

# **MASTER THESIS**

Thesis submitted in partial fulfillment of the requirements for the degree of Master of Science in Engineering at the University of Applied Sciences Technikum Wien - Degree Program Mechatronics/Robotics

## **Virtualisierung eines Echtzeit-Betriebssystems zur Steuerung eines Roboters mit Schwerpunkt auf die Einhaltung der Echtzeit**

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Wien, August 22, 2024

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# Kurzfassung

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**Schlagworte:** Echtzeit, Virtualisierung, Xenomai, VARAN

# Abstract

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**Keywords:** Echtzeit, Virtualisierung, Xenomai, VARAN

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# 1 Introduction

In today's industrial production and automation, robot systems are well established and of crucial importance. Robots must react to their environment and perform time-critical tasks within strict time constraints. Delays or errors can have catastrophic consequences in some cases. Modern operating systems nowadays are categorized into two types: general-purpose operating systems (GPOS) and real-time operating systems (RTOS). The key difference is whether the system is time-critical or not [1]. In a traditional GPOS, such as Windows or Linux-based distributions, a high-priority task cannot interrupt a kernel operation, as illustrated in Figure 1. This makes them not suitable for real-time requirements, as they cannot guarantee deterministic execution times.



Figure 1: Non-preemptible kernel [2]

However, in an RTOS, a high-priority process can interrupt a lower-priority task, even if it is in the middle of a kernel operation, as shown in Figure 2. RTOS are specifically designed to react to events within fixed time limits and prioritise the execution of high-priority processes.



Figure 2: Non-preemptible kernel [2]

Many Linux distributions can function as both GPOS and RTOS with kernel modifications.

## 1.1 Real-Time Operating Systems

The RTOS structure is visualized in Figure 3. The core component of an RTOS that enables real-time capabilities is the kernel. It is responsible for managing system resources, scheduling tasks, and ensuring deterministic behavior [3]. It employs preemptive scheduling mechanisms to allow high-priority tasks to preempt lower-priority tasks, ensuring that time-critical tasks are not delayed. Additionally, RTOS kernels are designed to allocate and manage memory resources efficiently and minimize interrupt latency, which is crucial for real-time applications that require immediate response to external events [4].



Figure 3: RTOS Structure [4]

In these real-time operating system, task scheduling is based on so-called priority-based preemptive scheduling [5]. Each task in a software application is assigned a priority. A higher priority means that a faster response is required. Preemptive task scheduling ensures a very fast response. Preemptive means that the scheduler can stop a currently running task at any point if it recognizes that another task needs to be executed immediately. The basic rule on which priority-based preemptive scheduling is based is that the task with the highest priority that is ready to run is always the task that must be executed. So if both a task with a lower priority and a task with a higher priority are ready to run, the scheduler ensures that the task with the higher priority runs first. The lower priority task is only executed once the higher priority task has been processed.

Real-time systems are usually classified as either soft or hard real-time systems [6]. The difference lies exclusively in the consequences of a violation of the time limits. Hard real-time is when the system stops operating if a deadline is missed, which can have catastrophic consequences. Soft real-time exists when a system continues to function even if it cannot perform the tasks within a specified time. If the system has missed the deadline, this has no critical consequences. The system continues to run, although it does so with undesirably lower output quality.



## 1.2 Application Context

This section briefly defines the context and scope within which this master's thesis was composed, highlighting the specific contributions and requirements set by SIGMATEK GmbH & Co KG [7].

- This work was written at SIGMATEK GmbH & Co KG, a company that researches, develops, produces, and distributes automation technology for industrial machinery and plant engineering. SIGMATEK uses its own custom Linux-based operating system, to be run on their self-manufactured CPUs. This operating system will be referred to as “Salamander 4” in this work. The details of Salamander 4 are explained in Chapter ??.
- Salamander 4 was created with Yocto [8], an open-source project that helps develop custom Linux-based systems. Yocto is discussed in Section 2.4.
- Salamander 4 is virtualized through Quick emulator (QEMU), an open-source emulator and virtualizer that allows to run different operating systems on a computer QEMU is described in Section 2.3.
- Salamander 4 employs hard real-time with Xenomai 3 [9], a real-time framework for Linux that enables deterministic and low-latency performance. Xenomai 3 is detailed in Section 2.5.
- The goal is to virtualize Salamander 4 and approach the performance of bare metal (hardware) through real-time performance tunings, focussing on latency requirements set by SIGMATEK. These modifications are subject of Chapter 3.2.
- The `latency` tool of the Xenomai suite to measure the scheduling latency of the real-time OS was suggested to use by SIGMATEK. The goal was set to achieving latency values below 50 microseconds in the duration of the measurement.
- SIGMATEK uses the VARAN bus for communication between machines and systems, a real-time Ethernet network that was specially developed for industrial automation. Its operating principle is outlined in Section 2.8.
- For the purpose of replacing the physical CPU, SIGMATEK provided a PCI Insert Card module PCV 522, that was plugged into the PC, as explained in Subsection 3.3.2. This component could then be used to communicate with the Input/Output peripherals, just like the physical CPU could when the I/O modules were attached to it. Subsequently, the PCV 522 module of SIGMATEK was connected with the Pulse Width Module PW 022 of SIGMATEK over the VARAN bus and the VI 021 module of SIGMATEK serving as the power supply.

- The robotic application from Chapter 3.3 was written in Lasal Class 2, an object oriented programming tool of SIGMATEK and part of SIGMATEK's Engineering Platform LASAL, with client-server technology and graphic representation.

## 1.3 Related Work and State of the Art

This master's thesis builds upon a number of previous studies in the field of virtualization of real-time systems and the inherent latency. In this section, the most influential studies are presented and their relevance to the work is addressed.

Perneel and Auricle Technologies Pvt. Ltd. [10] mention in their work that Linux was initially developed as a general purpose operating system without real-time applications in mind. They talk about the evolution of real-time behavior in the standard Linux kernel and state that it has recently gained popularity among the real-time community, largely due to its open-source nature and stability. The authors explain how to transform Linux into a real-time operating system by implementing the PREEMPT-RT patches. The paper also emphasizes some kernel configurations that ensure that the system's real-time behavior is preserved during runtime. Even though these modifications to the kernel enable soft real-time performance, the authors underline the fundamental rule in real-time software, that latency improvements have a negative impact on throughput and kernel performance. This is due to the added overhead of the `CONFIG_PREEMPT_RT` option. They concluded with their Linux build and a testing application that Linux can be a viable option for real-time applications, if it is correctly configured. Reghenzani, Massari, and Fornaciari [11] provide an extensive overview on the real-time Linux kernel research, specifically on the PREEMPT RT patch. Adam [12] applied the PREEMPT\_RT patch on both Raspberry Pi 3 and BeagleBone Black and achieved significantly lower latencies compared to standard Linux kernels.

Cinque et al. [13] survey different virtualization approaches, including separation kernels, microkernels, enhanced general-purpose hypervisors, and lightweight virtualization solutions like containers and unikernels. Similarly, Sandstrom et al. [14], and Taccari et al. [15] discuss and compare the current state-of-the-art in virtualization technologies with a focus on embedded real-time platforms. The latter emphasizes, that research on real-time systems is focusing on virtualization and multicore scheduling and underlines that flexibility and cost are key metrics. Both works acknowledge the great benefits of virtualization, that is, reducing overall hardware costs, since all non-critical activities and real-time control tasks can run on the same hardware. In addition, Javier Perez et al. [16] state that hardware-based Programmable Logic Controllers (PLCs) are costly to maintain and lack the flexibility needed for modern, resource-intensive applications like Machine Learning (ML) or Artificial Intelligence (AI).

Despite the promising advantages of virtualization, one must be aware of the potential drawbacks of it, too. Gu and Zhao [17] highlight issues like lock-holder preemption and task-grain

scheduling. García-Valls, Cucinotta, and Lu [18] identify challenges in supporting real-time applications in the cloud, including resource management, quality of service guarantees, temporal and spatial isolation, and network performance. Scordino et al. [19] address the challenge of interference on shared hardware resources, which can degrade real-time performance.

Ma et al. [20] [21] describe in their work how KVM impacts the real-time performance, which has helped this work gain insight into the base overhead of virtualization. When a physical interrupt occurs while the guest RTOS is running, there are at least six stages that need to be traversed before the interrupt can be delivered to the guest RTOS. Once the interrupt is delivered to the guest RTOS, it incurs additional latency, called Interrupt Routine Time (IRT), since there is also the time between when an interrupt is recognized and the first instruction of the corresponding interrupt service routine (ISR) of the guest OS is started. These stages are the primary sources of latencies caused by KVM, they are briefly described in Figure 4.

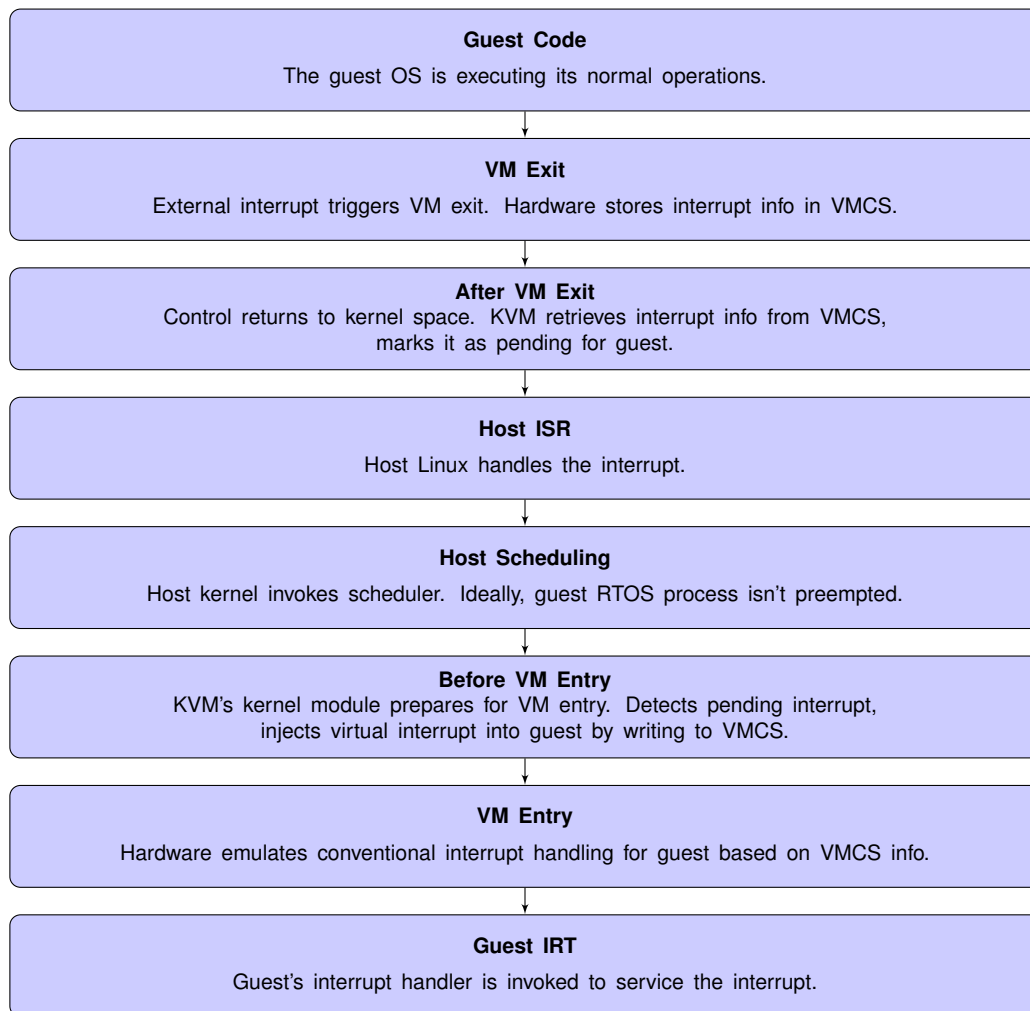


Figure 4: Flowchart of operations in a virtualized environment (own figure, inspired by Ma et al.)

The paper also details several performance tuning methods, including CPU shielding and prioritization, which have been applied in this work.

Brosky and Rotolo [22] report in their work about the shielded CPU model for enhancing real-time performance in symmetric multiprocessor systems. By dedicating one or more CPUs to high-priority processes and interrupts, the goal is to provide deterministic execution of real-time applications and interrupt responses. The authors mention that there is no user interface for setting process CPU affinity in the standard Linux, while there is one for setting interrupt CPU affinity via the `/proc/irq/*/smp_affinity` files. These files were used in this master's thesis. According to the authors, the shielded CPU model is especially useful for tasks that require guaranteed interrupt response times, very fast interrupt responses and high-frequency, deterministic execution.

In the course of writing this thesis, the white paper "Real-Time Performance Tuning Best Practice Guidelines for KVM-Based Virtual Machines" [23] by Intel has been instrumental. Given that the working computer used for this work is equipped with an Intel processor, the guidelines presented in this document were particularly relevant and valuable for optimizing real-time performance in KVM-based virtual machines. Chapter 3.2 contains an extensive tuning process, which involves configurations spanning the BIOS, kernel, host OS, QEMU/KVM virtualization layer, and the Salamander 4 OS itself. The document concludes that the described tuning methods effectively improve real-time performance for KVM-based VMs, even under heavy workloads.

Yoon, Song, and Lee [24] use some of these real-time tunings in their research, including CPU shielding, memory locking, and spinning nanosleep, for controlling humanoid robots equipped with around 60 servo motors and sensors. They implement EtherCAT for real-time communication of distributed devices whereas this thesis uses Varan. The authors highlight the importance of timely data processing in robots that collect data from their environment and respond accordingly through their sensors and actuators. The authors conclude with an experiment that their robotic system could achieve deterministic control of actuators and sensors even under heavy system load through the use of Linux with the real-time preemption patch. Their research has similarities to this master's thesis in terms of real-time tunings for robot control. However, this thesis includes also the virtualization aspect that is central.

Kiszka [25] specifically focuses on Linux as a hypervisor and analyzes its real-time capabilities when using KVM and QEMU. Most importantly for this master's thesis, the author warns of starvation when the priorities of QEMU's threads are raised and lifted into a real-time scheduling class. Starvation is when a process does not get the resources it needs for a long time because the resources are being allocated to other processes. A way to reduce this risk is by not using more virtual CPUs (VCPUs) than there are actual processor cores. Moreover, Linux has a feature that can limit how much CPU time all real-time tasks can use in the host system, which was an important information for the real-time tunings of this thesis later.

McKenney [26] addresses the overheads associated with real-time Linux when using KVM and QEMU. The author lists several sources of overhead, including memory locking to avoid page-fault latencies, increased overhead of locking and interrupts due to more-aggressive preemption, threaded interrupts that permit long-running interrupt handlers to be preempted by high-priority real-time processes, real-time task scheduling that requires global scheduling, high-resolution timers for tens-of-microseconds accuracy and precision, and preemptible RCU which has slightly higher read-side overhead than Classic RCU. These information helped understand the trade-offs involved in real-time Linux systems.

De Oliveira, Casini, and Cucinotta [27] critique the traditional `cyclictest` tool used for measuring scheduling latency, highlighting its limitations due to its black-box approach and lack of theoretical grounding. This is the main reason why this tool was not used to measure the scheduling latency in this work. Instead, the `latency` tool was used, as was determined in Chapter 1.2.

## 1.4 Problem and Task Definition

As stated previously, virtualization of real-time systems has many advantages in comparison to traditional hardware-based approaches. Solutions based on pure hardware are often built for a distinct purpose and are hence limited in flexibility. It is difficult to adapt the hardware topology to new requirements or new applications. Moreover, during periods when the demand is lower, expensive hardware may sit idle, leading to inefficient use of resources and a poor return on investment [28]. Another crucial factor is of course the high cost factor associated with procuring and maintaining separate hardware for each real-time system [29]. Especially when organizations scale their operations, they have to add more physical machines, and the expenses rise rapidly [30]. Besides, one needs physical access to the hardware for any updates or maintenance, which is hard to achieve, especially for systems in remote or difficult-to-access locations. This can lead to system downtime and may not only disrupt the operations but can also result in lost productivity and potential revenue [31].

Virtualization promises to overcome these challenges [32] [29] [33]. However, virtualization also has its own challenges and pitfalls. The additional layers of abstraction can lead to increased overhead and latency [34] [35]. This is not desired and can be particularly problematic for real-time systems that require strict timing constraints. Making sure that tasks run predictably and on time in a virtualized environment, can be challenging. The hypervisor and other virtual components can cause variations in how long tasks take to execute [18]. When there are multiple virtual machines (VM) sharing the same physical resources, the VMs can affect each other's performance and consequently violate real-time constraints [32].

In this master's thesis, the task is the virtualization of a real-time operating system to control a robot, with a focus on compliance with real-time determinism. Chapter 2 explains in detail the procedure, how this was tackled. As already established in Section 1.2, Salamander 4 is built with Yocto, employs hard real-time with Xenomai 3 and virtualized through QEMU/KVM. The initial latency values are measured with the `latency` program of the Xenomai tool suite. The initial situation is described in Section 3.1. In a nutshell, there is a significant initial gap in latency statistics between the virtualized Salamander 4 and the Salamander 4 on bare metal. For this reason, an extensive tuning process is carried out to achieve real-time performance and determinism. These modifications involve configurations spanning the BIOS, kernel, host OS, QEMU/KVM virtualization layer, and the Salamander 4 OS (guest) itself. The individual configurations are discussed in detail in Chapter 3.2 and the modifications are justified with a clear explanation.

## 1.5 Objective

The goal is to bring the latency of the virtualized Salamander 4 closer to that of the hardware, thereby providing a comprehensive blueprint for making a virtualized guest system in a host system real-time capable with deterministic behavior. Section 3.1 outlines the initial situation of this master's thesis and serves as the foundation for the real-time performance tunings discussed in Section 3.2. The objective is to achieve latency values below 50 microseconds during the measurement period through real-time tunings, with no outliers whatsoever. To determine and validate the performance of the tunings, the `latency` tool is used, as stated in Section 1.2. On top of that, the aim is to use a robotic application in a practical scenario to demonstrate and validate that the tunings significantly reduce latency and improve determinism compared to the unmodified virtualization.

## 2 Methodology

This chapter describes in detail all the theoretical concepts and boundary conditions as well as practical methods that contributed to achieving the objectives of this master's thesis.

### 2.1 Host Operating System

The host operating system is the basis for the virtualization of the guest operating system. In the course of this work, it is continuously tuned to achieve real-time behavior and determinism. Table 1 illustrates the specifications of the host.

Table 1: Host operating system configuration

<b>CPU</b>	Intel(R) Core(TM) i7-8700 CPU @ 3.20GHz, 6 cores
<b>Memory</b>	4 × 8GB DDR4-2666/2400 MHz, 32GB
<b>GPU</b>	Intel UHD Graphics 630 GPU
<b>Storage</b>	500GB NVMe SSD
<b>BIOS</b>	Dell Version 1.29.0
<b>OS</b>	Ubuntu 22.04.4 LTS
<b>Kernel Version</b>	6.8.0-40-generic

Figure 5 is the output of the `lstopo` command and visualizes the hardware nodes of the system, including CPU cores, caches, memory, and I/O devices.

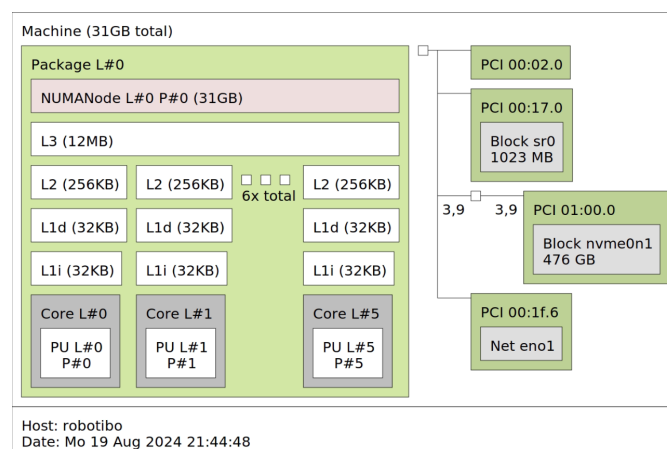


Figure 5: Hardware topology

## 2.2 Guest Operating System

This section briefly describes the guest operating system, Salamander 4.

### 2.2.1 Structure

Salamander 4 is the proprietary operating system of SIGMATEK. It is based on Linux version 5.15.94 and integrates Xenomai 3.2, a real-time development environment [9]. Salamander 4 is a 64-bit system, which refers to the x86\_64 architecture. The real-time behaviour is achieved through the use of Symmetric Multi-Processing (SMP) and Preemptive Scheduling (PREEMPT). In addition, it uses IRQPIPE to process interrupts in a way that meets the real-time requirements of the system. The output of the command `uname -a` can be observed in code 1.

```
1 root@sigmatek-core2:~# uname -a
2 Linux sigmatek-core2 5.15.94 #1 SMP PREEMPT IRQPIPE Tue Feb 14 18:18:05 UTC 2023
   x86_64 GNU/Linux
```

Code 1: System information

Salamander 4 is powered by SIGMATEK's CP 841 [36] and is comprised of the following software modules:

- **Operating system:** The operating system in a LASAL CPU manages the hardware and software resources of the system. It is provided in a completely PC-compatible manner, working with a standard PC BIOS.
- **Loader:** The loader is a part of the operating system that is responsible for loading programs from executables into memory, preparing them for execution and then running them.
- **Hardware classes:** Hardware classes in LASAL represent the different types of hardware components that can be controlled by the LASAL CPU. They help organize and manage the hardware components in a modular manner. The graphical hardware editor in LASAL allows for a true-to-detail simulation of the actual hardware.
- **Applications:** Applications are developed using LASAL CLASS 2 [37], a solution for automation tasks that supports object-oriented programming and design in compliance with IEC 61131-3.



These modules and the interfaces (indicated by an arrow) between them are shown in Figure 6.

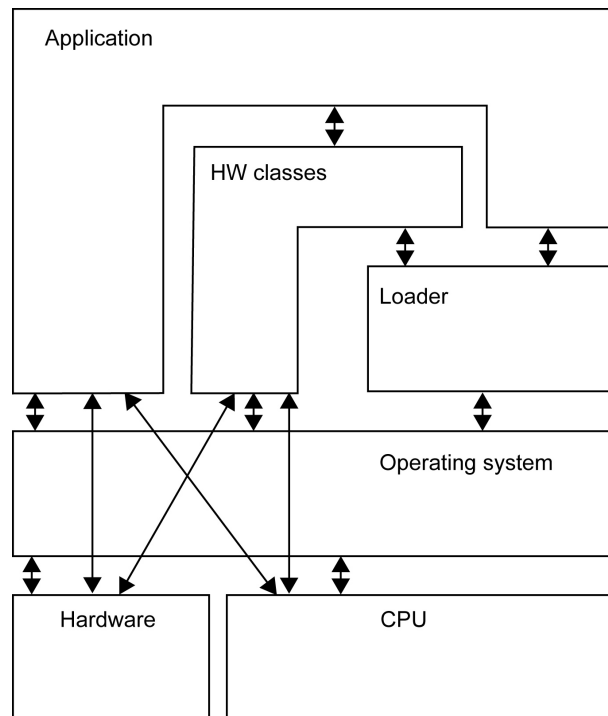


Figure 6: Structure of Salamander 4 CPU

## 2.2.2 Memory Management

For the sake of completeness, Figure 7 displays the memory management of Salamander 4. LRT stands for Lasal Runtime and creates an execution environment where applications developed using LASAL Class 2 can run- It provides real-time functions, data types, and other constructs for real-time programming.

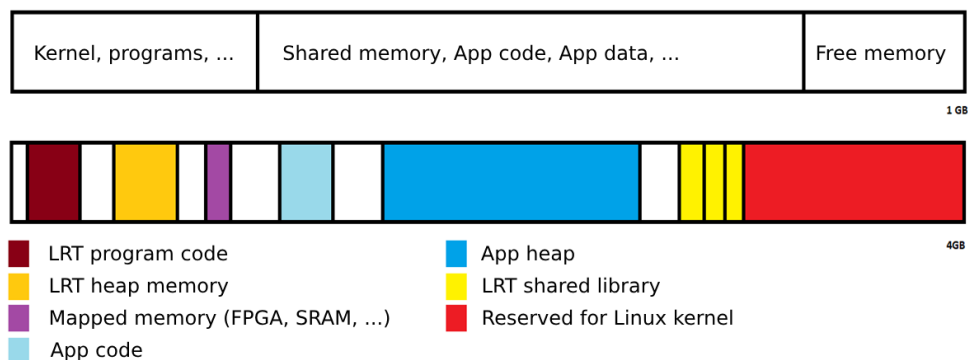


Figure 7: Memory Management

## 2.3 QEMU

QEMU [42]. is an open-source emulator and virtualizer that allows to run operating systems and programs for one machine on a different machine. It can emulate different hardware architectures and is used in this thesis to emulate Salamander 4. When QEMU is used together with Kernel-based Virtual Machine (KVM), it can provide near-native performance by running code directly on the host CPU. The QEMU script used to emulate Salamander 4 and the meaning of the options are described in detail in Subsection 3.1.2.

## 2.4 Yocto

Salamander 4 is built with Yocto [8], an open-source project that helps develop custom Linux-based operating systems for embedded devices. It is not a Linux version itself but a framework to make custom versions. From the kernel settings to the software packages included, almost everything in the Linux distribution can be adjusted with Yocto, hence it fits custom needs. Yocto organizes different components of the system into layers, making code management and updates easier. It builds the operating system with BitBake and automates the compilation and assembly of software. Upon generating the necessary files, Yocto provides a QEMU folder with the following components shown in Code 2.

```
1 sigma_ibo@localhost:~/Desktop/salamander-image$ ls -l
2 bzImage
3 drive-c
4 ovmf.code.qcow2
5 qemu_def.sh
6 salamander-image-sigmatek-core2.ext4
7 stek-drive-c-image-sigmatek-core2.tar.gz
8 vmlinux
```

Code 2: Contents of QEMU folder for Salamander 4

The following is a description of the components used for the virtualization of Salamander 4.

- **bzImage**: Compressed Linux kernel image that is loaded by QEMU at system start. The short form “bz” stands for big-zipped.
- **drive-c**: Directory serving as C drive for QEMU, created and filled by `qemu_def.sh` script.
- **ovmf.code.qcow2**: Firmware file for QEMU that enables UEFI boot process. `OVMF` stands for Open Virtual Machine Firmware, `qcow2` is a format for disk image files used by QEMU, it stands for “QEMU Copy On Write version 2”.
- **qemu\_def.sh**: Shell script that starts QEMU with required parameters to boot Salamander 4 OS. It is described later in more detail.

- **salamander-image-sigmatek-core2.ext4**: Disk image of the Salamander 4 OS for the Sigmatek Core 2 platform. It uses the `ext4` file system and serves as the root file system in the QEMU virtual machine, acting as the virtual hard drive.
- **stek-drive-c-image-sigmatek-core2.tar.gz**: Compressed tarball containing a pre-configured environment for the Salamander 4 OS. It is unpacked and sets up the `drive-c/` directory with system and log files in the `qemu_def.sh` script.
- **vmlinux**: Uncompressed Linux kernel image, typically used for debugging.

The initial QEMU script after the custom Yocto build and the starting point for this work is shown in Code 3. This script is used to start QEMU with required parameters to boot Salamander 4 OS. It will be adjusted in Chapter 3.2 in order to accompany real-time performance tunings.

```

1  #!/bin/sh
2
3  if [ ! -d drive-c/ ]; then
4      echo "Filling drive-c/"
5      mkdir drive-c/
6      tar -C drive-c/ -xf stek-drive-c-image-sigmatek-core2.tar.gz
7  fi
8
9  exec qemu-system-x86_64 -M pc,accel=kvm -kernel ./bzImage \
10 -m 2048 -drive
    file=salamander-image-sigmatek-core2.ext4,format=raw,media=disk \
11 -append "console=ttyS0 console=tty1 root=/dev/sda rw panic=1
    sigmatek_lrt.QEMU=1 ip=dhcp rootfstype=ext4" \
12 -net nic,model=e1000,netdev=e1000 -netdev bridge,id=e1000,br=nm-bridge \
13 -fsdev local,security_model=none,id=fsdev0,path=drive-c -device
    virtio-9p-pci,id=fs0,fsdev=fsdev0,mount_tag=mnt/drive-C \
14 -device vhost-vsock-pci,guest-cid=3,id=vsock0 \
15 -drive if=pflash,format=qcow2,file=ovmf.code.qcow2 \
16 -no-reboot -nographic

```

Code 3: QEMU script for starting Salamander 4 virtualization

This script is run on a generic Ubuntu 22.04.4 system, as mentioned previously in Section 2.1.

## 2.5 Xenomai

Xenomai 3 [9] is an open-source real-time framework that offers two paths to real-time performance. The first approach supplements the Linux kernel with a compact real-time core dubbed Cobalt, demonstrated in Figure 8. Cobalt runs side-by-side with Linux, but it handles all time-critical activities like interrupt processing and real-time thread scheduling with higher priority than the regular kernel activities.

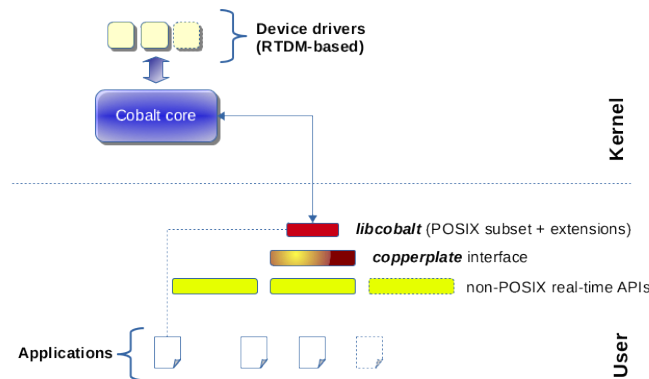


Figure 8: Xenomai Cobalt interfaces [9]

The second approach, called Mercury and shown in Figure 9, relies on the real-time capabilities already present in the native Linux kernel. Often, applications require the PREEMPT-RT [38] patch to augment the mainline kernel's real-time responsiveness and minimize jitter, but this isn't mandatory and depends on the application's specific requirements for responsiveness and tolerance for occasional deadline misses.

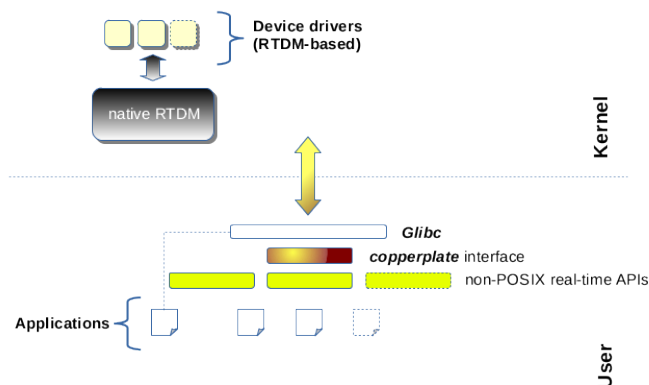


Figure 9: Xenomai Mercury interfaces [9]

Salamander 4 uses the Cobalt real-time core with the Dovetail extension, which allows the kernel to handle real-time tasks with low latency. A key tool of the Xenomai suite that is used in this work is the `latency` tool [39]. It benchmarks the timer latency, which is the time it takes for the kernel to respond to timer interrupts or task activations. The tool creates real-time tasks

or interrupt handlers and measures the difference between the expected and actual execution times.

## 2.6 Trace-cmd

Trace-cmd [40] is the front-end tool of the `ftrace` tool that is built inside the Linux kernel and used for tracing the Linux kernel. Trace-cmd can record detailed reports of kernel events such as interrupts, scheduler decisions, function calls, and custom events in real time. It is easier with this tool to enable and disable tracing, set filters, and view results. Trace-cmd helped debugging and identifying the reasons for latency in Salamander 4 to continuously improve its real-time performance and determinism. A very practical aspect of `trace-cmd` is that the guest can be traced from the host. For this purpose, the guest needs to be configured up with `vsocks`, which are a virtual socket that enable direct communication between the host and guest. To enable `vsocks` and tracing, the guest kernel requires the following configurations, according to Linux developer and maintainer Steve Rostedt [rostedtTracecmdHostGuest].

```
1  # Vsocks settings
2  CONFIG_VSOCKETS=m
3  CONFIG_VHOST_VSOCK=m
4  CONFIG_VIRTIO_VSOCKETS=m
5  CONFIG_VIRTIO_VSOCKETS_COMMON=m
6  CONFIG_VSOCKETS_DIAG=m
7  CONFIG_VSOCKETS_LOOPBACK=m
8  # Tracing settings
9  CONFIG_TRACING=y
10 CONFIG_FTRACE=y
11 CONFIG_FUNCTION_TRACER=y
12 CONFIG_FUNCTION_GRAPH_TRACER=y
13 CONFIG_DYNAMIC_FTRACE=y
14 CONFIG_DYNAMIC_FTRACE_WITH_REGS=y
15 CONFIG_DYNAMIC_FTRACE_WITH_DIRECT_CALLS=y
16 CONFIG_DYNAMIC_FTRACE_WITH_ARGS=y
17 CONFIG_SCHED_TRACER=y
18 CONFIG_FTRACE_SYSCALLS=y
19 CONFIG_TRACER_SNAPSHOT=y
20 CONFIG_KPROBE_EVENTS=y
21 CONFIG_UPROBE_EVENTS=y
22 CONFIG_BPF_EVENTS=y
23 CONFIG_DYNAMIC_EVENTS=y
24 CONFIG_PROBE_EVENTS=y
25 CONFIG_SYNTH_EVENTS=y
26 CONFIG_HIST_TRIGGERS=y
```

Code 4: Kernel Configuration for vsocks and tracing

After compiling the kernel with the additional settings above, the guest needs access to the vsocket. In QEMU, the line `-device vhost-vsock-pci,guest-cid=3,id=vsock0` was added. This command configures a virtual socket (vsock) device in QEMU, assigning the guest a unique Context Identifier (CID) of 3 for direct communication with the host. The CID can be chosen as desired. Once the guest is booted with vhost enabled, the `sudo trace-cmd agent` command can be run. The agent now listens to connections on port 823 by default with the specified CID, 3 in this case. The host can trace itself and the guest from this moment going forward. For example, `sudo trace-cmd record -e all -A @3:823 -name Salamander4 -e all` records all events from the host and guest. `sudo trace-cmd record -e kvm:kvm_entry -e kvm:kvm_exit -A @3:823 -name Salamander4 -e all` traces only the `kvm_entry` and `kvm_exit` events of the host along with the exit reasons and all guest events. As a last example, `sudo trace-cmd record -e kvm -e sched -e irq -e -A @3:823 -name Salamander4 -e all` enables tracing of KVM, scheduling, and interrupt events on the host, for capturing the KVM events that manage the guest, scheduling activities, and interrupt handling respectively.

## 2.7 Kernelshark

Viewing the tracing data that was recorded with `trace-cmd` on a text-based file can be laborious. A better way of analyzing the data is done through `KernelShark` [41], which is a graphical front-end tool. It visualizes the recorded kernel trace data in a readable way on an interactive timeline, which facilitates the process of identifying patterns and correlations between events. The latency issues can be analyzed by further filtering the displayed events according to processes, event types or time ranges. After the recording, `trace-cmd` generates a host `trace.dat` file and a guest `trace-Salamander4.dat` file, which in this case can be loaded into `KernelShark` with the command `kernelshark trace.dat -a trace-Salamander4.dat`. Once both files are loaded, the trace data can be visually inspected. All the current CPUs for both the host and the guest can be turned off, and the KVM Combo plots menu can be selected to view the guest vCPUs plotted on top of the host threads that run them, as depicted in Figure 10.

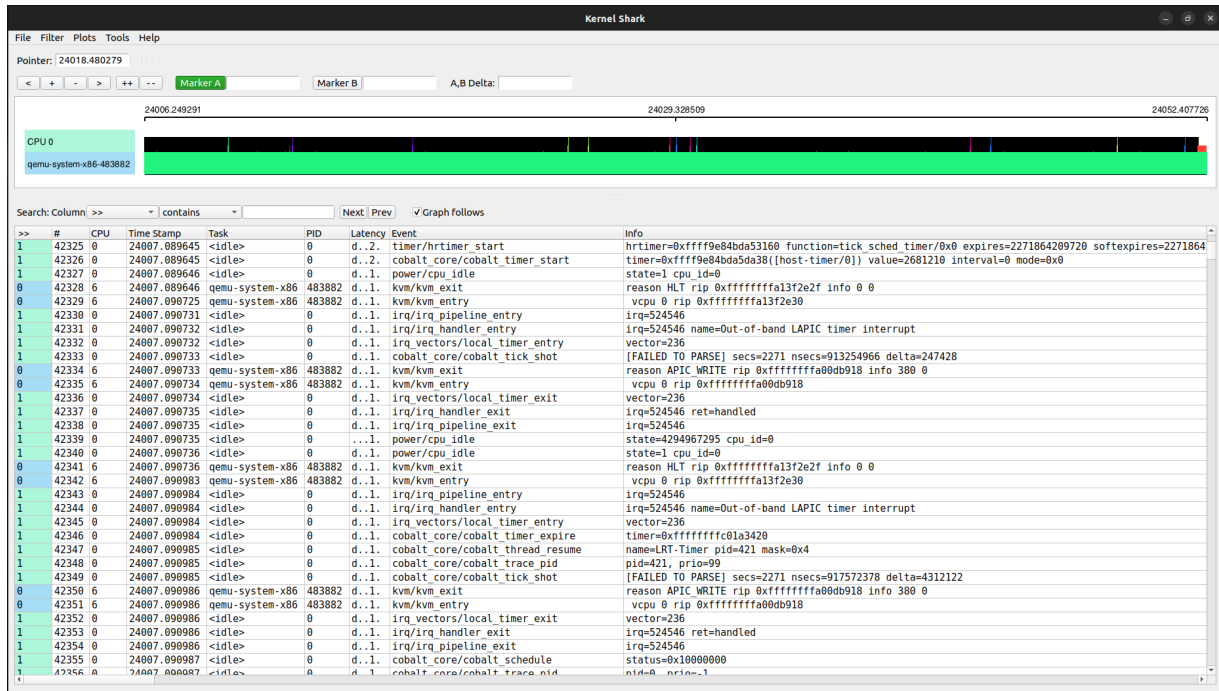


Figure 10: Guest vCPUs plotted on top of host threads in KernelShark

## 2.8 VARAN-Bus

VARAN is a real-time Ethernet bus designed for industrial automation, capable of connecting larger systems and machines with smaller components and sensors in hard real-time. This bus operates on the manager/client principle, where each network includes a manager and one or more subordinate clients. Multiple VARAN networks can be linked together into a single synchronized network using a higher-level VARAN manager. The jitter between these systems is kept below 100 ns. This synchronization between systems and their data exchange is illustrated in Figure 11

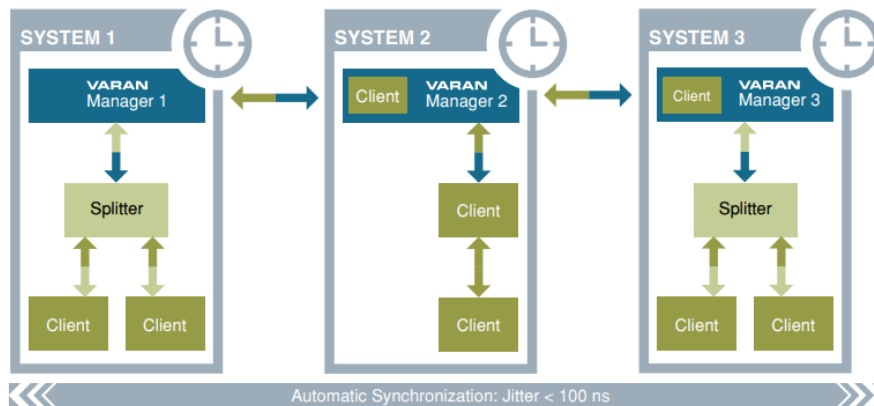


Figure 11: Synchronization between Systems and their Data Exchange

## 2.9 Approach

Wasserfall, rechtfertigen und in Diskussion Vor und Nachteile



## 3 Implementation

### 3.1 Initial Situation

As a starting point, initial latency values of both the bare metal and virtualization versions were measured with the `latency` tool of the Xenomai tool suite. Salamander 4 bare metal refers to the custom operating system developed by SIGMATEK, running on their proprietary hardware. Salamander 4 virtualization refers to a virtual version of Salamander 4, achieved through QEMU/KVM. Subsections 3.1.1 and 3.1.2 specify the details of the measurements for both setups. In the further course of this master's thesis, the aim is to bring the latency values of the virtualization closer to those of the hardware and guarantee deterministic and reliable behavior.

#### 3.1.1 Salamander 4 Bare Metal

As a reference point, the `latency` program was executed on Salamander 4 bare metal for a duration of 10 minutes. The complete command used was `latency -h -g gnuplot.txt -T 600`, which runs the latency measurement tool for 600 seconds and prints histograms of minimum, average and maximum latencies in a Gnuplot-compatible format to the file `gnuplot.txt`. Figure 12 depicts the distribution of latency over the course of said time.

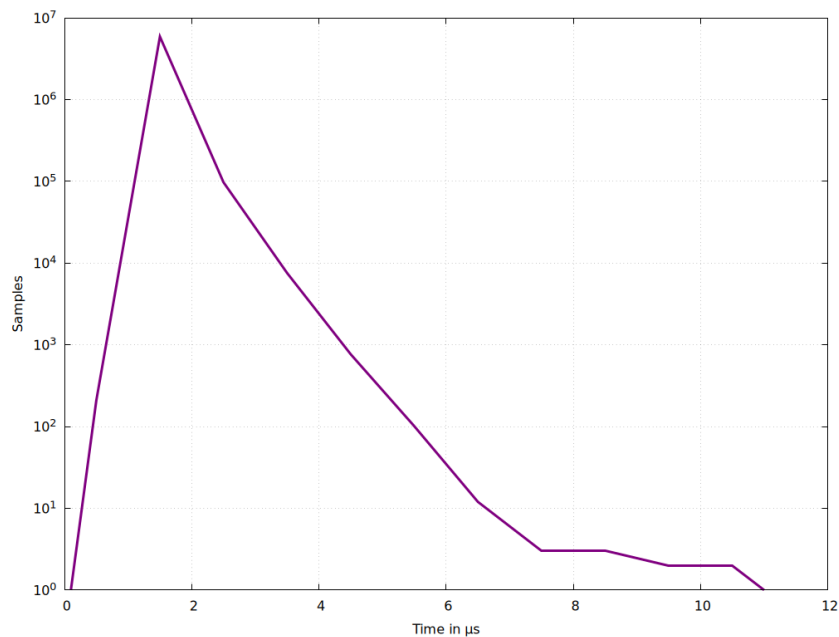


Figure 12: Distribution of Latency of Salamander 4 Bare Metal

The statistics obtained from this measurement are provided in Table 2 and Table 3. The test was conducted with a sampling period of 100  $\mu\text{s}$ , using a periodic user-mode task, and was assigned a priority of 99.

Param	Samples	Average ( $\mu\text{s}$ )	Std Dev ( $\mu\text{s}$ )
min	599	0.711	0.454
avg	5 999 988	1.019	0.150
max	599	3.528	0.895

Table 2: Statistical Summary of Latency Measurements

Lat Min ( $\mu\text{s}$ )	Lat Avg ( $\mu\text{s}$ )	Lat Max ( $\mu\text{s}$ )	Overruns	Missed Switches
0.613	1.380	10.709	0	0

Table 3: Latency, Overrun, and Missed Switch Data

### 3.1.2 Salamander 4 Virtualization

In addition to providing Salamander 4 on its own hardware, SIGMATEK has also developed a virtualised version of this operating system. Measuring the latency of the Salamander 4 virtualization with the default QEMU script in Code 3 and no further adjustments for 10 minutes, Figure 13 depicts the distribution of latency.

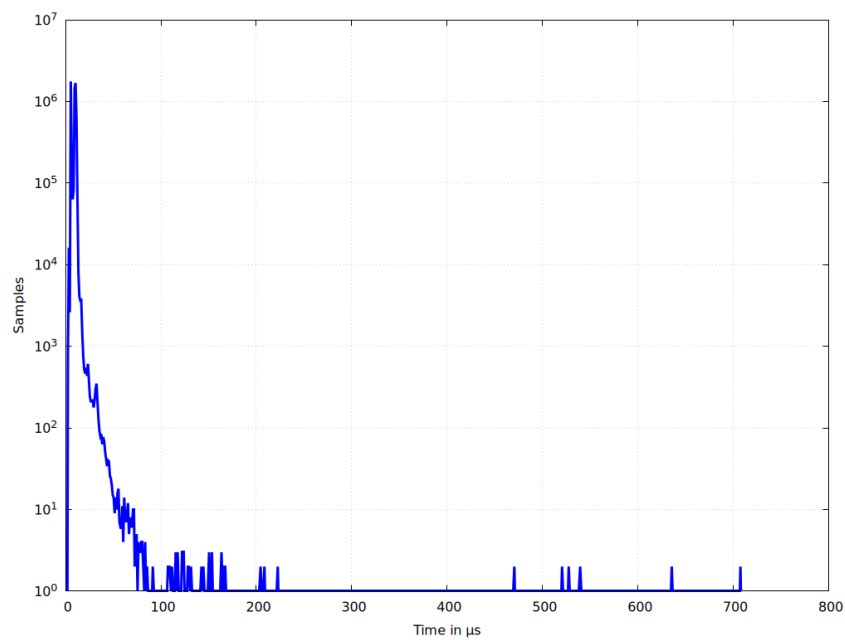


Figure 13: Distribution of Latency of Salamander 4 unmodified Virtualization

The statistics obtained from this measurement are provided in Table 4 and Table 5. The test was again conducted with a sampling period of 100  $\mu\text{s}$ , using a periodic user-mode task, and was assigned a priority of 99.

Param	Samples	Average ( $\mu\text{s}$ )	Std Dev ( $\mu\text{s}$ )
min	599	3.713	1.355
avg	5,999,922	8.247	2.521
max	599	45.705	52.196

Table 4: Statistical Summary of Latency Measurements

Lat Min ( $\mu\text{s}$ )	Lat Avg ( $\mu\text{s}$ )	Lat Max ( $\mu\text{s}$ )	Overruns	Missed Switches
2.536	8.940	707.622	43	0

Table 5: Latency, Overrun, and Missed Switch Data

### 3.1.3 Initial Comparison

Comparing the latency values of the virtualization to those of bare metal in Figure 14, it is evident that there is a significant initial gap in the statistics. A maximum latency of 707.62  $\mu\text{s}$  is not tolerable for a real-time virtualization and needs to be tuned.

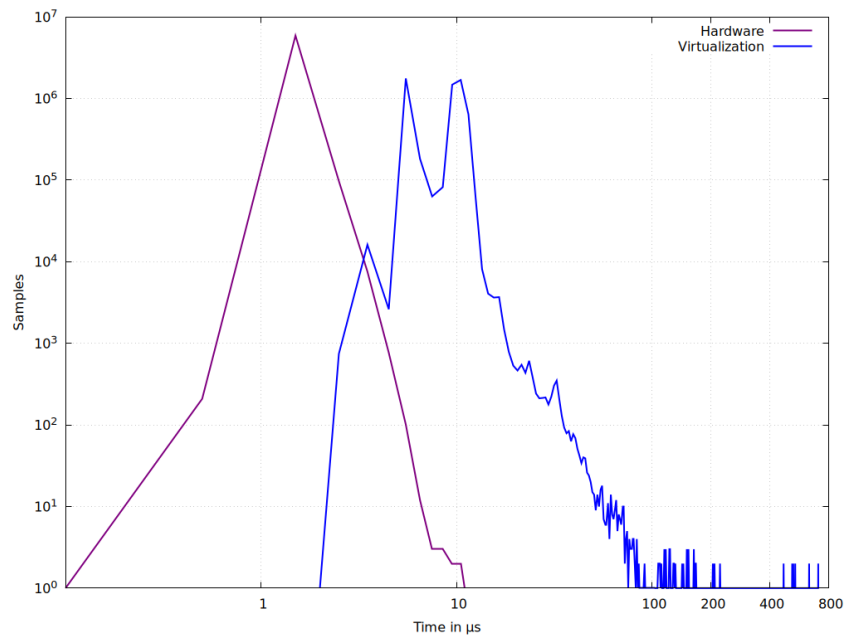


Figure 14: Initial Comparison of Latency Distribution between Hardware and Virtualization

## 3.2 Real-Time Performance Tunings

In this chapter, the significant initial gap in latency statistics between the virtualized system and the bare metal system is tackled. For this reason, an extensive tuning process is carried out. This involves configurations spanning the BIOS, kernel, host OS, QEMU/KVM virtualization layer, and the Salamander 4 OS itself. The individual configurations are discussed in detail and the modifications are justified with a clear explanation. The goal is to bring the latency of the virtualization closer to that of the bare metal, thereby ensuring deterministic behavior under real-time constraints.

It is important to mention that a real-time system implies determinism at every component of the system, ranging from hardware over the kernel to the software and the application on it. As already established earlier, the guest OS Salamander 4 runs Xenomai and is hence real-time capable. The host system also needs to be aware of the real-time determinism in order to achieve highest possible reliability. The very step of achieving the goal of reducing latency is to apply the PREEMPT-RT patch to the host system. This patch is a set of modifications to the Linux kernel with the goal of making it fully preemptible. This means, almost all parts of the kernel can be preempted and higher-priority tasks can interrupt lower-priority ones. This significantly reduces the time it takes for high-priority tasks to start executing after an event [43]. The patch also includes support for high-resolution timers and makes the system more predictable. Determinism and predictability are key for real-time applications where the timing of operations must be guaranteed with precise timing and scheduling [44].

Nevertheless, a real-time kernel alone does not make a system truly “real-time” [45]. Additional modifications are required to achieve this. The next sections will deal with these real-time performance tunings. After each tuning, the `latency` test of Xenomai is executed to evaluate the improved latency. The most important metric of a latency test is without a doubt the worst latency value, because the system is only as reliable as its worst measurement. Since the period of the tests is set to 100µs, there are approximately 1,000,000 samples per second.

### 3.2.1 BIOS Configurations

BIOS stands for Basic Input/Output System. It abstracts the hardware and enables basic functions of a computer during the booting process, such as starting the operating system and loading other software. Since the BIOS is embedded very deep, its configuration can significantly influence the real-time performance of the system. Table 6 illustrates the specific BIOS settings that have been adjusted for the purpose of real-time performance. As an important note, this is not a definitive, full-ended list, and other devices may have additional settings that can be modified to achieve even better latency.

Table 6: BIOS Configurations

Option	Status
Hyper Threading	Disabled
Intel SpeedStep®	Disabled
Intel® Speed Shift Technology	Disabled
C States	Disabled
VT-d	Enabled

In the following, these settings along with their impact on system latency are briefly described.

- **Hyper Threading:** When hyper-threading is enabled in the BIOS settings, this allows CPUs to work on two threads simultaneously instead of just one. This allows the parallelisation of tasks and seemingly increases performance. However, in a real-time system like the guest Salamander 4, this can lead to increased latencies due to contention between threads. In order to ensure more deterministic behavior in the guest, it is disabled on the host.
- **Intel SpeedStep:** This dynamically adjusts the clock speed of the CPU based on workload. These dynamic adjustments of the speed can lead to unpredictable latencies in a real-time system. It is also disabled on the host to maintain a constant CPU speed.
- **Intel® Speed Shift Technology:** Similar to SpeedStep, Speed Shift allows the processor to directly control its frequency and voltage. This can lead to unpredictable latencies, too. Hence, it is also disabled on the host.
- **C States:** These are low-power idle states where the clock frequency and voltage of the CPU are reduced. Transitioning between C-states can cause variable latencies. To prevent this from happening, C-states are disabled on the host.
- **VT-d:** Direct access to physical devices from within virtual machines is possible when VT-d is enabled on the host. This can help reduce latencies associated with I/O operations in the virtual machine. It is therefore enabled on the host.

After these first real-time tunings in the BIOS settings, the `latency` test was run to see, whether this had any significant impact on the determinism of the system. Figure 15 shows the latency values after tuning BIOS settings.

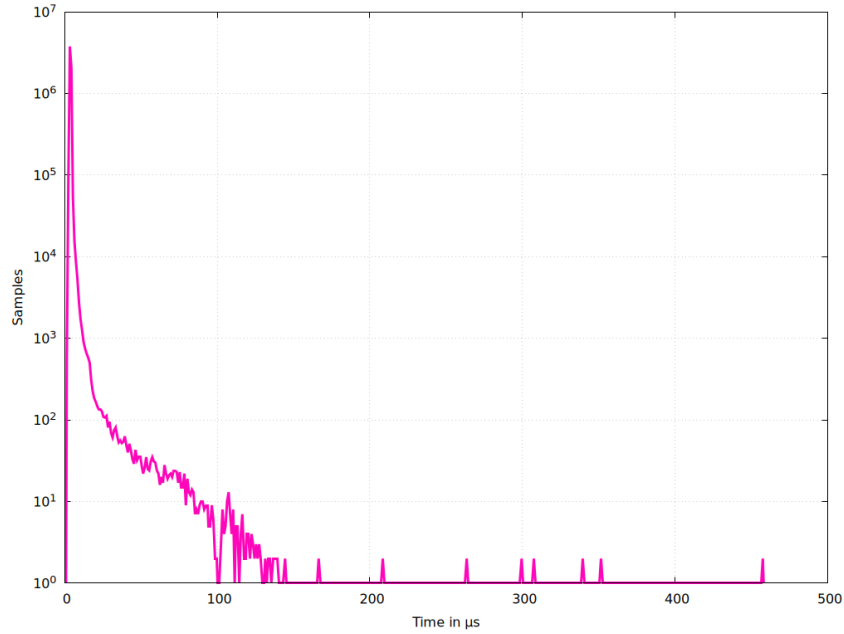


Figure 15: Latency Distribution after tuning BIOS Settings

The statistics obtained from this measurement are provided in Table 4 and Table 5.

Param	Samples	Average ( $\mu\text{s}$ )	Std Dev ( $\mu\text{s}$ )
min	599	1.419	0.507
avg	5,999,885	3.398	1.251
max	599	74.015	26.590

Table 7: Statistical Summary of Latency Measurements

Lat Min ( $\mu\text{s}$ )	Lat Avg ( $\mu\text{s}$ )	Lat Max ( $\mu\text{s}$ )	Overruns	Missed Switches
0.969	3.948	457.545	94	0

Table 8: Latency, Overrun, Missed Switch, Best, and Worst Data

The maximum latency value recorded was  $457.545\mu\text{s}$ . When compared to the initial worst latency of  $707.62\mu\text{s}$ , this is a step for the better, but certainly still not acceptable within this work's definition of hard real-time determinism.

### 3.2.2 Kernel Configurations

The kernel command-line parameters are shown in Code 5 below. To access and modify them, the file is located in `/etc/default/grub`.

```
1 GRUB_CMDLINE_LINUX="isolcpus=4 rcu_nocbs=4 rcu_nocb_poll nohz_full=4
    nohz=on default_hugepagesz=1G hugepagesz=1G hugepages=8 intel_iommu=on
    rdt=13cat nmi_watchdog=0 idle=poll clocksource=tsc tsc=reliable audit=0
    skew_tick=1 intel_pstate=disable intel.max_cstate=0
    intel_idle.max_cstate=0 processor.max_cstate=0
    processor_idle.max_cstate=0 nosoftlockup no_timer_check nospectre_v2
    spectre_v2_user=off kvm.kvmclock_periodic_sync=N kvm_intel.ple_gap=0
    irqaffinity=0"
```

Code 5: Kernel Configuration

In the following, these settings along with their impact on system latency are briefly described.

- **isolcpus=4**: Isolates CPU 4 from the general scheduler, meaning no process will be scheduled to run on this CPU unless it is explicitly assigned. CPU isolation is explained in detail in Subsubsection [3.2.3.1](#).
- **rcu\_nocbs=4**: The Linux kernel uses a synchronization mechanism called RCU, or Read-Copy-Update. It lets writers update the data in a way that guarantees readers will always see the same version while enabling multiple readers to access shared data without locks. The RCU subsystem uses callback functions that need to be invoked once readers are done with the data they accessed. By default, these callbacks are handled by the CPUs that executed the read-side critical sections. This parameter offloads RCU callback handling from CPU 4 to other CPUs. CPU 4 remains dedicated to high-priority tasks which helps in reducing latency.
- **rcu\_nocb\_poll**: This is used together with `rcu_nocbs` and causes the system to actively poll for RCU callbacks to invoke, instead of waiting for the next RCU grace period. This reduces latency.
- **nohz\_full=4**: Makes CPU core 4 “tickless”, meaning the kernel tries to avoid sending periodic scheduling-clock interrupts to the CPU when there are no runnable tasks. This lowers latency by reducing unnecessary wake-ups but may increase power consumption because the CPU is not able to enter a low-power state when idle. Additionally, timer interrupts cannot be fully eliminated because certain events, such as incoming interrupts or task activations, can still cause the kernel to send timer interrupts to the tickless CPU.
- **nohz=on**: Sets all CPUs to tickless mode system-wide.
- **default\_hugepagesz=1G, hugepagesz=1G, hugepages=8**: Huge pages are large contiguous areas of memory that can be used by applications and the kernel, instead of

the traditional 4KB small pages. The default huge page size is set to 1GB, and 8 huge pages of 1GB size are reserved at boot. This pre-allocation makes sure that these large memory regions are available to be used by the kernel or applications, without having to dynamically allocate and potentially fail.

- **intel\_iommu=on**: Enables Intel's IOMMU (Input/Output Memory Management Unit), which connects a DMA (Direct Memory Access)-capable I/O bus, such as graphics cards and network adapters, to the main memory. It allows these devices to directly access and use memory, which is especially helpful when they are virtualized.
- **rdt=l3cat**: Activates the L3 CAT (L3 Cache Allocation Technology) feature of Intel's RDT (Resource Director Technology). Unlike L1 and L2 caches, where each core has its fixed capacity, L3 cache is a shared pool among multiple cores. L3 CAT controls the amount of L3 cache that a process can use. By controlling cache allocation, it can prevent a single process from monopolizing the L3 cache, which is particularly beneficial in virtualized environments, where multiple virtual machines share the same physical host.
- **nmi\_watchdog=0**: Disables the NMI (Non-Maskable Interrupt) watchdog, which is a debugging feature of the Linux kernel. It works by periodically generating non-maskable interrupts. If the system does not respond to these interrupts within a certain timeframe, the NMI watchdog concludes that the system has hung and generates a system dump for debugging. This constant monitoring consumes CPU cycles and can introduce undesirable latency in real-time systems.
- **idle=poll**: Changes the CPU's idle loop behavior to active polling. Instead of entering a low-power state when idle, the CPU continuously polls for new tasks. This can reduce task start latency in real-time systems, but it increases power consumption.
- **clocksource=tsc, tsc=reliable**: TSC (Time Stamp Counter) is a high-resolution timer provided by most x86 processors that counts the number of CPU cycles since it was last reset. Accurate timekeeping is crucial, particularly for real-time systems. These parameters set the clocksource to TSC and mark it as a reliable source of timekeeping, meaning it increments at a consistent rate and does not stop when the processor is idle.
- **audit=0**: Disables the Linux audit system. When it is enabled, it generates log entries for security-relevant events, which is a slow operation since they are written to disk. If there are a large number of such events, the audit system can consume significant CPU time and I/O bandwidth which could lead to higher latency.
- **skew\_tick=1**: Enables a mode in the Linux kernel that reduces timer interrupt overhead. Normally, timer interrupts happen simultaneously on all CPUs, resulting in all CPUs to exit their low-power states at once. This can lead to increased contention for system resources. When enabled, the kernel offsets the timer interrupts on different CPUs, spreading them out over time.



- **intel\_pstate=disable**: Disables the Intel P-state driver, which is a part of the Linux kernel that handles power management for Intel CPUs. It controls the frequency of the CPU by scaling it up when demand is high and scaling it down to save power when demand is low. This dynamic frequency scaling is disabled because it leads to increased latencies for real-time systems.
- **intel.max\_cstate=0, intel\_idle.max\_cstate=0, processor.max\_cstate=0, processor\_idle.max\_cstate=0**: These parameters disable deeper C-states (CPU power saving states). Normally, when a CPU is idle, it can enter various C-states, with higher-numbered states representing deeper sleep states that save more power but take longer to wake up from. In real-time systems, these wake-up delays can be problematic. Disabling them keeps the CPUs ready to respond quickly to new tasks and helps in reducing latency.
- **nosoftlockup**: Disables the soft lockup detector in the Linux kernel. A soft lockup is when a CPU is busy executing kernel code for a long period of time without giving other tasks a chance to run. Especially threads with SCHED\_FIFO policy occupy the CPU for an extensive duration. This is detected and reported by the soft lockup detector, hence it is disabled to prevent these unnecessary warnings.
- **no\_timer\_check**: Disables the check for broken timer interrupt sources. Broken timer interrupt sources are problems with hardware or software that prevent timer interrupts from working as intended. Such a timer may not generate interrupts at the expected rate or at all. The kernel skips the checks for these broken timer interrupt sources, which can cause unnecessary overhead in a real-time system where every CPU cycle counts.
- **nospectre\_v2, spectre\_v2\_user=off**: These parameters disable mitigations for the Spectre v2 vulnerability. Spectre v2 is a hardware vulnerability that affects many modern microprocessors and can allow malicious programs to access sensitive data they are not supposed to. While this is necessary for security, it has an impact on performance and should be turned off in controlled environments where the risk of exploitation is low.
- **kvm.kvmclock\_periodic\_sync=N, kvm\_intel.ple\_gap=0**: These are KVM (Kernel-based Virtual Machine) related parameters. They disable the periodic synchronization of the kvmclock and set the gap between PLE (Pause Loop Exiting) events to 0. The kvmclock is a paravirtualized clock source provided by KVM to its guest OS and disabling this reduces latency introduced by clock synchronization. By setting the gap to 0, the virtual machine exits the pause loop immediately, which can reduce latency in spinlock-intensive workloads.
- **irqaffinity=0**: Sets the default interrupt request affinity to none. This means that no CPU core is preferred over another for handling IRQs. Instead, it lets the operating system decide how to distribute these IRQs across all the CPUs. Subsubsection [3.2.3.2](#) dives deeper into interrupt request affinity.

After the kernel modifications on top of the BIOS settings from the last subsection, Figure 16 demonstrates the latency values gathered in 10 minutes.

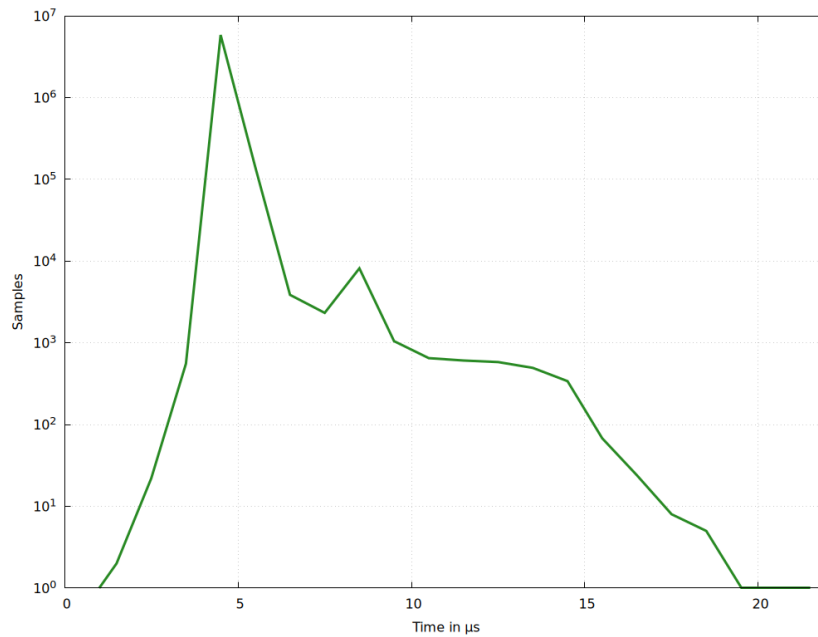


Figure 16: Latency Distribution after tuning Kernel Settings

The statistics obtained from this measurement are provided in Table 9 and Table 10.

Param	Samples	Average (μs)	Std Dev (μs)
min	599	3.356	0.551
avg	5,999,972	4.036	0.290
max	599	13.484	1.454

Table 9: Statistical Summary of Latency Measurements

Lat Min (μs)	Lat Avg (μs)	Lat Max (μs)	Overruns	Missed Switches
2.545	4.811	21.694	0	0

Table 10: Latency, Overrun, and Missed Switch Data

Apparent is a significant improvement in the latency of the virtualized Salamander 4 OS. With a worst latency value of 21.694μs, the real-time tunings appear to be working as desired.

### 3.2.3 Host OS Configurations

The host OS needs to provide an environment where the guest OS can operate in real-time. This entails a number of host-side adjustments to reduce interruptions and latency. In the following, a detailed overview of these configurations and their impact on the real-time performance of the guest Salamander 4 OS is provided.

#### 3.2.3.1 CPU affinity and Isolation

Isolating CPUs means removing all user-space threads and unbound kernel threads, since bound kernel threads are tied to specific CPUs and hence cannot be moved. For CPU isolation, the `isolcpus` function is used to isolate a performance CPU from the general scheduling algorithms of the operating system. This means that the isolated CPUs will not be used for regular task scheduling, allowing them to be dedicated for the real-time specific tasks. However, the `isolcpus` function only isolates at the user level and does not affect kernel tasks. Consequently, these kernel tasks and interrupts can still utilize the CPU [20], including `systemd` services. To prevent `systemd` services from running on an isolated CPU, the CPU affinity can be set with the line `CPUAffinity=0 1 2 3 5` in the `/etc/systemd/system.conf` file to indicate the CPUs that `systemd` services are allowed to run on. Every CPU other than the isolated CPU 4 is allowed. Code 6 shows the user and kernel tasks that run on CPU 4. An isolated CPU can be bound to a task by using `taskset -c <CPU>`, as shown later in Subsection 3.2.5. After the isolation, user tasks other than the QEMU process have been removed from running on this CPU. Only few per-CPU kernel threads that are tied to this CPU still take CPU time.

```
1 sigma_ibo@sigma-ibo:~$ cat /sys/devices/system/cpu/isolated
2 4
3 sigma_ibo@sigma-ibo:~$ ps axHo psr,pid,lwp,args,policy,nice,rtprio | awk
   '$1 == 4'
```

4	4	38	38	[cpuhp/4]	TS	0	-
5	4	39	39	[idle_inject/4]	FF	-	50
6	4	40	40	[migration/4]	FF	-	99
7	4	41	41	[ksoftirqd/4]	TS	0	-
8	4	42	42	[kworker/4:0-events]	TS	0	-
9	4	43	43	[kworker/4:0H-kblockd]	TS	-20	-
10	4	153	153	[kworker/4:1-events]	TS	0	-
11	4	81649	81649	qemu-system-x86_64 -M pc,ac	TS	0	-
12	4	81649	81654	qemu-system-x86_64 -M pc,ac	TS	0	-
13	4	81649	81676	qemu-system-x86_64 -M pc,ac	TS	0	-
14	4	81649	81702	qemu-system-x86_64 -M pc,ac	TS	0	-
15	4	81649	82185	qemu-system-x86_64 -M pc,ac	TS	0	-
16	4	81649	82187	qemu-system-x86_64 -M pc,ac	TS	0	-
17	4	82134	82134	[kworker/4:1H-kblockd]	TS	-20	-

Code 6: User and Kernel Tasks

### 3.2.3.2 Interrupt Affinity

Once the CPUs were isolated, interrupt requests handling was the next step. The purpose of interrupt requests is to inform the CPU to stop working on a certain job and start working on another. This allows hardware devices to communicate with the CPU through frequent context switches, which can introduce latency in real-time systems. All the existing interrupts can be monitored using the command `watch -d -n 1 cat /proc/interrupts` to observe changes in the interrupt requests handled by each CPU in real-time. The IRQs needed to be removed from the isolated CPU by manipulating the `/proc/irq/<IRQ>/smp_affinity` files. The value in the `smp_affinity` file is a bitmask in hexadecimal format where each bit corresponds to a CPU. The least significant bit (LSB) on the right corresponds to the first CPU (CPU0), and the most significant bit (MSB) on the left corresponds to the last CPU (CPU5). When there are 6 CPUs available, the default value for `smp_affinity` would be 3F (11 1111). Removing CPU 4 out of this bitmask would be setting bit five to zero, resulting in 2F (10 1111). The python script in Code 7 was written to show a table of the distribution of interrupt requests across each CPU, since the `/proc/interrupts` file does not give away this information and looking individually in the `/proc/irq/<IRQ>/smp_affinity` files for every IRQ would be time-consuming. The program first reads the CPU affinity settings for each IRQ from the `/proc/irq` directory and stores the CPUs assigned to each IRQ in a dictionary. It then creates a data frame to represent this information in a table format, marking the assigned CPUs with 'x'. Finally, it prints the table and saves it as a markdown file.

However, as the `proc` filesystem resets to its default state after each reboot, manually changing numerous IRQ files through the said bitbasks is tedious and time-consuming. This process can be automated using the shell script in Code 8, which can automatically be executed after every reboot.

Additionally, the CPU affinity of IRQ threads of NVME (Non-Volatile Memory Express, a type of SSD storage) can be set away from CPU 4 to avoid impacting real-time workloads. This can be done through finding out the respective process IDs through `ps -e | grep irq/.nvme` and then executing `sudo taskset -a -p -c 0 <PID>`.

```

1     import os
2     import pandas as pd
3     from tabulate import tabulate
4
5     # Get the number of CPUs
6     num_cpus = os.cpu_count()
7
8     # Initialize a dictionary to store the CPUs for each IRQ
9     irqs = {}
10
11    # Iterate over each IRQ
12    for irq in os.listdir('/proc/irq'):
13        # Check if the smp_affinity file exists for this IRQ
14        if os.path.isfile(f'/proc/irq/{irq}/smp_affinity'):
15            # Read the current smp_affinity
16            with open(f'/proc/irq/{irq}/smp_affinity', 'r') as f:
17                affinity = int(f.read().strip(), 16)
18            # Initialize an empty list to store the CPUs for this IRQ
19            cpus = []
20            # Iterate over each CPU
21            for cpu in range(num_cpus):
22                # Check if the bit for the current CPU is set
23                if ((affinity & (1 << cpu)) != 0):
24                    # Add the CPU to the list for this IRQ
25                    cpus.append(cpu)
26            # Sort the list of CPUs
27            cpus.sort()
28            # Add the list of CPUs to the dictionary for this IRQ
29            irqs[irq] = cpus
30
31    # Create a DataFrame to store the table
32    df = pd.DataFrame(index=sorted(irqs.keys(), key=int),
33                      columns=range(num_cpus))
34
35    # Fill the DataFrame with 'x' where a CPU is assigned to an IRQ
36    for irq, cpus in irqs.items():
37        for cpu in cpus:
38            df.loc[irq, cpu] = 'x'
39
40    # Replace NaN values with empty strings
41    df.fillna('', inplace=True)
42
43    # Print the table in pipe format
44    print(tabulate(df, headers='keys', tablefmt='pipe', showindex=True))
45
46    # Convert the DataFrame to a markdown table
47    markdown_table = df.to_markdown()
48
49    # Write the markdown table to a file
50    with open('table_CPU_IRQ.md', 'w') as f:
51        f.write(markdown_table)

```

Code 7: Check distribution of interrupt requests across each CPU

```

1      #!/bin/bash
2
3      # Check if a command-line argument is provided
4      if [ -z "$1" ]; then
5          echo "Please provide a CPU number as a command-line argument."
6          exit 1
7      fi
8
9      # Get the CPU number from the command-line argument
10     CPU=$1
11
12     # Define the mask values
13     declare -A mask_values
14     mask_values=( [0]="3ffe" [1]="3ffd" [2]="3ffb" [3]="3ff7" [4]="3fef"
15                   [5]="3fdf" [6]="3fbf" [7]="3f7f" [8]="3eff" [9]="3dff" [10]="3bff"
16                   [11]="37ff" [12]="2fff" [13]="17ff")
17
18     # Run the check_smp_affinity.sh script and get the IRQs
19     IRQs=$(./check_smp_affinity.sh $CPU | grep -o '[0-9]\+')
20
21     # Initialize an empty array to store the IRQs that could not be removed
22     failed_IRQs=()
23
24     # Initialize an empty array to store the IRQs that were successfully
25     # removed
26     succeeded_IRQs=()
27
28     # Loop over the IRQs
29     for IRQ in $IRQs; do
30         # Try to change the smp_affinity
31         echo ${mask_values[$CPU]} | sudo tee /proc/irq/$IRQ/smp_affinity >
32         /dev/null 2>&1
33
34         # If the command failed, add the IRQ to the failed_IRQs array
35         if [ $? -ne 0 ]; then
36             failed_IRQs+=($IRQ)
37         else
38             succeeded_IRQs+=($IRQ)
39         fi
40     done
41
42     # Check if there were any failed IRQs
43     if [ ${#failed_IRQs[@]} -ne 0 ]; then
44         echo "IRQs ${failed_IRQs[@]} could not be removed from CPU $CPU."
45     fi
46
47     # Check if there were any successful IRQs
48     if [ ${#succeeded_IRQs[@]} -ne 0 ]; then
49         # Remove the first entry from the succeeded_IRQs array
50         succeeded_IRQs=("${succeeded_IRQs[@]:1}")
51         echo "IRQs ${succeeded_IRQs[@]} were removed from CPU $CPU."
52     fi

```

Code 8: Change IRQ assignment of a CPU

### 3.2.3.3 RT-Priority

Having a real-time kernel itself is an indispensable step of achieving deterministic behavior, but not enough by itself to take full advantage of the real-time capabilities. One key aspect of this are real-time priorities, thoroughly explained by Richard Weinberger in [46]. In essence, Table 11 lists minimum and maximum priorities for different scheduling policies in Linux.

Table 11: Minimum and maximum priorities for different scheduling policies

Scheduling Policy	Min Priority	Max Priority
SCHED_OTHER	0	0
SCHED_FIFO	1	99
SCHED_RR	1	99
SCHED_BATCH	0	0
SCHED_IDLE	0	0
SCHED_DEADLINE	0	0

`SCHED_FIFO` allows deterministic, high-priority execution of critical tasks without being pre-empted by lower-priority processes. The virtual machine can either be started with `chrt -f <PRIO>` or adjusted at a later point with `chrt -f <PRIO> <PID>`. It is also important that there are no other unexpected real time processes running on the system concurrently.

### 3.2.3.4 Disable RT Throttling

If a real-time task consumes 100% of the CPU time, the system may become unresponsive as a whole. This happens because an RT process is constantly using the CPU and the Linux scheduler will not schedule other non-RT processes in the meantime. To prevent complete system lockups, the kernel has a function to throttle RT processes if they consume 0.95 seconds out of every 1 second of CPU time. It does this by pausing the process for the remaining 0.05 seconds, which is not desired because this could result in missed deadlines. RT throttling can be disabled by writing the value -1 to the `/proc/sys/kernel/sched_rt_runtime_us` file. This change also needs to be made permanent because the proc filesystem resets to its default state after each reboot. For this purpose, the line `kernel.sched_rt_runtime_us = -1` can be appended to the end of the `/etc/sysctl.conf` file, which is read at boot time and used to configure kernel parameters. This reduces the potential for missed deadlines.

### 3.2.3.5 Disable Timer Migration

Timer migration allows timers to be moved from one CPU to another, which means the kernel can balance load across multiple CPUs. In a real-time system, this can introduce latency and jitter. To disable timer migration, the value “0” needs to be written to the `/proc/sys/kernel/timer_migration` file. This change also needs to be made permanent by writing the line `kernel.timer_migration = 0` to the `/etc/sysctl.conf` file. This reduces the amount of context switches and interrupts.

### 3.2.3.6 Set Device Driver Work Queue

The device driver work queue allows time-consuming tasks to be offloaded to be processed later in a separate kernel thread. By setting the work queue away from CPU 4 to another CPU, it is free to handle real-time tasks without being interrupted by these work queue tasks. This is done by specifying a bitmask to exclude CPU 4 in the files `/sys/devices/virtual/workqueue/cpumask` and `/sys/bus/workqueue/devices/writeback/cpumask`. In this case, that is 2F.

### 3.2.3.7 Disable RCU CPU Stall Warnings

As already mentioned in Section 3.2.2, the Linux kernel uses RCU as a synchronization mechanism for reading from and writing to shared data. An RCU CPU stall in the Linux kernel can occur due to several reasons. These include a CPU looping in an RCU read-side critical section, a CPU looping with interrupts disabled, a CPU looping with preemption disabled, or a CPU not getting around to less urgent tasks, known as “bottom halves”. The number of seconds the kernel should wait before checking for stalled CPUs and reporting a stall warning can be set via the `/sys/module/rcupdate/parameters/rcu_cpu_stall_timeout` file. These warnings can be suppressed altogether to reduce the potential for increased latency by writing “1” to the `/sys/module/rcupdate/parameters/rcu_cpu_stall_suppress` file. This setting is also not persistent across reboots, so the command needs to be added to a startup script.



### 3.2.3.8 Stop Certain Services

Services like `irqbalance.service`, `thermald.service`, and `wpa_supplicant.service`, as presented in Table 12, can be further sources for random latency and unnecessary overhead. Stopping these services through `sudo systemctl stop <SERVICE>` means, they will not be able to interrupt the CPU with their tasks.

Table 12: Description of Services

Service	Description
<code>irqbalance.service</code>	Distributes hardware interrupts across CPUs
<code>thermald.service</code>	A daemon that prevents overheating
<code>wpa_supplicant.service</code>	A service for wireless network devices

### 3.2.3.9 Disable Machine Check

Machine checks report hardware errors and these checks can cause interruptions and increase latency. Hence, it is best to disable them in real-time scenarios by writing a “0” to the `/sys/devices/system/machinecheck/machinecheck0/check_interval` file.

### 3.2.3.10 Boot into text-based environment

The Graphical User Interface (GUI) consumes great amounts of system resources. It often runs different background processes that are not essential for real-time systems and hence increase latency. These resources can be freed up by switching to a text-based environment. This way, processing power and memory can be allocated to critical real-time tasks which in turn can lead to lower latency and deterministic behavior. The command `systemctl set-default multi-user.target` and a following reboot allow for booting into a text-based environment. For the sake of completeness, the graphical interface can be brought back through the command `systemctl set-default graphical.target` and a following reboot.

After these comprehensive host configurations, the result of the `latency` test is depicted in Figure 25.

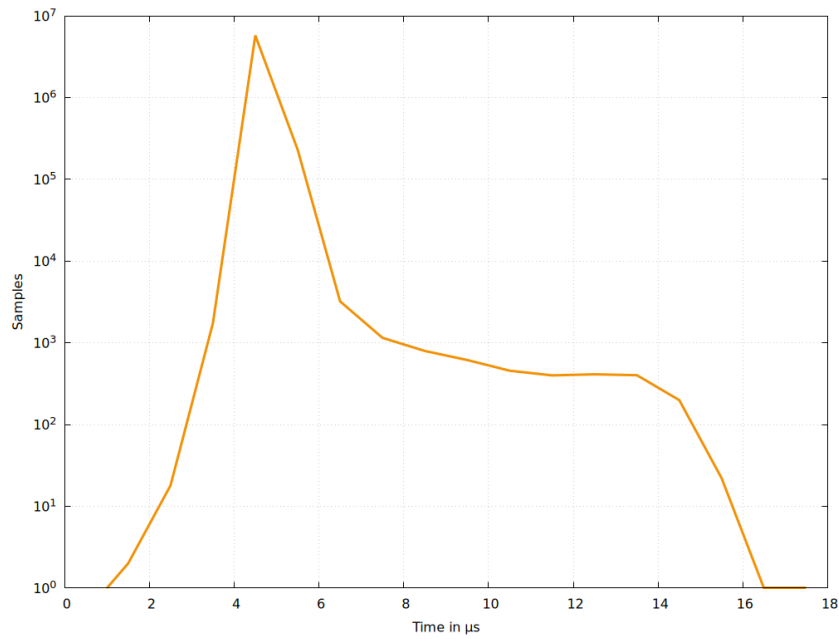


Figure 17: Latency rt kernelparam host

The statistics obtained from this measurement are provided in Table 13 and Table 14.

Param	Samples	Average (μs)	Std Dev (μs)
min	599	2.998	0.242
avg	5,999,972	4.043	0.255
max	599	12.124	1.828

Table 13: Statistical Summary of Latency Measurements

Lat Min (μs)	Lat Avg (μs)	Lat Max (μs)	Overruns	Missed Switches
2.591	4.834	17.041	0	0

Table 14: Latency, Overrun, and Missed Switch Data

A worst latency value of 17.041μs shows that the virtualized Salamander 4 OS got even more reliable with the host configurations.

## 3.2.4 QEMU/KVM Configurations

KVM allows the guest OS to run directly on the hardware, bypassing the need for traditional emulation which can introduce delays. QEMU, when used with KVM, provides hardware-assisted virtualization, which also lowers latency in the guest OS.

### 3.2.4.1 Tune LAPIC Timer Advance

The Local Advanced Programmable Interrupt Controller (LAPIC) is a built-in timer that handles the delivery of interrupts to the CPU. It generates interrupts at a rate. This rate can be tuned in the `/sys/module/kvm/parameters/lapic_timer_advance_ns` file to reduce the frequency of interrupts and therefore decrease the latency of the guest VM. The default value is “-1”, which means that the kernel will automatically calculate an appropriate advance for the timer. Here, it is set to the value “7500”. Hence, the timer interrupt will be delivered 7500 nanoseconds earlier than it is actually due. This gives the VM more time to handle it.

### 3.2.4.2 Set QEMU Options for real-time VM

QEMU provides several options that can be used to improve the real-time performance of the guest VM. Table 15 briefly explains these options.

Table 15: QEMU options for real-time performance

QEMU Option	Description
<code>-object memory-backend-ram, id=ram0, size=4G, prealloc=on</code>	Locks the memory of the VM to 4GB and prevents it from being swapped out to disk
<code>-mem-prealloc</code> <code>-mem-path /dev/hugepages/</code>	Enables the use of hugepages and improves memory access

Code 9 shows the final QEMU script used to start the Salamander 4 virtualization, including these options.

```

1  #!/bin/sh
2
3  if [ ! -d drive-c/ ]; then
4      echo "Filling drive-c/"
5      mkdir drive-c/
6      tar -C drive-c/ -xf stek-drive-c-image-sigmatek-core2.tar.gz
7  fi
8
9  exec taskset -c 4 chrt -f 99 qemu-system-x86_64 -M pc,accel=kvm -kernel
    ./bzImage \
10 -m 2048 -drive
    file=salamander-image-sigmatek-core2.ext4,format=raw,media=disk \
11 -append "console=ttyS0 console=tty1 root=/dev/sda rw panic=1
    sigmatek_lrt.QEMU=1 ip=dhcp rootfstype=ext4 schedstats=enable nohlt
    idle=poll quiet xeno_hal.smi=1 xenomai.smi=1 threadirqs" \
12 -net nic,model=e1000,netdev=e1000 -netdev bridge,id=e1000,br=nm-bridge \
13 -fsdev local,security_model=none,id=fsdev0,path=drive-c -device
    virtio-9p-pci,id=fs0,fsdev=fsdev0,mount_tag=/mnt/drive-C \
14 -device vhost-vsock-pci,guest-cid=3,id=vsock0 \
15 -drive if=pflash,format=qcow2,file=ovmf.code.qcow2 \
16 -object memory-backend-ram,id=ram0,size=4G,prealloc=on \
17 -mem-prealloc -mem-path /dev/hugepages \
18 -no-reboot -nographic

```

Code 9: Tuned QEMU script for starting Salamander 4 virtualization

After QEMU configurations, the result of the latency test is depicted in Figure 18.

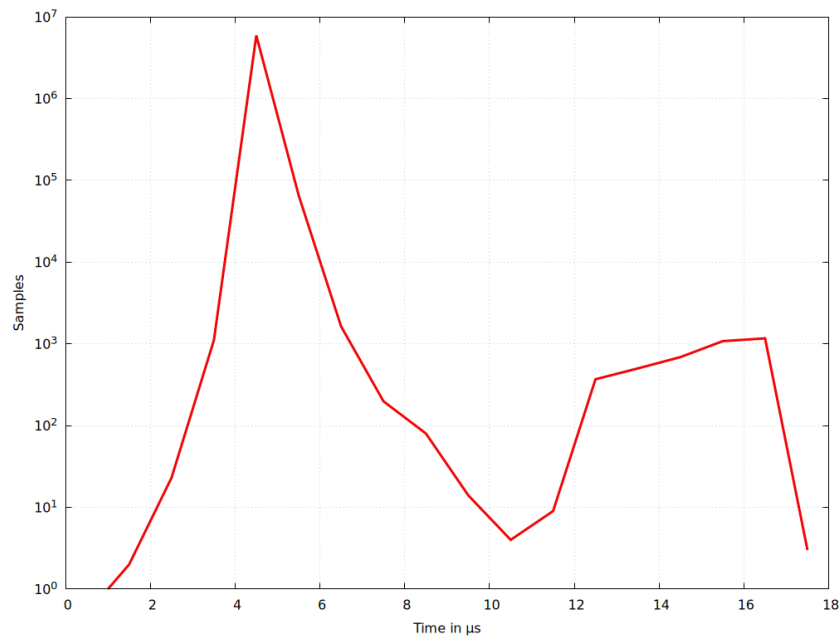


Figure 18: Latency rt kernelparam host qemu

The statistics obtained from this measurement are provided in Table 16 and Table 17.

Param	Samples	Average ( $\mu$ s)	Std Dev ( $\mu$ s)
min	599	3.125	0.432
avg	5,999,973	4.018	0.291
max	599	15.883	0.351

Table 16: Statistical Summary of Latency Measurements

Lat Min ( $\mu$ s)	Lat Avg ( $\mu$ s)	Lat Max ( $\mu$ s)	Overruns	Missed Switches
2.614	4.779	17.134	0	0

Table 17: Latency, Overrun, and Missed Switch Data

While the worst latency value did not change much, the standard deviation of the maximum latency samples of each second, with the test lasting 600 seconds and thus having 600 worst latencies, decreased. This means, the system is more stable and predictable, thanks to the real-time tunings that have been performed till this point.

### 3.2.5 Guest OS Configurations

The guest OS, Salamander 4, is already based on Xenomai and uses the Cobalt real-time core with the Dovetail extension. Therefore, no additional modifications were necessary for the guest OS itself. The focus was primarily on optimizing the host and virtualization layer to achieve the desired real-time performance.

### 3.2.6 Other Configurations

There are other configurations that may be relevant depending on the case. Linux Kernel Developer Steven Rostedt explains all relevant aspects of a real-time system that must be considered in [47] and gives insight for finding sources of latency on the Linux system in [48]. A Checklist for writing Linux real-time applications is provided by John Ogness in [49]. Every layer of the system stack must be deterministic to ensure predictable and reliable latency, including hardware, operating system, middleware and drivers, and the application software. [50] and [51] describe the process of writing hard real time Linux programs using the real-time preemption patch in great detail. Various hardware and software tunings are listed in [52] and [23].

### 3.3 Real-Time Robotic Application

This chapter compares the latency of the Salamander 4 operating system before and after the real-time performance tunings with the latency of Salamander 4 running on bare metal hardware. This comparison is done with the aid of a program that will be explained shortly. The goal is to understand how closely the performance of the virtualization can match that of the bare metal. The experimental setup includes a six-axis mini-robot, illustrated in Figure 19.



Figure 19: Mini-robot of the experiment

The drive system of the robot arm consists of six MG996R digital servo motors [53], equipped with a metal gearbox. The motor is able to rotate in a range of approximately 180 degrees and its position can be controlled with a high degree of accuracy. Each servo motor has three wires that need to be connected as shown in Figure 20.



Figure 20: MG996R Servo Motor [53]

To drive the motor, it has to be powered using the red and brown wires and can be controlled by sending PWM signals to the orange wire. In this experiment, this PWM signal is generated by the proprietary PW 022 pulse width module of Sigmatek [54]. Its connector layout is illustrated in Figure 21.

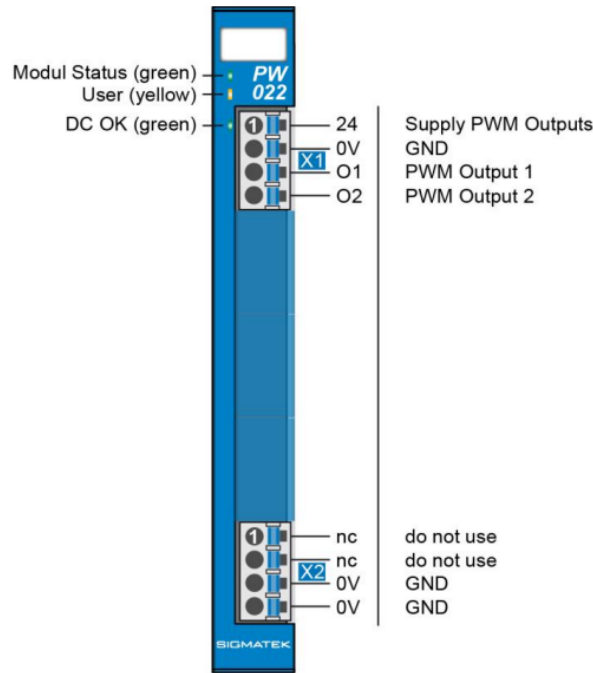


Figure 21: PW 022 pulse width module connector layout [54]

The module has two +24 V switching PWM outputs with an adjustable frequency for controlling inductive loads. Since the mentioned servo motors operate between 4.8 volts and 7.2 volts [53], this voltage needed to be reduced through resistors before supplying it to a servo motor. For this purpose, two resistors with resistances of 2500 kilohms and 1000 kilohms were connected in series. The voltage across each resistor is calculated using Ohm's law, which is given by equation 1 below.

$$V = I \cdot R \quad (1)$$

In this equation,  $V$  represents the voltage across the resistor,  $I$  is the current flowing through the resistor, and  $R$  is the resistance of the resistor. The resulting voltages across the resistors are as follows:

- The voltage across the 2.5 kilohm resistor is approximately 17.14 volts.
- The voltage across the 1 kilohm resistor is approximately 6.86 volts. This voltage was then supplied to the control wire of servo motor 1 of the mini-robot.

Connecting a second servo motor of the mini-robot means repeating the process of reducing the voltage through resistors for the second PWM output of the PW 022 module. The connection between the PW 022 module and the motor of the mini-robot is demonstrated in Figure 22.

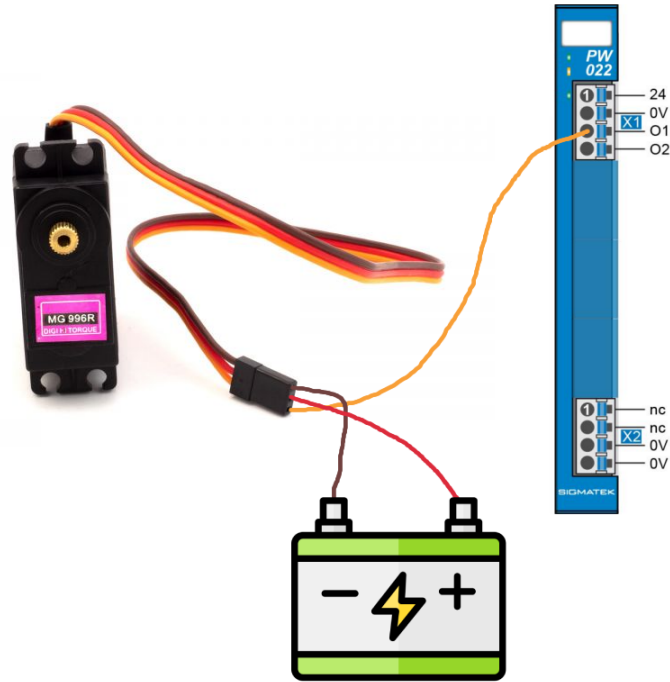


Figure 22: Connection between PW 022 and mini-robot [55]

The program was written in Lasal Class 2 and was applied to all three mentioned versions of Salamander 4 to measure the reaction time of the robot to the specific commands. Prior to examining the software program, the next two subsections briefly explain the setup of each version of the experiment.

### 3.3.1 Setup of Hardware Salamander 4

In this version, Salamander 4 runs on the CP 841 [36] CPU unit, specifically designed for the Salamander 4 operating system. The PW 022 module is directly mounted on the CPU via the S-DIAS bus and communicates over the hard real-time capable Ethernet VARAN with 100 Mbit/s [56]. The setup is visible on Figure 23.



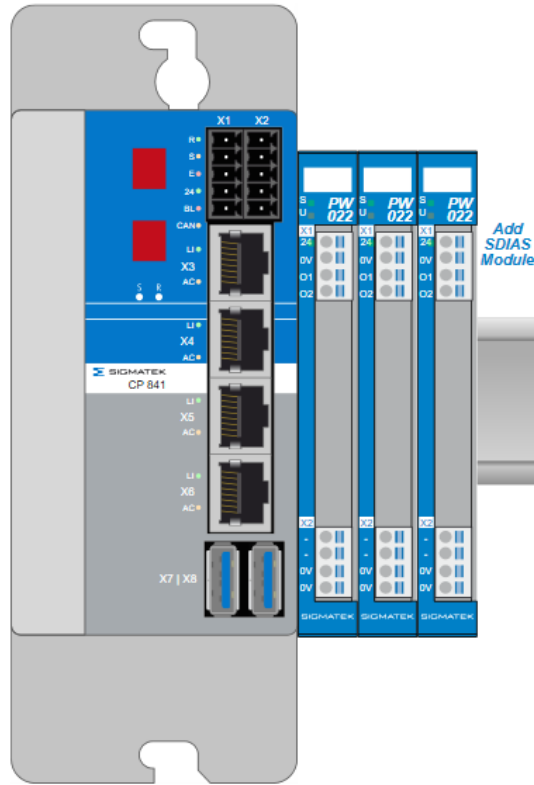


Figure 23: Hardware tree

### 3.3.2 Setup of QEMU Salamander 4

In the virtualized setup of the experiment, the VCPU functionality of QEMU is used to connect QEMU with the PWM module. In order to achieve that, the PCV 522 VARAN Manager PCI Insert Card [57], which serves as a bridge between the PC and the rest of the setup, needed to be plugged into the PC. The command `lspci -nn` lists all PCI devices along with their vendor and device IDs. In this case, the command for binding the PCV 522 module to the VFIO-PCI driver was `sudo sh -c 'echo "5112 2200" > /sys/bus/pci/drivers/vfio-pci/new_id'` with vendor ID 5112 and device ID 2200. To verify that the device has been successfully bound to the VFIO-PCI driver, `lspci -v` can be used. On top of the binding process, the QEMU script from the previous sections also needed to be modified to include the PCV 522 VARAN Manager PCI Insert Card. This is done by adding `-device vfio-pci,host=03:00.0` to the QEMU script, where 03:00.0 refers to the PCI address of the device, with 03 being the bus number, 00 the device number, and 0 the function number. The final script can be seen in Code 10.

```

1  #!/bin/sh
2
3  if [ ! -d drive-c/ ]; then
4      echo "Filling drive-c/"

```

```

5         mkdir drive-c/
6         tar -C drive-c/ -xf stek-drive-c-image-sigmatek-core2.tar.gz
7     fi
8
9     exec taskset -c 4-5 chrt -f 99 qemu-system-x86_64 -M pc,accel=kvm -kernel
10        ./bzImage \
11        -m 2048 -drive
12            file=salamander-image-sigmatek-core2.ext4,format=raw,media=disk \
13        -append "console=ttyS0 console=tty1 root=/dev/sda rw panic=1
14            sigmatek_lrt.QEMU=1 ip=dhcp rootfstype=ext4 schedstats=enable nohlt
15            idle=poll quiet xeno_hal.smi=1 xenomai.smi=1 threadirqs" \
16        -net nic,model=e1000,netdev=e1000 -netdev bridge,id=e1000,br=nm-bridge \
17        -fsdev local,security_model=none,id=fsdev0,path=drive-c -device
18            virtio-9p-pci,id=fs0,fsdev=fsdev0,mount_tag=/mnt/drive-C \
19        -device vhost-vsock-pci,guest-cid=3,id=vsock0 \
20        -drive if=pflash,format=qcow2,file=ovmf.code.qcow2 \
21        -object memory-backend-ram,id=ram0,size=4G,prealloc=on \
22        -mem-prealloc -mem-path /dev/hugepages \
23        -device vfio-pci,host=03:00.0 \
24        -no-reboot -nographic

```

Code 10: Include PCI in QEMU script for Salamander 4 virtualization

An additional Varan Connection module VI 021 [58] was required to enable the connection between the PCV 522 module and the PW 022 module that generates the signal to move the mini-robot. This setup is depicted in Figure 24.

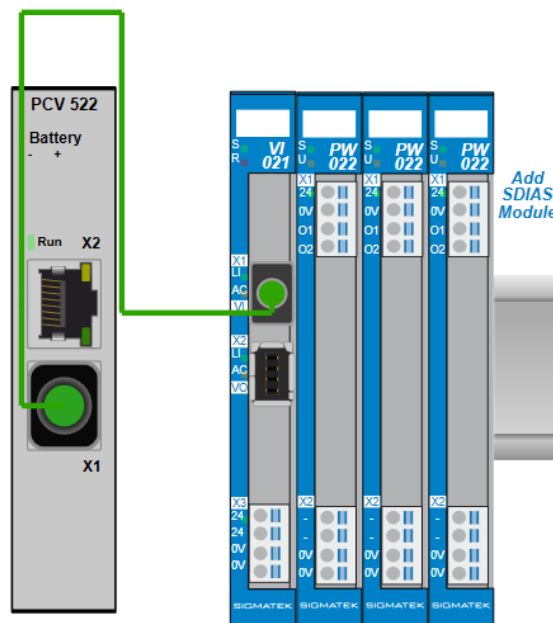


Figure 24: Virtualization tree

Essentially, the CP 841 CPU is being emulated by the VCPU functionality of QEMU, allowing the PCV 522 VARAN Manager PCI Insert Card and the Varan Connection module VI 021 to

interact as if they were communicating with a physical CPU unit.

### 3.3.3 Robotic Application

## 4 Results

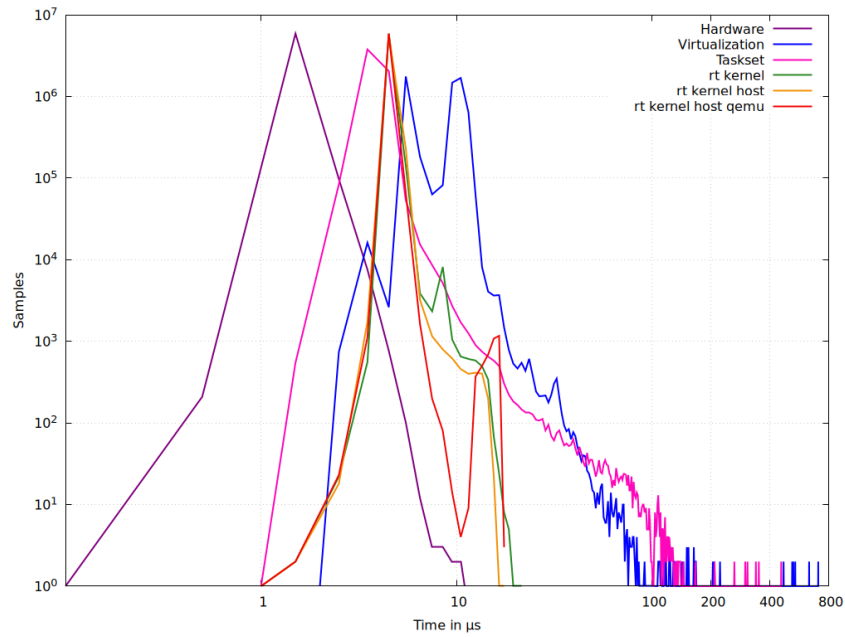


Figure 25: Latency rt kernelparam host

### Online

[2] [7] [8] [9] [34] [35] [42] [36] [37] [40] [41] [38] [43] [44] [45] [46] [47] [48] [49]  
[50] [51] [52] [53] [54] [55] [56] [57] [58] [59] [60]

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[1] [3] [4] [5] [6] [10] [11] [12] [24] [13] [14] [15] [16] [17] [18] [19] [20] [21] [22]  
[23] [25] [26] [27] [28] [29] [30] [31] [32] [33] [61] [62] [63] [64] [65] [66] [67] [68] [69]

## 5 Discussion

## 6 Summary and Outlook

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# List of Abbreviations

**CPU** Central Processing Unit

**QEMU** Quick Emulator

**IRQ** Interrupt Request