

Thank you to the editor and reviewers, whose comments were constructive and contributed to the improvement of the manuscript. We have addressed all points (see "RESPONSE" and referenced line numbers).

Reviewer 1 (Anonymous)
Basic reporting

The article is generally well prepared, though see comments below regarding climate variables and the abbreviations. Figure are generally crisp / easy to read (save vectors in Figure 6).

A few areas that require attention include:

(1) I think the manuscript could be improved through the addition of more background / context regarding the relationship(s) between climate adaptation, genome size, and response to climatic change.

Given the exploratory nature of the study, perhaps it is not possible to develop predictions / testable hypotheses, but it was unclear to me how / why relationships between genome size and climate gradients would be indicative of ant responses to climate change. In think this is especially important given that the authors were apparently not able to control for phylogenetic relatedness (which they acknowledge and discuss).

The title makes a link between 'ecological genomics' and 'responses to climate change', but that's not really what this paper is doing. Instead, the study involves correlating aspects of the genome with climate variables, finding some significant relationships, and then claiming that these relationships are somehow informative (or at least warrant consideration) of climate change responses. However, without a clear, mechanistic linkage between climate, genome size, and climate adaptation, I could not follow how these biogeographical relationships say anything about climate change responses.

I think it is enough to report these relationships and speculate some in the Discussion as the what they might mean, but as written, I do not feel the title reflects the study or its findings.

RESPONSE: We have added more background linking to climate adaption, genome size and responses to climate (see lines 57–65). Clarified that climate change is the motivation and climate adaption is the basis. We have also added an updated analysis that now includes MASH genomic similarity as a control for phylogenetic relatedness (see lines 150–152 and 176–181). Title has now been changed to more closely reflect the results presented with the removal of climate change and ecological genomics in favor of the more focused results now presented in the text.

(2) At times the writing was vague and wandering, particularly in the Introduction. A good example is L69–70, which states "...phylogenetics is a factor determining the response of ant species to climatic change...". It was unclear to this reader how / why "phylogenetics" would "determine" a response to climatic change.

The Introduction also reads a bit like a laundry list of reasons justifying what it is important to sequence the genomes of ants and *Aphaenogaster* in particular. In my opinion, a lot of this wasted space. I think it is enough to say ants are important generally, *Aphaenogaster* is something the authors have studied and so have some insights and get on with a discussion about genomics and climate adaptation – either broadly or in ants specifically to the extent that is possible.

RESPONSE: Ecological background on *Aphaenogaster* reduced in the introduction and discussion of the biogeographic patterns has been moved to the Discussion section (e.g. lines 234–250), and overall we have edited to make the text more focused and language regarding climate have been clarified to address adaptations to climate (e.g. lines 55–65 and 75–83) with climate change as a motivating context for the study, not a direct subject of study.

(3) A few minor points:

L168–170 – Check grammar / missing word.

RESPONSE: Grammar checked throughout and fixed (see lines 168–170)

Figure 1 – Not sure this one is necessary.

RESPONSE: As the reviewer was not sure about this, we have chosen to include it as it graphically illustrates the current state of whole genome sequencing efforts in ants.

Figure 6 (vectors and associated labels need to be much darker to be visible).

RESPONSE: This figure has been removed.

There is some minor confusion regarding which climate variables / datasets were used when. For example– Table 2 – PRISM data are reported here, but worldclim is used in the analyses – any reason for different datasets?

RESPONSE: We have updated the results to use WorldClim for all samples (see Table 1).

L178 – Annual minimum temperature and annual maximum temperature are not among the 19 standard bioclim variables from WordClim – are these

custom or referring to BI05/6 (which are month not annual)?

RESPONSE: These were referring to the BioClim Min Temperature of the Coldest Month and Maximum Temperature of the Warmest Month. We have updated both of these in the text (see lines 171–173).

Experimental design

As mentioned above, I think the manuscript would benefit if predictions or research questions were more clearly articulated and supported in the Introduction. While two hypotheses are mentioned in the final paragraph of the Introduction, they tended to be vague or poorly worded.

For example: "...to test the hypothesis that climate variables shape the distribution of ant genomes, we explored the correlation between spatial and multi-decadal climate variables". First, what is the distribution of an ant genome? And second, it reads as if the correlation of interest is between "spatial and multi-decadal climate variables" – in other words, between two kinds of climate variables, not between a set of climate variables and some aspect of ant genomes.

Otherwise, the methods are well described and the statistical analyses seem well executed.

RESPONSE: Similar to our response to the previous comments with regard to clarity and focus, we have edited the discussion to re-organize the development of the exploratory analysis more clearly. We have also simplified by focusing on genome size, rather than genome size and similarity. This has streamlined the introduction and has improved the clarity of the conceptual development.

Validity of the findings

I will echo my comments above regarding the failure to clearly articulate the linkages between climate gradients, genome attributes (size, similarity), and responses to climate change.

While the data seem robust (I cannot comment on the sequencing / bioinformatics) and the analyses sound, and while the authors acknowledge the importance of other factors ignored (for now) in the analyses (such as phylogenetic correlations), I do feel that, given the sample size, the data and results are somewhat limited in what they can tell use about climate adaptation / responses in this group of ants. It certainly is great to have and report these new sequences, which will add to a growing body of sequence data for ants, I just caution against over-interpretation / speculation given the small sample size and uncertainty.

RESPONSE: We have now attempted to control for phylogenetic

similarity, in addition to spatial autocorrelation. We have also added text that presents important caveats and discusses the results in the context of potential issues with the sample size and the potential influence of variables that were not statistically controlled. See Discussion section lines 253–264.

Reviewer 2 (Yannick Wurm)

Basic reporting
no comment

Experimental design
no comment

Validity of the findings
no comment

Comments for the Author

Title: Expanded view of the ecological genomics of ant responses to climate change

The authors have produced draft sequences for the genomes of six species of ants in the genus *Aphaenogaster* collected from eastern North America. There is high value in these ant genome sequences: ants in this genus are models for studies of ecology and behavior (>300 papers with *Aphaenogaster* in the title), no sequence from this genus had to date been reported, these six new genomes increase the number of ants with sequenced genomes by ~25%.

The methods for genome sequencing and analysis are sound and the writing style and quality are appropriate (see minor issues below). The new ant genome sequences could represent a publication on their own, even without much analysis. The authors perform superficial comparisons of the genome sequences: showing higher similarities between genomes of species in the same genus than between genera, as well distinct separation from genera compared with others previously published ant genomes in the formicoid subfamily. Intriguingly, the data also support the polyphyly of *A. rudis* as described in recent literature, though this evidence is based on a sample size of $n=2$. Together, these data would be sufficient for publication in *peerj*.

However, the manuscript is framed within the context of using ants as indicator taxa for environmental disturbance and climatic variation. Specifically, the authors suggest that ecological variables should influence ant genomic signatures. They propose that (i) ants found within similar ecological conditions should have more similar genomes; and that (ii) genome size should similarly correspond to biogeographic patterns. These hypotheses are original and would be

very interesting if proven (stronger datasets to test such hypotheses may exist in mammals). Unfortunately, neither hypothesis is very well substantiated and the supporting data is rather tenuous because the authors (i) lack power (a small number of species for a relatively high number of examined environmental variables) and (ii) do not control for phylogeny. We note that additionally there is little consideration of possible variation within species.

Furthermore, the title evokes responses to climate change – which implicitly suggests temperature manipulation experiments – but this is quite far from the paper.

This is somewhat frustrating given that the senior author has a strong track record of rigorous work. Controlling for phylogeny/ would make the climatic/biogeographical analysis much stronger, although we note that 21 of the 25 ant species used come from the Americas thus potentially creating a bias. A rigorous analysis of the proposed hypotheses would likely require much additional data generation that is likely beyond the scope of this paper.

We recommend that the authors:

- Move the biogeographic/climatic analysis from results to discussion. And shorten it substantially in the main text (this could involve moving some aspects to supplementary).

RESPONSE: We have removed much of the biogeographic analysis from the text. This was done in part as a result of using the genome similarity as a means to control for phylogenetic relatedness, but it also allowed us to focus the text and clarify the motivation for the biogeographic analysis of genome size (see 58–65). Introduction text on the biogeographic context has been reduced (e.g. lines 71–74 and 75–83) and moved to the Discussion (e.g. lines 236–252)

- Make it clear that the manuscript mainly reports a powerful new phylogenomic resource for an important ant genus

RESPONSE: We have added text to the abstract, introduction and conclusion pointing to the importance of these genomes as a resource for future studies of ant genomics (see Lines 35–36, 82–84 and 267–271).

- Change the title and abstract (and other parts) of the manuscript to focus on the resource and dramatically tone down interpretations linked to ecology or effects of climate change.

RESPONSE: We have now removed climate change from the title and re-organized the introduction to clarify that climate change is a motivation for the study but we did not directly examine the impacts

of climate change. We have also added important cautionary text on statistical power and potential uncontrolled variables. With the new analysis controlling for phylogenetics we have also reduced and focused the biogeographic analysis, including the removal of genome similarity statistics (see Table 4) and biogeographic ordination and vector analyses have been removed.

We expect that this would only represent a small amount of work.

With kind regards,

Gino Brignoli & Yannick Wurm

Minor comments

L118: which version of BLAST? What was approach used to remove contaminants, chimeric sequences etc (software, parameters etc)

L128/129: which NCBI sequence database? NR? Which blast algorithm? Blastx? blastn?

RESPONSE: Details added (see lines 67, 97, 110)

L52 "...social structure and community assembly" – missing u

RESPONSE: Fixed, see lines 53.

L89 "With the these new..." – remove "the"

RESPONSE: Fixed, see line 207.

L94: "demonstrated patterns in the evolutionary dynamics of ant genome size (Tsutsui et al., 2008) " – I think the authors simply mean to say that there was variation in genome size.

RESPONSE: This sentence has been removed.

L113: Anophales -> Anopheles

RESPONSE: Fixed, see line 94.

L118 "blast" is lowercase – while subsequent page it is uppercase L128

RESPONSE: BLAST is now uppercase except when referring to the functions used, see lines 97 and 109.

L128: using -> Using

RESPONSE: Fixed, see line 109.

L130: the -> The. similar capitalization issues in Fig 3 legend and

elsewhere

RESPONSE: Fixed, e.g. see Figure 3 legend.

L133: I don't understand what "recommended for gene coverage" means?

RESPONSE: This sentence has been removed in edits.

L189 to 191 – were all 26 ant species used for all analyses? If so which collection locations were used for previously published genomes? (Such information may unfortunately be absent from previously published genomes)

RESPONSE: This has been clarified, see lines 153–157.

Pg13 "Figure 6. Plot an showing..." – remove "an"

RESPONSE: This figure has been removed.

L249 "from from previous experimental..."

RESPONSE: Fixed, see lines 271–272.

Table 1 can be supplementary

RESPONSE: We have left Table 1 in the main text as it is primary information for the Aphaenogaster genomes sequenced that risks being lost or more difficult to obtain if made part of the supplementary materials.

L123: "Genome Quality:" -> usually the CEGMA (or BUSCO) metrics are used as biological measures of genome completeness.

RESPONSE: CEGMA has been discontinued and the authors recommended using alternative tools. BUSCO is available, however, at present we have not completed the genome annotation process, which appears to be a requirement.

L126: what does "70% of fragments mapped " mean? Fragments of what? Mapped from where to where?

RESPONSE: We have clarified this results, see line 107.

Ref "The evolution of genome size in ants. " – should only have 4 authors but has about 20x more!

RESPONSE: We have not changed this, as we are using the bibtex cls file that was provided. Please let us know if there is a different formatting file that we should use.

The two rudis colonies do not cluster together according to whole-genome MASH. I understand the authors don't want to do full-genome comparisons here – however does e.g., a mitochondrial or nuclear “housekeeping” gene phylogeny based on sequences from these samples support the polyphyly?

RESPONSE: This is an interesting question that we did not examine but will consider doing in future analyses.

Reviewer 3 (Anonymous)

Basic reporting
no comment

Experimental design

Major comments:

There are a few issues with the main analysis correlating environmental variables with genomic characteristics:

1. The total length of assemblies is not a good metric for genome size. While flow cytometry is ideal, k-mer analysis (e.g. GenomeScope) also tends to be more accurate than assembly size so should be used instead.

RESPONSE: Although not ideal, assembly size is an estimate of genome size. We looked into using GenomeScope; however, it appears to be for short read sequences not assemblies. We have added new cautionary discussion text (see lines 253–264), methods text (see lines 158–163) and results to support the utility of using assembly size as an indicator of genome size (see lines 163–165).

2. The authors note that they did not perform phylogenetic correction for their analyses (line 237) but seem to acknowledge that it would be a more appropriate approach. I would argue that such a correction is essential. The conclusion that climate influences genomic characteristics is completely dependent on this analysis.

RESPONSE: Based on Reviewer 2 comments, the conclusiveness of the biogeographic analysis has been reduced. We have also introduced a new analysis that attempts to control for phylogenetic relatedness in addition to spatial autocorrelation (lines 150–152 and 176–181). This has allowed us to both streamline the development and reduce the emphasis of the biogeographic results in the Introduction by removing the genome similarity results and focusing on genome size (see lines 58–65 and 75–83).

3. It appears that the latitude and longitude of collecting site were used for correlational analyses. However, given that this study is

comparative in nature, each individual genome is meant to represent an entire species. And several of these species have very large ranges that span the entire eastern United States into Canada making these data points inappropriate for this analysis. The midpoint of the species ranges could be a reasonable alternative. Unless the authors are arguing that these six specimens that they have used are effectively a single population but I don't believe that this is the case. While there appears to be some undiscovered species diversity within *A. rudis*, the rest appear to be good species without gene flow between them.

RESPONSE: We conducted an analysis using the species range midpoints; however, we decided against this given that range predictions could potentially introduce inaccuracies due to incomplete or inaccurate sampling of ants. Each way has its issues, and for simplicity we have chosen to focus on the observed collection locations. We acknowledge that this is imperfect, and in the interest of tempering the conclusions drawn from the study, we have added text regarding the limitations of the sample size and some discussion of the nature of ant genomes, including the potential for rapid evolution via genome duplication as discussed in the most recent large-scale flow cell based ant genome size study (see lines 62–65).

4. The previously sequenced ant genomes included in the analysis were sequenced using a variety of different methods. For example, some genomes included 454 sequencing. This could bias the size of the genome assembled. Perhaps some of these influences could be taken into account.

RESPONSE: This is a valid point. We have included text pointing this out as a potential confounding variable that should be controlled for in future studies (see lines 259–261).

5. The authors perform their analyses both by including *Aphaenogaster* genomes and again without these new genomes. However, a third analysis that focuses just on *Aphaenogaster* might be more compelling. This would eliminate some of the phylogenetic bias in the data and also eliminates many of the other factors that could be playing a role as all *Aphaenogaster* genomes were collected and assembled in the same way. I also wonder if leaving out the fungus-growing ants, a group that is also over-represented, could have a similar effect. Relatedly, the fact that *Aphaenogaster* has such an impact on the analyses seems to suggest that phylogeny is playing a significant role.

RESPONSE: This is a good observation, and we had initially constructed the analysis with only the *Aphaenogaster* species. We switched to this perspective, largely due to issues of power (as has been pointed out by other reviewers). With regard to phylogeny, as stated above, we have now attempted to control for phylogeny using the MASH genomic similarity matrix in our partial Mantel tests (see lines

150–152 and 176–181).

Other comments:

- More detail is needed for the DNA extraction procedure. Was it really necessary to collect DNA from a whole colony? Is there polygyny or multiple-mating in these species that could affect the assembly? Reporting heterozygosity/genetic diversity would be useful and these data could be used to infer colony structure if it is not already known.

RESPONSE: Whole colonies were used in order to have sufficient concentrations of DNA for sequencing. The method used was explicitly developed for whole colony sequencing of a colonial mosquito species (see lines 92–95). The more pressing issue for assembly was contamination from non-target organisms, this was addressed with sequence cleaning procedure (see lines 96–99). We did not examine colony structure yet, but are certainly considering this for future work.

- While genome annotation is certainly a significant additional challenge, comparing gene sets across species could provide additional insight. This can now be relatively easily accomplished with pipelines like BRAKER which don't necessarily require transcript data to run.

RESPONSE: This is a great suggestion. We are exploring this option for the next stages of analysis as we would like to make these sequences available to the general public.

- Line 128: That is not the average genome size of those listed in the table

RESPONSE: Yes, this is now in both the abstract and the main text (see lines 29 and 109).

- Line 128–130: Why was this BLAST analysis done?

RESPONSE: The BLAST analysis was used primarily to identify contaminant sequences, but it was also used to match contigs to other sequences in NCBI's sequence database (see line 96–99 and 109–112).

Validity of the findings
no comment

Comments for the Author

This study by Lau and colleagues presents the newly sequenced genomes of six species of ants in the genus *Aphaenogaster*. Given their worldwide abundance and ecological importance, the genomes of these

species are likely to be of substantial scientific use. There are relatively few taxonomic groups, particularly within ants, where multiple closely related species have been de novo sequenced, making this a potentially valuable resource for studying both ants and genome evolution.

RESPONSE: Thank you for the constructive comments.