

# Problem Set #2

CSC236 Fall 2018

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We declare that this assignment is solely our own work, and is in accordance with the University of Toronto Code of Behaviour on Academic Matters.

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This submission has been prepared using L<sup>A</sup>T<sub>E</sub>X.

### Problem 1.

(WARMUP - THIS PROBLEM WILL NOT BE MARKED).

Show that  $\log n! \in \mathcal{O}(n \log n)$ .

(Here  $m!$  stands for  $m$  factorial, the product of first  $m$  non-negative integers. By convention,  $0! = 1$ .)

Solution:

According to the question,  $n$  is a non-negative integer. So  $n \geq 0$ . Then we can get that  $n! \leq n^n$  and  $\log n! \leq \log n^n$ . By the property of  $\log$ , we can write  $\log n^n$  as  $n \log n$ . So we get  $\log n! \leq n \log n$ .

This completes the proof,  $\log n! \in \mathcal{O}(n \log n)$  for  $c = 1$  and  $n_0 = 0$ .

## Problem 2.

(4 MARKS) Suppose you are coding an algorithm for finding the maximum sum of two elements in a list of positive integers. Suppose you have access to a helper function `sort(L)` that takes in a list of positive integers and returns a list of the same elements but sorted in non-decreasing order. Moreover, suppose `sort(L)` runs in time  $\Theta(n \log n)$  (e.g., `mergesort`). Write a Python program `fastMaxSum` calling `sort(L)` as a helper function that runs in time  $\Theta(n \log n)$ . Justify why it has this running time.

**solution:**

```
def fastMaxSum(L:list):
    sortedList = sort(L)
    return sortedList[-1] + sortedList[-2]
```

Since the running time for `L[-1]` is  $O(1)$  and the running time for `L[-2]` is  $O(1)$ . The total runtime for the python function `fastMaxSum` is  $2 + n \log n$

To prove this function runs in time  $\Theta(n \log n)$ , we divide into two parts.

Firstly, the Big O proof:

$$\begin{aligned} 2 + n \log n &\leq \log n + n \log n \text{ (if } \log n \geq 2, \text{ which implies } n \geq e^2) \\ &\leq n \log n + n \log n \text{ (by basic algorithm, } n \log n \geq \log n, \text{ where } n \geq e^2) \\ &\leq 2 \log n \end{aligned}$$

Therefore, we choose  $c = 2$ ,  $n_0 = e^2$  to complete the Big O proof

Secondly, the Big Omega proof:

$$2 + n \log n \geq n \log n \text{ (by basic algorithm) } (n \geq 1)$$

So we choose  $c = 1$  and  $n_0 = 1$  to complete the Big Omega proof.

Then, as the O and  $\Omega$  proofs are now complete, the overall  $\Theta$  proof is complete.

### Problem 3.

(6 MARKS) **Practice  $\Theta$ .**

$$\forall k \in \mathbb{N}, 1^k + 2^k + \dots + n^k \in \Theta(n^{k+1}).$$

solution: We divide the proof into two parts:

Firstly, the Big O proof:

since  $n \in \mathbb{N}$  and  $n \geq 1$ , each number from 1 to  $n-1$  is less than  $n$ .

so we can write

$$\begin{aligned} 1^k + 2^k + \dots + n^k &\leq n^k + n^k + \dots + n^k = n * n^k = n^{k+1} \\ &\leq n^{k+1} \end{aligned}$$

So we choose  $c = 1$  and  $n_0 = 1$  to complete the Big O proof.

Secondly, the Big Omega proof:

to make

$$1^k + 2^k + \dots + n^k \geq c * (n^k + n^k + \dots + n^k)$$

valid, we have to make  $c$  as small as possible.

So we choose  $n_0 = 1$  and  $c = 10^{-100000}$  to complete

Then, as the O and  $\Omega$  proofs are now complete, the overall  $\Theta$  proof is complete.

### Problem 4.

(10 MARKS) **Recursive functions.**

Consider the following recursively defined function:

$$T(n) = \begin{cases} c_0 & n = 0 \\ c_1 & n = 1 \\ aT(n-1) + bT(n-2) & n \geq 2 \end{cases}$$

where  $a, b$  are real numbers.

Denote  $(*)$  the following relation:

$$T(n) = aT(n-1) + bT(n-2) \quad n \geq 2 \quad (*)$$

We say a function  $f(n)$  satisfies  $(*)$  iff  $f(n) = af(n-1) + bf(n-2)$  is a true statement for  $n \geq 2$ .

Prove the following:

- (i) For all functions  $f, g : \mathbb{N} \rightarrow \mathbb{R}$ , for any two real numbers  $\alpha, \beta$ , if  $f(n)$  and  $g(n)$  satisfy  $(*)$  for  $n \geq 2$  then also  $h(n) = \alpha f(n) + \beta g(n)$  satisfies it for  $n \geq 2$ .
- (ii) Let  $q \neq 0$  be a real number. Show that if  $f(n) = q^n$  satisfies  $(*)$  for  $n \geq 2$  then  $q$  is a root of quadratic equation  $x^2 - ax - b = 0$ .
- (iii) State and prove the converse of (ii). Use this statement and part (i) to show that if  $q_1, q_2$  are the roots of  $x^2 - ax - b = 0$  then  $h(n) = Aq_1^n + Bq_2^n$  satisfies  $(*)$  for any two numbers  $A, B$ .
- (iv) Consider  $h(n)$  from part (iii). What additional condition should we impose on the roots  $q_1, q_2$  so  $h(n)$  serves as a closed-form solution for  $T(n)$  with  $A, B$  uniquely determined?
- (v) Use the previous parts of this exercise to solve the following recurrence in closed form:

$$T(n) = \begin{cases} 5 & n = 0 \\ 17 & n = 1 \\ 5T(n-1) - 6T(n-2) & n \geq 2 \end{cases}$$

solution:

(i) if  $f(n)$  and  $g(n)$  satisfy (\*) for  $n \geq 2$ , then we can write  $f(n) = af(n-1) + bf(n-2)$  and  $g(n) = ag(n-1) + bg(n-2)$ .

$$\begin{aligned} \text{So } h(n) &= \alpha(af(n-1) + bf(n-2)) + \beta(ag(n-1) + bg(n-2)) \\ &= a(\alpha f(n-1) + \beta g(n-1)) + b(\alpha f(n-2) + \beta g(n-2)) \end{aligned}$$

$= ah(n-1) + bh(n-2)$  (by given recursive rule) So we can verify that the statement is true.

(ii) if  $f(n) = q^n$ , we can plug it into T(n)

$$q^n = aq^{n-1} + bq^{n-2}$$

$$q^n - aq^{n-1} - bq^{n-2} = 0$$

$q^2 - aq - b = 0$  (by basic algorithm, since  $q \neq 0, q^{n-2} \neq 0$ , we can divide  $q^{n-2}$  both side )

Then if we assume  $q = x$ , we can get  $x^2 - ax - b = 0$ . So  $q$  is a root of the quadratic equation. This completes the proof.

(iii) Firstly, the converse of (ii) we need to prove: if  $q$  is a root of quadratic equation  $x^2 - ax - b = 0$ , then  $f(n) = q^n$  satisfies (\*) for  $n \geq 2$

We plug  $q$  into the equation and we get  $q^2 - aq - b = 0$

$$q^2 * q^{n-2} - aq * q^{n-2} - b * q^{n-2} = 0 \text{ (since } q \neq 0, \text{ we can multiply } q^{n-2} \text{ both side)}$$

$$q^n - aq^{n-1} - bq^{n-2} = 0$$

$$q^n = aq^{n-1} + bq^{n-2}$$

if we assume  $f(n) = q^n$ , we can get  $f(n) = af(n-1) + bf(n-2)$ , which satisfies (\*)

This completes the proof. The converse of (ii) is true.

Secondly, by using the statement we have proven above, If we assume  $f(n) = q_1^n$  and  $g(n) = q_2^n$ , both  $f(n)$  and  $g(n)$  should satisfy (\*) for  $n \geq 2$ .

Then we can get  $h(n) = Aq_1^n + Bq_2^n = Af(n) + Bg(n)$ .

According to part(i),  $h(n) = Aq_1^n + Bq_2^n$  satisfy (\*) with  $\alpha = A$  and  $\delta = B$   
This completes the proof.

(iv) To find the close form of  $T(n)$

$$\text{when } k = 1, T(n) = aT(n-1) + bT(n-2)$$

$$\begin{aligned} \text{when } k = 2, T(n) &= a(aT(n-2)) + b(bT(n-4)) \\ &= a^2T(n-2) + b^2T(n-4) \end{aligned}$$

$$\begin{aligned} \text{when } k = 3, T(n) &= a(a^2T(n-3)) + b(b^2T(n-6)) \\ &= a^3T(n-3) + b^3T(n-6) \end{aligned}$$

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$$\text{we can conclude that } T(n) = a^kT(n-k) + b^kT(n-2k)$$

since  $n-2k$  decrease faster than  $n-k$ . The base case is  $T(n) = a^k c_1 + b^k c_0$

So the close form of  $T(n)$  is  $T(n) = a^n c_1 + b^n c_0$

If we assume  $h(n)$  can serve as a closed-form solution for  $T(n)$ ,

$$h(n) = Aq_1^n + Bq_2^n = a^n c_1 + b^n c_0$$

Then we have  $q_1 = a$  and  $q_2 = b$ ,  $A = c_1$  and  $B = c_0$

In conclusion, if we add condition:  $q_1 = a$  and  $q_2 = b$ ,  $h(n)$  can be the close form for  $T(n)$

(v) According to previous parts,  $T(n) = c_1 a^n + c_0 b^n = 17(5^n) + 5(-6)^n$