



1 **Technical note.**

2 **Harmonization of the multi-scale multi-model activities HTAP, AQMEII and**  
3 **MICS-Asia: simulations, emission inventories, boundary conditions and**  
4 **output formats**

5

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20

21 **Abstract**

22 We present an overview of the coordinated global numerical modelling experiments  
23 performed during 2012-2016 by the Task Force on Hemispheric Transport of Air Pollution  
24 (TF HTAP), the regional experiments by the Air Quality Model Evaluation International  
25 Initiative (AQMEII) over Europe and North America, and the Modelling Intercomparison  
26 Study- Asia (MICS-Asia). To improve model estimates of the impacts of intercontinental  
27 transport of air pollution on climate, ecosystems and human health and to answer a set of  
28 policy relevant questions, these three initiatives performed emission perturbation modelling  
29 experiments consistent across the global, hemispheric and continental/regional scales. In all  
30 three initiatives, model results are extensively compared against monitoring data for a  
31 range of variables (meteorological, trace gas concentrations, and aerosol mass and  
32 composition) from different measurement platforms (ground measurements, vertical  
33 profiles, airborne measurements) collected from a number of sources. Approximately 10 to  
34 20 modelling groups have contributed to each initiative, and model results have been  
35 managed centrally through three data hubs maintained by each initiative. Given the  
36 organizational complexity of bringing together these three initiatives to address a common  
37 set of policy relevant questions, this publication provides the motivation for the modelling  
38 activity, the rationale for specific choices made in the model experiments, and an overview  
39 of the organizational structures for both the modelling and the measurements used and  
40 analysed in a number of modelling studies in this special issue.

41

42 **1. Introduction**



1 The Task Force on Hemispheric Transport of Air Pollution (TF HTAP) was organized in 2005  
2 under the *UNECE Convention on Long-range Transboundary Air Pollution* (CLRTAP) (see  
3 <http://www.unece.org/env/lrtap/welcome.html>). Recognizing the increasing importance of  
4 hemispheric transport of air pollution, CLRTAP mandated the TF HTAP to work in  
5 partnership with scientists across the world to improve knowledge on the intercontinental  
6 or hemispheric transport and formation of air pollution; its impacts on climate, ecosystems,  
7 and human health; and the potential mitigation opportunities.

8 In 2010, TF HTAP produced the first comprehensive assessment of the intercontinental  
9 transport of air pollution in the Northern Hemisphere (TF HTAP, 2010a,b). A series of four  
10 reports addressed issues around emissions, transport, and impacts of particulate matter and  
11 ozone, mercury, POPs, and their relevance for policy. The HTAP Phase 1 (HTAP1) joint  
12 modelling experiments, in which more than 20 global models participated, focussed on the  
13 meteorological year 2001. In 2012, the TF HTAP launched a new phase of cooperative multi-  
14 model experiments and analyses to provide up-to-date information to CLRTAP (e.g. Maas  
15 and Grenfell, 2016) and other multi-lateral cooperative efforts, as well as national actions  
16 to decrease air pollution and its impacts.

17 The objectives of the HTAP Phase 2 (HTAP2) activity are summarized as follows:

- 18     • To estimate relative contributions of regional and extra-regional sources of air  
19       pollution in different regions of the world, by refining the source/receptor  
20       relationships derived from the HTAP Phase 1 simulations.
- 21     • To provide a basis for model evaluation and process studies to characterize the  
22       uncertainty in the estimates of regional and extra-regional contributions and  
23       understand the differences between models.
- 24     • To give input to assessments of the impacts of control strategies on the contribution  
25       of regional and extra-regional emissions sources to the exceedance of air quality  
26       standards and to impacts on human health, ecosystems, and climate.

27

28 The major advances of HTAP2 over the earlier HTAP1 experiments were:

29

- 30     • a focus on more recent years as a basis for extrapolation (2008-2010), including an  
31       updated collection of emission inventories for 2008 and 2010 (Janssens-Maenhout et  
32       al., 2015) that is utilised across all model experiments. In HTAP1 the year of interest  
33       was 2001, and in contrast to HTAP2, the anthropogenic emissions used by the  
34       different modelling groups were expected to be loosely representative for the  
35       beginning of the 2000s, but were not prescribed, resulting in a large diversity of  
36       base-line emissions.
- 37     • an expanded number of more refined source/receptor regions: the original set of 4  
38       rectangular source regions (North America, Europe, South Asia, and East Asia)  
39       identified in HTAP1 have been refined to align with geo-political borders and  
40       additional regions have been added, dividing the world into 16 potential source  
41       regions and 60 receptor regions.
- 42     • the use of regional models and consistent boundary conditions from selected global  
43       models for Europe, North America, and Asia to provide high resolution estimates of  
44       the impacts on health, vegetation, and climate, in addition to the global models'  
45       world-wide coverage.

46



1 The most innovative aspect of the modelling work, performed in 2013-2016, is the  
2 consistent coupling of global and regional model experiments using existing modelling  
3 frameworks. The regional counterparts of the TF HTAP are the AQMEII (Air Quality Model  
4 Evaluation International Initiative) and MICS-Asia (Model Intercomparison Study for Asia)  
5 activities.

6

7 The AQMEII project was launched in 2008 in an attempt to bring together modelers from  
8 both sides of the Atlantic Ocean to perform joint regional model experiments using common  
9 boundary conditions, emissions, and model evaluation frameworks with a specific focus on  
10 regional modeling domains over Europe and North America (Rao et al., 2012). The first two  
11 AQMEII activities focused on the development of general model-to-model and model-to-  
12 observation evaluation methodologies (phase 1, Galmarini et al. 2012a) and the simulation  
13 of aerosol/climate feedbacks with on-line coupled modeling systems (phase 2, Galmarini et  
14 al. 2015). AQMEII Phase 3 (AQMEII3) is devoted to performing joint modeling experiments  
15 with HTAP2. The AQMEII modeling community includes almost all of the major existing  
16 modeling systems for regional scale chemical transport simulation in research and  
17 regulatory applications in both continents. Most of the groups participating are part of  
18 modeling initiatives in the individual European member states and some of these groups  
19 utilize models developed in North America, thus providing the opportunity of assessing the  
20 impact of users outside of the conventional modeling context.

21

22 The MICS-Asia Phase III (MICS3) project is an activity building on work performed in Phase I  
23 (1998-2000; sulphur transport and deposition) and Phase II (2004-2009; sulphur, nitrogen,  
24 ozone and aerosols, see Fu et al., 2008). MICS3 is organized as a multi-national consortium  
25 of institutions and brings together modellers from China, Japan, Korea, Southeast Asia and  
26 the United States. The overall scope of MICS3 includes evaluation of the ability of models to  
27 reproduce pollutant concentrations under highly polluted conditions, dry and wet  
28 deposition fluxes, and the quantification of the effects of uncertainties due to process  
29 representation (emissions, chemical mechanisms, transport and deposition) and model  
30 resolution on simulated air quality. The joint evaluation with HTAP2 focuses on the  
31 evaluation of the role of long-range transport of air pollution in East Asia on air quality and  
32 impacts on climate, ecosystems and human health.

33

34 The involved framework for global aerosol modelling is the AeroCom initiative (Aerosol  
35 Comparison between observations and models, Schulz et al. 2009, Myhre et al. 2013), and  
36 dedicated experiments on long-range transport were designed and performed in  
37 collaboration with HTAP as part of AEROCom phase 3 (see  
38 <https://wiki.met.no/aerocom/phase3-experiments>), with an additional focus on long-range  
39 transport of dust and fire derived aerosol. The data storage and evaluation platform for  
40 global models was shared between AeroCom and HTAP2 (see section 2.5).

41 Presently these three activities involve ca. 10 global scale models, and approximately thirty  
42 regional scale modelling groups performing model simulations on the North American,  
43 European and East Asian domains, probably making HTAP2/AQMEII3/MICS3 exercise the  
44 largest, multi-scale/multi-model activity ever performed in atmospheric chemical modelling.  
45 The multi-scale and multi-regional modelling exercise required three independent  
46 organizations to manage and engage their respective communities and an overarching  
47 coordination effort as well as a high level of harmonization of the model simulations aiming



1 at comparability, usability and interoperability of the model results at the various scales.  
2 Specific decisions were made regarding the simulation period, lower air boundary  
3 conditions (emission inventory), volatile organic carbon (VOC) speciation, methane  
4 concentrations, emission perturbation runs, source region perturbations, lateral and upper  
5 air boundary conditions for regional simulations, variables expected for the analysis, file  
6 naming conventions, type and location of monitoring sites where model results were  
7 expected, data submission procedures, and the development and use of interoperable data  
8 archiving and visualisation servers.

9 The scope of this note is to provide information on the modelling activity harmonization and  
10 coordination adopted to guarantee the maximum level of coherence between the global  
11 and regional simulations. It will provide specific details on the organization of the global  
12 HTAP2 and the regional AQMEII3 activities, while only general information on the MICS3  
13 experiments is provided. Additional details regarding HTAP2 are summarised at  
14 <http://iek8wikis.iek.fz-juelich.de/HTAPWiki/> and are available in the report by Koffi et al.  
15 (2016) and for AQMEII3 at <http://ensemble2.jrc.ec.europa.eu/aqmeii/>.

16 This note should serve to provide coherent information on the simulations performed and  
17 their characteristics to the analysis articles presented in this special issue.

18

## 19 **2. The HTAP2, AQMEII3, and MICS3 modelling exercises set up**

20 The following aspects have to be harmonized in the organization of a multi scale multi  
21 chemical transport model activity:

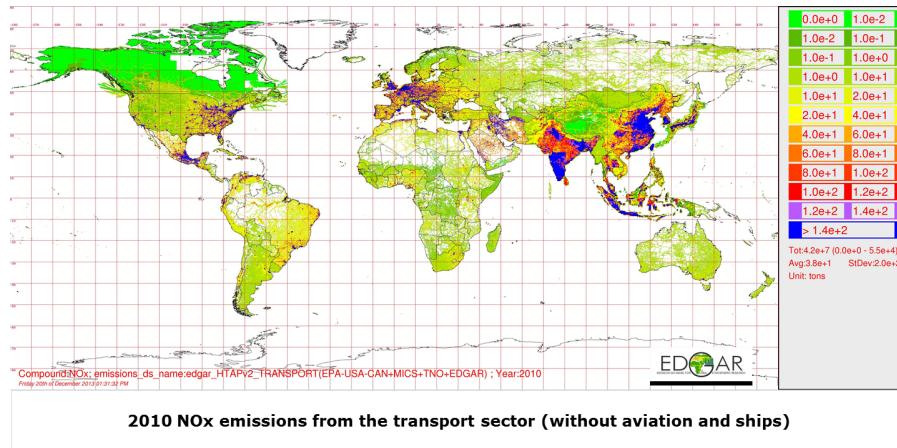
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- 23 - Simulation periods and meteorology to be used
- 24 - Emission inventories for global and regional models
- 25 - Boundary conditions for regional scale air quality models
- 26 - Harmonisation and interoperability of global and regional model output
- 27 - Monitoring data locations and methods for comparing models with observations
- 28 - Documentation of individual model set-up and construction of ensemble averages.

### 29 **2.1 Simulation period and meteorology used**

30 The simulation period of interest 2008-2010 was chosen on the basis of the availability of  
31 emissions data and intensive observations. The models were requested to run the three-  
32 year period with a priority given to the year 2010, followed by 2008, and then 2009. Global  
33 models can use meteorological data representative of the respective year, e.g. driven or  
34 constrained by one of the global analysis products that were most convenient to the  
35 modelling group. Regional scale modellers also were free to use the meteorological model  
36 of their choice based on compatibility with their chemical transport model. Sets of chemical  
37 boundary conditions for the regional models were provided by a limited set of global  
38 models participating in the global modelling experiments (see section 2.4)

39



1

2 **Figure 1.** Example of HTAP\_v2.2 emission mosaics for NO<sub>x</sub> in the transport sector.

3

4

## 5 **2.2 Emission data**

6 The anthropogenic emission data were harmonized across the regional and global modelling  
7 experiments. The Joint Research Centre's (JRC) EDGAR (Emission Data Base for Global  
8 Researc) team, in collaboration with regional emission experts from the U.S. Environmental  
9 Protection Agency (EPA), EMEP (European Monitoring and Evaluation Programme, CEIP  
10 (Centre on Emission Inventories and Projections), TNO (Netherlands Organisation for  
11 Applied Research), the MICS-Asia Scientific Community and REAS (Regional Emission Activity  
12 Asia), has compiled a composite of regional emission inventories with monthly gridmaps  
13 that include EDGARv4.3 gap filling for regions and/or sectors that were not provided by the  
14 regional inventories.

15 The so-called HTAP\_v2.2 database (Janssens-Maenhout et al., 2015), used in the global  
16 modelling experiments, has the following characteristics:

- 17 • Years 2008 and 2010, yearly and monthly time resolutions  
18 • Components: SO<sub>2</sub>, NO<sub>x</sub>, NMVOC, CH<sub>4</sub>, CO, NH<sub>3</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, BC, and OC at sector-  
19 specific level.  
20 • 7 emission sectors (Janssens-Maenhout et al., 2015), see Table 1.  
21 • Global geo-coverage with spatial resolution of 0.1° x 0.1° longitude, and latitude, to  
22 serve the needs of both global and regional model activities.

23  
24 Annual gridded emission data ([http://edgar.jrc.ec.europa.eu/htap\\_v2](http://edgar.jrc.ec.europa.eu/htap_v2), latest access July,  
25 2016) are delivered for each pollutant and emission sector. Monthly gridded values are  
26 provided for some sectors (energy, industry, transport and residential), where information  
27 was available to disaggregate annual emissions.

28 The regional emissions for the North American and European regional scale simulations of  
29 AQMEII3 are described in Pouliot et al. (2015), and were used earlier for AQMEII2 (Galmarini



et al., 2015) and embedded into the HTAP\_v2.2 inventory. The Asian inventory MIX (Li et al., 2015) was developed for MICS3 and HTAP2 simulations on a 0.25°x0.25° resolution, and converted by raster resampling to 0.1°x0.1° resolution for use in HTAP2. These regional inventories have been combined to form a global mosaic (**Figure 1**) that is consistent with inventories used at the regional scale in Europe, North America and Asia. However, we note that these emission estimates stemming from different data sources for different regions of the world, are not necessarily consistent, for example different fuel statistics or emission factors may have been used for different regions. Details on the recommended VOC speciation and other specific emission information can be found in Koffi et al. (2016), Janssens Maenhout (2015), Li et al. (2015) and Pouliot et al. (2015).

11

12 **Table 1:** Emission sectors in HTAP\_v2.2 database

Sector	Description
AIR	International and domestic aviation
SHIPS	International shipping
ENERGY	Power generation
INDUSTRY	Industrial non-power large-scale combustion emissions and emissions of industrial processes and product use including solvents
TRANSPORT	Ground transport by road, railway, inland waterways, pipeline and other ground transport of mobile machinery. Does not include re-suspension of dust from pavements or tire and brake wear
RESIDENTIAL	Small-scale combustion, including heating, cooling, lighting, cooking and auxiliary engines to equip residential and commercial buildings, service institutes, and agricultural facilities and fisheries; solid waste (landfills/ incineration) and wastewater treatment
AGRICULTURE	Agricultural emissions from livestock, crop cultivation but not from agricultural waste burning and not including savannah burning

13

14

15 Biomass burning emissions have not been prescribed for the global modelling groups, but it  
16 is recommended that groups use GFED3 data, which are available at daily and 3-hour  
17 intervals (see <http://globalfiredata.org/>). For the regional modelling groups participating in  
18 AQMEII3, fire emissions were included in the inventories distributed to the participants  
19 (Pouliot et al., 2015; Soares et al., 2015). Biogenic NMVOCs, soil and lightning NO<sub>x</sub>, dust, and  
20 sea salt emissions have not been prescribed for either the global or regional modelling



1 groups; modelling groups are encouraged to use the best information that they have  
2 available except that the AQMEII3 regional modelling groups were advised not to include  
3 lightning NO<sub>x</sub> in their simulations since not all modelling groups had a mechanism for  
4 including them. For wind-driven DMS (dimethyl sulphide) emissions from oceans, the  
5 climatology of ocean surface concentrations described in Lana et al. (2011) was  
6 recommended in conjunction with the model's meteorology and emission parameterisation  
7 for the global models. The regional models participating in AQMEII3 did not consider DMS  
8 emissions. For volcanic emissions, it was recommended that global groups use the  
9 estimates developed for 2008-2010 for AeroCom as an update of the volcanic SO<sub>2</sub> inventory  
10 of Diehl et al. (2012) and accessible at <http://aerocom.met.no/download/emissions/HTAP/>  
11 (latest access July 2016). As in the case of lightning NO<sub>x</sub> emissions, the AQMEII3 regional  
12 modelling groups were advised not to include volcanic emissions in their simulations since  
13 not all modelling groups had a mechanism for including them. Modeling groups were asked  
14 to document the source of all of their emissions data and assumptions, especially if it  
15 deviated from the recommended parameterisations. For mercury, the AMAP/UNEP global  
16 emissions inventory for 2010 was recommended (<http://www.apam.no/mercury-emissions>). None of the regional models participating in AQMEII3 considered mercury in  
17 their simulations.  
18

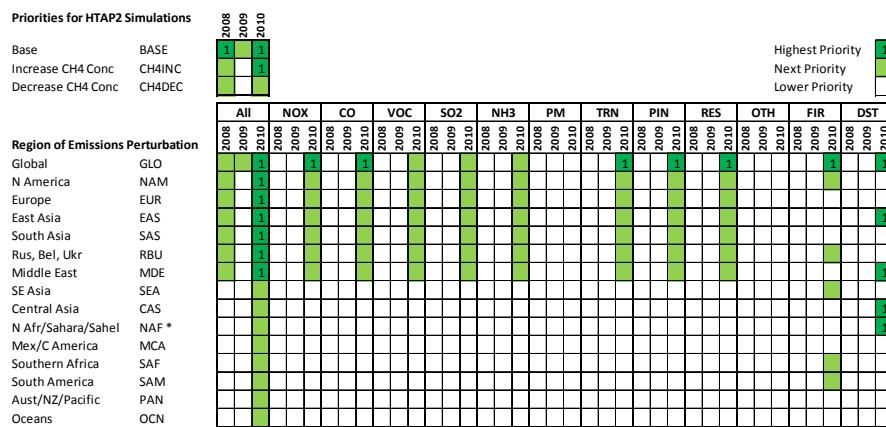
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### 20 **2.3 Emission perturbation**

21 In addition to the base 2008-2010 simulations, modelling groups were requested to perform  
22 emission perturbation experiments to help estimate source/receptor relationships; to  
23 attribute estimated concentrations, depositions, and derived impacts to regional and extra-  
24 regional sources; and to be used for scenario evaluations including uncertainties. **Figure 2**  
25 lists a large number of possible perturbation experiments; all except the methane  
26 perturbation experiments involve a 20% decrease in anthropogenic emissions similar to  
27 HTAP1. The choice of 20% was motivated by the consideration that the perturbation would  
28 be large enough to produce a sizeable impact (i.e. more than numerical noise) even at long-  
29 distances, while small enough to be in the near-linear atmospheric chemistry regime,  
30 assumptions which are subject to further analysis. The emission decreases are specified for  
31 combinations of pollutants, regions, and sectors.  
32

33

34



PM = Other Particulate Matter (BC, OC, PM10, PM2.5)

TRN = Ground Transport Sector; PIN = Power and Industry Sectors; RES = Residential Sector; OTH = Other Sectors (Ships, Aviation, Agriculture); FIR = Fire

DST = Dust \* For dust, some models should divide the NAF source into separate source regions for the Sahara (091+092, in the Tier2 regions) and Sahel (093).

1

2 **Figure 2.** HTAP2 emission perturbation experiments, dark green color are highest priority  
 3 experiments, light green next priority, and white colors lower priority. ALL refers to perturbation of  
 4 all anthropogenic components and sectors, sectors are TRN (Transportation), PIN (Power+industry),  
 5 RES (Residential), OTH (Other), FIR (Fire), DST (Mineral dust).

6

7 To capture the impact of changing methane emissions in a single year simulation, it is  
 8 necessary to perturb the methane concentration instead of the emissions. The  
 9 recommended perturbations (Table 2) are intended to cover the range of CH<sub>4</sub> concentration  
 10 changes associated with the Representative Concentration Pathway (RCP) scenarios used  
 11 for the Intergovernmental Panel on Climate Change (IPCC) fifth assessment report (AR5)  
 12 (IPCC, 2013) for 2030. The highest priority was assigned to an increase of global CH<sub>4</sub>  
 13 concentrations to 2121 ppb<sub>v</sub> (representative of RCP8.5). The next priority is assigned to a  
 14 decrease of global CH<sub>4</sub> concentrations to 1562 ppb<sub>v</sub> (representative of RCP2.6).

15

16 Table 2: BASE and Methane Perturbation runs

Simulation	Global CH <sub>4</sub> Concentration (ppbv)	Representative of
BASE	1798	2010 based on IPCC (2013)
CH4INC	2121	2030 under RCP 8.5
CH4DEC	1562	2030 under RCP2.6

17

18

19 The combination of global (all regions and sources) and regional perturbation experiments  
 20 provides the necessary information to calculate the so-called RERER (Response to Extra-  
 21 Regional Emission Reductions) metric, using the information on the contribution of foreign



1 emission perturbations relative to all worldwide emission perturbation to a change in region  
2 i.

3 
$$RERER_i = \frac{\Sigma R_{foreign}}{\Sigma R_{all}} = \frac{R_{global} - R_{region,i}}{R_{global}}$$
 (eq 1)

4 where  $R_{global}$  is calculated using the global (all regions and sources) 20% perturbation  
5 simulation (GLO) minus the unperturbed simulation (BASE) and  $R_{region}$  is the corresponding  
6 difference of the regional 20% emission perturbation simulation and the base simulation.  
7 The metric can be applied to a range of quantities, including surface concentrations, column  
8 amounts, and derived parameters.

9 A low (i.e. near 0) RERER value means that the signal within a region is not very sensitive to  
10 extra-regional emission reductions, and that local concentrations (or column amounts, etc.)  
11 depend more on local emission reductions given the current distribution of anthropogenic  
12 and biogenic emissions. A high RERER value (i.e. near 1) suggests that local conditions are  
13 strongly influenced by emissions changes outside the region. In some circumstances, when  
14 emission reductions correspond to increasing concentrations (e.g. ozone titration by NO  
15 emissions), RERER can become larger than 1.



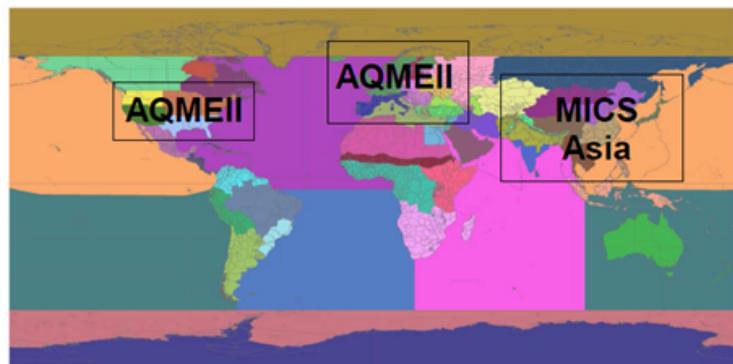
1    **2.4 Boundary Conditions for Regional Simulations**

2    One of the new aspects of HTAP2 experiments is the coupling of global and regional  
3    model simulations, including coupled emission perturbation studies. These common  
4    experiments are intended to enable the examination of the effects of a) the finer  
5    spatial and temporal resolution of regional models and b) the different processes  
6    represented in global and regional models.

7    In order to “nest” the regional within the global simulations, computational results  
8    from one or more global models are needed as boundary conditions for the regional  
9    models’ domains (**Figure 3**), typically provided as a set of time-varying  
10   concentrations of medium-to-long-lived components in a 3D box over the respective  
11   regional model domains at typical time resolutions of 3 to 6 hours.

12

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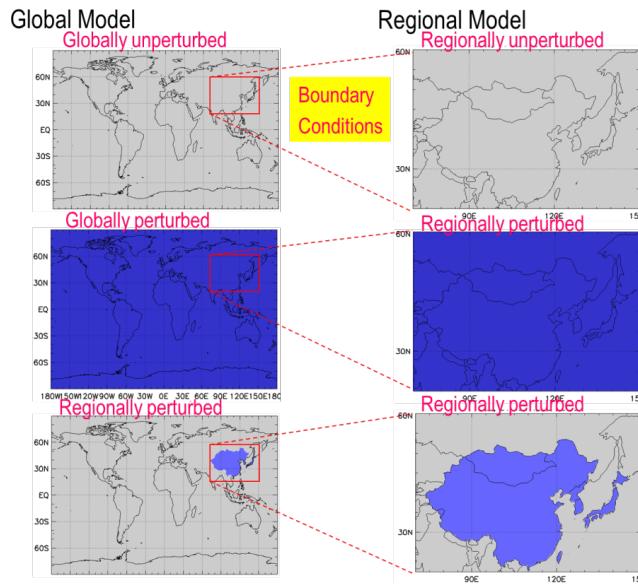
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15    **Figure 3:** Domains of the regional model simulations and source receptor areas

16

17    A small number of the global models participating in HTAP2 provided boundary  
18    conditions for regional simulations, the choice depending mostly on existing  
19    experiences of regional communities with these particular global models. The global  
20    scale simulations that were made available to the regional scale modelers for  
21    defining boundary conditions are presented in Table 3. Boundary conditions were  
22    provided for both the base case and also for a number of emission perturbation  
23    runs. Each of the emissions perturbation experiments with the global models  
24    created a new set of boundary conditions that can be used at the regional scale.  
25    This nesting is depicted graphically in Figure 4. It shows an example where the  
26    HTAP2 source region (in this case, East Asia) is wholly within the regional model  
27    domain. The inclusion of the global perturbation simulation (GLOBALL) allows  
28    consistent evaluation of the RERER metric (see section 2.3).

29



1

2       **Figure 4:** Example set of experiments, with both global and regional model (in this case a  
3        regional model over East Asia, red box), where the regional source perturbation is East Asia  
4        (blue shading), and is wholly within the regional model domain. Note that the magnitude of  
5        the emission perturbation in the region of consideration is identical between the global and  
6        regional model.

7

8        Regional models were free to use as boundary conditions one or more models as  
9        long as they were selected from the set of global models participating in HTAP2  
10      (Table 3), but in practice the AQMEII3 community focused its effort on C-IFS(CB05)  
11      (Flemming et al., 2015) calculations. GFDL/AM3 (Lin et al., 2012a,b) and GEOS-Chem  
12      (Park et al., 2004, Bey et al., 2001) were additionally used in some North American  
13      simulations. GEOS-Chem and CHASER (Sudo et al., 2002; 2007, Watanabe et al.,  
14      2011, Sekiya and Sudo, 2014) were the preferred models for the MICS3 consortium.

15



1

2 **Table 3:** 2008, 2009 and 2010 HTAP2 Global Runs for Regional Boundary Conditions

Model	Spatial Resolution	Temporal Resolution	Chemistry	Simulations
C-IFS(CB05) (ECMWF)	1.125°x1.125° (T159)  54 levels	3 hourly	CB05	BASE GLOALL CH4INC NAMALL EURALL EASALL SASALL
GFDL/AM3	~1°x1°  48 levels	3 hourly		BASE GLOALL CH4INC NAMALL EURALL EASALL
GEOS-Chem	2.5°x2°  47 levels	3 hourly		BASE GLOALL CH4INC NAMALL EURALL EASALL
CHASER	2.8°x2.8°	3 hourly + daily mean		BASE

3

4

5 **2.5 Specification of the global and regional scale model outputs**

6 Careful consideration was given to the organization of the model output, given the  
7 large number of models, variables requested, and case studies. This required  
8 specifications of data formats, variable and file naming conventions, data  
9 organization at identified collection points, and the definition of agreed locations  
10 where measurements would be available and model data had to be produced for  
11 both regional and global models. Further details can be found at  
12 <http://iek8wikis.iek.fz-juelich.de/HTAPWiki/HTAP-2-data-submission> and in Koffi et  
13 al. (2016). For HTAP2 and AQMEII3, the experience acquired over the past  
14 experiments allowed this massive data handling task to be carried out in an efficient  
15 way because data formats, naming conventions and collections points were already  
16 well established for these two activities and respective communities of models. For  
17 HTAP2 the netCDF (<http://www.unidata.ucar.edu/software/netcdf/>) with Climate



1 and Forecast (CF) (<http://cfconventions.org/>) meta data format was adopted. For  
2 AQMEII3 the ENSEMBLE data format was used (Galmarini et al. 2012b), allowing easy  
3 participation for regional modellers already participating in AQMEII2. Two data  
4 repositories were available for the two communities: the AeroCom repository at the  
5 Norwegian meteorological institute (MetNo) ([aerocom.met.no](http://aerocom.met.no); Schulz et al., 2009)  
6 and the JRC ENSEMBLE (Galmarini et al., 2014) platforms, respectively. Data for  
7 MICS3 were handled and analyzed at the Joint International Center on Air Quality  
8 Modeling Studies (JICAM) in Beijing, China, a joint cooperation between the Institute  
9 of Atmospheric Physics (IAP) of Chinese Academy of Sciences and the Asia Center for  
10 Air Pollution Research (ACAP) in Niigata, Japan. These facilities not only allow the  
11 organization of the data produced by various sources around the world but also their  
12 consultation through web interfaces and the matching of the model results with the  
13 available measured data and the statistical comparison of these two pieces of  
14 information. A connection and automatic data conversion protocol between the  
15 ENSEMBLE and AeroCom platforms was also pioneered to allow the bi-directional  
16 transfer of model data and a consistent comparison of global and regional model  
17 results with a common set of observations.

18 Global model data from this study can be accessed via the AeroCom data server at  
19 MetNo. Data are organised such that the HTAP2 model version, experiment, period,  
20 and variable name can be identified readily from directory and file names. Model  
21 output providers have to register at the database provider MetNo and are provided  
22 with access to a linux server via ssh (see further details at  
23 <https://wiki.met.no/aerocom/user-server>). This server also provides essential and  
24 standard data inspection, analysis and extraction tools for netCDF files (ncdump,  
25 ncview, python, nco, cdo, etc.). Users may utilize these tools to retrieve files, or  
26 subsets of them for further analysis. All incoming files are processed with the  
27 AeroCom visualization tools to generate “quick look” images for initial inspection. All  
28 variables are plotted as fields for major regions, each month and season. Where  
29 available, comparisons are made to surface observations, mainly those from the  
30 EBAS database maintained by NILU ([ebas.nilu.no](http://ebas.nilu.no)) and from Aeronet  
31 (<http://aeronet.gsfc.nasa.gov>). The quick look images are publicly available via the  
32 web interface at [http://aerocom.met.no/cgi-  
33 bin/aerocom/surfobs\\_annualrs.pl?PROJECT=HTAP&MODELLIST=HTAP-phasesII-ALL](http://aerocom.met.no/cgi-bin/aerocom/surfobs_annualrs.pl?PROJECT=HTAP&MODELLIST=HTAP-phasesII-ALL).

34 To facilitate the comparability of model results with measured data, the former were  
35 requested as time series at surface locations, or vertical profiles, mostly located in  
36 Europe and North America, enabling the comparison of the AQMEII3 and HTAP2  
37 experiments. Model results were requested in various forms. Specifically, 4128  
38 surface stations were identified for the comparison of gas phase species, 2068  
39 surface stations were identified for the comparison of aerosol species, and 240  
40 stations were identified for the evaluation of vertical profiles. These locations are a



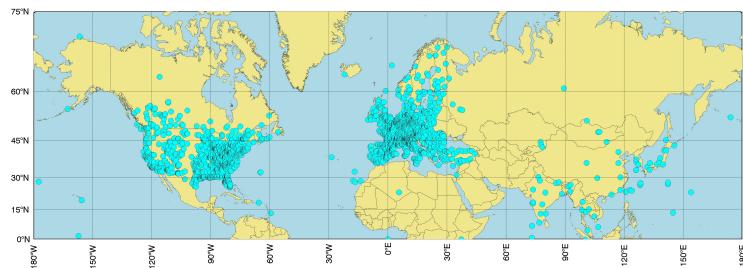
1 mixture of stations of global and regional significance and spatial representativeness  
2 (Figure 5). Details of the data requests for HTAP2 can be found in Koffi et al. (2016).  
3 For AQMEII3, the specifications of requested model variables are contained in the so  
4 called AQMEII overarching document  
5 ([http://ensemble2.jrc.ec.europa.eu/aqmeii/?page\\_id=527](http://ensemble2.jrc.ec.europa.eu/aqmeii/?page_id=527)). Model results are also  
6 available to participating modelling groups and the wider scientific community  
7 through the ENSEMBLE web based platform following the protocol established for  
8 phase 1 and 2 of AQMEII (Galmarini and Rao, 2011)  
9 MICS3 output includes monthly averaged hourly surface data for O<sub>3</sub>,  
10 NO, NO<sub>2</sub>, HNO<sub>3</sub> and HONO; surface VOC species consistent with the CB05, CBMZ,  
11 RADM2 and SAPRC99 mechanisms and Wet/Dry depositions of sulfur and nitrogen  
12 components.  
13 To help diagnose the differences between models and isolate different transport  
14 processes, we requested that HTAP2 global models also include two passive tracers.  
15 These tracers should be emitted in the same quantity as total anthropogenic CO  
16 emissions (not including fires) and decay exponentially with uniform fixed mean  
17 lifetimes (or e-folding times) of 25 and 50 days, respectively, as in the Chemistry-  
18 Climate Modelling Initiative (CCMI).

19

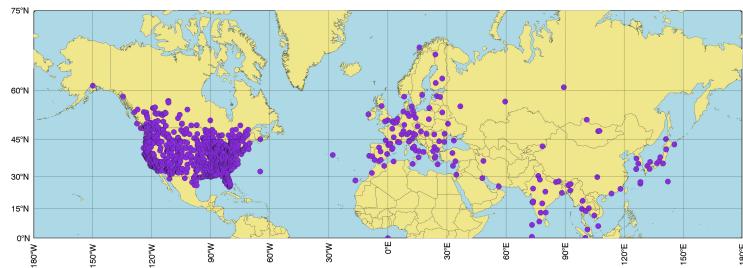


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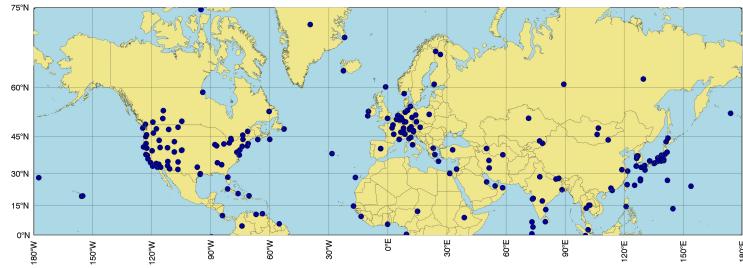
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4



5

6 **Figure 5:** Location of the stations where surface gas (top)  
7 surface aerosol (middle) and  
vertical profile (bottom) model outputs are requested.

8

### 9 **3. Conclusions**

10 This technical note provides details about the set up of the joint regional-global  
11 chemistry-transport emission perturbation experiments, planned and executed  
12 within the HTAP2 model exercise. The Task Force Hemispheric Transport Air  
13 Pollution falls under the *UNECE Convention on Long-range Transboundary Air*  
14 *Pollution* and deals with the *increasingly* important issue of hemispheric transport of  
15 air pollution. TF HTAP works in partnership with scientists across the world to  
16 improve our understanding of the intercontinental or hemispheric transport and



1 formation of air pollution; its impacts on climate, ecosystems, and human health;;  
2 and the potential mitigation opportunities.

3

4 The major advances of HTAP2 with respect to previous HTAP1 activity are:

- 5     • a focus on more recent years as a basis for extrapolation (2008-2010),  
6     • a larger number of source/receptor regions  
7     • In collaboration with the existing regional scale modelling initiatives AQMEII  
8        and MICs-ASIA: the use of regional models and consistent boundary  
9        conditions from selected global models for Europe, North America, and Asia  
10      to provide higher resolution estimates of the impacts of hemispheric  
11      transport of air pollution on health, ecosystems and climate.

12

13 The multi-model, multi-scale, and multi-pollutant character of the activities  
14 performed in HTAP2 required a considerable level of harmonization of the  
15 information used to run the model at different scales and of the results produced.  
16 Such harmonization considerably facilitates the interpretation of model results and  
17 inter-model differences. Particular attention was given to providing coherent  
18 emissions and boundary conditions to the global and regional scale models, and  
19 harmonising dataset of monitoring data collected to evaluate the model results. To  
20 our knowledge such an attempt is unprecedented in the field and constitutes an  
21 important starting point for future multiple scale modelling activities. A considerable  
22 effort has been made for the harmonization of data formats, and web based data  
23 hubs, allowing consultation of model and measurement data by the participants as  
24 well as possible external data users with simplicity and having a few "one-stop  
25 shops," where all information is collected geo-referenced and ready to be used. As  
26 independently demonstrated in the past, by the ENSEMBLE and AeroCom  
27 experiences, such an approach effectively takes away the burden on individual  
28 modelling groups of collecting scattered measurement data, and organizing these  
29 data sets for comparison with models. Moreover, this approach effectively provides  
30 benchmark datasets for objective comparisons across models.

31 While first steps towards fuller integration of protocols, requested outputs, and  
32 analysis methods were shared across the three communities, a fully interoperable  
33 and harmonised set of global and regional outputs was not yet obtained due to  
34 different requirements of the communities. At this stage, the availability of global  
35 and regional model outputs and observations at a common set of monitors permits a  
36 first analysis of global/regional model performance in the North American and  
37 European domains and represents a significant step forward for both communities.

38 Many of the analyses presented in this special issue draw upon this unique collection  
39 of data and tools which is open and available for further analysis. We encourage the  
40 scientific community to continue to explore this data to generate scientific and



1 policy-relevant insights and to engage in the future development of the TF HTAP,  
2 AQMEII, and MICS-Asia activities.

3

4

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17



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