# **Review of lab2**

### step 1

略。

### step 2

#### 代码主要组成如下所述:

- 1. 在内核中启动时分配对象,此后不能再分配。
- 2. Runtime.s 包含用汇编语言编写的例程,包含程序入口与中断向量
- 3. 例程执行时调用main.c的main函数
- 4. List实现了操作系统的链表
- 5. List主要的键类名为Thread.它是线程的核心,包含线程调度(状态转换)和时间切片相关函数 Thread中的FatalError函数就是进程终止函数,打印可能的错误。随后进入blitz的命令行模式,可能需要输入st查看函数和方法处于活动状态。

Thread中的SetInterruptsTo用于改变CPU的中断标志(开中断或关中断),返回一个变量提示现在是什么状态。

- 6. 线程五种状态: JUST\_CREATED、READY、RUNNING、BLOCKED和UNUSED
- 7. 每个线程都有堆栈(系统堆栈),堆栈放置在systemStack字段中的thread对象中。该堆栈只用于内核例程,而之后的实验中用户程序在各自的虚拟空间中有属于自己的堆栈。
- 8. Thread对象还存储CPU的状态,在线程切换时所有数据保存在thread对象,这些字段(regs和stackTop)由名为Switch的代码例程使用。(PCB?)
- 9. Thread对象还存储指向函数的指针(initialFunction)和函数的实参(initialArgument字段),该指针指向现成的main函数,不同的线程执行不同的main函数。
- 10. initialArgument字段表示线程的编号。
- 11. 使用Thread对象中的Fork方法启动一个初始化的新线程,这会使他进入ready状态(加入readyList,这是一个全局变量)。
- 12. currentThread: 全局变量,表示状态为RUNNING的唯一一个线程。
- 13. Thread对象中的Yield方法:只能在当前运行的线程上调用,作用为切换到其他线程。
  - ① 禁用中断: 防止干扰。
  - ② 在readylist找下一个线程(若没有其他线程,则yield实际上是nop)
  - ③ 使当前线程变为READY
  - ④ 将当前线程添加到readyList尾部
  - ⑤ 运行下一个线程(Run方法)
- 14. Thread对象中的Run方法: 先检查线程堆栈溢出, 再调用Switch方法执行线程切换。
- 15. Thread对象中的Switch方法:返回发生在另一个进程的另一个函数。
- 16. 其他类与方法:下面会提及。

### step 3

```
ovo@harryovo-virtual-machine:~/Desktop/2/lab2$ blitz -g os
Beginning execution...
Example Thread-based Programs...
Initializing Thread Scheduler...
 _Simple Thread Example...
main-thread
_
Second-Thread
main-thread
main-thread
Second-Thread
main-thread
main-thread
Second-Thread
main-thread
main-thread
Second-Thread
***** A 'wait' instruction was executed and no more interrupts are scheduled...
halting emulation! *****
```

#### 解释:

当进程中的线程所使用的时间超过了时间片所定义的宽度,则会发生时间中断,时间中断的处理发生在 TimerInterruptHandler方法中。取消注释,输出"\_"是为了更加清晰地看出线程超时的发生。

仔细观察代码,执行输出"Simple Thread Example..."时进程已经开启,已知blitz操作系统中进程开启后会有一个基本线程:"main-thread"(他并未在main.c定义却出现了),时间片已经开始计时,所以线程还没有运行就出现了"\_"。 随后观察代码:

```
function SimpleThreadFunction (cnt: int)
       -- This function will loop "cnt" times. Each iteration will print a
-- message and execute a "Yield", which will give the other thread a
76
       -- chance to run.
77
         var i: int
78
          for i = 1 to cnt
79
           print (currentThread.name)
81
            nl ()
            currentThread.Yield ()
82
83
          endFor
84
          ThreadFinish ()
       endFunction
```

若没有时间中断,将会交替出现"main-thread" 和 "second-thread".但存在时间中断,所以在中断后根据TimerInterruptHandler,会切换到下一个线程开始执行:

```
-----TimerInterruptHandler ------
191
192
     function TimerInterruptHandler ()
193
        -- This routine is called when a timer interrupt occurs. Upon entry,
194
       -- interrupts are DISABLED. Upon return, execution will return to
195
       -- the interrupted process, which necessarily had interrupts ENABLED.
196
197
       -- (If you wish to turn time-slicing off, simply disable the call -- to "Yield" in the code below. Threads will then execute until they
198
199
       -- call "Yield" explicitly, or until they call "Sleep".)
200
201
202
          currentInterruptStatus = DISABLED
203
          printChar (' ')
         currentThread.Yield ()
204
205
          currentInterruptStatus = ENABLED
206
       endFunction
207
                                   Theoadneist
```

这也是为什么会出现下列情况的原因:

### step 4

```
arryovo@harryovo-virtual-machine:~/Desktop/2/lab2$ blitz -g os
Beginning execution...
 Example Thread-based Programs...
Initializing Thread Scheduler...
 _Thread Example...
      ___123
The currently running thread is main-thread
Here is the ready list:
  Thread "idle-thread"
Thread "thread-a"
                                             (addr of Thread object: 0x000160A8)
                            status=READY
                                          (addr of Thread object: 0x00018608)
                        status=READY
  Thread "thread-b"
                                          (addr of Thread object: 0x00019604)
                       status=READY
  Thread "thread-c"
Thread "thread-d"
                        status=READY
                                          (addr of Thread object: 0x0001A600)
                                          (addr of Thread object: 0x0001B5FC)
                        status=READY
  Thread "thread-e"
Thread "thread-f"
                       status=READY
                                          (addr of Thread object: 0x0001C5F8)
                                          (addr of Thread object: 0x0001D5F4)
                        status=READY
12_4561_23456
 .Main..
23456 2345
..Main..
1234_6
..Main..
123 56
..Main..
12_456
.Main..
1_3456
 .Main..
23456
.Main..
23456 12345
..Main..
1234_6
..Main..
123_56
.Main..
Here is the ready list:
  Thread "idle-thread"
Thread "thread-a"
Thread "thread-b"
                                         (addr of Thread object: 0x000160A8)
(addr of Thread object: 0x00018608)
                            status=READY
                        status=READY
                                          (addr of Thread object: 0x00019604)
                        status=READY
  Thread "thread-c"
Thread "thread-d"
                                          (addr of Thread object: 0x0001A600)
(addr of Thread object: 0x0001B5FC)
                        status=READY
                        status=READY
  Thread "thread-e"
                                          (addr of Thread object: 0x0001C5F8)
                        status=READY
  Thread "thread-f"
                        status=READY
                                          (addr of Thread object: 0x0001D5F4)
 12345_ Thread "main-thread" (addr of Thread object: 0x000150AC)
```

#### 解释:

与step3类似,但出现了没有缺数字的情况。原因是在print执行完毕后才发生时间中断。

在for循环稳定后,就出现了上图中每一个循环超时的线程往前挪一个的情况。这也间接说明了时间片每一次计时是等长的。

### step 5

结果:

```
Initializing Thread Scheduler...
 -- You should see 70 lines, each consecutively numbered. --
LockTester-A = 1
LockTester-A = 2
LockTester-B = 3
LockTester-C = 4
LockTester-D = 5
LockTester-E = 6
LockTester-A =
LockTester-F = 8
LockTester-G = 9
LockTester-C = 10
LockTester-B = 11
LockTester-D = 12
LockTester-A = 13
LockTester-F = 14
LockTester-E = 15
LockTester-G = 16
LockTester-C = 17
LockTester-D = 18
LockTester-A = 19
LockTester-F = 20
LockTester-B = 21
LockTester-G = 22
LockTester-E = 23
LockTester-C = 24
LockTester-D = 25
LockTester-A = 26
LockTester-F = 27
LockTester-G = 28
LockTester-B = 29
LockTester-C = 30
LockTester-E = 31
LockTester-D = 32
LockTester-A = 33
LockTester-F = 34
LockTester-G = 35
LockTester-C = 36
LockTester-B =
```

但我们并不关心main.c的测试函数。我们重点考虑Synch.c中的Mutex类的实现:

```
95
        ----- Mutex . Init
96
97
        method Init ()
           -- FatalError ("Unimplemented method")
98
100
           heldBy = null
           waitingThreads = new List [Thread]
101
102
         endMethod
103
        ----- Mutex . Lock -----
104
```

heldBy: 当前正在使用资源的线程。

waitingTheards: 等待使用资源的线程的队列。

```
104
          ----- Mutex . Lock -----
105
106
          method Lock ()
107
              -- FatalError ("Unimplemented method")
108
109
                oldIntStat: int
110
              oldIntStat = SetInterruptsTo (DISABLED)
111
112
              if heldBy == null
113
               heldBy = currentThread
114
              elseIf currentThread == heldBy
  FatalError ("Current Thread is already locked")
115
116
117
118
                waitingThreads.AddToEnd(currentThread)
               currentThread.Sleep()
119
              endIf
120
121
              oldIntStat = SetInterruptsTo (oldIntStat)
122
            endMethod
123
```

如果heldBy为空则说明没有线程正在占用资源,则一定也没有线程在等待。所以直接将当前进程变为正在使用资源的运行态;

若heldBy为当前线程,抛出错误;

若当前已经有线程占用资源,则当前线程需要进入等待队列,并进入睡眠。

由于如果中断会出现很大的问题,所以不允许中断(原语),事先关中断(110行),执行完后再开中断(121行)。

```
----- Mutex . Unlock -----
124
125
126
         method Unlock ()
             --FatalError ("Unimplemented method")
127
128
             var
129
              oldIntStat: int
               t:ptr to Thread
130
131
             if heldBy == null
              FatalError ("No Thread has been locked")
132
133
             endIf
134
135
            oldIntStat = SetInterruptsTo (DISABLED)
             t = waitingThreads.Remove()
136
             if t != null
137
138
              t.status = READY
139
              readyList.AddToEnd (t)
             endIf
140
141
             heldBy = t
142
143
             oldIntStat = SetInterruptsTo (oldIntStat)
144
          endMethod
145
```

若当前没有锁, 抛出错误;

从等待队列中移出最先进入的线程(FIFO),若非空则将其放入READY状态。若队列中已经没有等待的线程,则将heldBy赋值为null。

```
146
                       ----- Mutex . IsHeldByCurrentThread -----
              147
              148
                       method IsHeldByCurrentThread () returns bool
              149
                           --FatalError ("Unimplemented method")
              150
              151
                             oldIntStat: int
                           oldIntStat = SetInterruptsTo (DISABLED)
              152
              153
                           if currentThread == heldBy
              154
                             oldIntStat = SetInterruptsTo (oldIntStat)
              155
                             return true
                           endIf
              156
              157
                           oldIntStat = SetInterruptsTo (oldIntStat)
              158
                           return false
              159
                         endMethod
也可以不加锁:
              146
                       ----- Mutex . IsHeldByCurrentThread -----
              147
              148
                       method IsHeldByCurrentThread () returns bool
              149
                           --FatalError ("Unimplemented method")
                           --var
              150
              151
                             --oldIntStat: int
              152
                           --oldIntStat = SetInterruptsTo (DISABLED)
                           if currentThread == heldBy
              153
              154
                             --oldIntStat = SetInterruptsTo (oldIntStat)
              155
                             return true
```

--oldIntStat = SetInterruptsTo (oldIntStat)

解释略。

156

157

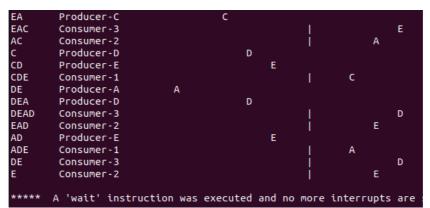
158 **159**  endIf

endMethod

return false

## step 6

```
vo@harryovo-virtual-machine:~/Desktop/2/lab2$ blitz -g os
Beginning execution...
                      KPL PROGRAM STARTING ==========
Example Thread-based Programs...
Initializing Thread Scheduler...
        Producer-A
        Producer-B
                                В
                                В
        Producer-B
AB
ABB
        Producer-B
                                В
ABBB
        Producer-C
ABBBC
        Consumer-1
                                                           В
BBBC
        Consumer-1
        Consumer-2
                                                               В
BBC
вс
                                                                    В
        Consumer-3
        Producer-C
        Producer-D
                                         D
        Consumer-1
CCD
CD
        Producer-E
CDE
        Producer-B
                                 В
        Consumer-2
CDEB
                                                                    D
DEB
        Consumer-3
        Producer-A
EB
EBA
        Consumer-1
                                                            Ε
ВА
        Consumer-2
                                                                В
        Producer-C
                                         D
AC
        Producer-D
ACD
        Consumer-3
                                                                    Α
CD
        Producer-E
                                              Ε
CDE
        Producer-B
                                 В
CDEB
        Consumer-1
                                                               ח
DEB
        Consumer-2
EB
        Producer-A
EBA
        Consumer-3
                                                                    Ε
ВА
        Consumer-1
                                                           В
        Producer-C
AC
        Producer-D
                                         D
ACD
        Consumer-3
                                              Ε
CD
        Producer-E
CDE
        Producer-A
CDEA
        Consumer-2
DEA
        Consumer-1
```



注: 顺序无关紧要,只需要每个producer都输出5次即正确。

#### 知识点: 信号量与前后 (同步) 关系、互斥关系

```
307
      var
        buffer: array [BUFFER_SIZE] of char = new array of char {BUFFER_SIZE of '?'}
308
309
        bufferSize: int = 0
310
        bufferNextIn: int = 0
        bufferNextOut: int = 0
311
        mutex: Semaphore = new Semaphore
312
313
        empty: Semaphore = new Semaphore
314
        full: Semaphore = new Semaphore
        thArray: array [8] of Thread = new array of Thread { 8 of new Thread }
315
316
```

```
352
    function Producer (myId: int)
353
         var
354
          i: int
355
           c: char = intToChar ('A' + myId - 1)
         for i = 1 to 5
356
357
           -- Perform synchroniztion...
358
           empty.Down()
359
          mutex.Down()
360
            -- Add c to the buffer
           buffer [bufferNextIn] = c
361
           bufferNextIn = (bufferNextIn + 1) % BUFFER_SIZE
362
363
           bufferSize = bufferSize + 1
364
365
           -- Print a line showing the state
366
           PrintBuffer (c)
367
368
           -- Perform synchronization...
369
           mutex.Up()
370
           full.Up()
371
372
         endFor
373
       endFunction
375 function Consumer (myId: int)
376
         var
377
           c: char
378
         while true
379
          -- Perform synchroniztion...
380
           full.Down()
381
           mutex.Down()
           -- Remove next character from the buffer
382
           c = buffer [bufferNextOut]
383
           bufferNextOut = (bufferNextOut + 1) % BUFFER_SIZE
384
385
           bufferSize = bufferSize - 1
386
387
           -- Print a line showing the state
           PrintBuffer (c)
388
389
           -- Perform synchronization...
391
           mutex.Up()
392
           empty.Up()
393
        endWhile
394
       endFunction
```

也就是所谓的PV关系。P: 减少量 V: 增加量。

#### 注意:

- ① mutex的PV在同一个函数内是成对出现的(mutex.down()、mutex.up())
- ② 必须先执行完P的同步关系再执行P的互斥关系,不然会造成死锁。
- ③ 实际上这里的mutex也可以用step5实现的互斥锁mutex类实现,只是信号量类为二值时等价为mutex类而已。这也是为什么说mutex类可以仿照semaphore类写的原因。

# step 7

先介绍非管程的考虑方式与解决方案:

一种简单的解决方法是每只筷子都用一个信号量来表示。一个哲学家通过执行操作 wait() 试图获取相应的筷子,他会通过执行操作 signal() 以释放相应的筷子。因此,共享数据为

semaphore chopstick[5];

其中, chopstick 的所有元素都初始化为 1。哲学家 i 的结构如图 6-14 所示。

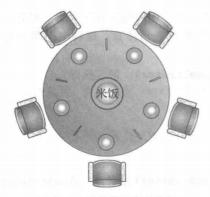


图 6-13 就餐哲学家的情景

```
do {
  wait(chopstick[i]);
  wait(chopstick[(i+1) % 5]);
    ...
  /* eat for awhile */
    ...
  signal(chopstick[i]);
  signal(chopstick[(i+1) % 5]);
    ...
  /* think for awhile */
  } while (true);
```

图 6-14 哲学家 i 的结构

虽然这一解决方案保证两个邻居不能同时进食,但是它可能导致死锁,因此还是应被拒绝的。假若所有5个哲学家同时饥饿并拿起左边的筷子。所有筷子的信号量现在均为0。当每个哲学家试图拿右边的筷子时,他会被永远推迟。

死锁问题有多种可能的补救措施:

- 允许最多 4 个哲学家同时坐在桌子上。
- 只有一个哲学家的两根筷子都可用时,他才能拿起它们(他必须在临界区内拿起两根 筷子)。
- 使用非对称解决方案。即单号的哲学家先拿起左边的筷子,接着右边的筷子;而双号的哲学家先拿起右边的筷子,接着左边的筷子。

但是step7所采用的是管程解决方案。

#### 假设两根筷子都可用时才能拿起筷子:

```
529
        method Init ()
          -- Initialize so that all philosophers are THINKING.
530
          -- ...unimplemented...
531
532
533
           i: int
          status = new array of int {5 of THINKING}
534
535
          self2 = new array of Condition {5 of new Condition}
536
          mutex2 = new Mutex
537
          mutex2.Init()
538
          for i = 0 to 4
            self2[i].Init()
539
540
          endFor
          endMethod
541
```

```
543
      method PickupForks (p: int)
        -- This method is called when philosopher 'p' is wants to eat.
544
         -- ...unimplemented...
545
546
         mutex2.Lock()
547
         status[p] = HUNGRY
         self.PrintAllStatus()
548
549
         self.test(p)
         if status[p] != EATING
550
551
           self2[p].Wait(&mutex2)
         endIf
552
553
         mutex2.Unlock()
554
         endMethod
555
556
       method PutDownForks (p: int)
        -- This method is called when the philosopher 'p' is done eating.
557
         -- ...unimplemented...
558
559
         mutex2.Lock()
560
         status[p] = THINKING
         self.PrintAllStatus()
561
         self.test((p+4)%5)
562
         self.test((p+1)%5)
563
564
         mutex2.Unlock()
         endMethod
565
566
       method test (p: int)
567
         if (status[(p+4)%5] != EATING && status[p] == HUNGRY && status[(p+1)%5] != EATING)
568
569
           status[p] = EATING
570
           self.PrintAllStatus()
571
           self2[p].Signal(&mutex2)
572
         endIf
573
       endMethod
```

#### 结果:

```
E
E
E
         E
         E
         E
                  E
                  E
    E
    Е
                  Е
    Ε
                  E
             E
             Е
             E
             Е
F
E
         Ε
         E
         Е
                  Е
                  E
                  E
```

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