

ICLR, 2024

Miao's Group - Paper Reading



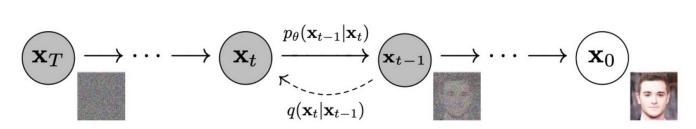
向乾龙



时间:2024.4.9







$$q(\mathbf{x}_t|\mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t; \sqrt{1-\beta_t}\mathbf{x}_{t-1}, \beta_t \boldsymbol{I})$$

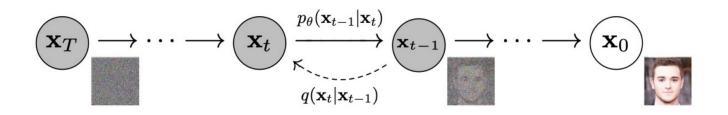
$$q(\mathbf{x}_{t-1}|\mathbf{x}_t,\mathbf{x}_0) = \mathcal{N}(\mathbf{x}_{t-1};\widetilde{\boldsymbol{\mu}}(\mathbf{x}_t,\mathbf{x}_0),\widetilde{\boldsymbol{\beta}}_t\boldsymbol{I})$$

$$\widetilde{\boldsymbol{\mu}}(\mathbf{x}_t, \mathbf{x}_0) = \frac{\sqrt{\alpha_t}(1 - \bar{\alpha}_{t-1})}{1 - \bar{\alpha}_t} \mathbf{x}_t + \frac{\sqrt{\bar{\alpha}_{t-1}}\beta_t}{1 - \bar{\alpha}_t} \mathbf{x}_0 = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \boldsymbol{\epsilon}_t \right) \qquad \qquad \widetilde{\boldsymbol{\beta}}_t = \frac{1 - \bar{\alpha}_{t-1}}{1 - \bar{\alpha}_t} \cdot \beta_t$$

$$p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \boldsymbol{\Sigma}_{\theta}(\mathbf{x}_t, t))$$







$$lpha_t = 1 - eta_t$$
 ,  $ar{lpha}_t = \prod_{i=1}^t lpha_i$ 

$$\begin{aligned} \mathbf{x}_t &= \sqrt{\alpha_t} \mathbf{x}_{t-1} + \sqrt{1 - \alpha_t} \boldsymbol{\epsilon}_{t-1} \\ &= \sqrt{\alpha_t} \alpha_{t-1} \mathbf{x}_{t-2} + \sqrt{1 - \alpha_t} \alpha_{t-1} \overline{\boldsymbol{\epsilon}}_{t-2} \\ &= \cdots \\ &= \sqrt{\overline{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_t} \boldsymbol{\epsilon} \\ q(\mathbf{x}_t | \mathbf{x}_0) &= \mathcal{N}(\mathbf{x}_t; \sqrt{\overline{\alpha}_t} \mathbf{x}_0, (1 - \overline{\alpha}_t) \boldsymbol{I}) \end{aligned}$$

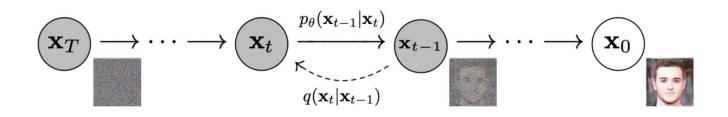
#### Algorithm 1 Training

- 1: repeat
- 2:  $\mathbf{x}_0 \sim q(\mathbf{x}_0)$
- 3:  $t \sim \text{Uniform}(\{1, \dots, T\})$
- 4:  $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 5: Take gradient descent step on

$$\nabla_{\theta} \left\| \boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta} (\sqrt{\bar{\alpha}_{t}} \mathbf{x}_{0} + \sqrt{1 - \bar{\alpha}_{t}} \boldsymbol{\epsilon}, t) \right\|^{2}$$

6: **until** converged





$$p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \boldsymbol{\Sigma}_{\theta}(\mathbf{x}_t, t))$$

$$\widetilde{\mu}(\mathbf{x}_t, \mathbf{x}_0) = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \boldsymbol{\epsilon}_t \right)$$

$$\tilde{\beta}_t = \frac{1 - \bar{\alpha}_{t-1}}{1 - \bar{\alpha}_t} \cdot \beta_t$$

#### Algorithm 2 Sampling

1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 

2: for t = T, ..., 1 do 3:  $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  if t > 1, else  $\mathbf{z} = \mathbf{0}$ 

4:  $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1-\alpha_t}{\sqrt{1-\bar{\alpha}_t}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$ 

5: end for

6: return  $x_0$ 

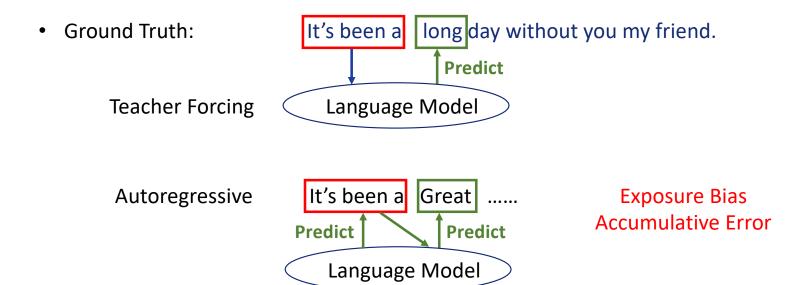








- What is Exposure Bias?
  - Example: Train a Language Model





Exposure Bias in Diffusion Models

#### **Algorithm 1** Training

#### 1: repeat

- 2:  $\mathbf{x}_0 \sim q(\mathbf{x}_0)$
- 3:  $t \sim \text{Uniform}(\{1, \dots, T\})$
- 4:  $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 5: Take gradient descent step on

$$\nabla_{\theta} \left\| \boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta} (\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}, t) \right\|^2$$

6: **until** converged

#### **Algorithm 2** Sampling

2: **for** 
$$t = T, \dots, 1$$
 **do**

1: 
$$\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$$
  
2: **for**  $t = T, \dots, 1$  **do**  
3:  $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  if  $t > 1$ , else  $\mathbf{z} = \mathbf{0}$ 

4: 
$$\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1-\alpha_t}{\sqrt{1-\bar{\alpha}_t}} \epsilon_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$$

5: end for

6: return  $x_0$ 

**Teacher Forcing** 

**Exposure Bias Accumulative Error** 





• Exposure Bias in Diffusion Models

**Sampling Distribution** 

**Training Distribution** 

$$q(\hat{\mathbf{x}}_t | \mathbf{x}_{t+1}, \mathbf{x}_{\theta}^{t+1})$$

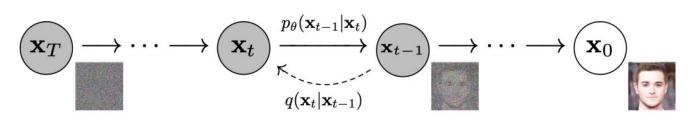
$$q(\mathbf{x}_t|\mathbf{x}_0)$$

采样阶段看到的 $\hat{\mathbf{x}}_t$ 

训练时在时间步 t 看到的  $\mathbf{x}_t$ 

接下来看  $\hat{\mathbf{x}}_t$  和  $\mathbf{x}_t$  的区别

$$q(\mathbf{x}_t|\mathbf{x}_0) = \mathcal{N}(\mathbf{x}_t; \sqrt{\bar{\alpha}_t}\mathbf{x}_0, (1 - \bar{\alpha}_t)\mathbf{I})$$



$$q(\mathbf{x}_t|\mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t; \sqrt{1-\beta_t}\mathbf{x}_{t-1}, \beta_t \boldsymbol{I})$$

$$q(\mathbf{x}_{t-1}|\mathbf{x}_t,\mathbf{x}_0) = \mathcal{N}(\mathbf{x}_{t-1};\widetilde{\boldsymbol{\mu}}(\mathbf{x}_t,\mathbf{x}_0),\widetilde{\boldsymbol{\beta}}_t\boldsymbol{I})$$

$$\widetilde{\boldsymbol{\mu}}(\mathbf{x}_{t}, \mathbf{x}_{0}) = \frac{\sqrt{\alpha_{t}}(1 - \bar{\alpha}_{t-1})}{1 - \bar{\alpha}_{t}} \mathbf{x}_{t} + \frac{\sqrt{\bar{\alpha}_{t-1}}\beta_{t}}{1 - \bar{\alpha}_{t}} \mathbf{x}_{0} = \frac{1}{\sqrt{\alpha_{t}}} \left( \mathbf{x}_{t} - \frac{1 - \alpha_{t}}{\sqrt{1 - \bar{\alpha}_{t}}} \boldsymbol{\epsilon}_{t} \right)$$

$$\widetilde{\boldsymbol{\beta}}_{t} = \frac{1 - \bar{\alpha}_{t-1}}{1 - \bar{\alpha}_{t}} \cdot \beta_{t}$$

 $\mathbf{X}_{0}^{t}$ 

$$p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \boldsymbol{\Sigma}_{\theta}(\mathbf{x}_t, t))$$





$$q(\mathbf{x}_{t-1}|\mathbf{x}_t,\mathbf{x}_0) = \mathcal{N}(\mathbf{x}_{t-1};\widetilde{\boldsymbol{\mu}}(\mathbf{x}_t,\mathbf{x}_0),\widetilde{\boldsymbol{\beta}}_t\boldsymbol{I})$$

$$\widetilde{\mu}(\mathbf{x}_t, \mathbf{x}_0) = \frac{\sqrt{\alpha_t}(1 - \bar{\alpha}_{t-1})}{1 - \bar{\alpha}_t} \mathbf{x}_t + \frac{\sqrt{\bar{\alpha}_{t-1}}\beta_t}{1 - \bar{\alpha}_t} \mathbf{x}_0 = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \boldsymbol{\epsilon}_t \right)$$

 $\mathbf{x}_{ heta}^t$ 

Sampling:  $\mathbf{x}_{\theta}^{t} - \mathbf{x}_{0} \neq 0$ 

$$p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \boldsymbol{\Sigma}_{\theta}(\mathbf{x}_t, t))$$



$$q(\mathbf{x}_{t-1}|\mathbf{x}_t,\mathbf{x}_0) = \mathcal{N}(\mathbf{x}_{t-1};\widetilde{\boldsymbol{\mu}}(\mathbf{x}_t,\mathbf{x}_0),\widetilde{\boldsymbol{\beta}}_t\boldsymbol{I})$$

$$\sqrt{\alpha_t}(1-\bar{\alpha}_{t-1}) = \sqrt{\bar{\alpha}_{t-1}}\beta_t = 1 \quad (1-\alpha_t)$$

$$\widetilde{\boldsymbol{\mu}}(\mathbf{x}_t, \mathbf{x}_0) = \frac{\sqrt{\alpha_t}(1 - \bar{\alpha}_{t-1})}{1 - \bar{\alpha}_t} \mathbf{x}_t + \frac{\sqrt{\bar{\alpha}_{t-1}}\beta_t}{1 - \bar{\alpha}_t} \mathbf{x}_0 = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \boldsymbol{\epsilon}_t \right)$$

$$p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \boldsymbol{\Sigma}_{\theta}(\mathbf{x}_t, t)) \qquad \qquad \boldsymbol{\mathbf{x}}_{\theta}^{t}$$



$$p_{\theta}(\mathbf{x}_0|\mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{\theta}^t; \mathbf{x}_0, e_t^2 \mathbf{I}), \qquad \mathbf{x}_{\theta}^t = \mathbf{x}_0 + e_t \epsilon_0 \left(\epsilon_0 \sim \mathcal{N}(0, I)\right)$$

$$\hat{\mathbf{x}}_{t} = \frac{\sqrt{\bar{\alpha}_{t}}\beta_{t+1}}{1 - \bar{\alpha}_{t+1}}\mathbf{x}_{\theta}^{t+1} + \frac{\sqrt{\bar{\alpha}_{t+1}}(1 - \bar{\alpha}_{t})}{\underline{1} - \bar{\alpha}_{t+1}}\mathbf{x}_{t+1} + \sqrt{\tilde{\beta}_{t+1}}\epsilon_{1}$$



$$\hat{\mathbf{x}}_t = \sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t + \left(\frac{\sqrt{\bar{\alpha}_t} \beta_{t+1}}{1 - \bar{\alpha}_{t+1}} e_{t+1}\right)^2} \epsilon_3$$





• Exposure Bias in Diffusion Models

**Training Distribution** 

$$q(\mathbf{x}_t|\mathbf{x}_0)$$

训练时在时间步 t 看到的  $x_t$ 

**Sampling Distribution** 

$$q(\hat{\mathbf{x}}_t|\mathbf{x}_{t+1},\mathbf{x}_{\theta}^{t+1})$$

采样阶段看到的 $\hat{\mathbf{x}}_t$ 

$$\mathbf{x}_{\mathsf{t}} = \sqrt{\overline{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_t} \boldsymbol{\epsilon}$$

$$\hat{\mathbf{x}}_t = \sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t + \left(\frac{\sqrt{\bar{\alpha}_t} \beta_{t+1}}{1 - \bar{\alpha}_{t+1}} e_{t+1}\right)^2} \, \boldsymbol{\epsilon}_3$$

_	mean	$\sqrt{\overline{lpha}_t}\mathbf{x}_0$	$\sqrt{ar{lpha}_t}\mathbf{x}_0$
2	variance	$(1-ar{lpha}_t) \emph{\emph{I}}$	$\left(1 - \bar{\alpha}_t + \left(\frac{\sqrt{\bar{\alpha}_t}\beta_{t+1}}{1 - \bar{\alpha}_{t+1}}e_{t+1}\right)^2\right)I \text{ bigger!}$



• Exposure Bias in Diffusion Models

**Training Distribution** 

$$\mathbf{x}_{\mathsf{t}} = \sqrt{\overline{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_t} \boldsymbol{\epsilon}$$

Sampling Distribution

bigger!

$$\hat{\mathbf{x}}_t = \sqrt{\overline{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_t + \left(\frac{\sqrt{\overline{\alpha}_t} \beta_{t+1}}{1 - \overline{\alpha}_{t+1}} e_{t+1}\right)^2} \, \boldsymbol{\epsilon}_3$$

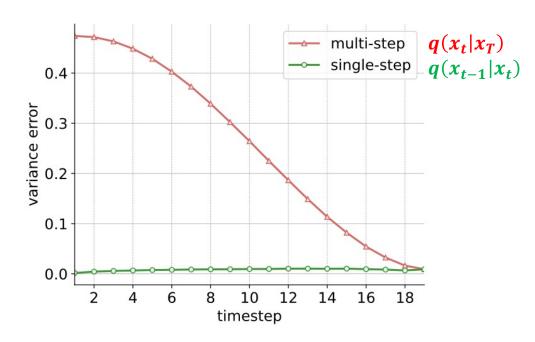


Figure 1: Variance error in single-step and multi-step samplings.

• Exposure Bias in Diffusion Models

**Training Distribution** 

$$\mathbf{x}_{\mathsf{t}} = \sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}$$

Sampling Distribution

#### bigger!

$$\hat{\mathbf{x}}_t = \sqrt{\overline{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_t + \left(\frac{\sqrt{\overline{\alpha}_t} \beta_{t+1}}{1 - \overline{\alpha}_{t+1}} e_{t+1}\right)^2} \, \boldsymbol{\epsilon}_3$$

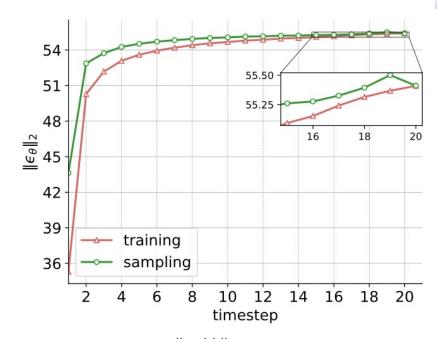


Figure 2:  $\|\epsilon_{\theta}(\cdot)\|_2$  during training and sampling on CIFAR-10. We use 20-step sampling and report the L2-norm using 50k samples at each timestep.



• Exposure Bias in Diffusion Models

**Training Distribution** 

$$\mathbf{x}_{\mathsf{t}} = \sqrt{\overline{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_t} \boldsymbol{\epsilon}$$

Sampling Distribution

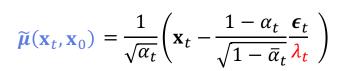
# Solution

#### bigger!

$$\hat{\mathbf{x}}_t = \sqrt{\overline{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_t + \left(\frac{\sqrt{\overline{\alpha}_t} \beta_{t+1}}{1 - \overline{\alpha}_{t+1}} e_{t+1}\right)^2} \, \boldsymbol{\epsilon}_3$$

#### Algorithm 2 Sampling

- 1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 2: **for** t = T, ..., 1 **do**
- 3:  $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I}) \text{ if } t > 1, \text{ else } \mathbf{z} = \mathbf{0}$
- 4:  $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t \frac{1-\alpha_t}{\sqrt{1-\bar{\alpha}_t}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$
- 5: end for
- 6: **return**  $\mathbf{x}_0$



#### • Result

T'	Model	Unconditional			Conditional	
		CIFAR-10 32×32	LSUN 64×64	FFHQ 128×128	ImageNet 64×64	ImageNet 128×128
100	ADM	3.37	3.59	14.52	2.71	3.55
	ADM-ES	<b>2.17</b>	<b>2.91</b>	<b>6.77</b>	<b>2.39</b>	<b>3.37</b>
50	ADM	4.43	7.28	26.15	3.75	5.15
	ADM-ES	<b>2.49</b>	<b>3.68</b>	<b>9.50</b>	<b>3.07</b>	<b>4.33</b>
20	ADM	10.36	23.92	59.35	10.96	12.48
	ADM-ES	5.15	8.22	26.14	7.52	9.95
	ADM-ES*	<b>4.31</b>	<b>7.60</b>	<b>24.83</b>	<b>7.37</b>	<b>9.86</b>



#### • Result

T'	Model	Unconditional		Conditional	
_		Heun	Euler	Heun	Euler
35	EDM	1.97	3.81	1.82	3.74
	EDM-ES (ours)	<b>1.95</b>	<b>2.80</b>	<b>1.80</b>	<b>2.59</b>
21	EDM	2.33	6.29	2.17	5.91
	EDM-ES	<b>2.24</b>	<b>4.32</b>	<b>2.08</b>	<b>3.74</b>
13	EDM	7.16	12.28	6.69	10.66
	EDM-ES	<b>6.54</b>	<b>8.39</b>	<b>6.16</b>	<b>6.59</b>

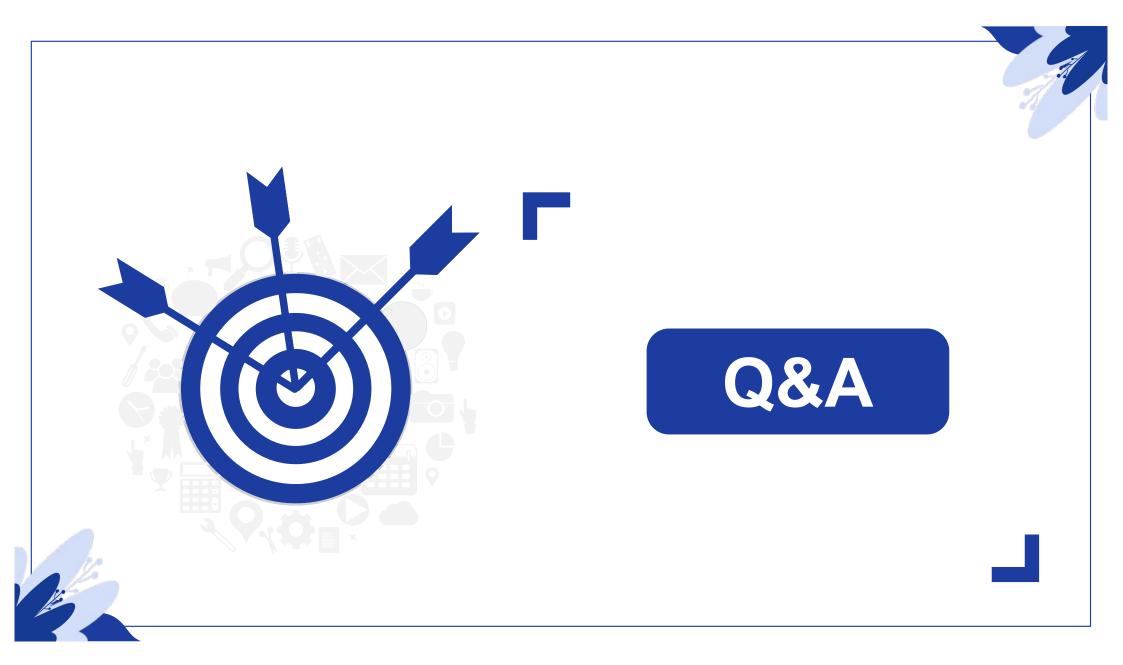


#### Reference



- What are Diffusion Models? | Lil'Log (lilianweng.github.io)
- Ho, Jonathan, Ajay Jain, and Pieter Abbeel. "Denoising diffusion probabilistic models." NIPS 2020
- Mang Ning, Mingxiao Li, Jianlin Su, Albert Ali Salah, Itir Önal Ertugrul. "Elucidating the Exposure Bias in Diffusion Models" ICLR 2024









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