Qui hoạch động

Dynamic programming

Nội dung

Tìm hiểu về quy hoạch động (dynamic programming) qua các ví dụ tiêu biểu:

0. Fibonacci Numbers
1. Longest common subsequence
2. Knapsack problem

Sử dụng một phần tài liệu bài giảng CS161 Stanford University

Khởi động: Fibonacci Numbers

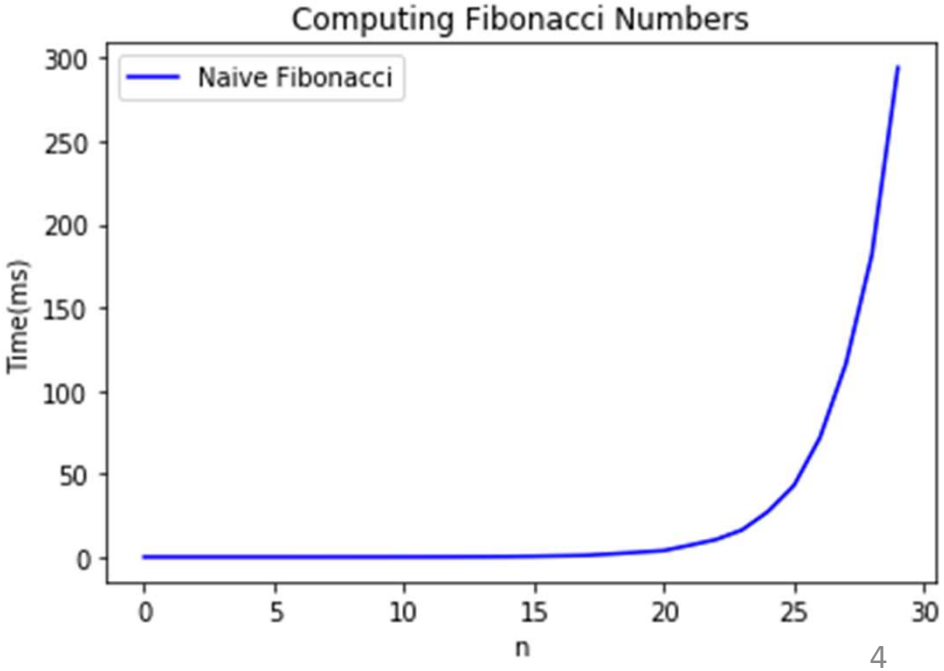
- Definition:
  - F(n) = F(n-1) + F(n-2), with F(1) = F(2) = 1.
  - The first several are:
    - 1
    - 1
    - 2
    - 3
    - 5
    - 8
    - 13, 21, 34, 55, 89, 144,…
- Question:
  - Given n, what is F(n)?

Candidate algorithm

```
def Fibonacci(n):
    if n == 0, return 0
    if n == 1, return 1
    return Fibonacci(n-1) + Fibonacci(n-2)
```

Running time?

- $T(n) = T(n-1) + T(n-2) + O(1)$
- $T(n) \geq T(n-1) + T(n-2)$ for $n \geq 2$
- So $T(n)$ grows *at least* as fast as the Fibonacci numbers themselves…
- You showed in HW1 that this is **EXPONENTIALLY QUICKLY**!

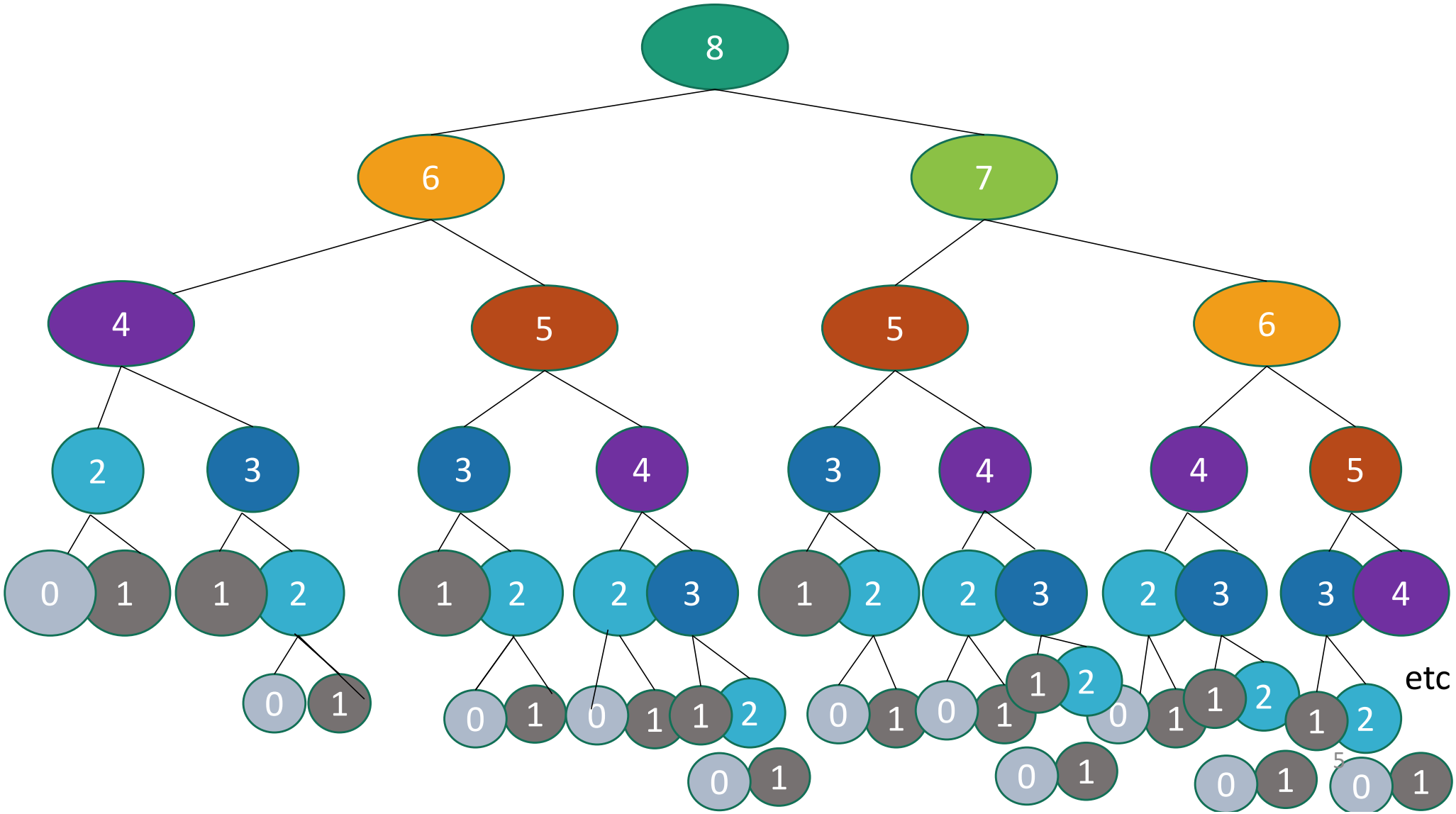


See IPython notebook for lecture 12

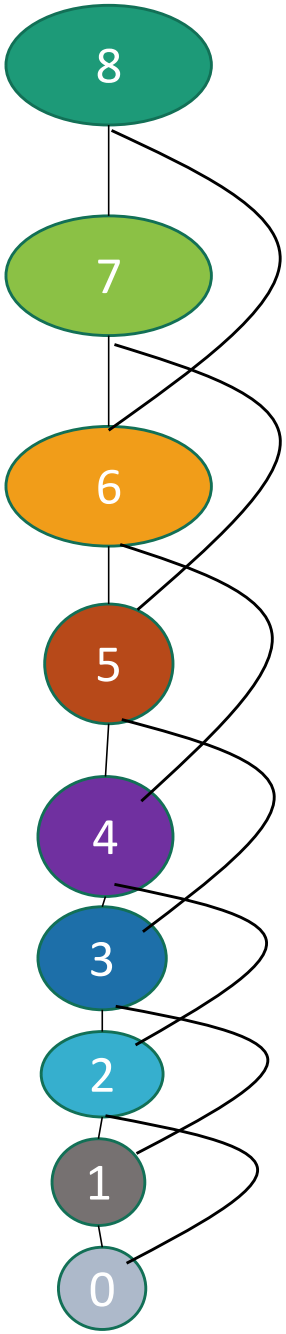
What's going on?

Consider Fib(8)

That's a lot of repeated computation!

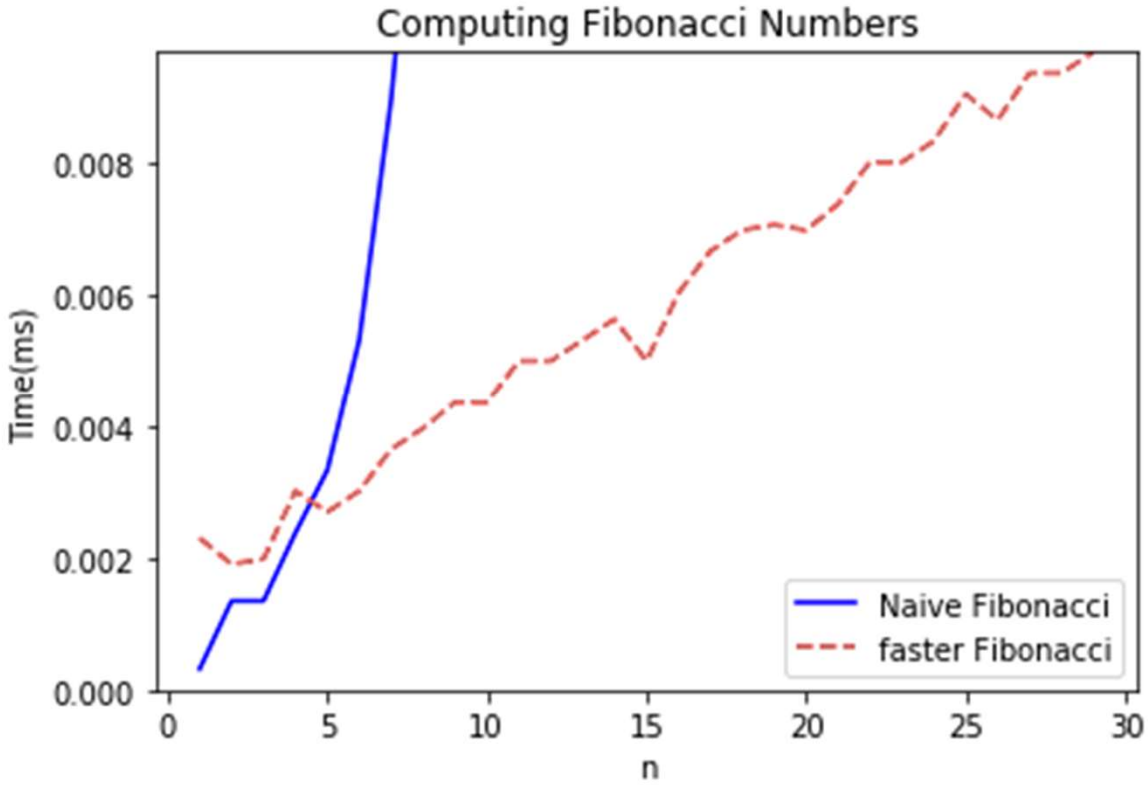


Maybe this would be better:




```
def fasterFibonacci(n):
    • F = [0, 1, None, None, …, None ]
        • \\ F has length n + 1
    • for i = 2, …, n:
        • F[i] = F[i-1] + F[i-2]
    • return F[n]
```

Much better running time!



This was an example of…

Dynamic Programming!

What is *dynamic programming*?

- Là một chiến lược thiết kế thuật toán (an algorithm design paradigm)
  - Các bạn đã học chiến lược chia để trị (divide-and-conquer).
- Thường dùng để giải các bài toán tối ưu
  - Ví dụ, các dạng tìm đường đi ngắn nhất
  - Tính số Fibonacci không phải là bài toán tối ưu, nhưng là một ví dụ đơn giản để thuyết minh DP

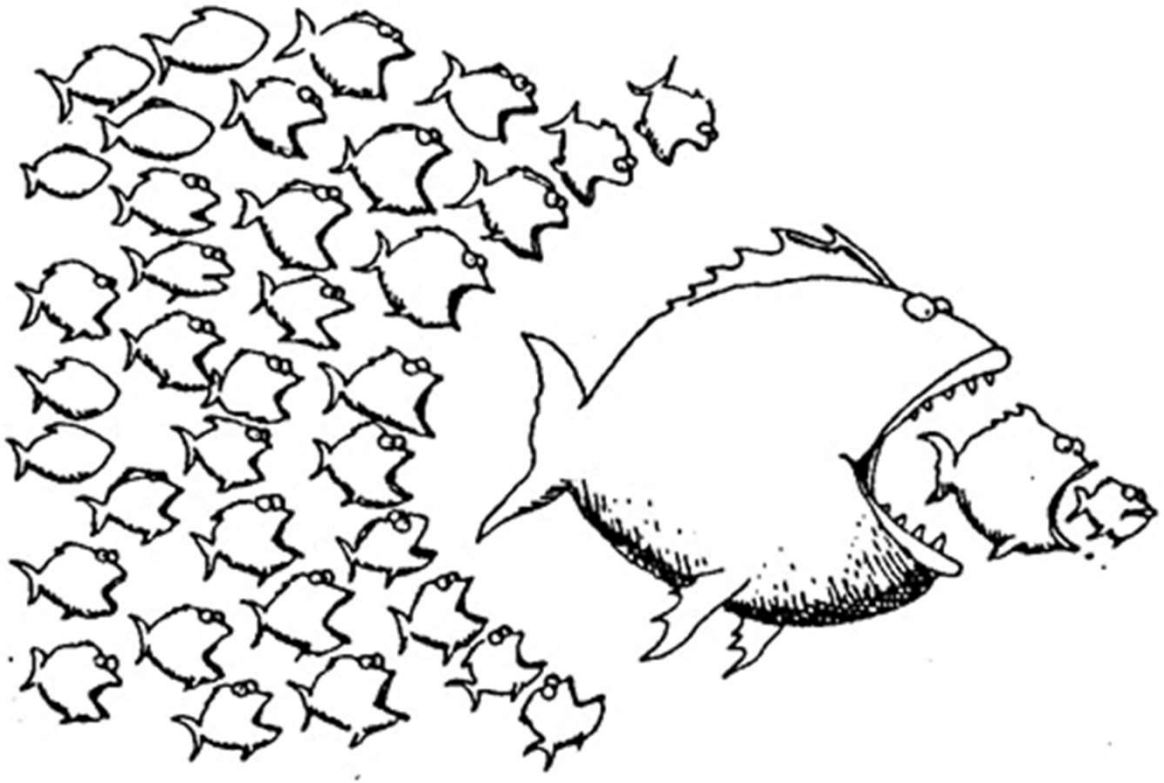
Elements of dynamic programming

- Optimal substructure.
  - Optimal solutions to sub-problems can be used to find the optimal solution of the original problem.
- Overlapping subproblems.
  - The subproblems show up again and again

- Using these properties, we can design a ***dynamic programming*** algorithm:
  - Keep a table of solutions to the smaller problems.
  - Use the solutions in the table to solve bigger problems.
  - At the end we can use information we collected along the way to find the solution to the whole thing.

Two ways to think about and/or implement DP algorithms

- Top down
- Bottom up



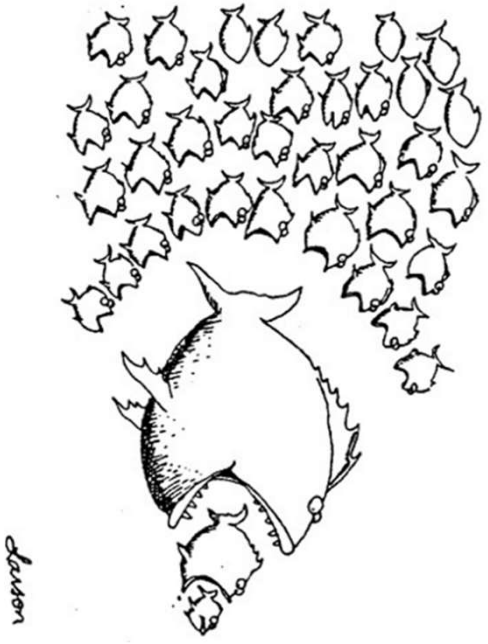
This picture isn't hugely relevant but I like it.

Bottom up approach

what we just saw.

- For Fibonacci:
- Solve the small problems first
  - fill in F[0],F[1]
- Then bigger problems
  - fill in F[2]
- …
- Then bigger problems
  - fill in F[n-1]
- Then finally solve the real problem.
  - fill in F[n]

Top down approach



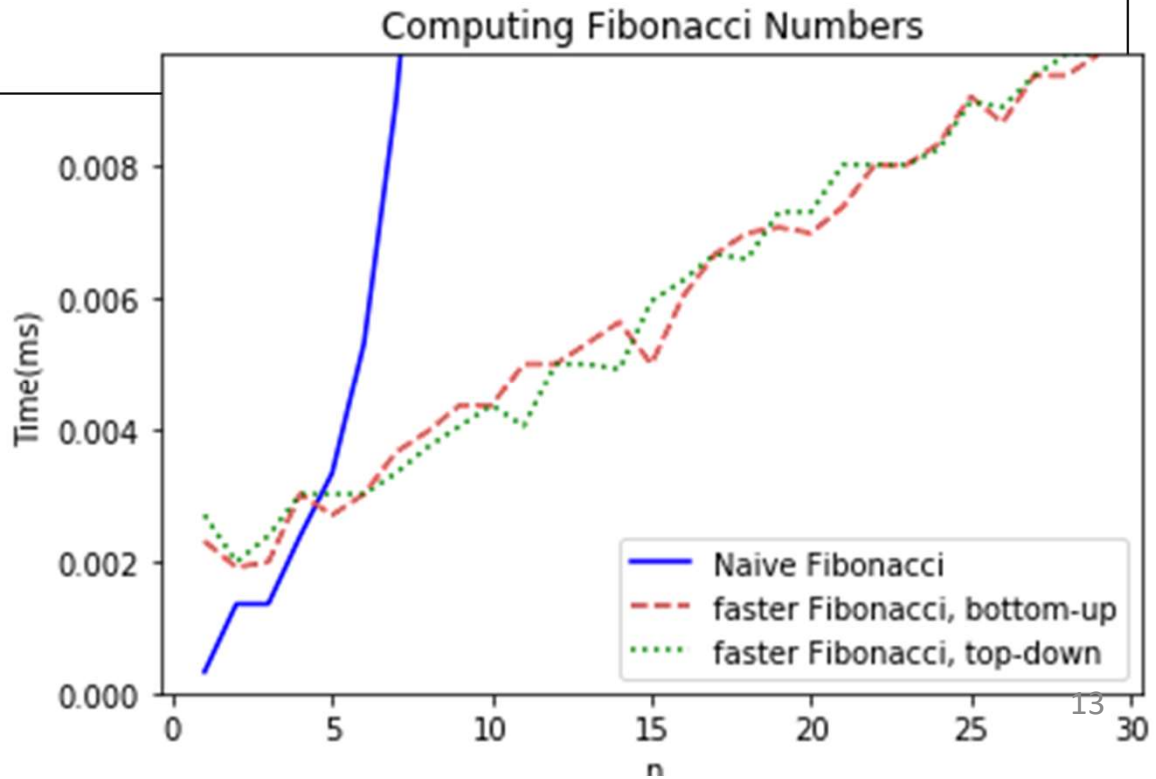
- Think of it like a recursive algorithm.
- To solve the big problem:
  - Recurse to solve smaller problems
    - Those recurse to solve smaller problems
      - etc..

- The difference from divide and conquer:
  - Keep track of what small problems you've already solved to prevent re-solving the same problem twice.
  - Aka, "**memo-ization"**

Example of top-down Fibonacci

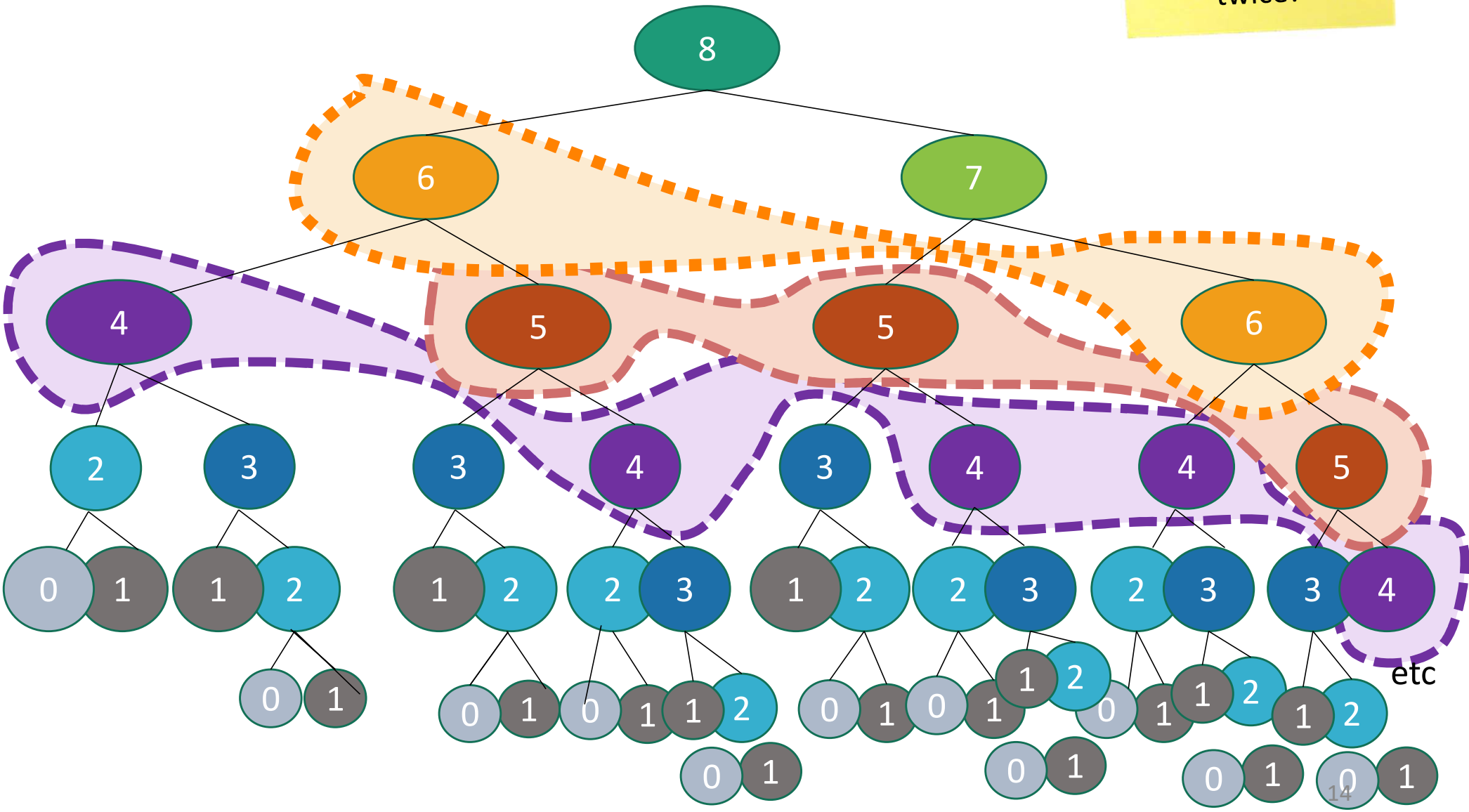
```
• define a global list F = [0,1,None, None, …, None]
• def Fibonacci(n):
    • if F[n] != None:
        • return F[n]
    • else:
        • F[n] = Fibonacci(n-1) + Fibonacci(n-2)
    • return F[n]
```

Memo-ization: Keeps track (in F) of the stuff you've already done.



Memo-ization visualization

Collapse repeated nodes and don't do the same work twice!



C++ Implementation

```
int fibo(int f[], int n) {
    if (f[n] >=0) return f[n];
    return (f[n] = fibo(f, n-1) + f[n-2]);
}
```

```
int main() {
    int n;
    cin >> n;
    int a[n+1];
    a[0] = 0, a[1] = 1;
    for (int i=2; i<=n; i++) a[i] = -1;
    cout << fibo(a, n) << endl;
}
```

Nội dung

Tìm hiểu về quy hoạch động (dynamic programming) qua các ví dụ tiêu biểu:

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1. Longest common subsequence
2. Knapsack problem

Longest Common Subsequence

- How similar are these two species?

DNA:

AGCCCTAAGGGCTACCTAGCTT

DNA:

GACAGCCTACAAGCGTTAGCTTG

Longest Common Subsequence

- How similar are these two species?

DNA:

AGCCCTAAGGGCTACCTAGCTT

DNA:

GACAGCCTACAAGCGTTAGCTTG

- Pretty similar, their DNA has a long common subsequence:

AGCCTAAGCTTAGCTT

Longest Common Subsequence

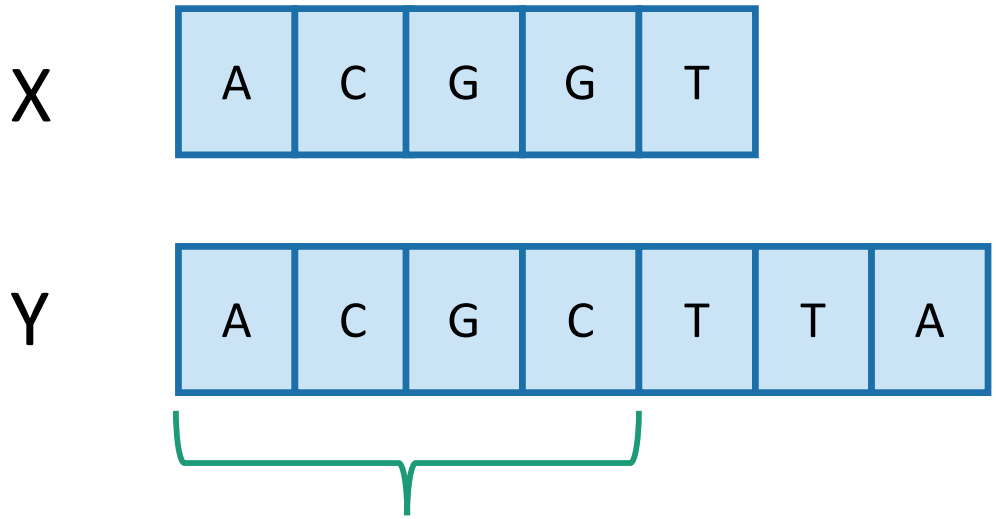
- Subsequence:
  - BDFH is a **subsequence** of ABCDEFGH
- If X and Y are sequences, a **common subsequence** is a sequence which is a subsequence of both.
  - BDFH is a **common subsequence** of ABCDEFGH and of ABDFGHI
- A **longest common subsequence**…
  - …is a common subsequence that is longest.
  - The **longest common subsequence** of ABCDEFGH and ABDFGHI is ABDFGH.

Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
- **Step 2:** Find a recursive formulation for the length of the longest common subsequence.
- **Step 3:** Use dynamic programming to find the length of the longest common subsequence.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual LCS.
- **Step 5:** If needed, code this up like a reasonable person.

Step 1: Optimal substructure

Prefixes:



Notation: denote this prefix **ACGC** by Y_4

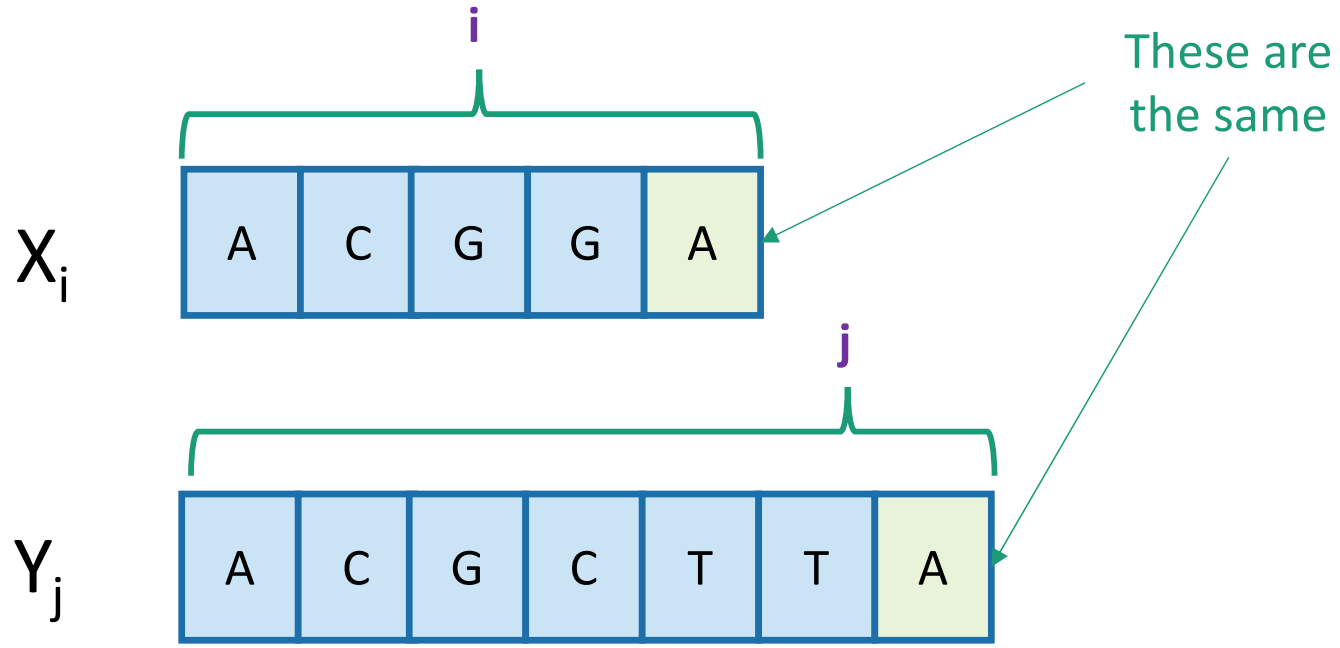
- Our sub-problems will be finding LCS's of prefixes to X and Y.
- Let C[i,j] = length_of_LCS(X_i, Y_j)

Examples: C[2,3] = 2
C[4,4] = 3

Two cases

- Our sub-problems will be finding LCS's of prefixes to X and Y.
- Let C[i,j] = length_of_LCS(X_i, Y_j)

Case 1: X[i] = Y[j]

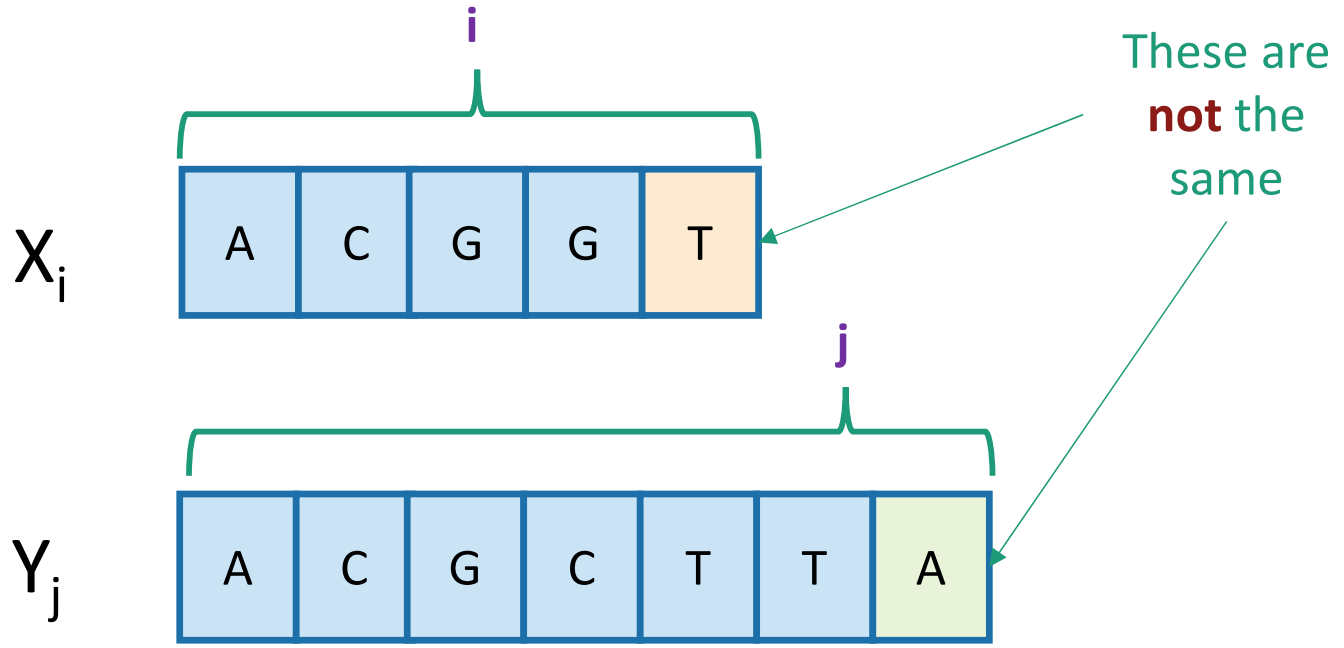


- Then C[i,j] = 1 + C[i-1,j-1].
  - because LCS(X_i,Y_j) = LCS(X_{i-1},Y_{j-1}) followed by A

Two cases

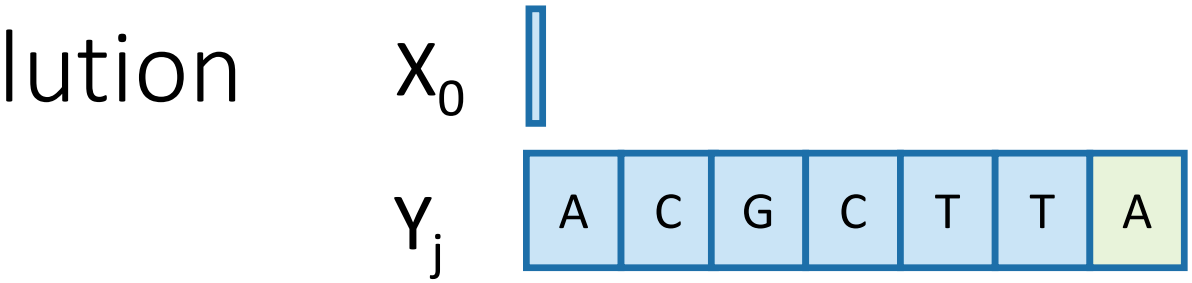
- Our sub-problems will be finding LCS's of prefixes to X and Y.
- Let C[i,j] = length_of_LCS(X_i, Y_j)

Case 2: X[i] != Y[j]



- Then C[i,j] = max{ C[i-1,j], C[i,j-1] }.
  - either $LCS(X_i,Y_j) = LCS(X_{i-1},Y_j)$ and T is not involved,
  - or $LCS(X_i,Y_j) = LCS(X_i,Y_{j-1})$ and A is not involved,
  - (maybe both are not involved, that's covered by the "or").

Recursive formulation
of the optimal solution

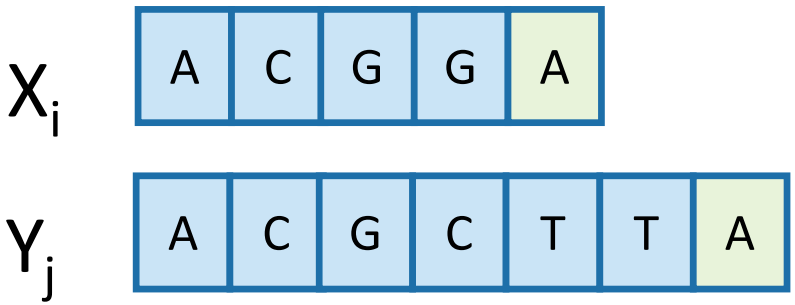


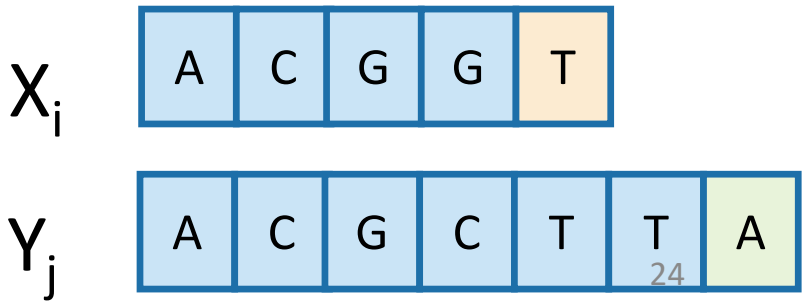
Case 0

- $C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1]+1 & \text{if } X[i] = Y[j] \text{ and } i,j > 0 \\ \max\{C[i,j-1], C[i-1,j]\} & \text{if } X[i] \neq Y[j] \text{ and } i,j > 0 \end{cases}$

Case 1

Case 2





LCS DP

- **LCS**(X, Y):
  - C[i,0] = C[0,j] = 0 for all i = 0,…,m, j=0,…n.
  - **For** i = 1,…,m and j = 1,…,n:
    - **If** X[i] = Y[j]:
      - C[i,j] = C[i-1,j-1] + 1
    - **Else:**
      - C[i,j] = max{ C[i,j-1], C[i-1,j] }
  - Return C[m,n]

Running time: O(nm)

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i,j > 0 \\ \max\{ C[i,j-1], C[i-1,j] \} & \text{if } X[i] \neq Y[j] \text{ and } i,j > 0 \end{cases}$$

Example

X

A	C	G	G	A

Y

A	C	T	G

Y

A	C	T	G

X

A	0	0	0	0	0
C	0				
G	0				
G	0				
A	0				

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1, j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{ C[i, j-1], C[i-1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

Example

X

A	C	G	G	A

Y

A	C	T	G

Y

A	C	T	G

X

A	0	0	0	0	0
C	0	1	1	1	1
G	0	1	2	2	2
G	0	1	2	2	3
A	0	1	2	2	3
	0	1	2	2	3

So the LCM of X and Y has length 3.

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1, j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{ C[i, j-1], C[i-1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
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- **Step 5:** If needed, code this up like a reasonable person.

Example

X

A	C	G	G	A

Y

A	C	T	G

Y

A	C	T	G

X

A	0	0	0	0	0
C	0	1	1	1	1
G	0	1	2	2	2
G	0	1	2	2	3
A	0	1	2	2	3
	0	1	2	2	3

- Once we've filled this in, we can work backwards.

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1, j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{ C[i, j-1], C[i-1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

Example

X

A	C	G	G	A

Y

A	C	T	G

Y

A	C	T	G

X

A	0	0	0	0	0
C	0	1	1	1	1
G	0	1	2	2	2
G	0	1	2	2	3
A	0	1	2	2	3
	0	1	2	2	3

- Once we've filled this in, we can work backwards.

That 3 must have come from the 3 above it.

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1, j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{ C[i, j-1], C[i-1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

Example

X

A	C	G	G	A

Y

A	C	T	G

Y

A	C	T	G

X

A	0	0	0	0	0
C	0	1	1	1	1
G	0	1	2	2	2
G	0	1	2	2	3
A	0	1	2	2	3
	0	1	2	2	3

- Once we've filled this in, we can work backwards.
- A diagonal jump means that we found an element of the LCS!

This 3 came from that 2 – we found a match!

G

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1, j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{ C[i, j-1], C[i-1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

Example

X

A	C	G	G	A

Y

A	C	T	G

Y

A	C	T	G

X

A	0	0	0	0	0
C	0	1	1	1	1
G	0	1	2	2	2
G	0	1	2	2	3
A	0	1	2	2	3
	0	1	2	2	3

- Once we've filled this in, we can work backwards.
- A diagonal jump means that we found an element of the LCS!

That 2 may as well have come from this other 2.

G

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1, j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{ C[i, j-1], C[i-1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

Example

X

A	C	G	G	A

Y

A	C	T	G

Y

A	C	T	G

X

A	0	0	0	0	0
C	0	1	1	1	1
G	0	1	2	2	2
G	0	1	2	2	3
A	0	1	2	2	3
	0	1	2	2	3

- Once we've filled this in, we can work backwards.
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G

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1, j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{ C[i, j-1], C[i-1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

Example

X

A	C	G	G	A

Y

A	C	T	G

Y

A	C	T	G

X

A	0	0	0	0	0
C	0	1	1	1	1
G	0	1	2	2	2
G	0	1	2	2	3
A	0	1	2	2	3
	0	1	2	2	3

- Once we've filled this in, we can work backwards.
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C	G

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1, j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{ C[i, j-1], C[i-1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

Example

X

A	C	G	G	A

Y

A	C	T	G

Y

	A	C	T	G
	0	0	0	0	0
A	0	1	1	1	1
C	0	1	2	2	2
G	0	1	2	2	3
G	0	1	2	2	3
A	0	1	2	2	3

X

- Once we've filled this in, we can work backwards.
- A diagonal jump means that we found an element of the LCS!

A	C	G

This is the LCS!

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1, j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{ C[i, j-1], C[i-1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

Nội dung

Tìm hiểu về quy hoạch động (dynamic programming) qua các ví dụ tiêu biểu:

0. Fibonacci Numbers
1. Longest common subsequence
2. Knapsack problem

Example 2: Knapsack Problem

- We have n items with weights and values:

Item:					
Weight:	6	2	4	3	11
Value:	20	8	14	13	35

- And we have a knapsack:
  - it can only carry so much weight:

Capacity: 10

Some notation

Item:					
Weight:	w_1	w_2	w_3	...	w_n
Value:	v_1	v_2	v_3		v_n

Capacity: W

Capacity: 10

Item:	🐢	💡	🍉	🌮	🚒
Weight:	6	2	4	3	11
Value:	20	8	14	13	35

- Unbounded Knapsack:
  - Suppose I have infinite copies of all of the items.
  - What's the most valuable way to fill the knapsack?

🌮 🌮 💡 💡

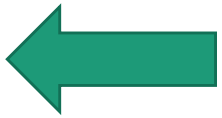
Total weight: 10
Total value: 42

- 0/1 Knapsack:
  - Suppose I have only one copy of each item.
  - What's the most valuable way to fill the knapsack?

💡 🍉 🌮

Total weight: 9
Total value: 35

Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure. 
- **Step 2:** Find a recursive formulation for the value of the optimal solution.
- **Step 3:** Use dynamic programming to find the value of the optimal solution.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up like a reasonable person.

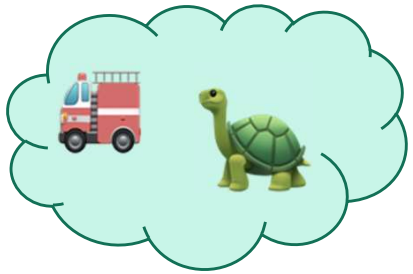
Optimal substructure: try 2

- Sub-problems:
  - 0/1 Knapsack with fewer items.

First solve the problem with few items

We'll still increase the size of the knapsacks.

Then more items

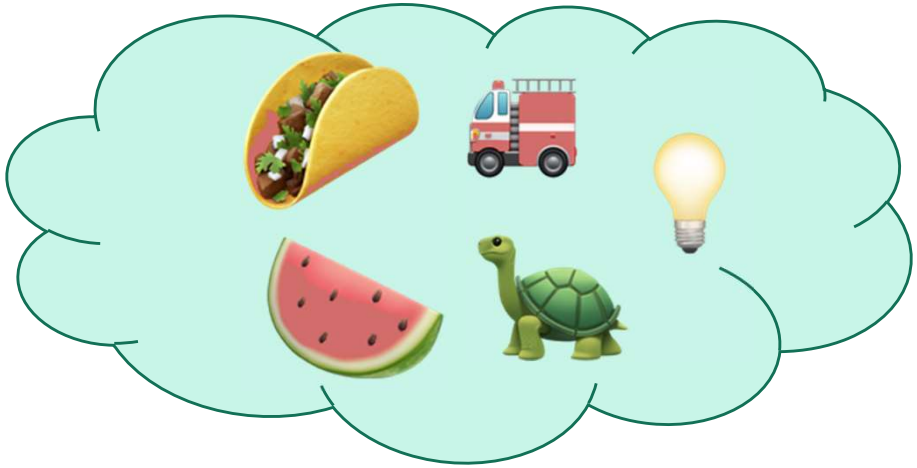


Then yet more items

(We'll keep a two-dimensional table).

Our sub-problems:

- Indexed by x and j



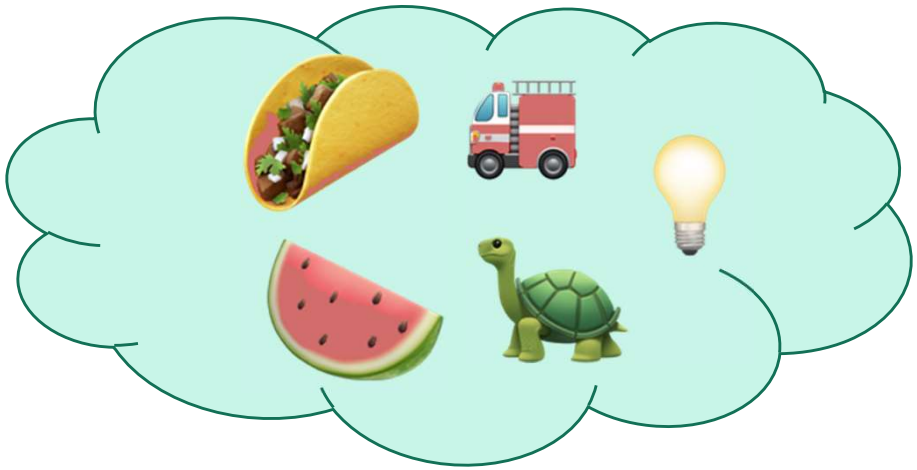
First j items

Capacity x

K[x,j] = optimal solution for a knapsack of size x using only the first j items.

Relationship between sub-problems

- Want to write K[x,j] in terms of smaller sub-problems.



First j items

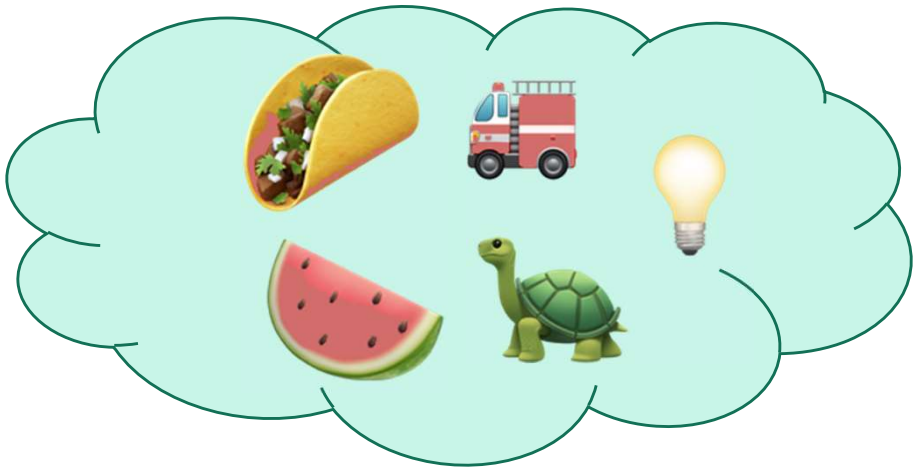
Capacity x

K[x,j] = optimal solution for a knapsack of size x using only the first j items.

Two cases

item j

- **Case 1**: Optimal solution for j items does not use item j.
- **Case 2**: Optimal solution for j items does use item j.



First j items

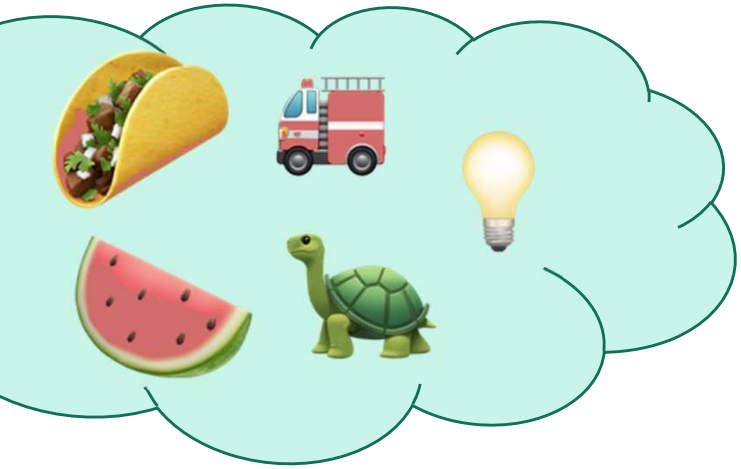
Capacity x

K[x,j] = optimal solution for a knapsack of size x using only the first j items.

Two cases

item j

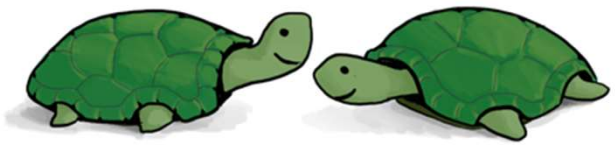
- **Case 1**: Optimal solution for j items does not use item j.



First j items

Capacity x
Value V
Use only the first j items

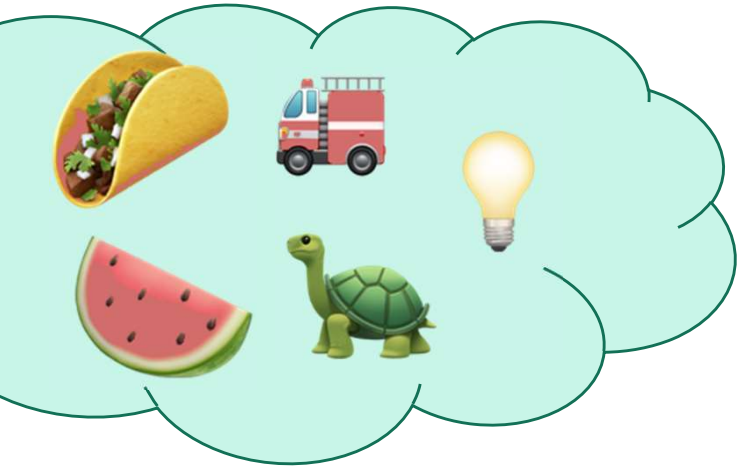
What lower-indexed problem should we solve to solve this problem?
1 min think; (wait) 1 min share



Two cases

item j

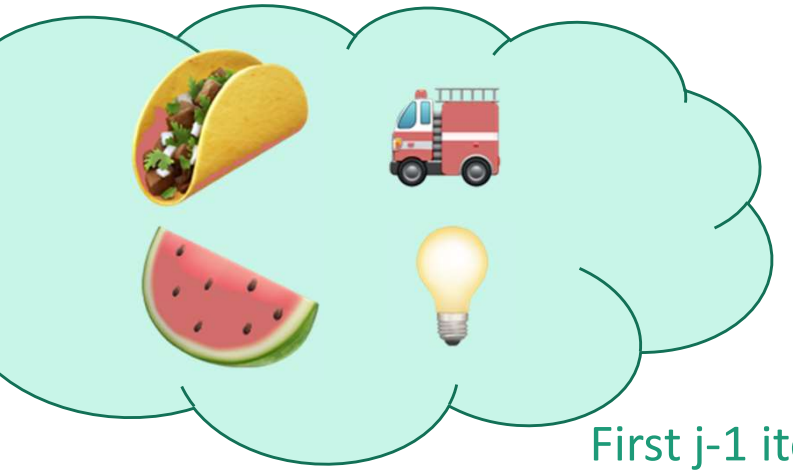
- **Case 1**: Optimal solution for j items does not use item j.



First j items

Capacity x
Value V
Use only the first j items

- Then this is an optimal solution for j-1 items:



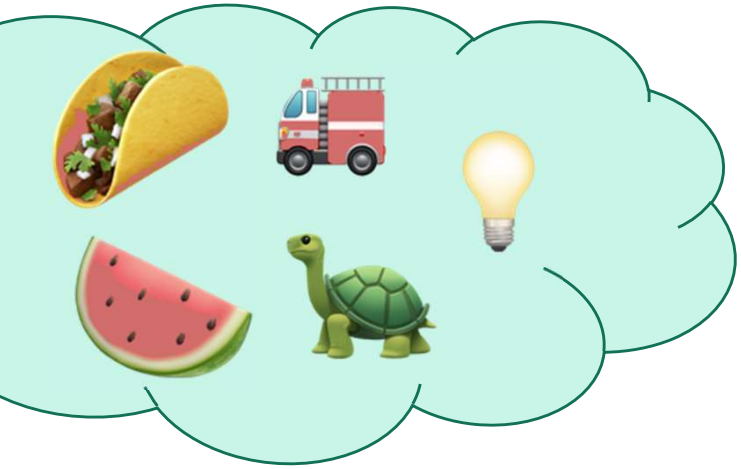
First j-1 items

Capacity x
Value V
Use only the first j-1 items.

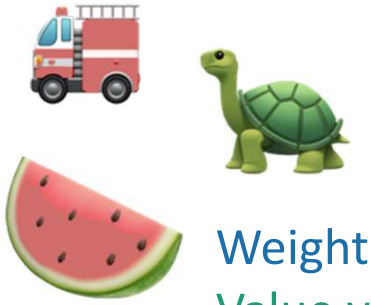
Two cases

item j

- **Case 2**: Optimal solution for j items uses item j.



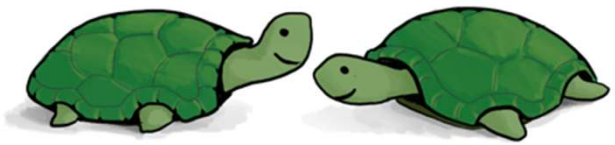
First j items



Weight w_j
Value v_j

Capacity x
Value V
Use only the first j items

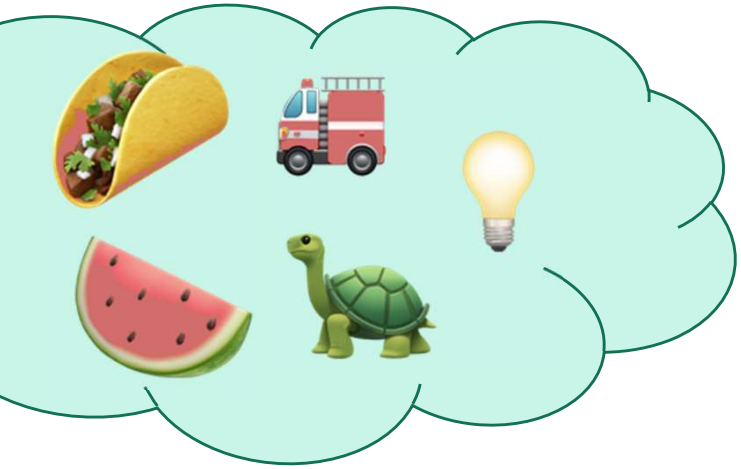
What lower-indexed problem should we solve to solve this problem?
1 min think; (wait) 1 min share



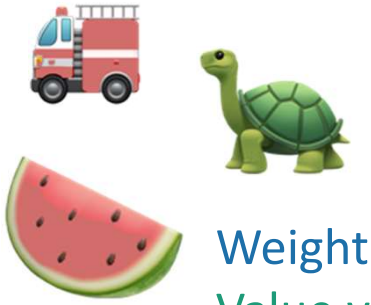
Two cases

item j

- **Case 2**: Optimal solution for j items uses item j.



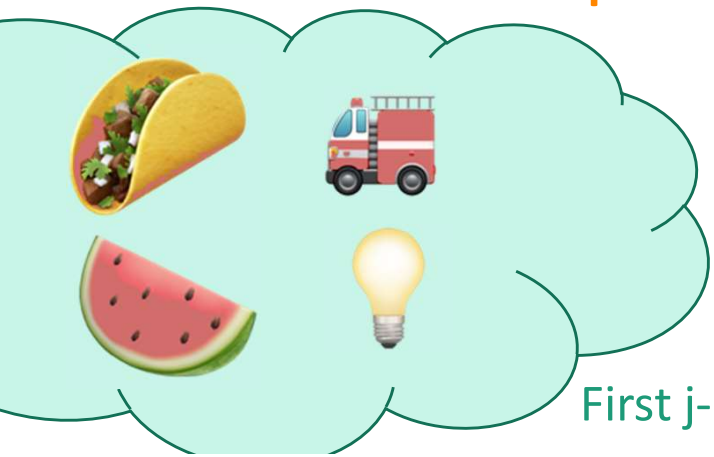
First j items



Weight w_j
Value v_j

Capacity x
Value V
Use only the first j items

- Then this is an optimal solution for j-1 items and a smaller knapsack:



First j-1 items

Capacity x – w_j
Value V – v_j
Use only the first j-1 items.

Recursive relationship

- Let K[x,j] be the optimal value for:
  - capacity x,
  - with j items.

$$K[x,j] = \max\{ K[x, j-1] , K[x - w_j, j-1] + v_j \}$$

Case 1 Case 2

- (And K[x,0] = 0 and K[0,j] = 0).

Bottom-up DP algorithm

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1] Case 1
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ } Case 2
  - **return** K[W,n]

Running time O(nW)

Example

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0			
j=2	0			
j=3	0			

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

current entry

relevant previous entry

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

Example

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	0		
j=2	0			
j=3	0			

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

current entry

relevant previous entry

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

Example

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1		
j=2	0			
j=3	0			

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

current entry

relevant previous entry

Item:

Weight: 1 2 3

Value: 1 4 6

Capacity: 3

Example

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1	1	
j=2	0	1	4	
j=3	0	1		

current entry

relevant previous entry

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

Example

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1	1	
j=2	0	1	4	
j=3	0	1	4	

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

current entry

relevant previous entry

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

Example

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1	1	1
j=2	0	1	4	5
j=3	0	1	4	6

So the optimal solution is to put one watermelon in your knapsack!

current entry

relevant previous entry

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

What have we learned?

- ***Dynamic programming:***
  - Paradigm in algorithm design.
  - Uses **optimal substructure**
  - Uses **overlapping subproblems**
  - Can be implemented **bottom-up** or **top-down**.
  - It's a fancy name for a pretty common-sense idea:

Why "*dynamic programming*" ?

- Programming refers to finding the optimal "program."
  - as in, a shortest route is a *plan* aka a *program*.
- Dynamic refers to the fact that it's multi-stage.
- But also it's just a fancy-sounding name.

Manipulating computer code in an action movie?

Why "*dynamic programming*" ?

- Richard Bellman invented the name in the 1950's.
- At the time, he was working for the RAND Corporation, which was basically working for the Air Force, and government projects needed flashy names to get funded.
- From Bellman's autobiography:
  - "It's impossible to use the word, dynamic, in the pejorative sense…I thought dynamic programming was a good name. It was something not even a Congressman could object to."