

Chapter 2

Arrays and Structures

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References:

- E. Horowitz, S. Sahni and S. Anderson-Freed, *Fundamentals of Data Structures (2nd Edition)*
- Slides are credited from Prof. Chung, NTHU

Outline

- The array as an Abstract Data Type
- Structures and Unions
- The polynomial Abstract Data Type
- The Sparse Matrix Abstract Data Type
- The Representation of Multidimensional Arrays
- String Matching

THE ARRAY AS AN ADT

The array as an ADT (1)

- Arrays
 - A set of pairs, $\langle \textit{index}, \textit{value} \rangle$
 - Data structure
 - For each index, there is a value associated with that index.
 - Representation (possible)
 - Implemented by using consecutive memory.
 - In mathematical terms, we call this a *correspondence* or a *mapping*.

The array as an ADT (2)

- When considering an ADT we are more concerned with the **operations** that can be performed on an array.
 - Aside from **creating** a new array, most languages provide only two standard operations for arrays, one that **retrieves** a value, and a second that **stores** a value.
 - The advantage of this ADT definition is that it clearly points out the fact that the array is a more general structure than “a consecutive set of memory locations.”

The array as an ADT (3)

structure *Array* is

objects: A set of pairs $\langle index, value \rangle$ where for each value of *index* there is a value from the set *item*. *Index* is a finite ordered set of one or more dimensions, for example, $\{0, \dots, n-1\}$ for one dimension, $\{(0, 0), (0, 1), (0, 2), (1, 0), (1, 1), (1, 2), (2, 0), (2, 1), (2, 2)\}$ for two dimensions, etc.

functions:

for all $A \in \text{Array}, i \in \text{index}, x \in \text{item}, j, \text{size} \in \text{integer}$

Array Create(j, list) ::= **return** an array of j dimensions where *list* is a j -tuple whose i th element is the size of the i th dimension. *Items* are undefined.

Item Retrieve(A, i) ::= **if** ($i \in \text{index}$) **return** the item associated with index value i in array A
else return error

Array Store(A, i, x) ::= **if** ($i \in \text{index}$)
return an array that is identical to array A except the new pair $\langle i, x \rangle$ has been inserted **else return** error.

end *Array*

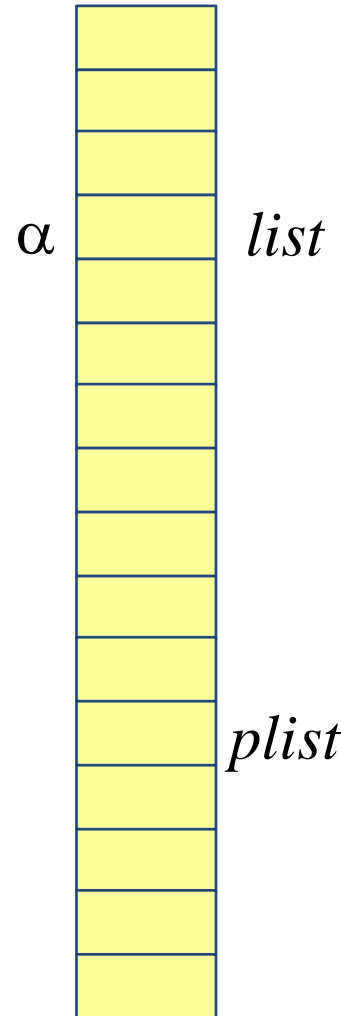
The array as an ADT (4)

- Arrays in C

- `int list[5], *plist[5];`
- `list[5]`: (five integers)
 - `list[0]`, `list[1]`, `list[2]`, `list[3]`, `list[4]`
- `*plist[5]`: (five pointers to integers)
 - `plist[0]`, `plist[1]`, `plist[2]`, `plist[3]`, `plist[4]`

- implementation of 1-D array

| | |
|----------------------|--|
| <code>list[0]</code> | base address = α |
| <code>list[1]</code> | $\alpha + \text{sizeof}(\text{int})$ |
| <code>list[2]</code> | $\alpha + 2 * \text{sizeof}(\text{int})$ |
| <code>list[3]</code> | $\alpha + 3 * \text{sizeof}(\text{int})$ |
| <code>list[4]</code> | $\alpha + 4 * \text{sizeof}(\text{int})$ |



The array as an ADT (5)

- Compare `int *list1` and `int list2[5]` in C.

Same: `list1` and `list2` are **pointers**

Difference: `list2` **reserves five locations**

- Notations

`list2` — a pointer to `list2[0]`

`(list2 + i)` — a pointer to `list2[i]` (`&list2[i]`)

`*(list2 + i)` — `list2[i]`



Example

| Address | Contents |
|---------|----------|
| 1228 | 0 |
| 1230 | 1 |
| 1232 | 2 |
| 1234 | 3 |
| 1236 | 4 |

- 1-dimension array addressing
 - `int one[] = {0, 1, 2, 3, 4};`
 - Goal: print out address and value

```
void print1(int *ptr, int rows) {  
    /* print out a one-dimensional array using a pointer */  
    int i;  
    printf("Address Contents\n");  
    for (i=0; i < rows; i++)  
        printf("%8u%5d\n", ptr+i,  
            *(ptr+i) );  
    printf("\n");  
}
```

STRUCTURES AND UNIONS

Structures and Unions (1)

- Structures (Records)
 - Arrays are collections of data of the same type
 - In C there is an alternate way of grouping data that permit the data to vary in type
 - This mechanism is called the *struct*, short for structure
 - A structure is a collection of data items, where each item is identified as to its type and name

```
struct {  
    char name[10];  
    int age;  
    float salary;  
} person;
```

```
strcpy(person.name, "james");  
person.age = 10;  
person.salary = 35000;
```

Structures and Unions (2)

- Create structure data type
 - We can create our own structure data types by using the `typedef` statement as below

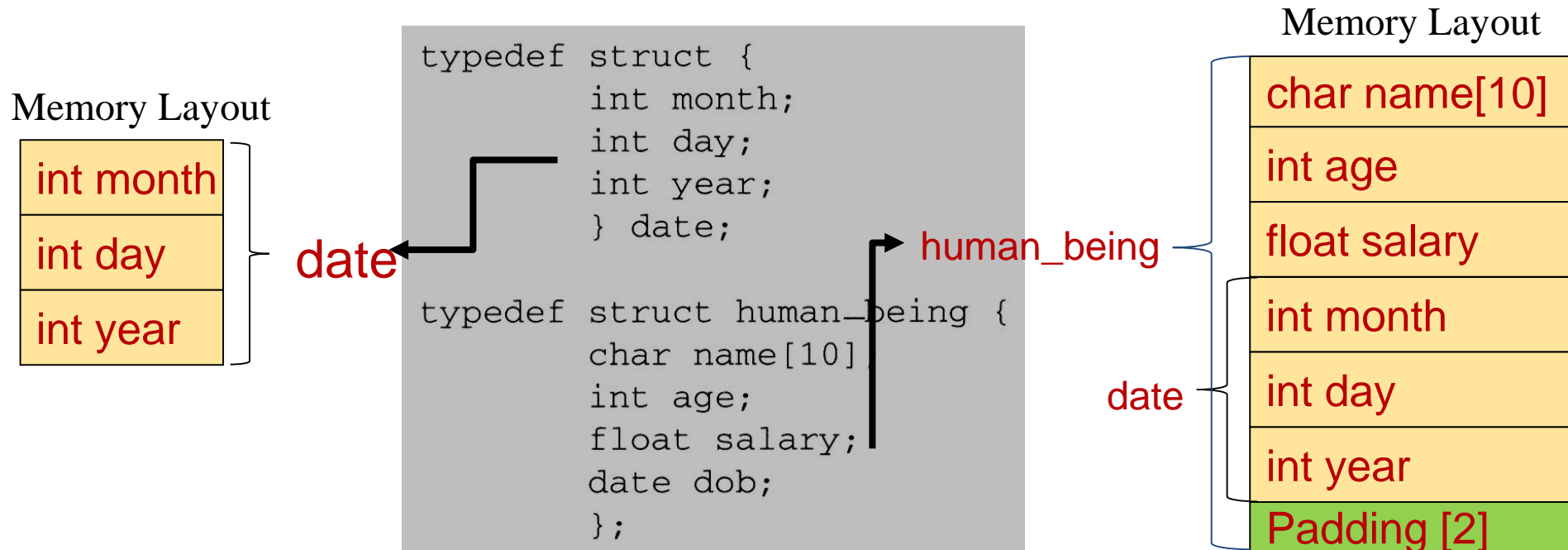
```
typedef struct human-being {    or    typedef struct {  
    char name[10];              char name[10];  
    int age;                    int age;  
    float salary;              float salary;  
};                              } human-being;
```

- This says that `human-being` is the name of the type defined by the structure definition, and we may follow this definition with declarations of variables such as:

human-being person1, person2;

Structures and Unions (3)

- We can also embed a structure within a structure.



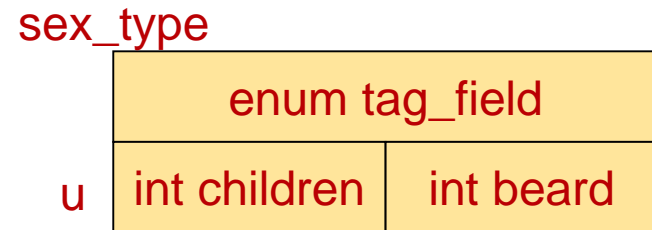
- A person born on February 11, 1994, would have values for the date struct set as

```
person1.dob.month = 2;
person1.dob.day = 11;
person1.dob.year = 1944;
```

Structures and Unions (4)

- Unions
 - A union declaration is similar to a structure
 - The fields of a union *must share their memory space*
 - *Only one* field of the union is “active” at any given time

Memory Layout



person1.sex_info.sex = male;
person1.sex_info.u.beard = FALSE;
and

person2.sex_info.sex = female;
person2.sex_info.u.children = 4;

```
typedef struct sex-type {  
    enum tag-field {female, male} sex;  
    union {  
        int children;  
        int beard ;  
    } u;  
};  
  
typedef struct human-being {  
    char name[10];  
    int age;  
    float salary;  
    date dob;  
    sex-type sex-info;  
};  
  
human-being person1, person2;
```

Structures and Unions (5)

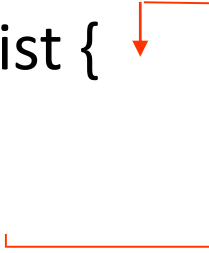
- Internal implementation of structures
 - The fields of a structure in memory will be stored in the same way using increasing address locations in the order specified in the structure definition
 - Holes or padding may actually occur
 - Within a structure to permit two consecutive components to be properly aligned within memory
 - The size of an object of a struct or union type is the amount of storage necessary to represent the largest component, including any padding that may be required

Structures and Unions (6)

- Self-Referential Structures

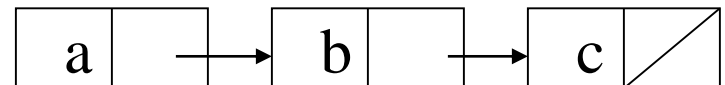
- One or more of its components is a pointer to itself

- `typedef struct list {`
 `char data;`
 `list *link;`
 `}`



- `list item1, item2, item3;`
 `item1.data='a';`
 `item2.data='b';`
 `item3.data='c';`
 `item1.link=&item2;`
 `item2.link=&item3;`
 `item3.link=NULL;`

Construct a list with three nodes
`item1.link=&item2;`
`item2.link=&item3;`
malloc: obtain a node (memory)
free: release memory



THE POLYNOMIAL ADT

Ordered or Linear List

- Examples

- Ordered (linear) list: $(\text{item}_1, \text{item}_2, \text{item}_3, \dots, \text{item}_n)$

- (Sunday, Monday, Tuesday, Wednesday, Thursday, Friday, Saturday)
 - (Ace, 2, 3, 4, 5, 6, 7, 8, 9, 10, Jack, Queen, King)
 - (basement, lobby, mezzanine, first, second)
 - (1941, 1942, 1943, 1944, 1945)
 - $(a_1, a_2, a_3, \dots, a_{n-1}, a_n)$

Operations on Ordered List

- Operations
 - **Finding** the length, n , of the list.
 - **Reading** the items from left to right (or right to left).
 - **Retrieving** the i 'th element.
 - **Storing** a new value into the i 'th position.
 - **Inserting** a new element at the position i , causing elements numbered $i, i+1, \dots, n$ to become numbered $i+1, i+2, \dots, n+1$
 - **Deleting** the element at position i , causing elements numbered $i+1, \dots, n$ to become numbered $i, i+1, \dots, n-1$

The Polynomial

- Polynomial examples
 - Two example polynomials are
 - $A(x) = 3x^{20} + 2x^5 + 4$
 - $B(x) = x^4 + 10x^3 + 3x^2 + 1$
 - Assume that we have two polynomials, $A(x) = \sum a_i x^i$ and $B(x) = \sum b_i x^i$ where x is the variable, a_i is the coefficient, and i is the exponent, then
 - $A(x) + B(x) = \sum (a_i + b_i) x^i$
 - $A(x) \cdot B(x) = \sum (a_i x^i \cdot \sum (b_j x^j))$
 - Similarly, we can define subtraction and division on polynomials, as well as many other operations

- An ADT Definition of a Polynomial

structure *Polynomial* is

objects: $p(x) = a_1x^{e_1} + \dots + a_nx^{e_n}$; a set of ordered pairs of $\langle e_i, a_i \rangle$ where a_i in *Coefficients* and e_i in *Exponents*, e_i are integers ≥ 0

functions:

for all $poly, poly1, poly2 \in Polynomial$, $coef \in Coefficients$, $expon \in Exponents$

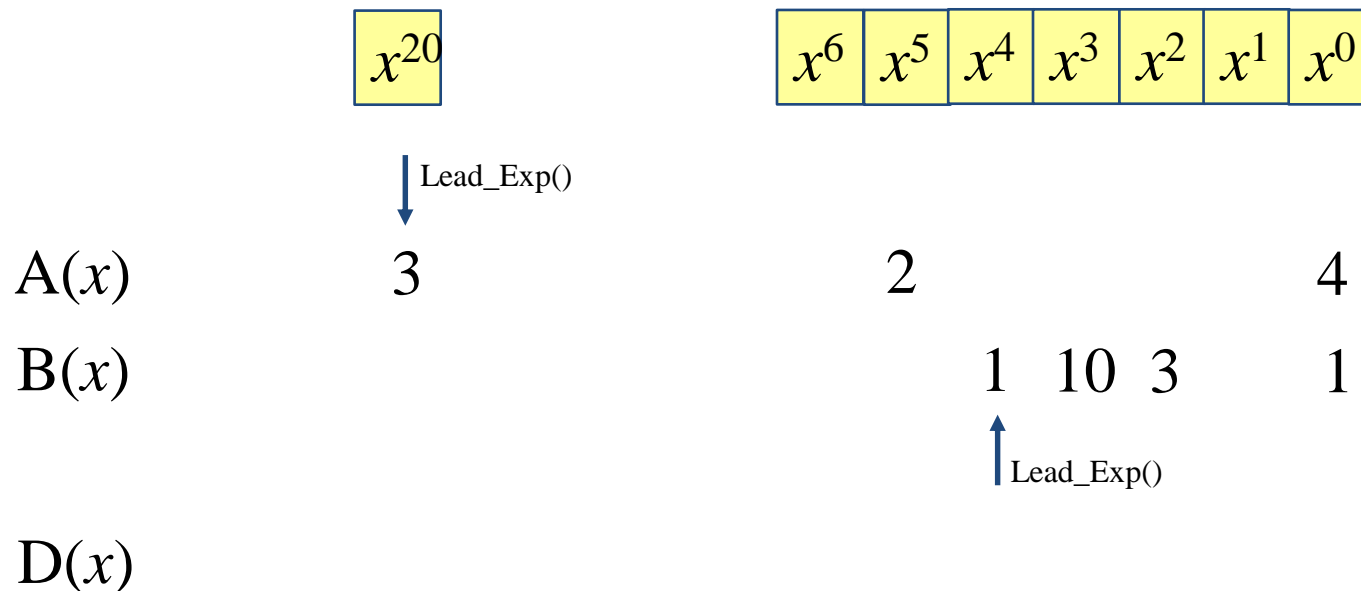
| | | |
|--|-----|---|
| <i>Polynomial</i> Zero() | ::= | return the polynomial, $p(x) = 0$ |
| <i>Boolean</i> IsZero(<i>poly</i>) | ::= | if (<i>poly</i>) return <i>FALSE</i> else return <i>TRUE</i> |
| <i>Coefficient</i> Coef(<i>poly</i> , <i>expon</i>) | ::= | if (<i>expon</i> \in <i>poly</i>) return its coefficient else return zero |
| <i>Exponent</i> Lead-Exp(<i>poly</i>) | ::= | return the largest exponent in <i>poly</i> |
| <i>Polynomial</i> Attach(<i>poly</i> , <i>coef</i> , <i>expon</i>) | ::= | if (<i>expon</i> \in <i>poly</i>) return error else return the polynomial <i>poly</i> with the term $\langle coef, expon \rangle$ inserted |
| <i>Polynomial</i> Remove(<i>poly</i> , <i>expon</i>) | ::= | if (<i>expon</i> \in <i>poly</i>) return the polynomial <i>poly</i> with the term whose exponent is <i>expon</i> deleted else return error |
| <i>Polynomial</i> SingleMult(<i>poly</i> , <i>coef</i> , <i>expon</i>) | ::= | return the polynomial $poly \cdot coef \cdot x^{expon}$ |
| <i>Polynomial</i> Add(<i>poly1</i> , <i>poly2</i>) | ::= | return the polynomial $poly1 + poly2$ |
| <i>Polynomial</i> Mult(<i>poly1</i> , <i>poly2</i>) | ::= | return the polynomial $poly1 \cdot poly2$ |

end *Polynomial*

Abstract data type *Polynomial*

Polynomial Addition (1)

- $A(x) = 3x^{20} + 2x^5 + 4$ and $B(x) = x^4 + 10x^3 + 3x^2 + 1$
- $D(x) = A(x) + B(x)$



Polynomial Addition (2)

– /* d = a + b, where a, b, and d are polynomials */

d = Zero()

while (! IsZero(a) && ! IsZero(b)) do {

switch (COMPARE (Lead_Exp(a), Lead_Exp(b))) {

case -1: d =

Attach(d, Coef (b, Lead_Exp(b)), Lead_Exp(b));

b = Remove(b, Lead_Exp(b));

break;

case 0: sum = Coef (a, Lead_Exp (a)) + Coef (b, Lead_Exp(b));

if (sum) {

Attach (d, sum, Lead_Exp(a));

a = Remove(a , Lead_Exp(a));

b = Remove(b , Lead_Exp(b));

}

break;

case 1: d =

Attach(d, Coef (a, Lead_Exp(a)), Lead_Exp(a));

a = Remove(a, Lead_Exp(a));

}

}

– Insert any remaining terms of a or b into d

advantage: easy implementation
disadvantage: waste space when sparse

The Polynomial ADT (1)

- There are two ways to create the type polynomial in C

- Representation I

- ```
#define MAX_degree 101
/*MAX degree of polynomial+1*/
typedef struct{
 int degree;
 float coef[MAX_degree];
} polynomial;
```

**Drawback** The first representation may waste space.

- Representation II

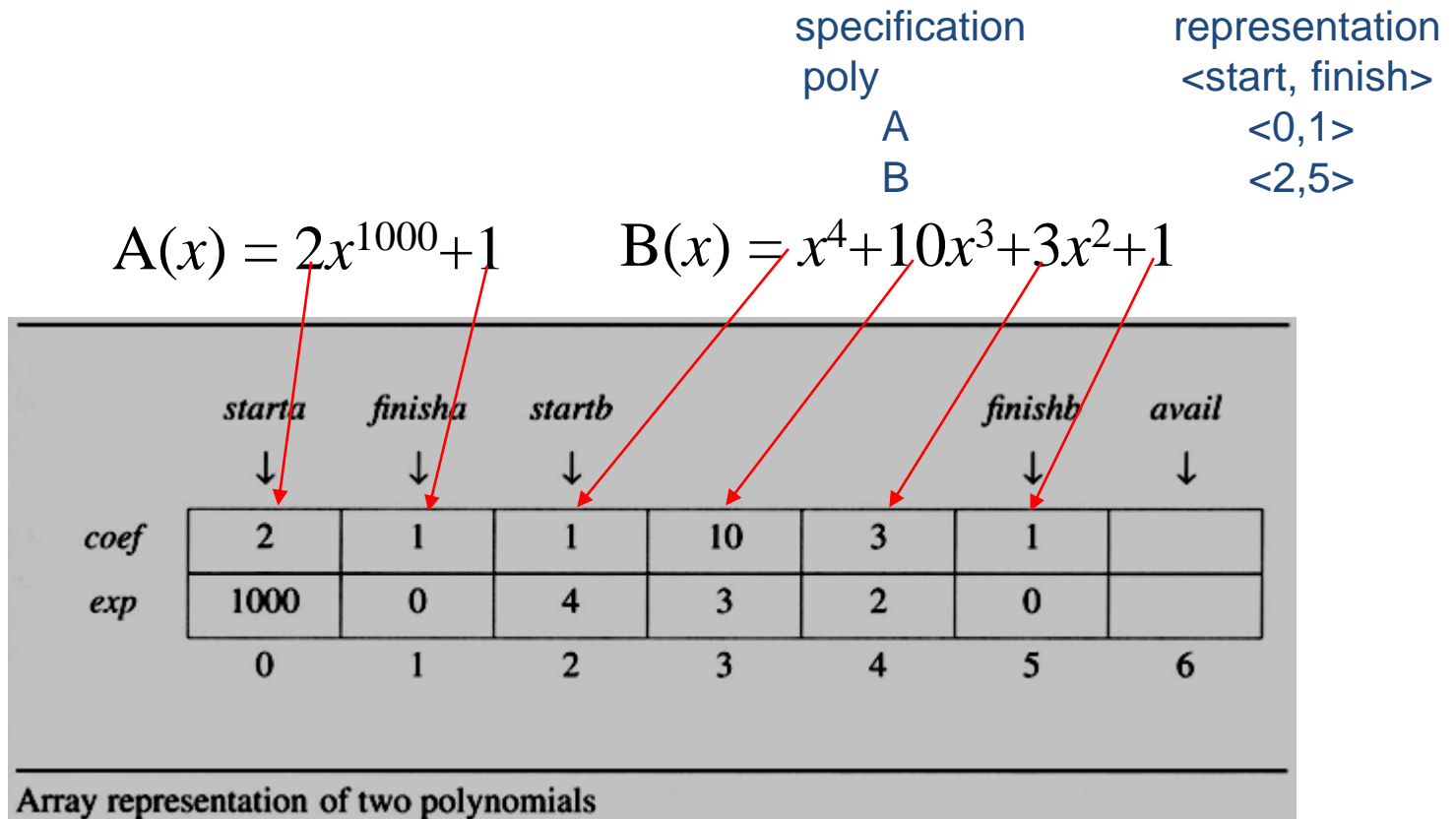
- ```
#define MAX_TERMS 100
/*size of terms array*/
typedef struct{
    float coef;
    int    expon;
} polynomial;
polynomial terms[MAX_TERMS];
int avail = 0;
```

Use one global array to store all polynomials



The Polynomial ADT (2)

- Use one global array to store all polynomials
 - The figure shows how these polynomials are stored in the array terms.



The Polynomial ADT (3)

- A C function that adds two polynomials, A and B, represented as above to obtain $D = A + B$.
 - To produce $D(x)$, padd adds $A(x)$ and $B(x)$ term by term.

Analysis: $O(n+m)$
where n (m) is the number
of nonzeros in A (B).

```
void padd(int starta,int finisha,int startb, int finishb,
          int *startd,int *finishd)
{
    /* add A(x) and B(x) to obtain D(x) */
    float coefficient;
    *startd = avail;
    while (starta <= finisha && startb <= finishb)
        switch(COMPARE(terms[starta].expon,
                       terms[startb].expon)) {
            case -1: /* a expon < b expon */
                attach(terms[startb].coef,terms[startb].expon);
                startb++;
                break;
            case 0: /* equal exponents */
                coefficient = terms[starta].coef +
                             terms[startb].coef;
                if (coefficient)
                    attach(coefficient,terms[starta].expon);
                starta++;
                startb++;
                break;
            case 1: /* a expon > b expon */
                attach(terms[starta].coef,terms[starta].expon);
                starta++;
        }
    /* add in remaining terms of A(x) */
    for(; starta <= finisha; starta++)
        attach(terms[starta].coef,terms[starta].expon);
    /* add in remaining terms of B(x) */
    for( ; startb <= finishb; startb++)
        attach(terms[startb].coef, terms[startb].expon);
    *finishd = avail-1;
}
```

```

void padd(int starta,int finisha,int startb, int finishb,
          int *startd,int *finishd)
{
    /* add A(x) and B(x) to obtain D(x) */
    float coefficient;
    *startd = avail;
    while (starta <= finisha && startb <= finishb)
    switch(COMPARE(terms[starta].expon,
                  terms[startb].expon)) {
        case -1: /* a expon < b expon */
            attach(terms[startb].coef,terms[startb].expon)
            startb++;
            break;
        case 0: /* equal exponents */
            coefficient = terms[starta].coef +
                          terms[startb].coef;
            if (coefficient)
                attach(coefficient,terms[starta].expon);
            starta++;
            startb++;
            break;
        case 1: /* a expon > b expon */
            attach(terms[starta].coef,terms[starta].expon)
            starta++;
    }
    /* add in remaining terms of A(x) */
    for(; starta <= finisha; starta++)
        attach(terms[starta].coef,terms[starta].expon);
    /* add in remaining terms of B(x) */
    for( ; startb <= finishb; startb++)
        attach(terms[startb].coef, terms[startb].expon);
    *finishd = avail-1;
}

```

Function to add two polynomials

$$A(x) = 2x^{1000} + 1$$

$$B(x) = x^4 + 10x^3 + 3x^2 + 1$$

$$\text{starta} = 2 \quad \text{finisha} = 1$$

$$\text{startb} = 6 \quad \text{finishb} = 5$$

$$\text{starta} \leq \text{finisha} = \text{FALSE}$$

$$\text{startb} \leq \text{finishb} = \text{FALSE}$$

Term

$$2x^{1000}$$

$$1$$

$$x^4$$

$$10x^3$$

$$3x^2$$

$$1$$

$$2x^{1000}$$

$$x^4$$

$$10x^3$$

$$3x^2$$

$$2$$

The Polynomial ADT (5)

Problem: Compaction is required
 when polynomials that are no longer needed.
 (data movement takes time)

```
void attach(float coefficient, int exponent)
{
    /* add a new term to the polynomial */
    if (avail >= MAX_TERMS) {
        fprintf(stderr, "Too many terms in the polynomial\n");
        exit(1);
    }
    terms[avail].coef = coefficient;
    terms[avail++].expon = exponent;
}
```

Function to add a new term

THE SPARSE MATRIX ADT

The Sparse Matrix (1)

- In mathematics, a matrix contains m rows and n columns of elements, we write $m \times n$ to designate a matrix with m rows and n columns.

5*3
15/15

| | col 0 | col 1 | col 2 |
|-------|-------|-------|-------|
| row 0 | -27 | 3 | 4 |
| row 1 | 6 | 82 | -2 |
| row 2 | 109 | -64 | 11 |
| row 3 | 12 | 8 | 9 |
| row 4 | 48 | 27 | 47 |

(a)

| | col 0 | col 1 | col 2 | col 3 | col 4 | col 5 |
|-------|-------|-------|-------|-------|-------|-------|
| row 0 | 15 | 0 | 0 | 22 | 0 | -15 |
| row 1 | 0 | 11 | 3 | 0 | 0 | 0 |
| row 2 | 0 | 0 | 0 | -6 | 0 | 0 |
| row 3 | 0 | 0 | 0 | 0 | 0 | 0 |
| row 4 | 91 | 0 | 0 | 0 | 0 | 0 |
| row 5 | 0 | 0 | 28 | 0 | 0 | 0 |

(b)

Two matrices

6*6
8/36

Sparse Matrix

The Sparse Matrix (2)

- The standard representation of a matrix is a two dimensional array defined as

$a[MAX_ROWS][MAX_COLS]$

- We can locate quickly any element by writing $a[i][j]$
- **Sparse matrix wastes space**
 - We must consider alternate forms of representation
 - Our representation of sparse matrices should **store only nonzero elements**
 - Each element is characterized by **$\langle row, col, value \rangle$**

The Sparse Matrix ADT (1)

- A minimal set of operations
 - Matrix creation
 - Addition
 - Multiplication
 - Transpose

structure *Sparse-Matrix* is

objects: a set of triples, $\langle \text{row}, \text{column}, \text{value} \rangle$, where *row* and *column* are integers and form a unique combination, and *value* comes from the set *item*.

functions:

for all $a, b \in \text{Sparse-Matrix}$, $x \in \text{item}$, $i, j, \text{max-col}, \text{max-row} \in \text{index}$

Sparse-Matrix Create(*max-row*, *max-col*) ::=

return a *Sparse-Matrix* that can hold up to $\text{max-items} = \text{max-row} \times \text{max-col}$ and whose maximum row size is *max-row* and whose maximum column size is *max-col*.

Sparse-Matrix Transpose(*a*) ::=

return the matrix produced by interchanging the row and column value of every triple.

Sparse-Matrix Add(*a*, *b*) ::=

if the dimensions of *a* and *b* are the same
return the matrix produced by adding corresponding items, namely those with identical *row* and *column* values.

else return error

Sparse-Matrix Multiply(*a*, *b*) ::=

if number of columns in *a* equals number of rows in *b*

return the matrix *d* produced by multiplying *a* by *b* according to the formula: $d[i][j] = \sum (a[i][k] \cdot b[k][j])$ where $d(i, j)$ is the (i, j) th element

else return error.

The Sparse Matrix ADT (2)

- The Create operation

Sparse-Matrix Create(*max-row*, *max-col*) ::=

```
#define MAX_TERMS 101 /* maximum number of terms +1*/
typedef struct {
    int col;
    int row;
    int value;
} term;
term a[MAX_TERMS];
```

The Sparse Matrix ADT (3)

- Represented by a two-dimensional array
- Each element is characterized by $\langle \text{row}, \text{col}, \text{value} \rangle$
- **row, column in ascending order**

| # of rows (columns) | | | | # of nonzero terms | | | |
|---------------------|-----|-----|-------|--------------------|--------------|-----|-------|
| | row | col | value | | row | col | value |
| <i>a</i> [0] | 6 | 6 | 8 | | <i>b</i> [0] | 6 | 8 |
| [1] | 0 | 0 | 15 | | [1] | 0 | 15 |
| [2] | 0 | 3 | 22 | | [2] | 0 | 4 |
| [3] | 0 | 5 | -15 | | [3] | 1 | 11 |
| [4] | 1 | 1 | 11 | | [4] | 2 | 1 |
| [5] | 1 | 2 | 3 | <i>transpose</i> | [5] | 2 | 5 |
| [6] | 2 | 3 | -6 | → | [6] | 3 | 0 |
| [7] | 4 | 0 | 91 | | [7] | 3 | 2 |
| [8] | 5 | 2 | 28 | | [8] | 5 | 0 |
| (a) | | | | | (b) | | |

Transpose a Matrix

- For each row i
 - take element $\langle i, j, value \rangle$ and store it in element $\langle j, i, value \rangle$ of the transpose
 - **difficulty**: where to put $\langle j, i, value \rangle$
 - $(0, 0, 15) \implies (0, 0, 15)$
 - $(0, 3, 22) \implies (3, 0, 22)$
 - $(0, 5, -15) \implies (5, 0, -15)$
 - $(1, 1, 11) \implies (1, 1, 11)$
 - Move elements down very often
- For all elements *in column j* ,
 - place element $\langle i, j, value \rangle$ in element $\langle j, i, value \rangle$

Transpose a Matrix

| | row | col | value |
|------|-----|-----|-------|
| a[0] | 6 | 6 | 8 |
| [1] | 0 | 0 | 15 |
| [2] | 0 | 3 | 22 |
| [3] | 0 | 5 | -15 |
| [4] | 1 | 1 | 11 |
| [5] | 1 | 2 | 3 |
| [6] | 2 | 3 | -6 |
| [7] | 4 | 0 | 91 |
| [8] | 5 | 2 | 28 |

For all column i in a

For all element j in a

| | | | |
|------|---|---|-----|
| b[0] | 6 | 6 | 8 |
| [1] | 0 | 0 | 15 |
| [2] | 0 | 4 | 91 |
| [3] | 1 | 1 | 11 |
| [4] | 2 | 1 | 3 |
| [5] | 2 | 5 | 28 |
| [6] | 3 | 0 | 22 |
| [7] | 3 | 2 | -6 |
| [8] | 5 | 0 | -15 |

```

void transpose(term a[], term b[])
/* b is set to the transpose of a */
{
    int n,i,j, currentb;
    n = a[0].value;          /* total number of elements */
    b[0].row = a[0].col;     /* rows in b = columns in a */
    b[0].col = a[0].row;     /* columns in b = rows in a */
    b[0].value = n;
    if (n > 0 ) { /* non zero matrix */
        currentb = 1;
        for (i = 0; i < a[0].col; i++)
            /* transpose by the columns in a */
            for (j = 1; j <= n; j++)
                /* find elements from the current column */
                if (a[j].col == i) {
                    /* element is in current column, add it to b */
                    b[currentb].row = a[j].col;
                    b[currentb].col = a[j].row;
                    b[currentb].value = a[j].value;
                    currentb++;
                }
    }
}

```

==> $O(\text{columns} * \text{elements})$

Discussion

- Compared with 2-D array representation
 - $O(\text{columns} * \text{elements})$ v.s. $O(\text{columns} * \text{rows})$
 - elements \rightarrow columns * rows when non-sparse, $O(\text{columns}^2 * \text{rows})$
- Problem: Scan the array “columns” times
 - In fact, we can transpose a matrix represented as a sequence of triples in $O(\text{columns} + \text{elements})$ time
- Solution
 - First, determine the number of elements in each column of the original matrix
 - Second, determine the starting positions of each row in the transpose matrix

Fast Transpose of a Sparse Matrix (1)


| | row | col | value | | row | col | value |
|------|-----|-----|-------|---|------|-----|-------|
| a[0] | 6 | 6 | 8 | → | b[0] | 6 | 8 |
| a[1] | 0 | 0 | 15 | → | b[1] | 0 | 15 |
| a[2] | 0 | 3 | 22 | | b[2] | 0 | 91 |
| a[3] | 0 | 5 | -15 | | b[3] | 1 | 11 |
| a[4] | 1 | 1 | 11 | | b[4] | 2 | 3 |
| a[5] | 1 | 2 | 3 | | b[5] | 2 | 28 |
| a[6] | 2 | 3 | -6 | → | b[6] | 3 | 22 |
| a[7] | 4 | 0 | 91 | | b[7] | 3 | -6 |
| a[8] | 5 | 2 | 28 | → | b[8] | 5 | -15 |

■ How to predict the location?


- First, determine the number of elements in each column of the original matrix
- Second, determine the **starting positions** of each row in the transpose matrix

Fast Transpose of a Sparse Matrix (2)

| | row | col | value |
|------|-----|-----|-------|
| a[0] | 6 | 6 | 8 |
| a[1] | 0 | 0 | 15 |
| a[2] | 0 | 3 | 22 |
| a[3] | 0 | 5 | -15 |
| a[4] | 1 | 1 | 11 |
| a[5] | 1 | 2 | 3 |
| a[6] | 2 | 3 | -6 |
| a[7] | 4 | 0 | 91 |
| a[8] | 5 | 2 | 28 |



| | [0] | [1] | [2] | [3] | [4] | [5] |
|--------------|-----|-----|-----|-----|-----|-----|
| row_terms | 2 | 1 | 2 | 2 | 0 | 1 |
| starting_pos | 1 | 3 | 4 | 6 | 8 | 8 |



Determine the number of elements in each column

```
for (i = 0; i < num_cols; i++)
    row_terms[i] = 0;
for (i = 1; i <= num_terms; i++)
    row_terms[a[i].col]++;
```

Determine the **starting positions** of each row

```
starting_pos[0] = 1;
for (i = 1; i < num_cols; i++)
    starting_pos[i] =
        starting_pos[i-1] + row_terms[i-1];
```

Fast Transpose of a Sparse Matrix (3)

| | [0] | [1] | [2] | [3] | [4] | [5] |
|--------------|-----|-----|-----|-----|-----|-----|
| starting_pos | 3 | 4 | 6 | 8 | 8 | 9 |

| | row | col | value |
|------|-----|-----|-------|
| a[0] | 6 | 6 | 8 |
| a[1] | 0 | 0 | 15 |
| a[2] | 0 | 0 | 22 |
| a[3] | 0 | 0 | -15 |
| a[4] | 1 | 1 | 11 |
| a[5] | 2 | 2 | 3 |
| a[6] | 2 | 2 | -6 |
| a[7] | 0 | 0 | 91 |
| a[8] | 2 | 2 | 28 |



| | row | col | value |
|------|-----|-----|-------|
| b[0] | 6 | 6 | 8 |
| b[1] | | | |
| b[2] | | | |
| b[3] | | | |
| b[4] | | | |
| b[5] | | | |
| b[6] | | | |
| b[7] | | | |
| b[8] | | | |

```

for (i = 1; i <= num_terms; i++) {
    j = starting_pos[a[i].col]++;
    b[j].row = a[i].col;    b[j].col = a[i].row;
    b[j].value = a[i].value;
}
    
```


Fast Transpose of a Sparse Matrix (4)

```
void fast_transpose(term a[], term b[])
{
    /* the transpose of a is placed in b */
    int row_terms[MAX_COL], starting_pos[MAX_COL];
    int i, j, num_cols = a[0].col, num_terms = a[0].value;
    b[0].row = num_cols;  b[0].col = a[0].row;
    b[0].value = num_terms;
    if (num_terms > 0) { /* nonzero matrix */
        for (i = 0; i < num_cols; i++)
            row_terms[i] = 0;
        for (i = 1; i <= num_terms; i++)
            row_terms[a[i].col]++;
        starting_pos[0] = 1;
        for (i = 1; i < num_cols; i++)
            starting_pos[i] =
                starting_pos[i-1] + row_terms[i-1];
        for (i = 1; i <= num_terms; i++) {
            j = starting_pos[a[i].col]++;
            b[j].row = a[i].col;  b[j].col = a[i].row;
            b[j].value = a[i].value;
        }
    }
}
```

Matrix Multiplication

- Definition
 - Given A and B where A is $m \times n$ and B is $n \times p$, the product matrix D has dimension $m \times p$. Its $\langle i, j \rangle$ element is

$$d_{ij} = \sum_{k=0}^{n-1} a_{ik} b_{kj}$$

for $0 \leq i < m$ and $0 \leq j < p$.

- Example

$$\begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$

Multiplication of two sparse matrices

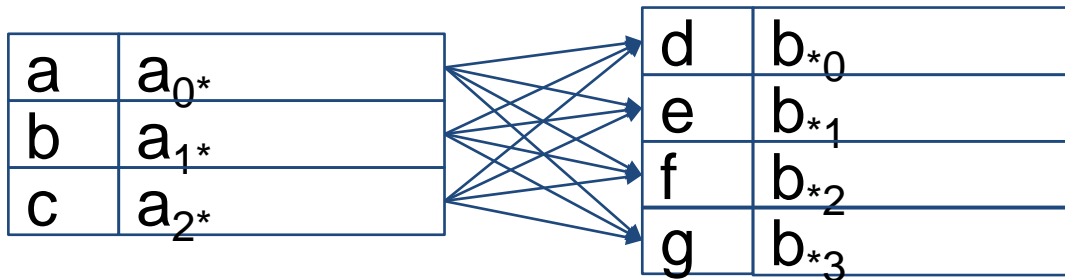
Sparse Matrix Multiplication (1)

- Definition
 - $[D]_{m \times p} = [A]_{m \times n} \times [B]_{n \times p}$
- Procedure
 - Fix a row of A and find all elements in column j of B for $j = 0, 1, \dots, p-1$.
- Alternative 1
 - Scan all of B to find all elements in j
- Alternative 2
 - Compute the transpose of B
(Put all column elements consecutively)
 - Once we have located the elements of row i of A and column j of B we just do a merge operation similar to that used in the polynomial addition

Sparse Matrix Multiplication (2)

- General case

- $d_{ij} = a_{i0} * b_{0j} + a_{i1} * b_{1j} + \dots + a_{i(n-1)} * b_{(n-1)j}$
- Array A is grouped by i , and after transpose, array B is also grouped by j



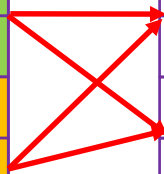
The multiply operation generate entries:

$a*d$, $a*e$, $a*f$, $a*g$, $b*d$, $b*e$, $b*f$, $b*g$, $c*d$, $c*e$, $c*f$, $c*g$

Sparse Matrix Multiplication (3)

$$A = \begin{pmatrix} 1 & 0 & 2 \\ -1 & 4 & 6 \end{pmatrix} \quad B^T = \begin{pmatrix} 3 & -1 & 0 \\ 0 & 0 & 0 \\ 2 & 0 & 5 \end{pmatrix} \quad B = \begin{pmatrix} 3 & 0 & 2 \\ -1 & 0 & 0 \\ 0 & 0 & 5 \end{pmatrix} \quad A \times B = \begin{pmatrix} 3 & 0 & 12 \\ -7 & 0 & 28 \end{pmatrix}$$

| | row | col | value |
|------|-----|-----|-------|
| A[0] | 2 | 3 | 5 |
| A[1] | 0 | 0 | 1 |
| A[2] | 0 | 2 | 2 |
| A[3] | 1 | 0 | -1 |
| A[4] | 1 | 1 | 4 |
| A[5] | 1 | 2 | 6 |

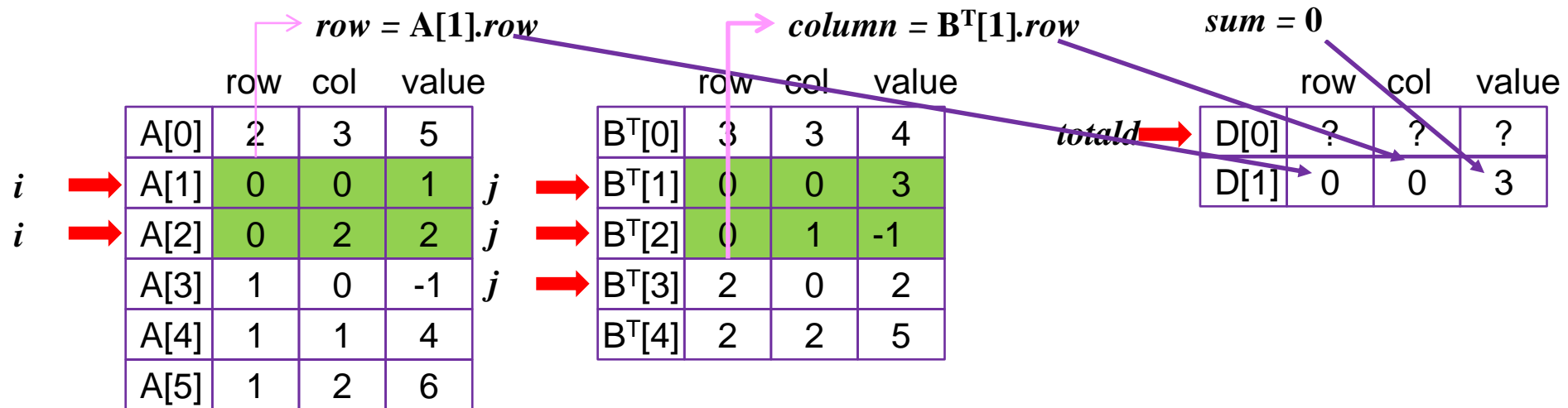


| | row | col | value |
|--------------------|-----|-----|-------|
| B ^T [0] | 3 | 3 | 4 |
| B ^T [1] | 0 | 0 | 3 |
| B ^T [2] | 0 | 1 | -1 |
| B ^T [3] | 2 | 0 | 2 |
| B ^T [4] | 2 | 2 | 5 |

| | row | col | value |
|------|-----|-----|-------|
| D[0] | 2 | 3 | ? |
| D[1] | 0 | 0 | 3 |
| D[2] | 0 | 2 | 12 |
| D[3] | 1 | 0 | -7 |
| D[4] | 1 | 2 | 28 |

Sparse Matrix Multiplication (4)

$$A = \begin{pmatrix} 1 & 0 & 2 \\ -1 & 4 & 6 \end{pmatrix} \quad B^T = \begin{pmatrix} 3 & -1 & 0 \\ 0 & 0 & 0 \\ 2 & 0 & 5 \end{pmatrix} \quad B = \begin{pmatrix} 3 & 0 & 2 \\ -1 & 0 & 0 \\ 0 & 0 & 5 \end{pmatrix} \quad A \times B = \begin{pmatrix} 3 & 0 & 12 \\ -7 & 0 & 28 \end{pmatrix}$$



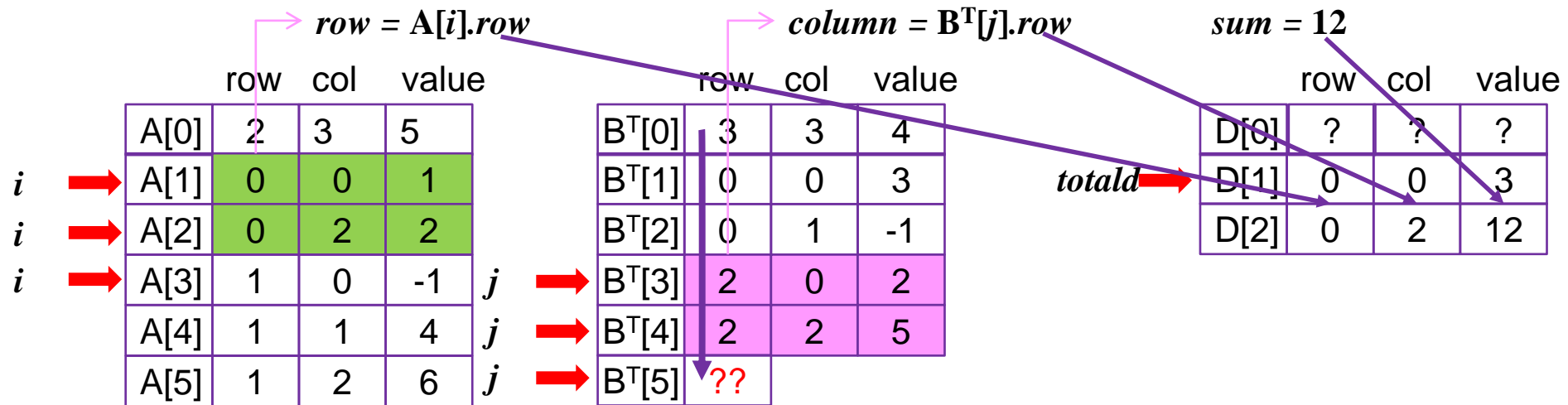
```

if (A[i].col == BT[j].col)
    (sum += A[i++].value * BT[j++].value);
else if (A[i].col < BT[j].col)
    i++;
else
    j++;
    
```

i = 1, j = 1, sum += 1 × 3
i = 2, j = 2, j++;
i = 2, j = 3, B^T[j].row != column
storesum()
Reset sum, i and column

Sparse Matrix Multiplication (5)

$$A = \begin{pmatrix} 1 & 0 & 2 \\ -1 & 4 & 6 \end{pmatrix} \quad B^T = \begin{pmatrix} 3 & -1 & 0 \\ 0 & 0 & 0 \\ 2 & 0 & 5 \end{pmatrix} \quad B = \begin{pmatrix} 3 & 0 & 2 \\ -1 & 0 & 0 \\ 0 & 0 & 5 \end{pmatrix} \quad A \times B = \begin{pmatrix} 3 & 0 & 12 \\ -7 & 0 & 28 \end{pmatrix}$$



```
if (A[i].col == BT[j].col)
    (sum += A[i++].value * BT[j++].value);
```

```
else if (A[i].col < BT[j].col)
```

```
    i ++;
```

```
else
```

```
    j ++;
```

i = 1, j = 3, sum += 1 × 2

i = 2, j = 4, sum += 2 × 5

i = 3, j = 5, A[i].row != row

storesum()

Reset sum, i and column

Sparse Matrix Multiplication (6)

```
void mmult(term a[], term b[], term d[])
/* multiply two sparse matrices */
{
    int i, j, column, totalb = b[0].value, totald = 0;
    int rows_a = a[0].row, cols_a = a[0].col,
        totala = a[0].value;
    int cols_b = b[0].col,
        row_begin = 1, row = a[1].row, sum = 0;
    int new_b[MAX_TERMS][3];
    if (cols_a != b[0].row) {
        fprintf(stderr, "Incompatible matrices\n");
        exit(1);
    }
    fast_transpose(b, new_b);
    /* set boundary condition */
    a[totala+1].row = rows_a;
    new_b[totalb+1].row = cols_b;
    new_b[totalb+1].col = 0;
    for (i = 1; i <= totala; ) {
        column = new_b[1].row;
        for (j = 1; j <= totalb+1; ) {
            /* multiply row of a by column of b */
            if (a[i].row != row) {
                storesum(d, &totald, row, column, &sum);
                i = row_begin;
                for (; new_b[j].row == column; j++)
                    ;
                column = new_b[j].row;
            }
            else if (new_b[j].row != column) {
                storesum(d, &totald, row, column, &sum);
                i = row_begin;
                column = new_b[j].row;
            }
            else switch (COMPARE(a[i].col, new_b[j].col)) {
                case -1: /* go to next term in a */
                    i++; break;
                case 0: /* add terms, go to next term in a and b */
                    sum += (a[i++].value * new_b[j++].value);
                    break;
                case 1: /* advance to next term in b */
                    j++;
            }
        } /* end of for j <= totalb+1 */
        for (; a[i].row == row; i++)
            ;
        row_begin = i; row = a[i].row;
    } /* end of for i <= totala */
    d[0].row = rows_a;
    d[0].col = cols_b; d[0].value = totald;
}
```


Sparse Matrix Multiplication (7)

```
void storesum(term d[], int *totald, int row, int column,
              int *sum)
{
    /* if *sum != 0, then it along with its row and column
    position is stored as the *totald+1 entry in d */
    if (*sum)
        if (*totald < MAX_TERMS) {
            d[++*totald].row = row;
            d[*totald].col = column;
            d[*totald].value = *sum;
            *sum = 0;
        }
        else {
            fprintf(stderr, "Numbers of terms in product
                           exceeds %d\n", MAX_TERMS);
            exit(1);
        }
    }
}
```

Analyzing The Algorithm (1)

- $\text{cols_b} * \text{termsrow1} + \text{totalb} +$
 $\text{cols_b} * \text{termsrow2} + \text{totalb} +$
 $\dots +$
 $\text{cols_b} * \text{termsrowp} + \text{totalb}$
 $= \text{cols_b} * (\text{termsrow1} + \text{termsrow2} + \dots + \text{termsrowp})$
 $+ \text{rows_a} * \text{totalb}$
 $= \text{cols_b} * \text{totala} + \text{rows_a} * \text{totalb}$

 $O(\text{cols_b} * \text{totala} + \text{rows_a} * \text{totalb})$

Analyzing The Algorithm (2)

- Compared with matrix multiplication using array

```
- for (i =0; i < rows_a; i++)  
    for (j=0; j < cols_b; j++) {  
        sum =0;  
        for (k=0; k < cols_a; k++)  
            sum += (a[i][k] *b[k][j]);  
        d[i][j] =sum;  
    }
```

– $O(\text{rows_a} * \text{cols_a} * \text{cols_b})$ v.s.
 $O(\text{cols_b} * \text{total_a} + \text{rows_a} * \text{total_b})$

Analyzing The Algorithm (3)

- Optimal case

$\text{total_a} < \text{rows_a} * \text{cols_a}$

$\text{total_b} < \text{cols_a} * \text{cols_b}$

- Worse case

$\text{total_a} \rightarrow \text{rows_a} * \text{cols_a}$, or

$\text{total_b} \rightarrow \text{cols_a} * \text{cols_b}$

THE REPRESENTATION OF MULTIDIMENSIONAL ARRAYS

Multidimensional Arrays (1)

- If an array is declared
 $a[upper_0][upper_1]...[upper_{n-1}]$,
then it is easy to see that the number of
elements in the array is

$$\prod_{i=0}^{n-1} upper_i$$

- Where Π is the product of the $upper_i$'s.
- Example
 - If we declare a as $a[10][10][10]$, then we require
 $10*10*10 = 1000$ units of storage to hold the array

Multidimensional Arrays (2)

- Represent multidimensional arrays
 - *row major order* and *column major order*
- Row major order stores multidimensional arrays by rows
 - $A[upper_0][upper_1]$ as
 $upper_0$ rows: $row_0, row_1, \dots, row_{upper_0-1}$,
each row containing $upper_1$ elements

Multidimensional Arrays (3)

- Row major order: $A[i][j] : \alpha + i \times upper_1 + j$
- Column major order: $A[i][j] : \alpha + j \times upper_0 + i$

| | | | | |
|--------------------------------------|--|--|-------|--|
| | <i>col₀</i> | <i>col₁</i> | | <i>col_{u₁-1}</i> |
| <i>row₀</i> | A[0][0] α | A[0][1] $\alpha+1$ | | A[0][u ₁ -1] $\alpha+(u_1-1)$ |
| <i>row₁</i> | A[1][0] $\alpha+u_1$ | A[1][1] $\alpha+u_1+1$ | | A[1][u ₁ -1] $\alpha+2u_1-1$ |
| <i>row_{u₀-1}</i> | A[u ₀ -1][0] $\alpha+(u_0-1)u_1$ | A[u ₀ -1][1] $\alpha+(u_0-1)u_1+1$ | | A[u ₀ -1][u ₁ -1] $\alpha+u_0u_1-1$ |

Multidimensional Arrays (4)

- To represent a three-dimensional array, $A[upper_0][upper_1][upper_2]$, we interpret the array as $upper_0$ two-dimensional arrays of dimension $upper_1 \times upper_2$
 - To locate $a[i][j][k]$, we first obtain $\alpha + i \times upper_1 \times upper_2$ as the address of $a[i][0][0]$ because there are i two dimensional arrays of size $upper_1 \times upper_2$ preceding this element
$$\alpha + i \times upper_1 \times upper_2 + j \times upper_2 + k$$
as the address of $a[i][j][k]$

Multidimensional Arrays (5)

- Generalizing on the preceding discussion, we can obtain the addressing formula for any element $A[i_0][i_1]...[i_{n-1}]$ in an n -dimensional array declared as

$$A[upper_0][upper_1]...[upper_{n-1}]$$

– The address for $A[i_0][i_1]...[i_{n-1}]$ is


$$\begin{aligned} &\alpha + i_0 upper_1 upper_2 \dots upper_{n-1} \\ &+ i_1 upper_2 upper_3 \dots upper_{n-1} \\ &+ i_2 upper_3 upper_4 \dots upper_{n-1} \\ &\vdots \\ &\vdots \\ &\vdots \\ &+ i_{n-2} upper_{n-1} \\ &+ i_{n-1} \end{aligned}$$

$$= \alpha + \sum_{j=0}^{n-1} i_j a_j$$

$$\text{where: } \begin{cases} a_j = \prod_{k=j+1}^{n-1} upper_k & 0 \leq j < n-1 \\ a_{n-1} = 1 \end{cases}$$

STRING MATCHING

Knuth, Morris, Pratt Pattern Matching Algorithm (1)


 string abababbabaabb
 pattern ababbababaa

- Failure function
 - If $p = p_0p_1\dots p_{n-1}$ is a pattern, then its failure function, f , is defined as:

$$f(j) = \begin{cases} \text{largest } i < j \text{ such that } p_0p_1\dots p_i = p_{j-i}p_{j-i+1}\dots p_j & \text{if such an } i \geq 0 \text{ exists} \\ -1 & \text{otherwise} \end{cases}$$

$$f(j) = \begin{cases} -1 & \text{if } j = 0 \\ f^m(j-1) + 1 & \text{where } m \text{ is the least integer } k \text{ for which } p_{f^k(j-1)+1} = p_j \\ -1 & \text{if there is no } k \text{ satisfying the above} \end{cases}$$

Knuth, Morris, Pratt Pattern Matching Algorithm (2)

| | | | | | | | | | | | |
|----------|----|----|---|---|----|---|---|---|---|---|----|
| <i>i</i> | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| | a | b | a | b | b | a | b | a | b | a | a |
| <i>f</i> | -1 | -1 | 0 | 1 | -1 | 0 | 1 | 2 | 3 | 2 | |

```

void fail(char *pat)
{
    int n = strlen(pat);
    failure[0] = -1;
    for (j = 1; j < n; j++) {
        i = failure[j-1];
        while ((pat[j] != pat[i+1] && (i >= 0)))
            i = failure[i];
        if (pat[j] == pat[i+1])
            failure[j] = i+1;
        else failure[j] = -1;
    }
}
    
```

j = 9
 i = failure[9-1] = 3
 pat[j] != pat[i+1]
 i = failure[3] = 1
 pat[j] == pat[i+1]
 failure[j] = i+1 = 2

Knuth, Morris, Pratt Pattern Matching Algorithm (3)

| | | | | | | | | | | | |
|----------|----|----|---|---|----|---|---|---|---|---|----|
| <i>i</i> | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| | a | b | a | b | b | a | b | a | b | a | a |
| <i>f</i> | -1 | -1 | 0 | 1 | -1 | 0 | 1 | 2 | 3 | | |



string abababbababaabb
 pattern ababbababaa

$$j = \text{failure}[j-1] + 1 = 1 + 1 = 2$$

Knuth, Morris, Pratt Pattern Matching Algorithm (4)

```
int pmatch(char *string, char *pat)
{
    int i = 0, j = 0;
    int lens = strlen(string);
    int lenp = strlen(pat);
    while ( i < lens && j < lenp ) {
        if (string[i] == pat[j]) {
            i++;
            j++;
        } else if (j == 0)
            i++;
        else
            j = failure[j-1]+1;
    }
    return ( (j == lenp) ? (i-lenp) : -1);
}
```