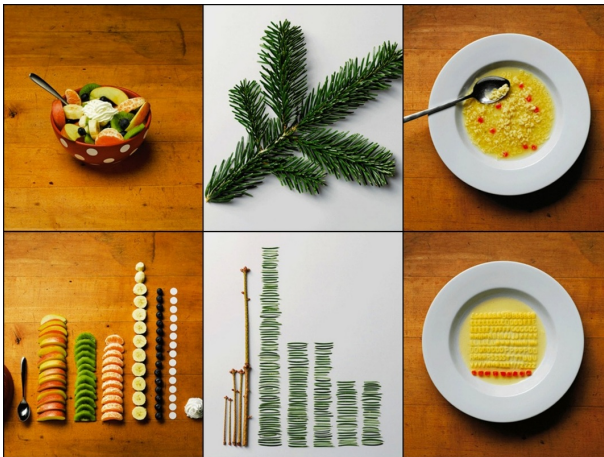


Week 6 Workshop – Normalisation



http://en.wikipedia.org/wiki/Ursus_Wehrli



Housekeeping

- **Assignment 1 (SQL) (due 11:59pm, 3 Sep 2021)**

The mark and feedback will be released on 17 Sep 2021.



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- **An anonymous survey from our course representatives on Wattle**
(till 4 Sep under Week 6)

Help us to improve our planning and teaching



Decomposition vs Normalisation

Decomposing a relation schema can possibly create more problems than it solves!

Decomposition vs Normalisation

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- Thus, we need to consider two important questions:

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↔ help us to decide whether or not to decompose a relation

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 - **Several normal forms**
 - ↔ help us to decide whether or not to decompose a relation
 - 2 **What problem (if any) does a given decomposition cause?**

Decomposition vs Normalisation

Decomposing a relation schema can possibly create more problems than it solves!

- Thus, we need to consider two important questions:
 - 1 **Do we need to decompose a relation?**
 - **Several normal forms**
 - ↪ help us to decide whether or not to decompose a relation
 - 2 **What problem (if any) does a given decomposition cause?**
 - **Two properties**
 - ↪ help us to decide how to decompose a relation



Two Properties

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Two Properties

- In addition to **data redundancy**, we need to consider the following properties when decomposing a relation:

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To disallow the possibility of generating spurious tuples when a NATURAL JOIN operation is applied to the relations after decomposition.

Two Properties

- In addition to **data redundancy**, we need to consider the following properties when decomposing a relation:

- ① **Lossless join** – “**capture the same data**”

To disallow the possibility of generating spurious tuples when a NATURAL JOIN operation is applied to the relations after decomposition.

- ② **Dependency preservation** – “**capture the same meta-data**”

To ensure that each functional dependency can be inferred from functional dependencies after decomposition.



Lossless Join – Example

- **Lossless join** – “**capture the same data**”

To disallow the possibility of generating spurious tuples when a NATURAL JOIN operation is applied to the relations after decomposition.

Lossless Join – Example

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R		
Name	<u>StudentID</u>	DoB
Mike	123456	20/09/1989
Mike	123458	25/01/1988

R_1	
Name	StudentID
Mike	123456
Mike	123458

R_2	
StudentID	DoB
123456	20/09/1989
123458	25/01/1988

- **Example 1:** Does the decomposition of R into R_1 and R_2 has the lossless join property?

Lossless Join – Example

- **Lossless join** – “capture the same data”

To disallow the possibility of generating spurious tuples when a NATURAL JOIN operation is applied to the relations after decomposition.

R		
Name	<u>StudentID</u>	DoB
Mike	123456	20/09/1989
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R_1	
Name	StudentID
Mike	123456
Mike	123458

R_2	
StudentID	DoB
123456	20/09/1989
123458	25/01/1988

- **Example 1:** Does the decomposition of R into R_1 and R_2 has the lossless join property?

Yes, because the natural join of R_1 and R_2 yields R .

Lossless Join – Example

- **Lossless join** – “capture the same data”

To disallow the possibility of generating spurious tuples when a NATURAL JOIN operation is applied to the relations after decomposition.

R		
Name	<u>StudentID</u>	DoB
Mike	123456	20/09/1989
Mike	123458	25/01/1988

R_3	
Name	<u>StudentID</u>
Mike	123456
Mike	123458

R_4	
Name	DoB
Mike	20/09/1989
Mike	25/01/1988

- **Example 2:** Does the decomposition of R into R_3 and R_4 has the lossless join property?

Lossless Join – Example

- **Lossless join** – “capture the same data”

To disallow the possibility of generating spurious tuples when a NATURAL JOIN operation is applied to the relations after decomposition.

R		
Name	<u>StudentID</u>	DoB
Mike	123456	20/09/1989
Mike	123458	25/01/1988

R_3	
Name	StudentID
Mike	123456
Mike	123458

R_4	
Name	DoB
Mike	20/09/1989
Mike	25/01/1988

- **Example 2:** Does the decomposition of R into R_3 and R_4 has the lossless join property?

No, because the natural join of R_3 and R_4 generates spurious tuples.

Lossless Join – Example

- Example 2:** The following decomposition from R into R_3 and R_4 doesn't have the lossless join property. **It generates spurious tuples.**

R		
Name	<u>StudentID</u>	DoB
Mike	123456	20/09/1989
Mike	123458	25/01/1988

SELECT * FROM R_3 NATURAL JOIN R_4		
Name	StudentID	DoB
Mike	123456	20/09/1989
Mike	123456	25/01/1988
Mike	123458	20/09/1989
Mike	123458	25/01/1988

R_3	
Name	StudentID
Mike	123456
Mike	123458

R_4	
Name	DoB
Mike	20/09/1989
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Lossless Join – Example

- Lossless join – “capture the same data”

R		
Name	<u>StudentID</u>	DoB
Mike	123456	20/09/1989
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R_1	
Name	StudentID
Mike	123456
Mike	123458

R_2	
StudentID	DoB
123456	20/09/1989
123458	25/01/1988

Lossless join

R_3	
Name	StudentID
Mike	123456
Mike	123458

R_4	
Name	DoB
Mike	20/09/1989
Mike	25/01/1988

Not lossless join



Dependency Preservation – Example

- **Dependency preservation:** To ensure that each functional dependency can be inferred from functional dependencies after decomposition.

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- **Example 1:** Given a FD $\{\text{StudentID}\} \rightarrow \{\text{Name}\}$ defined on R

R		
Name	<u>StudentID</u>	<u>CourseNo</u>
Mike	123456	COMP2400
Mike	123458	COMP2600

R_1	
Name	<u>StudentID</u>
Mike	123456
Mike	123458

R_2	
<u>StudentID</u>	<u>CourseNo</u>
123456	COMP2400
123458	COMP2600

- Does the above decomposition preserves $\{\text{StudentID}\} \rightarrow \{\text{Name}\}$?

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R		
Name	<u>StudentID</u>	<u>CourseNo</u>
Mike	123456	COMP2400
Mike	123458	COMP2600

R_1	
Name	<u>StudentID</u>
Mike	123456
Mike	123458

R_2	
<u>StudentID</u>	<u>CourseNo</u>
123456	COMP2400
123458	COMP2600

- Does the above decomposition preserves $\{\text{StudentID}\} \rightarrow \{\text{Name}\}$?
Yes, because $\{\text{StudentID}\}$ and $\{\text{Name}\}$ are both in R_1 after decomposition and thus $\{\text{StudentID}\} \rightarrow \{\text{Name}\}$ is preserved in R_1 .

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R_2	
<u>StudentID</u>	<u>CourseNo</u>
123456	COMP2400
123458	COMP2600

- Does the above decomposition preserves $\{\text{StudentID}\} \rightarrow \{\text{Name}\}$?
No, because $\{\text{StudentID}\}$ and $\{\text{Name}\}$ are not in a same relation after decomposition.

Dependency Preservation – Example

- **Dependency preservation:** To ensure that each functional dependency can be inferred from functional dependencies after decomposition.
- **Example 3:** Given a set of FDs $\{ \{ \text{StudentID} \} \rightarrow \{ \text{Email} \}, \{ \text{Email} \} \rightarrow \{ \text{Name} \}, \{ \text{StudentID} \} \rightarrow \{ \text{Name} \} \}$ defined on R

R		
Name	<u>StudentID</u>	Email
Mike	123456	123456@anu.edu.au
Tom	123123	123123@anu.edu.au

R_1	
Name	Email
Mike	123456@anu.edu.au
Tom	123123@anu.edu.au

R_2	
StudentID	Email
123456	123456@anu.edu.au
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R_1	
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StudentID	Email
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R_2	
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- Does the above decomposition preserves $\{ \text{StudentID} \} \rightarrow \{ \text{Name} \}$?
Yes, because $\{ \text{StudentID} \} \rightarrow \{ \text{Name} \}$ can be inferred by $\{ \text{StudentID} \} \rightarrow \{ \text{Email} \}$ (preserved in R_2) and $\{ \text{Email} \} \rightarrow \{ \text{Name} \}$ (preserved in R_1).



Discussion

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 - **Lossless join?** Yes because A is a superkey for R_1 .
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 - **Lossless join?** Yes because B is a superkey for R_3 .

Discussion

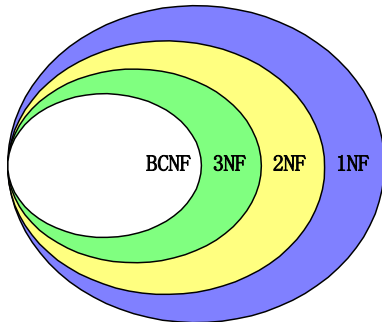
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Normal Forms

Normal forms	Test criteria
1NF	
↓	weak
2NF	
↓	↓
3NF	
↓	
BCNF	strong
...	



● **Note that:**

- 1NF is independent of keys and functional dependencies.
- 2NF, 3NF and BCNF are based on keys and functional dependencies.
- 4NF and 5NF are based on other dependencies (will not be covered in this course).



BCNF

Do not represent the same fact twice (within a relation)!



BCNF - Definition

- A relation schema R is in **BCNF** if whenever a non-trivial FD $X \rightarrow A$ holds in R , then X is a **superkey**.



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BCNF - Definition

- A relation schema R is in **BCNF** if whenever a non-trivial FD $X \rightarrow A$ holds in R , then X is a **superkey**.
- When a relation schema is in **BCNF**, all data redundancy based on functional dependency are removed.
 - Here **data redundancy** is considered in terms of FDs, i.e., for a non-trivial FD $X \rightarrow Y$, there exists a relation R that contains two distinct tuples t_1 and t_2 with $t_1[XY] = t_2[XY]$.

$\{\text{CourseName}\} \rightarrow \{\text{Instructor}\}$

TEACH		
StudentID	CourseName	Instructor
u123456	Operating Systems	Hegel
u234566	Relational Databases	Yu
u234567	Relational Databases	Yu

Normalisation to BCNF

- A relation schema R is in **BCNF** if whenever a non-trivial FD $X \rightarrow A$ holds in R , then **X is a superkey**.
- Consider the relation schema $TEACH$ with the following FD:
 - $\{CourseName\} \rightarrow \{Instructor\}$.

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- Is $TEACH$ in BCNF?

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- Is $TEACH$ in BCNF?
Not in BCNF because $\{CourseName\}$ is not a superkey.

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Not in BCNF because $\{CourseName\}$ is not a superkey.
- Did we represent the same fact twice (or more times)?
Yes, the Instructor of Relational Databases is Yu.

Normalisation to BCNF

- **Algorithm** for a BCNF-decomposition

Input: a relation schema R' and a set Σ of FDs on R' .

Output: a set \mathcal{S} of relation schemas in BCNF, each having a set of FDs

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Input: a relation schema R' and a set Σ of FDs on R' .

Output: a set \mathcal{S} of relation schemas in BCNF, each having a set of FDs

- Start with $\mathcal{S} = \{R'\}$;
- Do the following for each $R \in \mathcal{S}$ iteratively until no changes on \mathcal{S} :
 - Find a (non-trivial) FD $X \rightarrow Y$ on R that violates BCNF, if any;
 - Replace R in \mathcal{S} by two relation schemas XY and $(R - Y)$ and project the FDs to these two relation schemas.

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Output: a set \mathcal{S} of relation schemas in BCNF, each having a set of FDs

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- Do the following for each $R \in \mathcal{S}$ iteratively until no changes on \mathcal{S} :
 - Find a (non-trivial) FD $X \rightarrow Y$ on R that violates BCNF, if any;
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- Does the above **Algorithm** always produce a lossless decomposition?

Normalisation to BCNF

- **Algorithm** for a BCNF-decomposition

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If R with a set Σ of FDs is decomposed into R_1 with Σ_1 and R_2 with Σ_2 , this decomposition is **lossless join** if and only if the common attributes of R_1 and R_2 are a superkey for R_1 or R_2 .

Normalisation to BCNF

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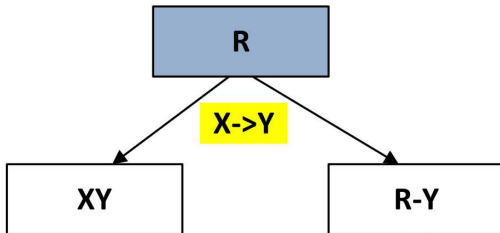
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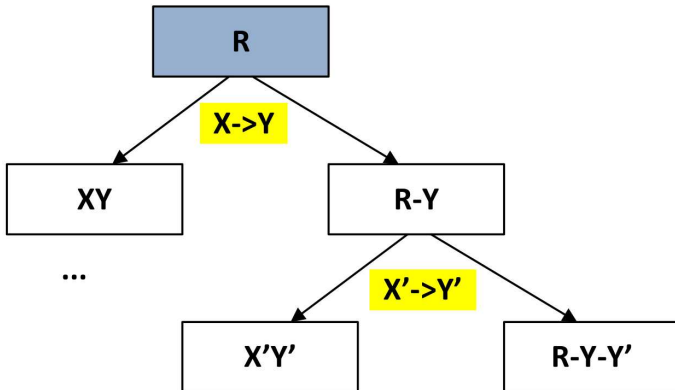
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- **Yes because X is a superkey for XY .**

Normalisation to BCNF



Normalisation to BCNF



Normalisation to BCNF

- A relation schema R is in **BCNF** if whenever a non-trivial FD $X \rightarrow A$ holds in R , then **X is a superkey**.
- Consider the relation schema $TEACH$ with the following FD:

$\{CourseName\} \rightarrow \{Instructor\}$

TEACH		
StudentID	CourseName	Instructor
u123456	Operating Systems	Hegel
u234566	Relational Databases	Yu
u234567	Relational Databases	Yu

Normalisation to BCNF

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- Can we normalise $TEACH$ into BCNF?

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- Can we normalise $TEACH$ into BCNF? **Yes**.

R_1

CourseName	Instructor
Operating Systems	Hegel
Relational Databases	Yu

R_2

StudentID	CourseName
u123456	Operating Systems
u234566	Relational Databases
u234567	Relational Databases

Normalisation to BCNF

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- Can we normalise $TEACH$ into BCNF? **Yes**.

R_1	
CourseName	Instructor
Operating Systems	Hegel
Relational Databases	Yu

R_2	
StudentID	CourseName
u123456	Operating Systems
u234566	Relational Databases
u234567	Relational Databases

- Do not represent the same fact twice (within a relation)!**

BCNF - Exercise

- Consider $\text{INTERVIEW} = \{\text{OfficerID}, \text{CustomerID}, \text{Date}, \text{Time}, \text{Room}\}$ with the following FDs:
 - $\{\text{OfficerID}, \text{Date}\} \rightarrow \{\text{Room}\}$
 - $\{\text{CustomerID}, \text{Date}\} \rightarrow \{\text{OfficerID}, \text{Time}\}$
 - $\{\text{OfficerID}, \text{Date}, \text{Time}\} \rightarrow \{\text{CustomerID}\}$
 - $\{\text{Date}, \text{Time}, \text{Room}\} \rightarrow \{\text{CustomerID}\}$
- Is INTERVIEW in BCNF? If not, normalize INTERVIEW into BCNF.

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 - $\{\text{OfficerID}, \text{Date}, \text{Time}\} \rightarrow \{\text{CustomerID}\}$
 - $\{\text{Date}, \text{Time}, \text{Room}\} \rightarrow \{\text{CustomerID}\}$
- Is INTERVIEW in BCNF? If not, normalize INTERVIEW into BCNF.
 - $\{\text{CustomerID}, \text{Date}\}$, $\{\text{OfficerID}, \text{Date}, \text{Time}\}$, and $\{\text{Date}, \text{Time}, \text{Room}\}$ are the keys.

BCNF - Exercise

- Consider $\text{INTERVIEW} = \{\text{OfficerID}, \text{CustomerID}, \text{Date}, \text{Time}, \text{Room}\}$ with the following FDs:
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 - $\{\text{OfficerID}, \text{Date}, \text{Time}\} \rightarrow \{\text{CustomerID}\}$
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 - $\{\text{CustomerID}, \text{Date}\}$, $\{\text{OfficerID}, \text{Date}, \text{Time}\}$, and $\{\text{Date}, \text{Time}, \text{Room}\}$ are the keys.
 - Any superkey must contain one of these keys as a subset.

BCNF - Exercise

- Consider $INTERVIEW = \{OfficerID, CustomerID, Date, Time, Room\}$ with the following FDs:
 - $\{OfficerID, Date\} \rightarrow \{Room\}$
 - $\{CustomerID, Date\} \rightarrow \{OfficerID, Time\}$
 - $\{OfficerID, Date, Time\} \rightarrow \{CustomerID\}$
 - $\{Date, Time, Room\} \rightarrow \{CustomerID\}$
- Is $INTERVIEW$ in BCNF? If not, normalize $INTERVIEW$ into BCNF.
 - $\{CustomerID, Date\}$, $\{OfficerID, Date, Time\}$, and $\{Date, Time, Room\}$ are the keys.
 - Any superkey must contain one of these keys as a subset.
- **$INTERVIEW$ is not in BCNF because $\{OfficerID, Date\} \rightarrow \{Room\}$ and $\{OfficerID, Date\}$ is not a superkey.**

BCNF - Exercise

- We decompose INTERVIEW along the FD: $\{\text{OfficerID}, \text{Date}\} \rightarrow \{\text{Room}\}$:

INTERVIEW				
OfficerID	CustomerID	Date	Time	Room
S1011	P100	12/11/2013	10:00	R15
S1011	P105	12/11/2013	12:00	R15
S1024	P108	14/11/2013	14:00	R10
S1024	P107	14/11/2013	14:00	R10

INTERVIEW1		
OfficerID	Date	Room
S1011	12/11/2013	R15
S1024	14/11/2013	R10

INTERVIEW2			
OfficerID	CustomerID	Date	Time
S1011	P100	12/11/2013	10:00
S1011	P105	12/11/2013	12:00
S1024	P108	14/11/2013	14:00
S1024	P107	14/11/2013	14:00

BCNF - Exercise

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S1024	P107	14/11/2013	14:00	R10

INTERVIEW1		
OfficerID	Date	Room
S1011	12/11/2013	R15
S1024	14/11/2013	R10

INTERVIEW2			
OfficerID	CustomerID	Date	Time
S1011	P100	12/11/2013	10:00
S1011	P105	12/11/2013	12:00
S1024	P108	14/11/2013	14:00
S1024	P107	14/11/2013	14:00

- Do not represent the same fact twice (within a relation)!**

BCNF - Exercise

- Consider $INTERVIEW = \{OfficerID, CustomerID, Date, Time, Room\}$ with the following FDs:
 - $\{OfficerID, Date\} \rightarrow \{Room\}$
 - $\{CustomerID, Date\} \rightarrow \{OfficerID, Time\}$
 - $\{OfficerID, Date, Time\} \rightarrow \{CustomerID\}$
 - $\{Date, Time, Room\} \rightarrow \{CustomerID\}$

INTERVIEW1		
OfficerID	Date	Room
S1011	12/11/2013	R15
S1024	14/11/2013	R10

INTERVIEW2			
OfficerID	CustomerID	Date	Time
S1011	P100	12/11/2013	10:00
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- Project FDs on two new relation schemas.

BCNF - Exercise

- Consider $INTERVIEW = \{OfficerID, CustomerID, Date, Time, Room\}$ with the following FDs:
 - $\{OfficerID, Date\} \rightarrow \{Room\}$
 - $\{CustomerID, Date\} \rightarrow \{OfficerID, Time\}$
 - $\{OfficerID, Date, Time\} \rightarrow \{CustomerID\}$
 - $\{Date, Time, Room\} \rightarrow \{CustomerID\}$

INTERVIEW1		
OfficerID	Date	Room
S1011	12/11/2013	R15
S1024	14/11/2013	R10

INTERVIEW2			
OfficerID	CustomerID	Date	Time
S1011	P100	12/11/2013	10:00
S1011	P105	12/11/2013	12:00
S1024	P108	14/11/2013	14:00
S1024	P107	14/11/2013	14:00

- Project FDs on two new relation schemas.

INTERVIEW1: $\{OfficerID, Date\} \rightarrow \{Room\}$

BCNF - Exercise

- Consider $INTERVIEW = \{OfficerID, CustomerID, Date, Time, Room\}$ with the following FDs:
 - $\{OfficerID, Date\} \rightarrow \{Room\}$
 - $\{CustomerID, Date\} \rightarrow \{OfficerID, Time\}$
 - $\{OfficerID, Date, Time\} \rightarrow \{CustomerID\}$
 - $\{Date, Time, Room\} \rightarrow \{CustomerID\}$

INTERVIEW1		
OfficerID	Date	Room
S1011	12/11/2013	R15
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S1024	P107	14/11/2013	14:00

- Project FDs on two new relation schemas.

INTERVIEW1: $\{OfficerID, Date\} \rightarrow \{Room\}$

INTERVIEW2: $\{CustomerID, Date\} \rightarrow \{OfficerID, Time\}$, $\{OfficerID, Date, Time\} \rightarrow \{CustomerID\}$.

BCNF - Exercise

- Consider $INTERVIEW = \{OfficerID, CustomerID, Date, Time, Room\}$ with the following FDs:
 - $\{OfficerID, Date\} \rightarrow \{Room\}$
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- Is this decomposition dependency-preservation?

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S1011	P105	12/11/2013	12:00
S1024	P108	14/11/2013	14:00
S1024	P107	14/11/2013	14:00

- Is this decomposition dependency-preservation?
No because $\{Date, Time, Room\} \rightarrow \{CustomerID\}$ is lost (and cannot be recovered)!



BCNF - Order Does Matter

- When applying BCNF decomposition, the order in which the FDs are applied may lead to different results.



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- **Example:** Consider $R = \{A, B, C\}$ and $\{A \rightarrow B, C \rightarrow B, B \rightarrow C\}$.



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$$R_1 = \{B, C\}, \Sigma_1 = \{B \rightarrow C, C \rightarrow B\}; R_2 = \{A, C\}, \Sigma_2 = \{A \rightarrow C\}$$

BCNF - Order Does Matter

- When applying BCNF decomposition, the order in which the FDs are applied may lead to different results.

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- **Case 2:** (Using $B \rightarrow C$ first)

BCNF - Order Does Matter

- When applying BCNF decomposition, the order in which the FDs are applied may lead to different results.
- Example:** Consider $R = \{A, B, C\}$ and $\{A \rightarrow B, C \rightarrow B, B \rightarrow C\}$.

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- Case 2:** (Using $B \rightarrow C$ first)

$$R'_1 = \{B, C\}, \Sigma'_1 = \{B \rightarrow C, C \rightarrow B\}; R'_2 = \{A, B\}, \Sigma'_2 = \{A \rightarrow B\};$$



Lossless Join & Dependency Preservation

- So far, we know how to find a lossless BCNF-decomposition, but it may not be dependency-preserving.
- Is there **a less restrictive normal form** such that a lossless and dependency-preserving decomposition can always be found?



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- Is there **a less restrictive normal form** such that a lossless and dependency-preserving decomposition can always be found?

Yes, refer to 3NF.

3NF - Definition

- A relation schema R is in **3NF** if whenever a non-trivial FD $X \rightarrow A$ holds in R , then X is a **superkey** or A is a **prime attribute**.
- Question: If R is in **BCNF**, then R is in **3NF**?



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- Question: If R is in **BCNF**, then R is in **3NF**?
Yes
- **3NF preserves all the functional dependencies** at the cost of **allowing some data redundancy**.

Normalisation to 3NF

- Consider the following FDs of ENROL:
 - $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}, \text{StaffName}\};$
 - $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}.$

ENROL				
<u>StudentID</u>	<u>CourseNo</u>	<u>Semester</u>	ConfirmedBy_ID	StaffName
123456	COMP2400	2010 S2	u12	Jane
123458	COMP2400	2008 S2	u13	Linda
123458	COMP2600	2008 S2	u13	Linda

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- Is ENROL in 3NF?**

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 - $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\}$ is the only key.

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A relation schema R is in **3NF** if whenever a non-trivial FD $X \rightarrow A$ holds in R, then **X is a superkey** or **A is a prime attribute**.

- Not in 3NF**, because of $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$:
 $\{\text{ConfirmedBy_ID}\}$ is NOT a **superkey** and $\{\text{StaffName}\}$ is NOT a **prime attribute**.

Normalisation to 3NF

- **Algorithm** for a dependency-preserving and lossless 3NF-decomposition

Input: a relation schema R and a set Σ of FDs on R .

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 - Add $R_i = X_i \cup \{A_1\} \cup \{A_2\} \dots \cup \{A_k\}$ to \mathcal{S}

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Normalisation to 3NF

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Input: a relation schema R and a set Σ of FDs on R .

Output: a set \mathcal{S} of relation schemas in 3NF, each having a set of FDs

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- if \mathcal{S} does not contain a superkey of R , add a key of R as R_0 into \mathcal{S} .
- Project the FDs in Σ' onto each relation schema in \mathcal{S}

Normalisation to 3NF

R

$$R_1 = X_1 A_1 \dots A_K$$

...

$$R_n = X_n A$$

$$X_1 \rightarrow A_1$$

...

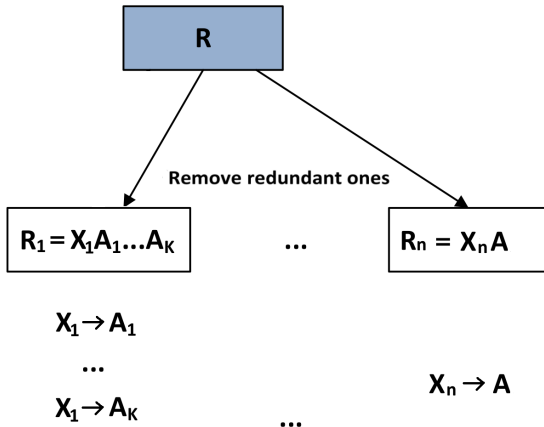
$$X_1 \rightarrow A_K$$

**A minimal
cover**

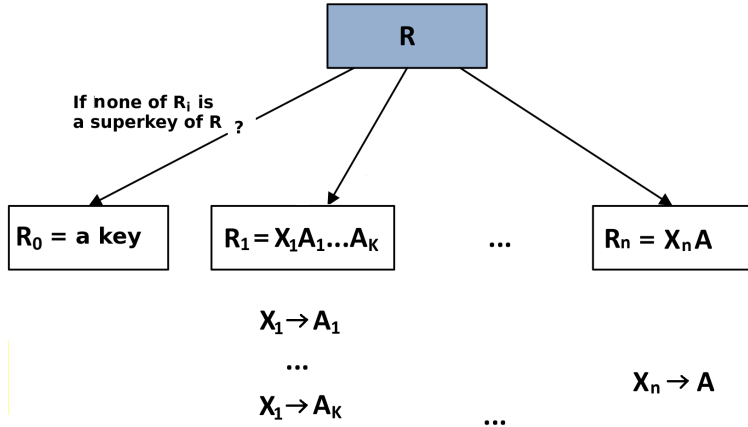
...

$$X_n \rightarrow A$$

Normalisation to 3NF

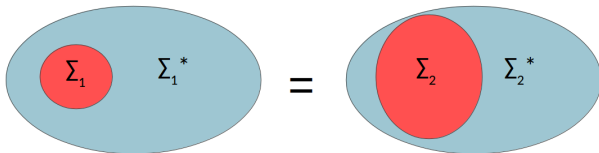


Normalisation to 3NF



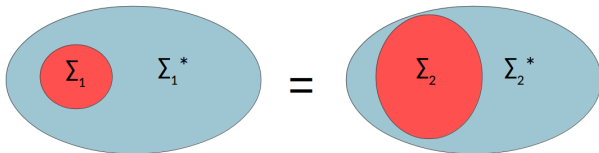
Equivalence of Functional Dependencies

- Σ_1 and Σ_2 are **equivalent** if $\Sigma_1^* = \Sigma_2^*$.
- $\Sigma_1^* = \Sigma_2^*$ if $\Sigma_1 \models \Sigma_2$ and $\Sigma_2 \models \Sigma_1$.



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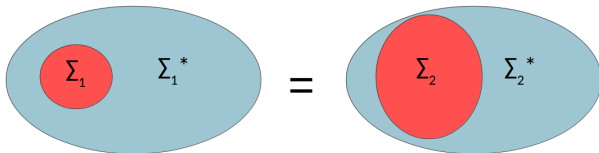
- **Example 1:**

$\Sigma_1 = \{X \rightarrow Y, Y \rightarrow Z, X \rightarrow Z\}$ and $\Sigma_2 = \{X \rightarrow Y, Y \rightarrow Z\}$

If $\Sigma_1^* = \Sigma_2^*$,

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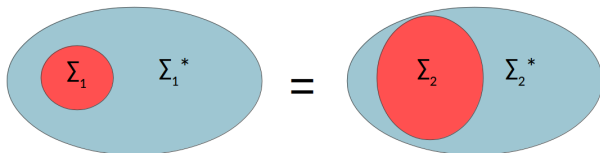
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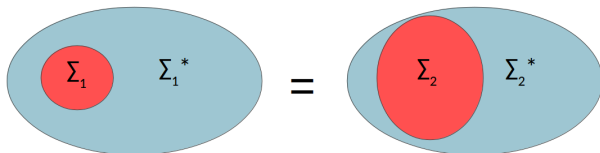
- **Example 2:**

$\Sigma_1 = \{X \rightarrow Y, XY \rightarrow Z\}$ and $\Sigma_2 = \{X \rightarrow Y, X \rightarrow Z\}$

If $\Sigma_1^* = \Sigma_2^*$,

Equivalence of Functional Dependencies

- Σ_1 and Σ_2 are **equivalent** if $\Sigma_1^* = \Sigma_2^*$.
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- **Example 1:**

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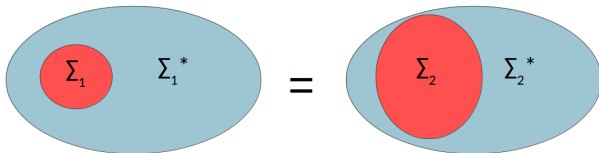
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- **Example 1:**

$\Sigma_1 = \{X \rightarrow Y, Y \rightarrow Z, X \rightarrow Z\}$ and $\Sigma_2 = \{X \rightarrow Y, Y \rightarrow Z\}$

If $\Sigma_1^* = \Sigma_2^*$, then Σ_1 is not **minimal**

- **Example 2:**

$\Sigma_1 = \{X \rightarrow Y, XY \rightarrow Z\}$ and $\Sigma_2 = \{X \rightarrow Y, X \rightarrow Z\}$

If $\Sigma_1^* = \Sigma_2^*$, then Σ_1 is not **minimal**

- **Questions:** Can we find the **minimal** one among equivalent sets of FDs?



Minimal Cover – The Hard Part!



Minimal Cover – The Hard Part!

- Let Σ be a set of FDs. A **minimal cover** Σ_m of Σ is a set of FDs such that
 - 1 Σ_m is equivalent to Σ , i.e., start with $\Sigma_m = \Sigma$;

Minimal Cover – The Hard Part!

- Let Σ be a set of FDs. A **minimal cover** Σ_m of Σ is a set of FDs such that
 - 1 Σ_m is equivalent to Σ , i.e., start with $\Sigma_m = \Sigma$;
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 - 3 **Determinant:** each FD has as few attributes on the left hand side as possible, i.e., for each FD $X \rightarrow A$ in Σ_m , check each attribute B of X to see if we can replace $X \rightarrow A$ with $(X - B) \rightarrow A$ in Σ_m ;

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 - 3 **Determinant:** each FD has as few attributes on the left hand side as possible, i.e., for each FD $X \rightarrow A$ in Σ_m , check each attribute B of X to see if we can replace $X \rightarrow A$ with $(X - B) \rightarrow A$ in Σ_m ;
 - 4 Remove a FD from Σ_m if it is redundant.



Minimal Cover - Examples

- Given the set of FDs Σ
 $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{ConfirmedBy_ID, StaffName}\}$
 $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$
- we can compute the minimal cover of Σ as follows:



Minimal Cover - Examples

- Given the set of FDs Σ
 $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}, \text{StaffName}\}$
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- we can compute the minimal cover of Σ as follows:
 - start from Σ ;

Minimal Cover - Examples

- Given the set of FDs Σ
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Minimal Cover - Examples

- Given the set of FDs Σ
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 - check whether all the FDs in Σ have only one attribute on the right hand side;
 $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{ConfirmedBy_ID, StaffName}\}$

Minimal Cover - Examples

- Given the set of FDs Σ
 $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{ConfirmedBy_ID, StaffName}\}$
 $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$
- we can compute the minimal cover of Σ as follows:
 - start from Σ ;
 - check whether all the FDs in Σ have only one attribute on the right hand side;
 $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{ConfirmedBy_ID, StaffName}\}$
can be replaced by
 $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$
 $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{StaffName}\}$

Minimal Cover - Examples

- Given the set of FDs Σ
 $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$
 $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{StaffName}\}$
 $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$
- we can compute the minimal cover of Σ as follows:
 - start from Σ ;
 - check whether all the FDs in Σ have only one attribute on the right hand side;

Minimal Cover - Examples

- Given the set of FDs Σ

$\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$

$\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}$

$\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$

- we can compute the minimal cover of Σ as follows:

- 1 start from Σ ;
- 2 check whether all the FDs in Σ have only one attribute on the right hand side;
- 3 check whether all the FDs in Σ have redundant attribute on the left hand side;

Minimal Cover - Examples

- Given the set of FDs Σ
 $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$
 $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{StaffName}\}$
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- we can compute the minimal cover of Σ as follows:
 - start from Σ ;
 - check whether all the FDs in Σ have only one attribute on the right hand side;
 - check whether all the FDs in Σ have redundant attribute on the left hand side;
check if $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$ is minimal with respect to the left hand side
check if $\{\text{StudentID, CourseNo, Semester}\} \rightarrow \{\text{StaffName}\}$ is minimal with respect to the left hand side

Minimal Cover - Examples

- Given the set of FDs Σ
 $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$
 $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}$
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- we can compute the minimal cover of Σ as follows:
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check if $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$ is minimal with respect to the left hand side
check if $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}$ is minimal with respect to the left hand side
All look good!

Minimal Cover - Examples

- Given the set of FDs Σ

$\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$

$\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}$

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Minimal Cover - Examples

- Given the set of FDs Σ

$\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$

$\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}$

$\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$

- we can compute the minimal cover of Σ as follows:

- 1 start from Σ ;
- 2 check whether all the FDs in Σ have only one attribute on the right hand side;
- 3 check whether all the FDs in Σ have redundant attribute on the left hand side;
- 4 look for a redundant FD in $\{ \{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}, \{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}, \{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\} \}$

Minimal Cover - Examples

- Given the set of FDs Σ
 - $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$
 - $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}$
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- we can compute the minimal cover of Σ as follows:
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 - $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}$ is redundant and thus is removed

Minimal Cover - Examples

- Given the set of FDs Σ
 - $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$
 - $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}$
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- we can compute the minimal cover of Σ as follows:
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 - 2 check whether all the FDs in Σ have only one attribute on the right hand side;
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 - 4 look for a redundant FD in $\{ \{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}, \{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}, \{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\} \}$
 - $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{StaffName}\}$ is redundant and thus is removed
 - 5 Therefore, the minial cover of Σ is $\{ \{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}, \{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\} \}$

Normalisation to 3NF – Example

- Consider ENROL again:
 - $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}, \text{StaffName}\}$
 - $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$

<u>StudentID</u>	<u>CourseNo</u>	<u>Semester</u>	ConfirmedBy_ID	StaffName
...

- Can we normalise ENROL into 3NF by a lossless and dependency preserving decomposition?**

Normalisation to 3NF – Example

- Consider ENROL again:
 - $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}, \text{StaffName}\}$
 - $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$

<u>StudentID</u>	<u>CourseNo</u>	<u>Semester</u>	ConfirmedBy_ID	StaffName
...

- A **minimal cover** is $\{\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}, \{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}\}$.
- Hence, we have:

Normalisation to 3NF – Example

- Consider ENROL again:
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<u>StudentID</u>	<u>CourseNo</u>	<u>Semester</u>	ConfirmedBy_ID	StaffName
...

- A **minimal cover** is $\{\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}, \{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}\}$.
- Hence, we have:
 - $R_1 = \{\text{StudentID}, \text{CourseNo}, \text{Semester}, \text{ConfirmedBy_ID}\}$ with $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$

Normalisation to 3NF – Example

- Consider ENROL again:

- $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}, \text{StaffName}\}$
- $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$

<u>StudentID</u>	<u>CourseNo</u>	<u>Semester</u>	ConfirmedBy_ID	StaffName
...

- A **minimal cover** is $\{\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}, \{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}\}$.
- Hence, we have:
 - $R_1 = \{\text{StudentID}, \text{CourseNo}, \text{Semester}, \text{ConfirmedBy_ID}\}$ with $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$
 - $R_2 = \{\text{ConfirmedBy_ID}, \text{StaffName}\}$ with $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$

Normalisation to 3NF – Example

- Consider ENROL again:

- $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}, \text{StaffName}\}$
- $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$

<u>StudentID</u>	<u>CourseNo</u>	<u>Semester</u>	ConfirmedBy_ID	StaffName
...

- A **minimal cover** is $\{\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}, \{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}\}$.
- Hence, we have:
 - $R_1 = \{\text{StudentID}, \text{CourseNo}, \text{Semester}, \text{ConfirmedBy_ID}\}$ with $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$
 - $R_2 = \{\text{ConfirmedBy_ID}, \text{StaffName}\}$ with $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$
 - Omit R_0 because R_1 is a superkey of ENROL.

Normalisation to 3NF – Example

- Consider ENROL again:

- $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}, \text{StaffName}\}$
- $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$

<u>StudentID</u>	<u>CourseNo</u>	<u>Semester</u>	ConfirmedBy_ID	StaffName
...

- A **minimal cover** is $\{\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}, \{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}\}$.
- Hence, we have:
 - $R_1 = \{\text{StudentID}, \text{CourseNo}, \text{Semester}, \text{ConfirmedBy_ID}\}$ with $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$
 - $R_2 = \{\text{ConfirmedBy_ID}, \text{StaffName}\}$ with $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$
 - Omit R_0 because R_1 is a superkey of ENROL.
- Is $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}, \text{StaffName}\}$ preserved?

Normalisation to 3NF – Example

- Consider ENROL again:

- $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}, \text{StaffName}\}$
- $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$

<u>StudentID</u>	<u>CourseNo</u>	<u>Semester</u>	ConfirmedBy_ID	StaffName
...

- A **minimal cover** is $\{\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}, \{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}\}$.
- Hence, we have:
 - $R_1 = \{\text{StudentID}, \text{CourseNo}, \text{Semester}, \text{ConfirmedBy_ID}\}$ with $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}\}$
 - $R_2 = \{\text{ConfirmedBy_ID}, \text{StaffName}\}$ with $\{\text{ConfirmedBy_ID}\} \rightarrow \{\text{StaffName}\}$
 - Omit R_0 because R_1 is a superkey of ENROL.
- Is $\{\text{StudentID}, \text{CourseNo}, \text{Semester}\} \rightarrow \{\text{ConfirmedBy_ID}, \text{StaffName}\}$ preserved? **Yes**.

Normalisation to 3NF – Example

- Consider INTERVIEW:

INTERVIEW				
OfficerID	CustomerID	Date	Time	Room
S1011	P100	12/11/2013	10:00	R15
...

- 1 {OfficerID, Date} → {Room}
 - 2 {CustomerID, Date} → {OfficerID, Time}
 - 3 {OfficerID, Date, Time} → {CustomerID}
 - 4 {Date, Time, Room} → {CustomerID}
- Is INTERVIEW in 3NF? If not, normalise INTERVIEW into lossless and dependency preserving 3NF.

Normalisation to 3NF – Example

- Consider INTERVIEW:

INTERVIEW				
OfficerID	CustomerID	Date	Time	Room
S1011	P100	12/11/2013	10:00	R15
...

- 1 $\{\text{OfficerID}, \text{Date}\} \rightarrow \{\text{Room}\}$
 - 2 $\{\text{CustomerID}, \text{Date}\} \rightarrow \{\text{OfficerID}, \text{Time}\}$
 - 3 $\{\text{OfficerID}, \text{Date}, \text{Time}\} \rightarrow \{\text{CustomerID}\}$
 - 4 $\{\text{Date}, \text{Time}, \text{Room}\} \rightarrow \{\text{CustomerID}\}$
- Is INTERVIEW in 3NF? If not, normalise INTERVIEW into lossless and dependency preserving 3NF.
 - A relation schema R is in **3NF** if whenever a non-trivial FD $X \rightarrow A$ holds in R, then **X is a superkey** or **A is a prime attribute**.

Normalisation to 3NF – Example

- Consider INTERVIEW:

INTERVIEW				
OfficerID	CustomerID	Date	Time	Room
S1011	P100	12/11/2013	10:00	R15
...

- 1 {OfficerID, Date} \rightarrow {Room}
 - 2 {CustomerID, Date} \rightarrow {OfficerID, Time}
 - 3 {OfficerID, Date, Time} \rightarrow {CustomerID}
 - 4 {Date, Time, Room} \rightarrow {CustomerID}
- Is INTERVIEW in 3NF? If not, normalise INTERVIEW into lossless and dependency preserving 3NF.
 - A relation schema R is in **3NF** if whenever a non-trivial FD $X \rightarrow A$ holds in R, then **X is a superkey** or **A is a prime attribute**.
 - We know that {CustomerID, Date}, {OfficerID, Date, Time}, and {Date, Time, Room} are the keys.

Normalisation to 3NF – Example

- Consider INTERVIEW:

INTERVIEW				
OfficerID	CustomerID	Date	Time	Room
S1011	P100	12/11/2013	10:00	R15
...

- 1 $\{\text{OfficerID}, \text{Date}\} \rightarrow \{\text{Room}\}$
 - 2 $\{\text{CustomerID}, \text{Date}\} \rightarrow \{\text{OfficerID}, \text{Time}\}$
 - 3 $\{\text{OfficerID}, \text{Date}, \text{Time}\} \rightarrow \{\text{CustomerID}\}$
 - 4 $\{\text{Date}, \text{Time}, \text{Room}\} \rightarrow \{\text{CustomerID}\}$
- Is INTERVIEW in 3NF? If not, normalise INTERVIEW into lossless and dependency preserving 3NF.
 - A relation schema R is in **3NF** if whenever a non-trivial FD $X \rightarrow A$ holds in R, then **X is a superkey** or **A is a prime attribute**.
 - We know that $\{\text{CustomerID}, \text{Date}\}$, $\{\text{OfficerID}, \text{Date}, \text{Time}\}$, and $\{\text{Date}, \text{Time}, \text{Room}\}$ are the keys.
- INTERVIEW is in 3NF because all the attributes are prime attributes.



The Minimal Cover – More Example

- Let us consider a relation schema $LOTS(PropertyID, County, Lot, Area)$ with the following FDS:
 - FD1: $PropertyID \rightarrow Lot, County, Area$
 - FD2: $Lot, County \rightarrow Area, PropertyID$
 - FD3: $Area \rightarrow County$



The Minimal Cover – More Example

- Let us consider a relation schema $LOTS(PropertyID, County, Lot, Area)$ with the following FDS:
 - FD1: $PropertyID \rightarrow Lot, County, Area$
 - FD2: $Lot, County \rightarrow Area, PropertyID$
 - FD3: $Area \rightarrow County$
- Let us abbreviate attributes of $LOTS$ with first letter of each attribute and represent our set of dependencies as $F: \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
- The minimal cover of a set of functional dependencies always exists but is not necessarily unique.



The Minimal Cover – More Example

- (Case X) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$



The Minimal Cover – More Example

- (Case X) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$

The Minimal Cover – More Example

- (Case X) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.

The Minimal Cover – More Example

- (Case X) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.

The Minimal Cover – More Example

- (Case X) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 4 **Remove redundant FD:** $\{P \rightarrow LC, LC \rightarrow A\} \models P \rightarrow A$.

The Minimal Cover – More Example

- (Case X) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 4 **Remove redundant FD:** $\{P \rightarrow LC, LC \rightarrow A\} \models P \rightarrow A$.
 - 5 Thus a minimal cover is $\{P \rightarrow LC, LC \rightarrow AP, A \rightarrow C\}$.

The Minimal Cover – More Example

- (Case X) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
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 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
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 - 5 Thus a minimal cover is $\{P \rightarrow LC, LC \rightarrow AP, A \rightarrow C\}$.
- (Case Y) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$

The Minimal Cover – More Example

- (Case X) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
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 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 4 **Remove redundant FD:** $\{P \rightarrow LC, LC \rightarrow A\} \models P \rightarrow A$.
 - 5 Thus a minimal cover is $\{P \rightarrow LC, LC \rightarrow AP, A \rightarrow C\}$.
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 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$

The Minimal Cover – More Example

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 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 4 **Remove redundant FD:** $\{P \rightarrow LC, LC \rightarrow A\} \models P \rightarrow A$.
 - 5 Thus a minimal cover is $\{P \rightarrow LC, LC \rightarrow AP, A \rightarrow C\}$.
- (Case Y) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.

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- (Case X) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
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- (Case Y) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.

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- (Case X) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 4 **Remove redundant FD:** $\{P \rightarrow LC, LC \rightarrow A\} \models P \rightarrow A$.
 - 5 Thus a minimal cover is $\{P \rightarrow LC, LC \rightarrow AP, A \rightarrow C\}$.
- (Case Y) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 4 **Remove redundant FD:** $\{LC \rightarrow P, P \rightarrow A\} \models LC \rightarrow A$. $\{P \rightarrow A, A \rightarrow C\} \models P \rightarrow C$.

The Minimal Cover – More Example

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 - 1 **Initialise:** $\{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 4 **Remove redundant FD:** $\{P \rightarrow LC, LC \rightarrow A\} \models P \rightarrow A$.
 - 5 Thus a minimal cover is $\{P \rightarrow LC, LC \rightarrow AP, A \rightarrow C\}$.
- (Case Y) Find a minimal cover of $F = \{P \rightarrow LCA, LC \rightarrow AP, A \rightarrow C\}$
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 - 2 **Dependent:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 3 **Determinant:** $\{P \rightarrow L, P \rightarrow C, P \rightarrow A, LC \rightarrow A, LC \rightarrow P, A \rightarrow C\}$.
 - 4 **Remove redundant FD:** $\{LC \rightarrow P, P \rightarrow A\} \models LC \rightarrow A$. $\{P \rightarrow A, A \rightarrow C\} \models P \rightarrow C$.
 - 5 Thus a minimal cover is $\{P \rightarrow LA, LC \rightarrow P, A \rightarrow C\}$.



Normal Forms

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Normal Forms

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- **3NF**: Whenever a non-trivial FD $X \rightarrow A$ holds in R , then X is a **superkey**
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- **3NF**: Whenever a non-trivial FD $X \rightarrow A$ holds in R , then X is a **superkey**
or A is a **prime attribute**.

**Do not abandon any FDs, even if
some facts have to be represented more than once within a relation!**



Normalisation Algorithms

BCNF-decomposition

- Repeat until no changes
 - Find a problematic FD
 - Split R into two smaller ones and project FDs



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What properties do these algorithms have?

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What properties do these algorithms have?



Lossless join



Lossless join + dependency
preservation

Normalisation Algorithms

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What do you need to compute using FDs?

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- Repeat until no changes
 - Find a problematic FD
 - Split R into two smaller ones and project FDs

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- Group FDs in the minimal cover
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- Project FDs

What do you need to compute using FDs?



SOME superkeys (check)



SOME superkeys (check)
ALL candidate keys
ONE minimal cover

Denormalisation

- **Do we need to normalize relation schemas in all cases** when designing a relational database?
- **Denormalisation** is a **design process** that
 - happens after the normalisation process,
 - is often performed during the physical design stage, and
 - reduces the number of relations that need to be joined for certain queries.
- We need to distinguish:
 - **Unnormalised** – there is no systematic design.
 - **Normalised** – redundancy is reduced after a systematic design (to minimise data inconsistencies).
 - **Denormalised** – redundancy is introduced after analysing the normalised design (to improve efficiency of queries)

Trade-offs – Data Redundancy vs. Query Efficiency

- Normalisation: **No Data Redundancy but No Efficient Query Processing**
- Data redundancies are eliminated in the following relations.

STUDENT		
Name	<u>StudentID</u>	DoB

COURSE	
<u>CourseNo</u>	Unit

ENROL		
<u>StudentID</u>	<u>CourseNo</u>	<u>Semester</u>

Trade-offs – Data Redundancy vs. Query Efficiency

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ENROL		
<u>StudentID</u>	<u>CourseNo</u>	<u>Semester</u>

- However, the query for “list the names of students who enrolled in a course with 6 units” requires 2 join operations.

```
SELECT Name, CourseNo
FROM ENROL e, COURSE c, STUDENT s
WHERE e.StudentID=s.StudentID AND e.CourseNo=c.CourseNo
AND c.Unit=6;
```

Trade-offs – Data Redundancy vs. Query Efficiency

- Denormalisation: **Data Redundancy but Efficient Query Processing**
- If a student enrolled 15 courses, then the name and DoB of this student need to be stored repeatedly 15 times in ENROLMENT.

ENROLMENT					
Name	<u>StudentID</u>	DoB	<u>CourseNo</u>	<u>Semester</u>	Unit
Tom	123456	25/01/1988	COMP2400	2010 S2	6
Tom	123456	25/01/1988	COMP8740	2011 S2	12
Michael	123458	21/04/1985	COMP2400	2009 S2	6

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Tom	123456	25/01/1988	COMP8740	2011 S2	12
Michael	123458	21/04/1985	COMP2400	2009 S2	6

- The query for “list the names of students who enrolled a course with 6 units” can be processed efficiently (no join needed).

```
SELECT Name, CourseNo FROM ENROLMENT WHERE Unit=6;
```



(credit cookie) Raymond F. Boyce (1947-1974)

SEQUEL: A STRUCTURED ENGLISH QUERY LANGUAGE

by

Donald D. Chamberlin
Raymond F. Boyce

IBM Research Laboratory
San Jose, California

ABSTRACT: In this paper we present the data manipulation facility for a structured English query language (SEQUEL) which can be used for accessing data in an integrated relational data base. Without resorting to the concepts of bound variables and quantifiers SEQUEL identifies a set of simple operations on tabular structures, which can be shown to be of equivalent power to the first order predicate calculus. A SEQUEL user is presented with a consistent set of keyword English templates which reflect how people use tables to obtain information. Moreover, the SEQUEL user is able to compose these basic templates in a structured manner in order to form more complex queries. SEQUEL is intended as a data base sublanguage for both the professional programmer and the more infrequent data base user.

“SEQUEL: A Structured English Query Language”,

D.D. Chamberlin and R.F. Boyce,

Proc. ACM SIGMOD Workshop on Data Description, Access and Control,

Ann Arbor, Michigan (May 1974)