## Resource Typing in Guru

### Aaron Stump<sup>1</sup> Evan Austin<sup>2</sup>

<sup>1</sup>Computer Science The University of Iowa

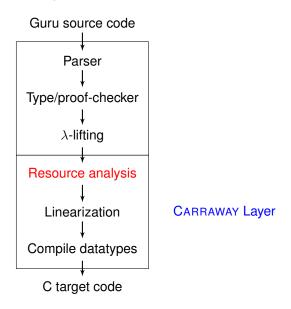
<sup>2</sup>Computer Science The University of Kansas

U.S. National Science Foundation CAREER grant.

# The GURU Verified-Programming Language

- Pure functional language + logical theory.
  - Includes indexed datatypes, dependent function types.
  - ► Terms : Types.
  - Proofs : Formulas.
- Inspired by Coq/CIC, but with some improvements:
  - General recursion for terms.
    - Proofs are still sound.
    - ★ Explicit casts instead of conversion => type equivalence still decidable.
  - Annotations dropped for type equivalence.
    - ★ Including types, specificational ("ghost") data, and proofs.
    - Avoids problems with equality of proofs.
    - ★ Like Implicit Calculus of Constructions (ICC).
  - Resource-tracking analysis [new!]

### The GURU Compiler



# Functional Modeling for Imperative Abstractions

- I/O, mutable arrays, cyclic structures, etc.
- Do not fit well into pure FP.
- Approach: functional modeling. <sup>1</sup>
  - ▶ Define a pure functional model (e.g., <list A n> for arrays).
  - Model is faithful, but slow.
  - Use during reasoning.
  - Replace with imperative code during compilation.
  - Use linear types (alternatively, monads) to keep in synch.
- Combining dependent and linear typing is powerful.
  - Cf. "Safe Programming with Pointers through Stateful Views" [Zhu,Xi 2005].
  - Also, "End-to-end Verification of Security Enforcement is Fine" [Swamy,Chen,Chugh 2009].

Stump, Austin (Iowa, Kansas) Resources in Guru PLPV 2010

<sup>&</sup>lt;sup>1</sup>Cf. "Beauty in the Beast" [Swierstra and Altenkirch 2007]

# A Resource Typing Framework

- Idea: explore resource management with a framework.
- Framework implements concepts of resource, subresource.
- Different resource abstractions then defined:

reference-counted data unique references

heap abstractions read-only views

- On top of these, build data abstractions:
  - Mutable array abstractions.
  - Aliased data structures (e.g., FIFO queues).

### A Framework for Resources

- Fundamental ideas:
  - A resource can only be used by one entity at a time.
  - 2 A resource can be temporarily decomposed into subresources.
- Resource abstraction defined by primitives:
  - a trusted resource type,
  - a functional model in GURU,
  - trusted C code implementing the primitive.
- Resource analysis:
  - Check linearity conditions (used exactly once, affine).
  - Track subresource relationships.
  - Enforce consumption annotations on input variables:
    - ★ (default) consume exactly once.
    - ★ ^ consume but do not return.
    - ★ ! do not consume.

#### Subresources

- "Deathly Hallows" as subresource of Harry Potter boxed set.
- Cannot use boxed set until all individual volumes returned.
- Sublist 1' as a subresource of (cons x 1').
- Subresource relationship based on type <R x>:
  - x:R x has resource type R.
  - y: <R' x> y has resource type R', and is a subresource of x.
- Cannot consume x until all subresources have been consumed.
- Need ^ ("consume but do not return") to consume y:<R' x>.

### Resource Abstraction: Reference-Counted Data

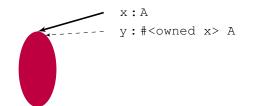
```
ResourceType unowned [...].
Define primitive inc
 : Fun(spec A:type) (! #unowned v:A). #unowned A
 := fun(A:type)(y:A).y
«END
  inline void *ginc(void *v) { [...] }
END.
Define primitive dec
 : Fun (A:type) (^#unowned y:A).void
 := fun(A:type)(y:A).voidi
«END
 void adec(int A, void *r) { [...] }
END.
```

- Inductive (tree-like) data are reference-counted.
- (Flat types like bool are untracked.)

### Resource Abstraction: Owned References

ResourceType owned affine.

```
Define primitive inspect
: Fun(spec A:type)(!#unowned x:A).#<owned x> A
:= fun(A:type)(x:A).x
«END
#define ginspect(x) x
END.
```



- This y is pinning x.
- Cannot consume x while y is live.
  - ▶ No inc, dec required for y.
  - improved performance, still memory safe.

### Mutable State and Readers/Writers

- For writing mutable state, require unique reference.
- Can implement readers/writers, using subresource idea.
  - ▶ Must check in the read-only views to get the read/write one.
  - ► For read/write, x: #unique.
  - ▶ For read-only, y: #<unique\_owned x>.
- Use unique/unique\_owned for arrays, queues, tries, etc.

## Data Abstraction: Word-Indexed Mutable Arrays

- Type: <warray A N L>.
- Resource types: unique (read/write), unique\_owned (read-only).
  - ► A is type of elements.
  - N is length of array.
  - ▶ L is list of initialized locations.
- (new\_array A N) : <warray A N []>.
- Writing to index i:
  - requires proof: i < N.</p>
  - functional model: consume old array, produce updated one.
  - imperative implementation: just do the assignment.
  - ▶ array's type changes: <warray A N i::L>.
- Reading from index i:
  - does not consume array.
  - ▶ requires proof:  $i \in L$ .

### Data Abstraction: FIFO Queues

- Mutable singly-linked list, with direct pointer to enqueue-end.
- Aliasing.
- Resource abstraction: heaplets (part of heap).

Туре	Functional Model	Imperative Implementation
<heaplet a="" i=""></heaplet>	list of aliased values	nothing
<alias i=""></alias>	index into heaplet ${ ilda { $	reference-counted pointer

#### Unverified queue:

- Just memory safety.
- 138 lines total (6 lines proof).

#### Verified queue:

- Prove that qin-node has no next-pointer.
- Requires reasoning about aliases.
- 310 lines total (178 lines proof).

## Garbage Collection, Or Lack Thereof

- Garbage collection has led to great productivity gains...
- ... but can hurt performance.
- No continuum in mainstream: all GC (slow) or no GC (unsafe).
- Guru does not use GC.
  - Resource abstractions are memory safe.
  - But heaplet can leak memory for cyclic structures.
- A perfect world might provide:
  - GC'ed regions for productivity.
  - Heavier abstractions for safety without GC.
    - ★ E.g., compile-time reference counting.
    - ★ Significant verification burden.
  - Key: ability to choose which is more appropriate.

### **Empirical Comparison**

Benchmark 1: In array storing  $[0, 2^{20})$ , do binary search for each element.

Benchmark 2: push all words in "War and Peace" through 2 queues.

Mutable Array Test				
Language	Time	Binary		
HASKELL	1.18 s	581K		
HASKELL (No GC)	0.49 s			
OCAML	0.61 s	131K		
OCAML (No GC)	0.54 s			
GURU	0.42 s	37K		

Queue Test				
Language	Time	Binary		
HASKELL	1.08 s	614K		
HASKELL (No GC)	0.53 s			
OCAML	0.66 s	132K		
OCAML (No GC)	0.37 s			
GURU	0.60 s	37K		

Compilers: ghc 6.10.4, ocamlopt 3.11.1, gcc 4.3.3

Machine: 2.67Ghz Intel Xeon, 8 GB mem, Linux 2.6.18

Implementations: Data.Sequence (HASKELL), references (OCAML).

### **Future Directions**

- Better abstractions for aliased structures.
- Realistic applications.
  - versat: verified modern SAT solver.
    - ★ Complex code, uses mutable state.
    - \* Not too large.
    - \* Simple spec.: learned clauses derivable by resolution from input clauses.
- Meta-theoretic work on resources.
- To learn more:

"Verified Programming in Guru" book.



### Initializing Subdata in match-cases

- Init-function defined as part of resource abstraction.
- Suppose matching on x:r, subdatum y:r'.
- Init-function for r-r' initializes y.

```
Init ginit_unowned_unowned(#unowned x)(#unowned y).#unowned

«END
  inline void *ginit_unowned_unowned(int A,void *x,void *y) {
    ginc(y);
    return y;
  }
END.

Init ginit_owned_unowned(#owned x)(#unowned y).#<owned x> «END
  #define ginit_owned_unowned(A,x,y) y
END.
```

Compressing chains of ownership: