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CAU

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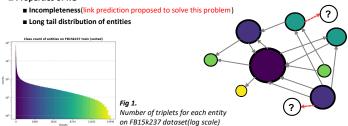




Background of knowledge graph(KG)

- □ A KG contains triplets in the form of (subject entity, relation, object entity)
- Useful in variety of domains(health care, information retrieval, RAG, recommender system, etc.)

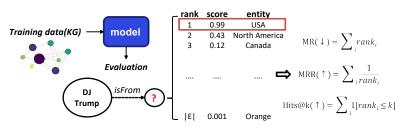
☐ Properties of KG



^{*} The heat map color is based on sorted class index number for better visualization and interpretability.
*** We only think about entity prediction(link prediction) in this work.

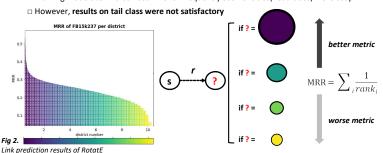


- Evaluation of knowledge graph link prediction
 - ☐ Calculate ranks(relative confidence among other entities) for evaluation
 - ☐ Mean Rank, Mean Reciprocal Rank, Hits@k are the most used rank based metrics





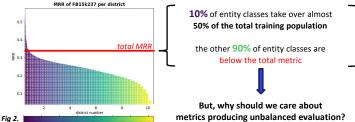
- When KGC and long tail comes together...
 - ☐ Training models to find correct links for frequently seen entities(head class) were easy



^{*} Each district contains an equal number of entity classes. Classes that appear in smaller number districts have more training instances than bigger number districts. The dots represent the average MRR for each district.



- When KGC and long tail comes together...
 - □ Vanila mean based ranking metrics bias toward the performance of high populated head class
 - ☐ This is extremely unfair

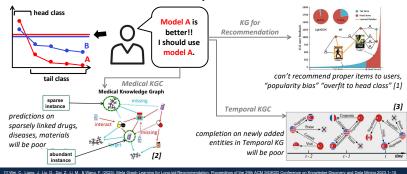


Link prediction results on RotatE(ICLR' 19)

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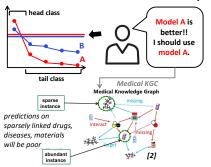


When a model is evaluated solely on biased conventional metrics



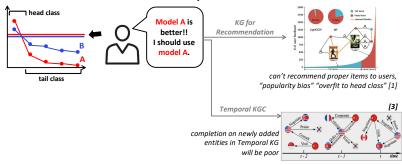


When a model is evaluated solely on biased conventional metrics





When a model is evaluated solely on biased conventional metrics

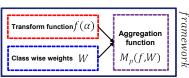




Creating a new metric is non trivial

- ☐ Absence of general framework makes metric creation, comparison subjective and ambiguous
 - Which metric is more sensitive to rank change?
 - Which metric is more(less) swayed to outlier ranks?
 - Which metric is more(less) swaved to head class performance?
- ☐ Thus establishing a general metric framework that can also represent conventional metrics will enable more reliable and consistent analysis across different metrics







The need for more fair evaluations

- □ Not only for practical issues, but to guide KGC research to a better way
- ☐ To acquire the solutions, we investigate the below research questions

Research questions

RQ1 "Will our metric produce good evaluation according to the desired evaluation objective?"

RQ2 "Can we calculate how sensitive framework parameters are?"

RQ3 "Can we efficiently reduce calculation overhead under the framework"

Related works



Knowledge graph completion

- ☐ Embedding based models
 - Learn individual embeddings for entities, relations($h \times r \approx t$)
 - Translation based : TransE(NeurIPS' 13), RotatE(ICLR' 19), HousE(ICML' 22)
 - Tensor decomposition based : Complex(ICML' 16), TuckER(EMNLP' 19)



- Mine sequence of relations for completion($r_h = r_i \rightarrow r_j \rightarrow r_k$) $\chi \stackrel{\text{brotherOf}}{=} \gamma \stackrel{\text{sisterOf}}{=} \chi \stackrel{\text{y}}{=} \chi \stackrel{\text{brotherOf}}{=} \chi$
- NeuralLP(NeurIPS' 17), DRUM(NeurIPS' 19), RNNLogic(ICLR' 21)
- □ GNN based models
 - Utilize GNNs for KGC
 - R-GCN(ESWC' 18), KBGAT(ACL' 19), AdaProp(KDD' 23)





Related works



KGC evaluation

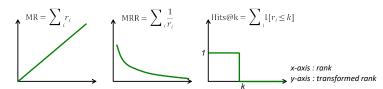
- ☐ Apart from building models, a wide range of concerns for KGC model evaluation emerged
 - Dataset size invariant metric and proposing general metric framework
 - How different tie breaking protocals will affect the ranking evaluation
 - Shortcomings of MRR under the OWA

KGC evaluation(works addressing long-tail evaluation problem)

- □ Works specifically for creating metrics to tackle biased entities, relations
 - Incorporating popularity of entities, relations for unbiased metrics(strat-MRR, strat-Hits@k)

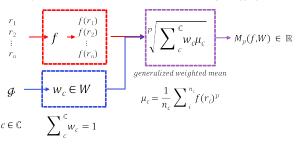


- Rank based metrics in the context of OWA(Open World Assumption)
 - ☐ KGs adopt OWA to address the incompleteness
 - present link = true fact, absent link = don't know whether it is true or false
 - ☐ Thus F1, ROC-AUC are not confidently calculable due to the absentTN & FN, which led to exclusive use of rank based metrics in KGC literature [1]
 - ☐ Most commonly used conventional metrics(Mean Rank, Mean Reciprocal Rank, Hits@k)





- Overall view of unified metric framework(UMF)
 - ☐ Three parts(rank transformation function, class wise weights, aggregation function)







- ☐ Input : raw rank // Output : mapped rank
- ☐ Roles: normalize raw ranks, controls the metric's range
- □ Properties : bound, focus-on-top rate(FOTR)
- ☐ **f(x)** can be written as power over rank

$$MK(\alpha = 1)$$

$$f(x = rank_i) = x^{\alpha}$$
 MRR($\alpha =$

what α should we use and when?

Hits@k(non discriminative)





Transformation function(f) and FOTR

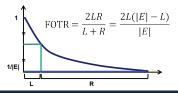
- [1] mathematically proved that under the OWA, FOTf(x) under-evaluates true power of KGC models(criticized MRR, suggested using less FOT f(x))
- □ e.g., MRR(α =-1) change 0.5 for rank 2 \rightarrow rank 1(1 improvement), change 0.1999 for rank 10000 \rightarrow rank 5(9995 improvement)
- if less FOT f(x)' accomodates more non-top rank improvement than FOTf(x) such as MRR





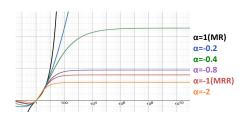
Transformation function(f) and FOTR

- ☐ [1] mathematically proved that under the OWA, FOTf(x) under-evaluates true power of KGC models(criticized MRR, sugested using less FOT f(x))
- □ Value of MRR(α =-1) change 0.5 for rank 2 \rightarrow rank 1(1 improvement), change 0.1999 for rank 10000 \rightarrow rank 5(9995 improvement)
- 'less FOT f(x)' accomodates more non-top rank improvement than FOTf(x) such as MRR But how much is 'less'?



bigger FOTR indicates less FOT smaller FOTR indicates more FOT

- FOTR of f(x) on different α
- * Further investigation on "which α suits best for the dataset" will be done in the future





A CAU

Properties over f(x)

Lemma 1 For non negative real numbers with $a \le b$, $f(x) \in [a, b]$ implies $M_v(f, W) \in [a, b]$ for $\forall p \in \mathbb{R}$

Corollary 1.1 Range of $M_p(f, W)$ is only determined by range of f(x)

As long as $\sum_{c}^{c} w_{c} = 1$ is satisfied, the above holds.

Thus selection of W and p are independent to the range of the metric.

Corollary 1.2 $M_p(f, W) \in (0, 1]$ *if* $f \in A \leq 0$

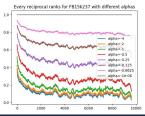
Thus $M_p(f,W)$ gains a fixed optimum and fixed pessimum(almost zero).

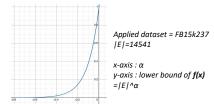




So, use bigger α for 'less-FOT'?

- ☐ The transformation function was able to generalize MR and MRR
- \Box However, in the case for α <0, the lower bound of f(x) keep rising as α approaches 0
- This results in unavoidable optimistic evaluation for bigger α



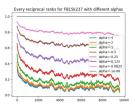


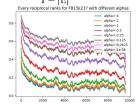
^{*} In the left figure, x-axis corresponds to entity classes sorted by training instance count. Each y point corresponds to the MRR of class(x point).



- Alter f(x) so that point (1, 1), (|E|, 0) are crossed
 - ☐ Intuitively, a metric should be 0 if the predicted rank is theworst possible(rank=|E|)
 - \square No other works pointed out this problem, we can newly define f(x) for $\alpha < 0$

$$f(x) = x^{\alpha} \longrightarrow f(x) = (\frac{1}{1 - |E|^{\alpha}})(x^{\alpha} - 1) + 1$$





□ No



With the new f(x), hinder previous properties?

$$f(x) = (\frac{1}{1 - |E|^{\alpha}})(x^{\alpha} - 1) + 1 \approx x^{\alpha} \text{ for } \alpha < 0$$

$$FOTR((\frac{1}{1-|E|^{\alpha}})(x^{\alpha}-1)+1) = FOTR(x^{\alpha}) \text{ for } \alpha \neq 0$$

Corollary 1.2 $M_v(f, W) \in [0, 1]$ *if* $f \alpha \leq 0$ Thus $M_n(f, W)$ gains a fixed optimum and fixed pessimum (almost zero).

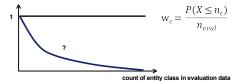




Class wise weights(W)

- ☐ Input: entity class wise information // Output: weights of each class
- □ Role : define the reletive importance of each class
- ☐ Property(?): expected entropy, cumulative gain
- ☐ Sufficient amount of analysis was not done in this part of the framework...

conventional weighting protocals can be seen as CDF on uniform distribution



- Final aggregation(weighted power mean)
 - □ Input : f, W // Output : single real value
 - □ Role: define the reletive importance of each class
 - □ Property : degree of emphasis on individual f(x)

$$\mu_c = \frac{1}{n_c} \sum_{i}^{n_c} f(r_i)^p$$





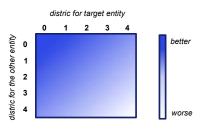
- Final aggregation(weighted power mean)
 - □ p controls how much attention should the metric give to larger f(x)
 - ☐ If W is for 'class wise weight', p is for 'transformed rank weight'
 - ☐ Able to see this property intuitively...(more works needed for Agg part also...)

$$\sum_{c}^{\mathbb{C}} w_{c} \mu_{c}$$

$$= \sum_{c}^{\mathbb{C}} w$$



□ Will other two parts(entity, relation, target_entity) also effect the prediction quality?



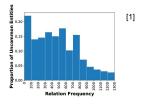


Figure 1: A histogram about relation frequencies and the corresponding proportions of uncommon entities in DBpedia.



□ Cold start anomoly, worse than guessing?

```
|E|= 14000, batch size = 1024, max step=100000, |train|=270000
```

 $0 \ \mathsf{step} : \mathsf{MR}[6969.577, 7115.239, \dots, 6799.429, 6957.469]$

10000 step: MR[2546.239, 4430.743, ..., 9175.899, 10824.321]

Funny thing: From what we observed, RotatE only has this property (even with the best hyper-parameter setting). This doesn't happen in ComplEx, TuckER, HousE.



- \square By tuning α , is it possible to create a dataset-size invariant metric [1, 2]?
 - [1] 'Size invariant' desiderata for evaluating the objective power of the model

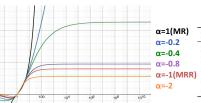
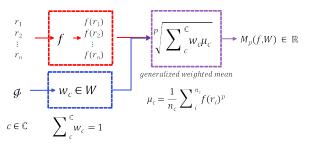


Table 1: Desiderata for rank-based metrics

	Property	Constraint	MR	MRR	\mathbf{H}_k
R)	Non-negativity	$\forall r \in \mathbb{N}: f(r) \geq 0$	V	V	V
	Fixed optimum	$f(1) = c_{\text{opt.}}$	×	V	V
	Asymp. pessimum	$\lim_{r\to\infty} f(r) = c_{\text{pes.}}$	×	V	V
	Anti-monotonic	$r > r' \to f(r) < f(r')$	X	V	×
	Size invariant	$\mathbb{E}[f] \notin n$	×	×	×



□ Calculation overhead?







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