

Dependently Typed Programming with Mutable State

Aaron Stump¹ Evan Austin²

¹Computer Science
The University of Iowa

²Computer Science
The University of Kansas

U.S. National Science Foundation CAREER grant.

What Are Dependent Types?

- Indexed datatypes:

<code><list A n></code>	instead of	<code><list A></code>
<code><balanced_tree A d></code>		<code><tree A></code>
<code><lam_t max_var></code>		<code>lam_t</code>

- Dependent function types:

```
delete : Fun(x:nat) (l:<list nat (S n)>)
        (u:{(in x l) = tt}).
        <list nat n>
append : Fun(A:type) (n1 n2:nat)
        (l1:<list A n1>) (l2:<list A n2>).
        <list A (plus n1 n2)>
```

- Computing a type by recursion:

```
printf : Fun(s:format_string).(printf_t s)

(printf_t "%d"++s) => (int -> (printf_t s))
(printf_t "%x"++s) => (ptr -> (printf_t s))
(printf_t []) => unit
```

Why Dependent Types Matter ¹

Incrementality

¹Title of invited talk at POPL 2006 by James McKenna.

Why Dependent Types Matter ¹

Incrementality Intensionality

¹Title of invited talk at POPL 2006 by James McKenna.

Incrementality

- Adding verification usually is a big leap.
 - ▶ new specification language (at least first-order logic); and
 - ▶ new proof language(s), or
 - ▶ unpredictable, tricky tools (“you need an expert”).
- Not a big leap with dependent types.
 - ▶ From `<list A>` to `<list A n>` is easier.
 - ▶ Add verification judiciously, “pay as you go”.
- Goal: enable gradual increase in code quality.
 - ▶ Deep verification is at one limit.
 - ▶ Lightweight verification can improve code a lot.

Intensionality (Policies versus Properties)

- Properties expressing facts about code.
- Policies restrict how code can be used.
- Stating (proving) a property from a policy may be hard.
- Example policies:
 - ▶ Files may not be accessed after they are closed.
 - ▶ Uninitialized array locations may not be read.
 - ▶ Data computed from user's contact list cannot be auto-emailed. ².

²See [Swamy, Chen, and Chugh 2009]

GURU at a High-Level

- Pure functional language + logical theory.³
 - ▶ Includes indexed datatypes, dependent function types.
 - ▶ Terms : Types.
 - ▶ Proofs : Formulas.
- Inspired by Coq/CIC, but with some improvements:
 - ▶ General recursion for terms.
 - ★ Proofs are still sound.
 - ★ Explicit casts instead of conversion \Rightarrow type equivalence still decidable.
 - ▶ Annotations dropped for type equivalence.
 - ★ Including types, specificational (“ghost”) data, and proofs.
 - ★ Avoids problems with equality of proofs.
 - ★ Like Implicit Calculus of Constructions (ICC).
 - ▶ Resource-tracking analysis **[new!]**

³See [Stump, Deters, Petcher, Schiller, Simpson 2009]

Functional Modeling for Imperative Abstractions

- I/O, mutable arrays, cyclic structures, etc.
- Do not fit well into pure FP.
- Approach: functional modeling.
 - ▶ Define a pure functional model (e.g., `<list A n>` for arrays).⁴
 - ▶ Model is faithful, but slow.
 - ▶ Use during reasoning.
 - ▶ Replace with imperative code during compilation.
 - ▶ Use *linear* (aka *unique*) types to keep in synch.

⁴Cf. [Swierstra and Altenkirch 2007]

Example: Word-Indexed Mutable Arrays

- Type: `<warray A N L>`.
 - ▶ A is type of elements.
 - ▶ N is length of array.
 - ▶ L is list of initialized locations.
- `(new_array A N) : <warray A N []>`.
- Writing to index `i`:
 - ▶ requires proof: $i < N$.
 - ▶ functional model: consume old array, produce updated one.
 - ▶ imperative implementation: just do the assignment.
 - ▶ array's type changes: `<warray A N i::L>`.
- Reading from index `i`:
 - ▶ does not consume array.
 - ▶ requires proof: $i \in L$.

Example: FIFO Queues

- Mutable singly-linked list, with direct pointer to end.
- **Aliasing!**
- GURU approach: *heaplets* (part of heap).

Type	Functional Model	Imperative Implementation
<code><heaplet A I></code>	list of aliased values	nothing
<code><alias I></code>	index into heaplet <code>I</code>	reference-counted pointer

- Unverified queue:
 - ▶ Just memory safety
 - ▶ 138 lines total (6 lines proof).
- Verified queue:
 - ▶ Prove that `qin`-node has no next-pointer.
 - ▶ Requires reasoning about aliases.
 - ▶ 310 lines total (178 lines proof).

Resource-Tracking and Memory Management

- Memory deallocated explicitly.
- Resource-tracking analysis ensures safety.
- Different resource types available.
 - ▶ `unowned`: for reference-counted data.
 - ▶ `unique`: for mutable data structures.
 - ▶ `<owned x>`: for *pinning* references.

```
x: unowned  
y: <owned x>
```

Not allowed to consume `x` until `y` is consumed.

Can safely omit inc/dec for `y`.

- GURU: no garbage collection!
- “Garbage Collection: Java Application Servers Achilles’ Heel”⁵

⁵[Xian, Srisa-an, Jiang 08]

Empirical Comparison

Benchmark 1: In array storing $[0, 2^{20})$, do binary search for each element.

Benchmark 2: push all words in “War and Peace” through 2 queues.

Mutable Array Test	
Language	Avg Real Time
HASKELL	1.14 s
HASKELL (No GC)	0.45 s
OCAML	0.60 s
OCAML (No GC)	0.54 s
GURU	0.57 s

Queue Test	
Language	Avg Real Time
HASKELL	1.33 s
HASKELL (No GC)	0.60 s
OCAML	0.61 s
OCAML (No GC)	0.38 s
GURU	0.58 s

Current Projects

- `versat`: verified modern SAT solver.
 - ▶ Complex code, uses mutable state.
 - ▶ Not too large.
 - ▶ Simple spec.: learned clauses derivable by resolution from input clauses.
 - ▶ With Duckki Oe, Derek Bruce.
- GOLFSOCK: verified LFSC proof checker.
 - ▶ LFSC = (Edinburgh) Logical Framework with Side Conditions.
 - ▶ My proposal for a meta-language for SMT proofs.
 - ▶ Fast C++ implementation (45% overhead for QF_IDL, difficulty 0-3).⁶
 - ▶ With Cesare Tinelli, Clark Barrett, Tianyi Liang, Yeting Ge, Andrew Reynolds.
- Implementation in GURU in progress.

⁶See [\[Oe, Stump, Reynolds 2009\]](#)

Current Projects

- `versat`: verified modern SAT solver.
 - ▶ Complex code, uses mutable state.
 - ▶ Not too large.
 - ▶ Simple spec.: learned clauses derivable by resolution from input clauses.
 - ▶ With Duckki Oe, Derek Bruce.
- GOLFSOCK: verified LFSC proof checker.
 - ▶ LFSC = (Edinburgh) Logical Framework with Side Conditions.
 - ▶ My proposal for a meta-language for SMT proofs.
 - ▶ Fast C++ implementation (45% overhead for QF_IDL, difficulty 0-3).⁶
 - ▶ With Cesare Tinelli, Clark Barrett, Tianyi Liang, Yeting Ge, Andrew Reynolds.
- Implementation in GURU in progress.
- “Eat your own dog food!”

⁶See [\[Oe, Stump, Reynolds 2009\]](#)

Current Projects

- `versat`: verified modern SAT solver.
 - ▶ Complex code, uses mutable state.
 - ▶ Not too large.
 - ▶ Simple spec.: learned clauses derivable by resolution from input clauses.
 - ▶ With Duckki Oe, Derek Bruce.
- GOLFSOCK: verified LFSC proof checker.
 - ▶ LFSC = (Edinburgh) Logical Framework with Side Conditions.
 - ▶ My proposal for a meta-language for SMT proofs.
 - ▶ Fast C++ implementation (45% overhead for QF_IDL, difficulty 0-3).⁶
 - ▶ With Cesare Tinelli, Clark Barrett, Tianyi Liang, Yeting Ge, Andrew Reynolds.
- Implementation in GURU in progress.
- “Eat your own dog food!”
- Let's eat what we grow.

⁶See [Oe, Stump, Reynolds 2009]

Future Goals

- More imperative abstractions:
 - ▶ Statically reference-counted heaplets.
 - ▶ Doubly-linked lists, hashmaps, etc.
- More automation:
 - ▶ Currently: `hypjoin t t' by p1 ... pn end`⁷.
 - ▶ Extend to first-order formulas?
 - ▶ Goal: understandable, predictable tactics (“no expert needed”).
- (For you) to learn more:
 - ▶ Version 1.0 is close to release:

www.guru-lang.org

- ▶ “Verified Programming in Guru” book.

⁷See [Petcher, Stump 2009].