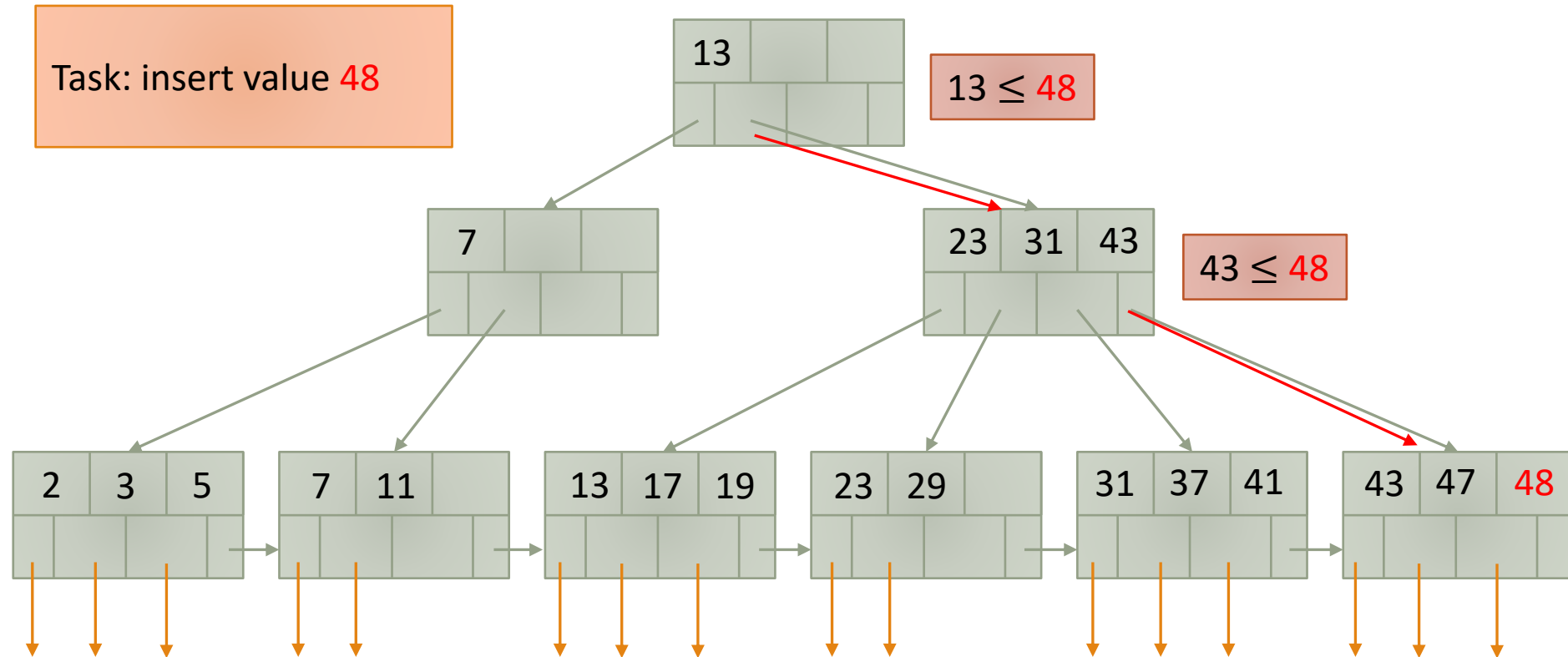


Time for a disk operation

Running time:  $O(h \times \log_2 n)$  “real” running time  $O(h \times D)$

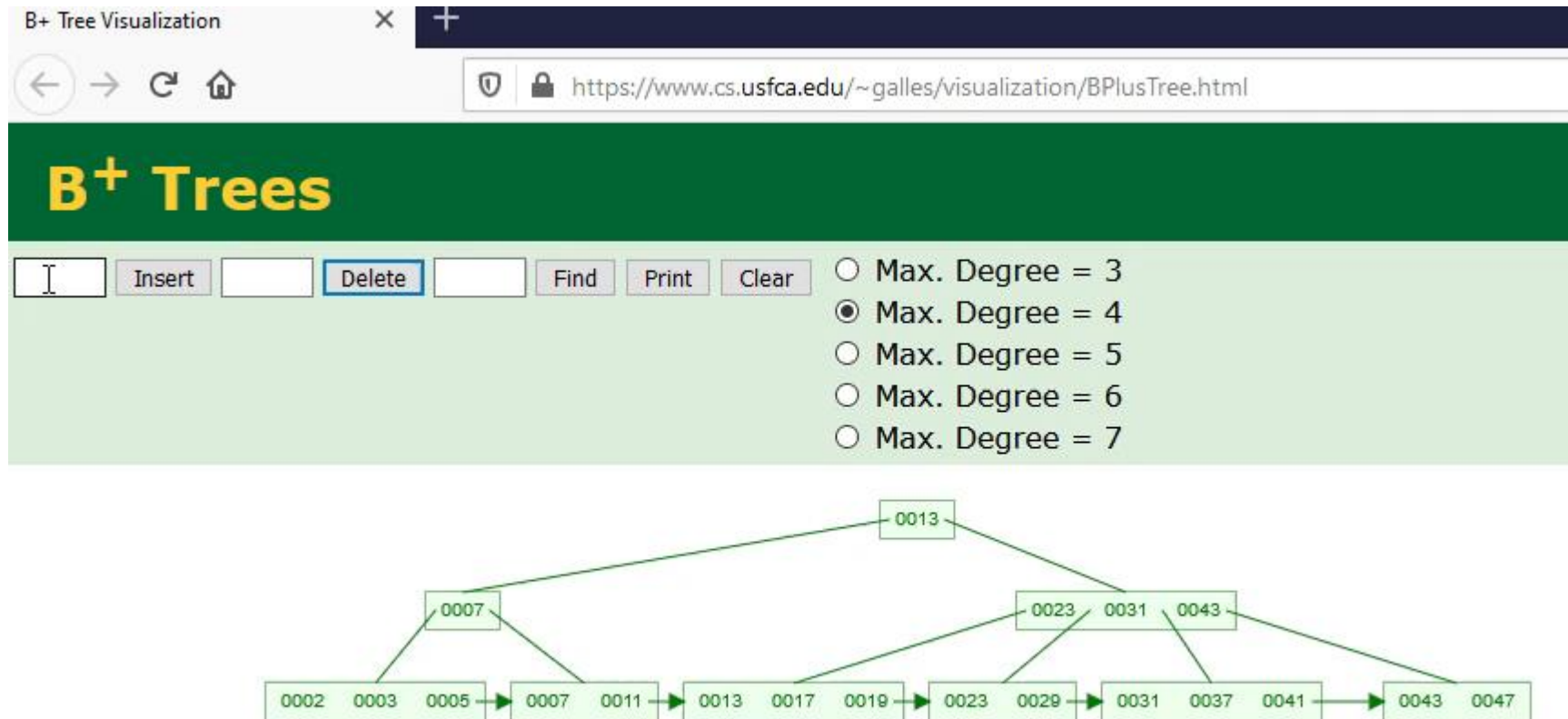
Height of the B+ tree

# Insertions of Value/Pointer Pairs

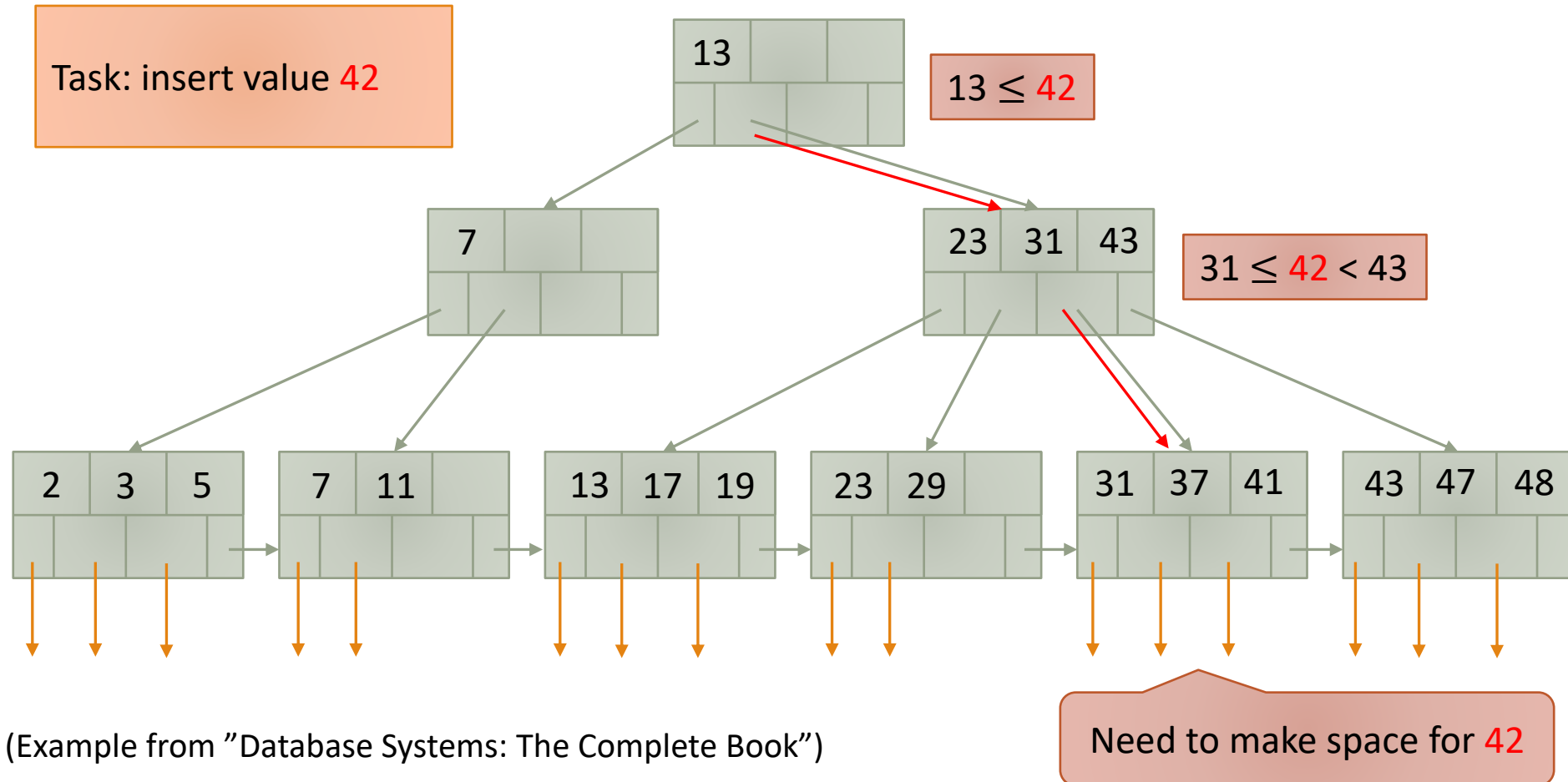


(Example from "Database Systems: The Complete Book")

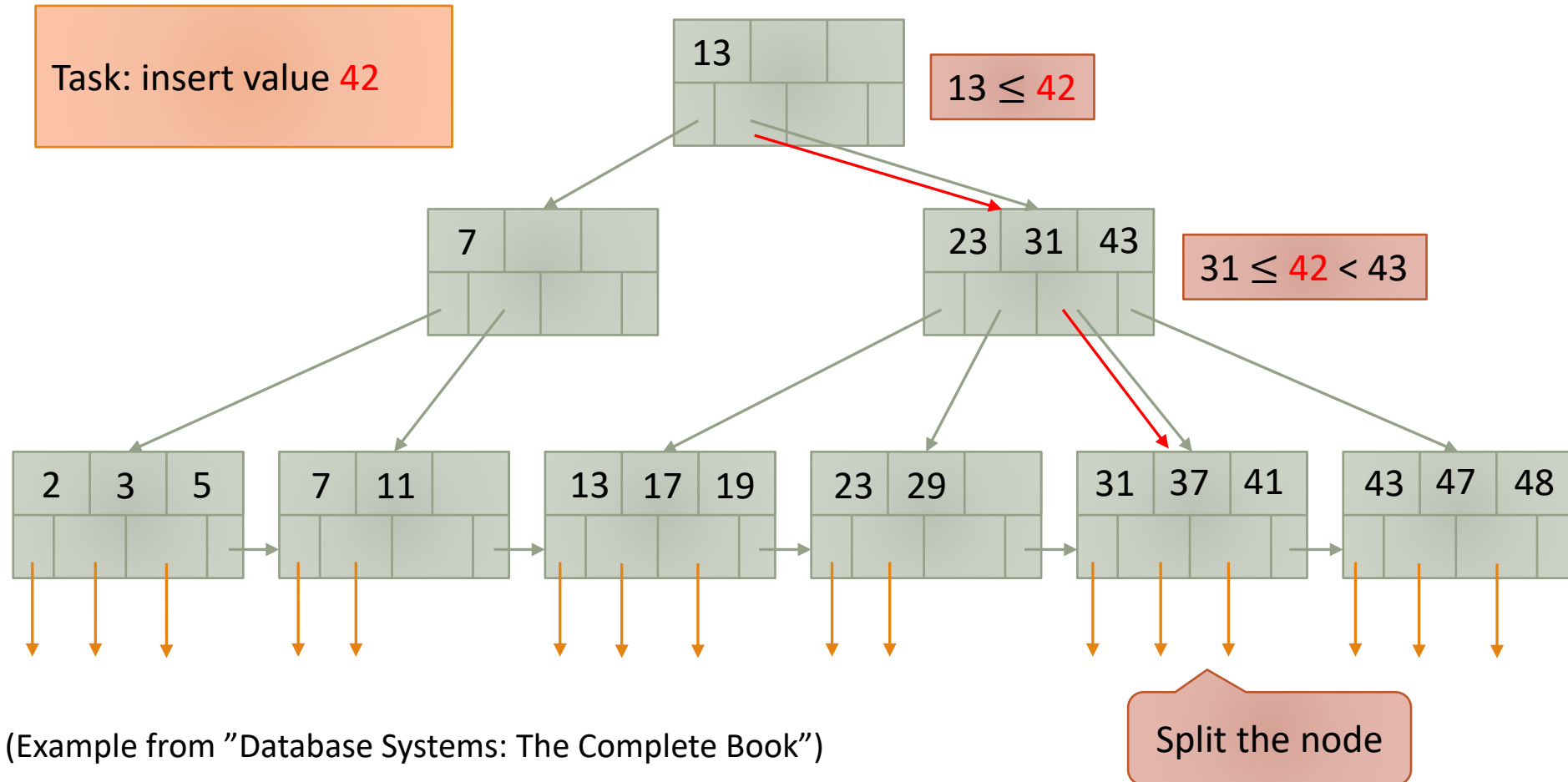
# Online version of inserting 48



# Insertions of Value/Pointer Pairs

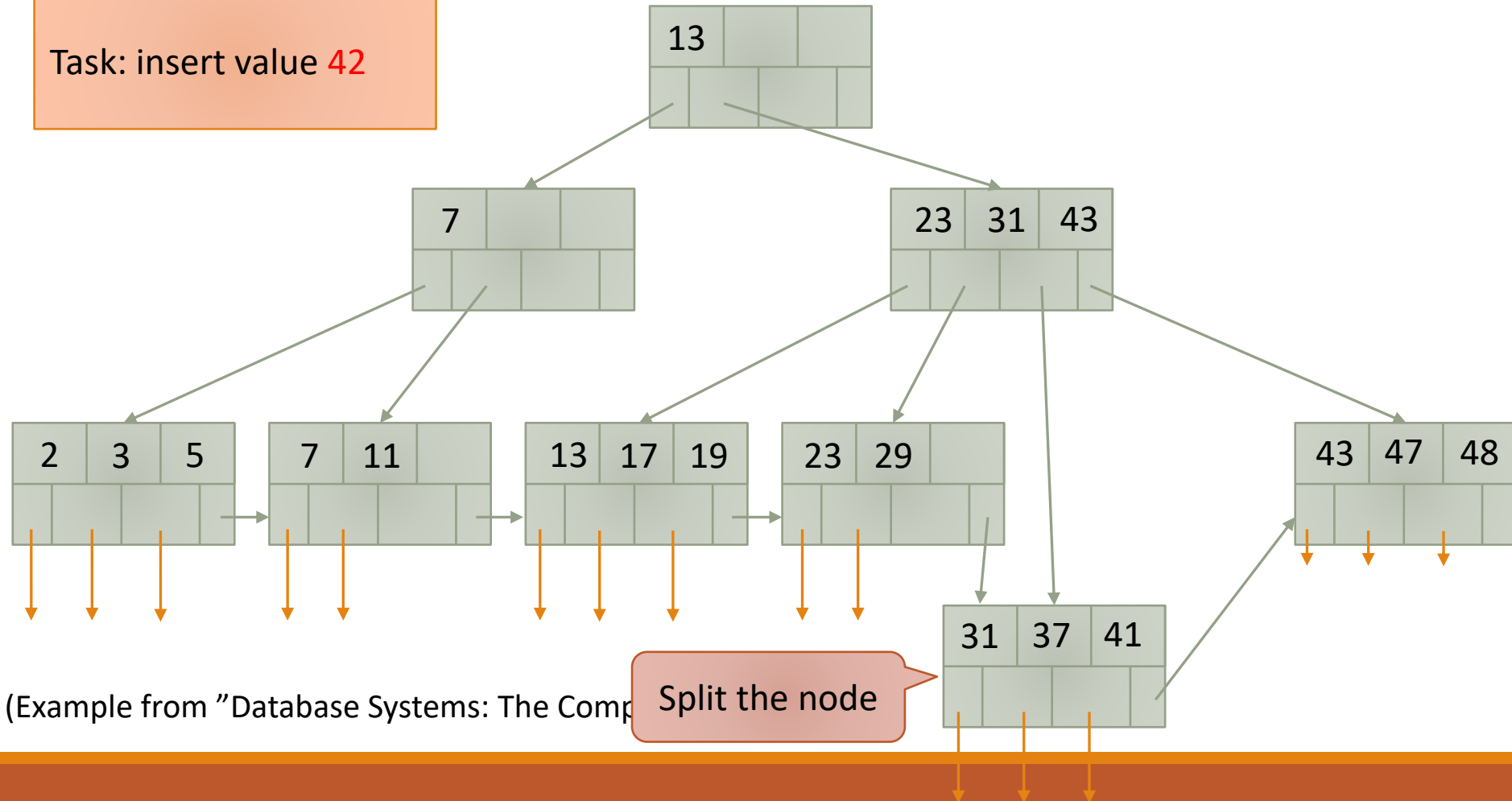


# Insertions of Value/Pointer Pairs



# Insertions of Value/Pointer Pairs

Task: insert value 42

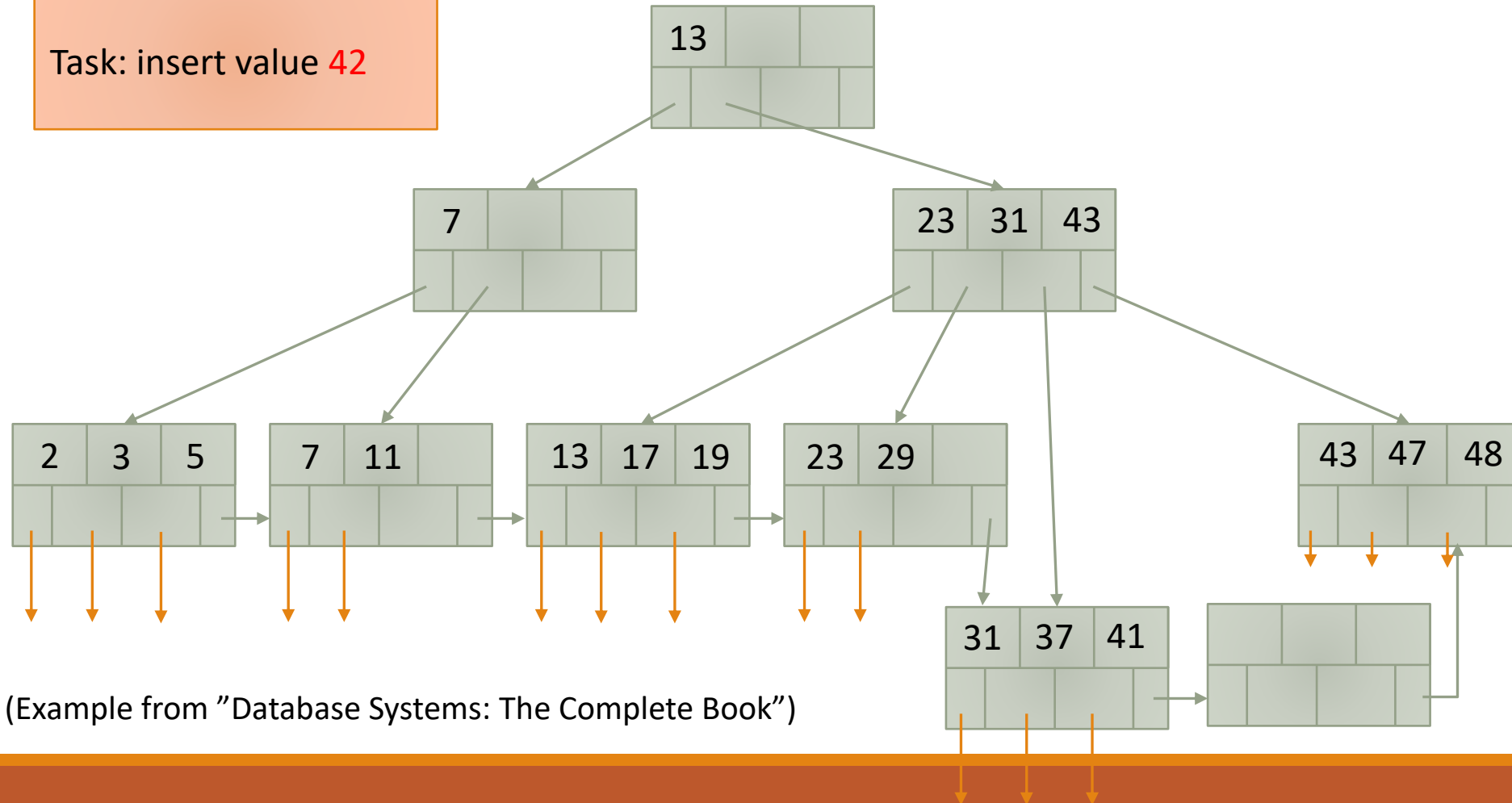


(Example from "Database Systems: The Comp

Split the node

# Insertions of Value/Pointer Pairs

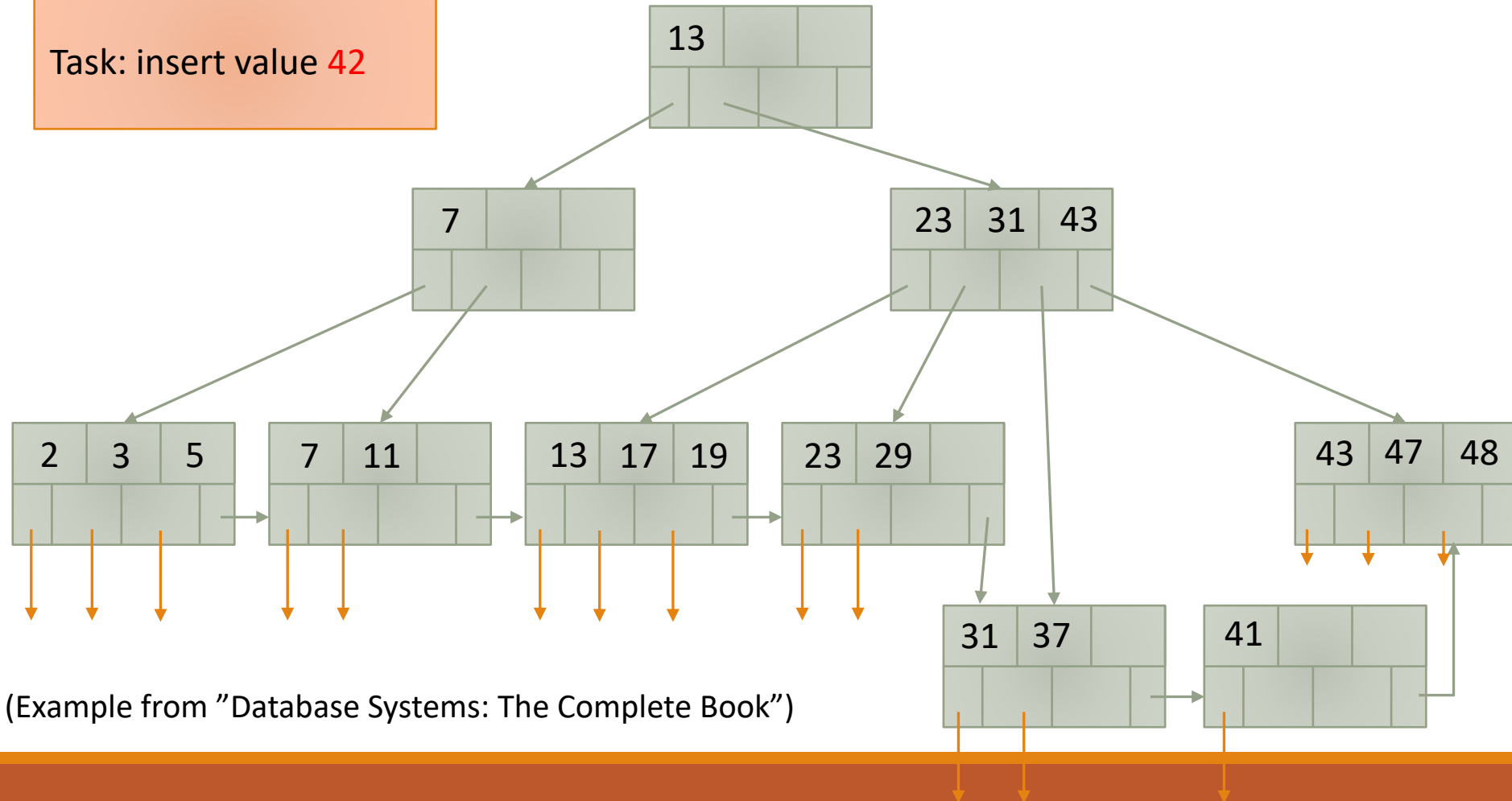
Task: insert value 42



(Example from "Database Systems: The Complete Book")

# Insertions of Value/Pointer Pairs

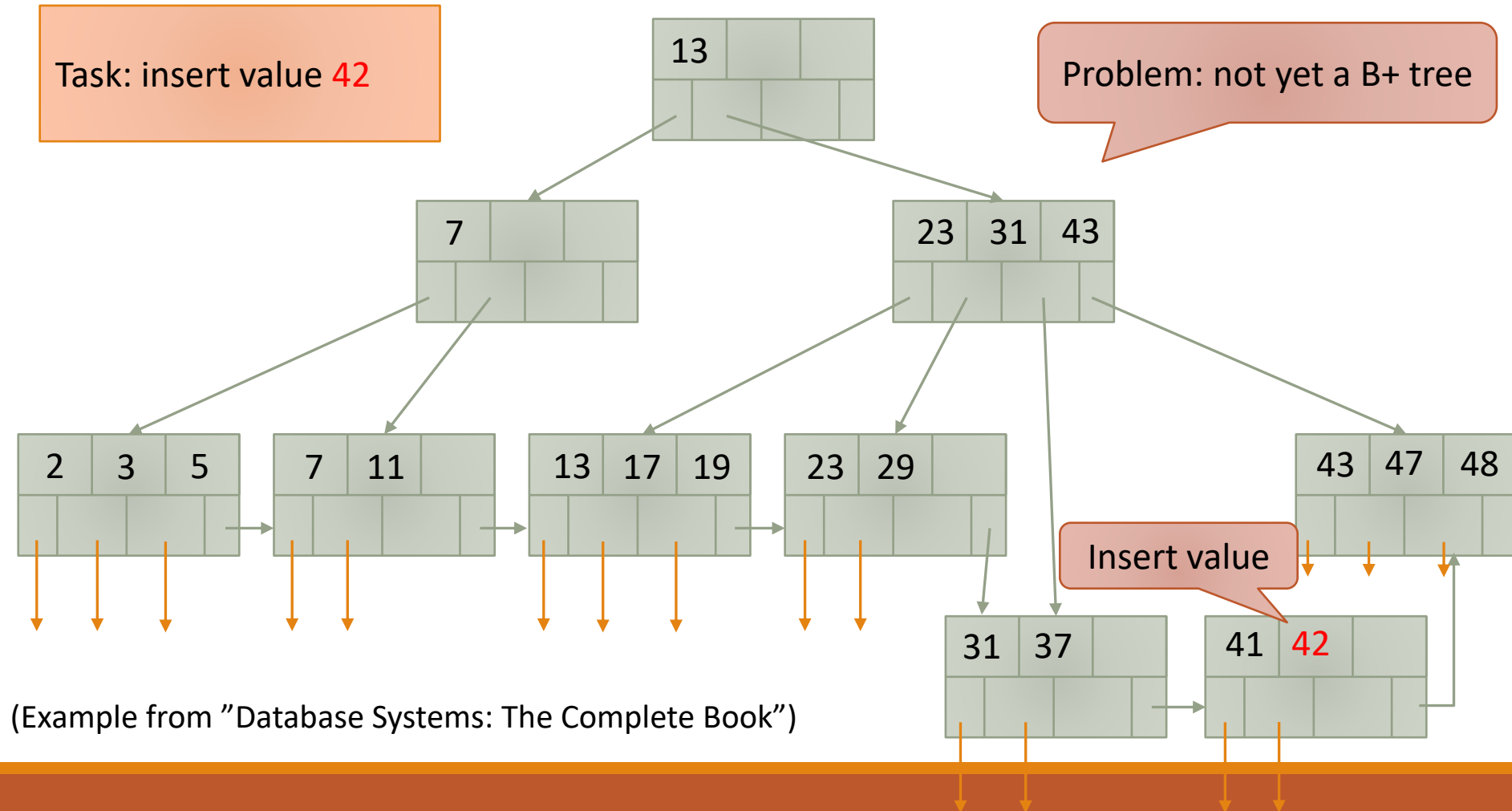
Task: insert value 42



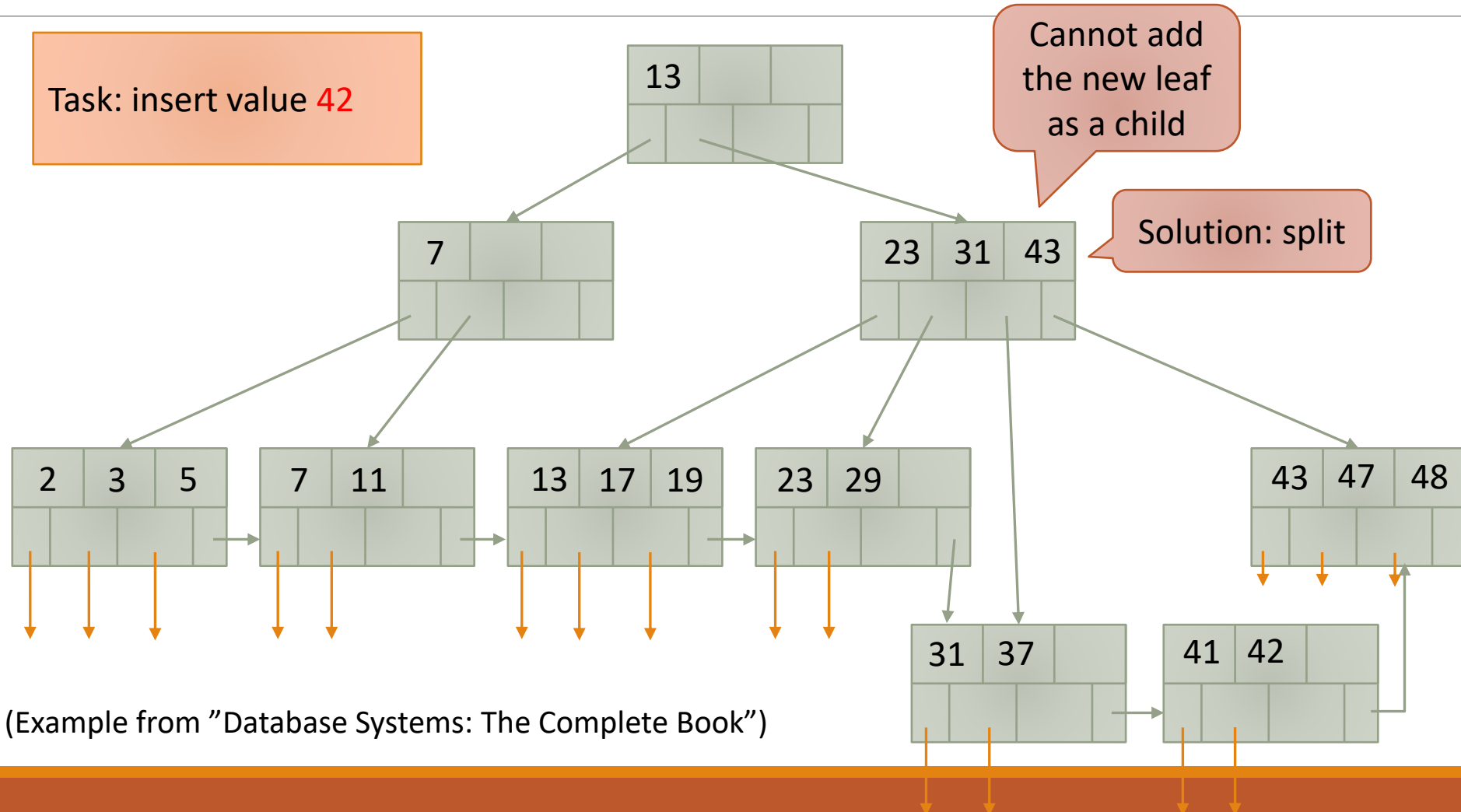
(Example from "Database Systems: The Complete Book")



# Insertions of Value/Pointer Pairs

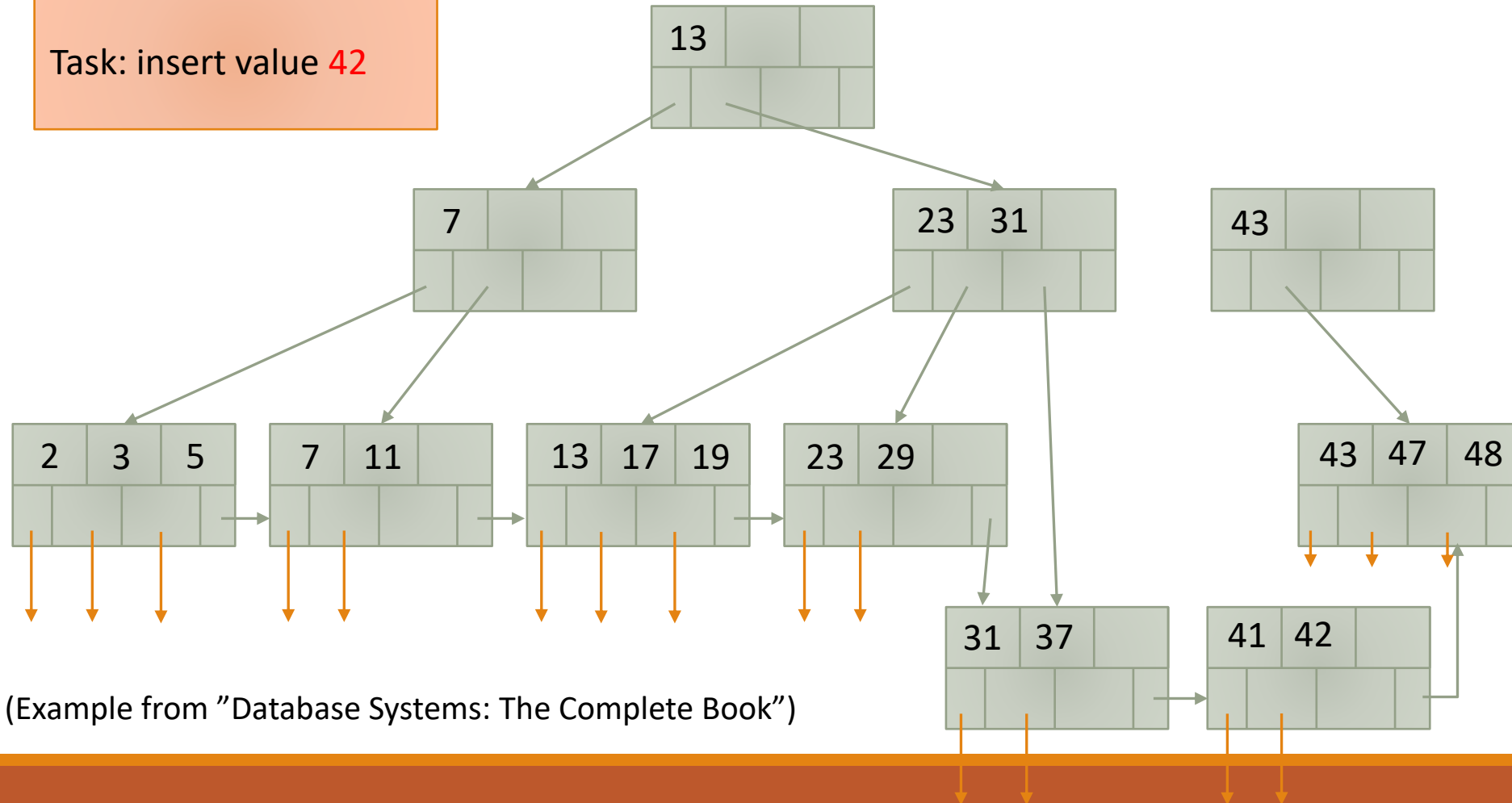


# Insertions of Value/Pointer Pairs



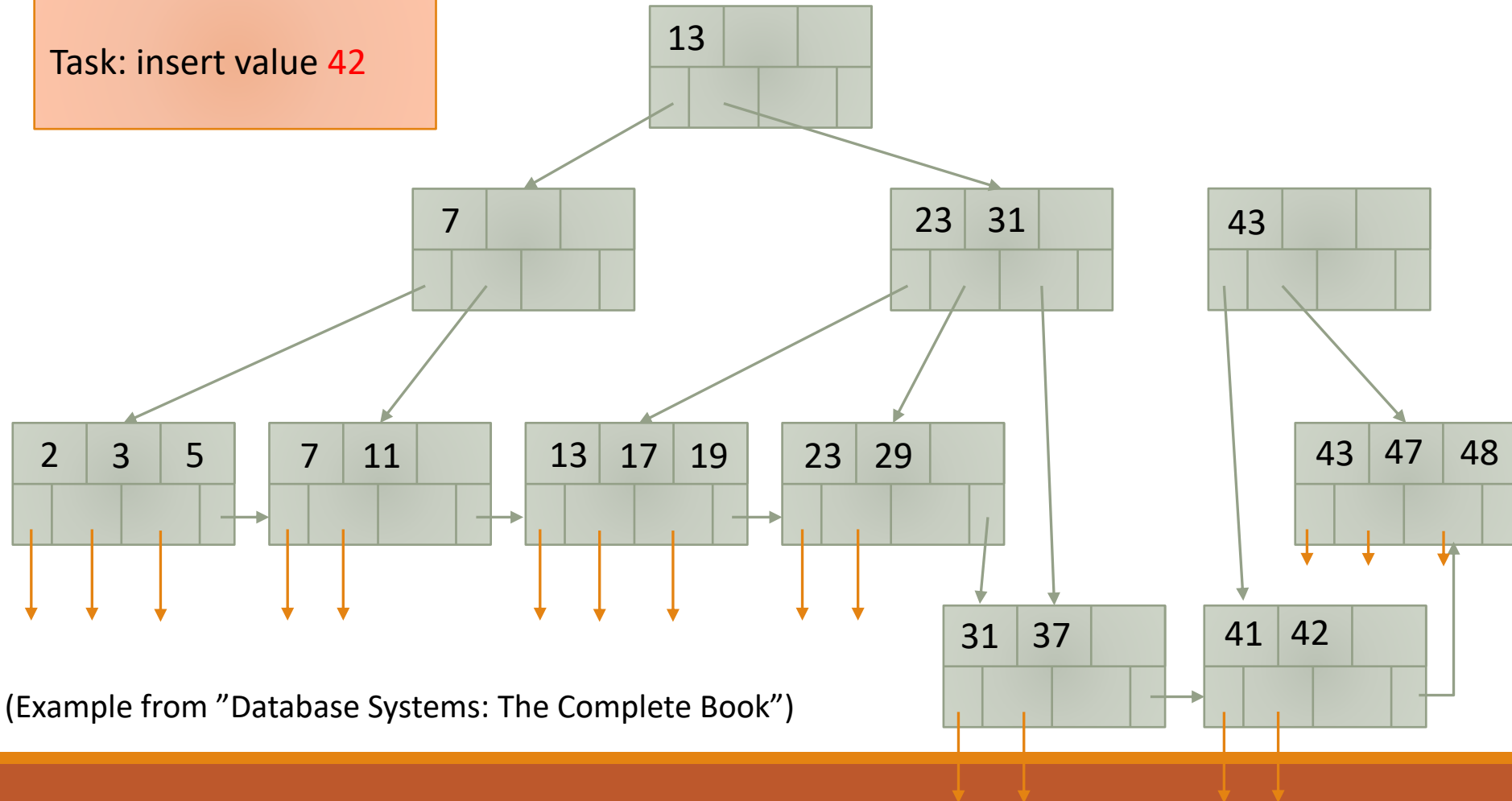
# Insertions of Value/Pointer Pairs

Task: insert value 42



# Insertions of Value/Pointer Pairs

Task: insert value 42

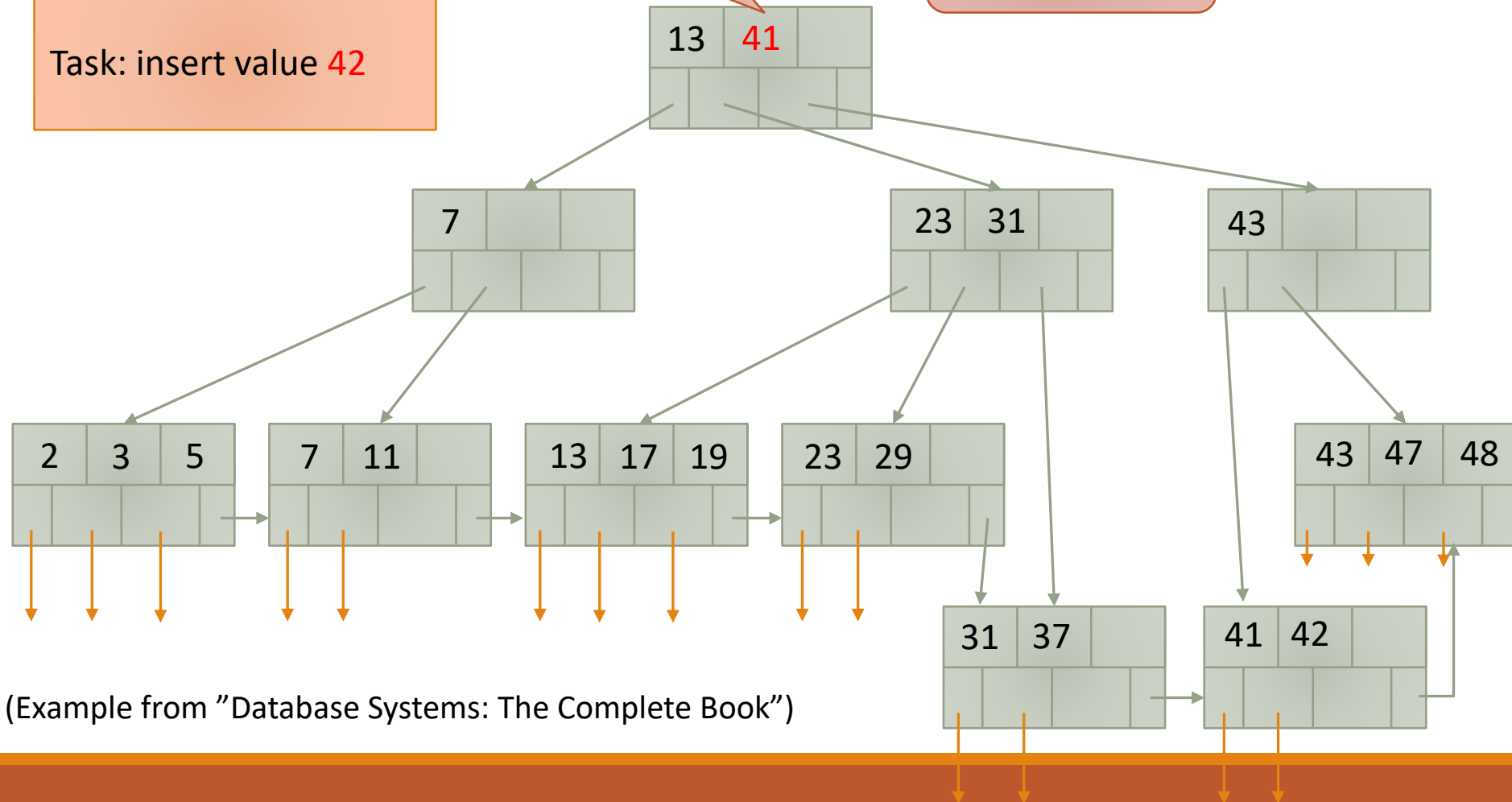


# Insertions of Value/Point

Task: insert value 42

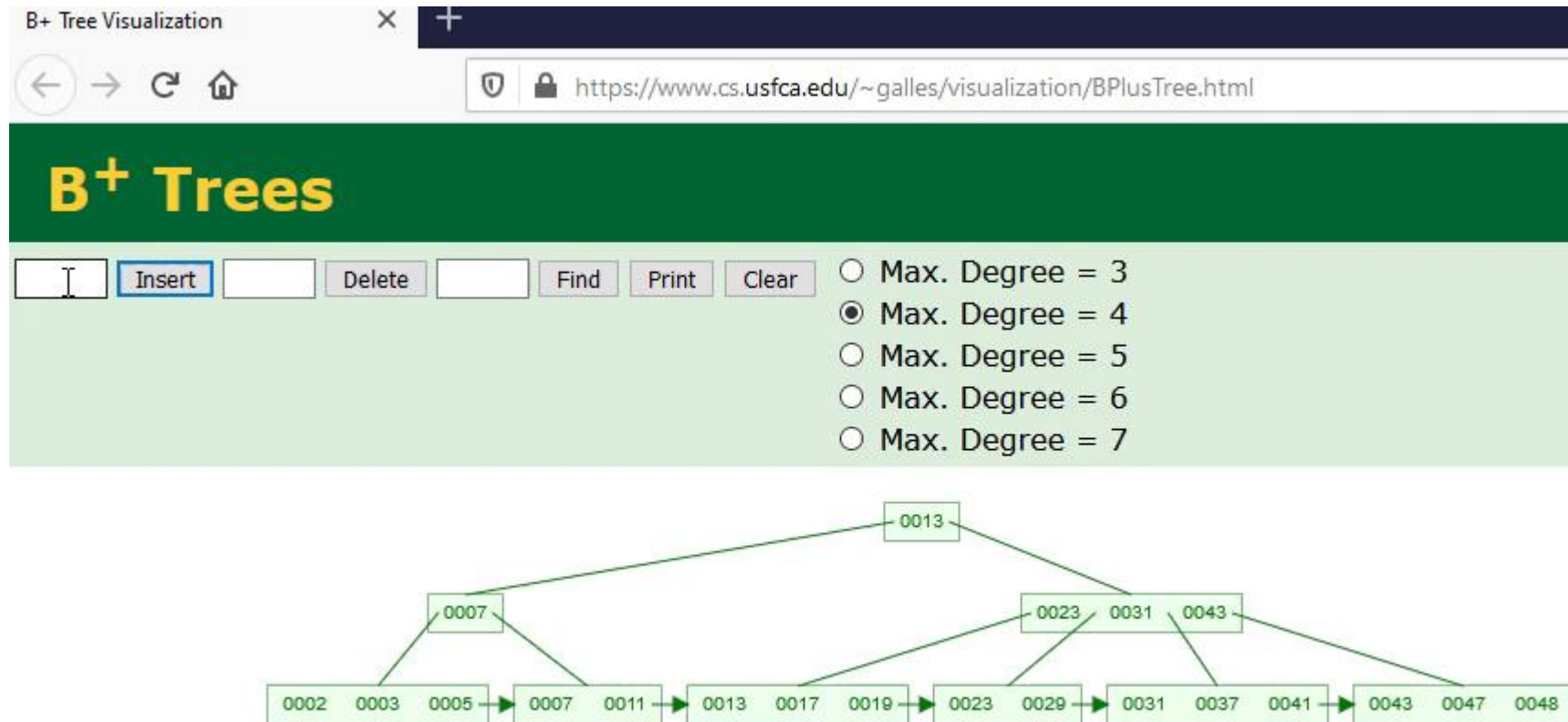
Insert value

Add the new node as a child



(Example from "Database Systems: The Complete Book")

# Online version of inserting 42



# Insertion: Summary

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Goal: insert a new value/pointer pair

Procedure:

- Find the leaf that should contain the value
- If the leaf is not full, insert the key value pair at a suitable location
- If the leaf is full:
  - Split the leaf to make space for the new value/pointer pair and move half of the pointers to the new node
  - Insert the value/pointer pair
  - Connect the leaf to a suitable parent node (which might incur the creation of a new node etc.)

The B+ tree **remains balanced!**

Time for a disk operation

Running time:  $O(h \times \log_2 n)$  “real” running time  $O(h \times D)$

Height of the B+ tree

# Summary

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The video provided an overview over the idea of B+-trees and how to search and insert into them

- Slide 16 has a summary of lookups
- Slide 27 has a summary of insertions