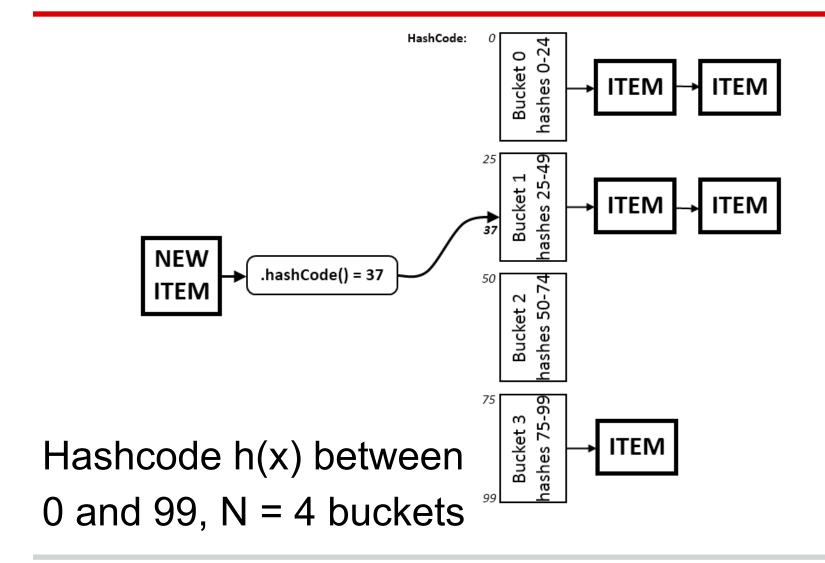
# HKN CS61B Midterm 2 Review

Jimmy Lee Evan Ye Joey Moghadam Chris Xie

## **Hashing overview**

- hashCode() maps an object to a code
- A compression function maps a code to a bin/bucket
- When two objects map to the same bin, it is called a collision
  - collisions cause linked lists within the bins to grow, slowing down the structure
- A good hash function is unlikely to map several items in a set to the same bin

#### **Basic Hash Table**



## Awful hash function example

**Q:** You want to add a set of words to a hash table. Why is it a bad idea to simply assign each word to a bin based on its first letter?

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**Q:** You want to add a set of words to a hash table. Why is it a bad idea to simply assign each word to a bin based on its first letter?

**A:** It is very easy for certain data to slow down the hash table. For example, if every word in the set starts with either 'a' or 'b', then all of the words end up in two bins, and access time becomes O(n) instead of O(1).

## **Important points**

- Items in a hash table are not in order
- Bins in a hash table are usually linked lists, but they don't have to be
- If we have n items in a hash table and N bins, then the load factor is n/N.
- If the load factor is < 1, we have constant access time.
- Choose hash codes and functions that distribute items evenly across the bins

### **More Buckets!**

We notice that our load factor is increasing past 0.9. What should we do?

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Add more buckets! What else?

Rehash all of the entries. Chances are they don't all belong in the same bucket anymore.

## **Design Question**

We have a set of items (represented by strings). At any point we can access any item in the set.

Q: We want to be able to access any item in the set in constant time. We also want to be able to tell what is the least recently accessed item in constant time. How can we make a data structure that allows us to do this?

## **Design Question**

We have a set of items (represented by strings). At any point we can access any item in the set.

Q: We want to be able to access any item in the set in constant time. We also want to be able to tell what is the least recently accessed item in constant time. How can we make a data structure that allows us to do this?

A: We need a hash table to access items quickly. We also need a list to keep track of the order in which the items have been accessed. This is essentially a LRU cache (see next slide)

#### LRU Cache

```
How the Least Recently Used Cache works:

cache = ["A", "B", "C", "D", "E"];

cache.access("B"); // cache = ["A", "C", "D", "E", "B"]

cache.access("E"); // cache = ["A", "C", "D", "B", "E"]

For (elem e : ["E", "D", "C", "B", "A"]) {

    cache.access(e);
}
```

#### LRU Cache

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For (elem e : ["E", "D", "C", "B", "A"]) {
   cache.access(e);
cache.getLRU() = ???
```

#### LRU Cache

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For (elem e : ["E", "D", "C", "B", "A"]) {
   cache.access(e);
cache.getLRU() → "E"
E was accessed first followed by the rest.
```

## **LRU Cache Implementation**

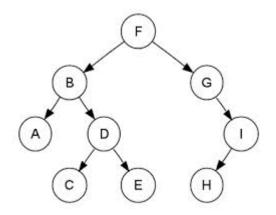
A -> B -> C -> D -> E Keep a Linked List of items **and** have a hash table mapping a string (ie "A") to a pointer to the node that contains A.

```
Here's how the methods work:
```

```
.getLRU() return LL.head.item
```

## **Binary Trees**

Binary Tree: Each tree has at most 2 children. No other restrictions or patterns.

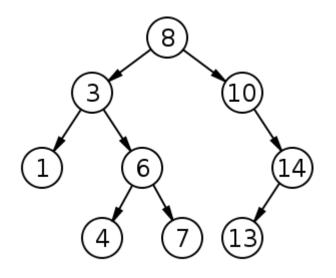


## **Binary Search Trees**

Binary tree with property:

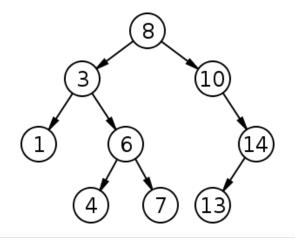
- All nodes in LEFT subtree < root</li>
- All nodes in RIGHT subtree > root

in-order traversal gives sort



#### **Some BST Runtimes**

Operation	Average Case	Worst Case
Find	O(log n)	O(n)
Insert	O(log n)	O(n)
Remove	O(log n)	O(n)
Construct	O(nlog n)	

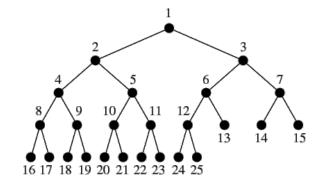


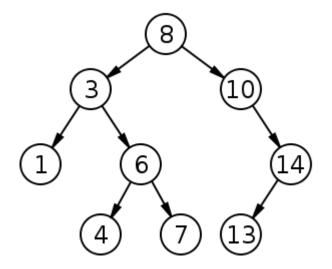
Everything is O (n) worst case!

Why?

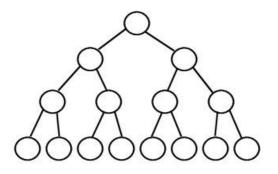
## **BST Quiz Questions**

- Write functions for:
  - Finding the 2nd-least element
  - Checking if a tree is complete.
  - Checking if a tree is a BST









## **Checking BST Property: First Try**

```
is bst(tree) {
  if(!tree) return true;
  return tree.left.value < tree.value
    && tree.right.value > tree.value
    && is bst(tree.left)
    && is bst(tree.right)
```

What's wrong with this?

## **Checking BST Property: First Try**

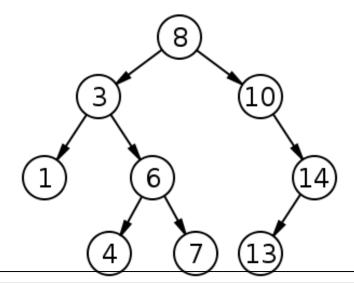
```
is_bst(tree) {
  if(!tree) return true;
  return tree.left.value < tree.value
    && tree.right.value > tree.value
    && is bst(tree.left)
    && is bst(tree.right)
  Fails for trees like:
```

## **Checking BST Property: Correct**

```
is_bst(tree) {
   return is bst(tree, -inf, +inf);
is_bst(tree, min, max) {
   if (!tree) return true;
              tree.value > min
   return
         && tree.value < max
         && is bst(tree.left, min, tree.value)
         && is bst(tree.right, tree.value, max);
```

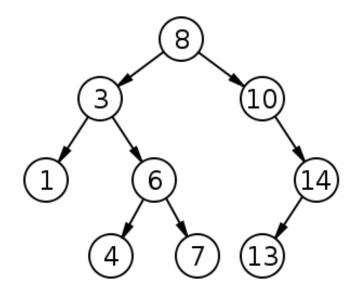
## **BST Intermediate Questions**

- Reconstruct a BST given pre-order and inorder traversals.
- Example:
  - Pre-order: 8,3,1,6,4,7,10,14,13
  - o In-order: 1,3,4,6,7,8,10,13,14



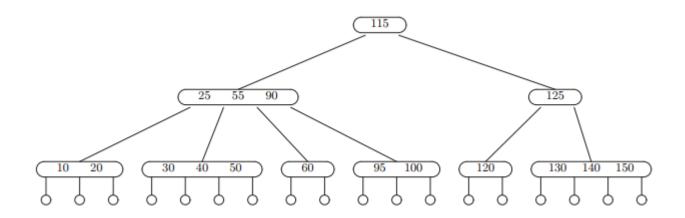
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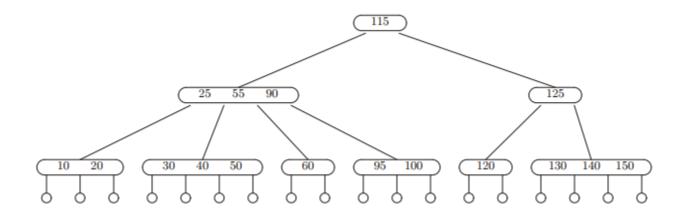
#### **2-3-4 Trees**

- Each node contains:
  - 2 to 4 children
  - 1 to 3 keys
- All empty children occur at same level ("balanced")

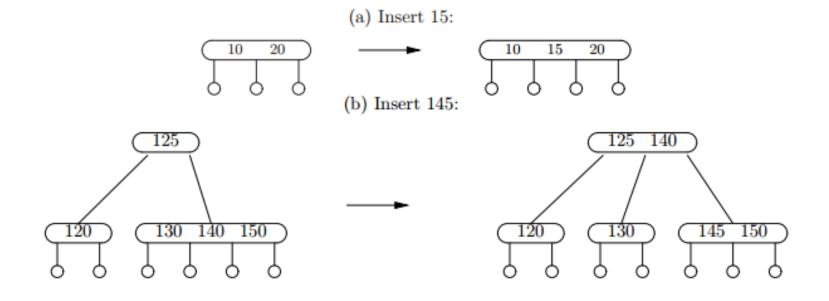


#### 2-3-4-tree Find

- Essentially same as BST-find.
- Only difference: > 1 comparison per node
  - O How many, worst case?
- height = O(log n) always!



# 2-3-4 tree Insert (Additional Example)

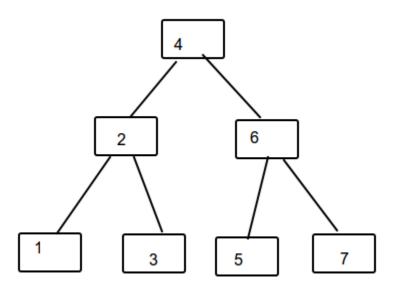


#### 2-3-4-tree Delete

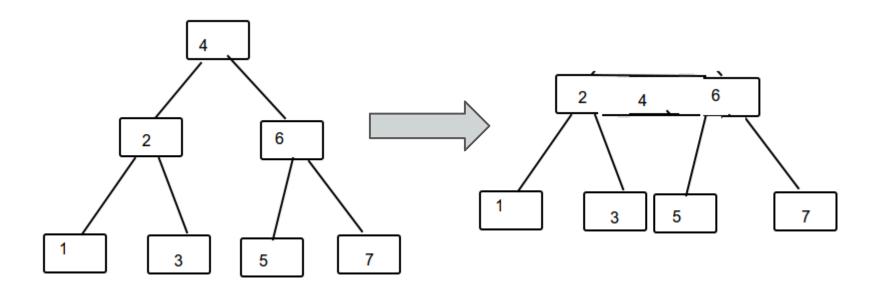
Deleting from inner nodes complicated...

- While traversing, combine when you find a 1-key node
  - steal from neighbors/rotate if your left or right neighbor has more than 1 key, rotate
  - otherwise pull down (fusion) by stealing a parent node and combining with a neighbor

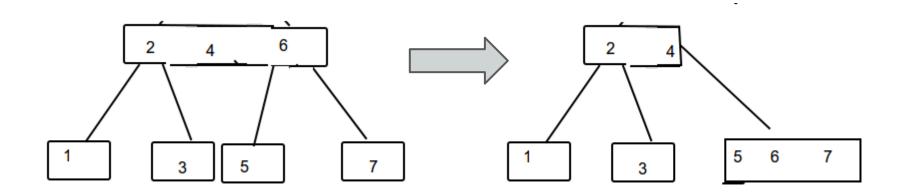
Remove the number 7 from the 2 3 4 Tree.



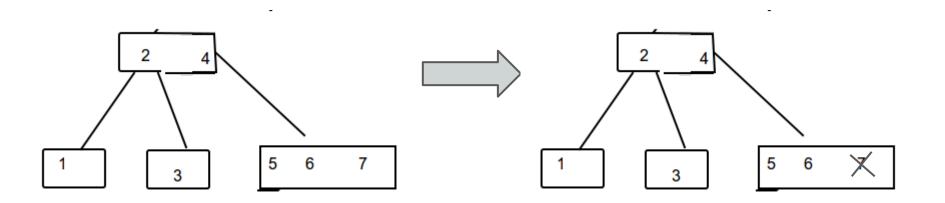
#### Fusion!



## Fusion Again!



#### Remove 7



## Some 2-3-4-tree Runtimes

Operation	Average Case	Worst Case
Find	O(log n)	O(log n)
Insert	O(log n)	O(log n)
Remove	O(log n)	O(log n)
Construct	O(nlog n)	O(nlog n)

Everything is O(log n)!

B-trees are balanced search trees

# **Algorithm Analysis**

#### Goal

Understand how efficient our programs are

### **Approach**

- Write the number of steps a program does as a function of the input size. Consider the best and worst cases
- Understand how the function grows using asymptotic analysis

## **Asymptotic Analysis**

#### Goal

Understand how a function grows

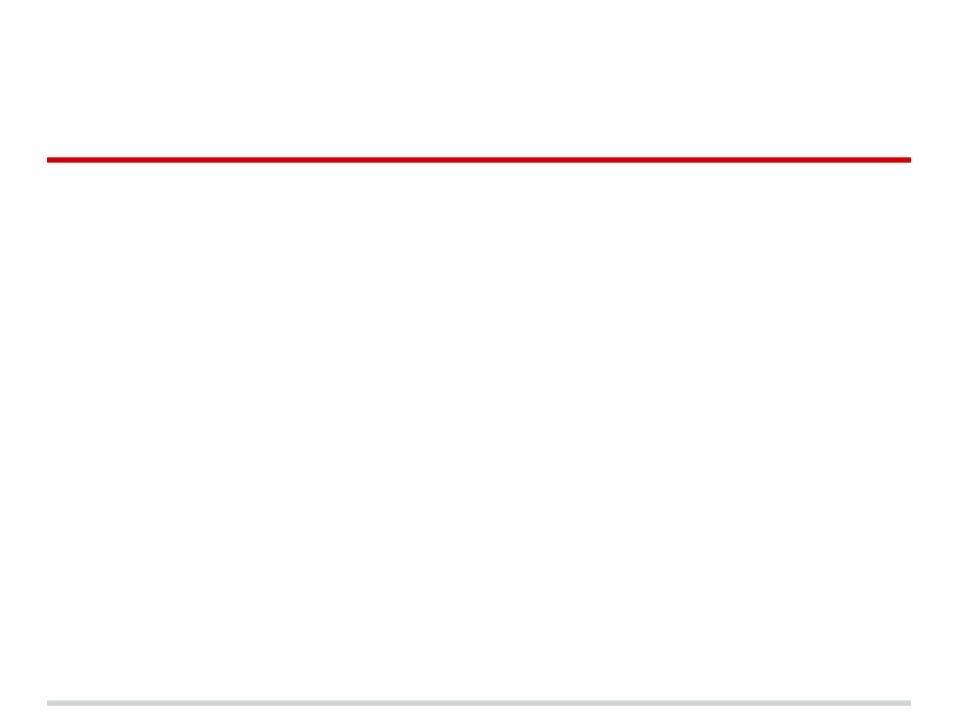
### **Approach**

 Find simple functions that can upperbound our function (big O) and lowerbound our function (big Ω)

## **Algorithm Analysis**

What are the best and worst-case runtimes of this method? How could the worst-case runtime be improved?

```
public static int explode(String s) {
  if (s.length() == 0) {
     return 0;
  } else if (s.charAt(0) == 'x') {
     return 1 + explode(s.substring(1));
  } else {
     return 1 + explode(s.substring(1)) +
     explode(s.substring(1));
```



### **Algorithm Analysis Answer**

If **n** is the length of the String **s**...

Best case upperbound: O(n)

Best case lowerbound:  $\Omega(n)$ 

Worst case upperbound: O(2<sup>n</sup>)

Worst case lowerbound:  $\Omega(2^n)$ 

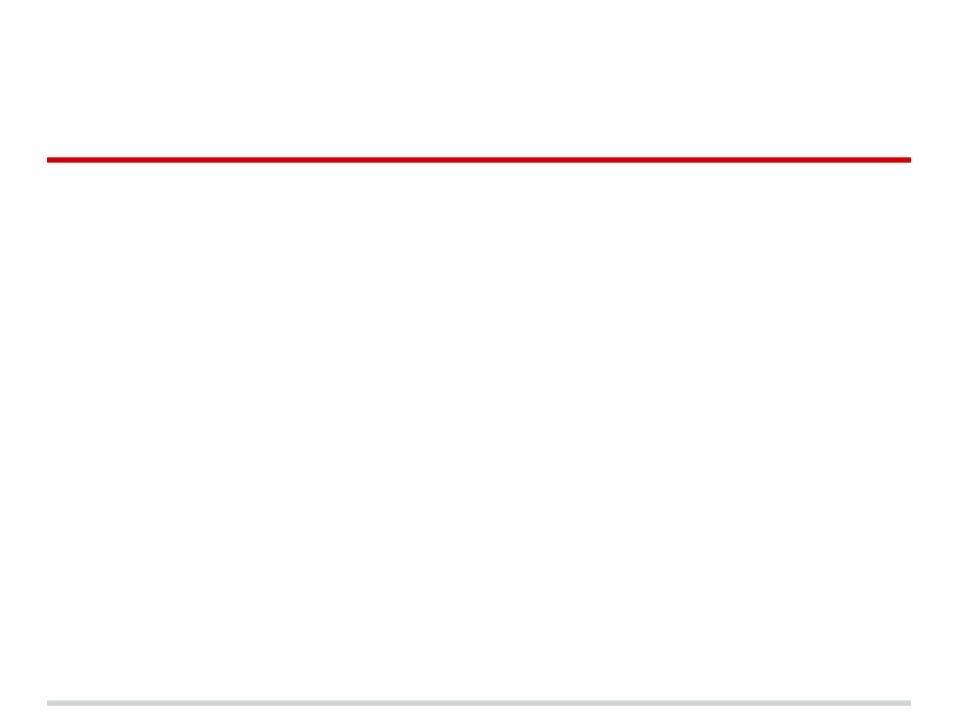
To fix, replace the else case with:

```
return 1 + 2*explode(s.substring(1));
```

Now the worst case bounds are O(n) and  $\Omega(n)$ 

### **Asymptotic Analysis**

```
Show that 
n!+(n-1)!+(n-2)!+ ... +1
is in O(n!)
```

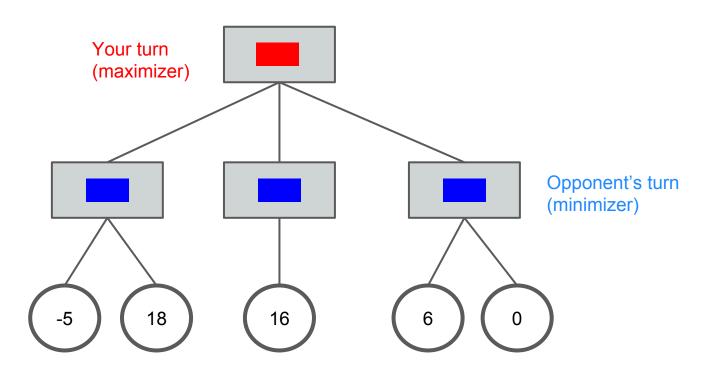


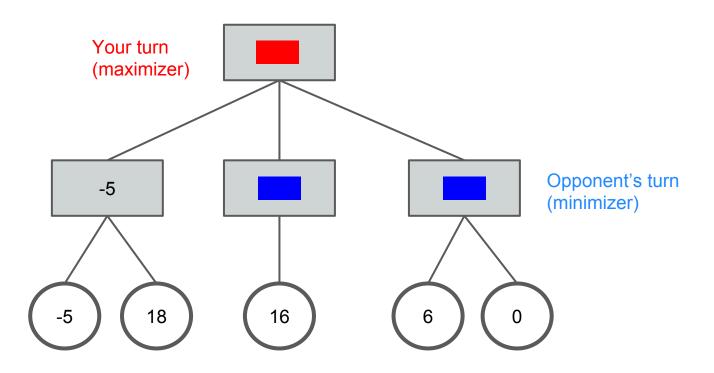
### **Asymptotic Analysis Answer**

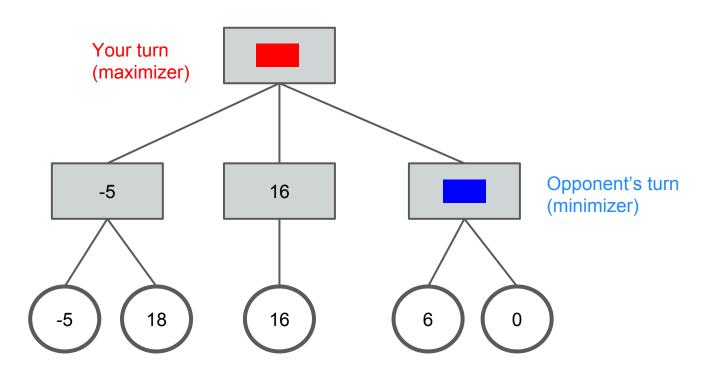
```
n! + (n-1)! + (n-2)! + ... + 1
< n! + (n-1)! + (n-1)! + ... + (n-1)!, for n > 2
< n! + (n-1)*(n-1)!
< n! + n*(n-1)!
< n! + n!
< 2*n!
```

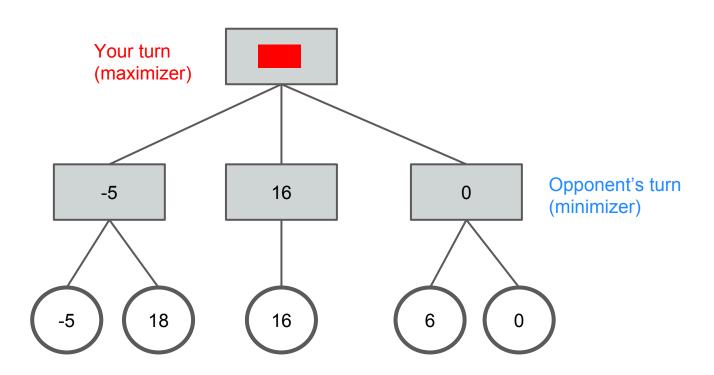
So we can choose c = 2 and N = 3 to prove it is in O(n!)

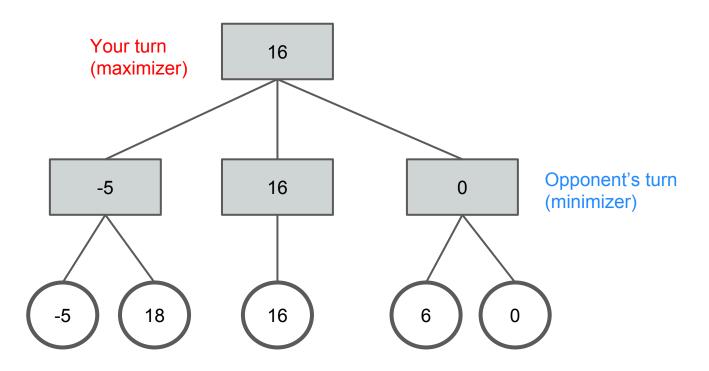
# Alpha Beta











#### Idea: make minimax faster!

- a best guaranteed score seen so far for YOU
  - YOU = maximizer node, YOU search for HIGHER scores
  - Starts at negative infinity
- $oldsymbol{\beta}$  best guaranteed score seen so far for your OPPONENT
  - OPPONENT = minimizer node, searches for LOWER scores
  - Starts at positive infinity

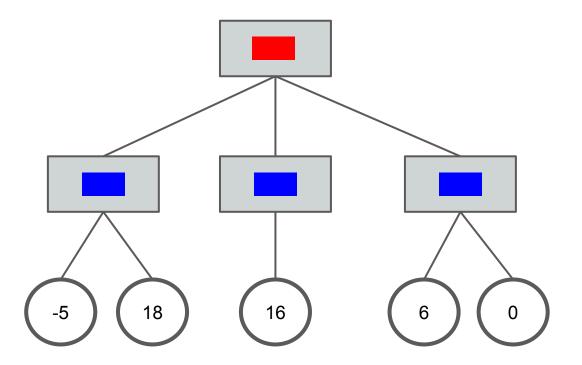
#### **Prune Cases**

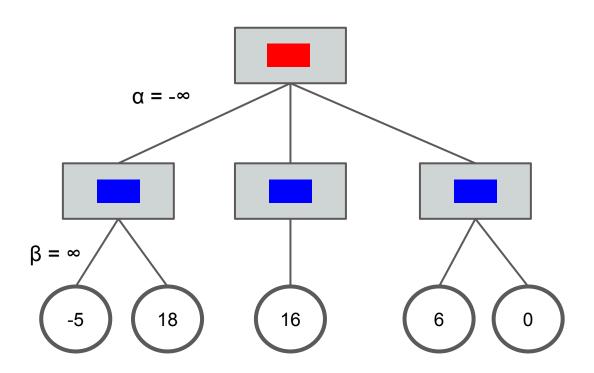
Basically, when 
$$\,lpha \geq eta$$

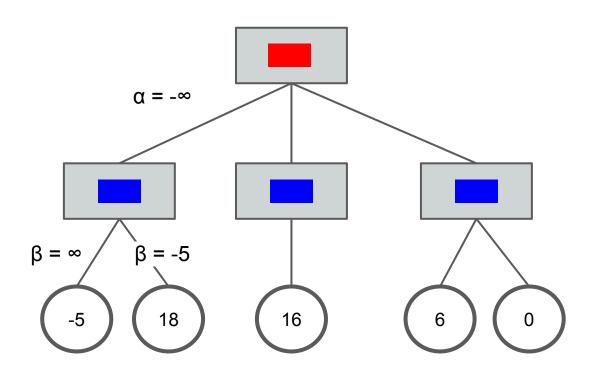
#### How to:

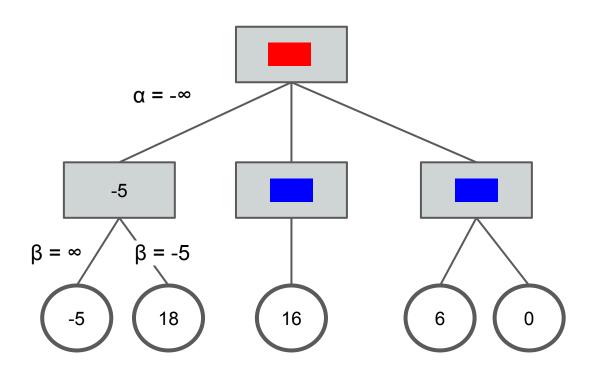
- 1. Do the minimax search
- 2. Keep track of alpha, beta
  - a. if you are maximizing, update alpha
  - b. if you are minimizing, update beta
- 3. If you ever see  $\alpha >= \beta$ , PRUNE

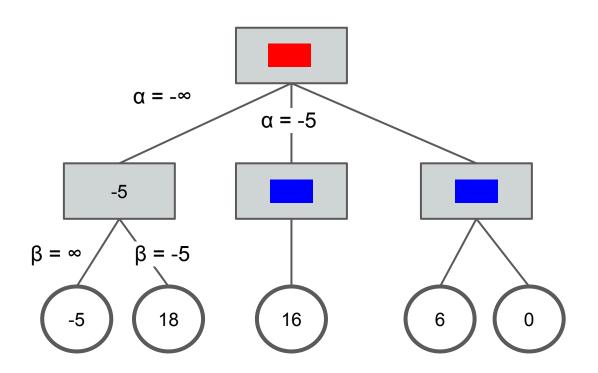
Note\*:  $\alpha$  and  $\beta$  are INHERITED from parent nodes.

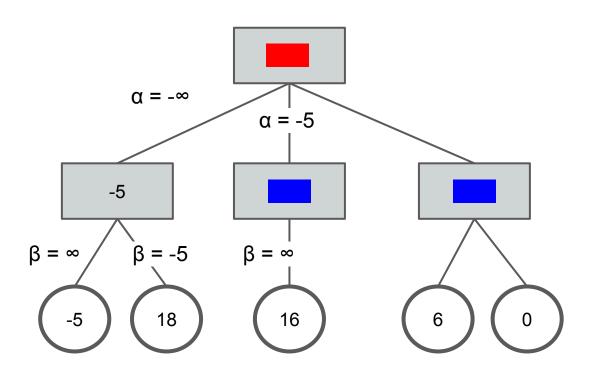


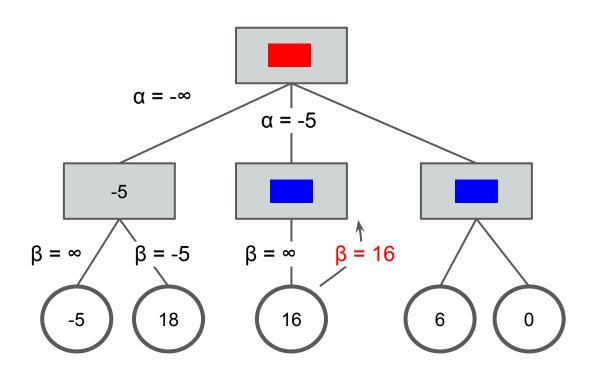


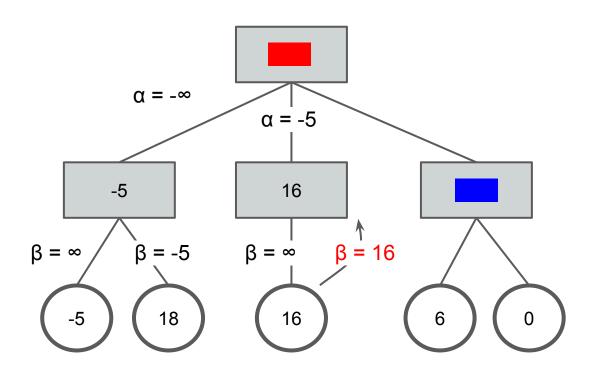


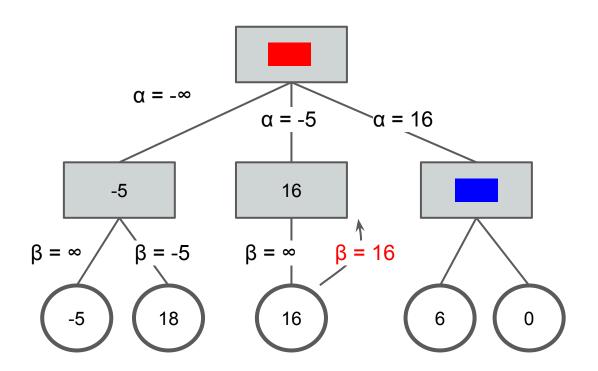


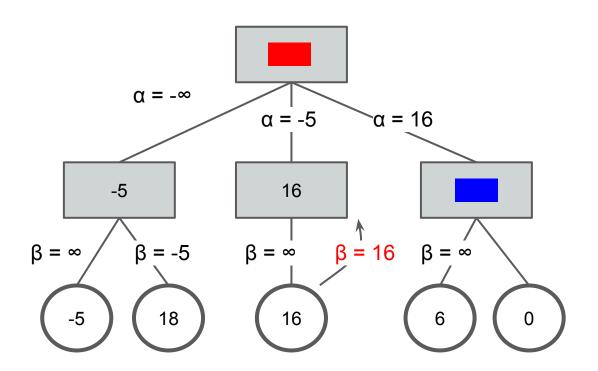


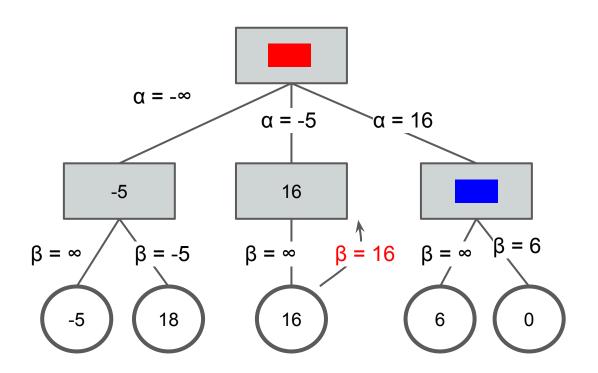


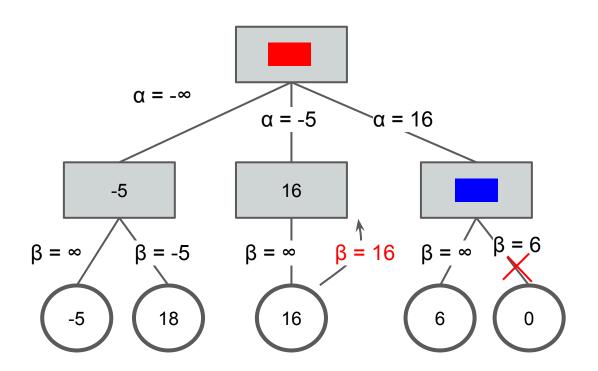


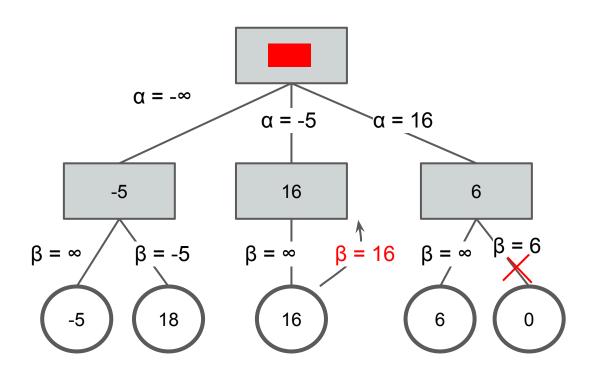


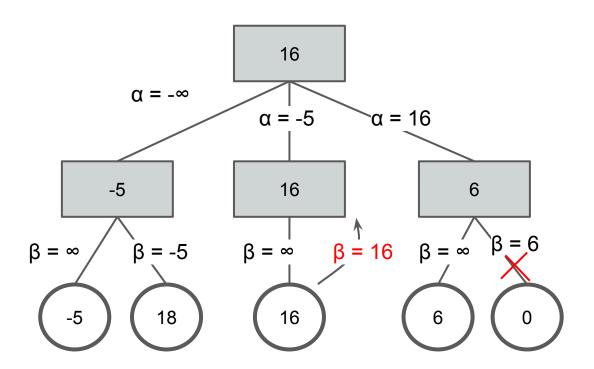












#### True or False:

1. After alpha-beta pruning, the root maximizer node will never have the wrong value.

- 2. During alpha-beta pruning, none of the minimizer or maximizer intermediate nodes will differ from values found when using the normal minimax algorithm.
- 3. Alpha-beta pruning can have different prunings based on the order in which the algorithm traverses the tree.

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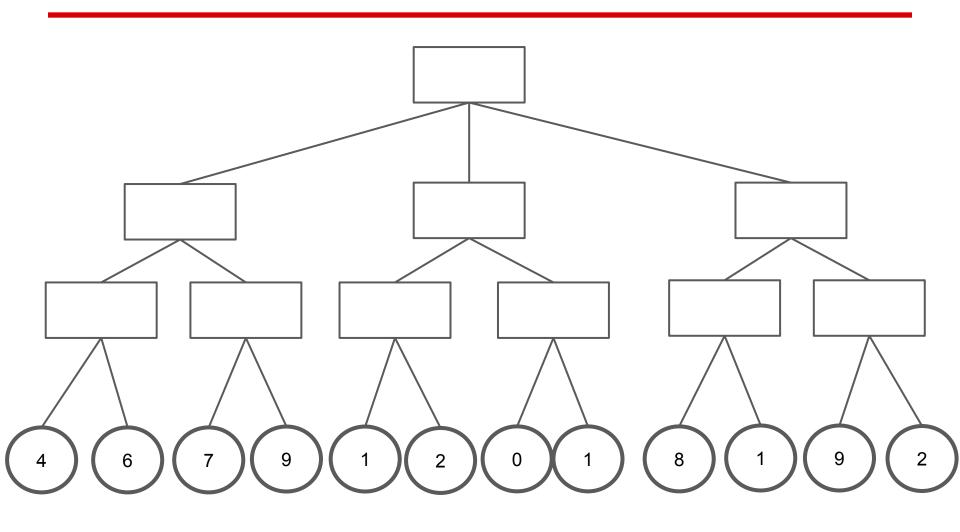
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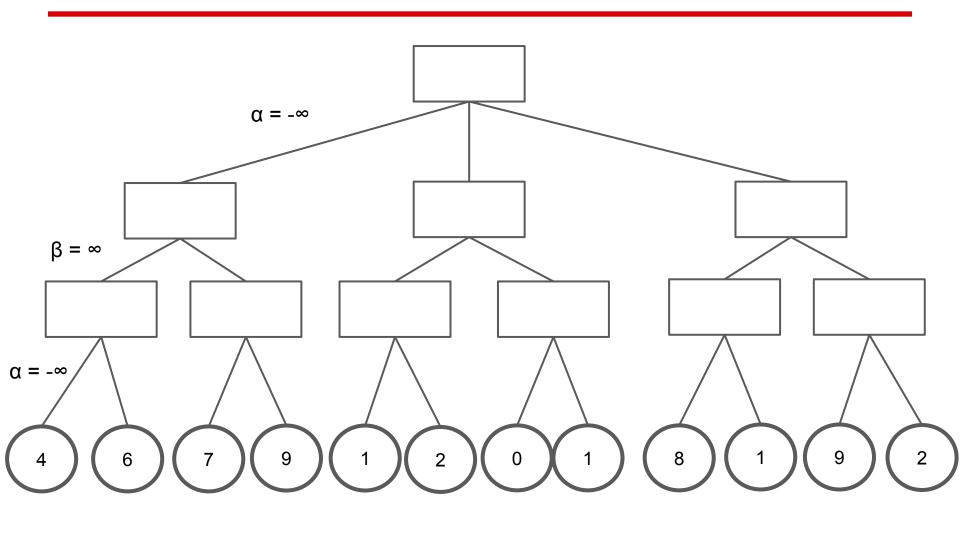
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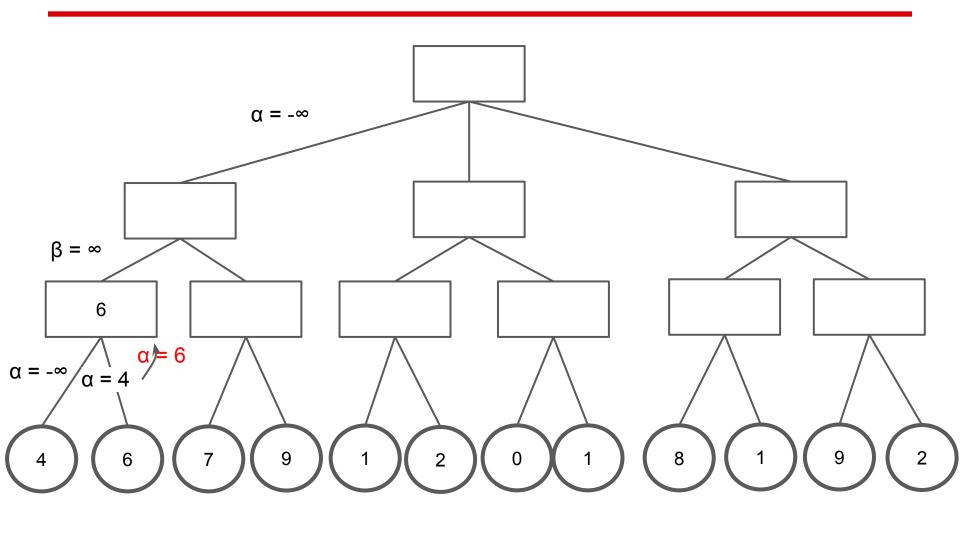
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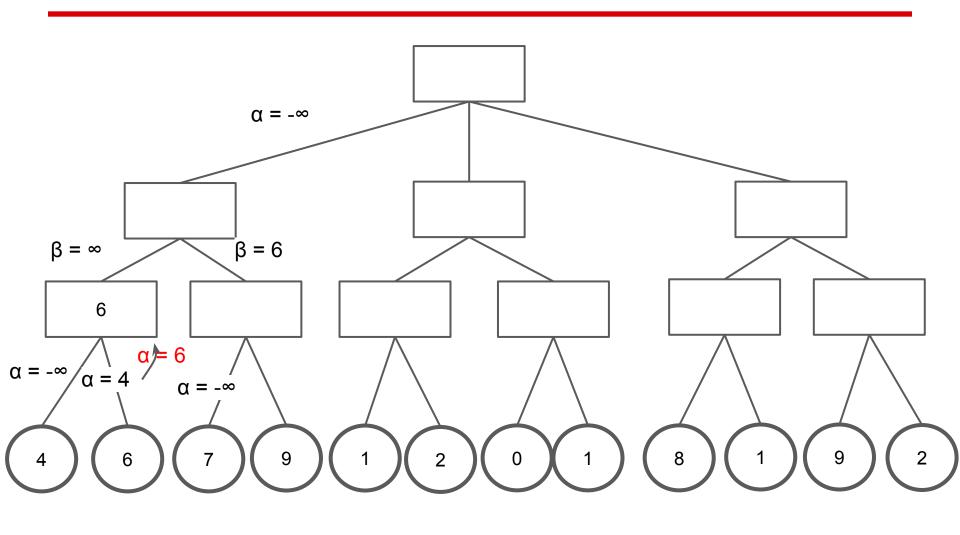
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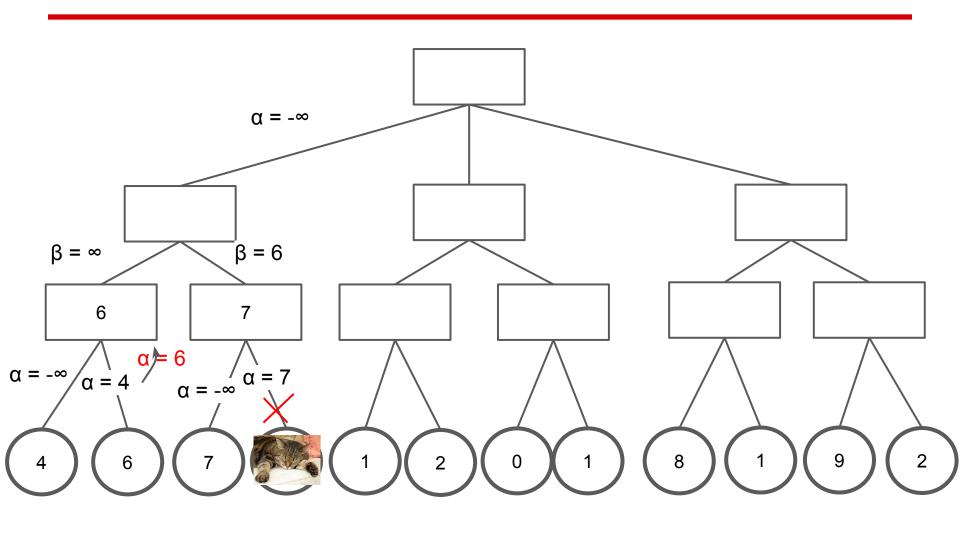
**True --** what would have happened if we started with the right child first?

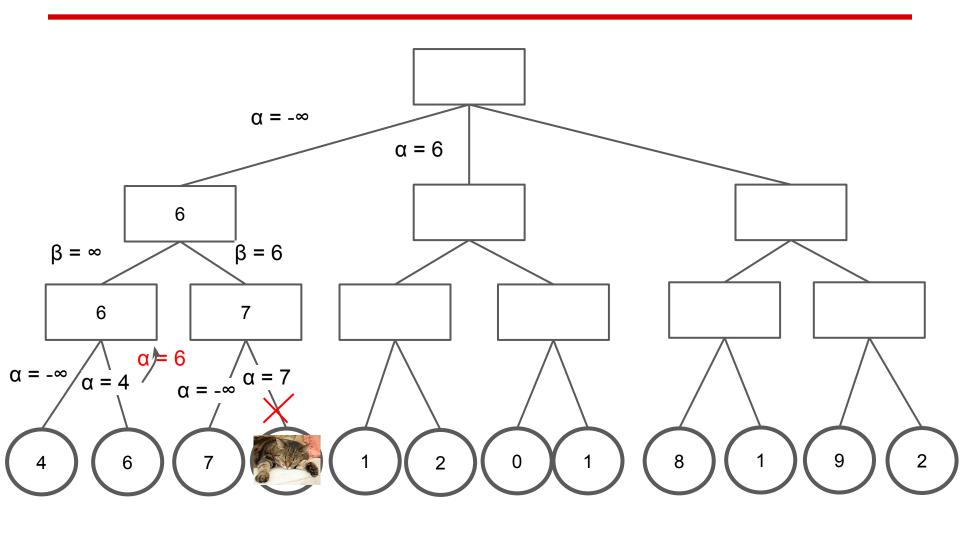


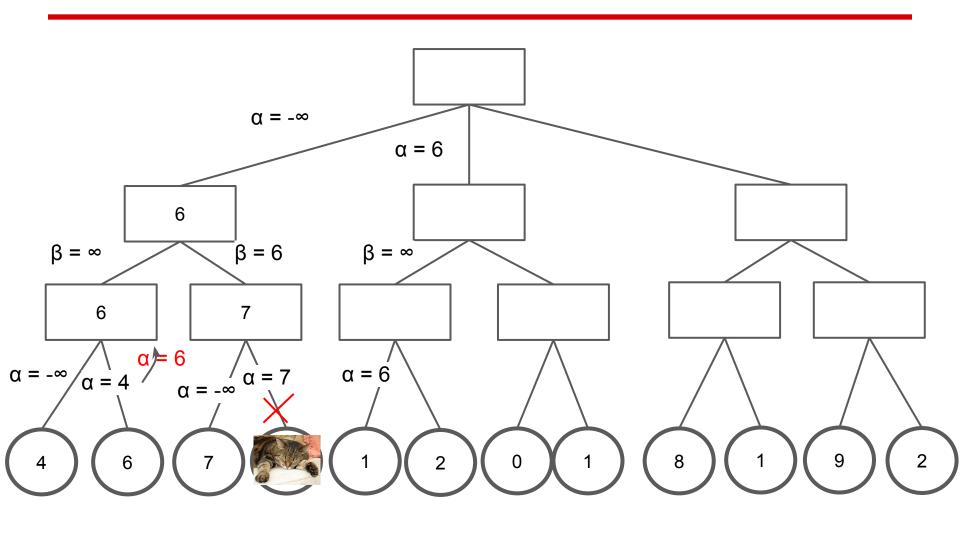


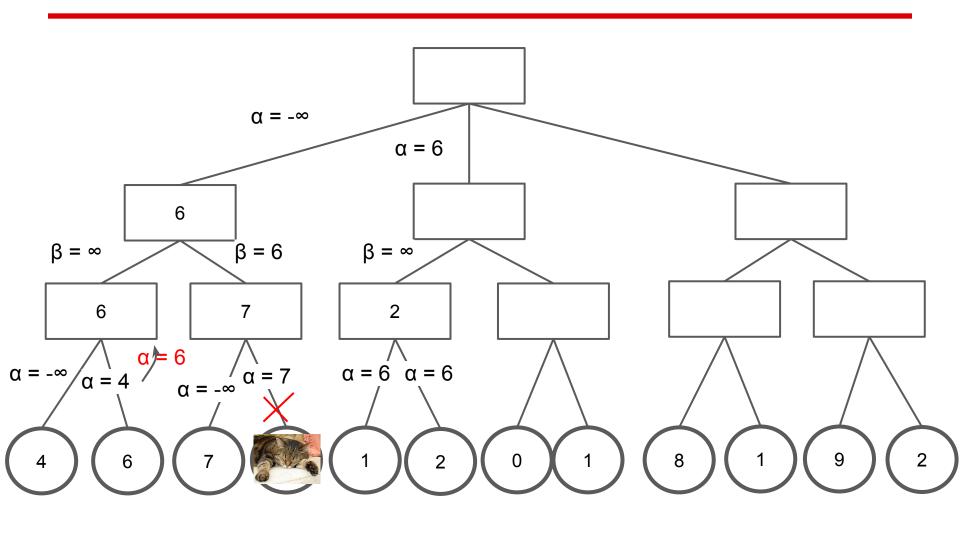


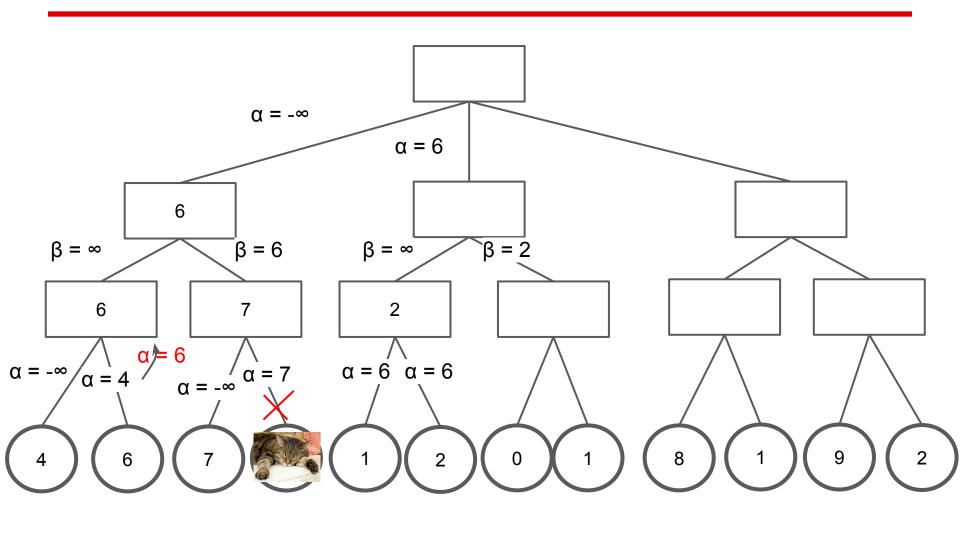


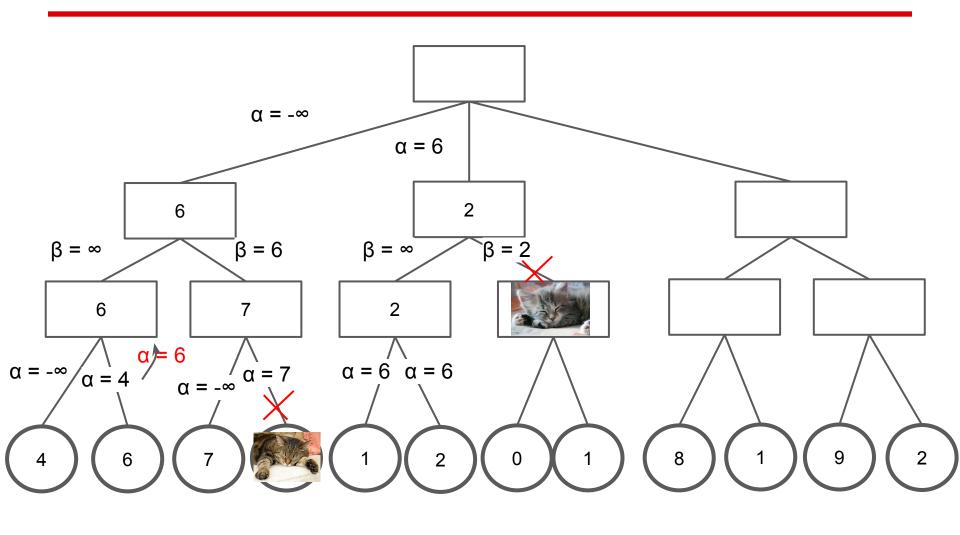


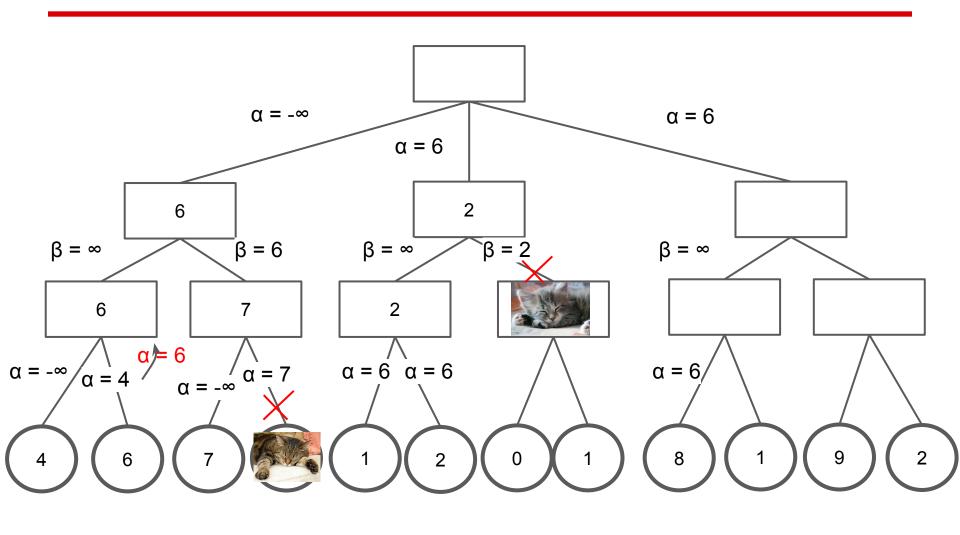


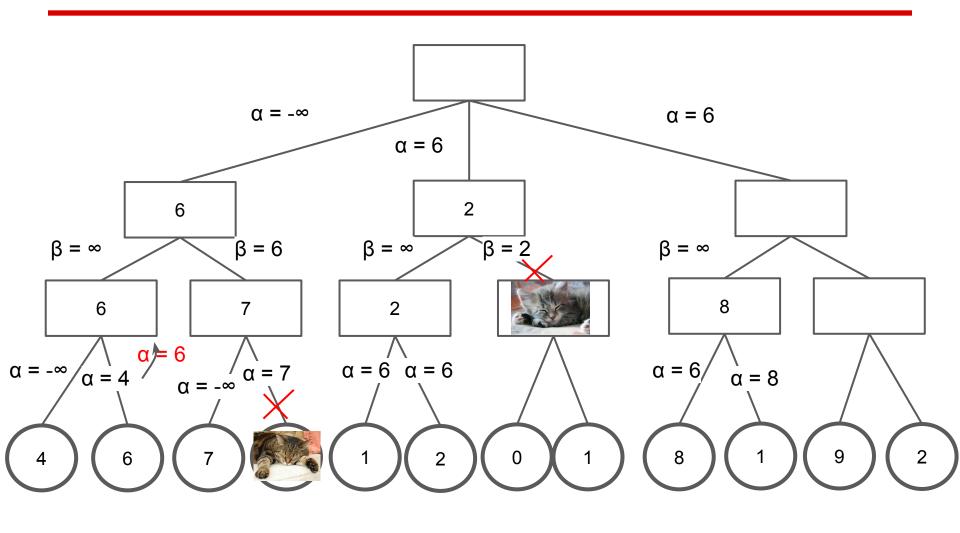


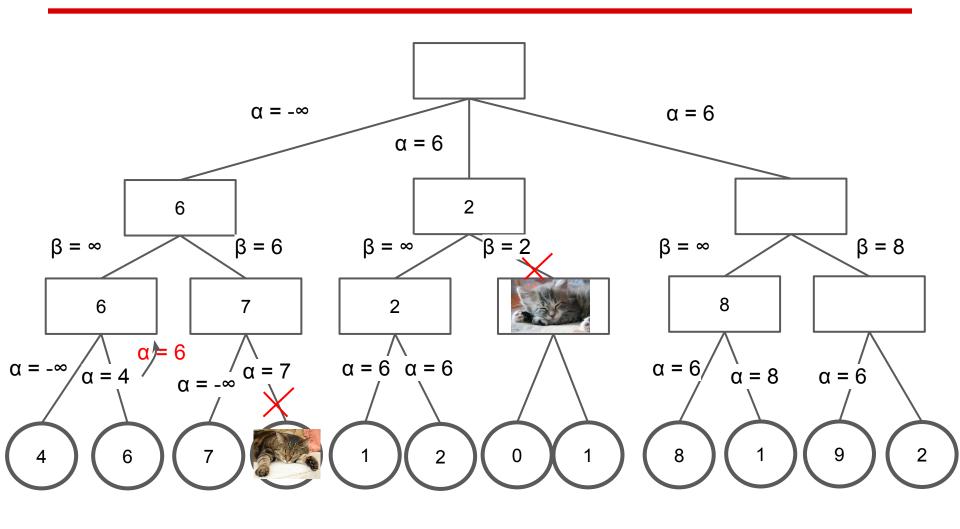


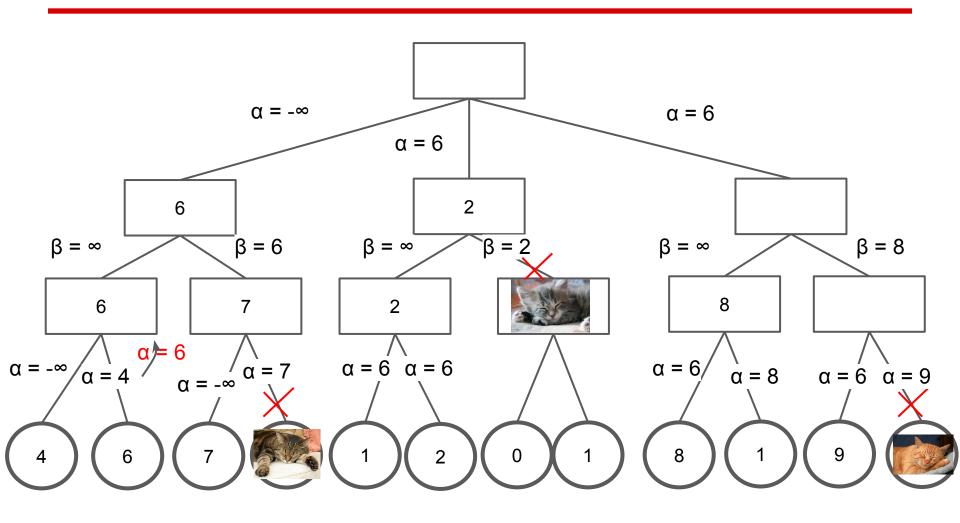


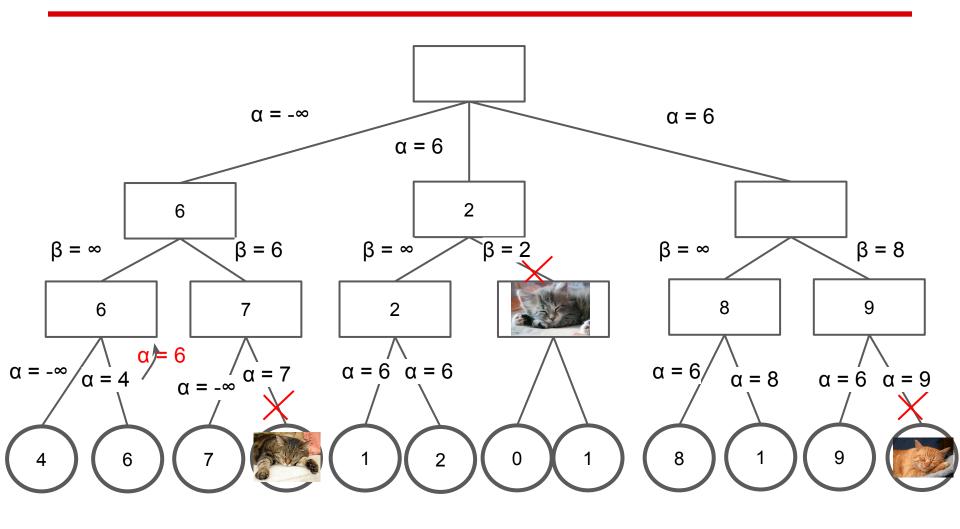


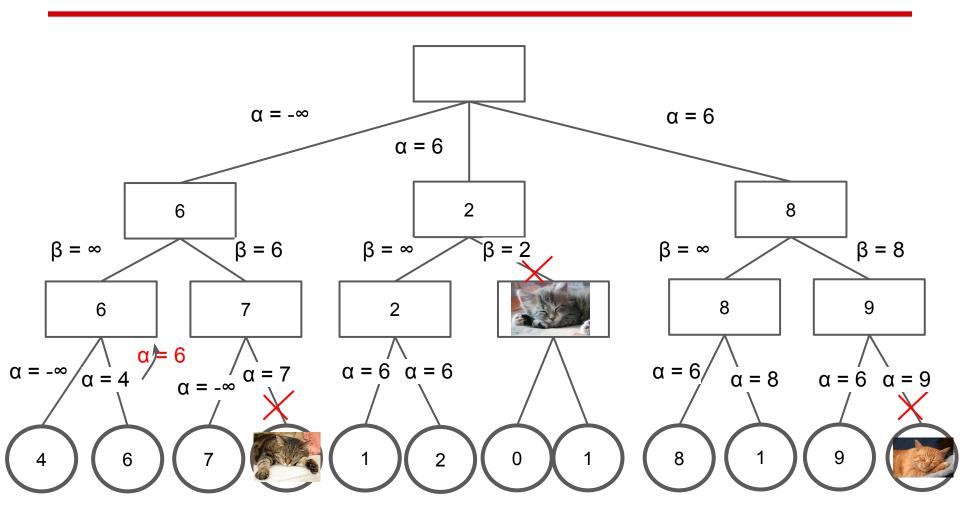


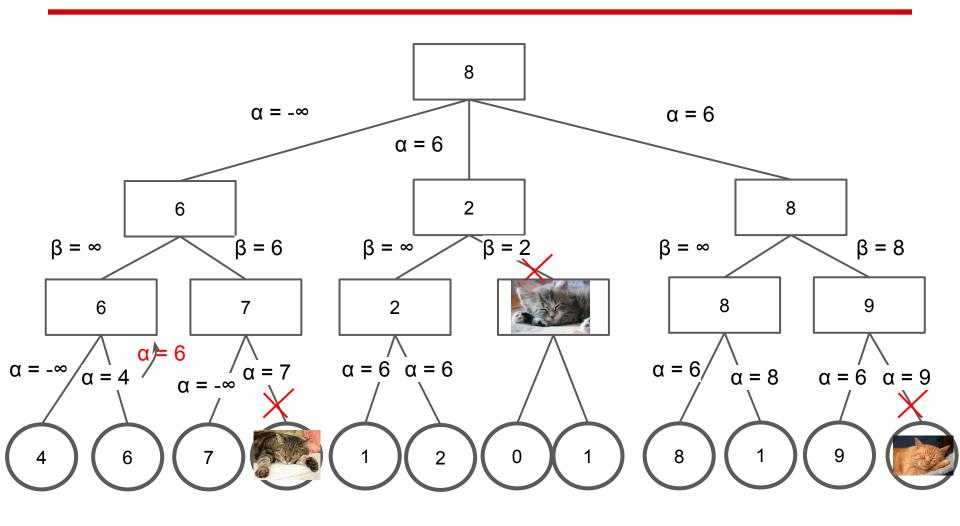










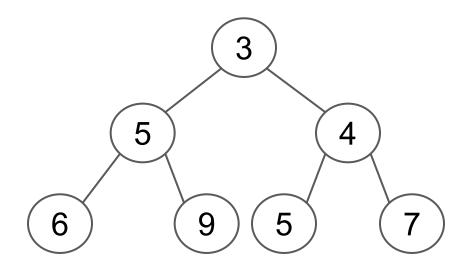


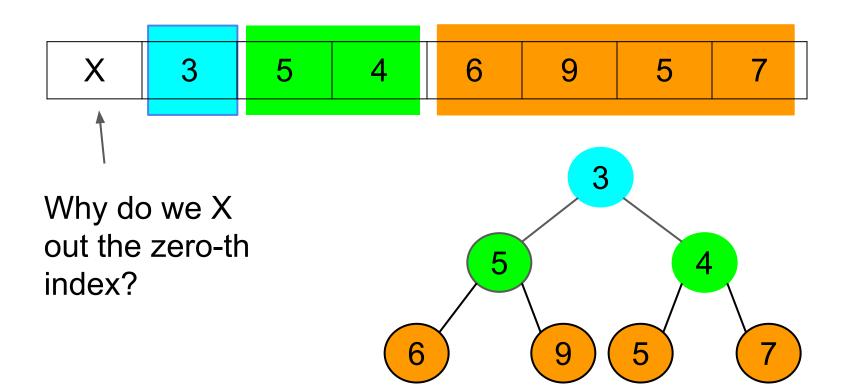
#### A heap is a binary tree with extra properties:

- The tree is complete
  - Recall: complete means that every level is filled, except possibly the last, which is filled left to right.

- Heap-order property: If node B is a descendant of node A:
  - Min heap: key of B >= key of A
  - Max heap: key of B <= key of A</li>







#### Heaps, Array Representation

You are at a node with index i

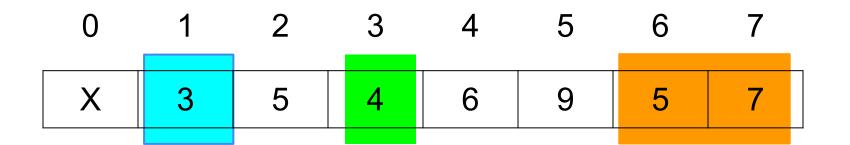
Parent is at floor(i/2)

Children are at indices 2i and 2i+1

Ex: Key at index 3.

Parent: index 1.

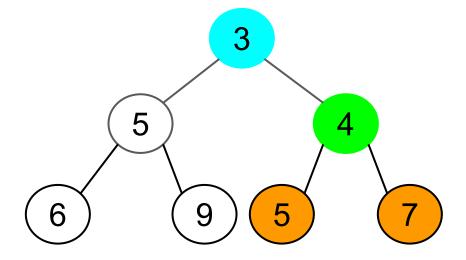
Children: indices 6 and 7.



Let 
$$i = 3$$

parent: floor(i/2) = 1

children: 2i, 2i+1 = 6, 7



#### Heaps, a possibly tricksy question

#### True/False:

In a min heap:

Key  $\mathbf{k_1}$  is at level  $\mathbf{I_1}$  and key  $\mathbf{k_2}$  is at level  $\mathbf{I_2}$ . If  $\mathbf{k_1} < \mathbf{k_2}$ , then  $\mathbf{I_1} <= \mathbf{I_2}$ ?

What if instead, we are given  $I_1 < I_2$ . Is  $k_1 <= k_2$ ?

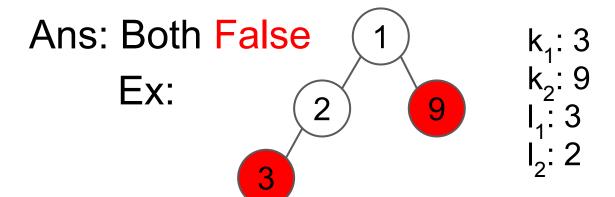
#### Heaps, a possibly tricksy question

True/False: In a min heap:

Key k<sub>1</sub> is at level l<sub>1</sub> and key k<sub>2</sub> at level l<sub>2</sub>.

If  $k_1 < k_2$ , then  $l_1 <= l_2$ ?

Or if  $I_1 < I_2$ , does  $k_1 <= k_2$ ?



#### Heaps, insert and removeMin

#### To insert() in a heap:

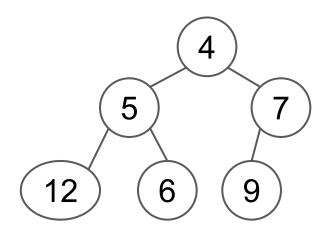
- Insert item at the end of array.
- Bubble up item by repeatedly swapping with parents until heap-order property is satisfied.

#### To removeMin():

- Replace first element (root) with last element, and remove last node.
- Bubble down new root by repeatedly swapping with smaller of two children until heap-order property is satisfied.

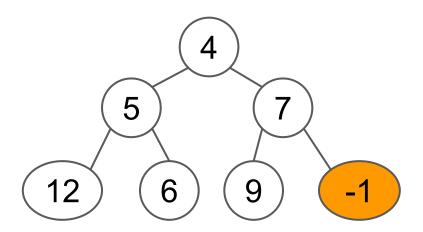
Let's insert -1

X	4	5	7	12	6	9	
							ı



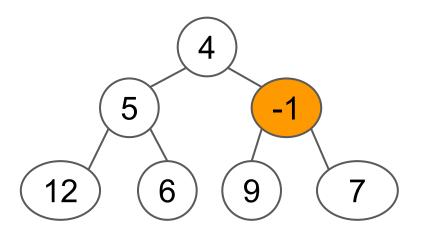
put it at the beginning

X 4 5 7 12 6 9 -
------------------



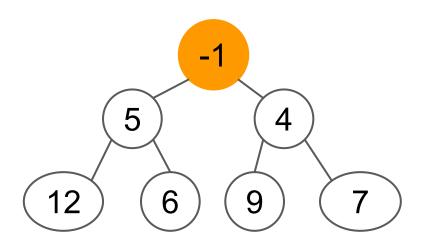
bubble up...

X	4 5	-1	12	6	9	7	
---	-----	----	----	---	---	---	--



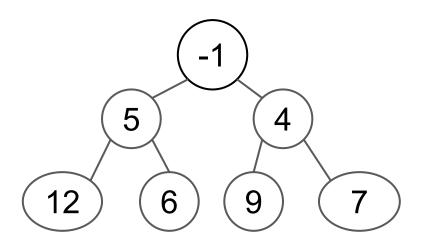
bubble up.. and done!

X	-1	5	4	12	6	9	7
---	----	---	---	----	---	---	---

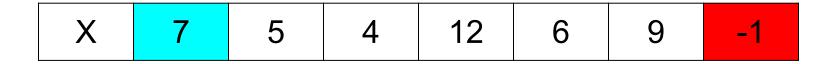


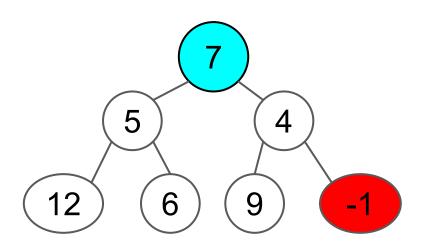
(a) removeMin()

X -1 5 4 12 6 9	7
-----------------	---



Switch first and last elements





pop the last

element

X

7

5

4

12

6

G

 7

 5

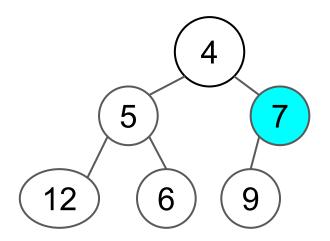
 4

 12
 6

 9

bubble down... and done!

|--|



How do we know which way to bubble down?

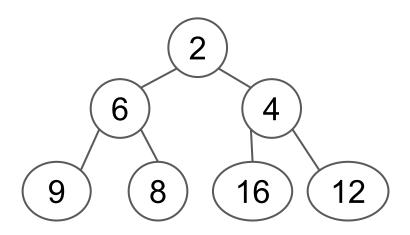
#### **Heap Complexities**

Running Times							
	Binary Heap   Sorted List/Array		Unsorted List/Array				
min()	Θ(1)	Θ(1)	Θ(n)				
insert() (worst case)	Θ(logn)*	Θ(n)	Θ(1)*				
insert() (best case)	Θ(1)*	Θ(1)*	Θ(1)*				
removeMin() (worst case)	Θ(logn)	Θ(1)	Θ(n)				
removeMin() (best case)	Θ(1)	Θ(1)	Θ(n)				

<sup>\*</sup> If you are using an array-based data structure, these running times assume that you don't run out of room. If you do, it will take  $\Theta(n)$  time to allocate a larger array and copy the entries into it. However, if you double the array size each time, the average running time will still be as indicated.

Given the following min heap, draw what it looks like after each following consecutive method calls:

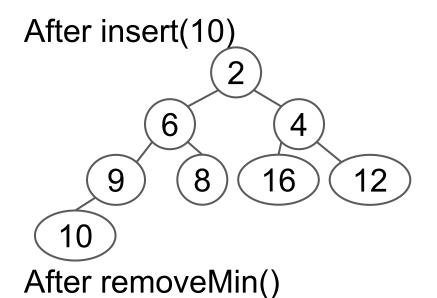
- insert(10)
- removeMin()
- insert(3)
- removeMin()



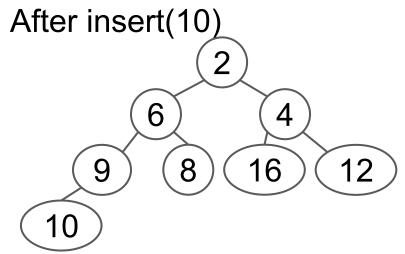
After insert(10)

After insert(3)

After removeMin()

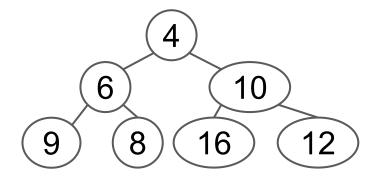


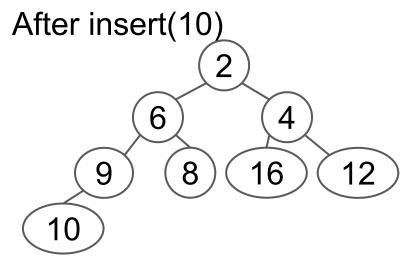
After insert(3)



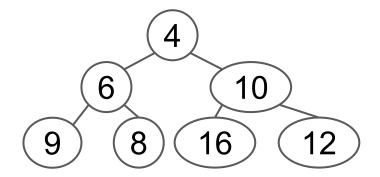
After insert(3)

After removeMin()

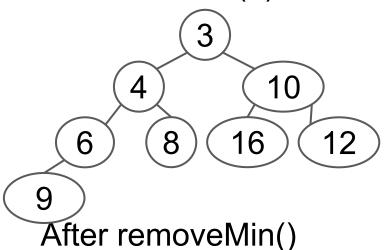


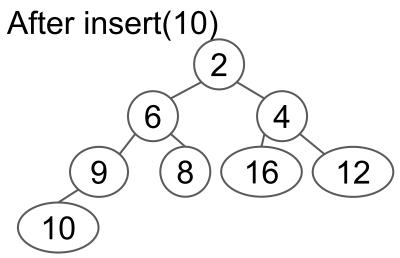


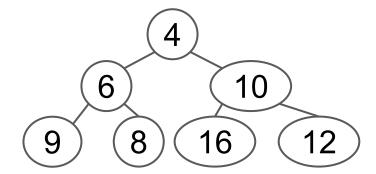
After removeMin()



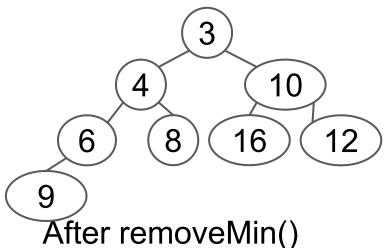
After insert(3)

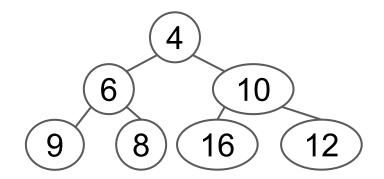








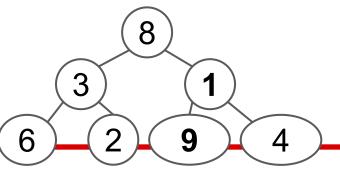




#### Heaps, bottom up

Perform bottomUpHeap on the following array, redrawing the array after every swap.

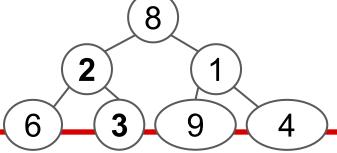
X	8	3	9	6	2	1	4
---	---	---	---	---	---	---	---



X	8	3	9	6	2	1	4
---	---	---	---	---	---	---	---

Ans:

X	8	3	1	6	2	9	4
---	---	---	---	---	---	---	---

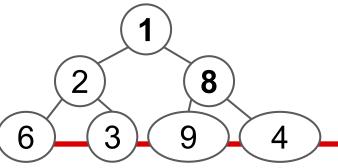


X 8 3 9 6 2 1 4

Ans:

X	8	3	1	6	2	9	4
	•	•	•				•

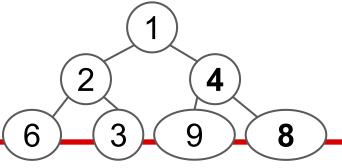
X | 8 | 2 | 1 | 6 | 3 | 9 | 4



X 8 3 9 6	2	1	4
-----------	---	---	---

Ans:

X	8	3	1	6	2	9	4
X	8	2	1	6	3	9	4
X	1	2	8	6	3	9	4



X	8	3	9	6	2	1	4
---	---	---	---	---	---	---	---

Ans:

X	8	3	1	6	2	9	4
X	8	2	1	6	3	9	4
X	1	2	8	6	3	9	4
X	1	2	4	6	3	9	8

#### **Interview Question of the Day**

Write a function int[] kLargest(int[] a, int k) that takes an unsorted integer array of size N and finds the k largest elements.

You also know that **k << N** 

#### **Interview Answer of the Day**

#### Ans:

- 1. Build a **min** heap of size **k** using the first k elements.
- 2. For each element x of a:
  - a. Compare heap.peekMin() and x
  - b. If x is larger, heap.removeMin(), and
    heap.insert(x)
- 3. Output the elements of the heap

Running time: O(nlogk)

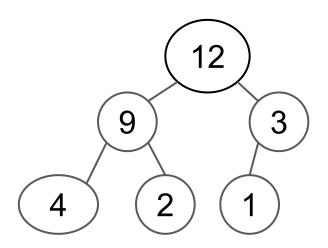
#### Heaps, a silly answer

```
int[] kLargest(int[] a, int k){
     Heap best0fTheBest = new MinHeap();
     for(int i = 0; i < k; i++)
       bestOfTheBest insert(a[i]);
 5
6
     for(int i = k; i < a.length; i++){
       if(best0fTheBest.peekMin() < a[i]){</pre>
8
         best0fTheBest.removeMin();
9
          bestOfTheBest.insert(a[i]);
10
11
12
13
     int[] largest = new int[k];
     for(int i = 0; i < k; i++){
14
15
       largest[i] = bestOfTheBest.removeMin();
16
17
     return largest;
   }
18
```

#### Reminder: Max Heaps

Same as before, but now the root is bigger than its two children

X 12 9	3 4	2 1	
--------	-----	-----	--



#### Heaps, a better question

Design an efficient data structure that supports the following method calls:

- void insert(int n)
- int getMedian()

#### Heaps, a better question

```
Example input = \{42, 0, 100\}
>>> insert(42);
>>> getMedian();
42
>>> insert(0);
>>> getMedian();
21
>>> insert(100); getMedian();
42
```

For heaps, you are given the following methods:

- int size()
- int peakMin() or peakMax()
- int removeMin() or removeMax()
- int insert(int num)

Note: an **O(n)** insert is too slow!

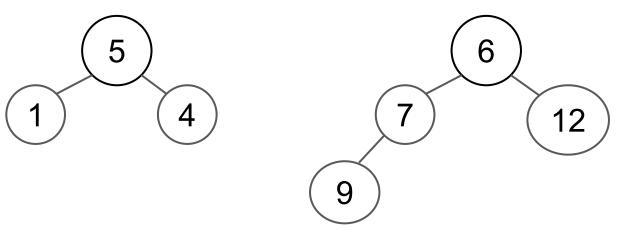
#### The Idea: split data into two halves

- 1. Use two heaps, upper and lower.
  - a. upper is a min-heap, lower is a max-heap
- getMedian()
  - a. check the sizes of upper, lower
  - b. If upper is larger, return upper.peekMin()
  - c. If lower is larger, return lower.peekMax()
  - If they are the same size, return the average of upper.peekMin() and lower.peekMax()
- 3. insert(int n)
  - a. If n is smaller than upper.peekMin(), insert into lower
  - b. Otherwise, insert into **upper**
  - c. rebalance lower and upper



lower, max heap

upper, min heap



getMedian() returns 6, the min of the upper heap

Data

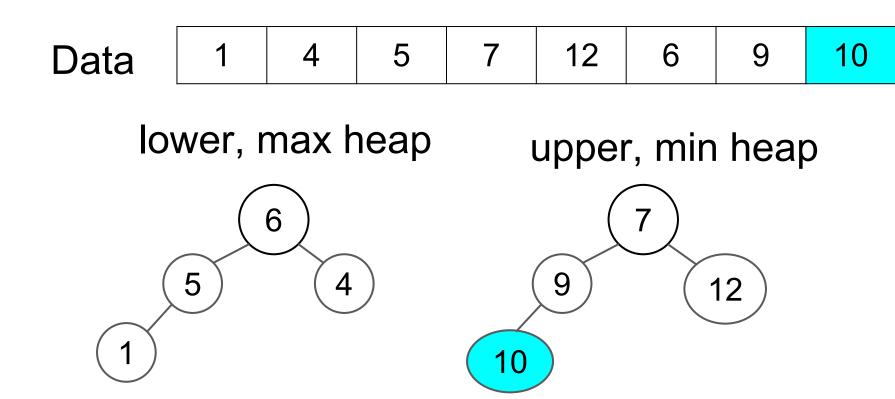


lower, max heap

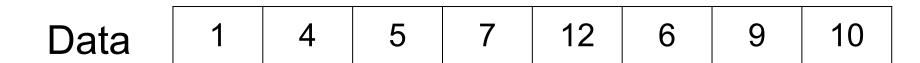
upper, min heap



needs rebalancing!

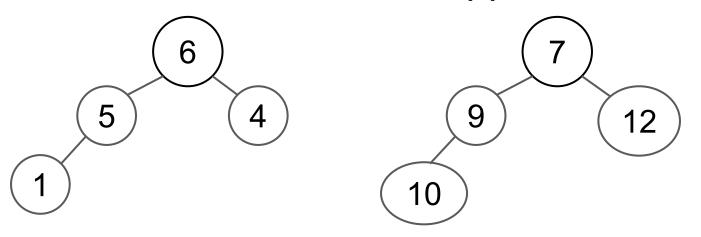


we pop **upper's** min, and insert it into **lower** 



lower, max heap

upper, min heap



getMedian() returns the average between **6**, **7** = **6.5** 

```
public class SexyHeaps{
      private Heap lower, upper;
     public SexyHeaps(){
 4
        lower = new MaxHeap();
 5
        upper = new MinHeap();
 6
 8
      public double getMedian(){
        if(upper.size() > lower.size())
 9
          return upper.peekMin();
10
        else if(lower.size() > upper.size())
11
12
          return lower.peekMax();
13
14
          return (upper.peekMin() + lower.peekMax()) / 2.0;
15
```

```
16
      public void insert(int n){
17
        if(n < upper.peekMin())</pre>
18
          lower.insert(n);
19
20
        else
21
          upper.insert(n);
22
        //rebalance
23
        if(lower.size() >= upper.size() + 2)
          upper.insert(lower.removeMax());
24
        else if(upper.size() >= lower.size() + 2)
25
          lower.insert(upper.removeMin());
26
27
28 }
29
```

Arrays	Complexity	Total time over <b>n</b> inserts/getMedians
insert	O(1)	O(n)
getMedian	O(nlogn) for sorting more sophisticated algorithms can yield O(n)	O(n^2)

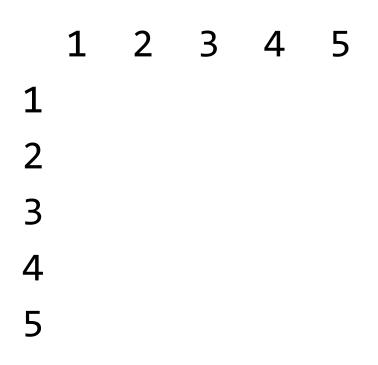
SexyHeaps	Complexity	Total time over <b>n</b> inserts/getMedians
insert	O(logn)	O(nlogn)
getMedian	O(logn)	O(nlogn)

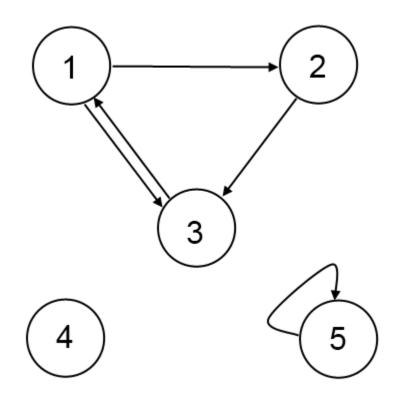
#### **Graphs overview**

- Graphs consist of vertices and edges
- Vertex: a point (v) in the graph
- Edge: connects two vertices (v, u)
  - If a graph is directed, edges only go one way
  - Edges can have weight associated with them, e.g.
     the distance between two points
- The degree of a vertex is the number of edges touching it
  - Vertices in a directed graph have indegree and outdegree
- Graph is connected if there is a path between every two vertices

#### **Adjacency Matrix**

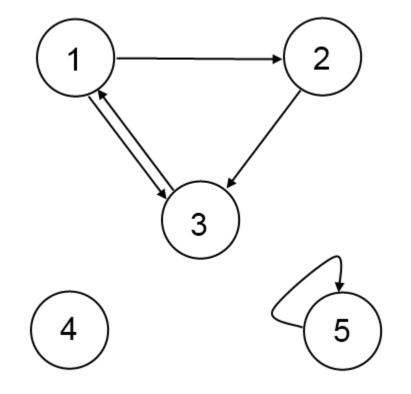
Create the adjacency matrix for this graph:





#### **Adjacency Matrix**

Create the adjacency matrix for this graph:



#### **Adjacency List**

Now create the adjacency list for this graph:

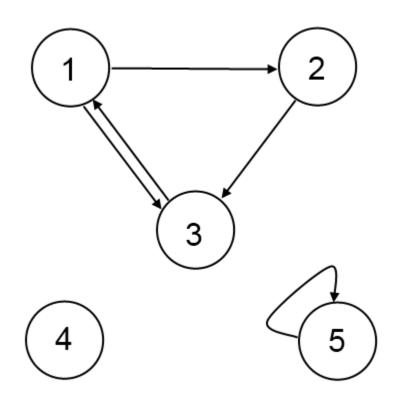
1:

2:

3:

4:

5:



### **Adjacency List**

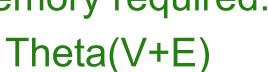
Now create the adjacency list for this graph:

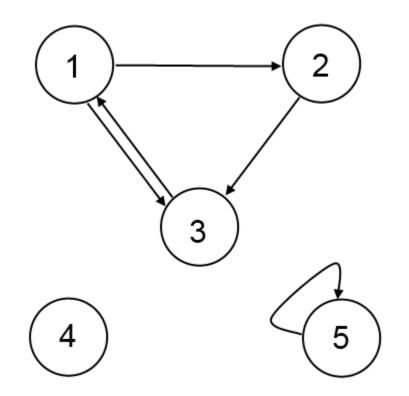
1: 2, 3

2: 3

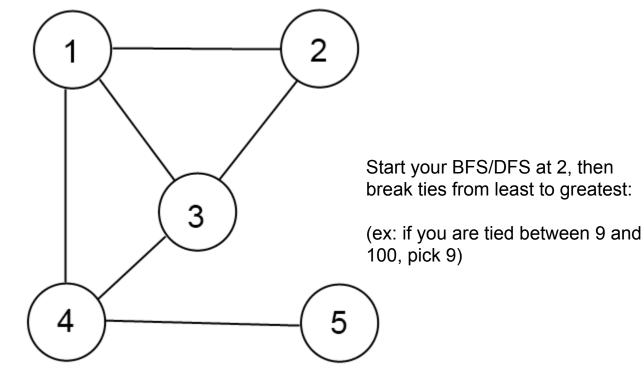
5: 5

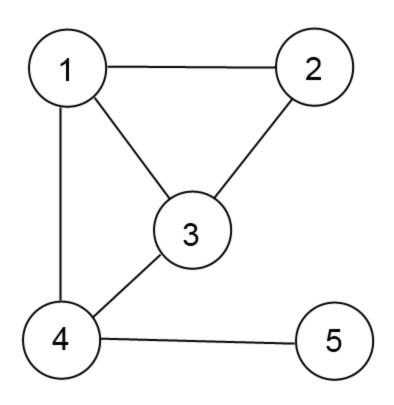
Memory required:





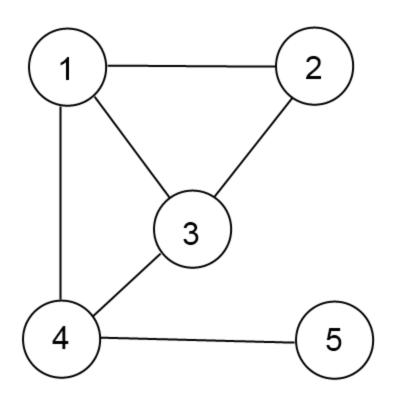
Specify the Breadth First and Depth First Search (BFS & DFS) orders of this graph starting at 2.





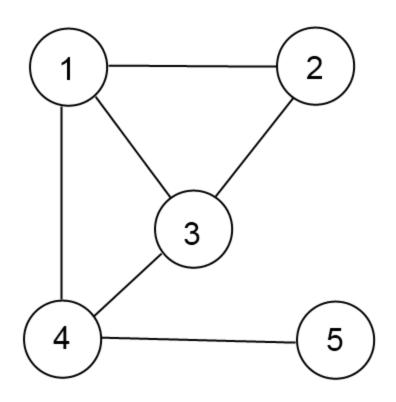
BFS search order:

DFS search order:



BFS search order: 2, 1, 3, 4, 5

DFS search order:



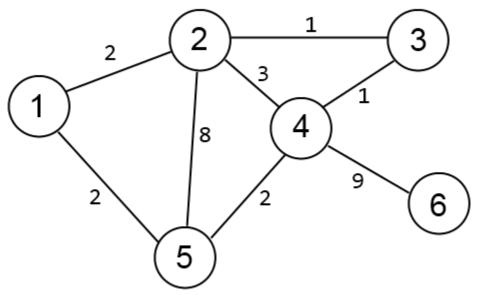
BFS search order: 2, 1, 3, 4, 5

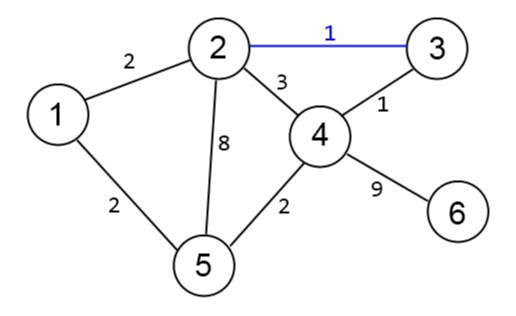
DFS search order: 2, 1, 3, 4, 5

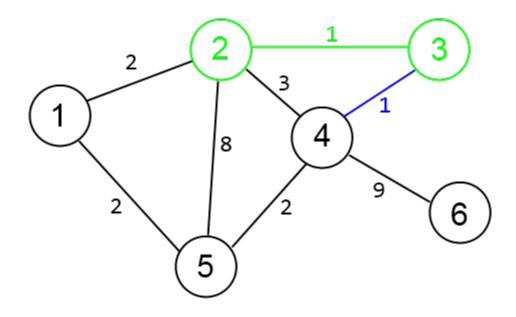
- DFS is an algorithm based on recursively checking a node's children
  - See the pseudocode in Lecture 28
  - $\circ$  O(V + E) for adjacency list, O(V<sup>2</sup>) for matrix
- BFS uses a queue to iteratively deepen in a graph
  - See the pseudocode in Lecture 29
  - Also O(V + E) for adjacency list and O(V²) for matrix
- Adjacency lists are good for sparse trees, but as E -> V<sup>2</sup>, adjacency matrices become faster

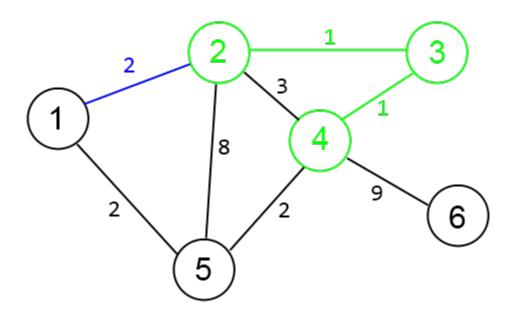
- The algorithm goes through each edge (u, v) in increasing weight order, and if the two points are not already connected, it adds the edge to the set
- Sorting the edges takes O(E log E) time
- Checking connectivity with a DFS takes
   Theta(E\*(V + E)), which is rather slow
  - A trick exists to make the check time O(E log E), you haven't gone over it yet
- The total time you need to know is O(V + E log E) = O(V + E log V)

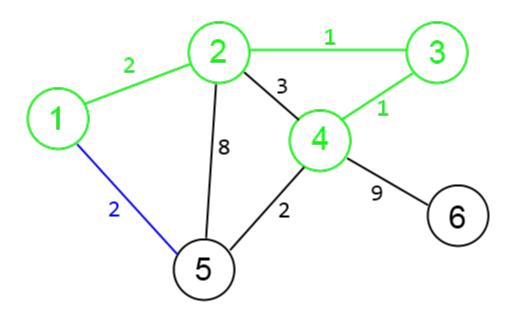
Find a subset of the edges in E that connects every vertex in V with the shortest possible total length:

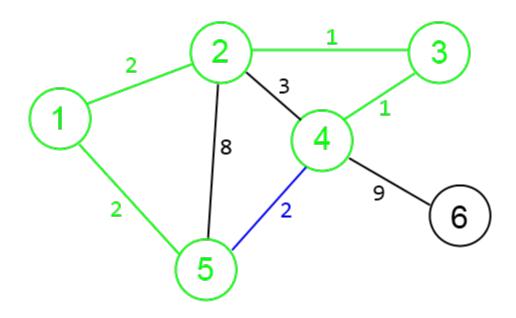


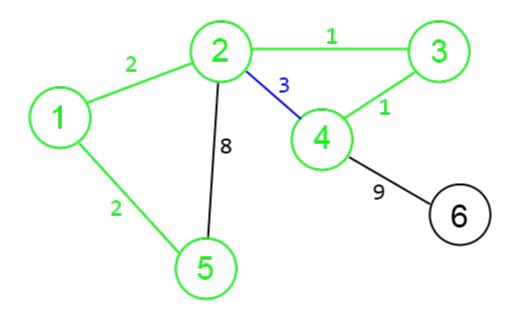


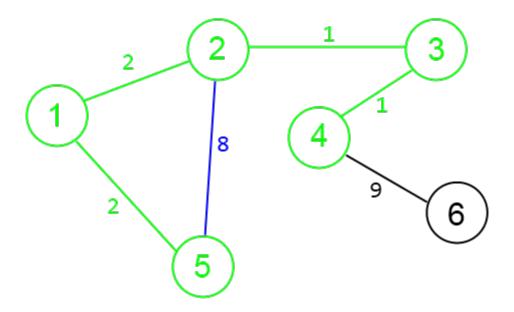


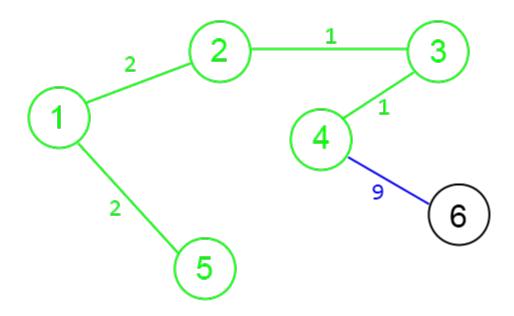


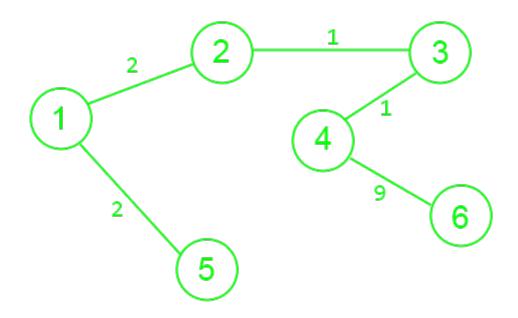












Note: there are two other minimum spanning trees for this graph, depending on how equallength edges are ordered.

- If a graph G has more than |V| 1 edges, and there is a unique heaviest edge, then that edge cannot be a part of a minimum spanning tree.
- If G has a cycle with a unique heaviest edge, then that edge cannot be a part of any MST.
- If G has an edge e that is of minimum weight, then e is a part of every MST.

- If a graph G has more than |V| 1 edges, and there is a unique heaviest edge, then that edge cannot be a part of a minimum spanning tree. F
- If G has a cycle with a unique heaviest edge, then that edge cannot be a part of any MST.
- If G has an edge e that is of minimum weight, then e is a part of every MST.

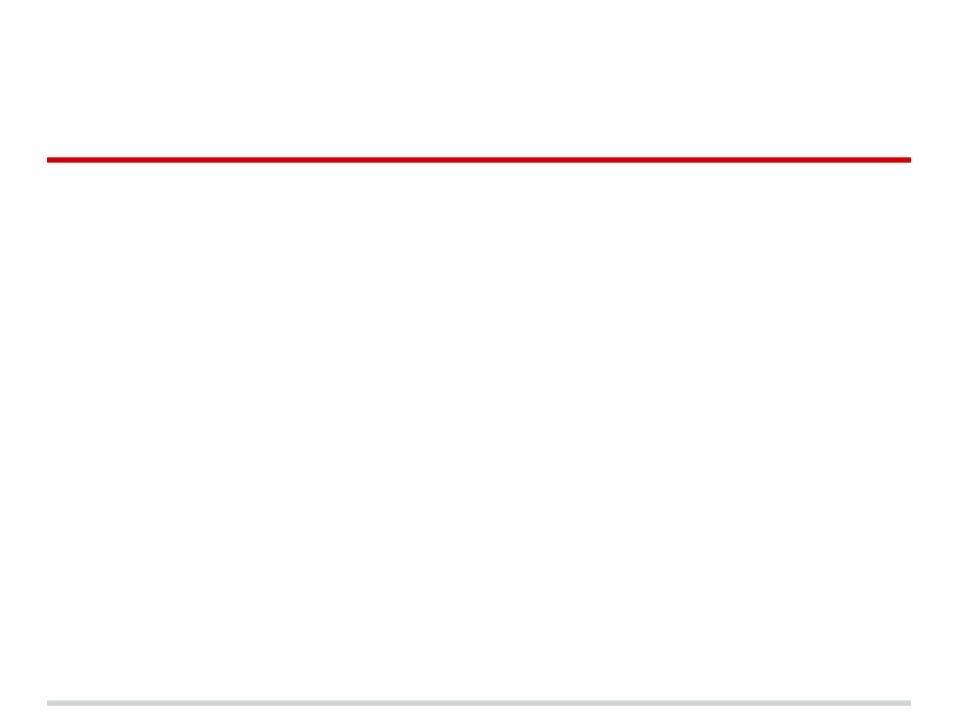
- If a graph G has more than |V| 1 edges, and there is a unique heaviest edge, then that edge cannot be a part of a minimum spanning tree. F
- If G has a cycle with a unique heaviest edge, then that edge cannot be a part of any MST. T
- If G has an edge e that is of minimum weight, then e is a part of every MST.

- If a graph G has more than |V| 1 edges, and there is a unique heaviest edge, then that edge cannot be a part of a minimum spanning tree. F
- If G has a cycle with a unique heaviest edge, then that edge cannot be a part of any MST. T
- If G has an edge e that is of minimum weight, then e is a part of every MST. F

### **Exceptions**

Consider the following code. Why doesn't it compile? Without changing the method signature, how can we fix it?

```
import java.io*;
public class Mathy{
public static int doMath(String fileName) throws DoMathException{
   fs = new FileInputStream(fileName); //may generate a
                                        // FileNotFoundException
   int i = fs.read(); //may generate an IOException
   return 1/i; //may generate an ArithmeticException
} }
public class DoMathException extends Exception{
```



### **Exceptions Answer**

```
import java.io*;
public class Mathy{
public static int doMath(String fileName) throws DoMathException{
   try{
       fs = new FileInputStream(fileName);
       int i = fs.read();
   } catch (FileNotFoundException e) {
      throw new DoMathException();
   } catch (IOException e) {
      throw new DoMathException();
   return 1/i;
```

# Good luck on your Midterm!