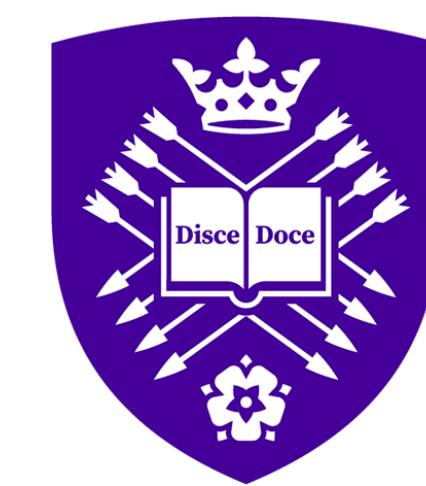


# Pit One Against Many: Leveraging Attention-head Embeddings for Parameter-efficient Multi-head Attention

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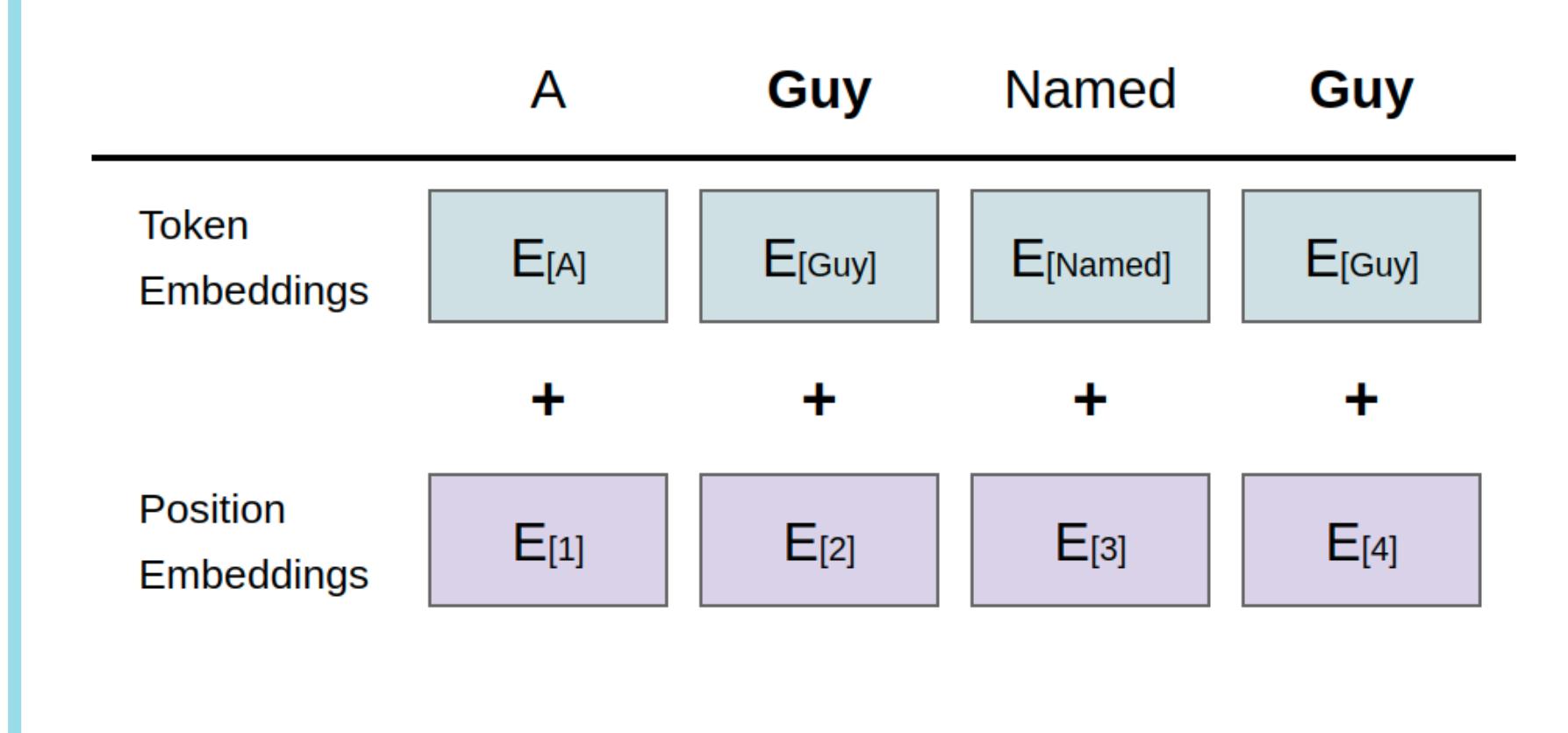
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## INTUITION

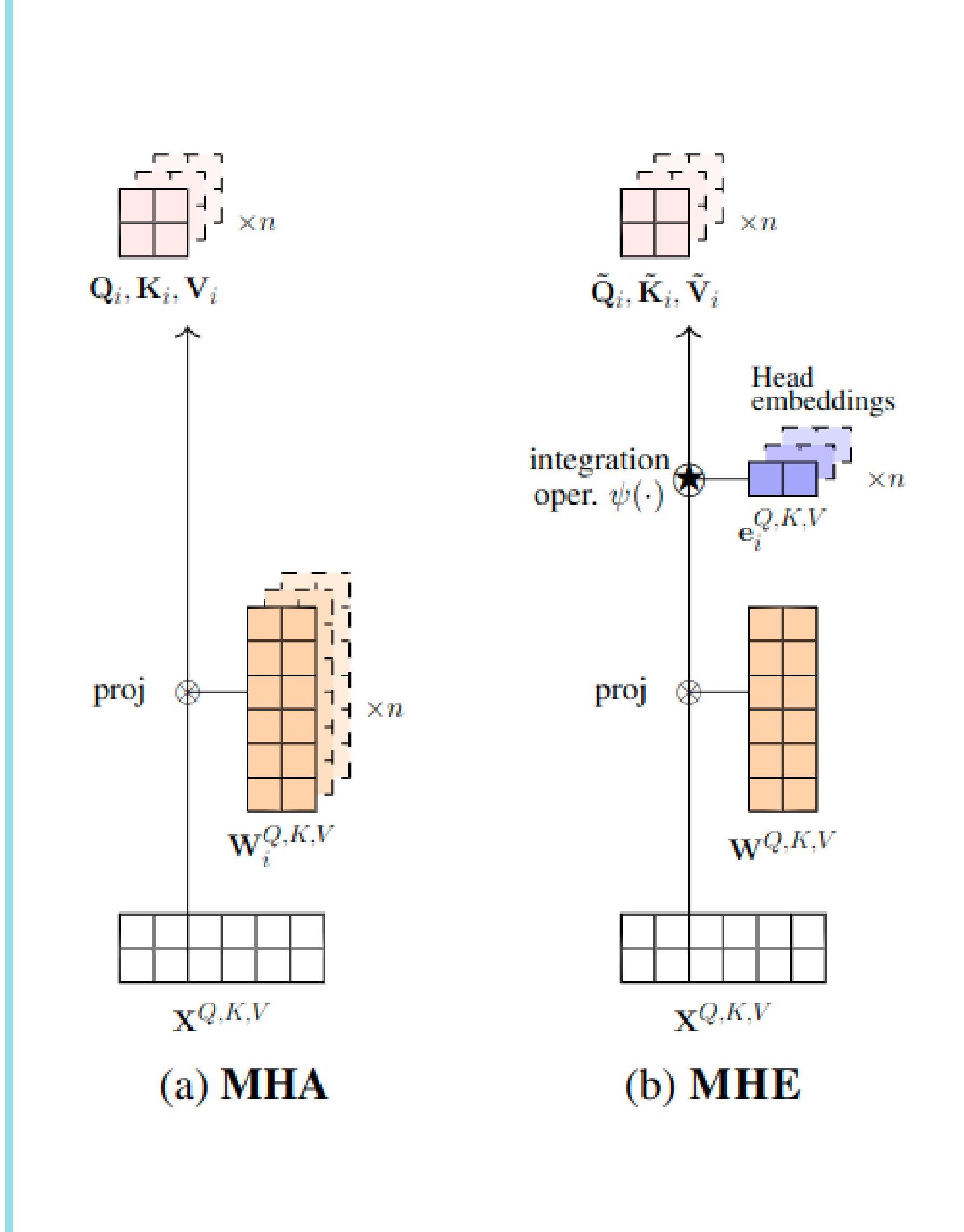
- Multi-head attention (MHA) module leads to a large memory footprint that increases with the number of layers and attention heads per layer in pre-trained language models.
- Scaling MHA can hinder researchers with limited access to computing resources to participate in advancing the field. This results in inequalities, where only a privileged few can actively contribute, potentially impeding diversity and inclusivity.
- **Goal:** Make pre-trained language models scale parameter-efficient with the number of layers and attention heads.
- **Approach:** Multiple Head Embeddings Attention (MHE) uses shared projection matrices across heads that are modified by corresponding embedding heads.
- **Contributions:** MHE achieves high predictive performance retention ratio (i.e. 92.9~98.7%) to MHA on several downstream tasks while being  $(3n^2 - 3n)d^2 - 3nd$  smaller for a single attention sublayer with  $n$  attention heads of  $d$  hidden dimension.

## METHODS

### INSPIRATION



### MHA → MHE



### APPROACHES

#### Multi-head Attention (MHA)

$$\begin{aligned} \mathbf{Q}_i, \mathbf{K}_i, \mathbf{V}_i &= \mathbf{X}\mathbf{W}_i^{Q,K,V} \\ \mathbf{H}_i &= \text{Att}(\mathbf{Q}_i, \mathbf{K}_i, \mathbf{V}_i) \\ &= \text{SoftMax}\left(\frac{\mathbf{Q}_i \mathbf{K}_i^\top}{\sqrt{d_h}}\right) \mathbf{V}_i \end{aligned}$$

#### Multiple Head Embeddings Attention (MHE)

- Seed Projection Matrix

$$\mathbf{Q}, \mathbf{K}, \mathbf{V} = \mathbf{X}\mathbf{W}^{Q,K,V}$$

- Attention Head Embeddings

$$\begin{aligned} \tilde{\mathbf{Q}}_i, \tilde{\mathbf{K}}_i, \tilde{\mathbf{V}}_i &= \psi(\mathbf{Q}; \mathbf{K}; \mathbf{V}, \mathbf{e}_i^{Q,K,V}) \\ \mathbf{H}_i &= \text{Att}(\tilde{\mathbf{Q}}_i, \tilde{\mathbf{K}}_i, \tilde{\mathbf{V}}_i) \end{aligned}$$

- Queries, Keys and Values with Head Embeddings

For

$$\mathbf{A} \in \{\mathbf{Q}, \mathbf{K}, \mathbf{V}\}$$

$$\mathbf{b} \in \{\mathbf{e}^Q, \mathbf{e}^K, \mathbf{e}^V\}$$


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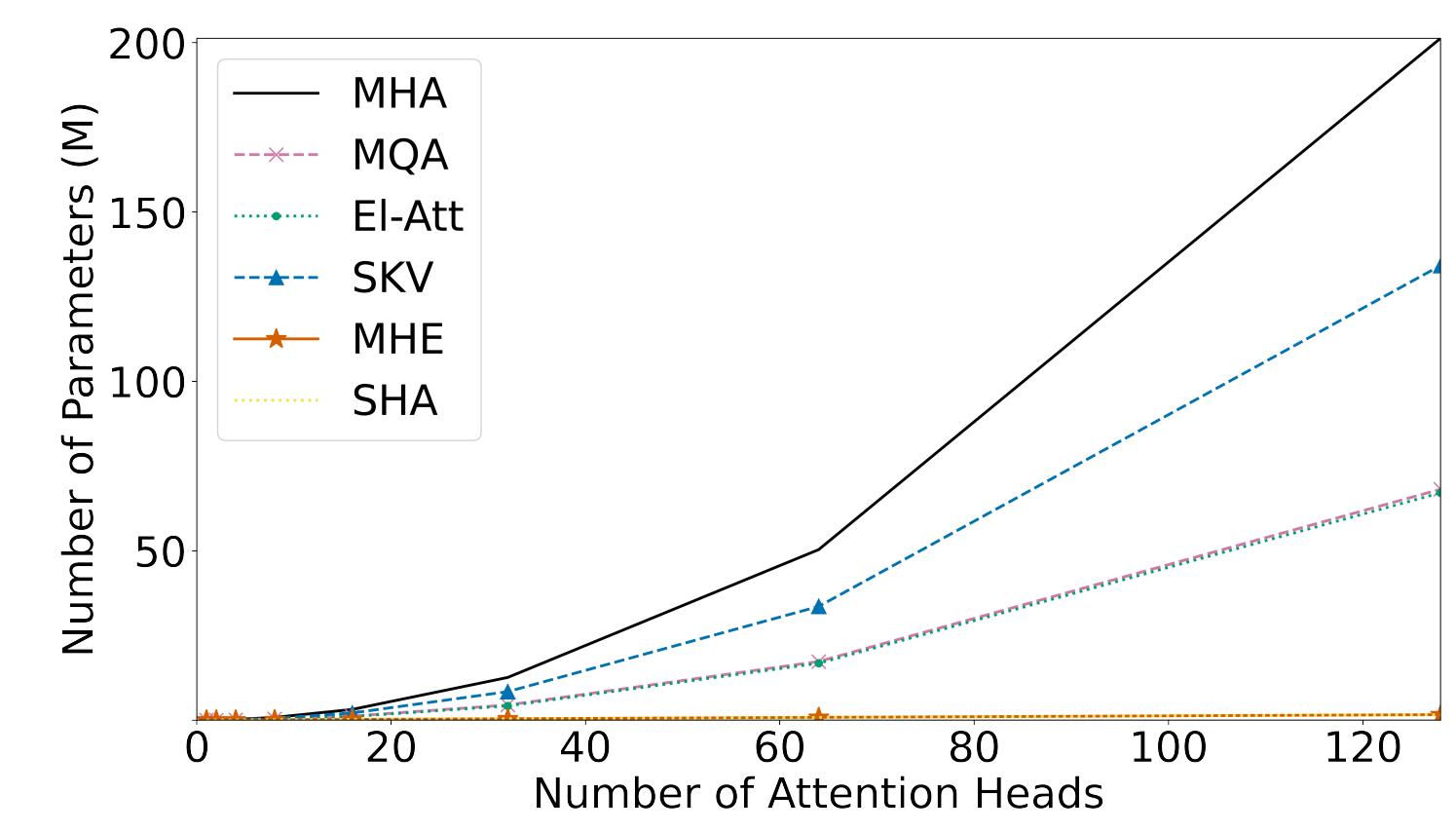
MHE-Add :  $\psi(\mathbf{A}, \mathbf{b}) := \mathbf{A} + \mathbf{b}$

MHE-Mul :  $\psi(\mathbf{A}, \mathbf{b}) := \mathbf{A} \odot (\mathbf{b} + 1)$

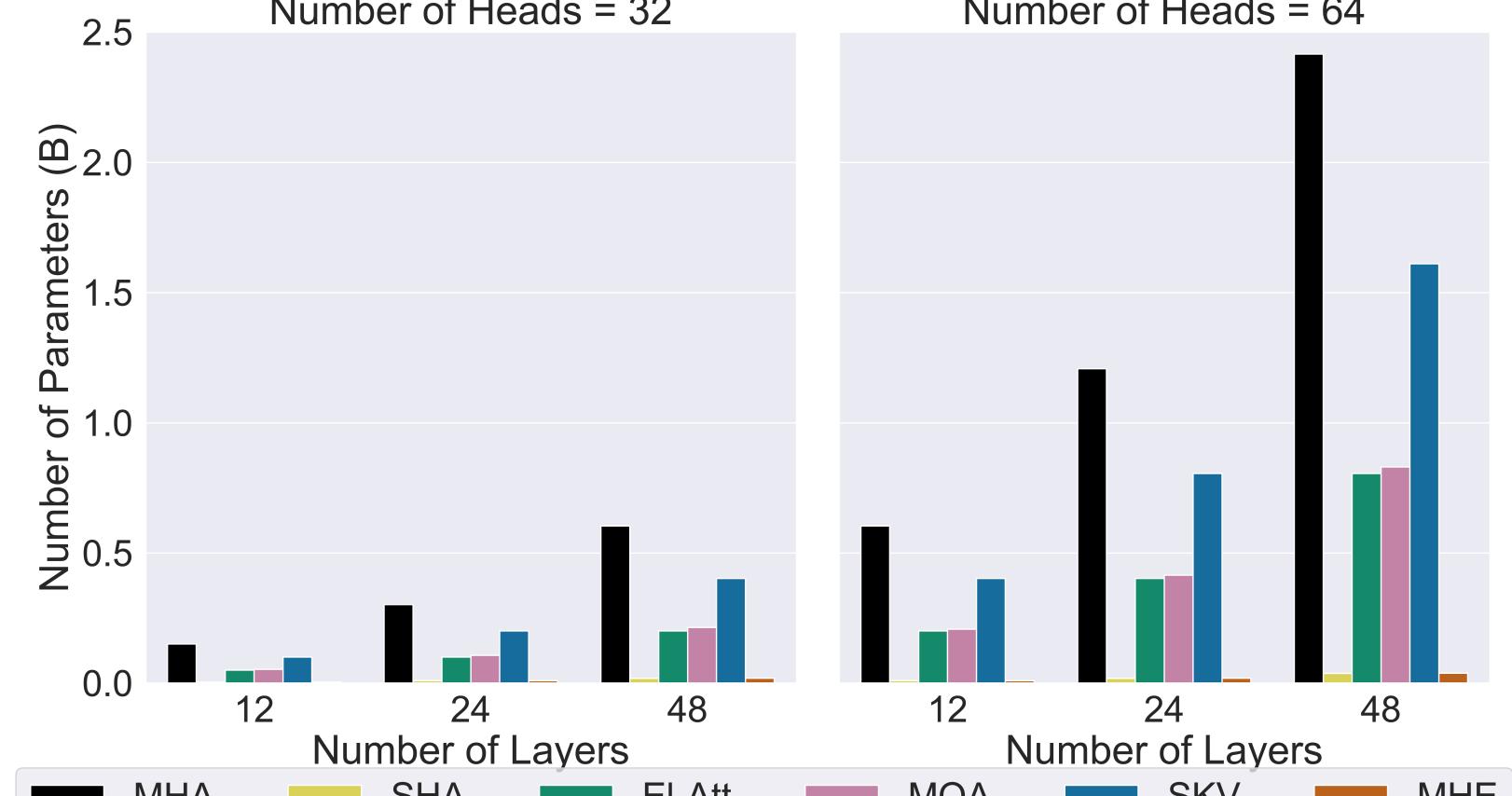
### THEORETICAL MEMORY COMPLEXITY

Attention	Complexity	#Params	#Params (+)
SHA	$O(n)$	$3d^2n$	0
MHA	$O(n^2)$	$3d^2n^2$	$(3n^2 - 3n)d^2$
EL-ATT	$O(n^2)$	$d^2n^2$	$(n^2 - 3n)d^2$
MQA	$O(n^2)$	$d^2n^2 + 2d^2n$	$(n^2 - n)d^2$
SKV	$O(n^2)$	$2d^2n^2$	$(2n^2 - 3n)d^2$
MHE (ours)			
-ADD	$O(n)$	$3d^2n + 3dn$	$3nd$
-MUL	$O(n)$	$3d^2n + 3dn$	$3nd$

### #PARAMS WHILE SCALING #HEADS



### SCALING #HEADS AND #LAYERS



## RESULTS

### PERFORMANCE OF ENCODER-ONLY MODELS

Attention	#params	GLUE			SUPERGLUE			SQuAD v1.1			SQuAD v2.0		
		Acc	PRR	PEoP	Acc	PRR	PEoP	Acc	PRR	PEoP	Acc	PRR	PEoP
SHA	8.85M	79.2	96.7	-	67.1	95.1	-	82.5	93.1	-	67.6	87.8	-
MHA	28.32M	81.9	100.0	0.02	70.5	100.0	0.02	88.6	100.0	0.03	77.0	100.0	0.06
EL-ATT	14.16M	80.3	98.0	0.02	69.5	98.5	0.06	86.5	97.6	0.08	72.2	93.8	0.11
MQA	15.34M	81.3	99.2	0.04	69.3	98.2	0.04	86.7	97.9	0.07	74.8	97.1	0.15
SKV	21.23M	<b>81.4</b>	<b>99.4</b>	0.02	<b>69.9</b>	<b>99.1</b>	0.03	<b>88.1</b>	<b>99.4</b>	0.05	<b>75.9</b>	<b>98.6</b>	0.09
MHE-ADD	8.88M	80.4	98.2	4.92	69.1	97.9	9.44	83.7	94.5	4.65	71.8	93.2	19.88
MHE-MUL	8.88M	80.6	98.3	<b>5.53</b>	69.6	98.7	<b>12.07</b>	85.9	97.0	<b>13.19</b>	72.3	93.9	<b>22.25</b>

### ROBUSTNESS TO SCALING (RESULTS OF ENCODER-DECODER MODELS ON WMT-14 ENGLISH TO GERMAN)

	N	$d_m$	h	$d_h$	pdrop	#Steps	#Params(M)		BLEU			PRR
							MHA	MHE-MUL	MHA	MHE-MUL	PRR	
BASE	12	512	8	64	0.1	100K	18.87	6.52	24.8	23.6	95.0	
	12	512	16	32	0.1	100K	18.87	5.63	25.1	22.9	91.5	
	12	512	4	128	0.1	100K	18.87	8.29	24.7	23.6	95.3	
4L	8	512	8	64	0.1	100K	12.58	4.34	23.9	22.4	93.6	
8L	16	512	8	64	0.1	100K	25.17	8.69	25.3	24.3	96.0	
12H	12	768	12	64	0.15	100K	42.47	13.31	25.7	24.2	94.2	
BIG	12	1024	16	64	0.3	300K	75.50	22.47	26.5	24.8	93.6	

