



# **Introduction to lithospheric geodynamic modelling**

## **Physics of heat transfer**

David Whipp and Lars Kaislaniemi  
Department of Geosciences and Geography, Univ. Helsinki

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# Goals of this lecture

- Make a case for why understanding **heat transfer** is important
- Present the main **heat transfer mechanisms** in the lithosphere



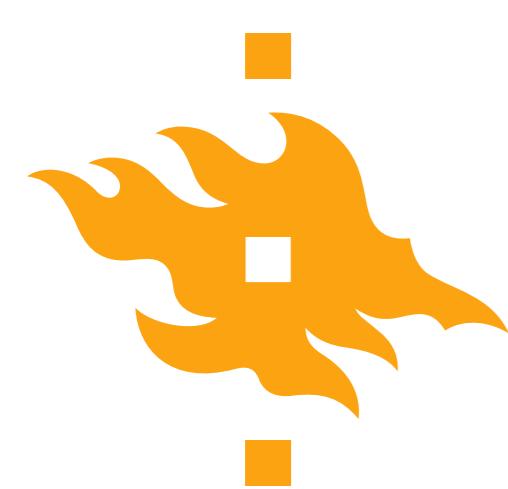
# Why start with heat transfer?



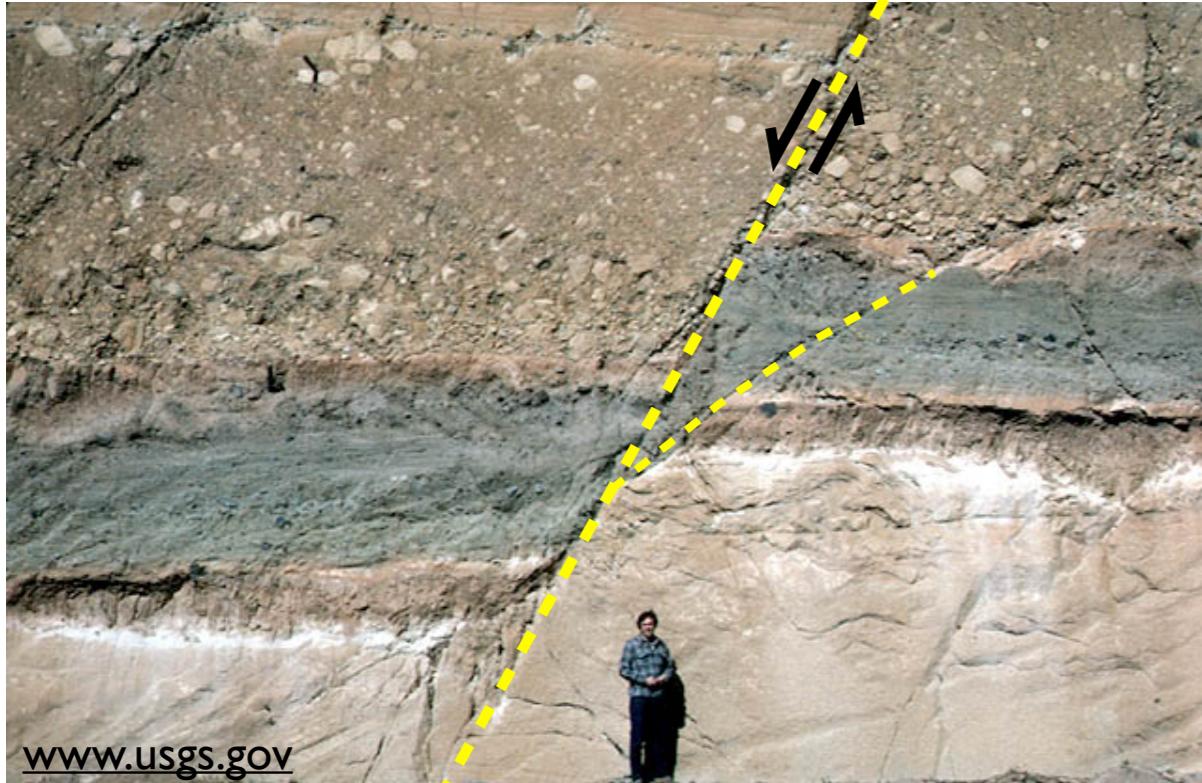
Grand Prismatic Spring, Yellowstone National Park, U.S.

Image: <http://en.wikipedia.org>

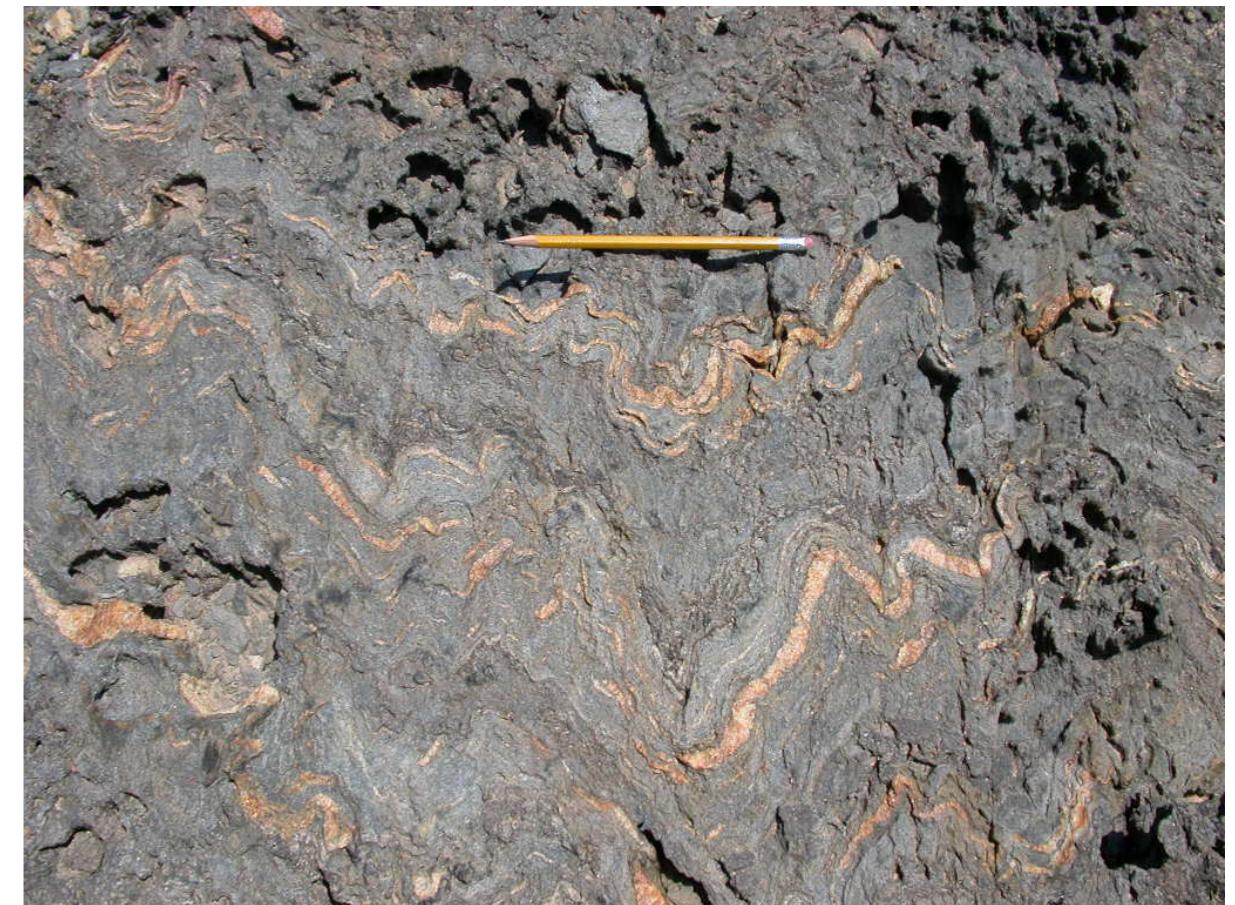
The dynamics of the Earth are dominantly controlled by gravitational and thermal processes. In fact, you could argue that thermal processes have the largest impact. Why?



# Why start with heat transfer?



Faulting



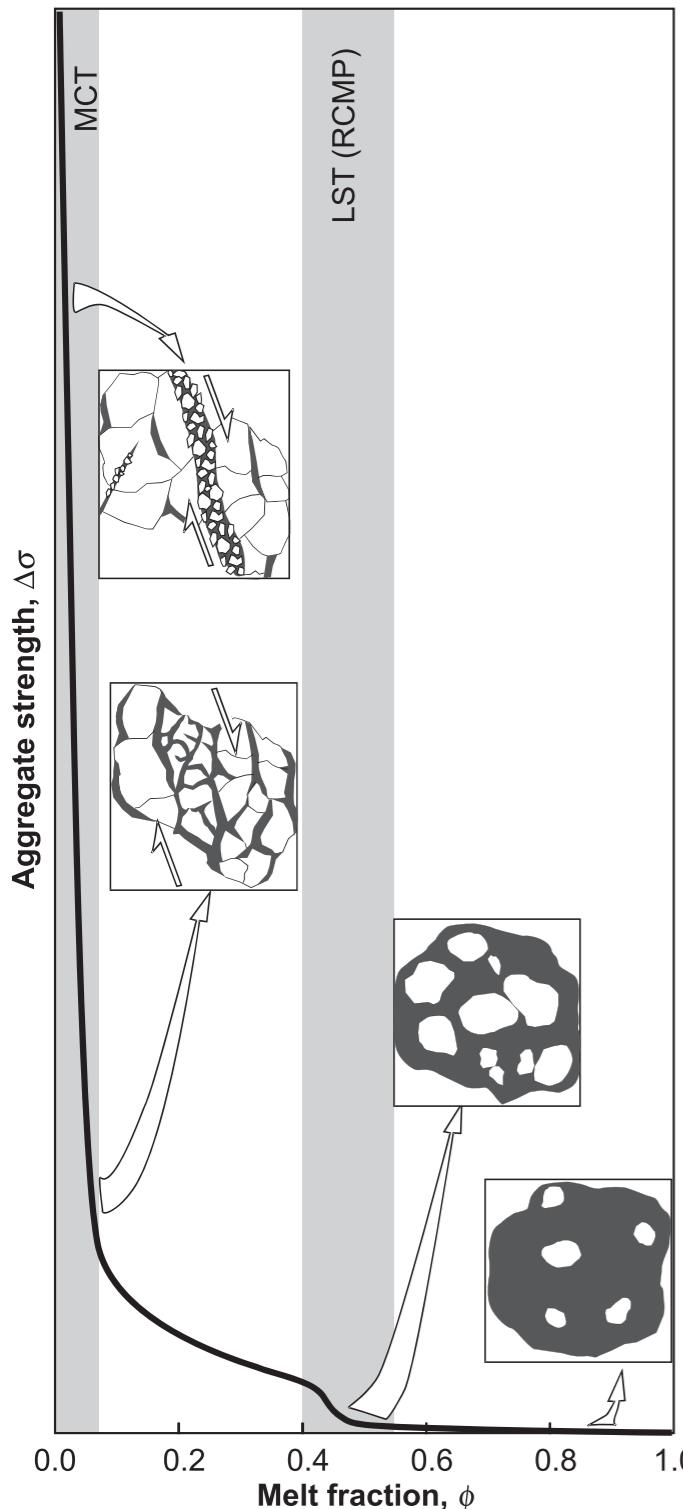
Folding

Many rock properties are largely a function of temperature.

Faulting and brittle deformation may occur near the surface, but folding and ductile flow are dominant at depth.



# The effects of partial melting on rock strength



- Partial melting dramatically decreases rock strength
- Only about 7% melt is required for to decrease rock strength by 80-90%



# Heat transfer processes in the lithosphere

- **Conduction**
- **Production**
- **Advection**



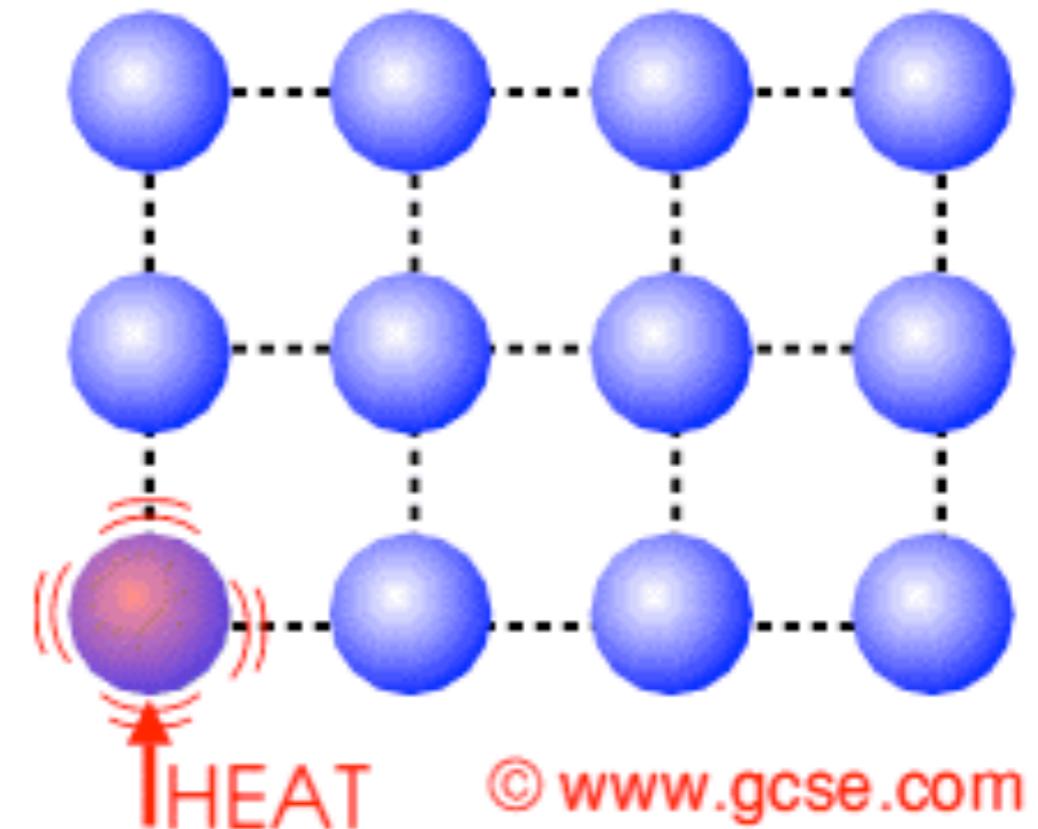
# Heat transfer processes in the lithosphere

- **Conduction:** The diffusive transfer of heat by kinetic atomic or molecular interactions within the material. Also known as thermal diffusion.
- **Production**
- **Advection**

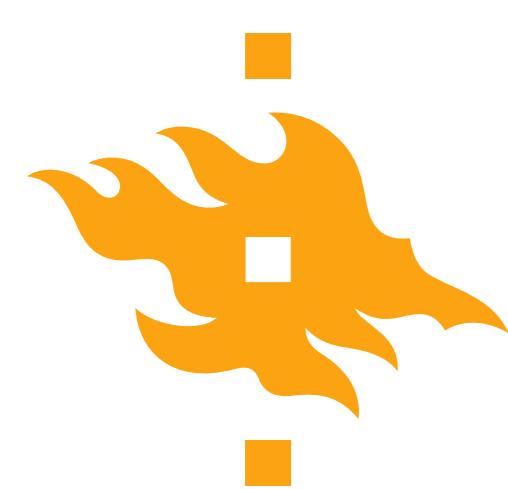


# Basic ideas of heat conduction

- The conduction of heat in solids is a **diffusion** process, and well described by **Fourier's laws**, the basic mathematical relationships describing **diffusion**
- Fourier's first law states that the flux of heat in a material  $q$  is directly proportional to the temperature gradient

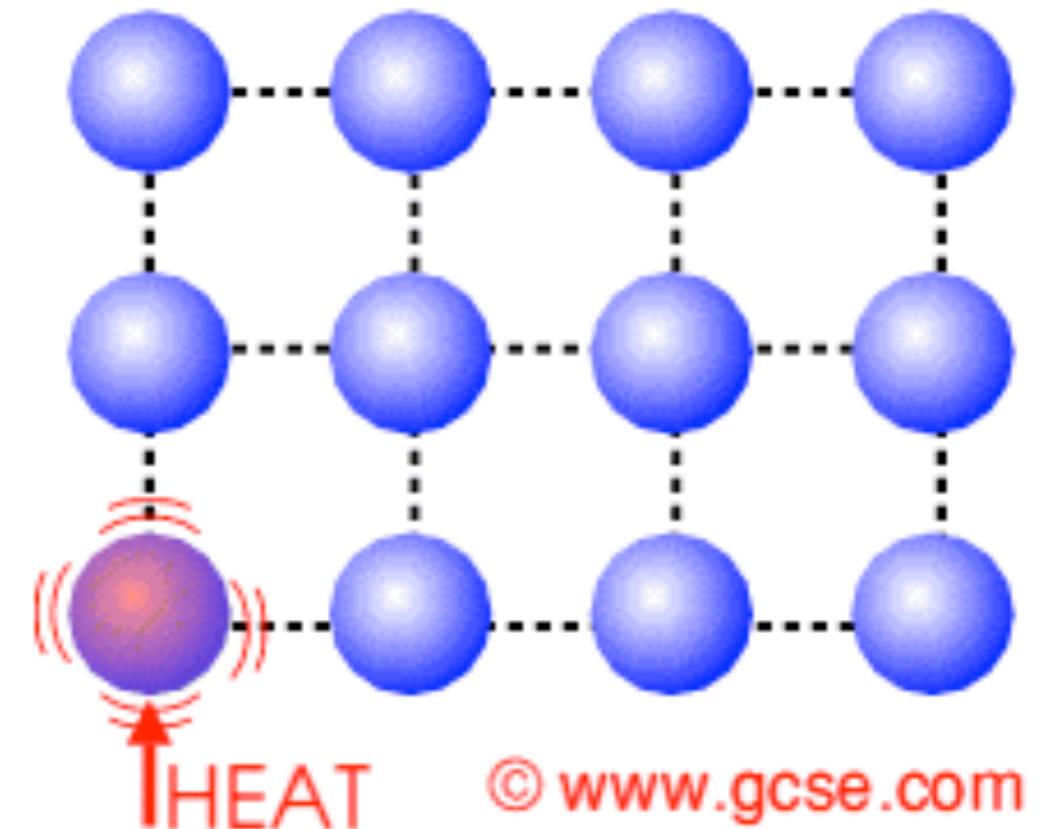


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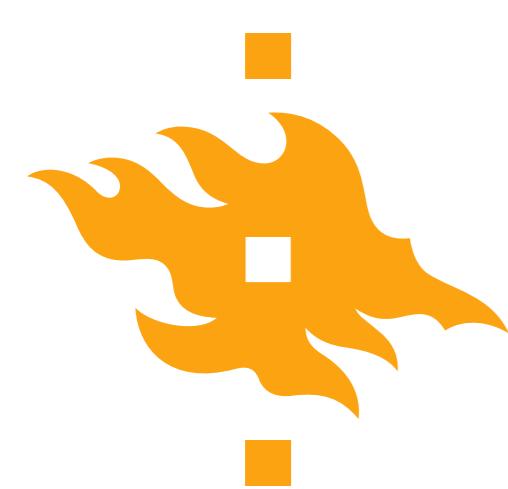


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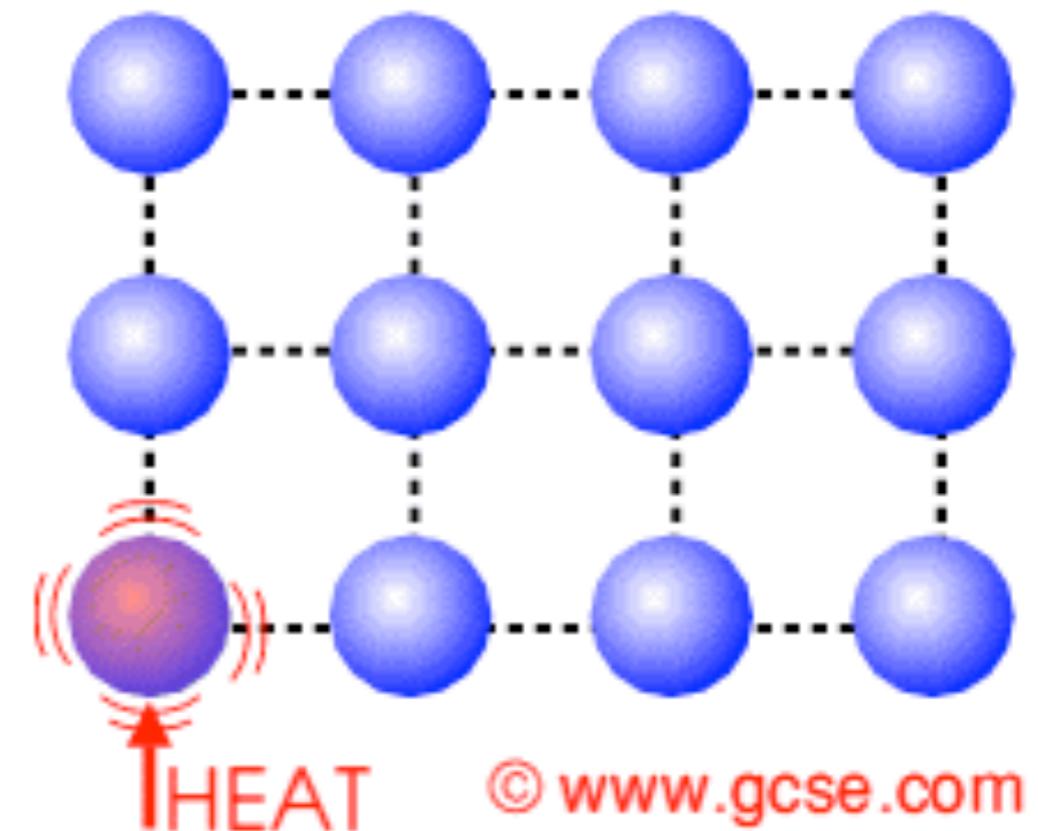


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- Fourier's first law states that the flux of heat in a material  $q$  is directly proportional to the temperature gradient
- What would this relationship look as an equation?

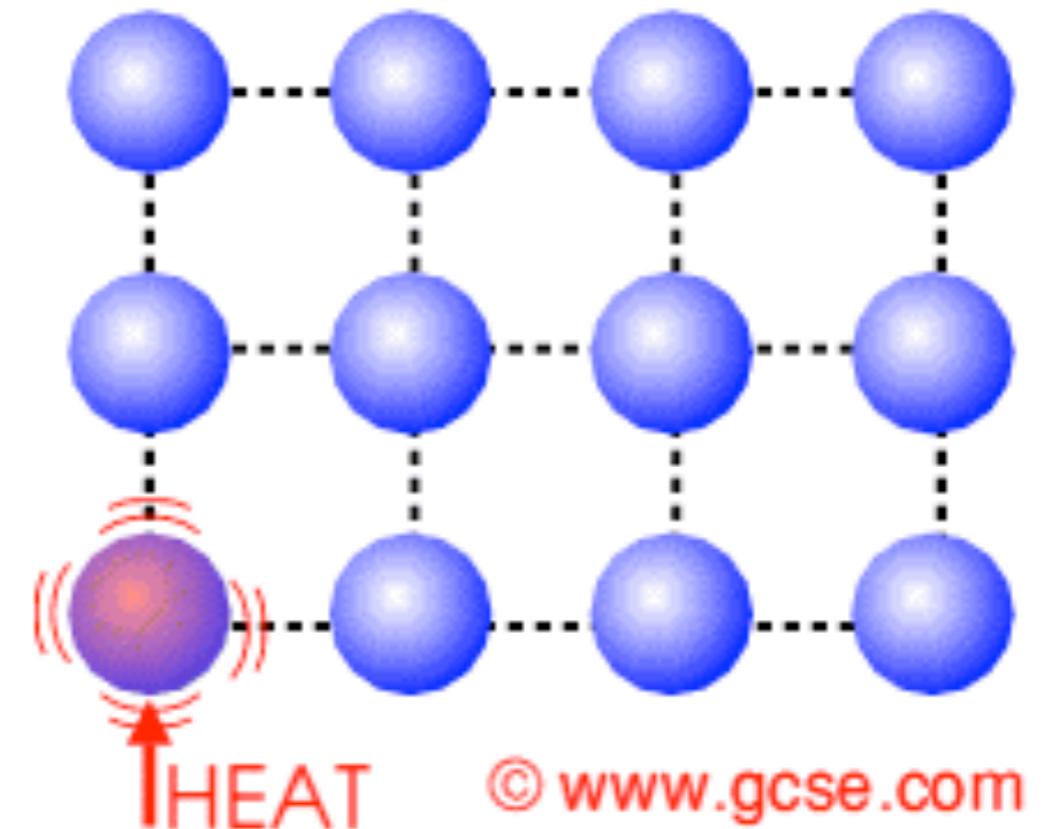


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# Fourier's first law

- In 1D, the mathematical translation of “Heat flux  $q$  is *directly* proportional to the thermal gradient in a material” is

$$q = -k \frac{dT}{dz}$$

- Here,  $T$  represents temperature and  $z$  represents spatial position, depth in the Earth for our example
- Thus,  $dT/dz$  is the change in temperature with depth, or the thermal gradient
- The proportionality constant  $k$  is known as the thermal conductivity

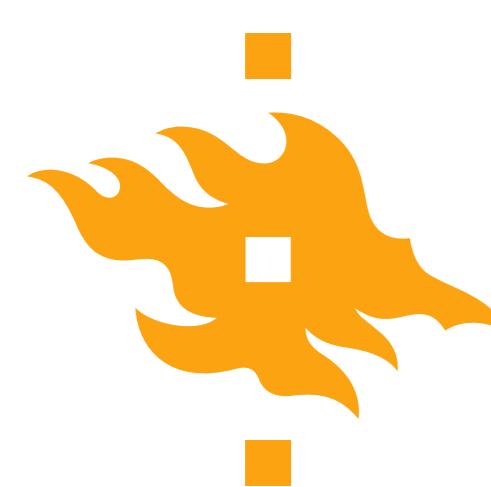


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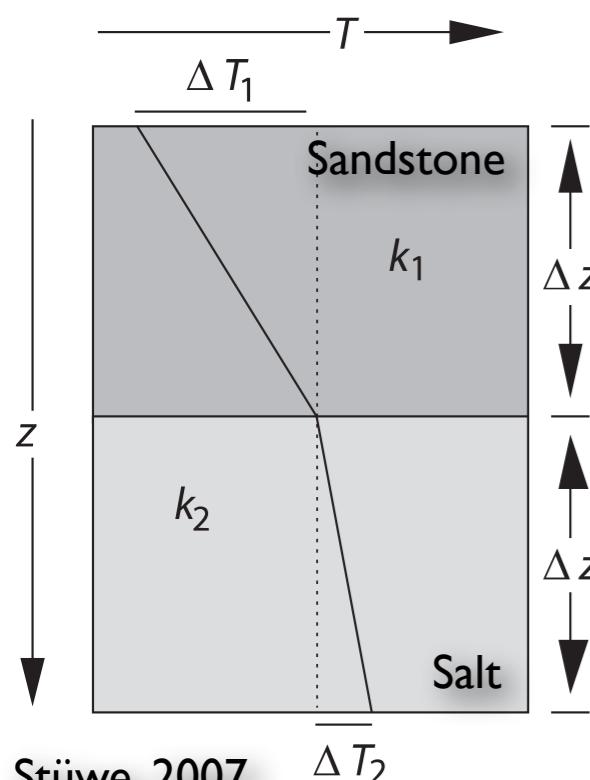
$$q = -k \frac{dT}{dz}$$

- Why is there a negative sign?



# What is thermal conductivity?

rock type	$k$
sandstone	1.5-4.2
gneiss	2.1-4.2
amphibolite	2.5-3.8
granite	2.4-3.8
ice	2.2
water	0.58
salt	5.4-7.2
iron	73



- The mathematical translation of “Heat flux  $q$  is directly proportional to the thermal gradient in a material” is
$$q = -k \frac{dT}{dz}$$
- Thermal conductivity is a proportionality factor
- As you can easily see, rocks with a “high” thermal conductivity will produce a large heat flow, whereas rocks with a “low” thermal conductivity will have very low heat flow
- Thermal conductivity of most crustal rocks is  $2\text{-}3 \text{ W m}^{-1} \text{ K}^{-1}$



# Heat transfer processes in the lithosphere

- **Conduction:** The diffusive transfer of heat by kinetic atomic or molecular interactions within the material. Also known as thermal diffusion.
- **Production:** Not really a heat transfer process, but rather a source of heat. Sources in the lithosphere include radioactive decay, friction in deforming rock or chemical reactions such as phase transitions.
- **Advection**



# What is heat production?

- Heat production in the Earth is precisely what it is called, heat generated by various processes, including
  - Radioactive isotope decay - **Radiogenic heat production**
  - Fault slip or shearing - **Mechanical heat production**
    - Also called shear heating or viscous dissipation
  - Metamorphic reactions - **Chemical heat production**



# Radiogenic heat production

- Radiogenic heat production,  $A$  or  $H$ , results from the decay of radioactive elements in the Earth, mainly  $^{238}\text{U}$ ,  $^{235}\text{U}$ ,  $^{232}\text{Th}$  and  $^{40}\text{K}$ .  $A$  is generally used for volumetric heat production and  $H$  for heat production by mass.

Rock Type	U (ppm)	Th (ppm)	Concentration K (%)
Reference undepleted (fertile) mantle	0.031	0.124	0.031
“Depleted” peridotites	0.001	0.004	0.003
Tholeiitic basalt	0.07	0.19	0.088
Granite	4.7	20	4.2
Shale	3.7	12	2.7
Average continental crust	1.42	5.6	1.43
Chondritic meteorites	0.008	0.029	0.056



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- These elements occur in the mantle, but are concentrated in the crust, where radiogenic heating can be significant
- The surface heat flow in continental regions is  $\sim 65 \text{ mW m}^{-2}$  and  $\sim 37 \text{ mW m}^{-2}$  is from radiogenic heat production (57%)

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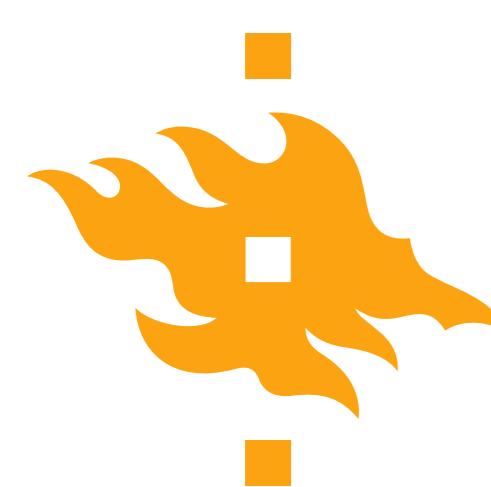


# Radiogenic heat production

Rock type	Heat production		
	By mass, $H$ [W kg <sup>-1</sup> ]	By volume, $A$ [W m <sup>-3</sup> ]	By volume, $A$ [μW m <sup>-3</sup> ]
Reference undepleted (fertile) mantle	7.39E-12	2.44E-08	0.024
"Depleted" peridotites	3.08E-13	1.02E-09	0.001
Tholeiitic basalt	1.49E-11	4.41E-08	0.044
Granite	1.14E-09	3.01E-06	<b>3.008</b>
Shale	7.74E-10	1.86E-06	<b>1.857</b>
Average continental crust	3.37E-10	9.26E-07	<b>0.927</b>
Chondritic meteorites	3.50E-12	1.15E-08	0.012

Calculated from Turcotte and Schubert, 2014

- Typical heat production values for upper crustal rocks are  $2\text{-}3 \mu\text{W m}^{-3}$ , but the crustal average is  $<1 \mu\text{W m}^{-3}$



# ID steady-state heat conduction + production

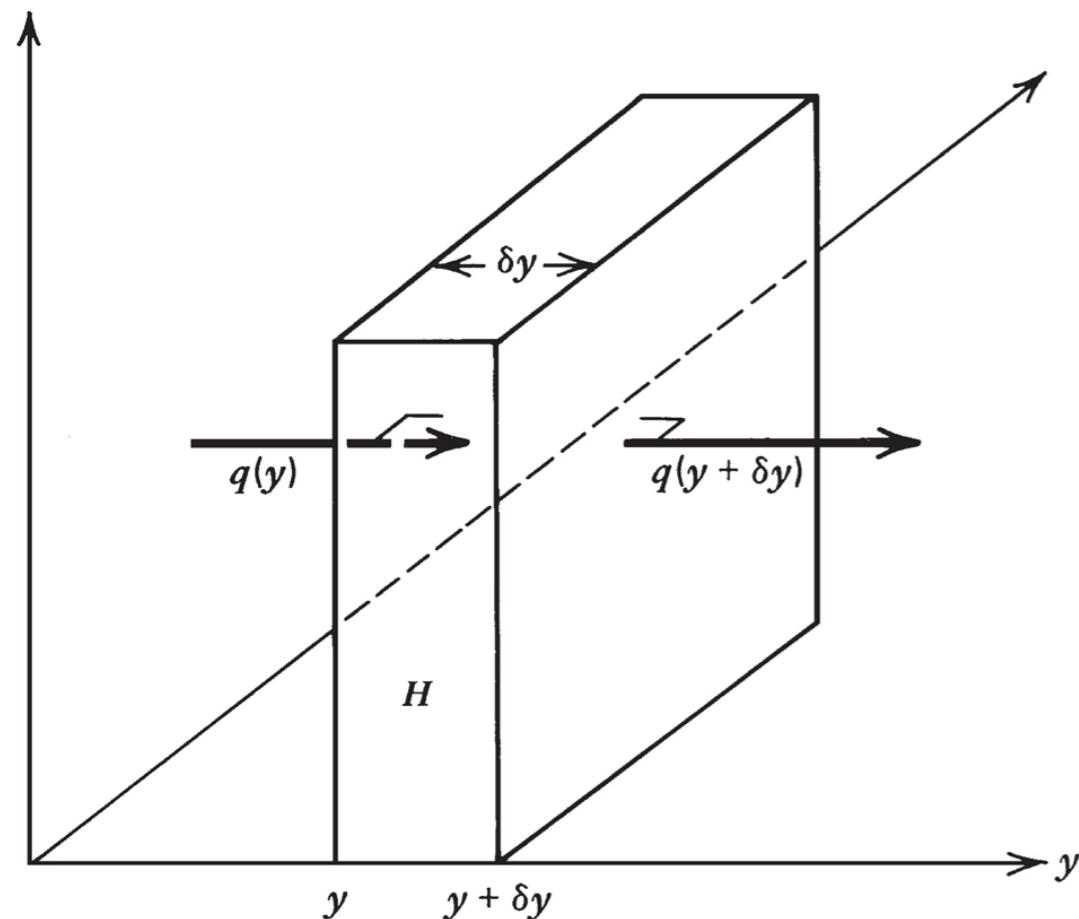
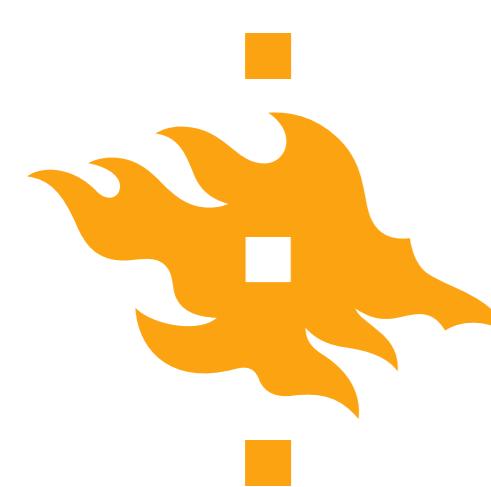


Fig. 4.5, Turcotte and Schubert, 2014

- Consider the heat flux across a slab of thickness  $\delta z$
- The net heat flux is simply the heat flux out minus the heat flux in, or
$$q(z + \delta z) - q(z)$$
- Using Taylor series expansion and Fourier's first law, it can be shown that

$$\begin{aligned} q(z + \delta z) - q(z) &= \delta z \frac{dq}{dz} = \delta z \frac{d}{dz} \left[ -k \left( \frac{dT}{dz} \right) \right] \\ &= \delta z \left[ -k \left( \frac{d^2 T}{dz^2} \right) \right] \end{aligned}$$

for constant thermal conductivity  $k$



# ID steady-state heat conduction + production

- If we assume the only source of changing the heat flux in the slab is radiogenic heat, we can say

$$\rho H \delta z = \delta z \left[ -k \left( \frac{d^2 T}{dz^2} \right) \right]$$

or

$$k \frac{d^2 T}{dz^2} + \rho H = 0$$

where  $\rho$  is rock density

- This is the **steady-state** heat conduction equation including heat production in ID
- Steady state is the same as time independent, meaning the solution does not change with time

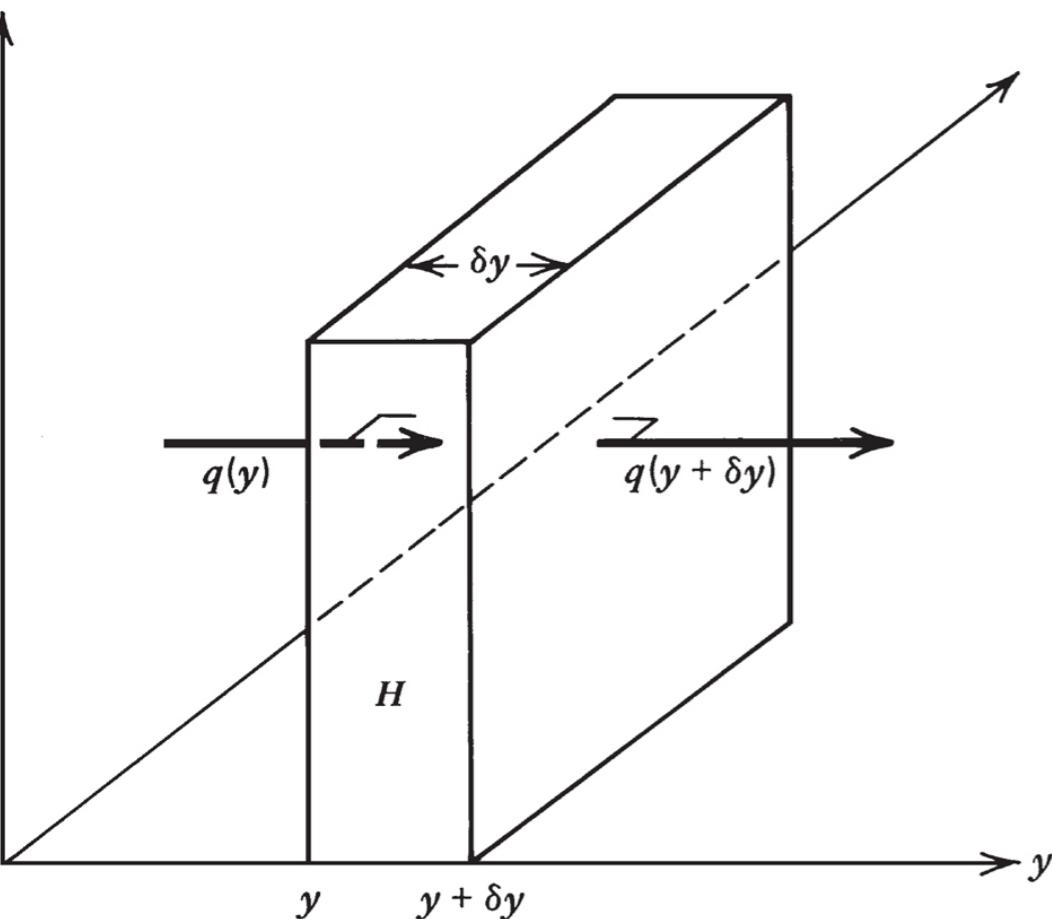
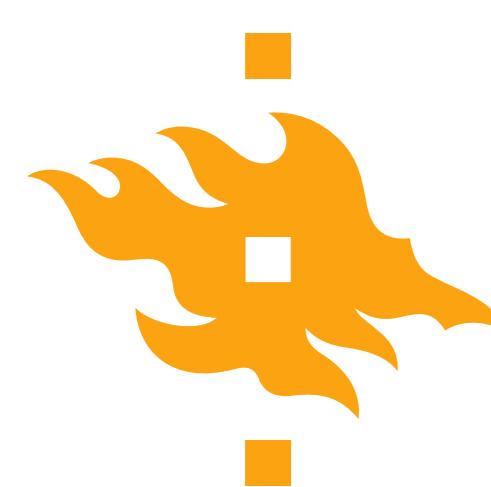


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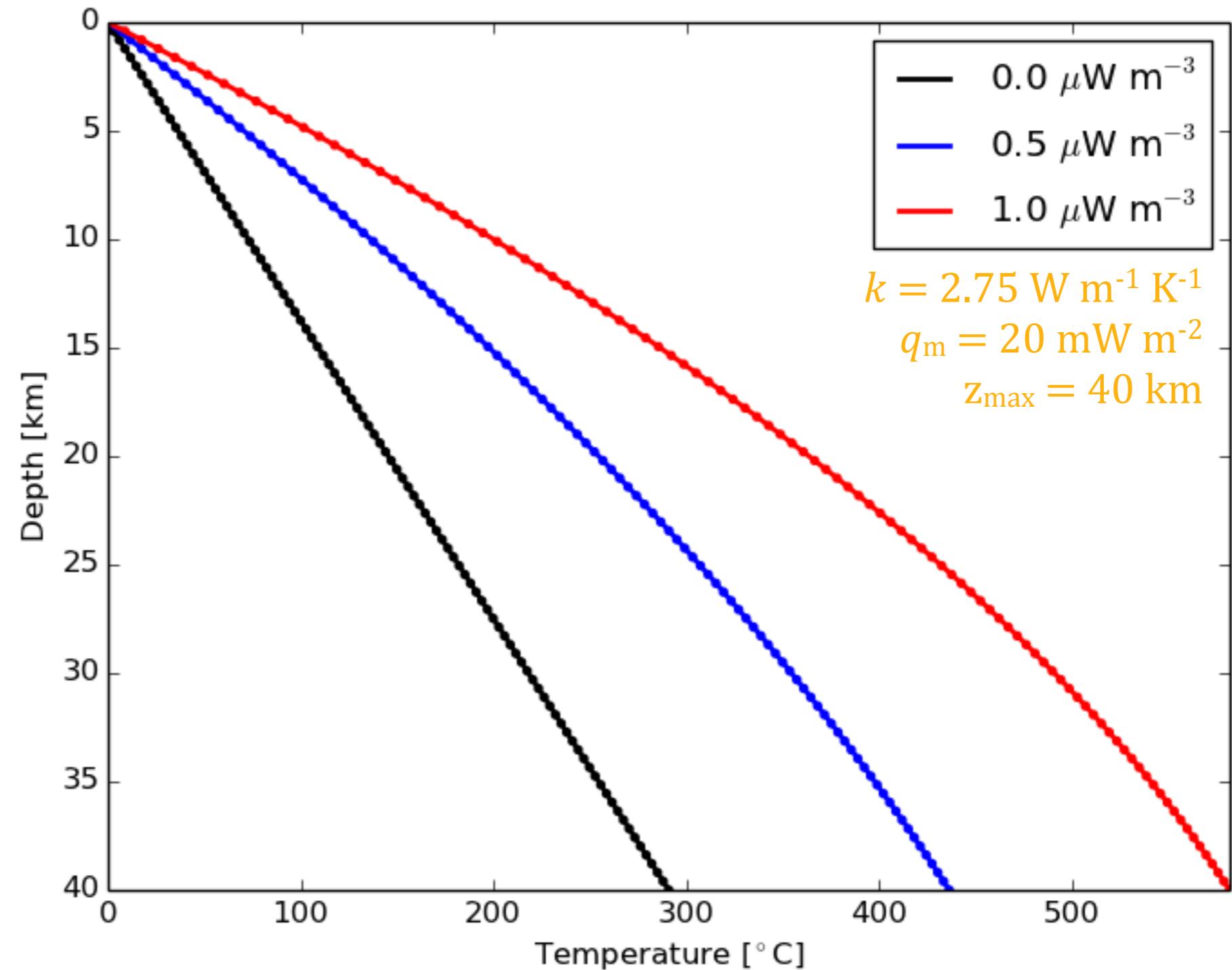
With a solution to this equation, we can plot a **geotherm**, or temperature with depth

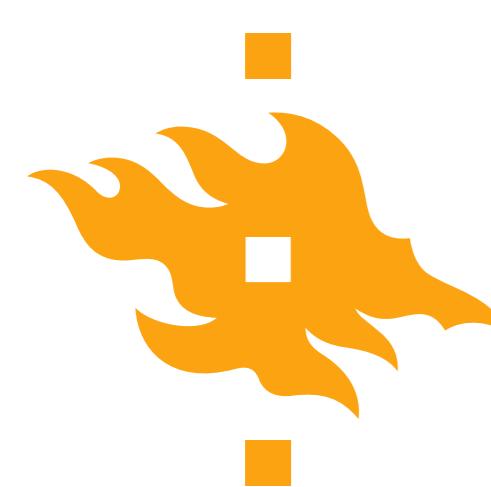


# Geotherms in the continental crust

With a 40-km-thick crust,  
you can see that heat  
production can  
increase the Moho  
temperature considerably

Note, that this model has a  
constant heat flow basal  
boundary condition



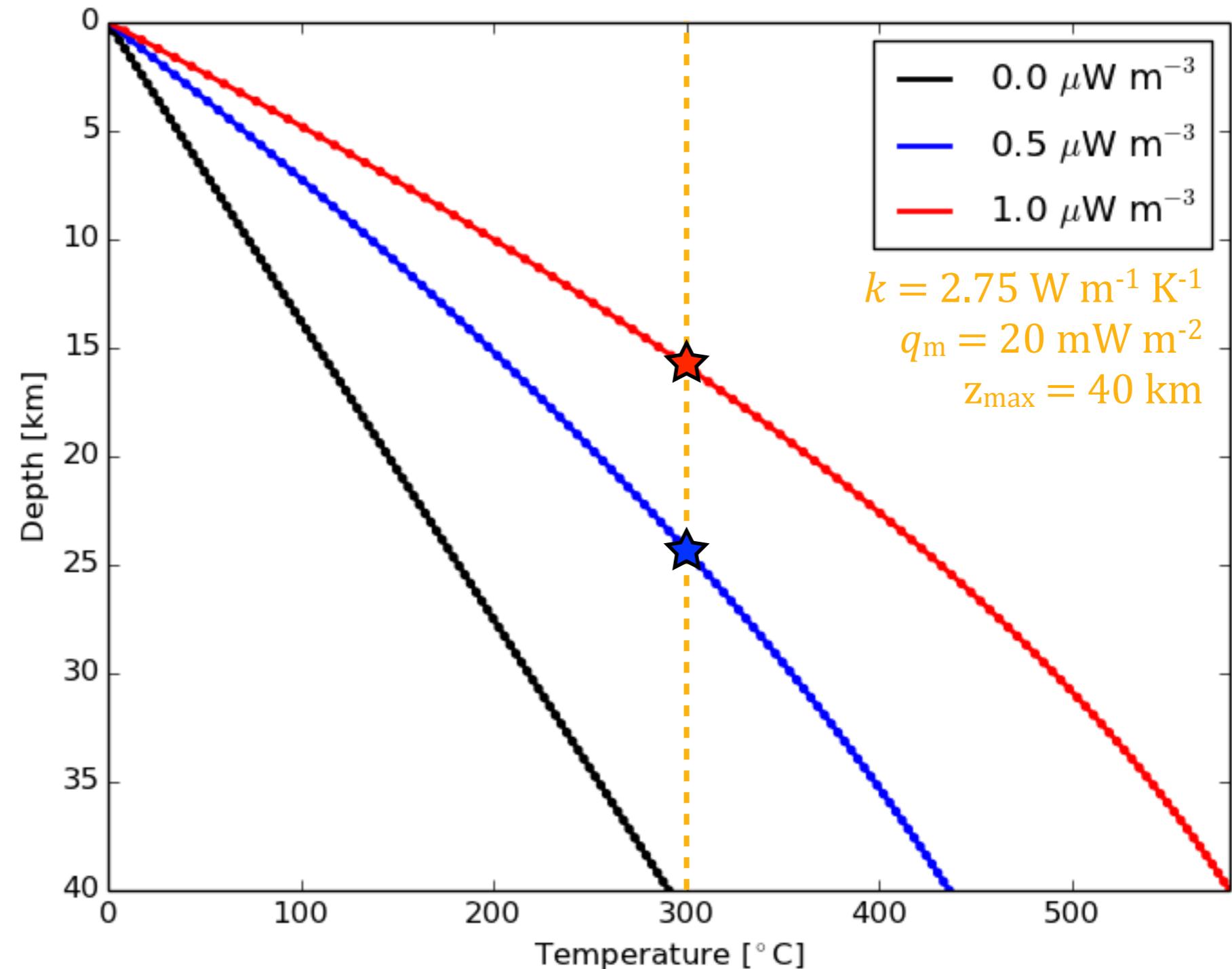


# Geotherms in the continental crust

## Why might this matter?

The approximate depth at which crustal rocks transition from brittle deformation to ductile deformation corresponds roughly to the 300°C isotherm in the crust

Based on the models here, that depth could vary from ~15-40 km (!), which has serious implications for how the crust deforms

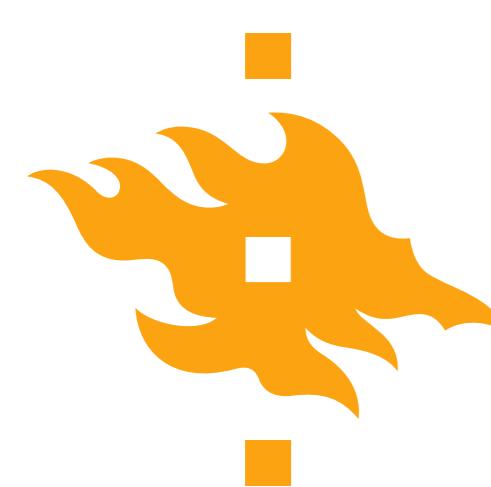




# Distribution of heat producing elements

Rock type	Heat production		
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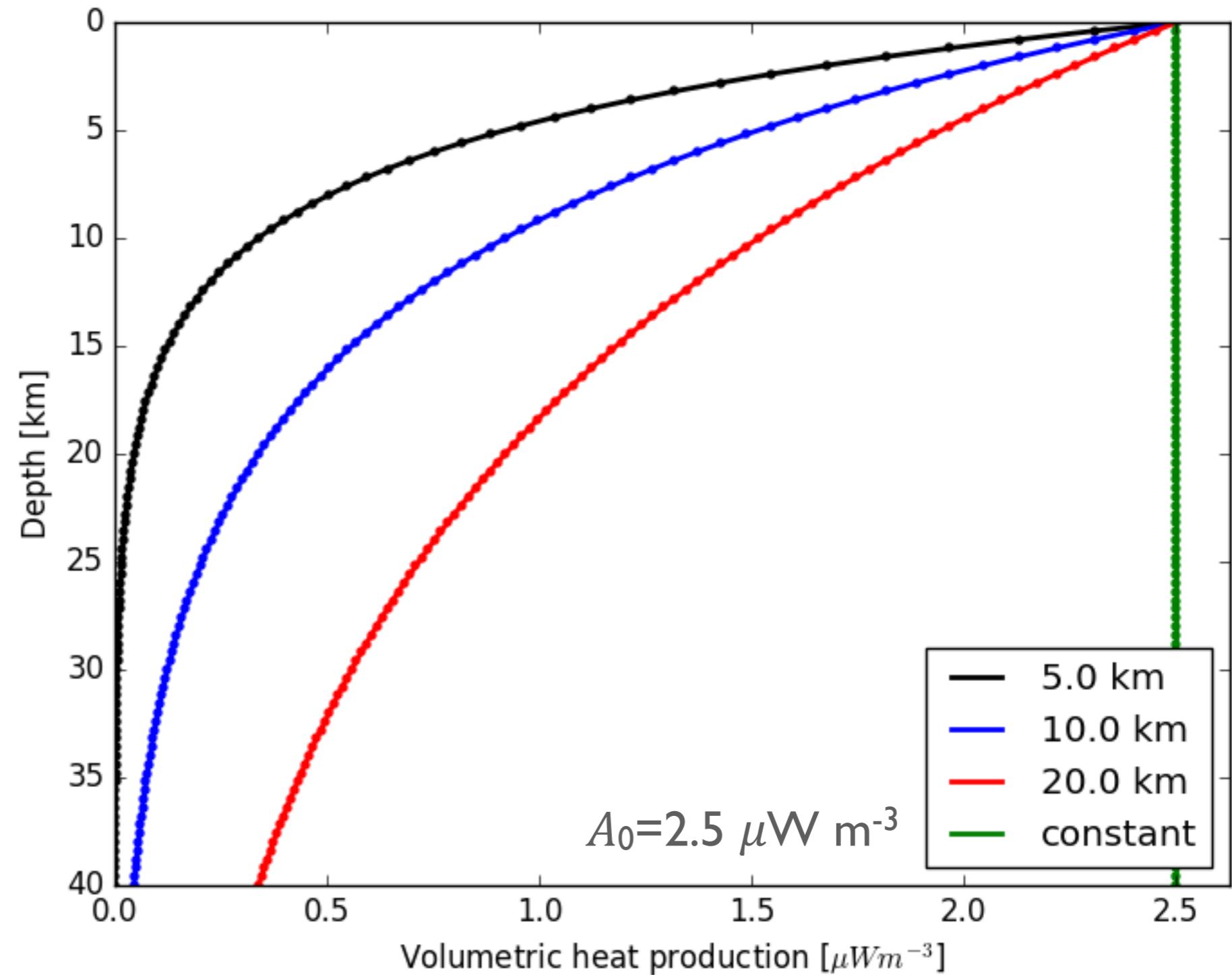
- As we've seen, however, the concentration of heat-producing elements is not constant in the crust, but decreases with depth



# Exponential decay of heat production

Heat production is commonly modelled as decreasing exponentially with depth, with the rate of exponential set by the **e-folding depth**, the depth at which the concentration decreases by  $1/e$  from the concentration at the Earth's surface

Note:  $e = 2.71828182846$

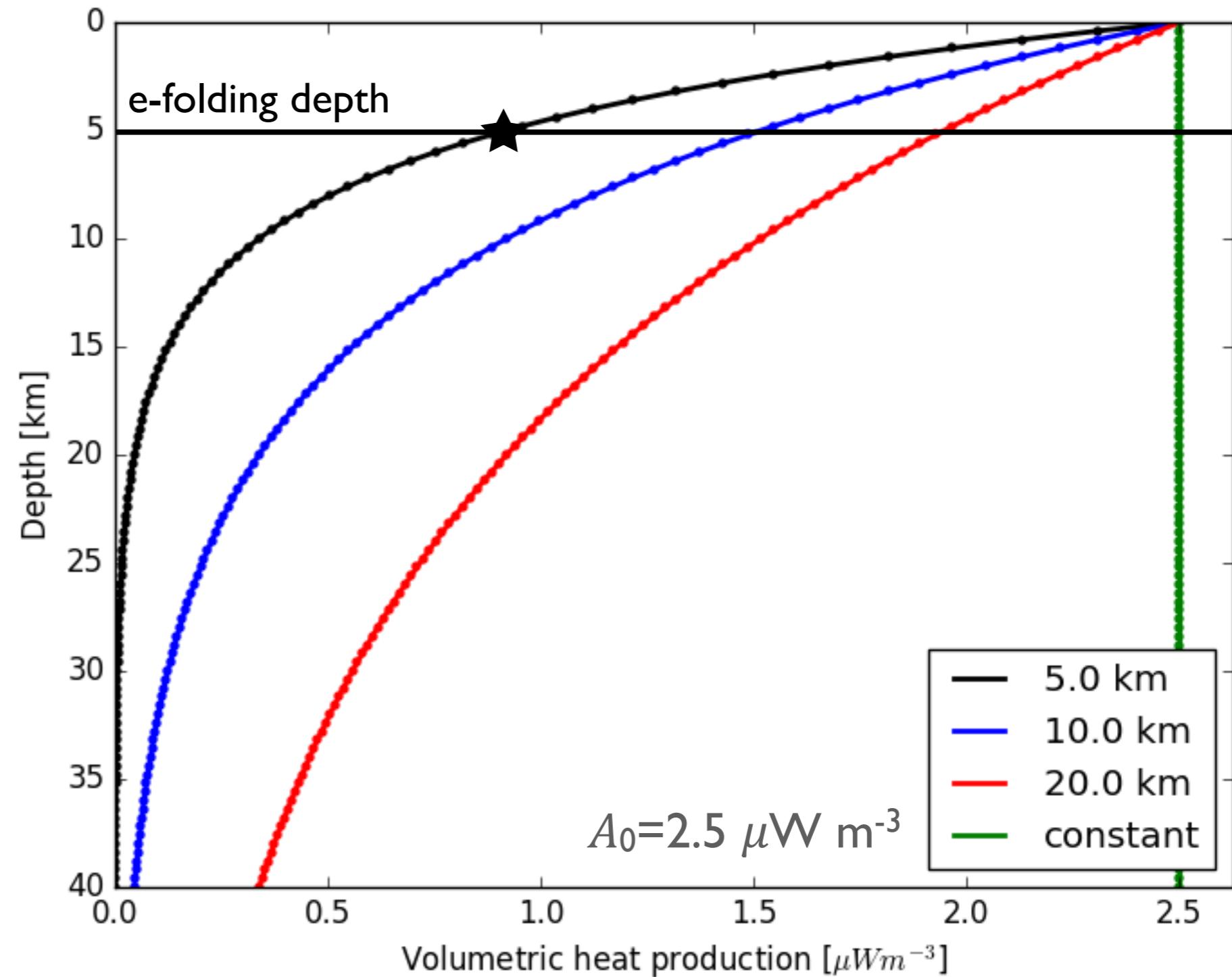




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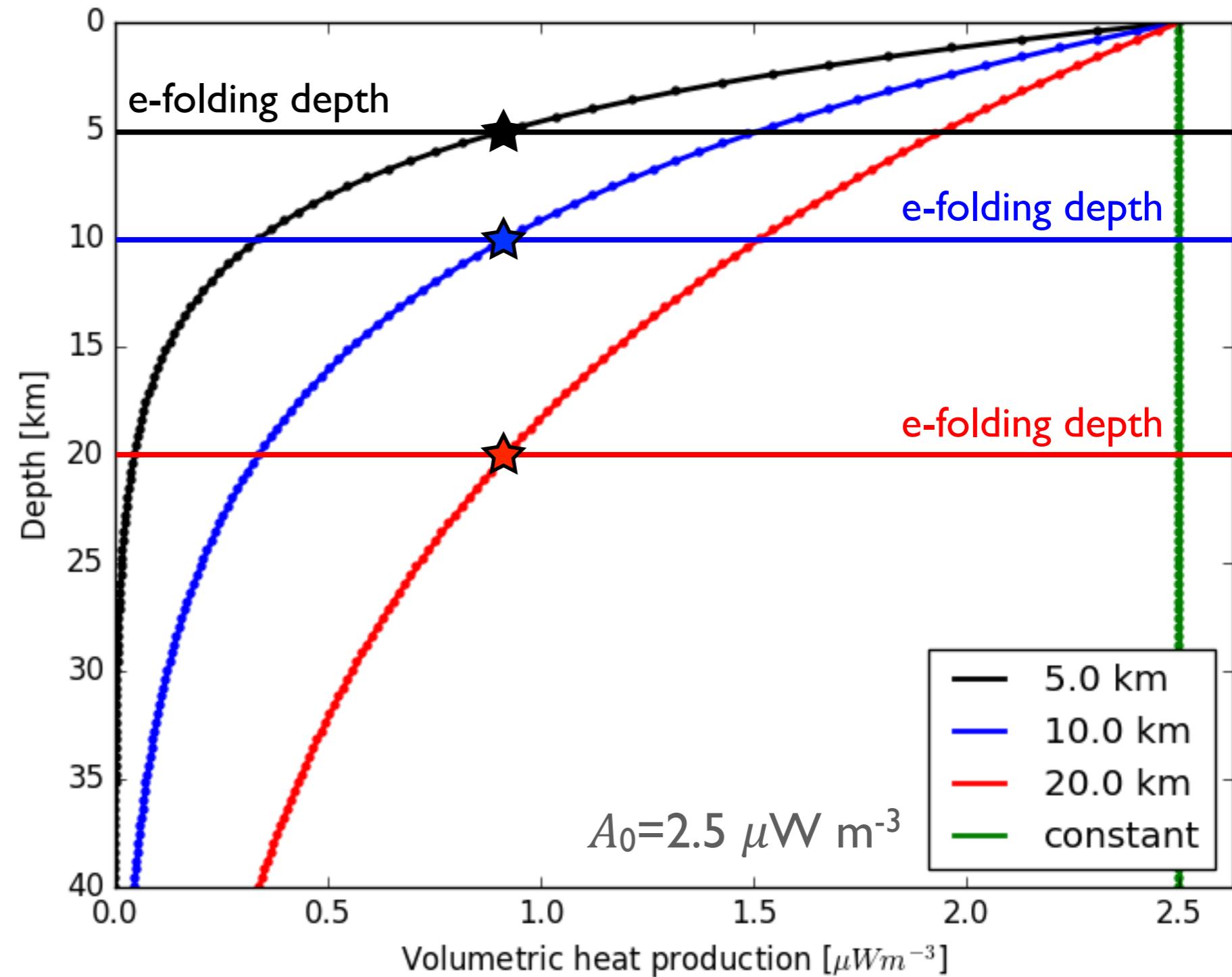




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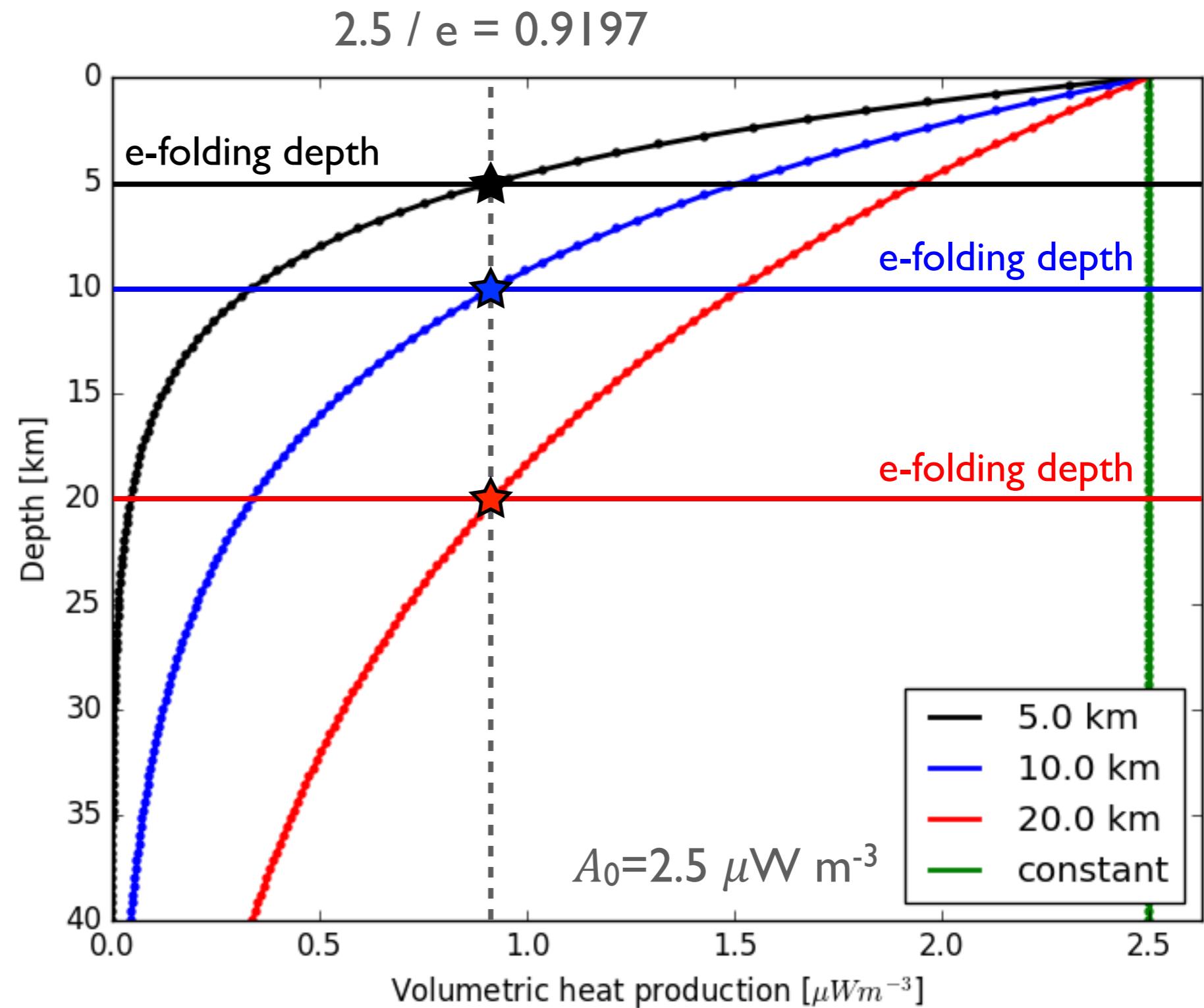




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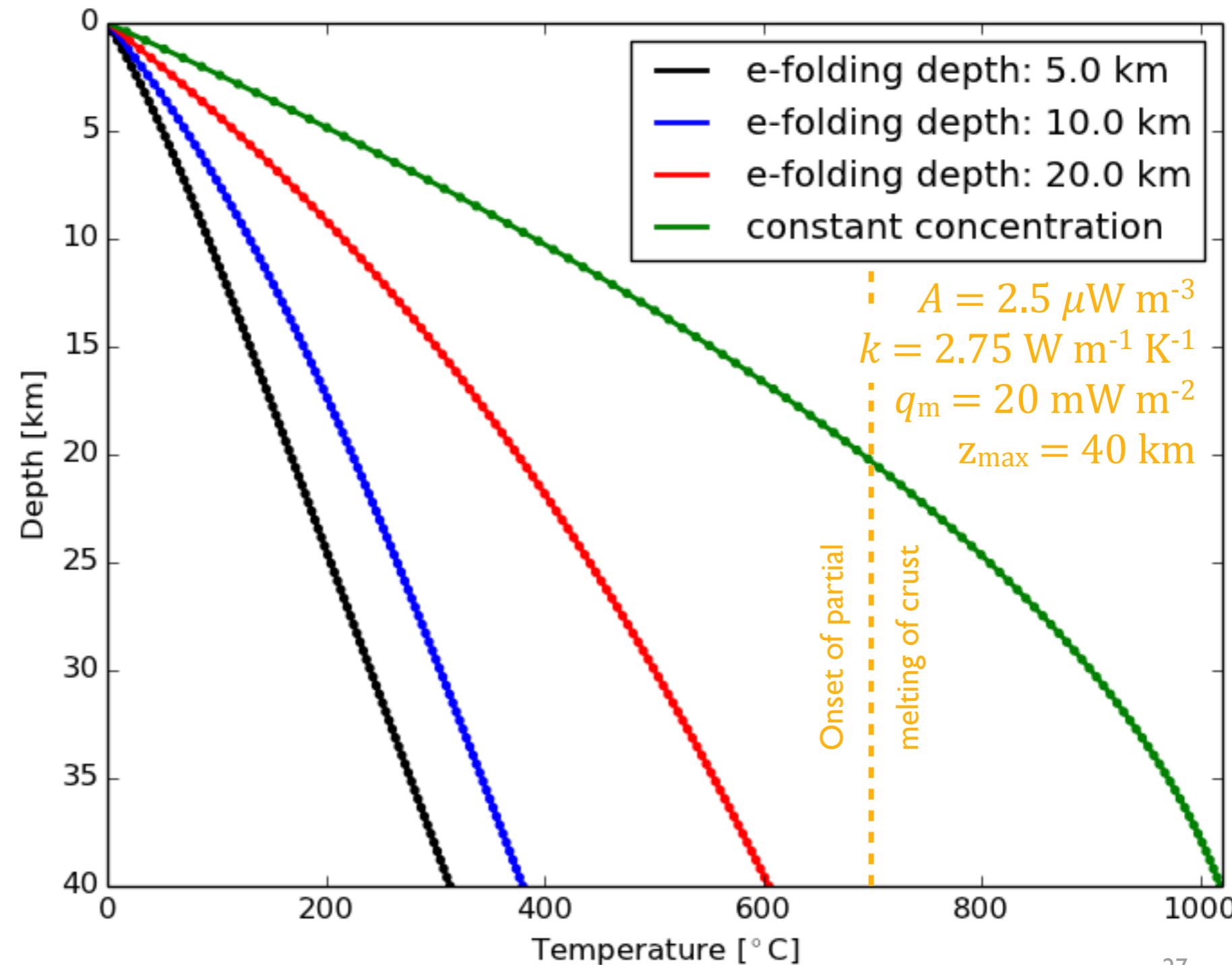




# Geotherms in the continental crust

As before, changing the concentration of heat-producing elements shows us something important about how we might expect the crust to deform

Here, we might predict partial (to complete) melting of the crust and very weak rocks if we don't consider decreasing heat production



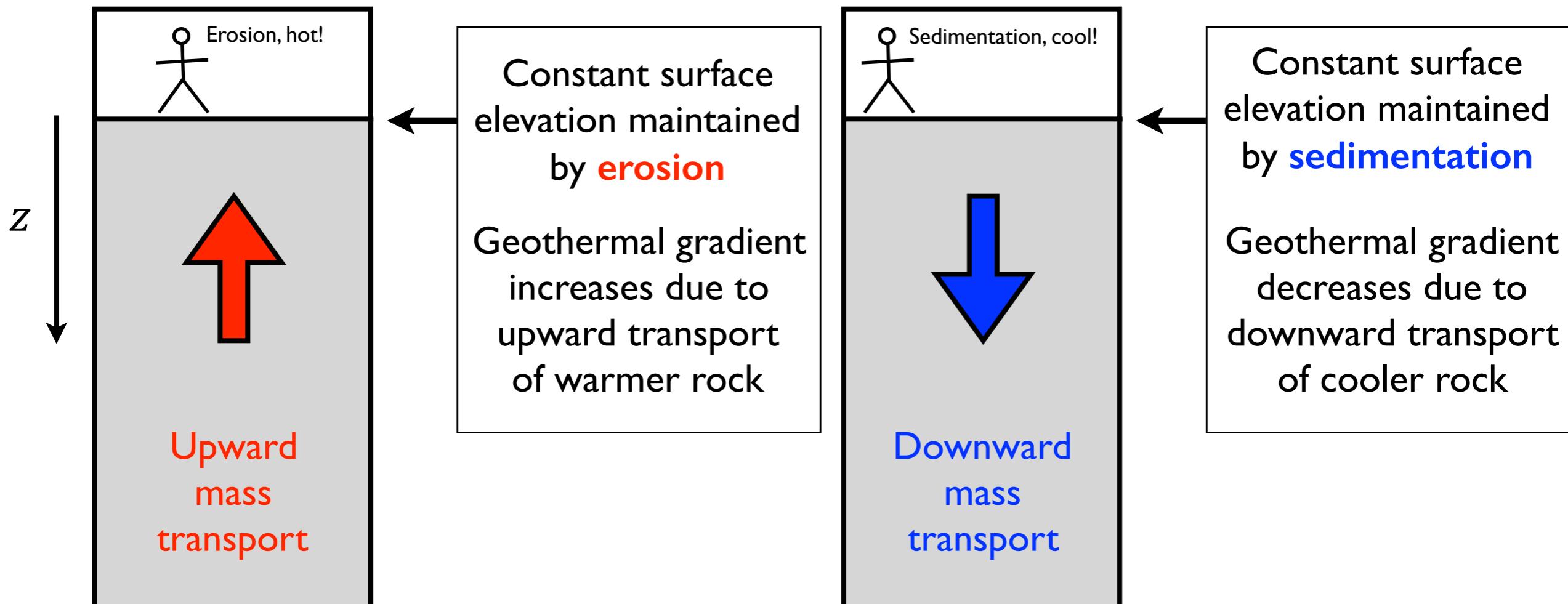


# Heat transfer processes in the lithosphere

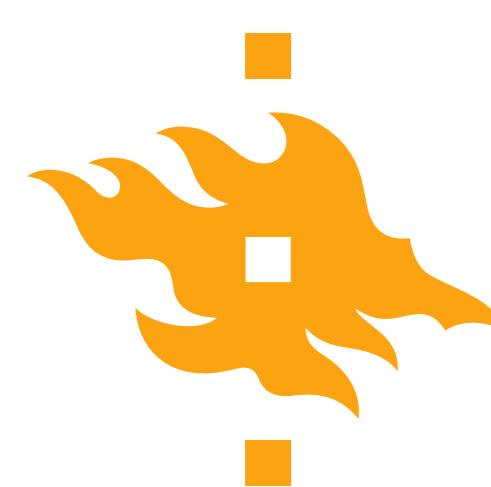
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- **Production:** Not really a heat transfer process, but rather a source of heat. Sources in the lithosphere include radioactive decay, friction in deforming rock or chemical reactions such as phase transitions.
- **Advection:** The transfer of heat by physical movement of molecules or atoms within a material. A type of convection, mostly applied to heat transfer in solid materials.



# Advection by movement of solid rock



- Advection of heat by movement of solid rock is common
  - Both **erosion (upward advection of rock)** and **sedimentation (downward advection of rock)** can significantly modify the thermal field in the crust



# Mathematical description of advection

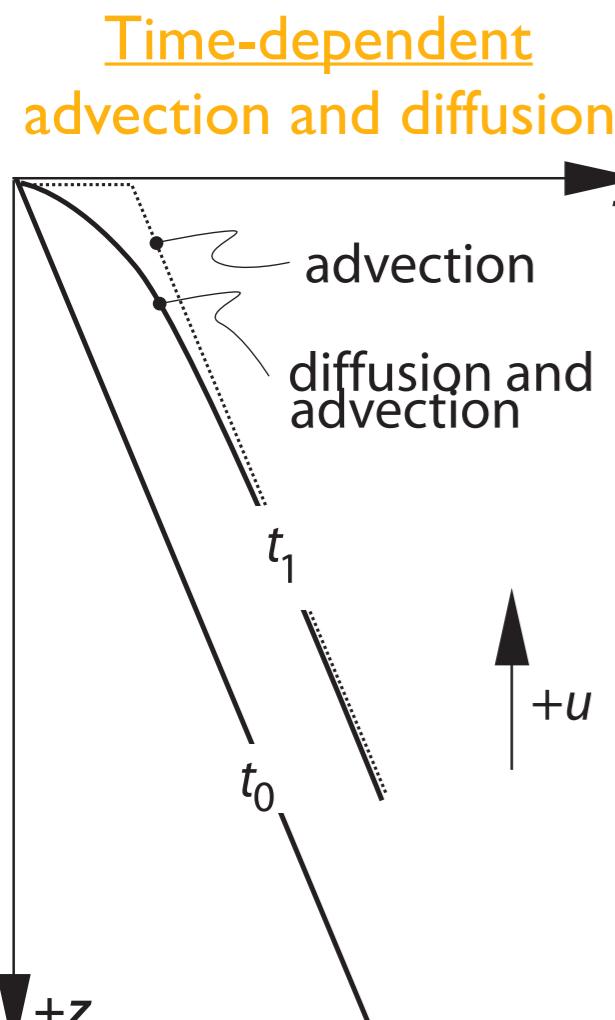


Fig. 3.13, Stüwe, 2007

- Advection in the vertical direction at velocity  $v_z$  at steady state can be represented mathematically as

$$v_z \frac{\partial T}{\partial z} = 0$$

- If we ignore heat production, we can combine the equation above with the 1D heat conduction equation to get the **1D advection-diffusion equation**

$$\frac{\kappa}{\text{Diffusion}} \frac{\partial^2 T}{\partial z^2} + \frac{v_z}{\text{Advection}} \frac{\partial T}{\partial z} = 0$$

where  $\kappa$  is a material property called the **thermal diffusivity**, which is the thermal conductivity  $k$  divided by the material density  $\rho$  and heat capacity  $c_p$

$$\kappa = k / \rho c_p$$

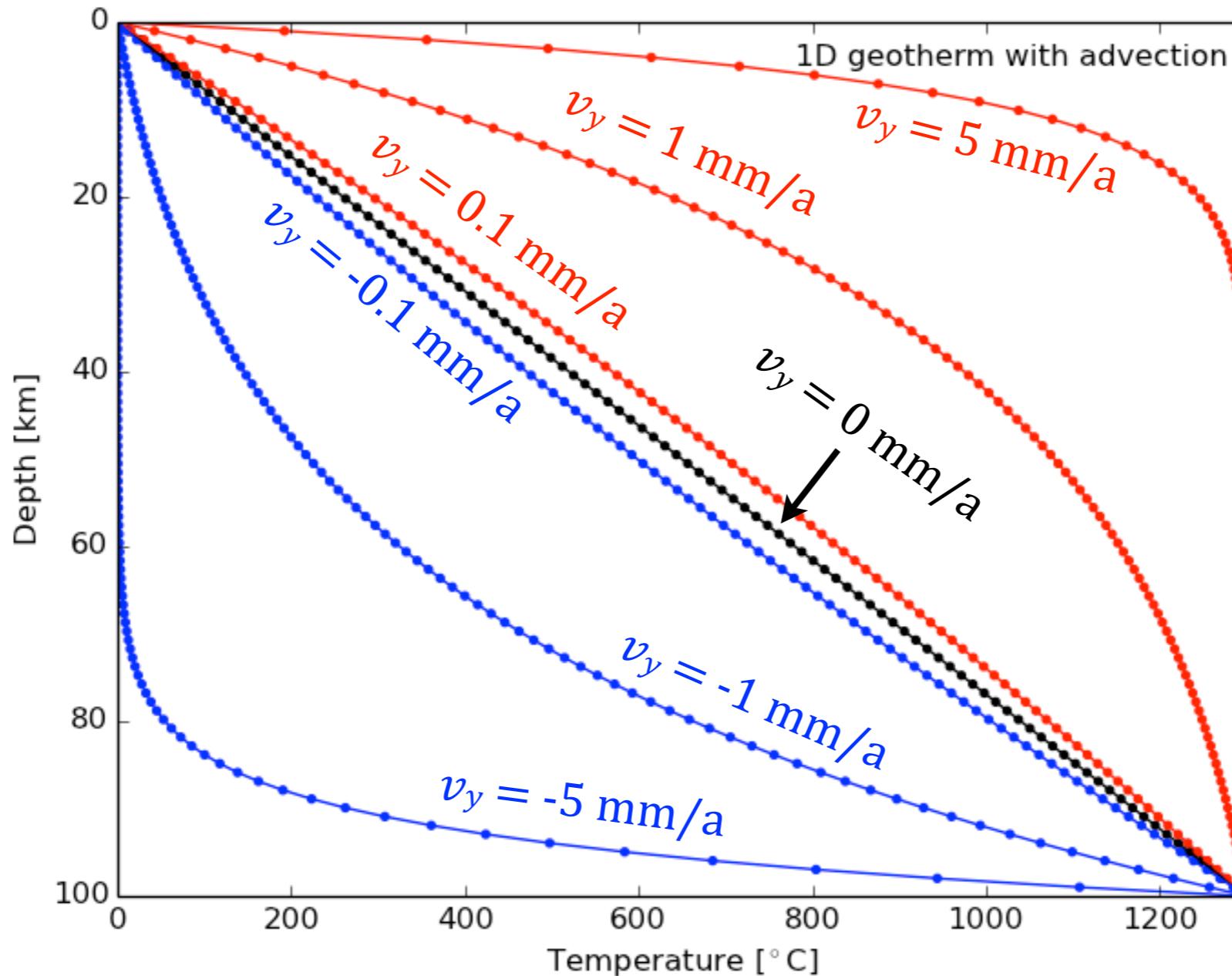


# When does advection matter?

- Advection is particularly relevant in two geodynamic situations
  - Advection by **movement of solid rock**
    - Example: Erosion, faulting
  - Advection by **fluid circulation**
    - Example: Groundwater flow



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# Time dependent heat transfer

- Thus far, we have considered heat conduction and heat production in a **steady state**, meaning the temperature equations did not depend on time
- For example, we saw the 1D steady-state heat conduction equation with heat production

$$k \frac{d^2T}{dz^2} + \rho H = 0$$

which clearly does not depend on time (no  $t$  or derivatives with respect to  $t$  in the equation)



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- For example, we saw the 1D steady-state heat conduction equation with heat production
$$k \frac{d^2T}{dz^2} + \rho H = 0$$
which clearly does not depend on time (no  $t$  or derivatives with respect to  $t$  in the equation)
- In some geologic scenarios, the assumption of steady state is reasonable, but many others require consideration of their time evolution
  - For example, problems involving **emplACEMENT OF magma** require time to consider cooling of the magma body



# Time dependent heat transfer

- In steady state, the 1D heat conduction equation with heat production is

$$0 = k \frac{d^2T}{dz^2} + \rho H$$

where  $k$  is thermal conductivity,  $\rho$  is density and  $H$  is heat production by mass



# Time dependent heat transfer

- In steady state, the 1D heat conduction equation with heat production is

$$0 = k \frac{d^2T}{dz^2} + \rho H$$

where  $k$  is thermal conductivity,  $\rho$  is density and  $H$  is heat production by mass

- The time-dependent equivalent equation for heat conduction with production is

$$\rho c \frac{\partial T}{\partial t} = k \frac{\partial^2 T}{\partial z^2} + \rho H$$

where  $c$  is heat capacity, with typical values of 800-1000 J kg<sup>-1</sup> K<sup>-1</sup> for rock



# Time dependent heat transfer

- If we ignore heat production, the equation simplifies to

$$\rho c \frac{\partial T}{\partial t} = k \frac{\partial^2 T}{\partial z^2}$$

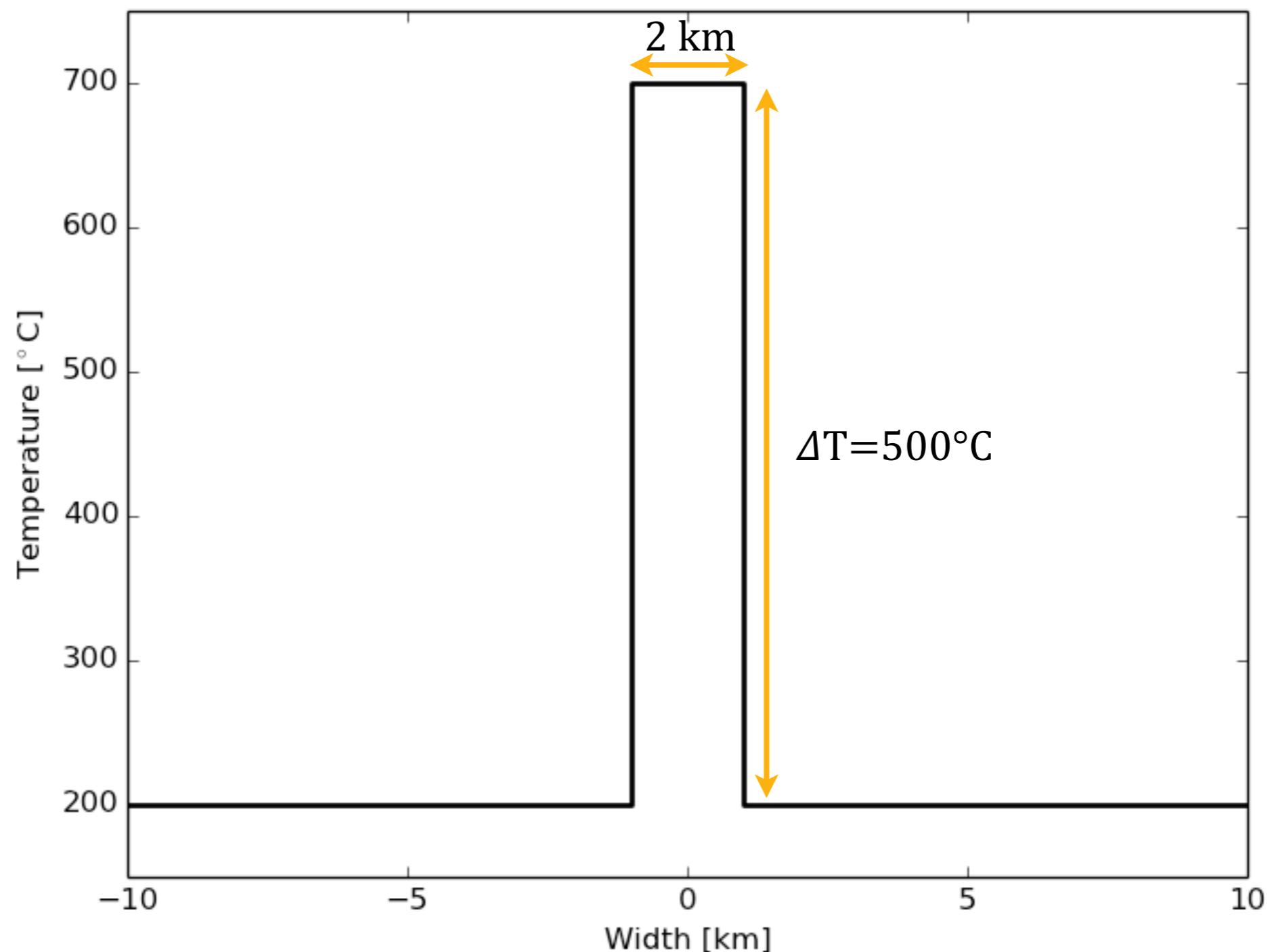
and if we divide both sides by  $\rho c$  then we find a typical form of the time-dependent heat conduction equation in 1D

$$\frac{\partial T}{\partial t} = \kappa \frac{\partial^2 T}{\partial z^2}$$

where  $\kappa$  is the thermal diffusivity with a typical value of  $10^{-6} \text{ m}^2 \text{ s}^{-1}$



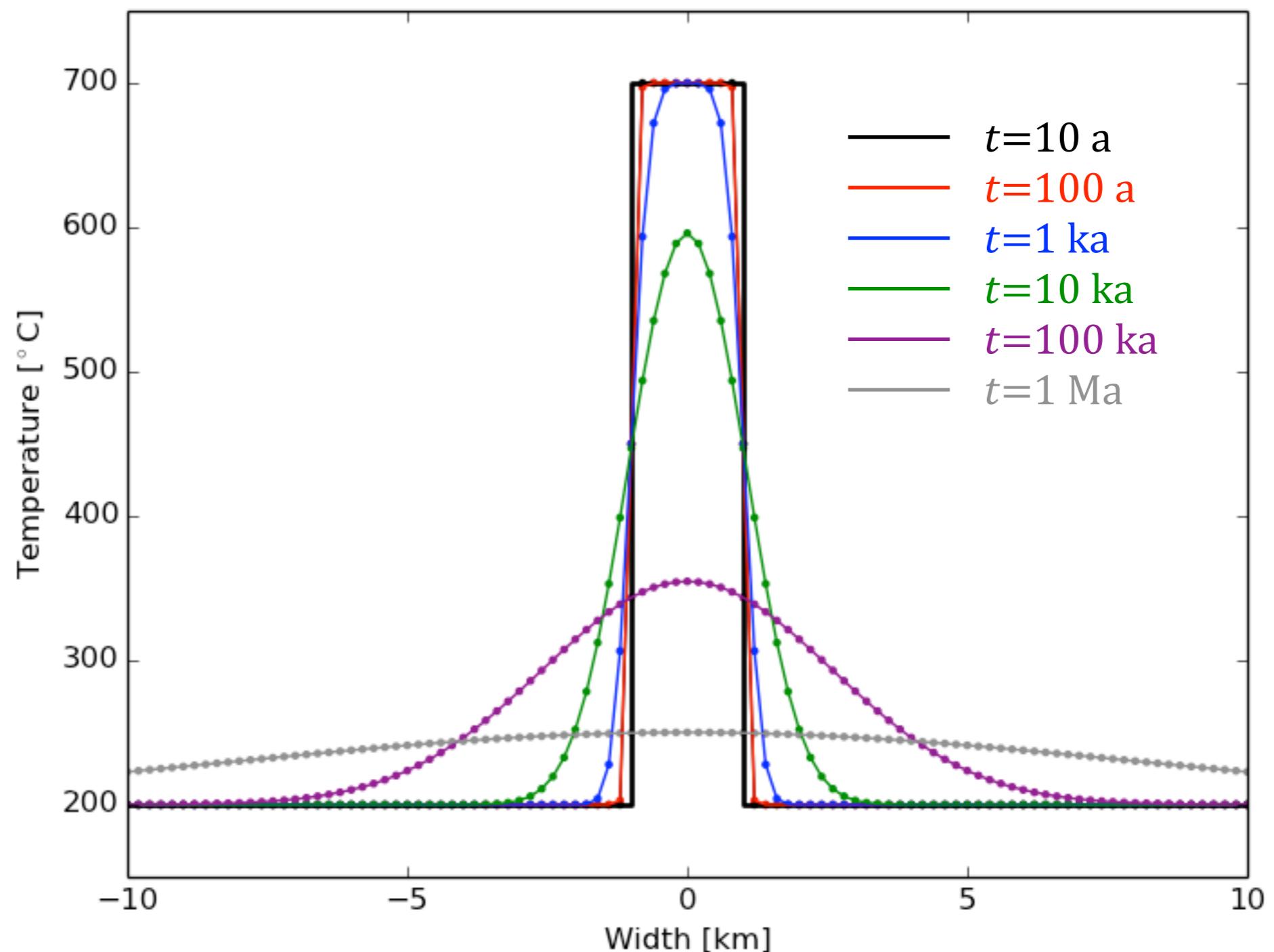
# Thermal evolution of a 1D intrusion



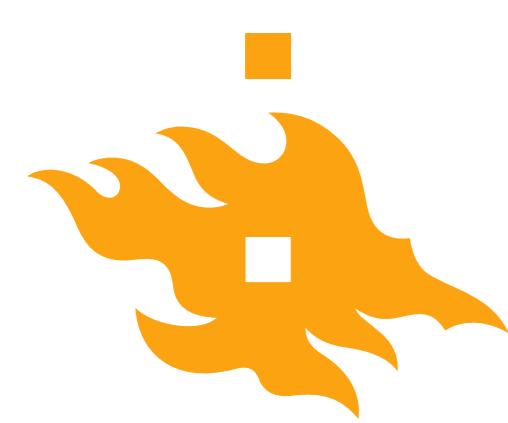
- The intrusion is one-dimensional with a defined thickness and infinite length and depth



# Thermal evolution of a 1D intrusion



- The intrusion **slowly cools over time**, in this case ignoring the heat released during solidification of the body



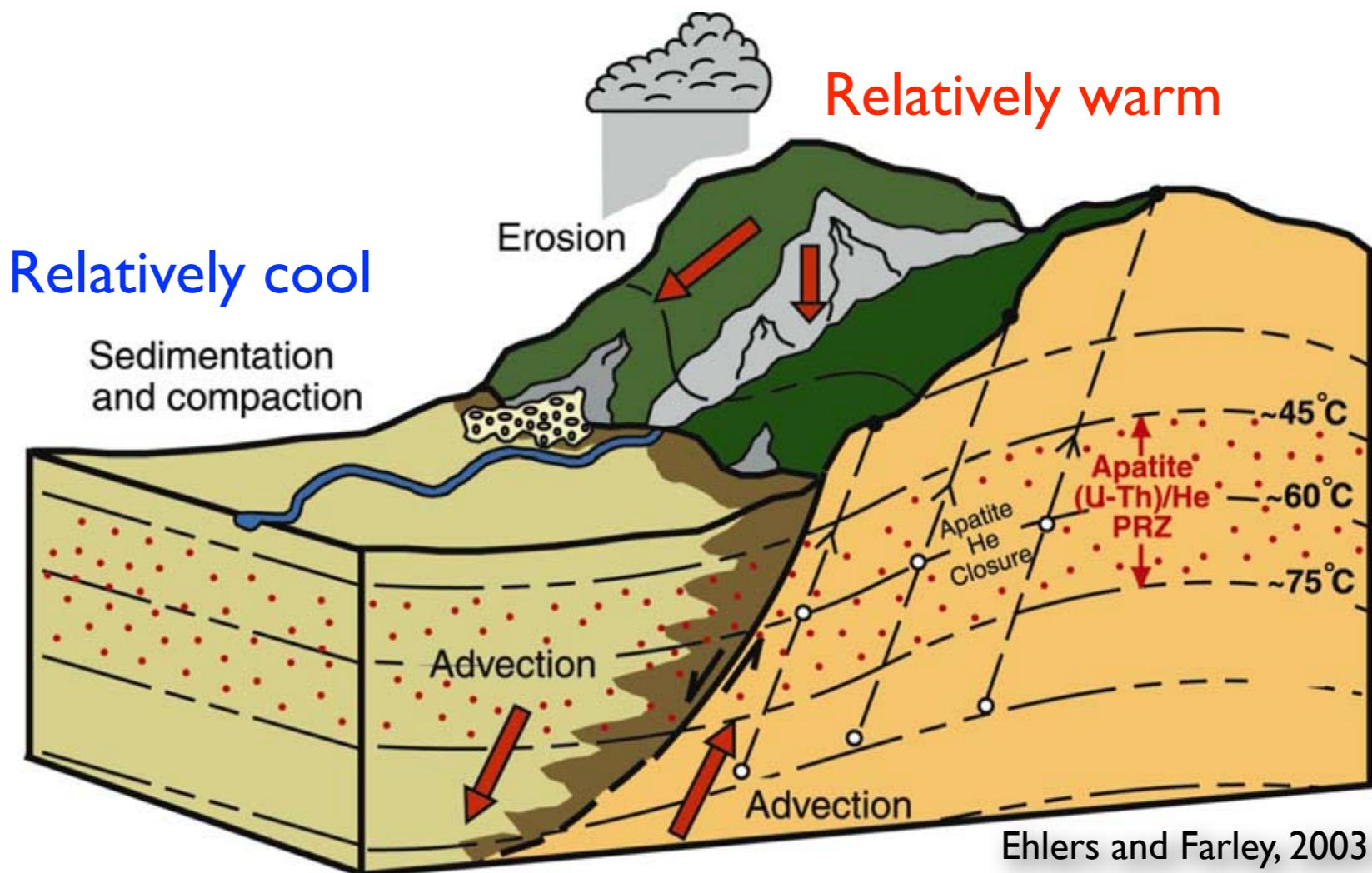
# Thermal structure of active tectonic areas



- Active tectonic areas
  - Mountainous active orogens and foreland basins in extensional and contractional settings
  - Subduction zones



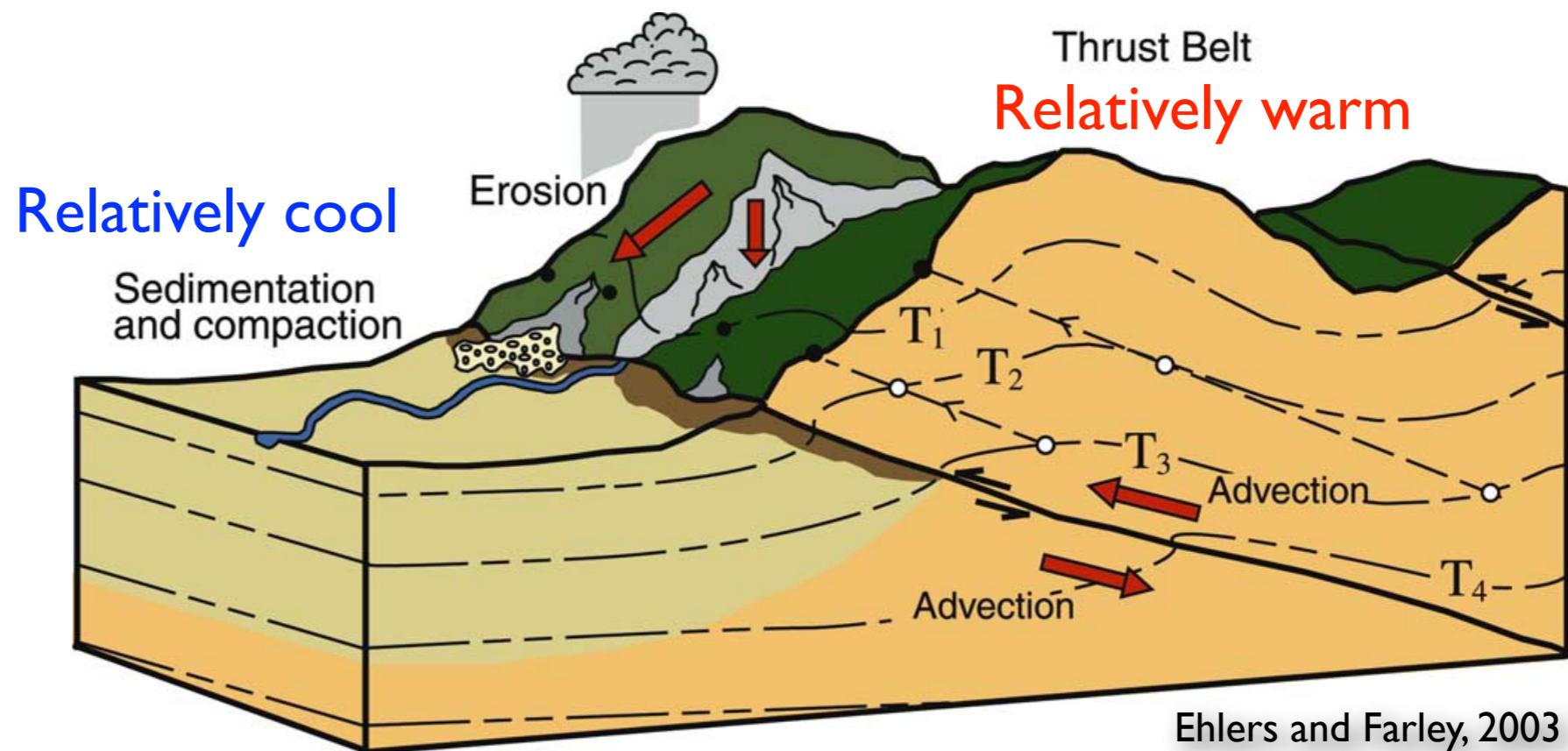
# Thermal structure in extensional settings



- In **active extensional orogens**, **erosion** of the uplifting footwall increases the thermal gradient, whereas **sedimentation** in the neighboring basin decreases the geothermal gradient
- This combined effect, from slip on the bounding fault can significantly bend shallow crustal isotherms



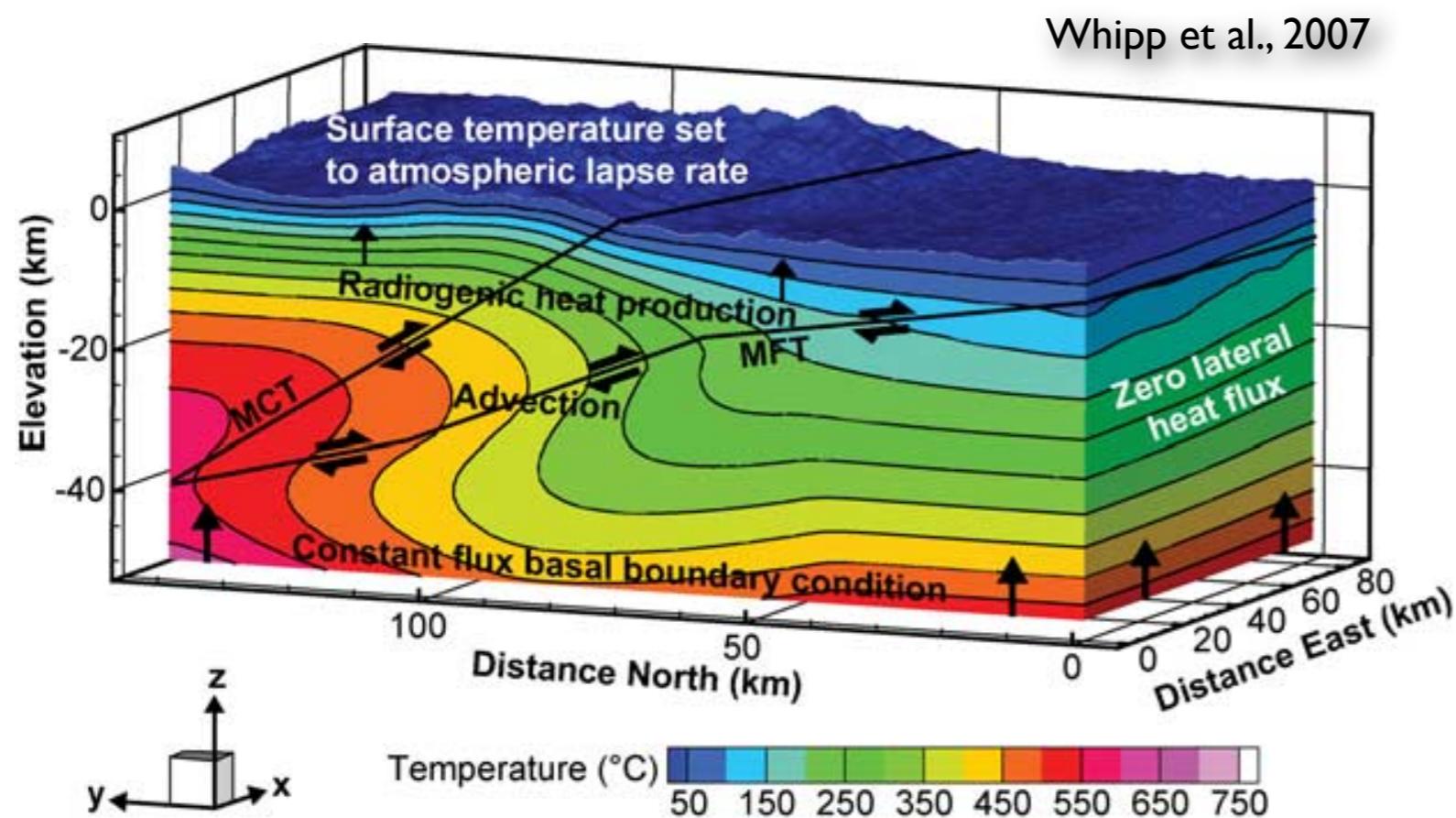
# Thermal structure in contractional settings



- In **active contractional orogens**, the hanging wall of thrusts are relatively warm due to **uplift and erosion**, with **sedimentation** cooling the foreland basin region
- Isotherms in the shallow and even middle crust can be heavily perturbed, even inverting the thermal gradient in places



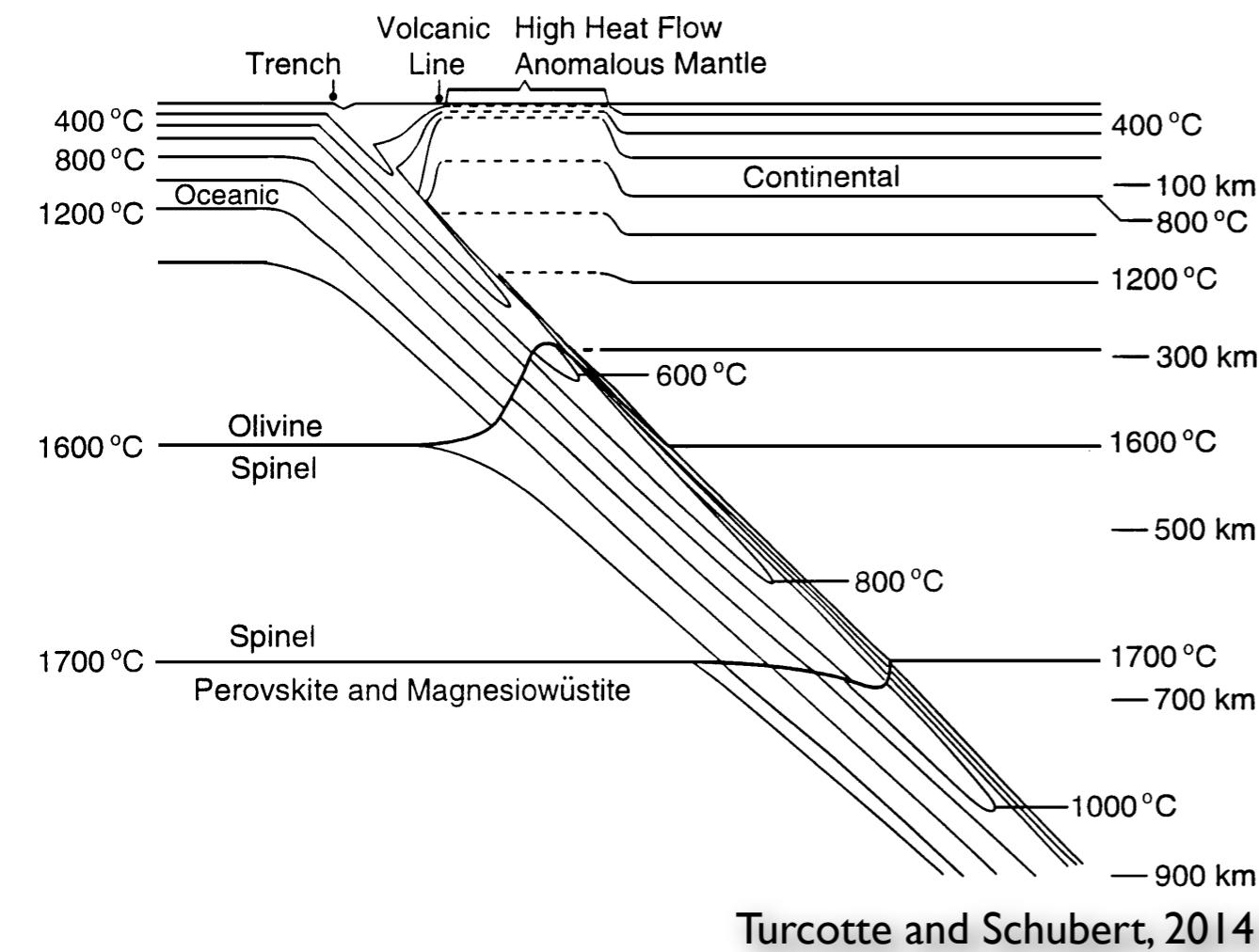
# 3D thermal model of the Nepalese Himalaya



- This is an example where rapid convergence (**20 mm/a**) is accommodated by a basal thrust fault
- Slip on this fault and advection of heat bends the isotherms and inverts the thermal gradient locally in the footwall



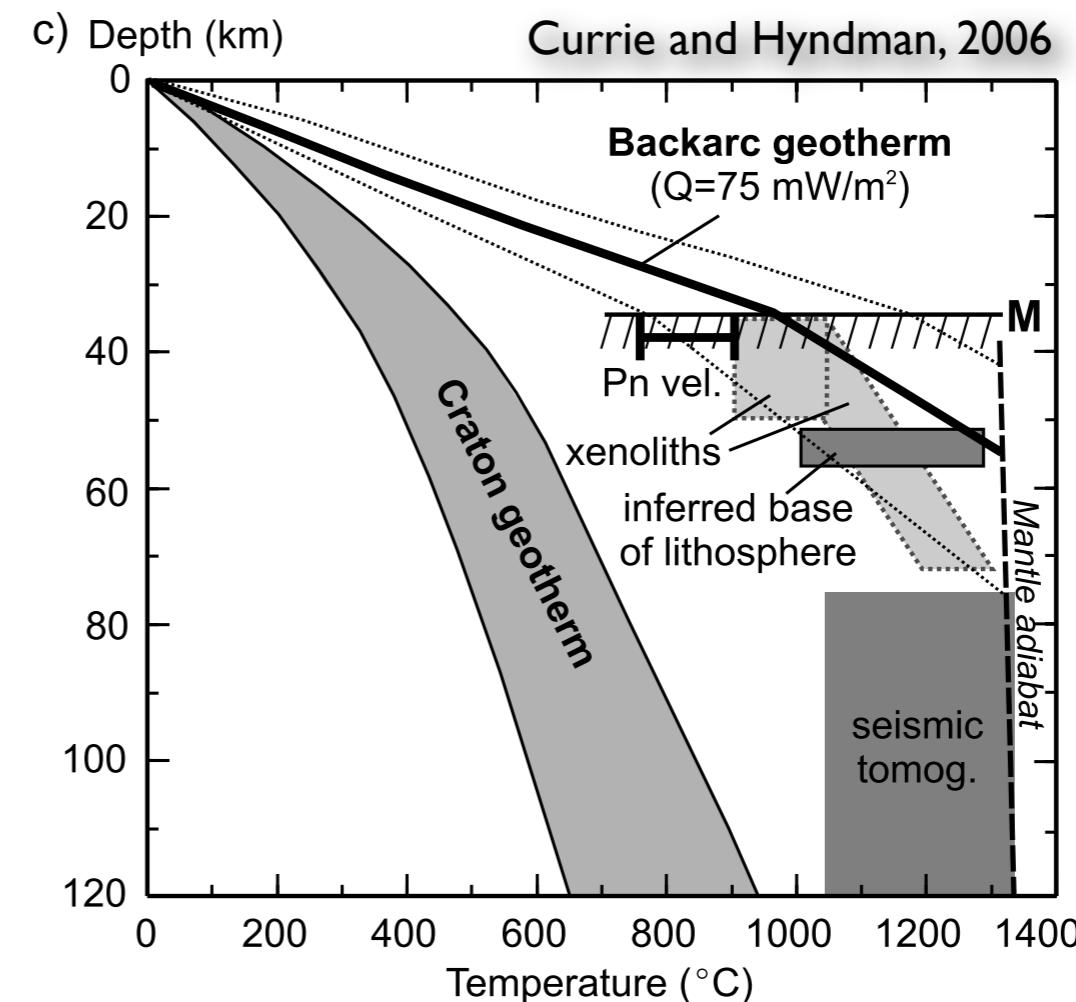
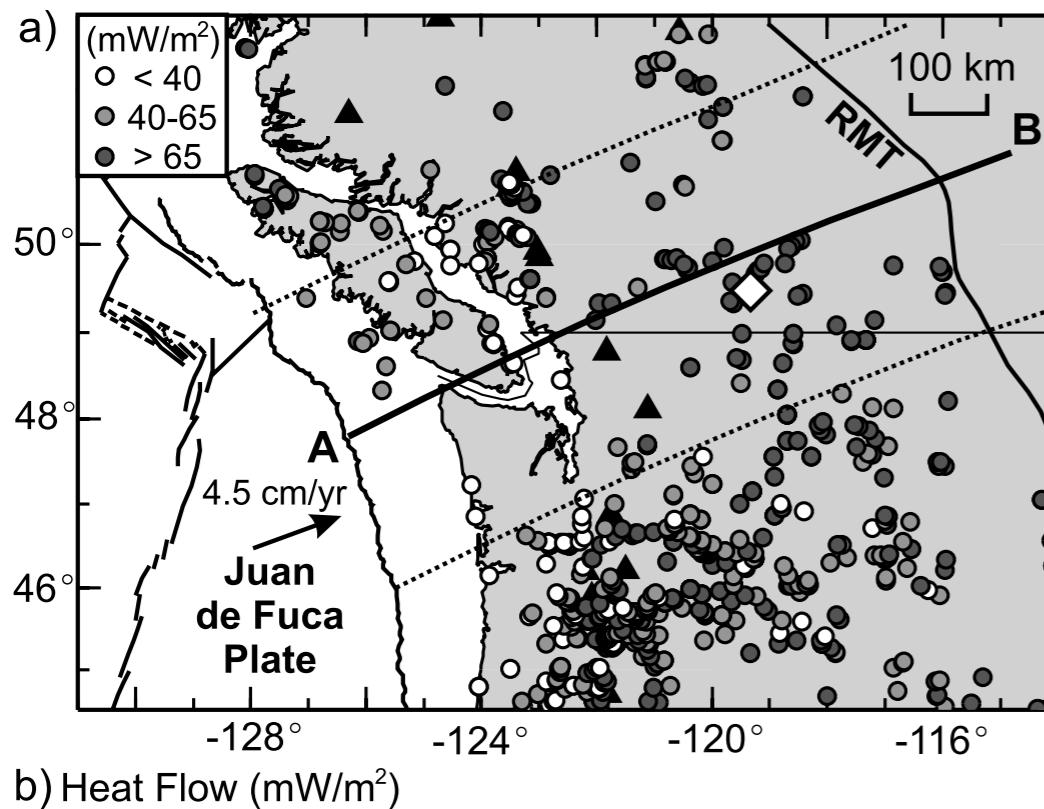
# Thermal field in subduction zones



- **Subduction zones have a highly deformed thermal structure**
- **Advection** resulting from the downgoing cold oceanic slab penetrates deeply into the mantle
- The **forearc**, on the oceanic side of the volcanic line, is generally cool
- In the **backarc**, corner flow in the mantle heats the overlying lithosphere
- Further inboard, the **continental cratonic region** shows a typical thermal structure (fairly cool)



# Thermal field in subduction zones



- Surface heat flow data from the **northern Cascadia subduction zone** show the ‘typical’ signal
- Cold forearc, hot backarc, cold craton
- Steep backarc geotherm, shallow cratonic geotherm



# Summary

- Heat transfer is important process to consider in geodynamics because it can affect both rock buoyancy and mechanical properties
- Heat in the Earth is transferred mainly by conduction and advection
- Internal heat production is not a heat transfer process, but an important source of heat and influence on the thermal field in the Earth



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