CS 61B Midterm 2 Review

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True/False

Determine the truth value of the following statement:

$$n^2 \in \Omega(n!)$$

1. True
2. False

True/False

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1. True
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True/False

Determine the truth value of the following statement:

$$(\log n)^6 \in O(n^{\frac{1}{6}})$$

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General Rule: Any polynomial (n^k for some positive k) always dominates any logarithm ($(\log n)^\ell$ for some ℓ).

True/False

Determine the truth value of the following statement:

If $f \in O(g)$ and $g \in O(h)$, then $f \in O(h)$.

1. True
2. False

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Determine the truth value of the following statement:

> *A* **preorder** *traversal of a binary search tree will visit the nodes in* **ascending** *order of their keys.*

1. True
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1. True
2. False

An **inorder** traversal of a BST will visit the nodes in ascending order.

True/False

Determine the truth value of the following statement:

If $\log f(n) \in \Theta(\log g(n))$, *then* $f \in \Theta(g)$.

1. True
2. False

True/False

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Counter-example: Let $f(n) = n$ and $g(n) = n^2$. Then $\log f(n) = \log n$ and $\log g(n) = \log(n^2) = 2 \log n$.

True/False

Determine the truth value of the following statement:

$$n^{\log_2 5} \in O(n^2 \log n)$$

1. True
2. False

True/False

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Because $\log_2 5 \approx 2.3 > 2$, $n^{\log_2 5}$ dominates $n^2 \log n$ because $n^{\log_2 5 - 2}$ dominates $\log n$ (any polynomial dominates any logarithm).

True/False

Determine the truth value of the following statement:

Let $f \in O(g)$, and let $c > 0$. If $f(n)$ and $g(n)$ are always greater than one,

$$f(n)\log_2(f(n)^c) \in O(g(n)\log_2(g(n)))$$

1. True
2. False

True/False

Determine the truth value of the following statement:

> *Let $f \in O(g)$, and let $c > 0$. If $f(n)$ and $g(n)$ are always greater than one,*
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> $$f(n)\log_2\left(f(n)^c\right) \in O(g(n)\log_2(g(n)))$$

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1. True
2. False

Because the constant c is in the exponent of a logarithm, it can be pulled out:

$$f(n)\log_2(f(n)^c) = cf(n)\log_2(f(n)); g(n)\log_2(g(n))^c = cg(n)\log_2 g(n)$$

Which will not affect the big-O relationship.

Multiple Choice

Select the strongest correct answer:

> *The* **average-case** *running time to* `insert()` *into a binary search tree with n nodes is*

1. $O(1)$
2. $O(\log n)$
3. $O(n)$
4. $O(n^2)$

Multiple Choice

Select the strongest correct answer:

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Multiple Choice

Select the strongest correct answer:

> *The* **best-case** *running time to* `insert()` *into a binary search tree with n nodes is*

1. $O(1)$
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Multiple Choice

Select the strongest correct answer:

> *The* **worst-case** *running time to* `insert()` *into a binary search tree with n nodes is*

1. $O(1)$
2. $O(\log n)$
3. $O(n)$
4. $O(n^2)$

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Select the strongest correct answer:

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Multiple Choice

Select the strongest correct answer:

> *The amount of memory needed to store a graph with v vertices and e edges using an* **adjacency matrix** *is*

1. $O(v + e)$
2. $O(ve)$
3. $O(e^2)$
4. $O(v^2)$

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Select the strongest correct answer:

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Multiple Choice

Select the strongest correct answer:

> *The amount of memory needed to store a graph with v vertices and e edges using an* **adjacency list** *is*

1. $O(v+e)$
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3. $O(e^2)$
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Multiple Choice

Select the strongest correct answer:

The amount of memory needed to store a graph with v vertices and e edges using an **adjacency list** *is*

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2. $O(ve)$
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4. $O(v^2)$

Multiple Choice

Select the strongest correct answer:

> *The* **average-case** *running time for* `insert()` *into a hash table with n entries is*

1. $O(1)$
2. $O(\log n)$
3. $O(n)$
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Select the strongest correct answer:

> *The* **average-case** *running time for* `insert()` *into a hash table with n entries is*

1. $O(1)$
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Asymptotic Analysis

The sum of the haromic series $1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{5}, \ldots$ diverges; that is:

$$\sum_{i=1}^{\infty} \frac{1}{i} = \infty$$

However, for large n, the sum of the first n terms of this series can be well approximated as

$$\sum_{i=1}^{n} \frac{1}{i} \approx \ln n + \gamma$$

Where ln is the natural logarithm and γ is a constant approximately equal to 0.57721. Show (prove) the following:

$$\sum_{i=1}^{n} \frac{1}{i} \in \Theta(\log n)$$

Asymptotic Analysis

Proposition:

$$\sum_{i=1}^{n} \frac{1}{i} \in \Theta(\log n)$$

Proof: (Show O): By decreasing each denominator to the next power of two, we can find the upper bound:

$$\begin{aligned}
\frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n} \quad &< \quad \frac{1}{1} + \frac{1}{2} + \frac{1}{2} + \frac{1}{4} + \frac{1}{4} + \frac{1}{4} + \frac{1}{4} + \frac{1}{8} + \ldots \\
&\approx \quad \left(\sum_{i=1}^{\lfloor \log n \rfloor} 1 \right) + c' \in \Theta(\log n)
\end{aligned}$$

So $\sum_{i=1}^{n} \frac{1}{i} \in O(\log n)$.

Asymptotic Analysis

Proposition:

$$\sum_{i=1}^{n} \frac{1}{i} \in \Theta(\log n)$$

Proof (continued):
(Show Ω): By increasing each denominator to the next power of two, we can find the lower bound:

$$\begin{aligned}
\frac{1}{1}+\frac{1}{2}+\frac{1}{3}+\cdots+\frac{1}{n} \quad &> \quad \frac{1}{1}+\frac{1}{2}+\frac{1}{4}+\frac{1}{4}+\frac{1}{8}+\frac{1}{8}+\frac{1}{8}+\frac{1}{8}+\ldots \\
&\approx \quad 1+\left(\sum_{i=1}^{\lfloor \log n \rfloor} \frac{1}{2}\right)+c' \in \Theta(\log n)
\end{aligned}$$

So $\sum_{i=1}^{n} \frac{1}{i} \in \Omega(\log n)$, and thus $\sum_{i=1}^{n} \frac{1}{i} \in \Theta(\log n)$.

Asymptotic Analysis

Prove the following:

For any positive real constants a, b:

$$(n + a)^b \in \Theta(n^b)$$

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For any positive real constants a, b:

$$(n + a)^b \in \Theta(n^b)$$

Proof: (Show Ω): Take $c = 1$, $N = 1$. Then because a is positive, $n + a > n$ for any n, so

$$(n + a)^b > n^b$$

and thus $(n + a)^b \in \Omega(n^b)$.
(Show O): Take $d = 2^b$ (b is a constant, so this is valid) and $N = a$. Then for any $n > N = a$, surely $2n > n + a$, so

$$(n + a)^b < 2^b n^b = (2n)^b$$

and thus $(n + a)^b \in O(n^b)$, and $(n + a)^b \in \Theta(n^b)$. $\square$

Binary Search Trees: Review

A binary search tree is a tree with the following properties:

- The tree is a binary tree (each node has at most two children).
- The left subtree of any node contains only keys less than that node's key
- The right subtree of any node contains only keys greater than that node's key

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To `find()` an element e:

1. Start at the root. If the tree is empty, then the key is not in the tree.
2. If the root's key is e, then return the value. Otherwise:
    1. If the root's key is greater than e, then run `find()` on its left child.
    2. Otherwise, run `find()` on its right child.

Binary Search Trees: Review

To `insert()` an element, traverse the tree like `find()` until a leaf node is reached. Insert the element as the right or left child of the leaf, depending on if it is greater than or less than the leaf.

Binary Search Trees: Review

To `insert()` an element, traverse the tree like `find()` until a leaf node is reached. Insert the element as the right or left child of the leaf, depending on if it is greater than or less than the leaf.

To `remove()` an element:

1. Search for the item using `find()`.
    1. If it has 0 children, remove the node from the tree.
    2. If it has 1 child, replace the node with its child.
    3. If it has 2 children, replace the label of the node with the label of its in-order successor and remove that node.

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To `insert()` an element, traverse the tree like `find()` until a leaf node is reached. Insert the element as the right or left child of the leaf, depending on if it is greater than or less than the leaf.

To `remove()` an element:

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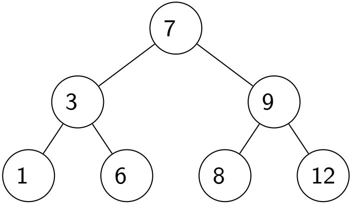
   1. If it has 0 children, remove the node from the tree.
   2. If it has 1 child, replace the node with its child.
   3. If it has 2 children, replace the label of the node with the label of its in-order successor and remove that node.

> The **in-order successor** of a node is the node that is visited after the first node in an in-order traversal of the tree. In a binary search tree, the label of the in-order successor is the smallest value that is greater than the node's label. The in-order successor of a node is the bottom leftmost child in its right subtree.

Binary Search Trees

Given the following binary search tree:



Draw what it looks like after each of the following consecutive method calls:

- `insert(5)`
- `remove(3)`
- `insert(3)`
- `remove(9)`

Binary Search Trees

After `insert(5)`:

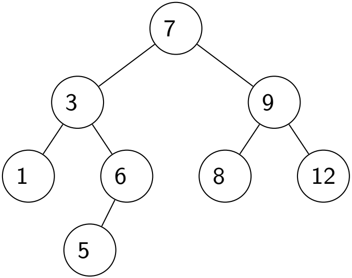
After `insert(3)`:

After `remove(9)`:

After `remove(3)`:

Binary Search Trees

After `insert(5)`:



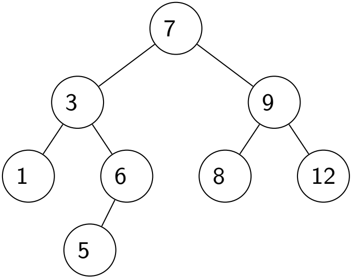
After `remove(3)`:

After `insert(3)`:

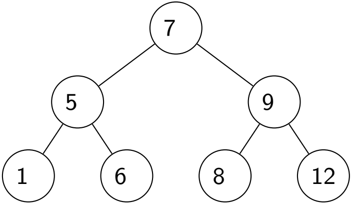
After `remove(9)`:

Binary Search Trees

After `insert(5)`:



After `remove(3)`:

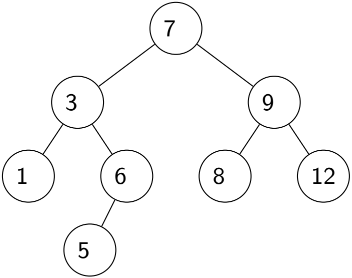


After `insert(3)`:

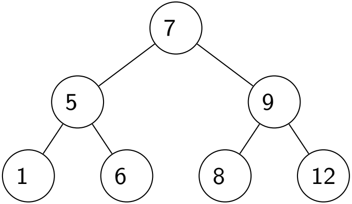
After `remove(9)`:

Binary Search Trees

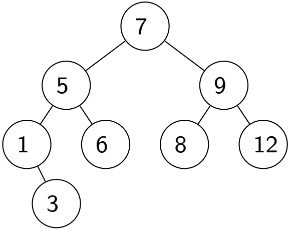
After `insert(5)`:



After `remove(3)`:



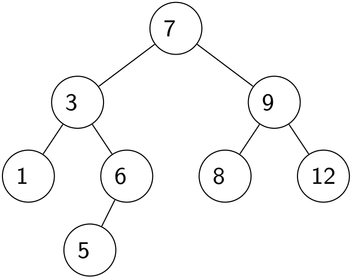
After `insert(3)`:



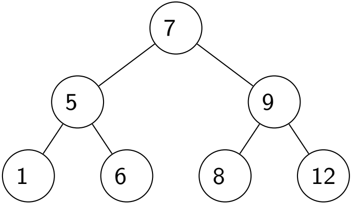
After `remove(9)`:

Binary Search Trees

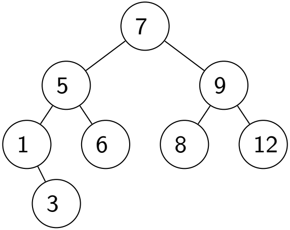
After `insert(5)`:



After `remove(3)`:



After `insert(3)`:



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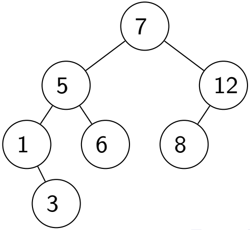

Binary Search Trees

If you are given the shape of a binary search tree with n nodes and a collection of n keys containing no duplicates, how many possible binary search trees can be formed?

1. 1
2. 2
3. $\log n$
4. n
5. $n!$

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2. 2
3. $\log n$
4. n
5. $n!$

Given the shape of the tree, if there are ℓ nodes in the left subtree, the root must the the $\ell + 1$th smallest key. The keys can then be recursively assigned to the left and right subtrees.

Heaps: Review

A heap is a binary tree with the following properties:

- The tree is complete. That is, every level is filled except possibly the last, which is filled from left to right.
- The *heap property* or *heap invariant* holds for all nodes of the tree: If B is a descendant of A, then the key of B is less than or equal to that of A.

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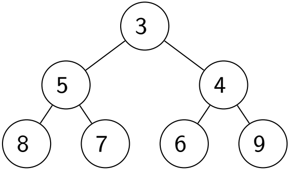
Heaps are usually implemented as arrays:

×	3	5	4	8	7	6	9



Heaps: Review

To `insert()` an element:

1. Insert the item at the end of the array.
2. Bubble up by repeatedly swapping with parents until the heap property is satisfied.

Heaps: Review

To `insert()` an element:

1. Insert the item at the end of the array.
2. Bubble up by repeatedly swapping with parents until the heap property is satisfied.

To `removeMin()`:

1. Swap the first and last elements of the array.
2. Remove the last element and return it.
3. Bubble the root down by repeatedly comparing with both of its children and swapping until the heap property is satisfied.

Heaps

Starting with an empty max heap, perform the following consecutive insertions:

1. `insert(5)`
2. `insert(1)`
3. `insert(2)`
4. `insert(6)`
5. `insert(4)`
6. `insert(3)`

Draw what the heap looks like after these values are inserted.

Heaps

Starting with an empty max heap, perform the following consecutive insertions:

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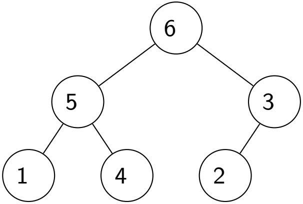
Answer:



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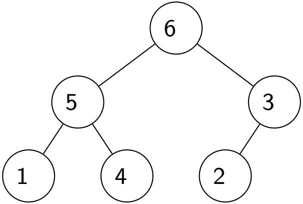
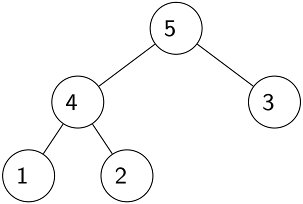


What does the heap look like after calling `removeMax()`?

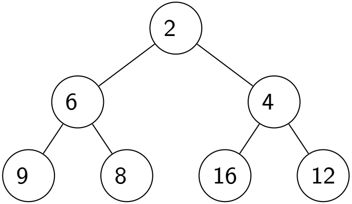
Heaps

Answer:



Heaps

Given the following heap:



Draw what it looks like after each of the following consecutive method calls:

- `insert(10)`
- `removeMin()`
- `insert(3)`
- `removeMin()`

Heaps

After `insert(10)`:

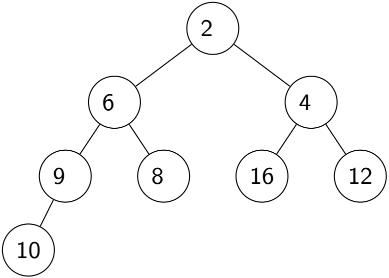
After `insert(3)`:

After `removeMin()`:

After `removeMin()`:

Heaps

After `insert(10)`:



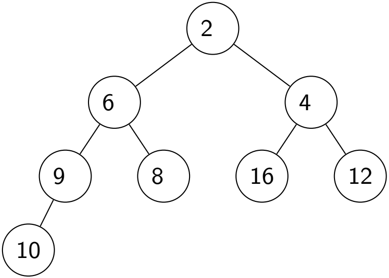
After `insert(3)`:

After `removeMin()`:

After `removeMin()`:

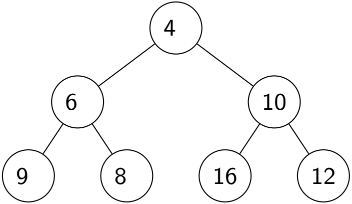
Heaps

After `insert(10)`:



After `insert(3)`:

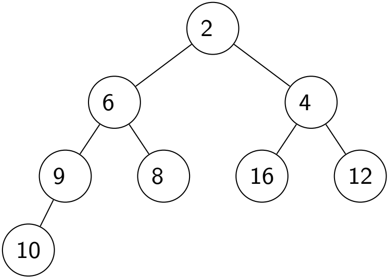
After `removeMin()`:



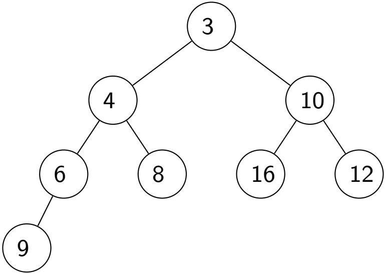
After `removeMin()`:

Heaps

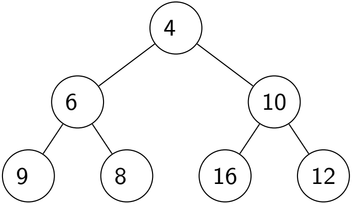
After `insert(10)`:



After `insert(3)`:



After `removeMin()`:



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Heaps

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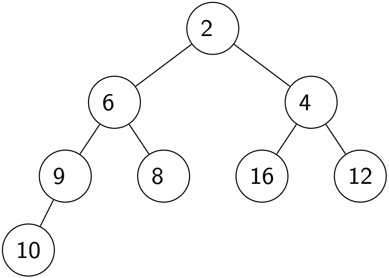
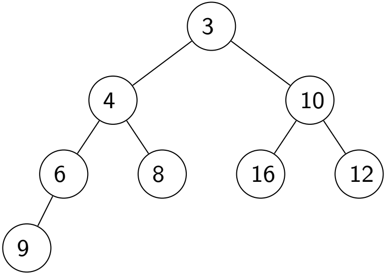


After `insert(3)`:



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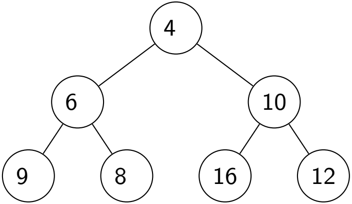

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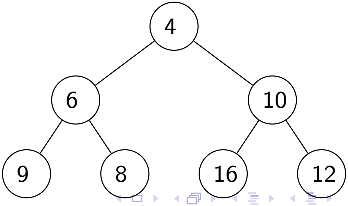

Heaps

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Answer: Call `removeMin()` k times and store the k smallest values. Insert all of them back in except for the kth smallest, and return it.
This takes $O(2k \log n) = O(k \log n)$ time.

2-3-4 Trees: Review

In a 2-3-4 tree, each node is one of the following:

- **2-node:** contains one key and has two or no children
- **3-node:** contains two keys and has three or no children
- **4-node:** contains three keys and has four or no children

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Additionally, the following invariants are held:

- All the leaves are at the same level
- All the keys are in sorted order
  - In a 2-node, the key is greater than all the keys in the left subtree and less than all the keys in the right subtree
  - Analogous properties hold for 3-nodes and 4-nodes

2-3-4 Trees: Review

To `insert()` an element, we traverse the tree to find the correct spot to insert the key. On the way down, we fix 4-nodes. If the root is a 4-node, the tree grows by one level:

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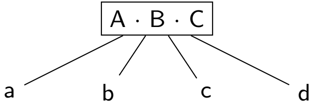
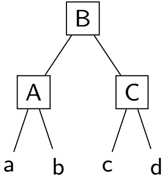
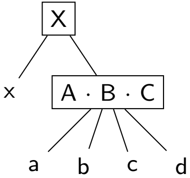
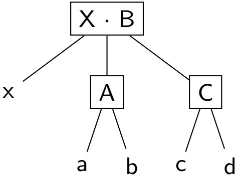




Otherwise, the middle key is inserted into the parent (which is guaranteed not to be a 4-node).





When we finish fixing all 4-nodes, we insert it into the appropriate leaf.

2-3-4 Trees: Review

To `remove()` an element, we traverse the tree, first finding the element to remove and then the smallest key greater than it. On the way down, we fix 2-nodes. If a 2-node is reached, we first try to borrow from a sibling and perform a **rotation**:

Case 1: Rotation

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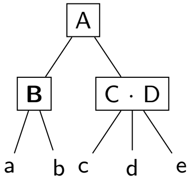

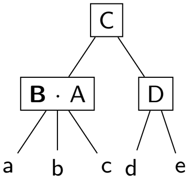

2-3-4 Trees: Review

If there are no siblings that are not 2-nodes, then a key needs to be borrowed from the parent in a **fusion** operation:

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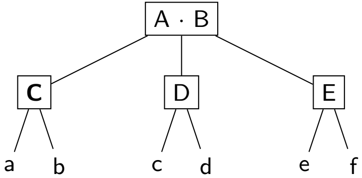

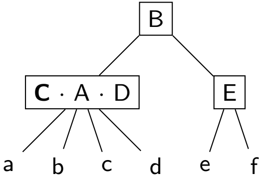

2-3-4 Trees: Review

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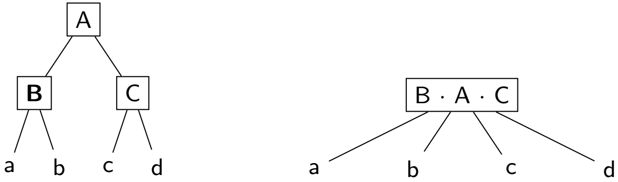
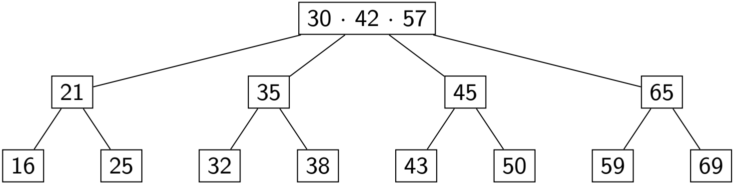


2-3-4 Trees

Given the following 2-3-4 tree:



Draw what it looks like after each of the following consecutive method calls:

- `insert(60)`
- `insert(68)`
- `remove(59)`

2-3-4 Trees

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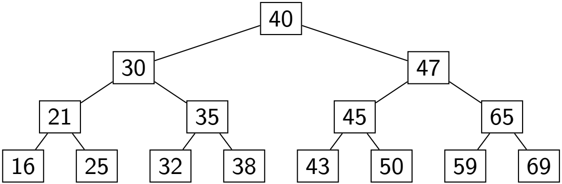

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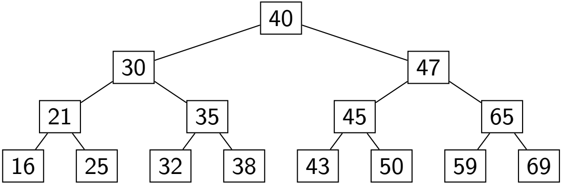

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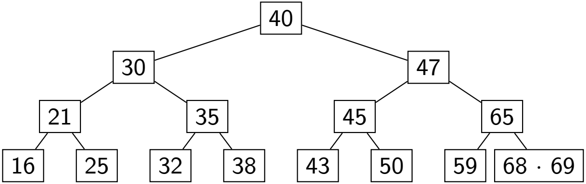

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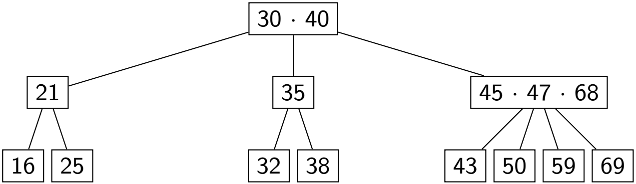

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Common implementations:

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2. Items in buckets can be put in linked lists

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- Should be able to compute hash codes quickly