

# Large-Scale Robust Sequential Path Planning

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## Abstract

To be written.

## I. INTRODUCTION

Focus on the following contributions:

- Present a powerful theory for robust path planning. Focus on explicitly pointing out the limitations of the intruder Method-1 and how we are addressing them in the current paper.
- Demonstrate the scaling of the theory.
- Provide some more intuition about the solution that emerge out of theory– Space-time separation, buffer region between vehicles, trajectories, etc.
- Explaining how this solution change with the space structure (city vs bay area) and with the disturbance bounds.
- Zero communication overhead due to the presence of a feedback law! (Important based on IoT Journal description).

## II. PROBLEM FORMULATION

Directly form the full problem that includes the intruder.

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### III. BACKGROUND

We need to include following things in the background:

- Time-varying reachability
- Vanilla SPP
- Robust trajectory tracking algorithm

### IV. RESPONSE TO INTRUDERS

Most of the assumption/notation content in this section will be moved to the problem formulation section. In Section ??, we made the basic SPP algorithm more robust by taking into account disturbances and considering situations in which vehicles may not have complete information about the control strategy of the other vehicles. However, if a vehicle not in the set of SPP vehicles enters the system, or even worse, if this vehicle is an adversarial intruder, the original plan can lead to vehicles entering into each other's danger zones. If vehicles do not plan with an additional safety margin that takes a potential intruder into account, a vehicle trying to avoid the intruder may effectively become an intruder itself, leading to a domino effect. In this section, we propose a method to allow vehicles to avoid an intruder while maintaining the SPP structure.

In general, the effect of an intruder on the vehicles in structured flight can be entirely unpredictable, since the intruder in principle could be adversarial in nature, and the number of intruders could be arbitrary. Therefore, for our analysis to produce reasonable results, two assumptions about the intruders must be made.

*Assumption 1:* At most one intruder (denoted as  $Q_I$  here on) affects the SPP vehicles at any given time. The intruder exits the altitude level affecting the SPP vehicles after a duration of  $t^{\text{IAT}}$ .

Let the time at which intruder appears in the system be  $\underline{t}$  and the time at which it disappears be  $\bar{t}$ . Assumption 1 implies that  $\bar{t} \leq \underline{t} + t^{\text{IAT}}$ . Thus, any vehicle  $Q_i$  would need to avoid the intruder  $Q_I$  for a maximum duration of  $t^{\text{IAT}}$ . This assumption can be valid in situations where intruders are rare, and that some fail-safe or enforcement mechanism exists to force the intruder out of the altitude level affecting the SPP vehicles. Note that we do not make any assumptions about  $\underline{t}$ ; however, we assume that once the intruder appears, it stays for a maximum duration of  $t^{\text{IAT}}$ .

*Assumption 2:* The dynamics of the intruder are known and given by  $\dot{x}_I = f_I(x_I, u_I, d_I)$ . The initial state of the intruder is given by  $x_I^0$ .

Assumption 2 is required for HJ reachability analysis. In situations where the dynamics of the intruder are not known exactly, a conservative model of the intruder may be used instead.

Based on the above assumptions, we aim to design a control policy that ensures separation with the intruder and with other SPP vehicles, and ensures a successful transit to the destination. However, depending on the initial state of the intruder, its control policy, and the disturbances in the dynamics of a vehicle and the intruder, a vehicle may arrive at different states after avoiding the intruder. Therefore, a control policy that ensures a successful transit to the destination needs to account for all such possible states, which is a path planning problem with multiple (infinite, to be precise) initial states and a single destination, and is hard to solve in general. Thus, we divide the intruder avoidance problem into two sub-problems: (i) we first design a control policy that ensures a successful transit to the destination if no intruder appears and that successfully avoid the intruder, if it does. (ii) after the intruder disappears at  $\bar{t}$ , we replan the trajectories of the affected vehicles. Following the same theme, authors in [] present an algorithm to avoid an intruder in SPP formulation; however, once the intruder disappears, the algorithm might need to replan the trajectories for all SPP vehicles. Since the replanning is done in real-time, it should be fast and scalable with the number of SPP vehicles, rendering the method in [] unsuitable for practical implementation.

In this work, we propose a novel intruder avoidance algorithm, which will need to replan trajectories only for a fixed number of vehicles, irrespective of the total number of SPP vehicles. Moreover, this number is a design parameter, which can be chosen based on the resources available during the run time. Intuitively, one can think about dividing the flight space of vehicles such that at any given time, any two vehicles are far enough from each other so that an intruder can only affect atmost  $k$  vehicles in a duration of  $t^{\text{IAT}}$  despite its best efforts. The advantage of this approach is that after the intruder disappears, we only have to replan the trajectory of  $k$  vehicles regardless of the number of total vehicles in the system, which makes this approach particularly suitable for practical systems. In this method, we build upon this intuition and show that such a division of space is indeed possible. Thus the proposed method guarantees that *atmost*  $k$  vehicles are affected by the presence of intruder, regardless of the number of SPP vehicles, and hence the replanning can be efficiently done in real-time.

In Sections IV-A and IV-B, we compute a space division of state-space such that atmost  $k$  vehicles need to apply the avoidance maneuver regardless of the initial state of the intruder. However, we still need to ensure that vehicles do not collide with each other while avoiding

the intruder. The induced obstacles that reflect this possibility are computed in Section IV-C. Intruder avoidance control and replanning are discussed in Sections IV-D and IV-E respectively.

#### A. Separation Region

Depending on the information known to a lower-priority vehicle  $Q_i$  about  $Q_j$ 's control strategy, we can use one of the three methods described in Section 5 in [First journal paper should be cited here](#) to compute the “base” obstacles  $\mathcal{M}_j(t)$ , the obstacles that would have been induced by  $Q_j$  in the absence of an intruder.

Given  $\mathcal{M}_j(t)$ , we want to compute the set of all initial states of the intruder for which vehicle  $Q_j$  may have to apply an avoidance maneuver. We refer to this set as *separation region* here on, and denote it as  $\mathcal{S}_j(t)$ . The significance of  $\mathcal{S}_j(t)$  is that as long as the intruder is outside  $\mathcal{S}_j(t)$ , that is  $x_I(t) \in (\mathcal{S}_j(t))^c$ ,  $Q_j$  can apply any control at time  $t$  and still guaranteed to not collide with the intruder.  $\mathcal{S}_j(t)$  can be conveniently computed using the relative dynamics between  $Q_j$  and  $Q_I$ .

We define relative dynamics of the intruder  $Q_I$  with state  $x_I$  with respect to  $Q_i$  with state  $x_i$ :

$$\begin{aligned} x_{I,i} &= x_I - x_i \\ \dot{x}_{I,i} &= f_r(x_{I,i}, u_i, u_I, d_i, d_I) \end{aligned} \tag{1}$$

Given the relative dynamics, we compute the set of states from which the joint states of  $Q_I$  and  $Q_j$  can enter danger zone  $\mathcal{Z}_{jI}$  in a duration of  $t^{\text{IAT}}$  despite the best efforts of  $Q_j$  to avoid  $Q_I$ . Under the relative dynamics (1), this set of states is given by the backwards reachable set  $\mathcal{V}_j^S(\tau, t^{\text{IAT}})$ :

$$\begin{aligned} \mathcal{V}_j^S(\tau, t^{\text{IAT}}) &= \{y : \forall u_j(\cdot) \in \mathbb{U}_j, \exists u_I(\cdot) \in \mathbb{U}_I, \exists d_j(\cdot) \in \mathbb{D}_j, \\ &\quad \exists d_I(\cdot) \in \mathbb{D}_I, x_{I,j}(\cdot) \text{ satisfies (1),} \\ &\quad \exists s \in [\tau, t^{\text{IAT}}], x_{I,j}(s) \in \mathcal{L}_j^S, x_{I,j}(\tau) = y\}, \end{aligned} \tag{2}$$

where

$$\begin{aligned} \mathcal{L}_j^S &= \{x_{I,j} : \|p_{I,j}\|_2 \leq R_c\} \\ H_j^S(x_{I,j}, \lambda) &= \max_{u_j \in \mathcal{U}_j} \left( \min_{u_I \in \mathcal{U}_I, d_j \in \mathcal{D}_j, d_I \in \mathcal{D}_I} \lambda \cdot f_r(x_{I,j}, u_j, u_I, d_j, d_I) \right) \end{aligned} \tag{3}$$

The interpretation of set  $\mathcal{V}_j^S(0, t^{\text{IAT}})$  is that as long as  $Q_I$  is outside the boundary of this set (in relative coordinates), then  $Q_I$  and  $Q_j$  cannot enter the danger zone  $\mathcal{Z}_{jI}$ , irrespective of control

applied by them. We can now transform  $\mathcal{V}_j^S(0, t^{\text{IAT}})$  to absolute coordinates to obtain sets  $\mathcal{S}_j(\cdot)$  as follows:

$$\mathcal{S}_j(t) = \mathcal{M}_j(t) + \mathcal{V}_j^S(0, t^{\text{IAT}}), \quad (4)$$

where the “+” in (4) denotes the Minkowski sum<sup>1</sup>.

### B. Buffer Region

In section IV-A, we computed sets  $\mathcal{S}_j(\cdot)$  such that  $Q_j$  avoids the intruder only if  $x_I(t) \in \mathcal{S}_j(t)$ . But to ensure that atmost  $\bar{k}$  vehicles need to replan their trajectories after the intruder disappears, we need to make sure that the intruder can cause atmost  $\bar{k}$  vehicles to deviate from their planned trajectories. Equivalently, we want to ensure that atmost  $\bar{k}$  vehicles need to avoid the intruder.

Intuitively, we want to make sure that at any given time the separation regions of any two vehicles are far enough from each other (that is, there is a “buffer” region between two separation regions) such that it will take at least  $t^{\text{BRD}} := t^{\text{IAT}}/\bar{k}$  time for the intruder to go from the separation region of one vehicle to that of the other vehicle. This means that there is a “buffer” time interval of  $t^{\text{BRD}}$  between any  $t_1, t_2 \in [\underline{t}, \bar{t}]$  where  $Q_I$  is in the separation regions of two different vehicles at  $t_1$  and  $t_2$ , e.g.  $x_I(t_1) \in \mathcal{S}_j(t)$  and  $x_I(t_2) \in \mathcal{S}_i(t)$ ,  $i \neq j$ . Thus, in the worst case, the intruder can force atmost  $\bar{k}$  vehicles to apply avoidance maneuver in a duration of  $t^{\text{IAT}}$ .

We next focus on computing the buffer region between any two vehicles  $Q_j$  and  $Q_i$ ,  $j < i$ . Without loss of generality, we can assume that the intruder appears at the separation region of a vehicle at  $t = \underline{t}$ , because if it doesn't then the vehicles need not account for intruders until it reaches the boundary of the separation region of a vehicle. To compute the buffer region, we consider the following two cases:

1) *Case I*-  $x_I^0 \in \mathcal{S}_j(\underline{t})$ : Given the relative dynamics  $x_{i,I}$  in (1), we compute the set of states from which the joint states of  $Q_I$  and  $Q_i$  can enter danger zone  $\mathcal{Z}_{iI}$  within a duration of  $t^{\text{BRD}}$  when both  $Q_i$  and  $Q_I$  are using *optimal control to collide* with each other. This set of states is given by the backwards reachable set  $\mathcal{V}_i^B(\tau, t^{\text{BRD}})$ :

$$\begin{aligned} \mathcal{V}_i^B(t, t^{\text{BRD}}) = \{ & y : \exists u_i(\cdot) \in \mathbb{U}_i, u_I(\cdot) \in \mathbb{U}_I, d_i(\cdot) \in \mathbb{D}_i, \\ & d_I(\cdot) \in \mathbb{D}_I, x_{i,I}(\cdot) \text{ satisfies (1),} \\ & \exists s \in [t, t^{\text{BRD}}], x_{i,I}(s) \in \mathcal{L}_i^B, x_{i,I}(t) = y \}, \end{aligned} \quad (5)$$

<sup>1</sup>The Minkowski sum of sets  $A$  and  $B$  is the set of all points that are the sum of any point in  $A$  and  $B$ .

where

$$\mathcal{L}_i^B = \{x_{i,I} : \|p_{i,I}\|_2 \leq R_c\} \quad (6)$$

$$H_i^B(x_{i,I}, \lambda) = \min_{u_i \in \mathcal{U}_i, u_I \in \mathcal{U}_I, d_i \in \mathcal{D}_i, d_I \in \mathcal{D}_I} \lambda \cdot f_r(x_{i,I}, u_i, u_I, d_i, d_I)$$

The interpretation of set  $\mathcal{V}_i^B(0, t^{\text{BRD}})$  is that if the separation region of  $Q_i$  is outside the boundary of this set and  $Q_I$  is at the boundary of  $\mathcal{L}_i^B$  (in relative coordinates), then  $Q_I$  and  $Q_i$  cannot enter the danger zone  $\mathcal{Z}_{iI}$  for a duration of  $t^{\text{BRD}}$ , irrespective of control applied by them. If we augment this set on the separation region of the  $Q_j$ , then we get the same property in the state space of  $Q_i$ :

$$\mathcal{B}_{ij}(t) = \mathcal{S}_j(t) + \mathcal{V}_i^B(0, t^{\text{BRD}}). \quad (7)$$

Finally, during the path planning of  $Q_i$ , we need to ensure that  $Q_i$  is far enough from the boundary of  $\mathcal{B}_{ij}(t)$  such that  $Q_I$  and  $Q_i$  cannot enter the danger zone  $\mathcal{Z}_{iI}$  for the remaining duration of  $t^{\text{BRD}} := t^{\text{IAT}} - t^{\text{BRD}}$ . Thus, during the path planning of  $Q_i$ , we need to ensure that  $veh_i$  is outside the augmented buffer region:

$$\tilde{\mathcal{B}}_{ij}(t) = \mathcal{B}_{ij}(t) + \mathcal{V}_i^S(0, t^{\text{BRD}}), \quad (8)$$

where  $\mathcal{V}_i^S(0, t^{\text{BRD}})$  can be computed as described in Section IV-A.

2) *Case2-  $x_I^0 \in \mathcal{S}_i(t)$* : This case can be treated in a similar fashion as Section IV-B1. We can now look at the same problem from  $Q_i$ 's perspective and compute the augmented buffer region  $\tilde{\mathcal{B}}_{ji}(t)$  as:

$$\tilde{\mathcal{B}}_{ij}(t) = \mathcal{M}_j(t) + \mathcal{V}_j^S(0, t^{\text{BRD}}) + \mathcal{V}_j^B(0, t^{\text{BRD}}) + \mathcal{V}_i^S(0, t^{\text{IAT}}). \quad (9)$$

During the path planning of  $Q_i$ , we need to ensure that  $Q_i$  is outside  $\tilde{\mathcal{B}}_{ji}(t)$ .

### C. Obstacle Computation

In sections IV-A and IV-B, we computed a separation between any two vehicles, such that intruder can affect atmost  $\bar{k}$  vehicles during a duration of  $t^{\text{IAT}}$ . However, we need to make sure that while applying avoidance control a vehicle does not enter the danger zone of other vehicle. In this section, we compute the obstacles that reflect this possibility. In particular, we want to find the set of states that a lower priority vehicle  $Q_i$  needs to avoid to avoid entering in the danger zone of a higher priority vehicle  $Q_j$ ,  $j < i$ . To find such states, we consider the following five mutually exclusive and exhaustive cases:

- 1) Intruder does not affect  $Q_j$  or  $Q_i$  during their flight.

- 2) Intruder affects  $Q_j$ , but not  $Q_i$ .
- 3) Intruder affects  $Q_i$ , but not  $Q_j$ .
- 4) Intruder first affects  $Q_j$  and then  $Q_i$ .
- 5) Intruder first affects  $Q_i$  and then  $Q_j$ .

We will compute the set of states that  $Q_i$  needs to avoid to avoid a collision with  $Q_j$  for each of the five cases. Let  ${}^k\mathcal{O}_i^j(\cdot)$  denotes the set of obstacles corresponding to case  $k$  above.

1) *Case1*: When the intruder does not affect any of the two vehicles,  $Q_i$  simply needs to avoid the set of base obstacles  $\mathcal{M}_j(t)$ . Therefore,  ${}^1\mathcal{O}_i^j(t) = \mathcal{M}_j(t)$ .

2) *Case2*: To compute the obstacles that  $Q_i$  needs to avoid at time  $t$  for the remaining four cases, it is sufficient to consider the scenarios where  $\underline{t} \in [t - t^{\text{IAT}}, t]$ . This is because if  $\underline{t} < t - t^{\text{IAT}}$ , then  $Q_i$  and/or  $Q_j$  will already be in the replanning phase at time  $t$  (see assumption 1) and hence the two vehicles cannot be in conflict at time  $t$ . On the other hand, if  $\underline{t} > t$ , then we need not account for the intruder as it has not appeared in the system yet. **Actually, we compute obstacles at time  $t'$  in a way such that their effect doesn't propagate to  $t < t'$ , but not sure if we need to mention this.**

The induced obstacles for Case2 at time  $t$  are given by the states that  $Q_j$  can reach while avoiding the intruder, starting from some state in  $\mathcal{M}_j(\underline{t})$ ,  $\underline{t} \in [t - t^{\text{IAT}}, t]$ . These states can be obtained by computing a FRS from the base obstacles.

$$\begin{aligned} \mathcal{W}_j^{\mathcal{O}}(0, \tau) = \{ & y : \exists u_j(\cdot) \in \mathbb{U}_j, \exists d_j(\cdot) \in \mathbb{D}_j, \\ & x_j(\cdot) \text{ satisfies } (??), x_j(0) \in \mathcal{M}_j(t - \tau), \\ & x_j(\tau) = y \}. \end{aligned} \quad (10)$$

$\mathcal{W}_j^{\mathcal{O}}(0, \tau)$  represents the set of all possible states that  $Q_j$  can reach after a duration of  $\tau$  starting from inside  $\mathcal{M}_j(t - \tau)$ . This FRS can be obtained by solving the HJ VI in (??) with the following Hamiltonian:

$$H_j^{\mathcal{O}}(x_j, \lambda) = \max_{u_j \in \mathcal{U}_j} \max_{d_j \in \mathcal{D}_j} \lambda \cdot f_j(x_j, u_j, d_j). \quad (11)$$

Since  $\tau \in [0, t^{\text{IAT}}]$ , the induced obstacles in this case can be obtained as:

$${}^2\mathcal{O}_i^j(t) = \cup_{\tau \in [0, t^{\text{IAT}}]} \mathcal{W}_j^{\mathcal{O}}(0, \tau). \quad (12)$$

3) *Case3*: **start from here**

#### D. Optimal Avoidance Controller

Given the relative dynamics, we compute the set of states from which the joint states of  $Q_I$  and  $Q_i$  can enter danger zone  $\mathcal{Z}_{iI}$  despite the best efforts of  $Q_i$  to avoid  $Q_I$ . This set of states is given by the backwards reachable set  $\mathcal{V}_i^S(\tau, t^{\text{IAT}})$ ,  $\tau \in [0, t^{\text{IAT}}]$ , given by (2).

Once the value function  $V_i^S(\cdot)$  is computed, the optimal avoidance control  $u_i^S$  can be obtained as:

$$u_i^S = \arg \max_{u_i \in \mathcal{U}_i} \left( \min_{u_I \in \mathcal{U}_I, d_i \in \mathcal{D}_i, d_I \in \mathcal{D}_I} \lambda \cdot f_r(x_{I,i}, u_i, u_I, d_i, d_I) \right) \quad (13)$$

Under normal circumstances when the intruder  $Q_I$  is far away, we have  $V_i^S(0, x_{I,i}(t)) > 0$ ; as  $Q_I$  gets closer to  $Q_i$ ,  $V_i^S(0, x_{I,i}(t))$  decreases. If  $Q_i$  applies the control  $u_i^S$  when  $V_i^S(0, x_{I,i}(t)) = 0$ , then collision avoidance between  $Q_i$  and  $Q_I$  is guaranteed for a duration of  $t^{\text{IAT}}$  under the worst-case intruder control strategy  $u_I$ .

In addition, obstacle augmentation (??) ensures that  $Q_i$  does not collide with  $\mathcal{G}_i(\cdot)$  during the avoidance maneuver. The overall control policy for avoiding the intruder and collision with other vehicles is thus given by:

$$u_i^A(t) = \begin{cases} u_i^{\text{AO}}(t) & t \leq \underline{t} \\ u_i^S(t) & \underline{t} \leq t \leq \bar{t} \end{cases}$$

#### E. Replanning after intruder avoidance

After the intruder disappears, liveness controllers which ensure that the vehicles reach their destinations can be obtained by solving a new SPP problem, where the starting states of the vehicles are now given by the states they end up in, denoted  $\tilde{x}_j^0$ , after avoiding the intruder. The set of all vehicles  $Q_j$  for whom we need to replan the trajectories,  $\mathcal{N}^{\text{RP}}$ , can be obtained by checking if a vehicle  $Q_j$  applied any avoidance control during  $[\underline{t}, \bar{t}]$ , e.g.,

$$\mathcal{N}^{\text{RP}} = \{j \in \{1, \dots, N\} : V_i^S(0, x_{I,i}(t)) \leq 0, t \in [\underline{t}, \bar{t}]\}. \quad (14)$$

Let the optimal control policy corresponding to this liveness controller be denoted  $u_i^L(t)$ . The overall control policy that ensures intruder avoidance, collision avoidance with other vehicles, and successful transition to the destination for vehicles  $i \in \mathcal{N}^{\text{RP}}$  is given by:

$$u_i^*(t) = \begin{cases} u_i^A(t) & t \leq \bar{t} \\ u_i^L(t) & t > \bar{t} \end{cases}$$



Note that in order to replan using a SPP method, we need to determine feasible  $t^{\text{STA}}$ s for all vehicles  $j \in \mathcal{N}^{\text{RP}}$ . This can be done by computing an FRS:

$$\begin{aligned} \mathcal{W}_j^{\text{RP}}(\bar{t}, t) = & \{y \in \mathbb{R}^{n_j} : \exists u_j(\cdot) \in \mathbb{U}_j, \forall d_j(\cdot) \in \mathbb{D}_j, \\ & x_j(\cdot) \text{ satisfies } (??), x_j(\bar{t}) = \tilde{x}_j^0, \\ & x_j(t) = y\}, \end{aligned} \quad (15)$$

where  $\tilde{x}_j^0$  represents the state of  $Q_j$  at  $t = \bar{t}$ . The FRS in (15) can be obtained by solving the HJ VI in (??) with the following Hamiltonian:

$$H_j^{\text{RP}}(x_j, \lambda) = \max_{u_j \in \mathcal{U}_j} \min_{d_j \in \mathcal{D}_j} \lambda \cdot f_j(x_j, u_j, d_j). \quad (16)$$

The new  $t^{\text{STA}}$  of  $Q_j$  is now given by the earliest time at which  $\mathcal{W}_j^{\text{RP}}(\bar{t}, t)$  intersects the target set  $\mathcal{L}_j$ ,  $t_j^{\text{STA}} := \arg \inf_t \{\mathcal{W}_j^{\text{RP}}(\bar{t}, t) \cap \mathcal{L}_j \neq \emptyset\}$ . Intuitively, this means that there exists a control policy which will steer the vehicle to its destination by that time, despite the worst case disturbance it might experience.

#### **To-Dos:**

- A remark about the single vehicle replanning property of Method-2.
- Once the replanning is complete, another intruder can appear in the system. So strictly speaking we are making an assumption that atmost one intruder is in the system *at any given time* as opposed to throughout the trajectory.
- For method-2 results, it may be helpful to include a figure which is showing the division of space among vehicles at some time (probably right before the intruder enters).

## V. SIMULATIONS

Focus on the following aspects:

- Demonstration of theory (that it avoids collision w/ other vehicles and intruders, and we reach our destinations).
- Scaling of SPP.
- Reactivity of controller to the actual disturbance (Claire: be very detailed about explaining the setup of simulation)
- Illustrate the structure that emerge out of SPP algorithm (Almost straight line path w/ different starting times)
- Illustrate how this structure change with change in disturbance bounds (Straight line trajectories become curvy? )

Also mention the technical details for the simulations, like RTT parameters, relative co-ordinate dynamics, rotation and translation of obstacles, union for obstacles, etc.

Let's pick speed  $1.5Km/min$  (or  $2.5Decametre/s$ ) and turnrate to be  $120rad/min$  ( $2rad/s$ ).  
Let's use grid to be  $[0, 500]Dm$ .