

#### Week 5 Workshop



**Alice:** Your model reduces the most interesting information

to something flat and boring.

**Vittorio:** You're right, and this causes a lot of problems.

Sergio: Designing the schema for a complex application is

tough, and it is easy to make mistakes when updat-

ing a database.

Riccardo: Also, the system knows so little about the data that it

is hard to obtain good performance.

Alice: Are you telling me that the model is bad?

Vittorio: No, wait, we are going to fix it!

(Foundations of Databases, S. Abiteboul, R. Hull, V. Vianu, Addison-Wesley, 1995)



**1** Assignment 1 (SQL) (due 11:59pm, 3 Sep 2021)



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     (Clarification: two different writers, not including director themselves)



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  - Do not wait until the last minute to check/submit your solution.
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  - Aug 31 (Tue) 2-3 pm
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What could happen to insert, delete and update operations?

ENROLMENT					
Name	StudentID	DoB	<u>CourseNo</u>	Semester	Unit
Tom	123456	25/01/1989	COMP2400	2010 S2	6
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• Insertion anomalies: If inserting a new course COMP3000, then ...



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 Insertion anomalies: If inserting a new course COMP3000, then ... (i.e., cannot insert NULL values into Course because of the entity integrity constraint).



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- Insertion anomalies: If inserting a new course COMP3000, then ... (i.e., cannot insert NULL values into Course because of the entity integrity constraint).
- **Deletion anomalies**: If deleting the enrolled course COMP2400 of Fran, then ...



What could happen to insert, delete and update operations?

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- Insertion anomalies: If inserting a new course COMP3000, then ... (i.e., cannot insert NULL values into Course because of the entity integrity constraint).
- Deletion anomalies: If deleting the enrolled course COMP2400 of Fran, then ... the personal information of Fran, such as DoB, will be lost as well.



• What could happen to insert, delete and update operations?

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- Insertion anomalies: If inserting a new course COMP3000, then ... (i.e., cannot insert NULL values into Course because of the entity integrity constraint).
- Deletion anomalies: If deleting the enrolled course COMP2400 of Fran, then ... the personal information of Fran, such as DoB, will be lost as well.
- Modification anomalies: If changing the DoB of Michael, then ...



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- Insertion anomalies: If inserting a new course COMP3000, then ... (i.e., cannot insert NULL values into Course because of the entity integrity constraint).
- Deletion anomalies: If deleting the enrolled course COMP2400 of Fran, then ... the personal information of Fran, such as DoB, will be lost as well.
- Modification anomalies: If changing the DoB of Michael, then ... update every tuple that records the DoB of this student.



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Michael	123458	21/04/1985	COMP8740	2011 S2	12
Fran	123457	11/09/1987	COMP2400	2009 S2	6

	STUDENT			
Name	StudentID	DoB		
Tom	123456	25/01/1988		
Michael	123458	21/04/1985		
Fran	123457	11/09/1987		

Course		
CourseNo	Unit	
COMP2400	6	
COMP8740	12	

	Enrol	
StudentID	<u>CourseNo</u>	Semester
123456	COMP2400	2010 S2
123456	COMP8740	2011 S2
123458	COMP2400	2009 S2
123458	COMP8740	2011 S2
123457	COMP2400	2009 S2



### Why Functional Dependencies?

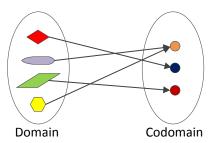
#### FDs tell us "relationship between and among attributes"!

- FDs are developed to define the goodness and badness of (relational) database design in a formal way.
  - Top down: start with a relation schema and FDs, and produce smaller relation schemas in certain normal form (called normalisation).
  - Bottom up: start with attributes and FDs, and produce relation schemas (not popular in practice).



#### What is "Functional" about Functional Dependencies?

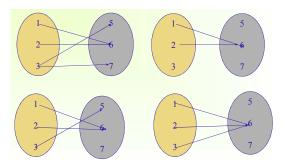
- The notion of functional dependency is very close to the notion of function.
- A (total) function f: X → Y describes a relationship between two sets X and Y such that each element of X is mapped to a unique element of Y.





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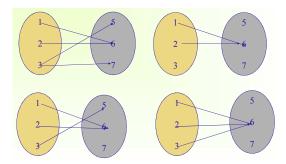
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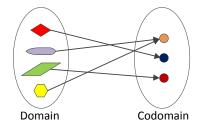
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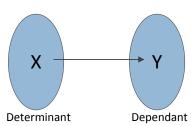
Answer: The ones at the bottom.







Functional dependency





$$f(x) = x^2$$



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X	f(x)
1	1
2	4
3	9
4	16
5	25
6	36



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$$X \rightarrow f(x)$$

#### **Formal Definition**

- Let R be a relation schema.
  - A FD on R is an expression  $X \to Y$  with attribute sets  $X, Y \subseteq R$ .
  - A relation r(R) satisfies  $X \to Y$  on R if, for any two tuples  $t_1, t_2 \in r(R)$ , whenever the tuples  $t_1$  and  $t_2$  coincide on values of X, they also coincide on values of Y.

$$t_1[X] = t_2[X]$$

$$\downarrow t_1[Y] = t_2[Y]$$

- A FD is trivial if it can always be satisfied, e.g.,
  - $\bullet \ \{A,B\} \to \{A\}$
  - $\{A, B, C\} \rightarrow \{A, B, C\}$
- Syntactical convention: (1) Instead of  $\{A, B, C\}$ , we may use ABC. (2)  $A, B, \ldots$  for individual attributes and  $X, Y, \ldots$  for sets of attributes.



- A functional dependency specifies a constraint on the relation schema that must hold at all times.
- Consider the following relation with attributes {A,B,C,D,E}. Do they satisfy the given FDs?

r(R)				
Α	В	C	D	Е
1	2	3	4	5
1	2	2	2	2
1	2	3	2	3
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Yes.

2  $ABC \rightarrow D$ 

No.



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No.

 $\bigcirc$   $E \rightarrow ABCD$ 



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- In real-life applications, we often use the following approaches:



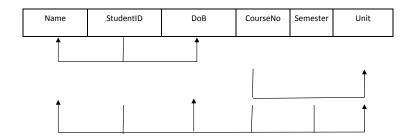
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  - Analyse data requirements
     Can be provided in the form of discussion with application users and/or data requirement specifications.



- A functional dependency specifies a constraint on the relation schema that must hold at all times.
- In real-life applications, we often use the following approaches:
  - Analyse data requirements
     Can be provided in the form of discussion with application users and/or data requirement specifications.
  - (2) Analyse sample data Useful when application users are unavailable for consultation and/or the document is incomplete.



### (1) Analyse Data Requirements and FD Diagram



- StudentID → Name, DoB;
- CourseNo → Unit;
- StudentID, CourseNo, Semester → Name, DoB, Unit.



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- We may have:
  - {StudentID} → {Name, DoB};
  - {StudentID, Name} → {DoB};



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We may have:

```
• \{StudentID\} \rightarrow \{Name, DoB\};
```

- {StudentID, Name} → {DoB};
- $\{Name\} \rightarrow \{StudentID\} \times;$
- .....



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- We may have:
  - {StudentID} → {Name, DoB};
  - {StudentID, Name} → {DoB};
  - {Name} → {StudentID} ×;
  - .....

#### Limitations:

- (1) Sample data needs to be a true representation of all possible values in the database.
- (2) Do we need all FDs?



#### Inference?

To design a good database, we need to consider all possible FDs.

#### Example:

```
If \{StudentID\} \rightarrow \{ProjectNo\} and \{ProjectNo\} \rightarrow \{Supervisor\}, we can infer \{StudentID\} \rightarrow \{Supervisor\}.
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If each student works on one project and each project has one supervisor, then each student must have one project supervisor.



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If each student works on one project and each project has one supervisor, then each student must have one project supervisor.

Can we systematically infer all possible FDs?



# Armstrong's Inference Rules (Slides 16-25 will not to be assessed)

- The **Armstrong's inference rules** consist of the following three rules:
  - Reflexive rule:  $XY \rightarrow Y$
  - Augmentation rule:  $\{X \rightarrow Y\} \models XZ \rightarrow YZ$
  - Transitive rule:  $\{X \to Y, Y \to Z\} \models X \to Z$
- We use the notation  $\Sigma \models X \to Y$  to denote that  $X \to Y$  is **inferred** from the set  $\Sigma$  of functional dependencies.



#### Rule 1 - Reflexive Rule

 $\bullet$   $XY \rightarrow Y$ .

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Name	StudentID	DoB	<u>CourseNo</u>	Semester	Unit	
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#### Example:

 $\{StudentID, CourseNo, Semester\} \rightarrow \{CourseNo, Semester\},\$  where

- X={StudentID};
- Y={CourseNo, Semester}.



### Rule 2 – Augmentation Rule

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#### Example:

 $\{\{\text{CourseNo}\} \rightarrow \{\text{Unit}\}\} \models \{\text{CourseNo}, \text{Semester}\} \rightarrow \{\text{Unit}, \text{Semester}\},\$  where

- X={CourseNo};
- Y={Unit};
- Z={Semester}.



#### Rule 3 - Transitive Rule

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Name	StudentID	DoB	<u>CourseNo</u>	Semester	Unit
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- Example: {StudentID, CourseNo}  $\rightarrow$  {CourseNo}, {CourseNo}  $\rightarrow$  {Unit}  $\models$  {StudentID, CourseNo}  $\rightarrow$  {Unit}, where
  - X={StudentID, CourseNo};
  - Y={CourseNo};
  - Z={Unit}.



#### **Other Derived Rules**

 From Armstrong's axioms (i.e., reflexive, augmentation, transitive rules), we can derive the following rules:

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  - Union rule: If  $X \to Y$  and  $X \to Z$ , then  $X \to YZ$
  - Example: If StudentID → Name and StudentID → DoB hold, then we have StudentID → Name, DoB, where
    - X=StudentID;
    - Y=Name;
    - Z=DoB.

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  - Example: If StudentID → Name and StudentID → DoB hold, then we have StudentID → Name, DoB, where
    - X=StudentID:
    - Y=Name;
    - Z=DoB.
  - **Decomposition rule**: If  $X \to YZ$ , then  $X \to Y$  and  $X \to Z$
  - $\bullet \ \textbf{Example:} \ \textbf{If} \ \textbf{StudentID} \rightarrow \textbf{Name, DoB holds, then we have } \ \textbf{StudentID} \\$ 
    - $\rightarrow$  Name and StudentID  $\rightarrow$  DoB, where
      - X=StudentID;
      - Y=Name;
      - Z=DoB.



• If each student works on one project and each project has one supervisor, does each student have one project supervisor?

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$${StudentID} \rightarrow {ProjectNo}, \\ {ProjectNo} \rightarrow {Supervisor}$$
  $\models$   ${StudentID} \rightarrow {Supervisor}$ 

This can be proven by using the Transitive rule:

$$\{X \to Y, Y \to Z\} \models X \to Z$$



• Can we use the following rules to infer FDs, i.e., are they correct?

$$(1) \quad \{X \to Y\} \models XZ \to YZ$$

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Yes, using the Augmentation rule.

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$$(2) \quad \{XZ \to YZ\} \models X \to Y$$

• Can we use the following rules to infer FDs, i.e., are they correct?

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No. See the counter-example below:

X	Y	Z
а	b	С
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No. See the counter-example below:

X	Y
0	2
1	2



Two questions:

<sup>&</sup>lt;sup>1</sup> William Ward Armstrong: Dependency Structures of Data Base Relationships, page 580-583. IFIP Congress, 1974. 23/54



#### Two questions:

• Are all the FDs inferred using the Armstrong's inference rules correct? → soundness (you cannot prove anything that is wrong)

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#### Two questions:

- Are all the FDs inferred using the Armstrong's inference rules correct? soundness (you cannot prove anything that is wrong)
- Can we use the Armstrong's inference rules to infer all possible FDs?

William Ward Armstrong: Dependency Structures of Data Base Relationships, page 580-583. IFIP Congress, 1974. 23/54



#### Two questions:

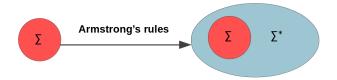
- Are all the FDs inferred using the Armstrong's inference rules correct? soundness (you cannot prove anything that is wrong)
- Can we use the Armstrong's inference rules to infer all possible FDs? completeness (you can prove anything that is right)
- Theorem (W. W. Armstrong, 1974<sup>1</sup>)
  - The Armstrong's inference rules are both sound and complete.



• We write  $\Sigma^*$  for all possible FDs **implied** by  $\Sigma$ .

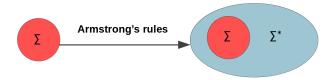


- We write  $\Sigma^*$  for all possible FDs **implied** by  $\Sigma$ .
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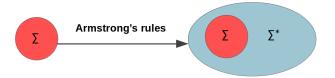
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• Why can we compute  $\Sigma^*$  using the Armstrong's inference rules?



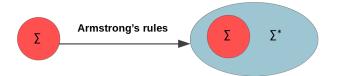
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• Why can we compute  $\Sigma^*$  using the Armstrong's inference rules? Because the Armstrong's inference rules are both sound and complete.



- We write  $\Sigma^*$  for all possible FDs **implied** by  $\Sigma$ .
- $\bullet$   $\Sigma^*$  can be computed using the Armstrong's inference rules.



- Why can we compute  $\Sigma^*$  using the Armstrong's inference rules? Because the Armstrong's inference rules are both sound and complete.
- Nonetheless, computing Σ\* using the Armstrong's inference rules is not efficient.



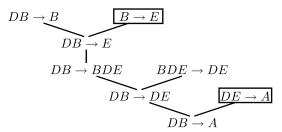
• Computing  $\Sigma^*$  using the Armstrong's inference rules is **not efficient**.

**Example:** Consider a relation schema  $R = \{A, B, C, D, E\}$  and a set of FDs  $\Sigma = \{AB \to CD, B \to E, DE \to A\}$ . How can we use the Armstrong rules to show that  $DB \to A \in \Sigma^*$ ?



• Computing  $\Sigma^*$  using the Armstrong's inference rules is **not efficient**.

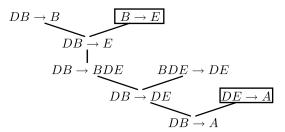
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• How can we derive the proof more efficiently?



• Let  $\Sigma$  be a set of FDs. Check whether or not  $\Sigma \models X \to W$  holds?

<sup>&</sup>lt;sup>2</sup> See Algorithm 15.1 on Page 538 in [Elmasri & Navathe, 7th edition] or Algorithm 1 on Page 555 in [Elmasri & Navathe, 6th edition]



• Let  $\Sigma$  be a set of FDs. Check whether or not  $\Sigma \models X \to W$  holds? We need to

<sup>&</sup>lt;sup>2</sup> See Algorithm 15.1 on Page 538 in [Elmasri & Navathe, 7th edition] or Algorithm 1 on Page 555 in [Elmasri & Navathe, 6th edition]

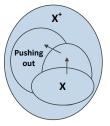
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- Let  $\Sigma$  be a set of FDs. Check whether or not  $\Sigma \models X \to W$  holds? We need to
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  - 2  $\Sigma \models X \to W$  holds iff  $W \subseteq X^+$ .
- Algorithm<sup>2</sup>
  - $X^+ := X$ :
  - repeat until no more change on X<sup>+</sup>
    - for each  $Y \to Z \in \Sigma$  with  $Y \subseteq X^+$ , add all the attributes in Z to  $X^+$ , i.e., replace  $X^+$  by  $X^+ \cup Z$ .



<sup>&</sup>lt;sup>2</sup> See Algorithm 15.1 on Page 538 in [Elmasri & Navathe, 7th edition] or Algorithm 1 on Page 555 in [Elmasri & Navathe, 6th edition]



- Consider a relation schema  $R = \{A, B, C, D, E, F\}$ , a set of FDs  $\Sigma = \{AC \rightarrow B, B \rightarrow CD, C \rightarrow E, AF \rightarrow B\}$  on R.
- Decide whether or not  $\Sigma \models AC \rightarrow DE$  holds.



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  - We first build the closure of AC:

$$(AC)^+ \supseteq AC$$

initialisation

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$$(AC)^+ \supseteq AC$$
 initialisation  $\supseteq ACB$  using  $AC \to B$ 

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  - We first build the closure of AC:

```
(AC)^+ \supseteq AC initialisation \supseteq ACB using AC \to B \supseteq ACBD using B \to CD
```



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```

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- Decide whether or not  $\Sigma \models AC \rightarrow DE$  holds.
  - We first build the closure of *AC*:

```
(AC)^+ \supseteq AC initialisation \supseteq ACB using AC \to B \supseteq ACBD using B \to CD using C \to E
```

**2** Then we check that  $DE \subseteq (AC)^+$ . Hence  $\Sigma \models AC \rightarrow DE$ .

- Consider a relation schema  $R = \{A, B, C, D, E, F\}$ , a set of FDs  $\Sigma = \{AC \rightarrow B, B \rightarrow CD, C \rightarrow E, AF \rightarrow B\}$  on R.
- Decide whether or not  $\Sigma \models AC \rightarrow DE$  holds.
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```

- 2 Then we check that  $DE \subseteq (AC)^+$ . Hence  $\Sigma \models AC \rightarrow DE$ .
- Can you quickly tell whether or not  $\Sigma \models AC \rightarrow EF$  holds?

- Consider a relation schema  $R = \{A, B, C, D, E, F\}$ , a set of FDs  $\Sigma = \{AC \rightarrow B, B \rightarrow CD, C \rightarrow E, AF \rightarrow B\}$  on R.
- Decide whether or not  $\Sigma \models AC \rightarrow DE$  holds.
  - We first build the closure of AC:

```
(AC)^+ \supseteq AC initialisation \supseteq ACB using AC \to B \supseteq ACBD using B \to CD using C \to E
```

- **2** Then we check that  $DE \subset (AC)^+$ . Hence  $\Sigma \models AC \rightarrow DE$ .
- Can you quickly tell whether or not Σ ⊨ AC → EF holds?
   Σ ⊨ AC → EF does not hold because EF ⊄ (AC)<sup>+</sup>



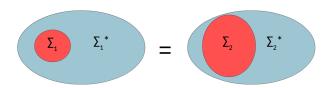
## **Exercise – Implied Functional Dependencies**

- Consider a relation schema  $R = \{A, B, C, D, E\}$  and a set of functional dependencies  $\Sigma = \{A \rightarrow C, B \rightarrow C, CD \rightarrow E\}$  on R.
- Decide whether or not

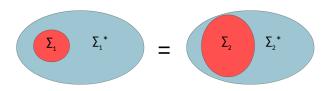
#### **Exercise – Implied Functional Dependencies**

- Consider a relation schema  $R = \{A, B, C, D, E\}$  and a set of functional dependencies  $\Sigma = \{A \rightarrow C, B \rightarrow C, CD \rightarrow E\}$  on R.
- Decide whether or not
- We build the closure for the set of attributes and check:
  - (AD)<sup>+</sup> =  $(ACD)^+$  =  $(ACDE)^+$  = ACDE and  $CE \subseteq (AD)^+$ , hence  $\Sigma \models AD \rightarrow CE$ .
  - ②  $(BD)^+ = (BCD)^+ = (BCDE)^+ = BCDE$  and  $AC \nsubseteq (BD)^+$ , hence  $\Sigma \not\models BD \rightarrow AC$ .

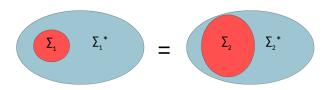




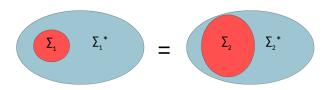
•  $\Sigma_1$  and  $\Sigma_2$  are **equivalent** if  $\Sigma_1^* = \Sigma_2^*$ .



• Let  $\Sigma_1 = \{X \to Y, Y \to Z\}$  and  $\Sigma_2 = \{X \to Y, Y \to Z, X \to Z\}$ . Note  $\Sigma_1 \neq \Sigma_2$  but  $\Sigma_1^* = \Sigma_2^* = \{X \to Y, Y \to Z, X \to Z\}$  ( $\Sigma_1$  and  $\Sigma_2$  are equivalent)



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- If  $\Sigma_1 \models \Sigma_2$  and  $\Sigma_2 \models \Sigma_1$ , are  $\Sigma_1$  and  $\Sigma_2$  equivalent?



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- If  $\Sigma_1 \models \Sigma_2$  and  $\Sigma_2 \models \Sigma_1$ , are  $\Sigma_1$  and  $\Sigma_2$  equivalent? Yes.

$$\Sigma_1$$
  $\Sigma_1^*$   $=$   $\Sigma_2$ 

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- If  $\Sigma_1 \models \Sigma_2$  and  $\Sigma_2 \models \Sigma_1$ , are  $\Sigma_1$  and  $\Sigma_2$  equivalent? Yes.
- Questions: Can we find the minimal one among equivalent sets of FDs?





- Let  $\Sigma$  be a set of FDs. A minimal cover  $\Sigma_m$  of  $\Sigma$  is a set of FDs such that
  - ①  $\Sigma_m$  is equivalent to  $\Sigma$ , i.e., start with  $\Sigma_m = \Sigma$ ;

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  - **1** Remove a FD from  $\Sigma_m$  if it is redundant.



• Given the set of FDs  $\Sigma = \{B \to A, D \to A, AB \to D\}$ , we can compute the minimal cover of  $\Sigma$  as follows:



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    - $\bullet \ \Sigma = \{B \to A, D \to A, \textbf{AB} \to \textbf{D}\}, \ \Sigma_1 = \{B \to A, D \to A, \textbf{A} \to \textbf{D}\}$

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    - check whether  $\Sigma^* = \Sigma_1^*$ ?

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    - $\Sigma = \{B \rightarrow A, D \rightarrow A, AB \rightarrow D\}, \Sigma_1 = \{B \rightarrow A, D \rightarrow A, A \rightarrow D\}$
    - check whether  $\Sigma^* = \Sigma_1^*$ ? (we have  $\Sigma_1 \models \Sigma$ , but  $\Sigma \models \Sigma_1$ ?)
    - check  $\Sigma \models \mathbf{A} \to \mathbf{D}$ ? If  $\Sigma \models \mathbf{A} \to \mathbf{D}$ , then  $\Sigma \models \Sigma_1$  and  $\Sigma_1 \models \Sigma$ , indicating  $\Sigma^* = \Sigma_1^*$ .

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  - $\bigcirc$  start from  $\Sigma$ ;
  - check whether all the FDs in  $\Sigma$  have only one attribute on the right hand side (look good);
  - **3** check if  $AB \rightarrow D$  can be replaced by  $A \rightarrow D$ ?
    - $\Sigma = \{B \rightarrow A, D \rightarrow A, AB \rightarrow D\}, \Sigma_1 = \{B \rightarrow A, D \rightarrow A, A \rightarrow D\}$
    - check whether  $\Sigma^* = \Sigma_1^*$ ? (we have  $\Sigma_1 \models \Sigma$ , but  $\Sigma \models \Sigma_1$ ?)
    - check  $\Sigma \models A \to D$ ? If  $\Sigma \models A \to D$ , then  $\Sigma \models \Sigma_1$  and  $\Sigma_1 \models \Sigma$ , indicating  $\Sigma^* = \Sigma_1^*$ . If  $\Sigma \nvDash A \to D$ , then  $\Sigma^* \neq \Sigma_1^*$ .

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    - $\Sigma = \{B \rightarrow A, D \rightarrow A, AB \rightarrow D\}, \Sigma_1 = \{B \rightarrow A, D \rightarrow A, A \rightarrow D\}$
    - check whether  $\Sigma^* = \Sigma_1^*$ ? (we have  $\Sigma_1 \models \Sigma$ , but  $\Sigma \models \Sigma_1$ ?)
    - check  $\Sigma \models A \rightarrow D$ ?

If  $\Sigma \models A \to D$ , then  $\Sigma \models \Sigma_1$  and  $\Sigma_1 \models \Sigma$ , indicating  $\Sigma^* = \Sigma_1^*$ . If  $\Sigma \nvDash A \to D$ , then  $\Sigma^* \neq \Sigma_1^*$ .

•  $\Sigma \nvDash A \rightarrow D$  because  $D \not\subseteq (A)^+$ .

No.  $AB \rightarrow D$  cannot be replaced by  $A \rightarrow D$ .



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    - $\Sigma \models \mathbf{B} \to \mathbf{D}$  because  $D \subseteq (B)^+$ .

Yes.  $AB \rightarrow D$  can be replaced by  $B \rightarrow D$ .

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    - check whether  $B \rightarrow A$  is redundant?

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    - check whether  $B \rightarrow A$  is redundant?
    - $B \rightarrow A$  is redundant because  $\{D \rightarrow A, B \rightarrow D\} \models B \rightarrow A$ ;

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  - **3**  $AB \rightarrow D$  can be replaced by  $B \rightarrow D$ ;
  - 4 look for a redundant FD in  $\{B \rightarrow A, D \rightarrow A, B \rightarrow D\}$ 
    - check whether  $B \rightarrow A$  is redundant?
    - $B \rightarrow A$  is redundant because  $\{D \rightarrow A, B \rightarrow D\} \models B \rightarrow A$ ;

Therefore, the minimal cover of  $\Sigma$  is  $\{D \to A, B \to D\}$ .



#### Theorem:

The minimal cover of a set of functional dependencies  $\Sigma$  always exists but is not necessarily unique.



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The minimal cover of a set of functional dependencies  $\Sigma$  always exists but is not necessarily unique.

• **Examples:** Consider the following set of functional dependencies:

$$\Sigma = \{\textit{A} \rightarrow \textit{BC}, \textit{B} \rightarrow \textit{C}, \textit{B} \rightarrow \textit{A}, \textit{C} \rightarrow \textit{AB}\}$$

Theorem:

The minimal cover of a set of functional dependencies  $\Sigma$  always exists but is not necessarily unique.

• Examples: Consider the following set of functional dependencies:

$$\Sigma = \{\textit{A} \rightarrow \textit{BC}, \textit{B} \rightarrow \textit{C}, \textit{B} \rightarrow \textit{A}, \textit{C} \rightarrow \textit{AB}\}$$

Σ has two different minimal covers:

$$\bullet \ \Sigma_1 = \{A \rightarrow B, B \rightarrow C, C \rightarrow A\}$$

• 
$$\Sigma_2 = \{A \rightarrow C, C \rightarrow B, B \rightarrow A\}$$

Theorem:

The minimal cover of a set of functional dependencies  $\Sigma$  always exists but is not necessarily unique.

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$$\Sigma = \{\textit{A} \rightarrow \textit{BC}, \textit{B} \rightarrow \textit{C}, \textit{B} \rightarrow \textit{A}, \textit{C} \rightarrow \textit{AB}\}$$

 $\Sigma$  has two different minimal covers:

$$\bullet \ \Sigma_1 = \{A \to B, B \to C, C \to A\}$$

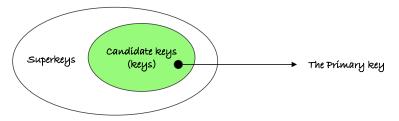
• 
$$\Sigma_2 = \{A \rightarrow C, C \rightarrow B, B \rightarrow A\}$$

ullet The algorithm in the previous slide can find one, but not all minimal covers of a set of functional dependencies  $\Sigma$ .



• Given a set  $\Sigma$  of FDs on a relation R, the question is:

How can we find all the (candidate) keys of R?





• Fact: A key K of R always defines a FD  $K \to R$ .

 $<sup>^3</sup>$ It extends Algorithm 15.2(a) in [Elmasri & Navathe, 7th edition, pp. 542], or Algorithm 2(a) or in Algorithm 2(a) in [Elmasri & Navathe, 6th edition pp. 558] to finding all keys of R



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• Fact: A key K of R always defines a FD  $K \to R$ .

• Algorithm<sup>3</sup>:

**Input:** a set  $\Sigma$  of FDs on R.

**Output:** the set of all keys of *R*.

for every subset X of the relation R, compute its closure X<sup>+</sup>

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- Fact: A key K of R always defines a FD  $K \to R$ .
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**Input:** a set  $\Sigma$  of FDs on R.

- for every subset X of the relation R, compute its closure X<sup>+</sup>
- if  $X^+ = R$ , then X is a superkey.

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- for every subset X of the relation R, compute its closure X<sup>+</sup>
- if  $X^+ = R$ , then X is a superkey.
- if no proper subset Y of X with  $Y^+ = R$ , then X is a key.

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- if  $X^+ = R$ , then X is a superkey.
- if no proper subset Y of X with  $Y^+ = R$ , then X is a key.
- A prime attribute is an attribute occurring in a key, and a non-prime attribute is an attribute that is not a prime attribute.

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- Consider RENTAL={CustID, CustName, PropertyNo, DateStart, Owner} and the following set Σ of FDs:
  - {CustID} → {CustName}
  - $\bullet \ \{ PropertyNo, \, StartDate \} \rightarrow \{ CustID \}$
  - {PropertyNo, CustID} → {StartDate}
  - {CustID, StartDate} → {PropertyNo}
  - $\{Owner\} \rightarrow \{PropertyNo\}$

#### Questions:

- What are the keys of RENTAL?
- 2 What is a minimal cover of  $\Sigma$ ?

- Consider RENTAL={CustID, CustName, PropertyNo, DateStart, Owner} and its FDs in the abbreviated form as
  - $R=\{C, N, P, D, O\}$ , and
  - $\bullet \ \ \Sigma = \{\textit{C} \rightarrow \textit{N}, \, \textit{PD} \rightarrow \textit{C}, \, \textit{CP} \rightarrow \textit{D}, \, \textit{CD} \rightarrow \textit{P}, \, \textit{O} \rightarrow \textit{P}\}$
- What are the keys of RENTAL?

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- What are the keys of RENTAL?
- Solution: Check  $(X)^+$  for every subset of  $\{C, N, P, D, O\}$ .
  - O never appears in the dependent of any FD, O must be part of each key.

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- Solution: Check (X)<sup>+</sup> for every subset of {C, N, P, D, O}.
  - O never appears in the dependent of any FD, O must be part of each key.
  - $(O)^+ = OP$
  - $(CO)^+ = CPNDO, (DO)^+ = CPNDO...$
  - Thus, {CustID, Owner} and {Owner, DateStart} are the keys.

- Consider RENTAL={CustID, CustName, PropertyNo, DateStart, Owner} and its
  FDs in the abbreviated form as
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- What is a minimal cover of Σ?
- Solution:
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  - **4** look for a redundant FD in  $\Sigma$  (none of FDs in  $\Sigma$  are redundant);

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  - 4 look for a redundant FD in  $\Sigma$  (none of FDs in  $\Sigma$  are redundant);

Therefore,  $\Sigma$  is a minimal cover itself.



#### **Accommodation Database**

- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}



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  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- We have some requirements on BOOKING:
  - R1 A booking can be made for one day only.
  - R2 A guest can make several bookings in a hotel for different days.
  - R3 A guest cannot make two or more bookings in the same hotel for the same day.
  - R4 A guest can make two or more bookings in different hotels for the same day.
  - **R5** A room in any hotel can only be booked by one guest on the same date, i.e., no *double-booking*.



- Consider the following:
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- Which functional dependency does the following requirement imply?
  - R1 A booking can be made for one day only.

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    - $\hookrightarrow$  {guestNo, hotelNo, roomNo}  $\rightarrow$  {date}?

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  - R1 A booking can be made for one day only.
    - $\hookrightarrow$  {guestNo, hotelNo, roomNo}  $\rightarrow$  {date}? No

- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - R1 A booking can be made for one day only.
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guestNo	hotelNo	roomNo	Date
001	H1	R101	28/08/2020
001	H1	R101	29/08/2020



- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - R2 A guest can make several bookings in a hotel for different days.



- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - R2 A guest can make several bookings in a hotel for different days.

None



- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - **R3** A guest cannot make two or more bookings in the same hotel for the same day.

- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - **R3** A guest cannot make two or more bookings in the same hotel for the same day.
    - $\hookrightarrow$  {guestNo, hotelNo, date}  $\rightarrow$  {roomNo}?



- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - **R3** A guest cannot make two or more bookings in the same hotel for the same day.
    - $\hookrightarrow$  {guestNo, hotelNo, date}  $\rightarrow$  {roomNo}? Yes

guestNo	hotelNo	roomNo	Date
001	H1	R101	29/08/2020
001	H1	R102 ×	29/08/2020



- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - R4 A guest can make two or more bookings in different hotels for the same day.



- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - R4 A guest can make two or more bookings in different hotels for the same day.

None

- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - **R5** A room in any hotel can only be booked by one guest on the same date, i.e., no *double-booking*.
    - $\hookrightarrow$  {hotelNo, date, roomNo}  $\rightarrow$  {guestNo}

- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - **R5** A room in any hotel can only be booked by one guest on the same date, i.e., no *double-booking*.
    - $\hookrightarrow$  {hotelNo, date, roomNo}  $\rightarrow$  {guestNo} **Yes**

guestNo	hotelNo	roomNo	Date
001	H1	R101	29/08/2020
002 ×	H1	R101	29/08/2020



# **How to Find Candidate Keys?**

- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- FDs on BOOKING
  - {guestNo, hotelNo, date} → {roomNo} by R3
  - {hotelNo, date, roomNo} → {guestNo} by R5



# **How to Find Candidate Keys?**

- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- FDs on BOOKING
  - {guestNo, hotelNo, date} → {roomNo} by R3
  - {hotelNo, date, roomNo}  $\rightarrow$  {guestNo} by **R5**
- Candidate keys on BOOKING
  - {guestNo, hotelNo, date}
  - {hotelNo, date, roomNo}



- Consider BOOKING(guestNo, hotelNo, date, roomNo) and the following changes:
  - R1 A booking can be made for one day only.
  - R2 A guest can make several bookings in a hotel for different days.
  - R3 A guest cannot make two or more bookings in the same hotel for the same day.
  - R4 A guest can make two or more bookings in different hotels for the same day.
  - **R5** A room in any hotel can only be booked by one guest on the same date, i.e., no *double-booking*.
  - **R6** A guest is not allowed to make more than one booking for the same day even in the different hotels.



- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - **R6** A guest is not allowed to make more than one booking for the same day even in the different hotels.

- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- Which functional dependency does the following requirement imply?
  - **R6** A guest is not allowed to make more than one booking for the same day even in the different hotels.
    - $\hookrightarrow$  {guestNo, date}  $\rightarrow$  {hotelNo, roomNo}

# **How to Find Candidate Keys?**

- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- FDs on BOOKING
  - {hotelNo, date, roomNo} → {guestNo} by R5
  - {guestNo, date} → {hotelNo, roomNo} by R6

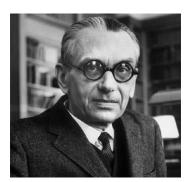


# **How to Find Candidate Keys?**

- Consider the following:
  - HOTEL(hotelNo, hotelName, city) with PK {hotelNo}
  - ROOM(roomNo, hotelNo, type, price) with PK {roomNo, hotelNo}
  - GUEST(guestNo, guestName, guestAddress) with PK {guestNo}
  - BOOKING(guestNo, hotelNo, date, roomNo) with PK {?}
- FDs on BOOKING
  - {hotelNo, date, roomNo} → {guestNo} by R5
  - {guestNo, date} → {hotelNo, roomNo} by R6
- Candidate keys on BOOKING
  - {hotelNo, date, roomNo}
  - {guestNo, date}



#### (credit cookie) Kurt Gödel and Incompleteness Theorem



Kurt Gödel (1906-1978)



# **Armstrong's Inference Rules**

#### Two questions:

- Are all the FDs inferred using the Armstrong's inference rules correct?
   soundness (you cannot prove anything that is wrong)
- Can we use the Armstrong's inference rules to infer all possible FDs?
   completeness (you can prove anything that is right)
- Theorem (W. W. Armstrong)
  - The Armstrong's inference rules are both sound and complete.



# Hilbert's program (1920s)

- Formulation of mathematics: formalize all true mathematical statements
- Completeness: all true mathematical statements can be proved
- Consistency: no contradiction can be obtained in the formalism
- Decidability: decide the truth or falsity of any mathematical statement.



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David Hilbert (1862-1943)

We must know. We will know.



## **Kurt Gödel and Incompleteness Theorem**



Kurt Gödel (1906-1978)

 Theorem (Kurt Gödel, 1931)
 For any computable axiomatic system that is powerful enough to describe the arithmetic of the natural numbers, there will always be at least one true but unprovable statement.



#### **Kurt Gödel and Gödel Prize**



Kurt Gödel (1906-1978)



John von Neumann (1903-1957)

Kurt Gödel's achievement in modern logic is singular and monumental –
indeed it is more than a monument, it is a landmark which will remain visible
far in space and time. — John von Neumann



#### **Kurt Gödel and Gödel Prize**



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John von Neumann (1903-1957)

- Kurt Gödel's achievement in modern logic is singular and monumental –
  indeed it is more than a monument, it is a landmark which will remain visible
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- The Gödel prize became an annual prize for outstanding papers in the area of theoretical computer science since 1993.