

Data Structure:

Sorting

sorting

- sorting is putting the elements into a list in which the elements are in increasing order

insertion sort

34, 8, 64, 51, 32, 21

0	1	2	3	4	5	
34	8	64	51	32	21	
8	34	64	51	32	21	after p=1
8	34	64	51	32	21	after p=2
8	34	51	64	32	21	after p=3
8	32	34	51	64	21	after p=4
8	21	32	34	51	64	after p=5

insertion sort

- For each pass $P = 1$ through $n - 1$, insertion sort ensures that elements in position 0 through P are in sorted order
- In pass P , move the element in position P left until its correct place is found among the first P elements
- $O(n^2)$ comparisons required on average
- any algorithm that sorts by exchanging adjacent elements requires $O(n^2)$ time on average
 - average number of swapping in an array of n distinct numbers is $n(n-1)/4$ since total number of pairs to be compared is $n(n-1)/2$

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8	34	51	64	32	21	after p=3
8	32	34	51	64	21	after p=4
8	21	32	34	51	64	after p=5

insertion sort

```
void insertionSort (ElementType A[ ], int N)
{
    int j, P;
    for (P = 1; P < N; P++)
    {
        Tmp = A [P];
        for (j = P; j > 0 && A[j-1] > Tmp; j --)
            A[j] = A[j-1];
        A[j] = Tmp;
    }
}
```

0	1	2	3	4	5	
34	8	64	51	32	21	
8	34	64	51	32	21	after p=1
8	34	64	51	32	21	after p=2
8	34	51	64	32	21	after p=3
8	32	34	51	64	21	after p=4
8	21	32	34	51	64	after p=5

heap sort

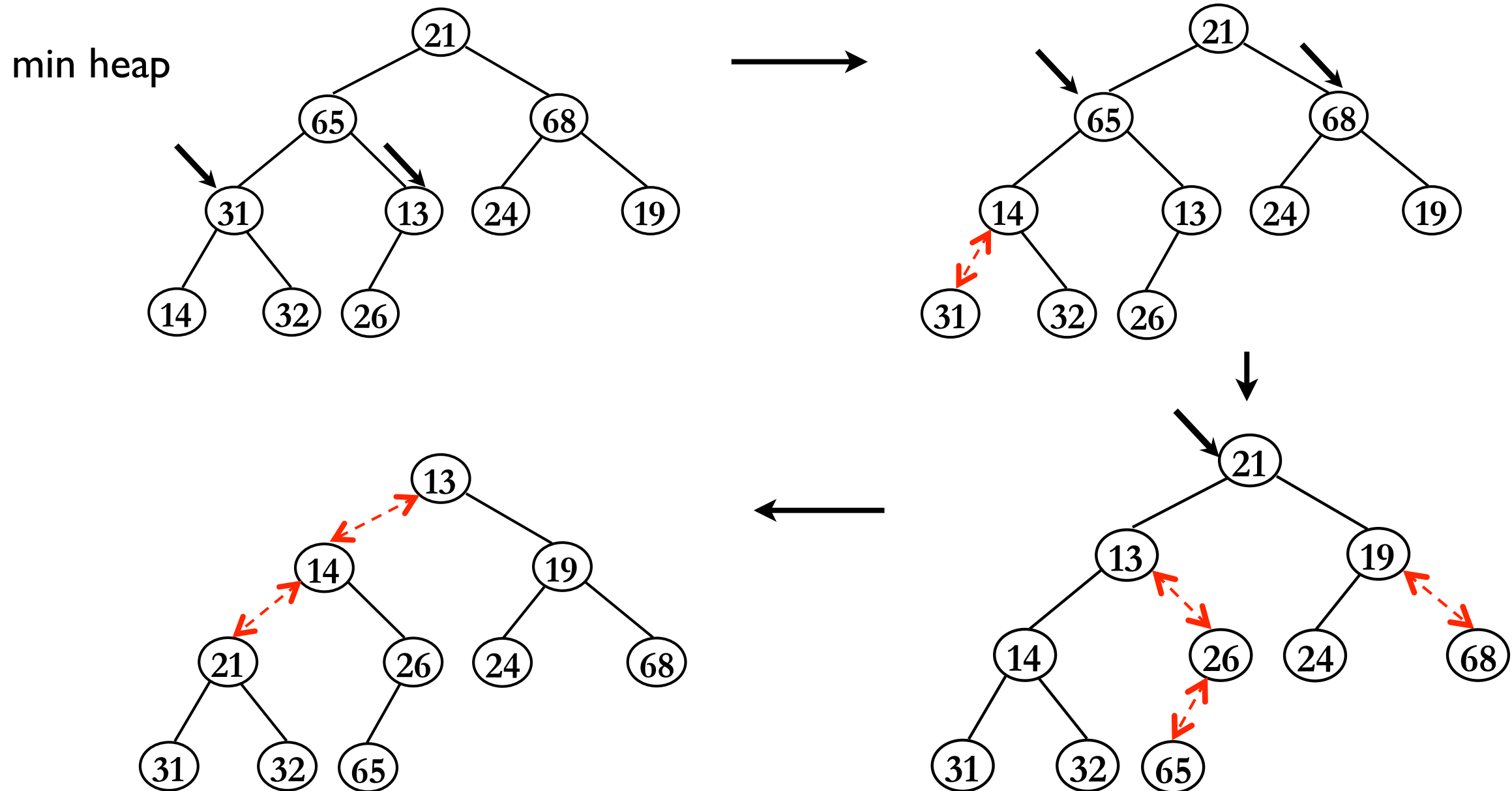
- building binary heap of n elements: $O(n)$
- DeleteMin operation n times: $O(n \log n)$
- use the last cell in the previous heap to save the noted list (in-place algorithm)

```
void HeapSort (ElementType A[], int N)
{
    int i;
    for (i = N/2; i > 0; i --)    /* Build Heap */
        PercDown (A, i, N);

    for (i = N; i > 0; i --)
    {
        Swap(&A[1], &A[i] );    /*DeleteMax */
        PercDown(A, 1, i-1);
    }
}
```

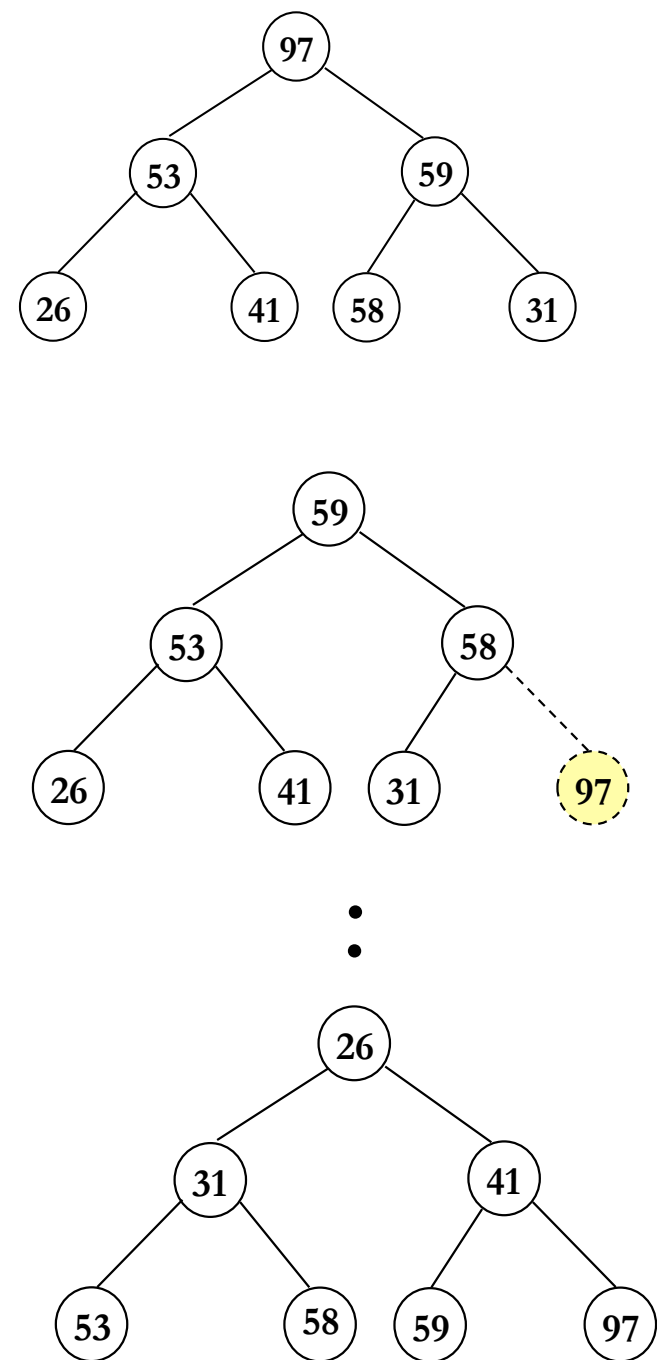
BuildHeap

- Build a Heap containing n keys takes $O(n \log n)$ with consecutive insertions
- But it can take $O(n)$ if they are already in array.
- Starting with the lowest non-leaf node, working back towards root, perform percolating-down on each node of the tree.



heap sort

Increasing order using Max heap



	31	41	59	26	53	58	97		
0	1	2	3	4	5	6	7	8	9

↓ BuildHeap

	97	53	59	26	41	58	31		
0	1	2	3	4	5	6	7	8	9

↓ DeleteMax

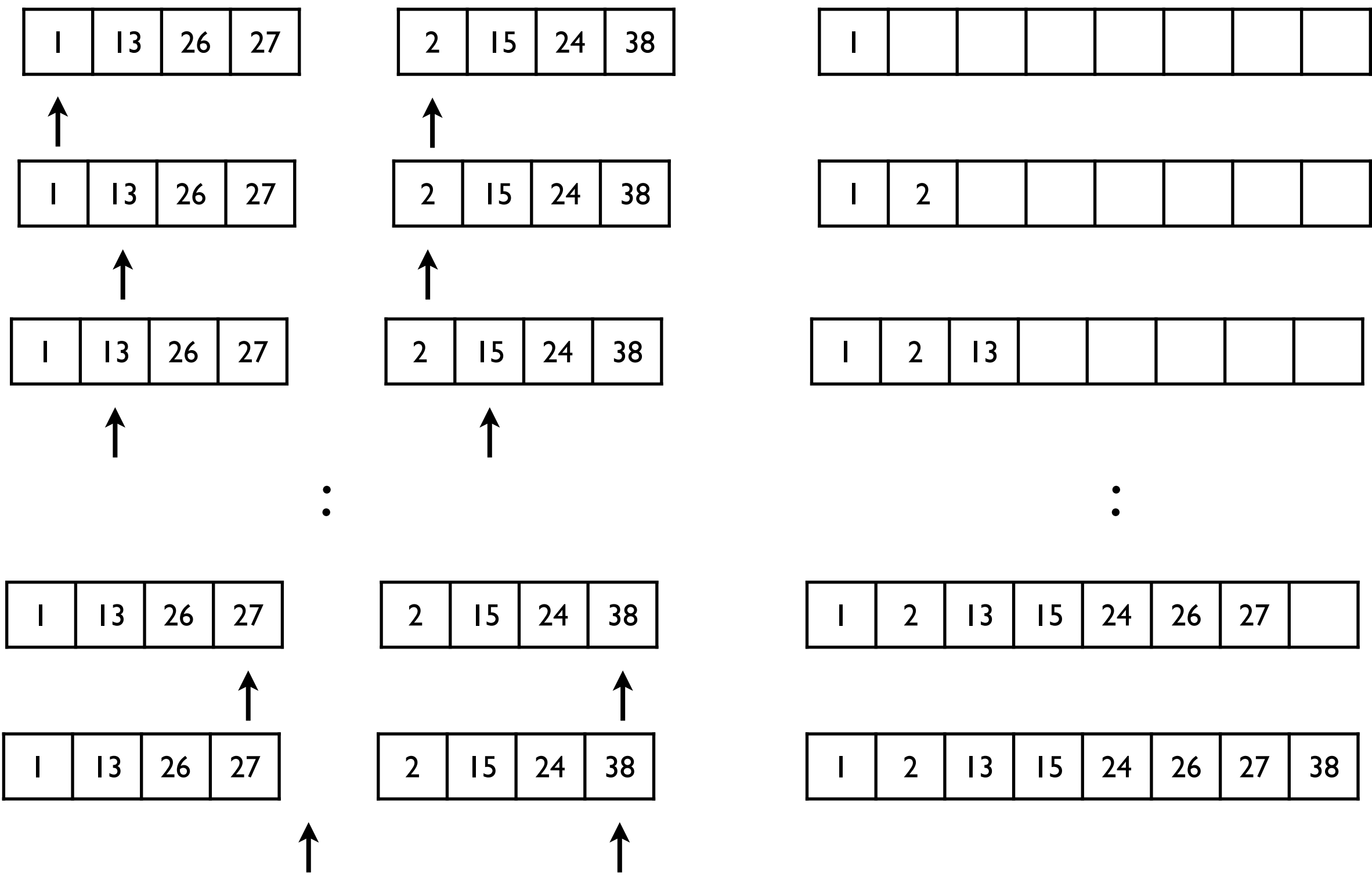
	59	53	58	26	41	31	97		
0	1	2	3	4	5	6	7	8	9

⋮

	26	31	41	53	58	59	97		
0	1	2	3	4	5	6	7	8	9

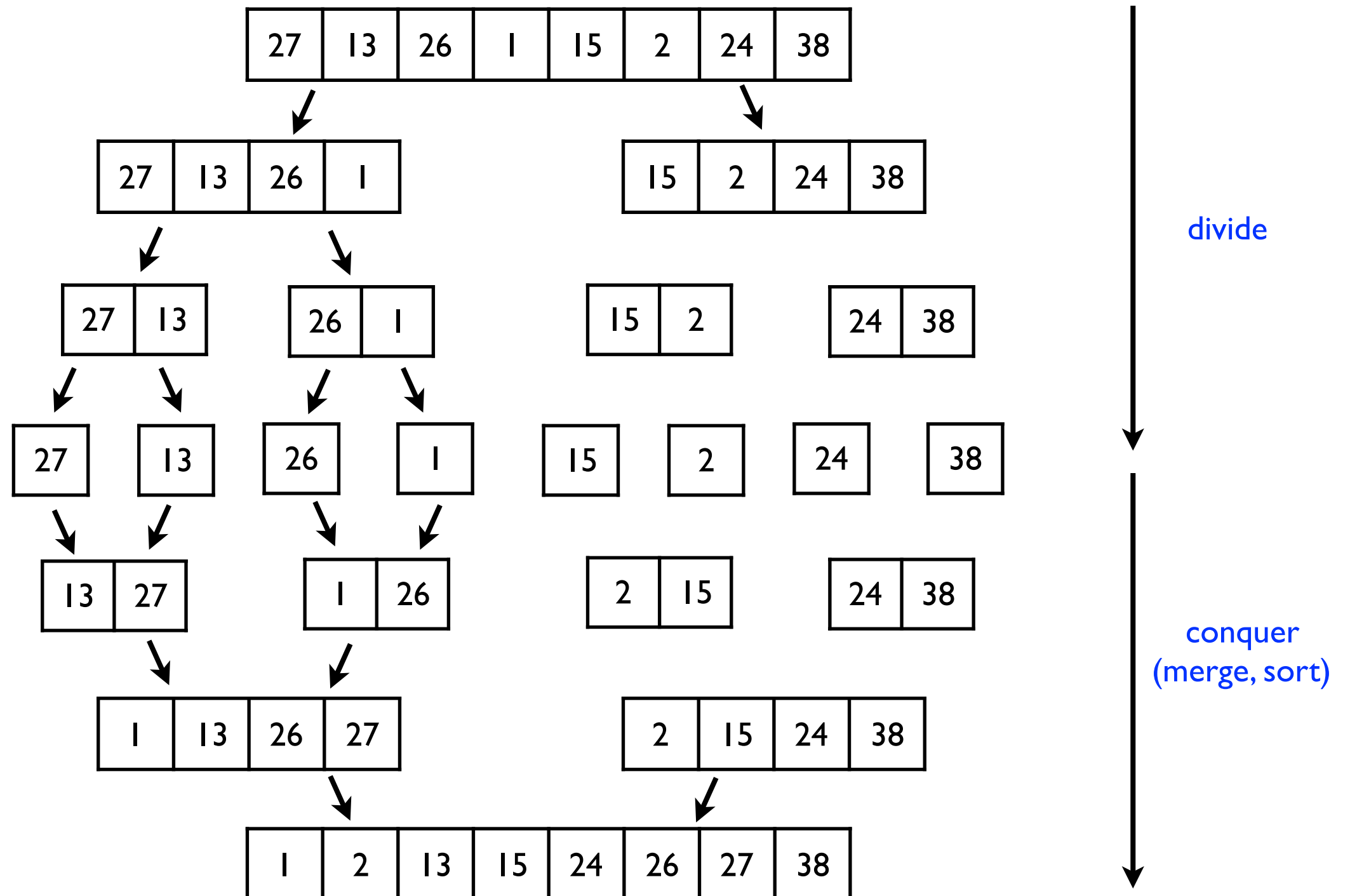
merge sort

■ merge **two sorted sublists** using temporary array



merge sort

- divide a list into two sublists
- conquer (sort) the sorted sublist into the list

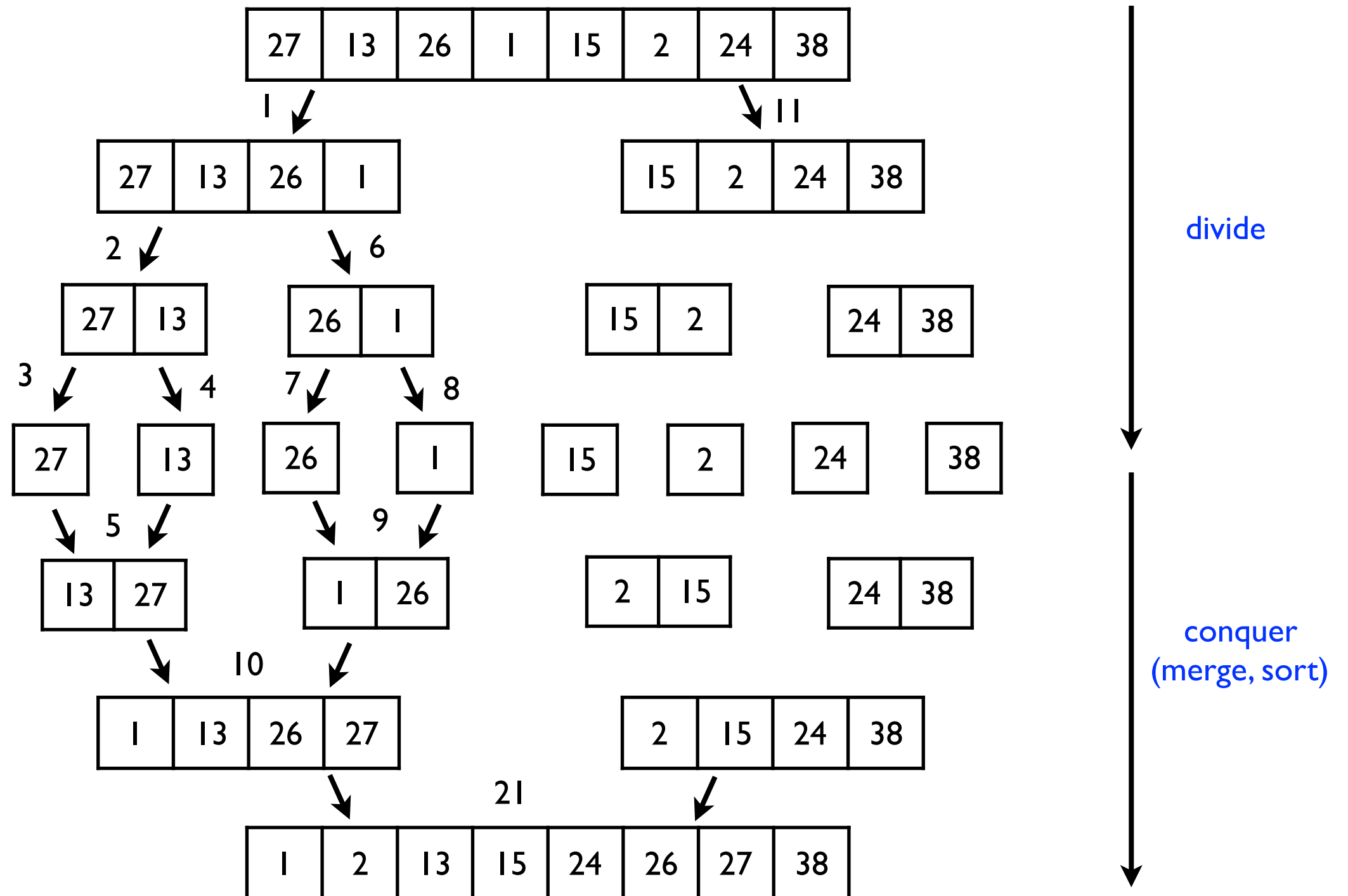


merge sort

```
void MSort (ElementType A[], ElementType TmpArray[ ], int Left, int Right)
{
    int Center;
    if (Left < Right){
        Center = (Left + Right) / 2;
        MSort (A, TmpArray, Left, Center);
        MSort (A, TmpArray, Center+1, Right);
        Merge (A, TmpArray, Left, Center+1, Right);
    }
}
```

merge sort

- divide a list into two sublists
- conquer (sort) the sorted sublist into the list



merge sort

```
void Merge (ElementType A[], ElementType TmpArray[ ], int Lpos, int Rpos, int RightEnd)
{
    int i, LeftEnd, NumElements, TmpPos;
    LeftEnd = Rpos - 1;
    TmpPos = Lpos;
    NumElements = RightEnd - Lpos + 1;

    while (Lpos <= LeftEnd && Rpos <= RightEnd)
        if (A[Lpos] <= A[Rpos])
            TmpArray[TmpPos++] = A[Lpos++];
        else
            TmpArray[TmpPos++] = A[Rpos++];

    while (Lpos <= LeftEnd)
        TmpArray[TmpPos++] = A[Lpos++];
    while (Rpos <= RightEnd)
        TmpArray[TmpPos++] = A[Rpos++];

    for(i=0; i<NumElements; i++, RightEnd--)
        A[RightEnd] = TmpArray[RightEnd];
}
```

merge sort: analysis of time complexity

$$T(1) = 1$$

$$T(N) = 2T(N/2) + N$$

$$T(N)/N = T(N/2) / (N/2) + 1$$

$$T(N/2) / (N/2) = T(N/4) / (N/4) + 1$$

$$T(N/4) / (N/4) = T(N/8) / (N/8) + 1$$

⋮

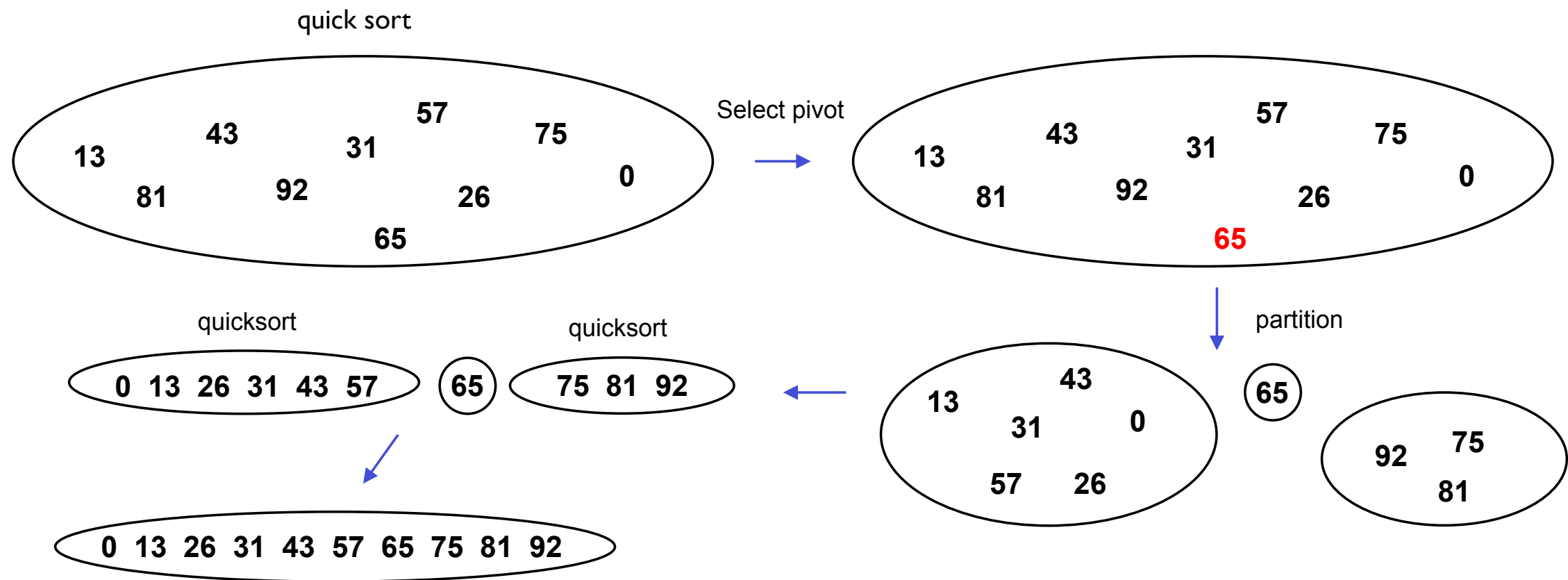
$$T(2) / (2) = T(1) / (1) + 1$$

$$T(N)/N = T(1)/1 + \log N$$

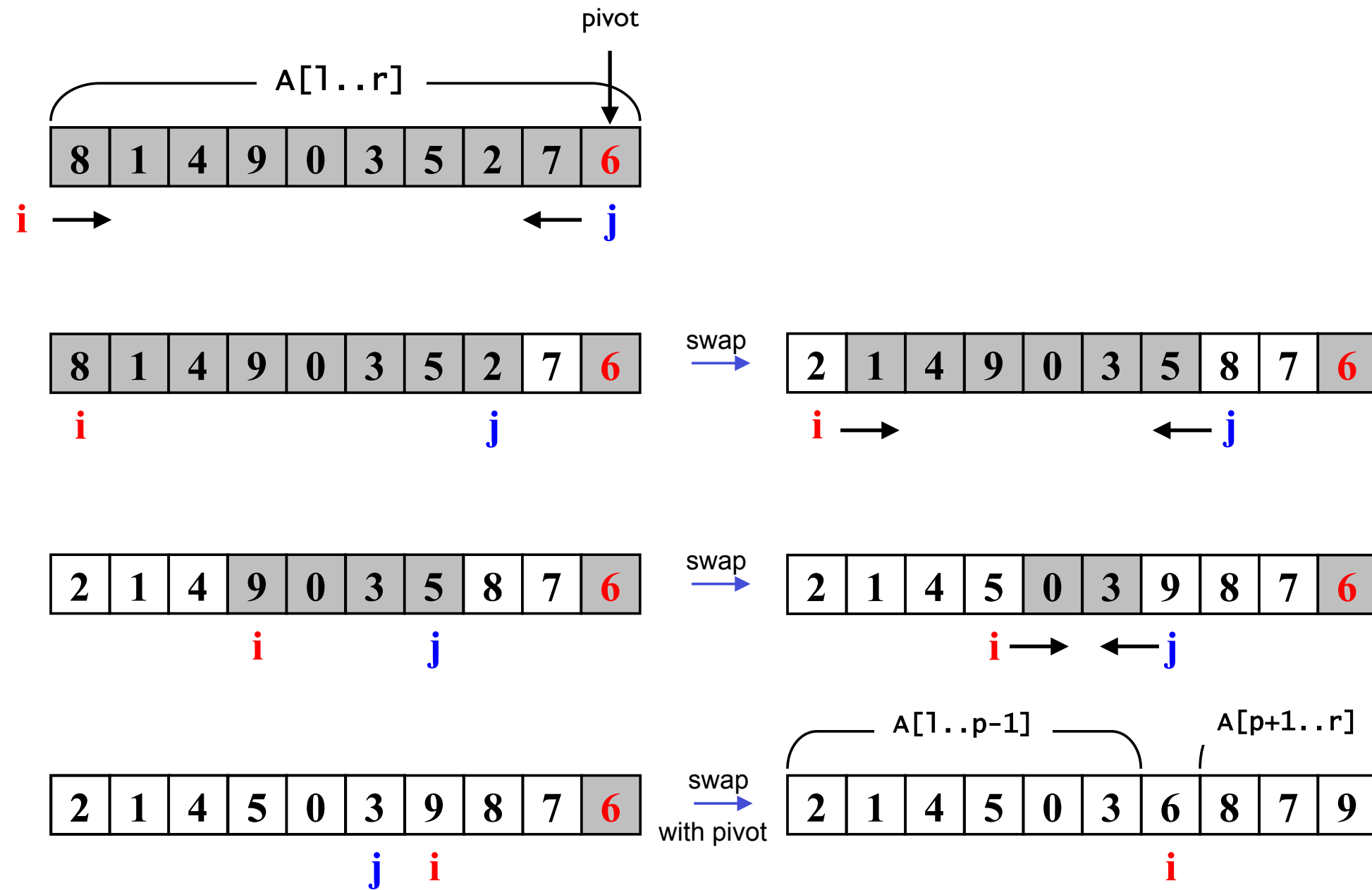
$$T(N) = N \log N + N = O(N \log N)$$

quick sort

- divide: partition the array $A[l..r]$ into two subarrays $A[l..p-1]$ and $A[p+1..r]$
 - all elements in $A[l..p-1]$ are less than or equal to a pivot element $A[p]$
 - all elements in $A[p+1..r]$ are greater than pivot element $A[p]$.
- conquer: sort the two subarrays $A[l..p-1]$ and $A[p+1..r]$ by recursive calls to quicksort.
 - > since the subarrays are sorted in place, no work is needed.



quick sort



quick sort

```
void Quicksort(A, l, r)
{
    if (l >= r)    return;
    p = Partition(A, l, r);
    Quicksort(A, l, p-1);
    Quicksort(A, p+1, r);
}
```

```
int Partition(A, l, r)
{
    pivot = select_pivot(A, l, r);
    i = l - 1;
    j = r;
    for(;;) {
        while( A[--j] > pivot );
        while( A[++i] <= pivot );
        if ( i < j ) swap(&A[i], &A[j]);
        else {
            swap(&A[i], &A[r]);
            return i;
        }
    }
}
```

quick sort: picking the pivot

- use the first element or the last element
 - worst if the input is presorted or in reverse order
- choose the pivot randomly
 - safe, but does not reduce the average running time
 - median-of-three choose the median of the leftmost, rightmost, and center elements

quick sort: picking the pivot

$$T(0) = T(1) = 0$$

$$T(n) = T(i) + T(n - i - 1) + n$$

- performance depends on the selection of pivot

- **worst-case partitioning**: divide $n - 1$ and pivot

$$T(n) = T(n - 1) + n$$

$$= T(n - 2) + n - 1 + n$$

$$= :$$

$$= T(1) + 2 + 3 + \dots + n$$

$$= O(n^2)$$

- **best-case partitioning**: divide $n/2$ and $n/2$ elements

$$T(n) = 2T(n/2) + n$$

$$= 4T(n/4) + 2n \quad \leftarrow 2(2T(n/4) + n/2) + n$$

$$= 8T(n/8) + 3n$$

$$= :$$

$$= nT(1) + \log n * n$$

$$= O(n \log n)$$

quick sort: picking the pivot

$$T(n) = T(i) + T(n - i - 1) + n$$

■ average-case partitioning

assume that the size of a partition is equally likely (that is, probability is $1/n$)

the average value of $T(i)$ or $T(n - i - 1)$ is $\frac{1}{n} \sum_{j=0}^{n-1} T(j)$

$$T(n) = \frac{2}{n} \left[\sum_{j=0}^{n-1} T(j) \right] + n$$

$$nT(n) = 2 \left[\sum_{j=0}^{n-1} T(j) \right] + n^2$$

$$(n-1)T(n-1) = 2 \left[\sum_{j=0}^{n-2} T(j) \right] + (n-1)^2$$

$$nT(n) - (n-1)T(n-1) = 2T(n-1) + 2n - 1$$

$$nT(n) = (n+1)T(n-1) + 2n$$

$$\frac{T(n)}{n+1} = \frac{T(n-1)}{n} + \frac{2}{n+1}$$

$$\frac{T(n)}{n+1} = \frac{T(1)}{2} + 2 \sum_{i=3}^{n+1} \frac{1}{i}$$

$$\frac{T(n)}{n+1} = O(\log n), \quad T(n) = O(n \log n)$$