

Priority Queues

Priority Queues

- **Priority queues** prioritize collections of key-value pairs, based on a total order on the keys.
 - **Example:** Values are airline flights, and the keys are (arrival or departure) times, e.g.,
(11:16 am, DL3347).
- An entry with any key may be inserted at any time.
- You may only examine or remove entry with lowest key.

Priority Queues in Simulation

- Priority is used as an “event queue”.
- Values are events,
- Keys are times events take place.
- Simulation removes successive events from queue and simulates them.

Methods

- **insert:** Add a new element to the queue.
 - *Duplicates allowed*
- **min / max:** Return the element with the smallest / largest key in the queue.
- **removeMin / removeMax:** Return and remove the element with the smallest / largest key in the queue.

Queue Interface

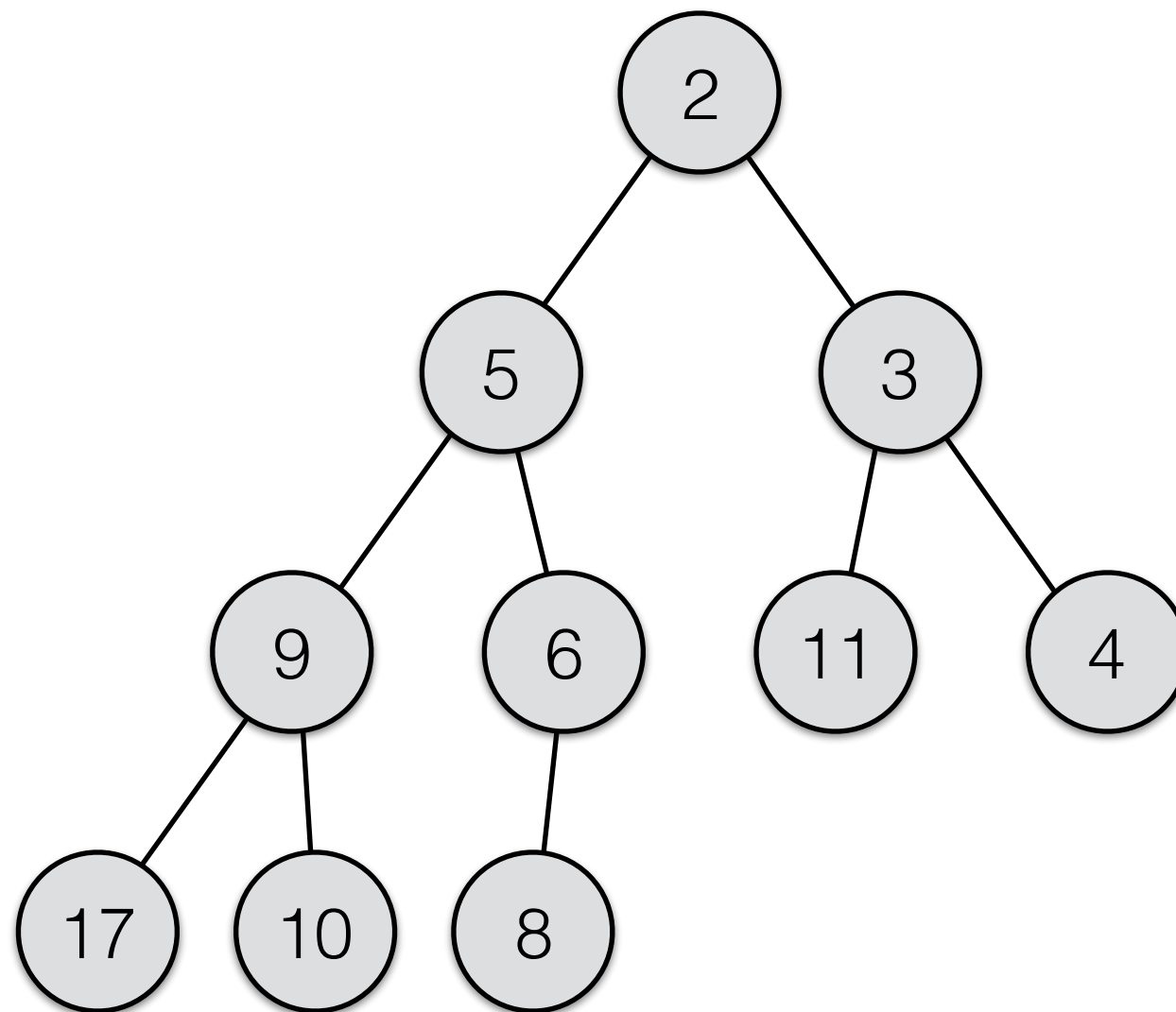
- **add()** = insert
- **peek()** = min / max
- **remove()** = removeMin / removeMax

Simple Implementations

	List/Array Sorted	List/Array Unsorted
peek()	$O(1)$	$O(n)$
add()	$O(n)$	$O(1)$
remove()	$O(1)$	$O(n)$

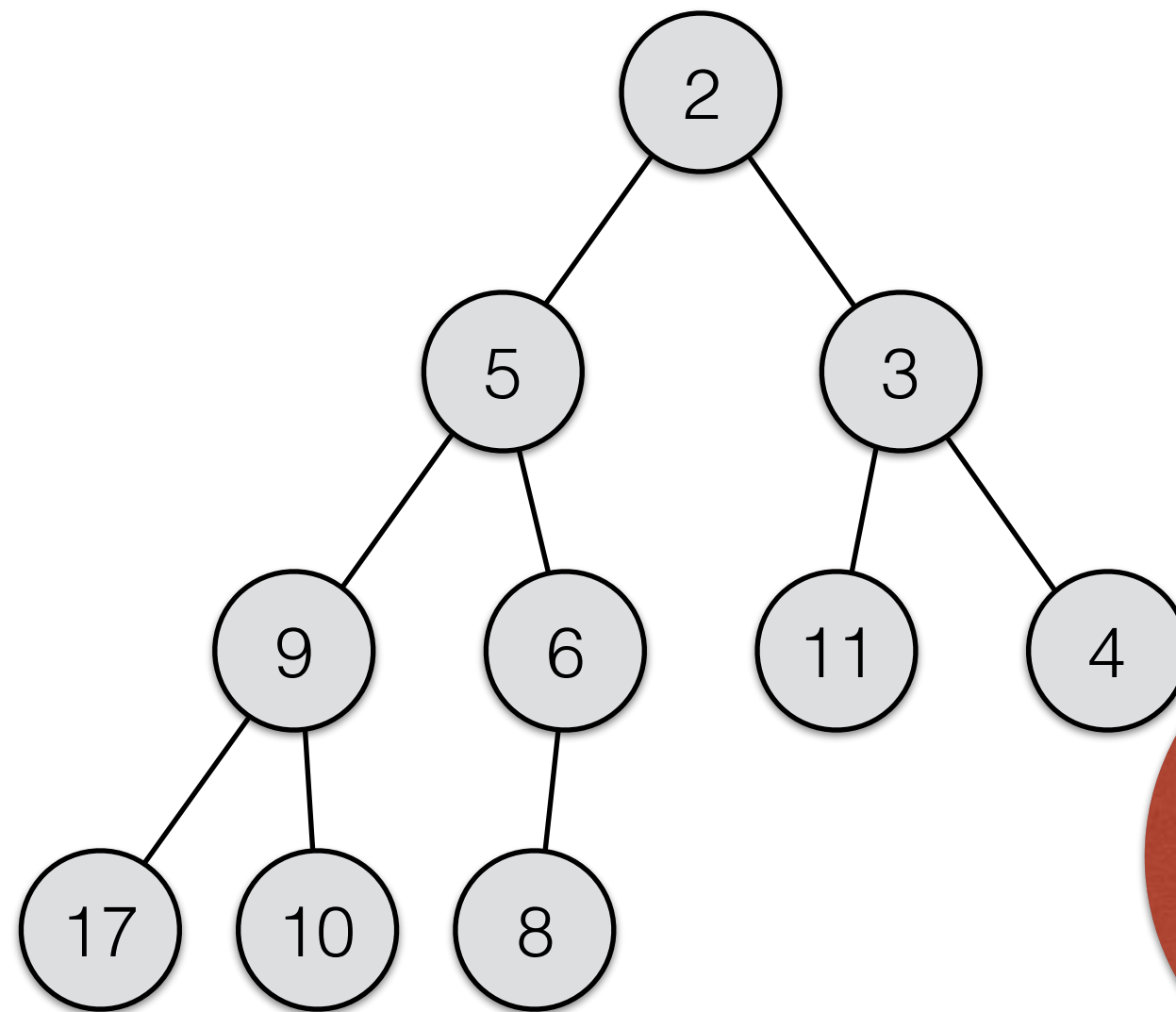
n = # elements

Heap Order



$\text{key}(\text{child}) \geq \text{key}(\text{parent})$

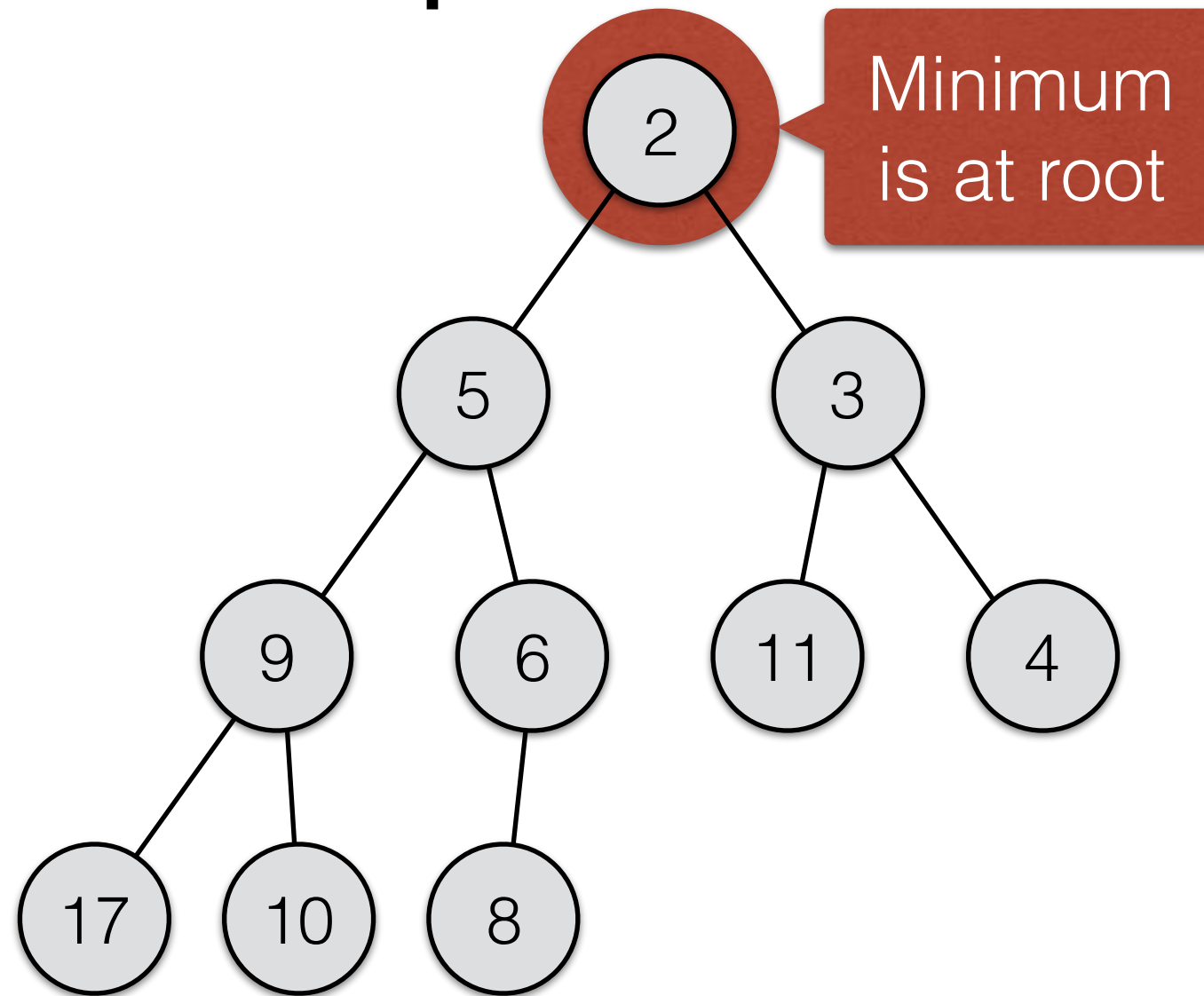
Heap Order



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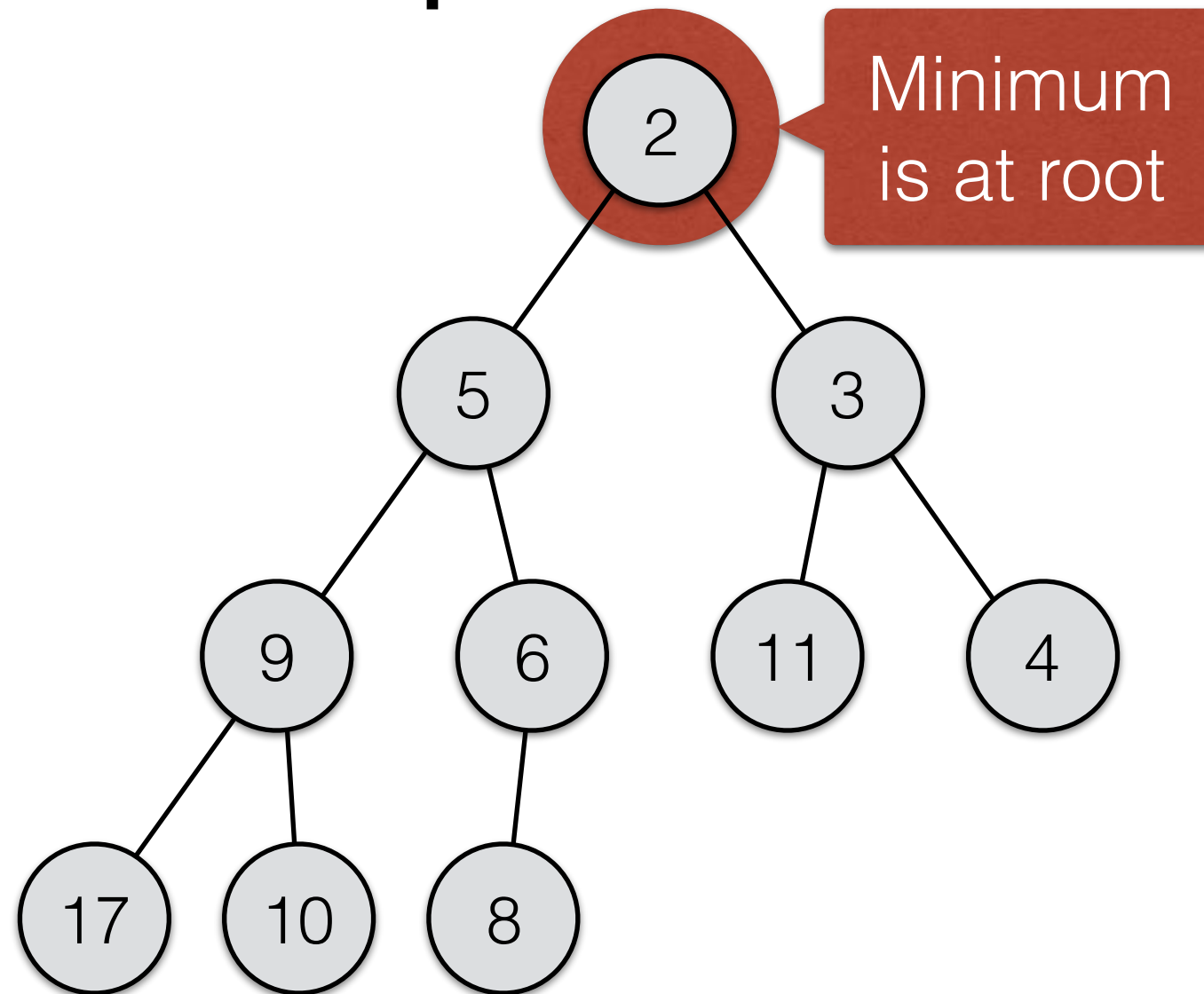
Not the
same as
BST Property!

Heap Order



$\text{key}(\text{child}) \geq \text{key}(\text{parent})$

Heap Order

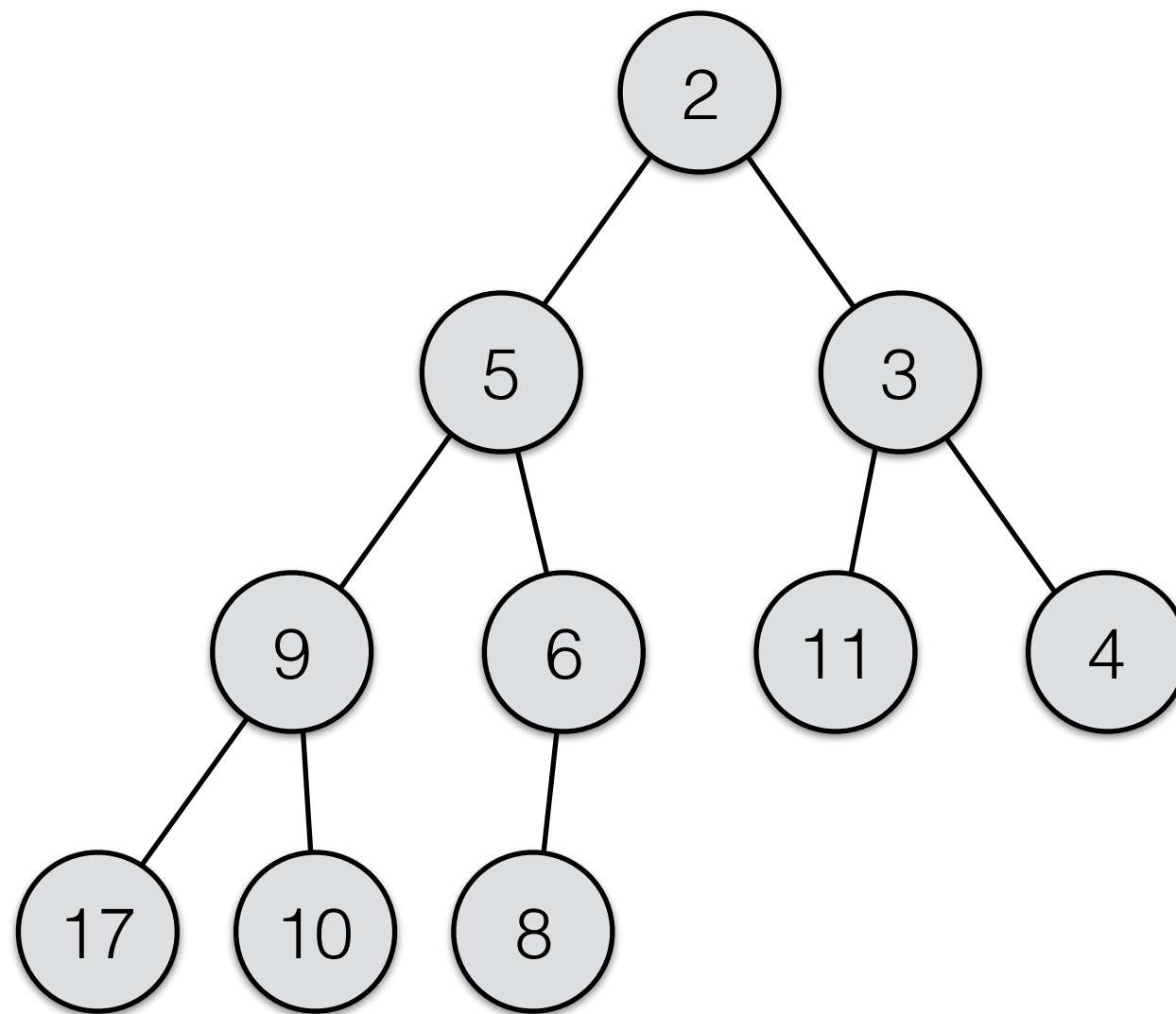


Minimum
is at root

⇓
peek()
is $O(1)$

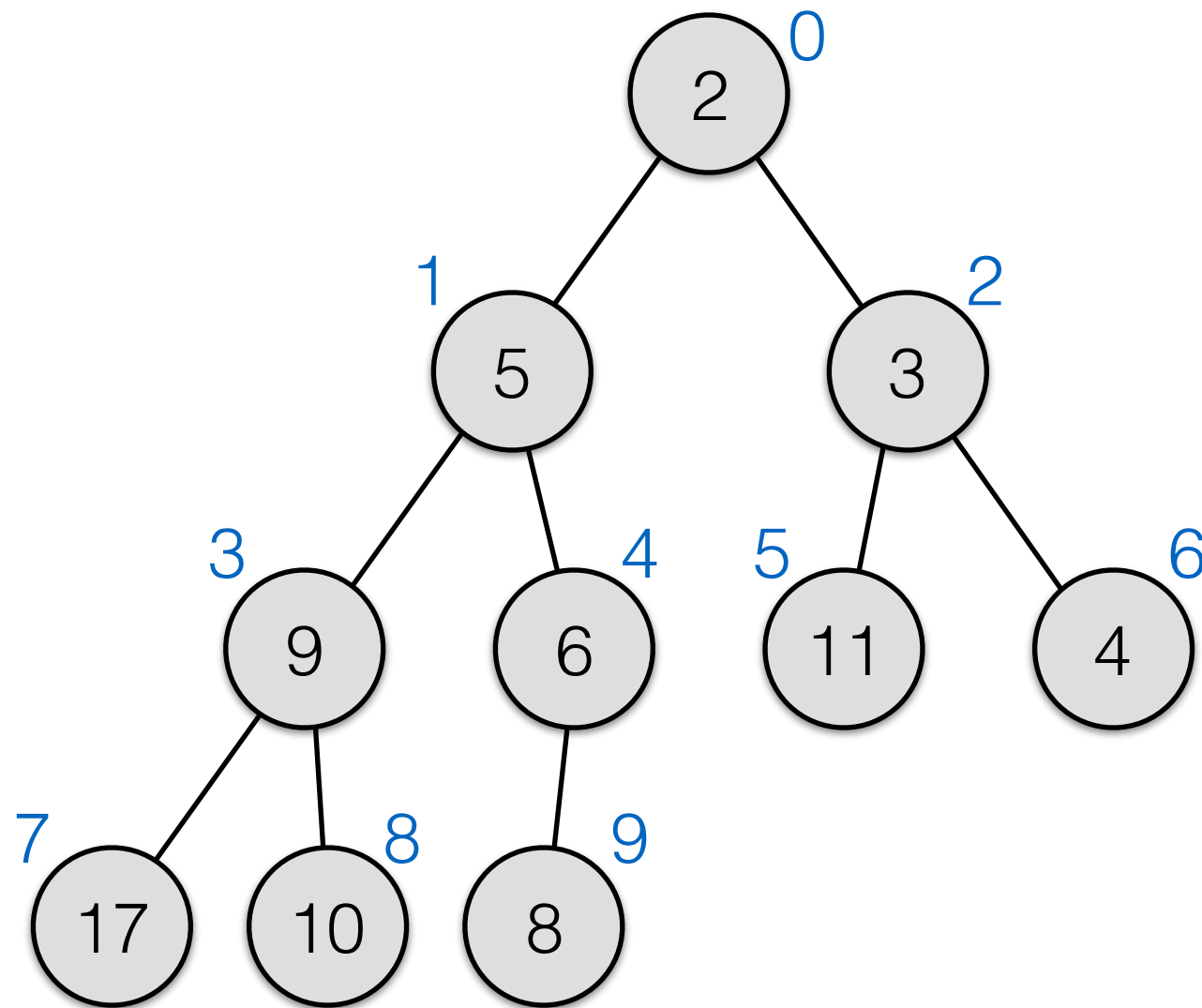
$\text{key}(\text{child}) \geq \text{key}(\text{parent})$

Complete Binary Tree

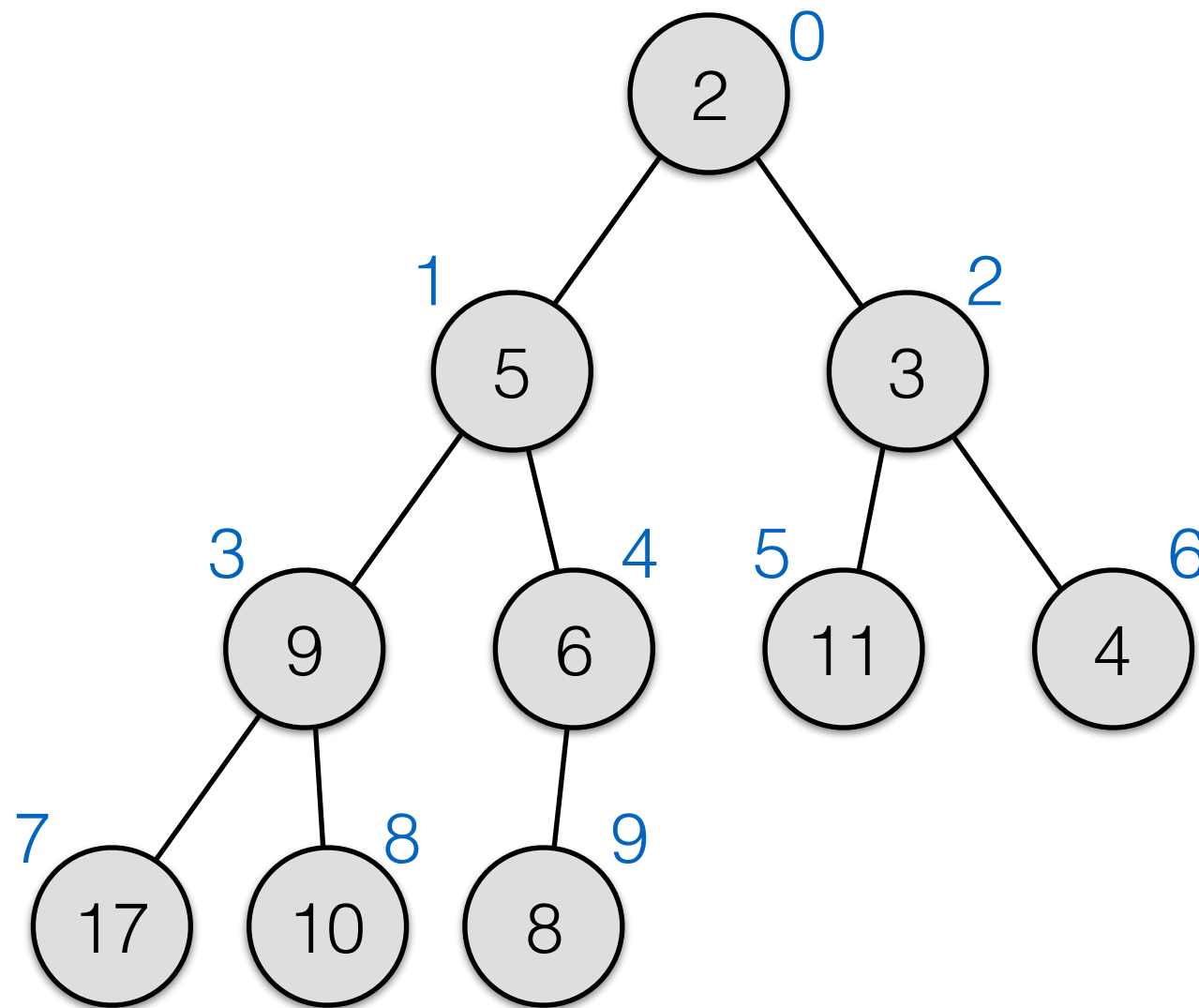


Every row is full, except, possibly, the bottom row, which is filled from left to right

Array Representation



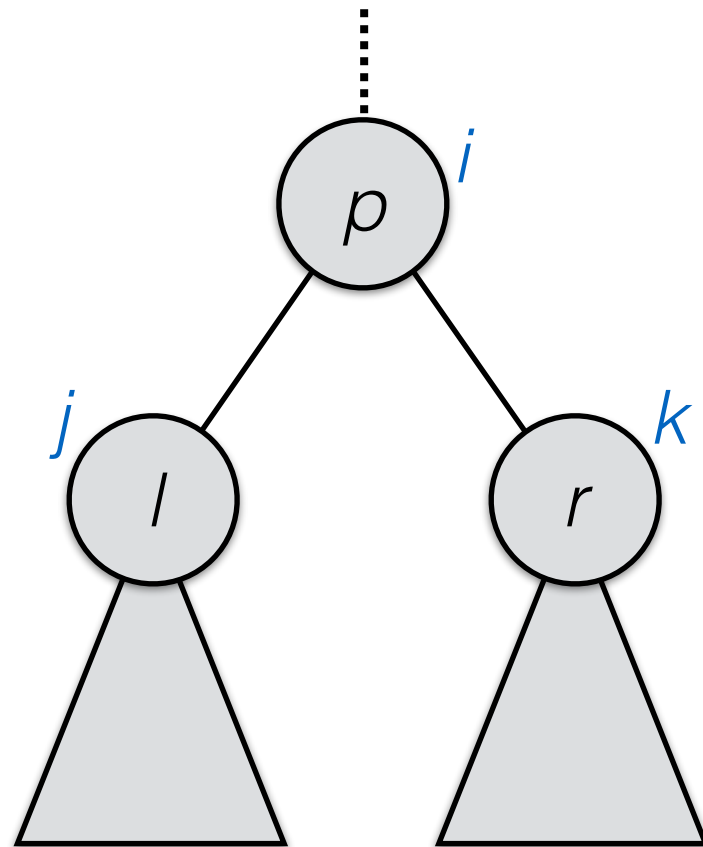
Array Representation



data:

2	5	3	9	6	11	4	17	10	8
0	1	2	3	4	5	6	7	8	9

Array Representation

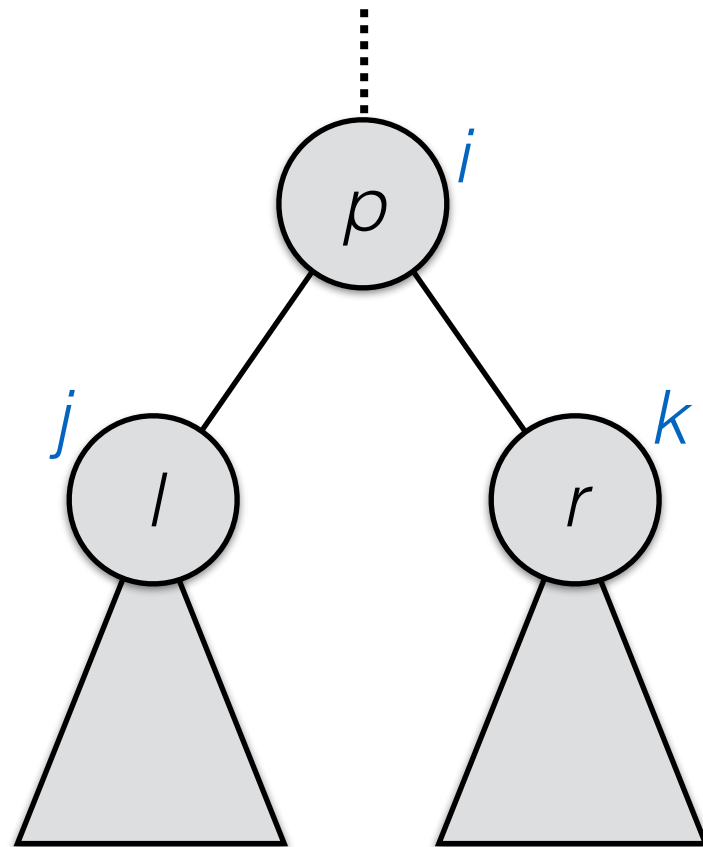


$$j = 2i + 1$$

$$k = 2i + 2$$

$$i = \text{floor}((j-1)/2) = \text{floor}((k-1)/2)$$

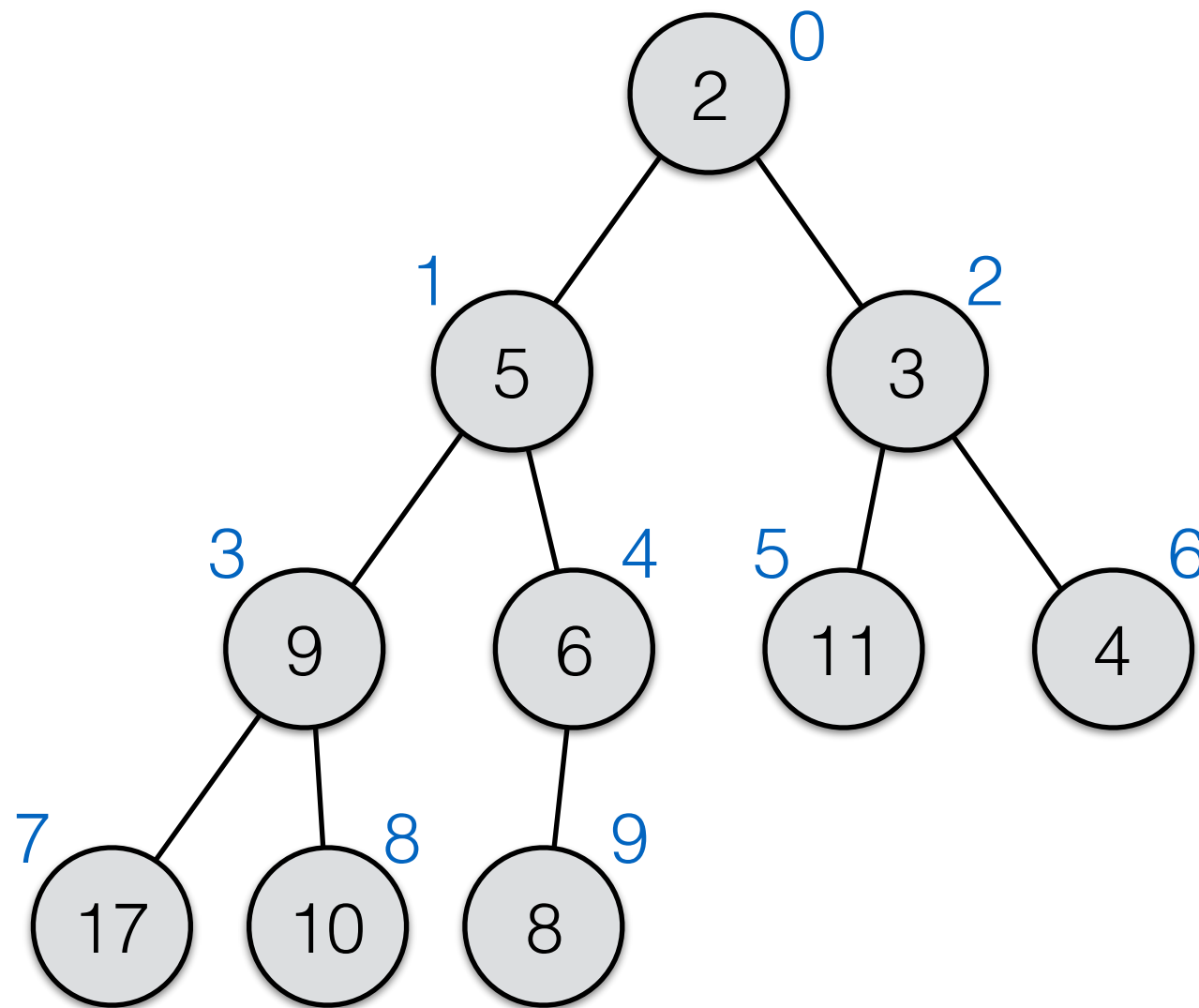
Array Representation



$$\begin{aligned}j &= 2i + 1 \\k &= 2i + 2 \\i &= \text{floor}((j-1)/2) = \text{floor}((k-1)/2)\end{aligned}$$

No need
for links

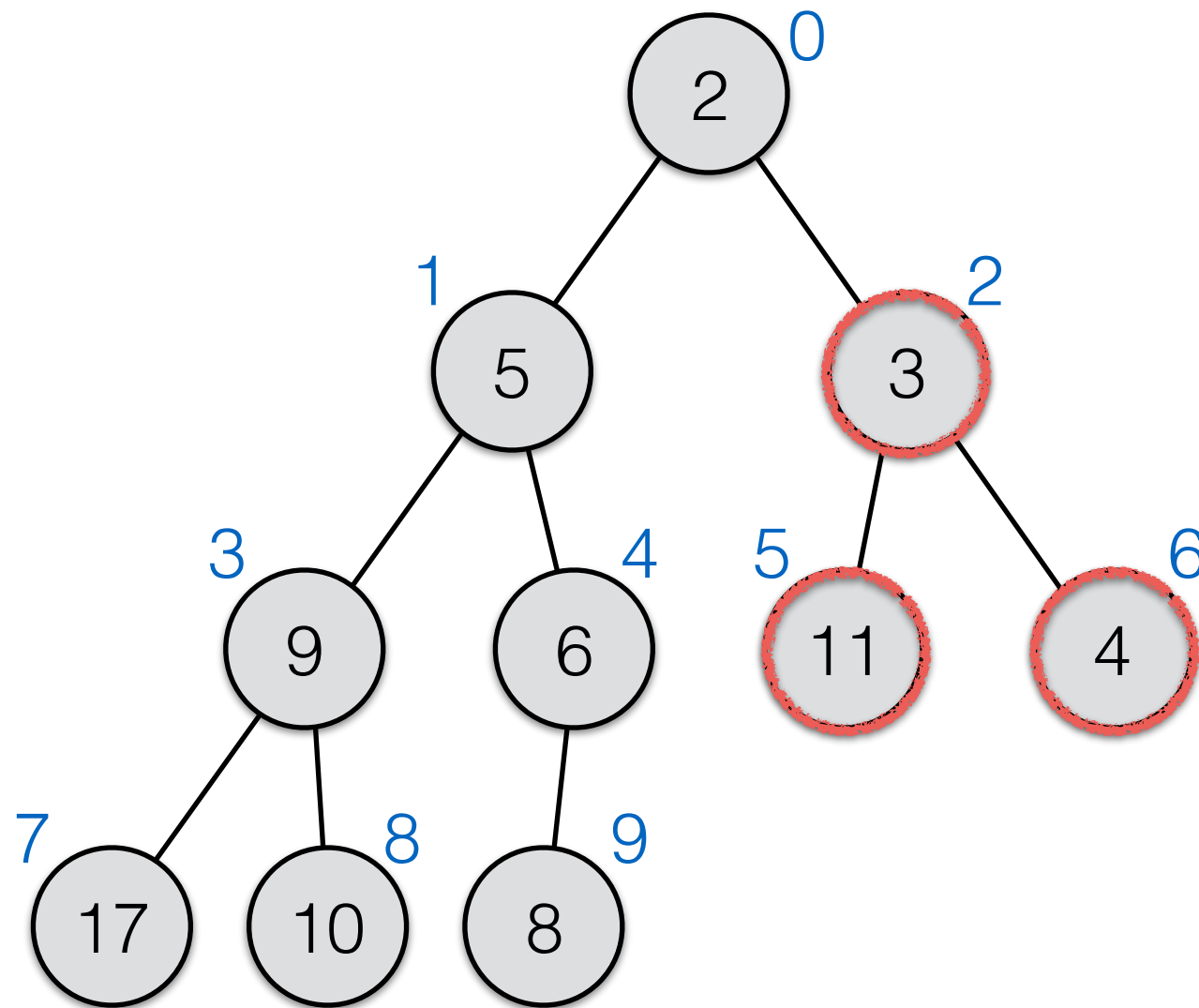
Array Representation



data:

2	5	3	9	6	11	4	17	10	8
0	1	2	3	4	5	6	7	8	9

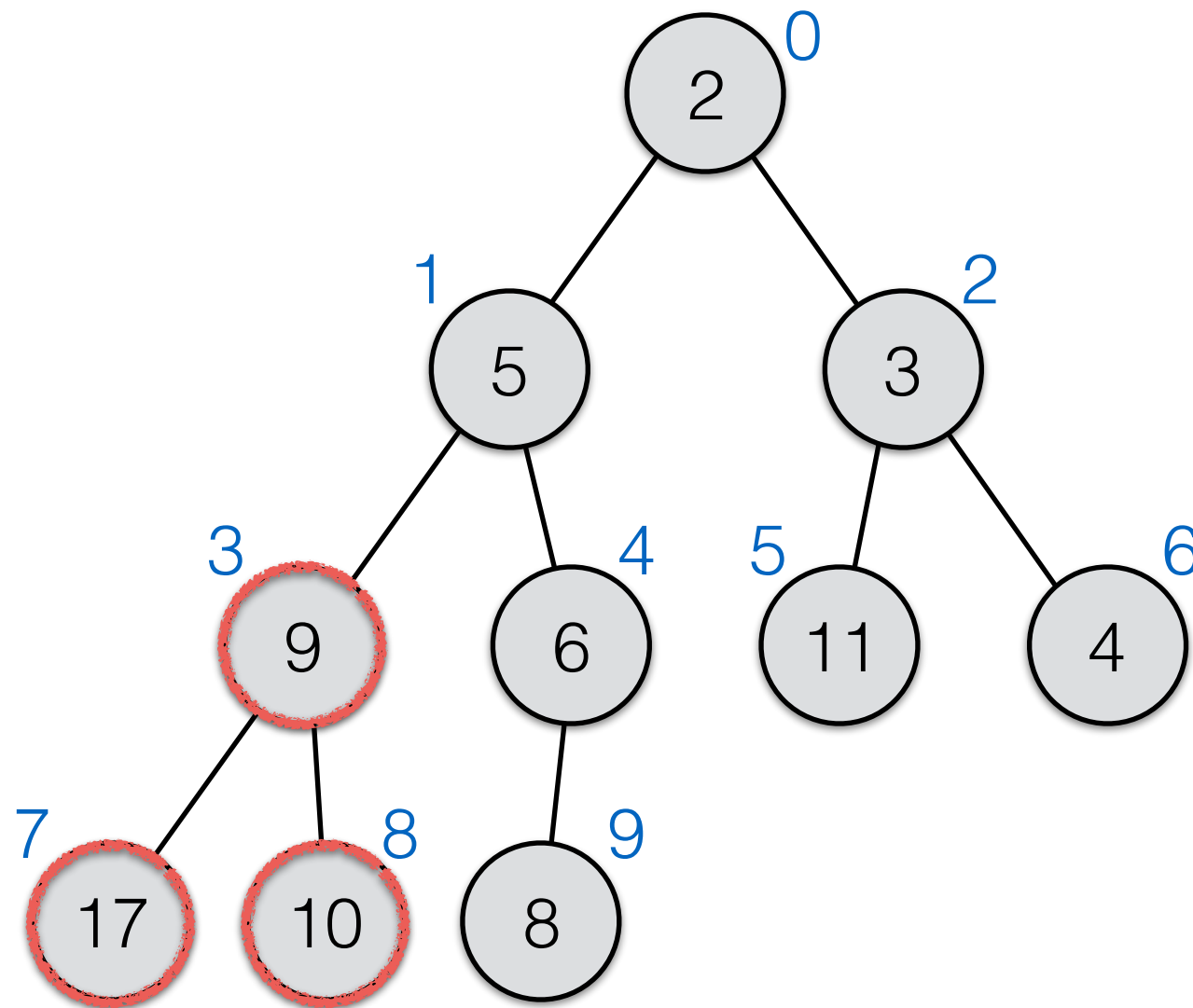
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Array Representation



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2	5	3	9	6	11	4	17	10	8
0	1	2	3	4	5	6	7	8	9

BinaryHeap

- On Blackboard

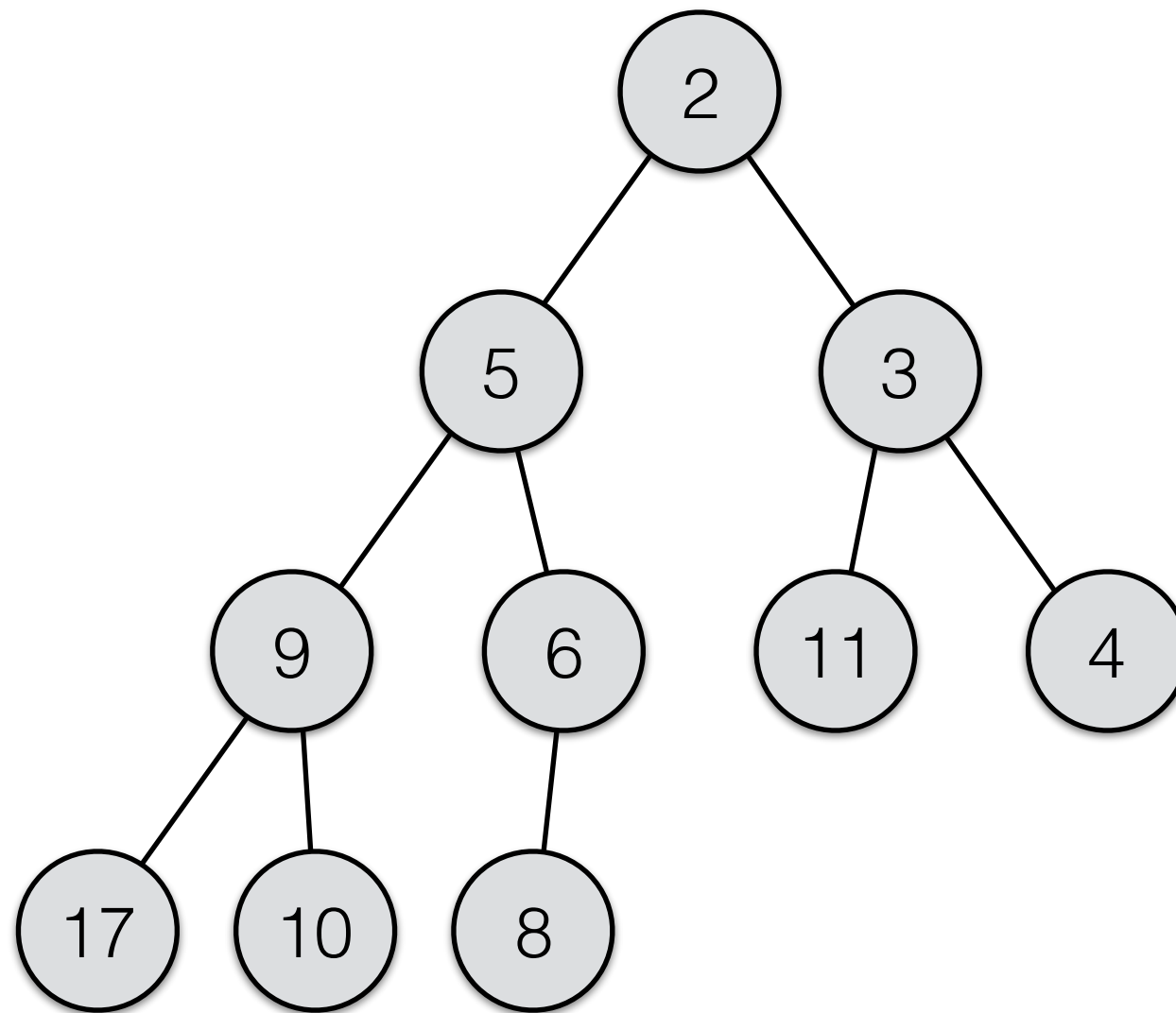
```
public class BinaryHeap<E> extends  
Comparable<? super E>>
```

- Methods
 - E peek()
 - boolean add(E x)
 - E remove()

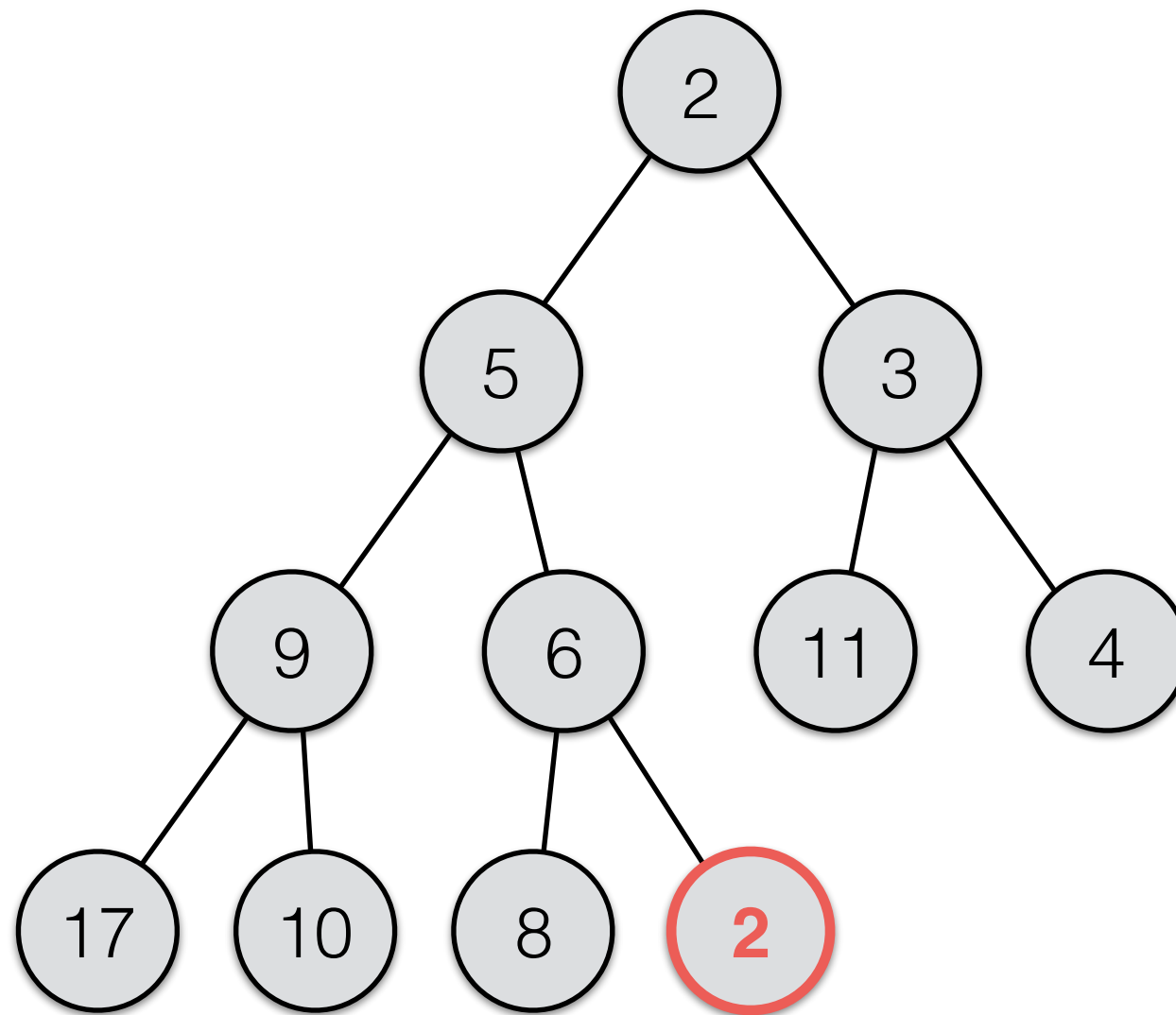
add (x)

1. Place x in bottom level of tree, at the first free spot from left.
 - In array-based implementation, place x in first available entry.
2. Percolate x up to restore heap-order property.

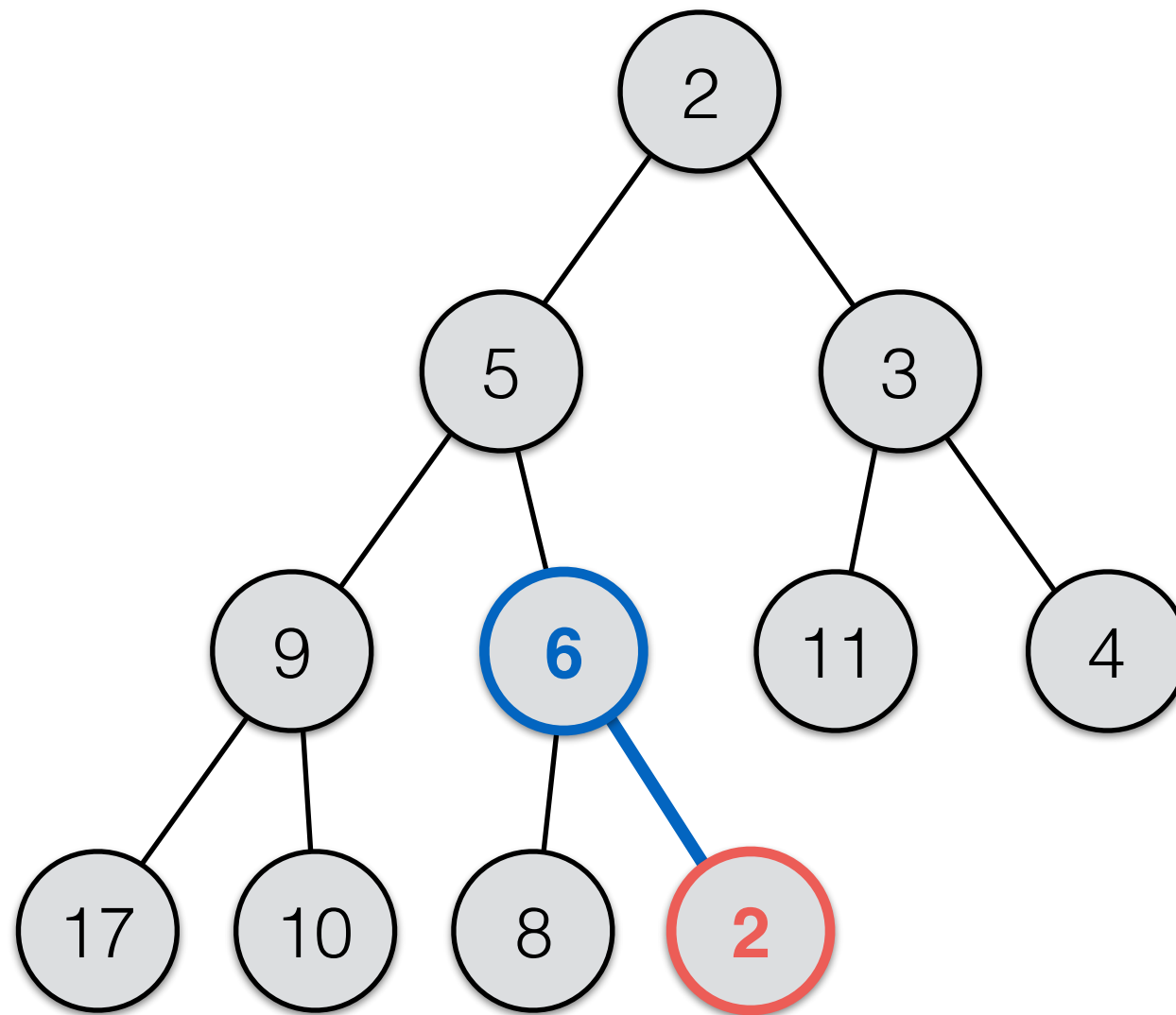
add(2)



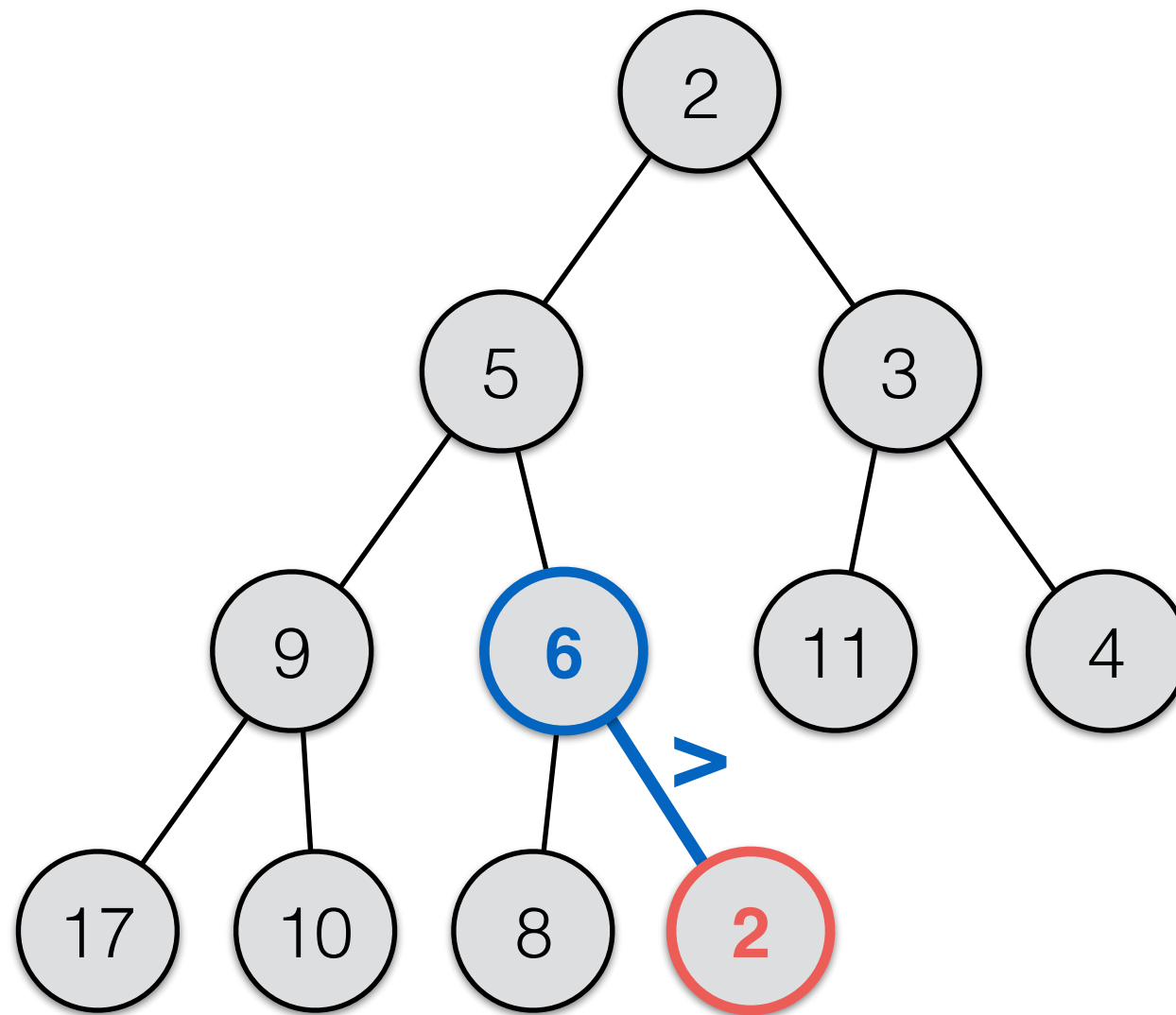
add(2)



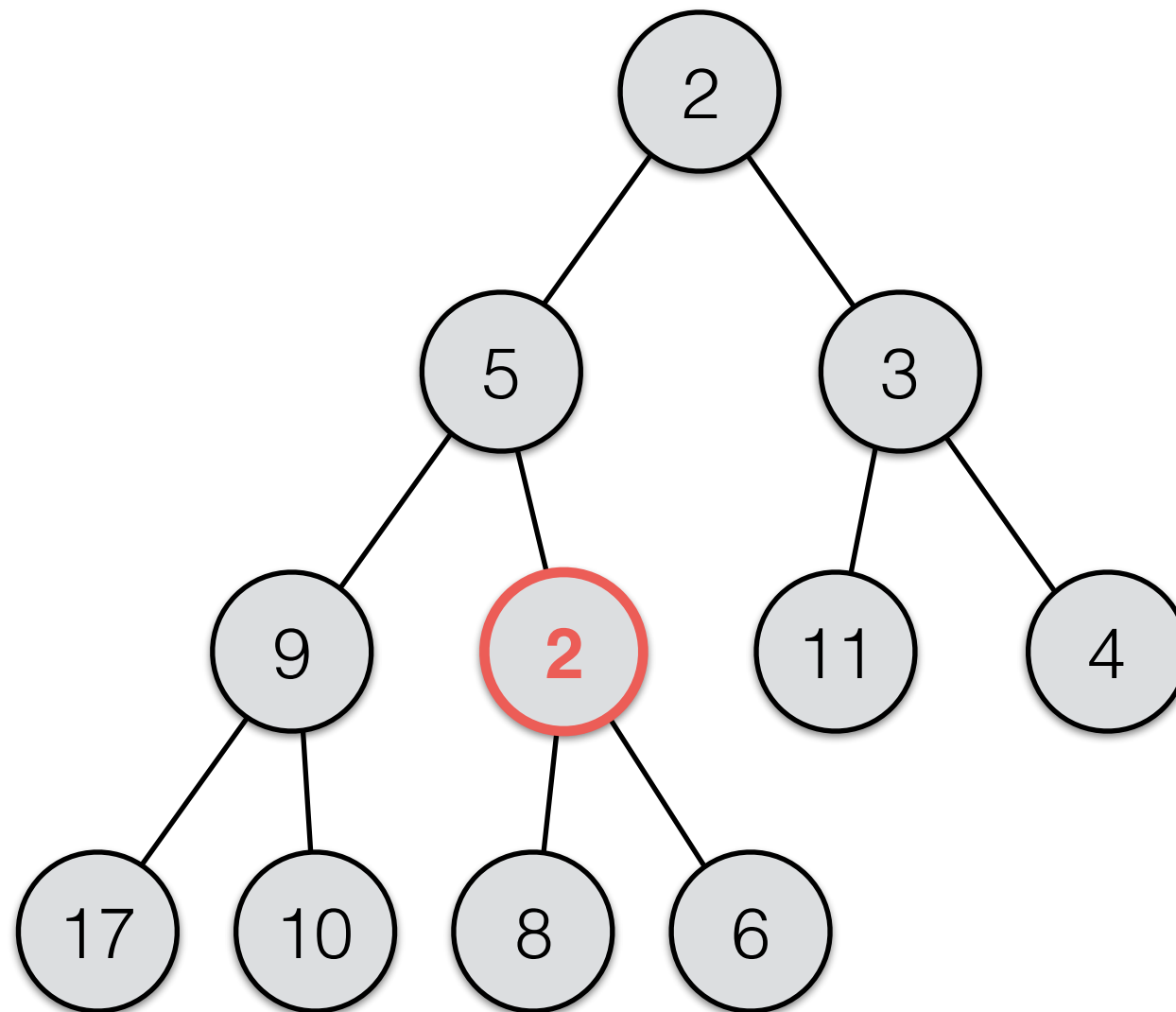
add(2)



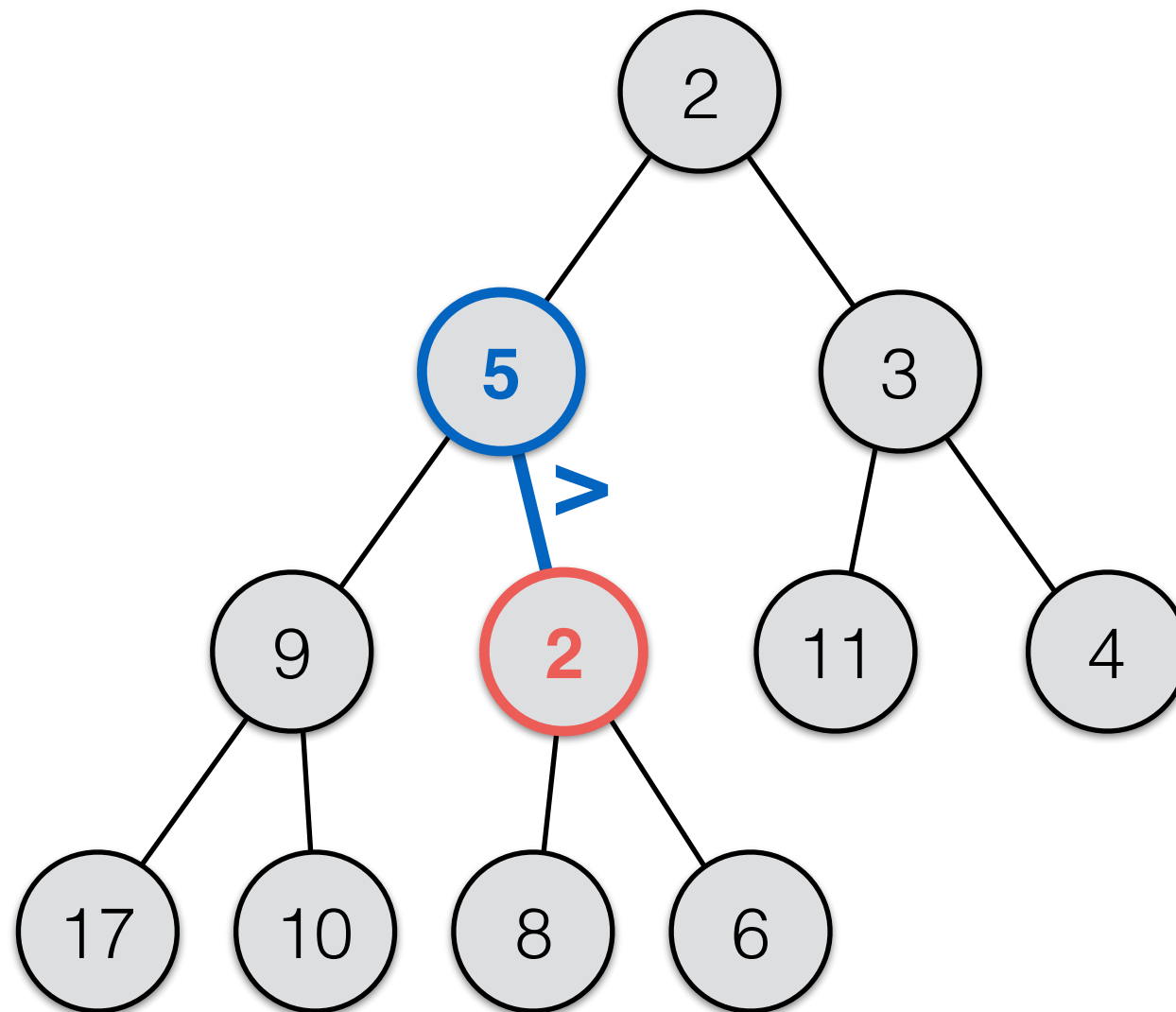
add(2)



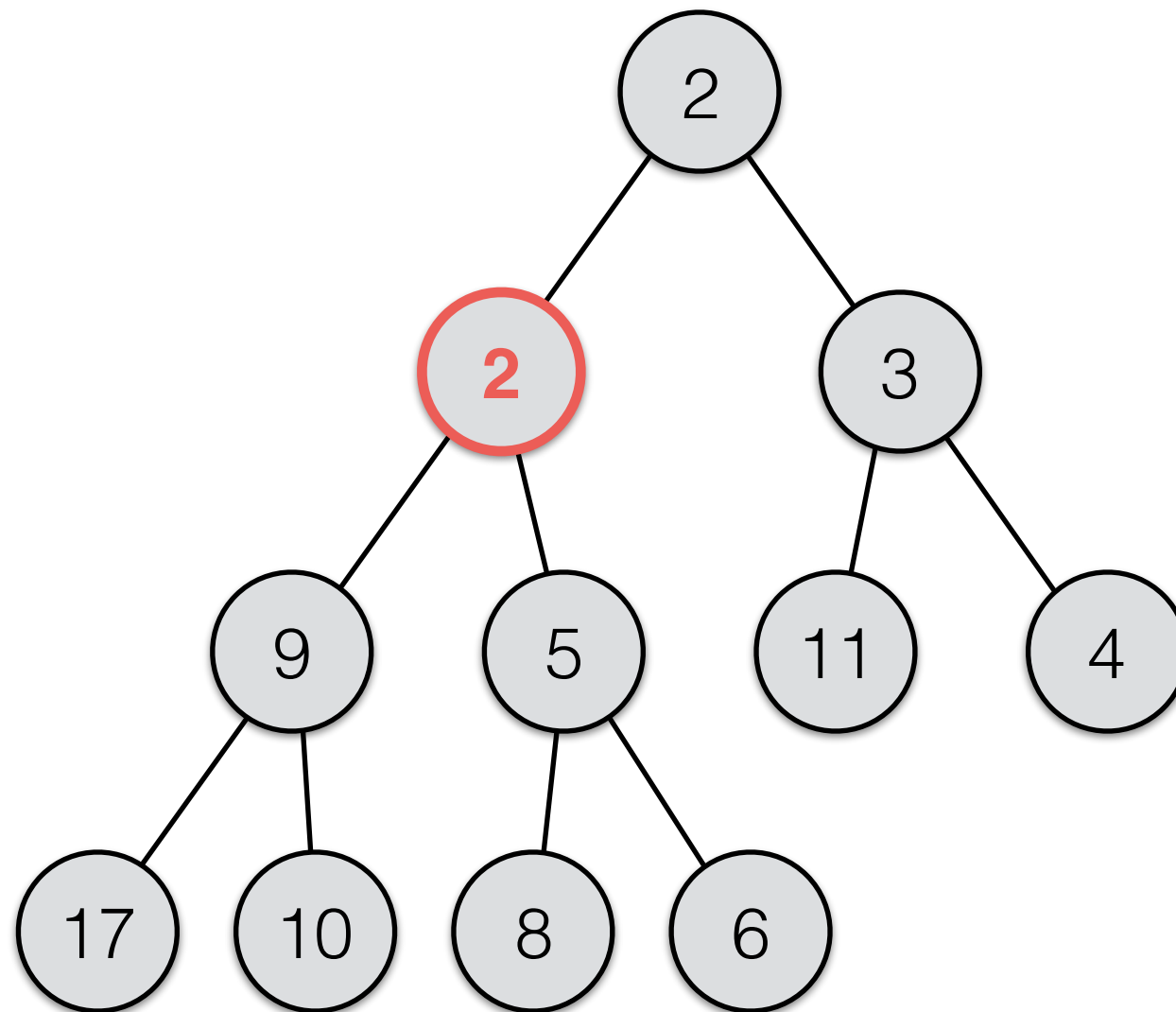
add(2)



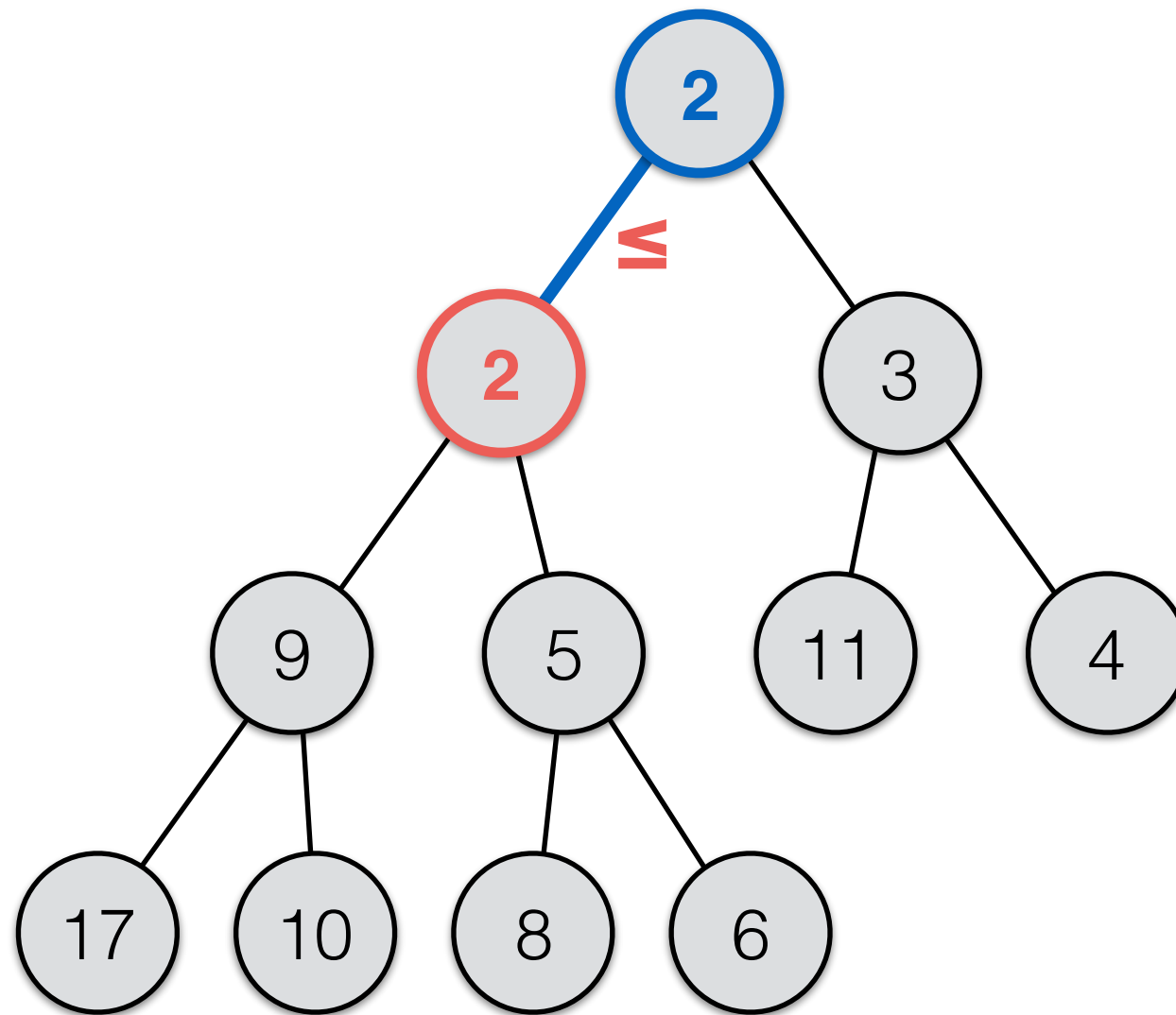
add(2)



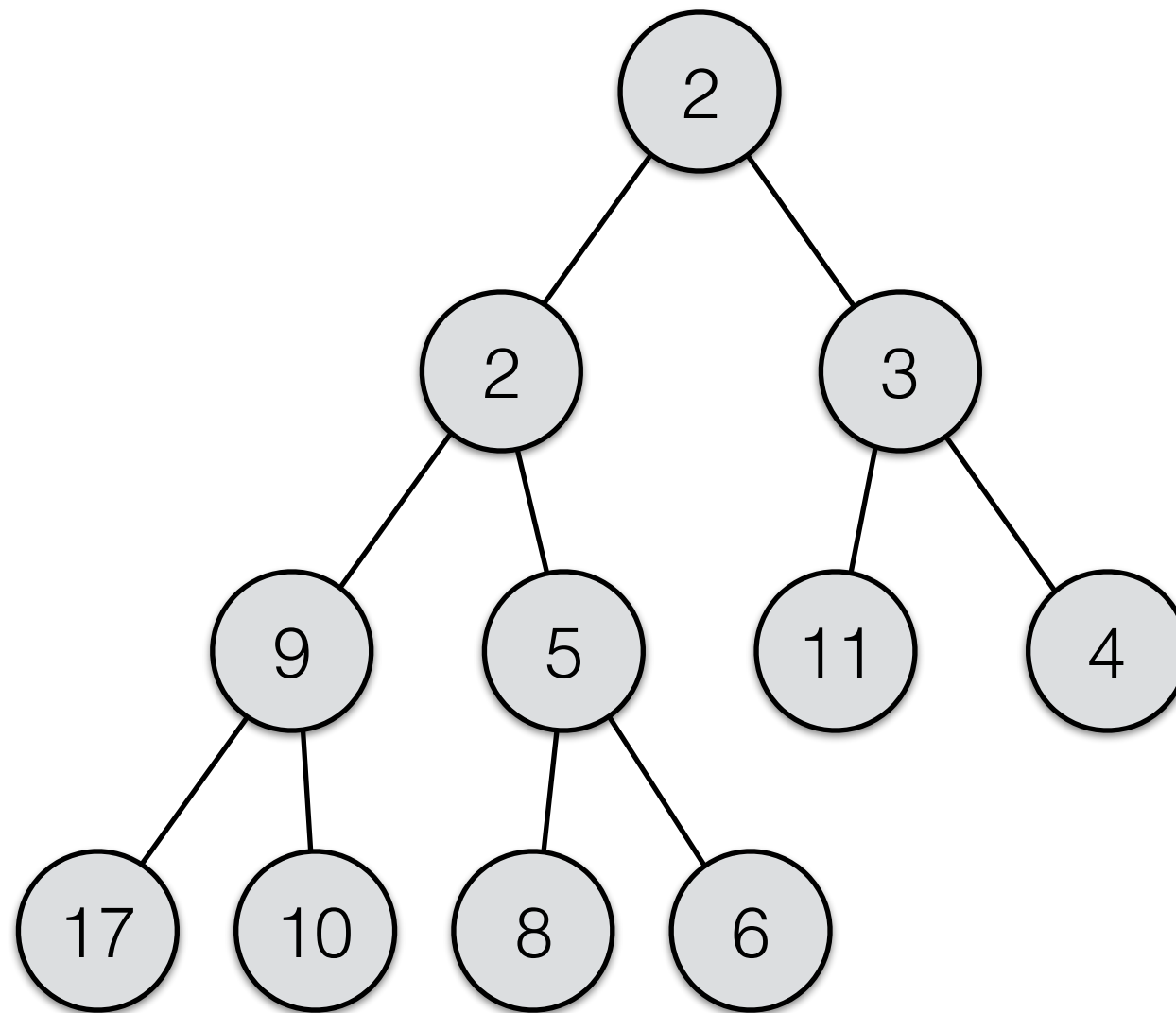
add(2)



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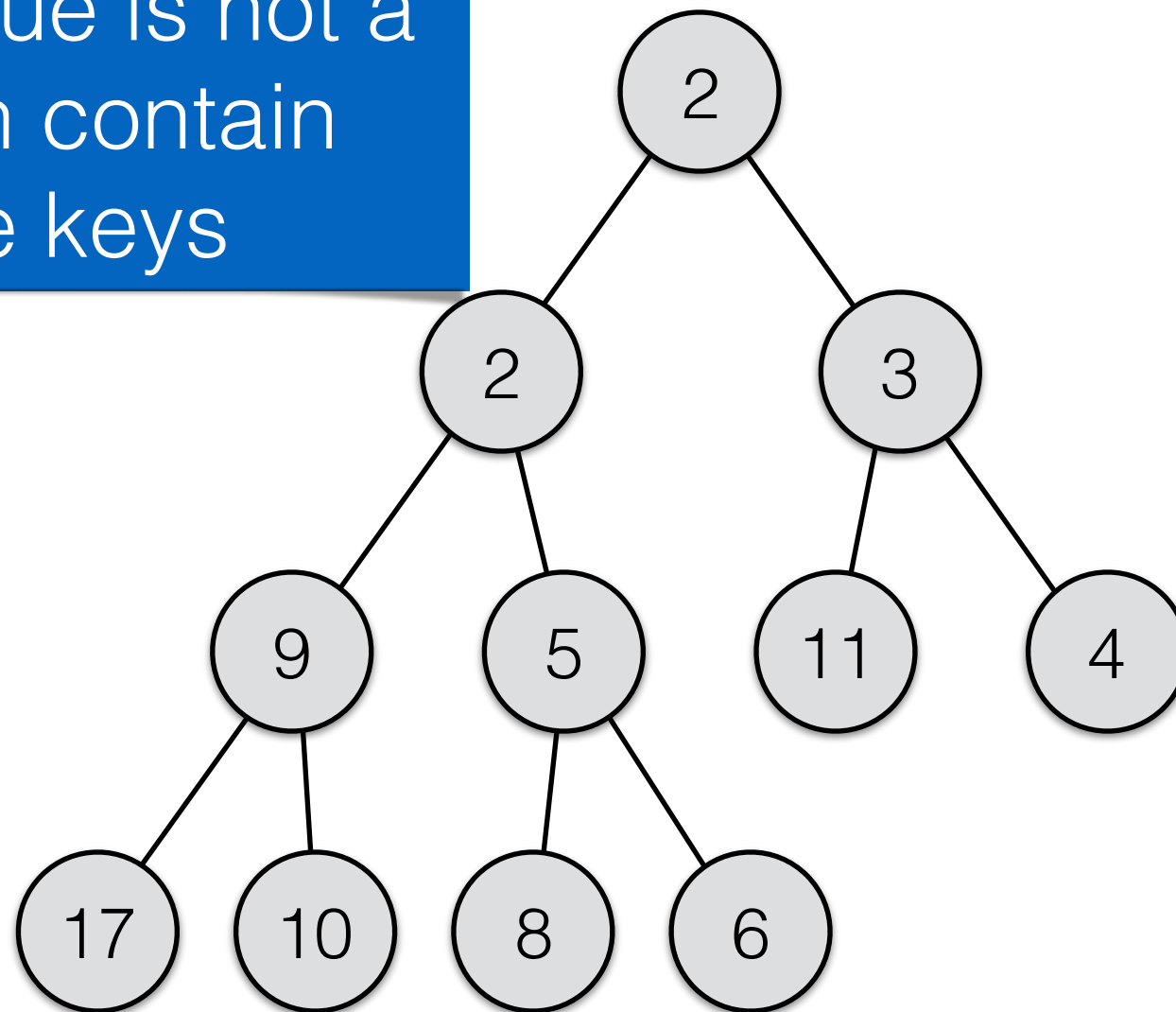


add(2)



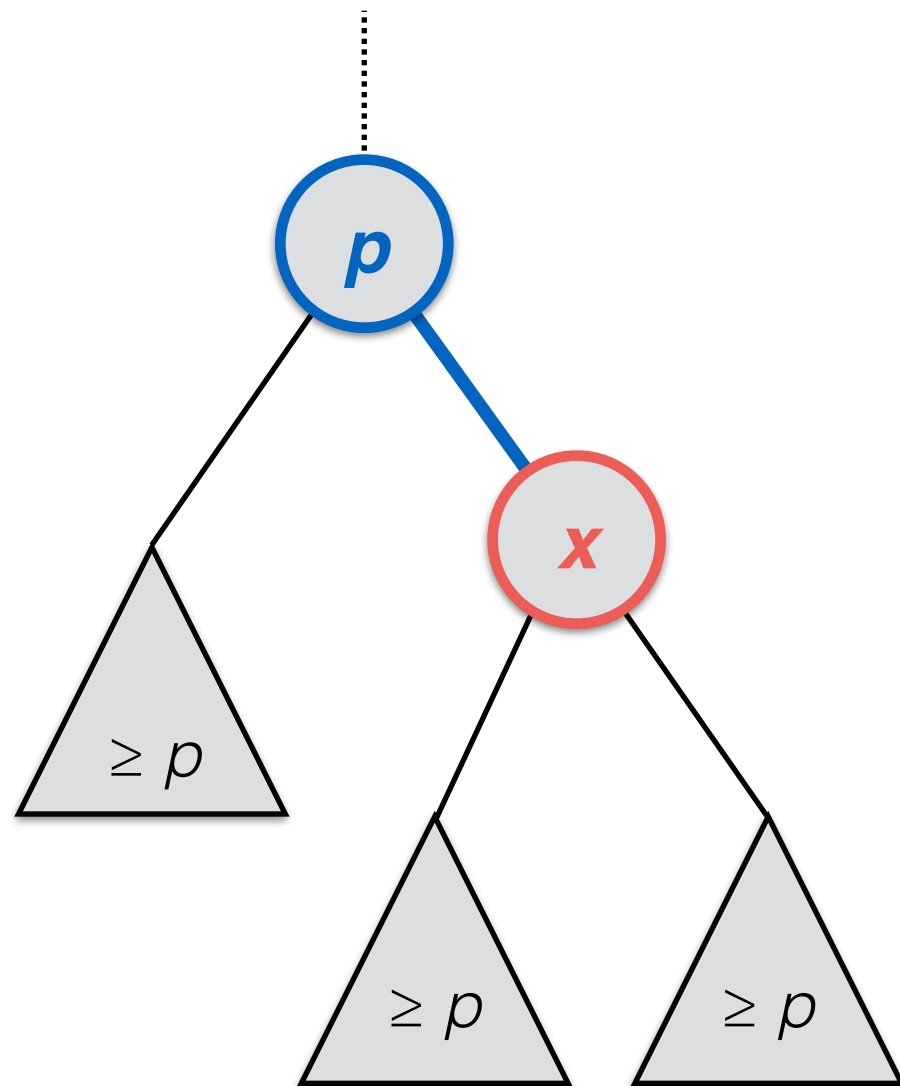
add(2)

A priority queue is not a set — it can contain duplicate keys

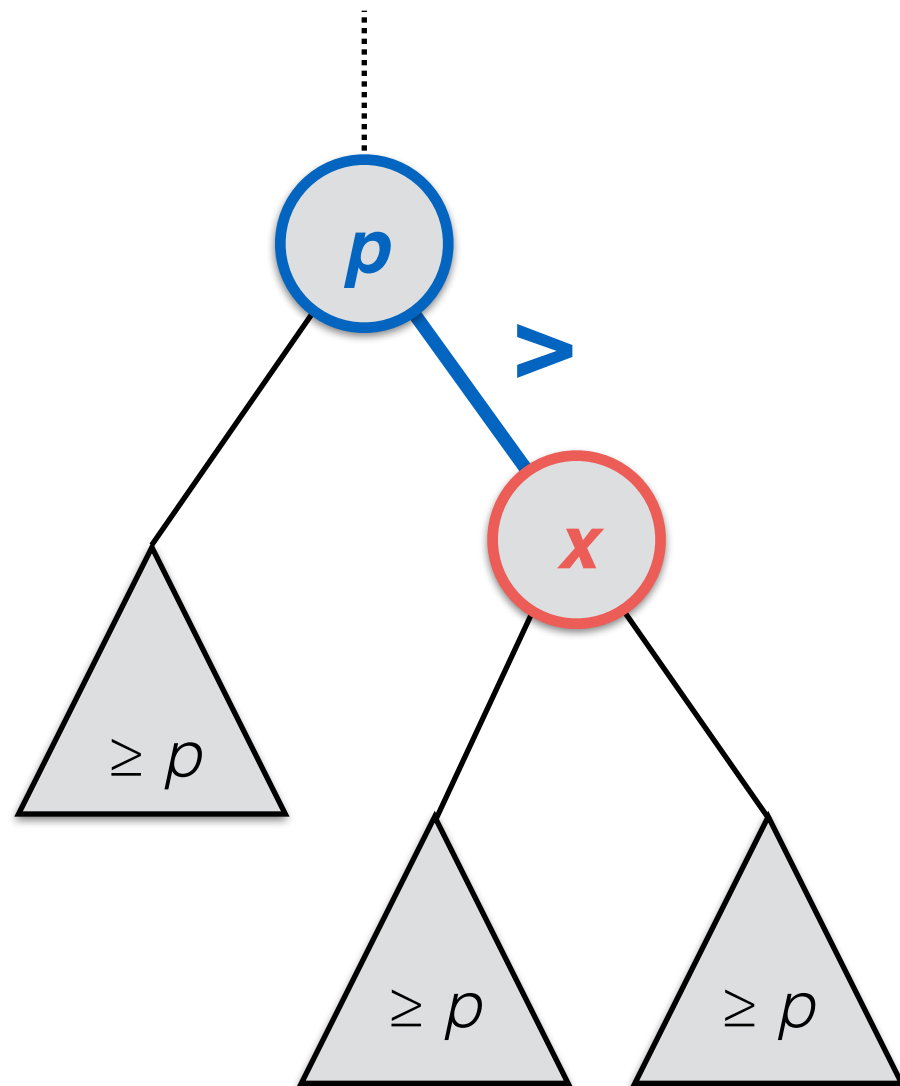


```
percolateUp(data,current):  
|   parent = (current - 1) / 2  
|   while (current > 0 && data[current] < data[parent])  
|       |   swap data[current] and data[parent]  
|       |   current = parent  
|       |   parent = (current - 1) / 2
```

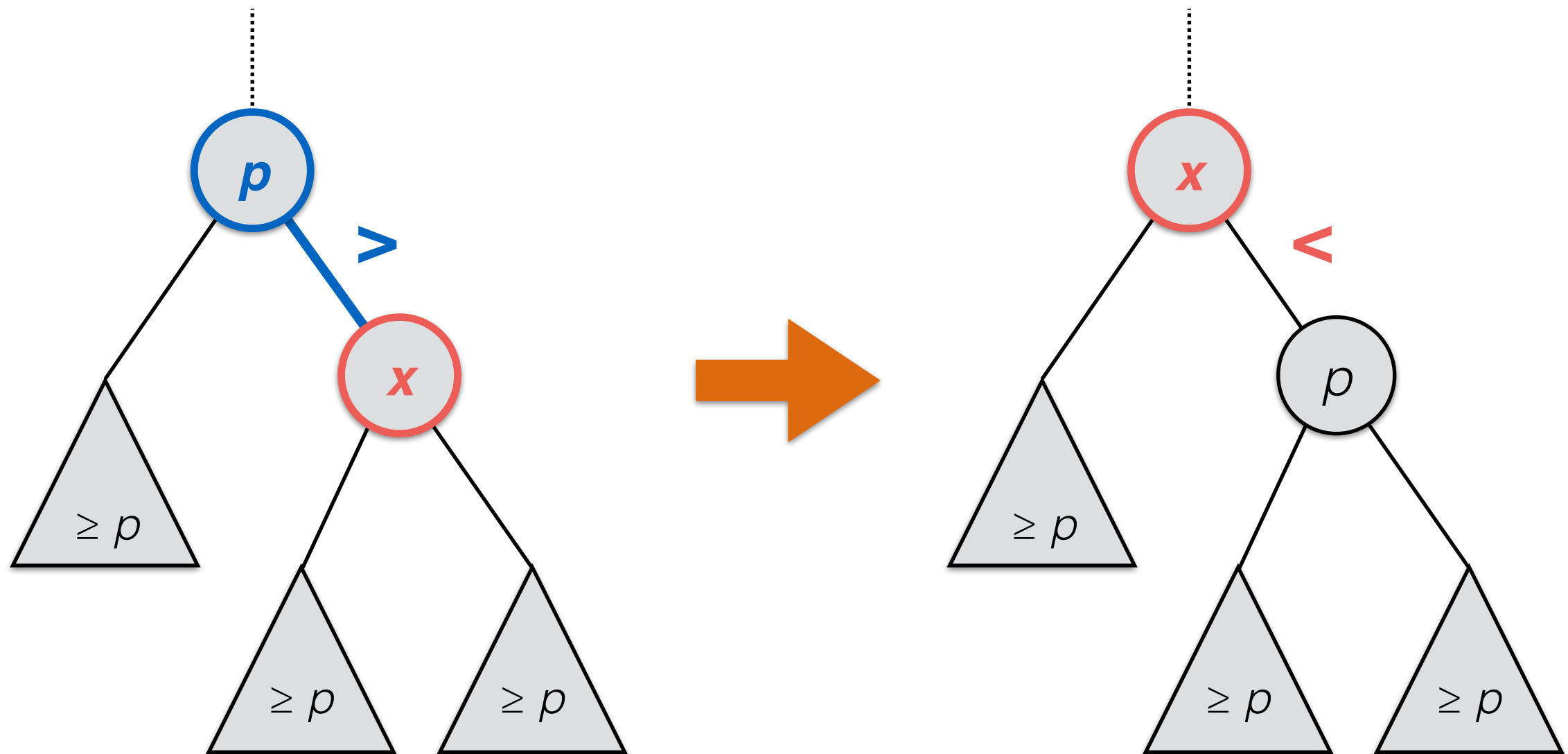
Correctness of `percolateUp()`



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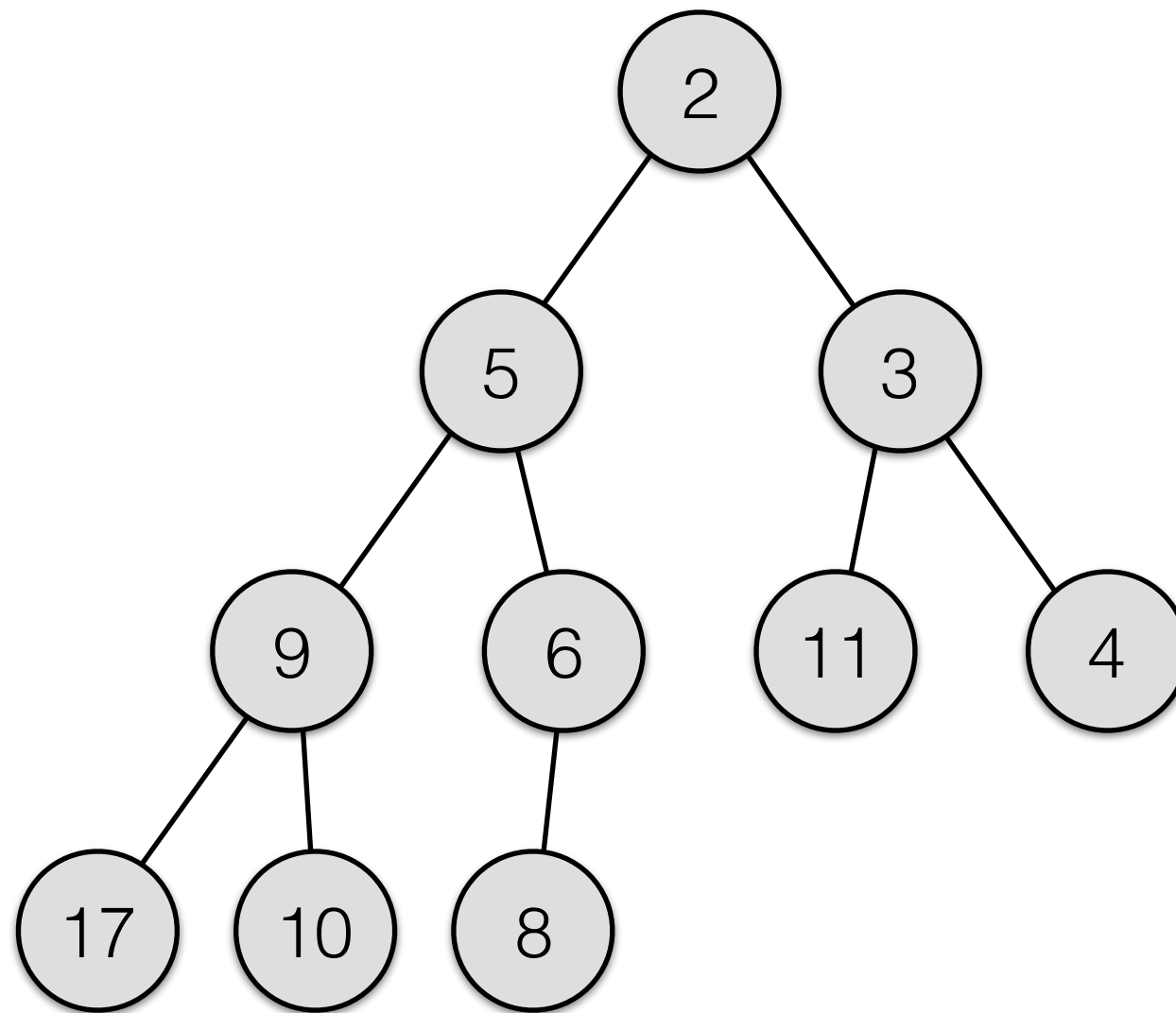
Correctness of percolateUp()



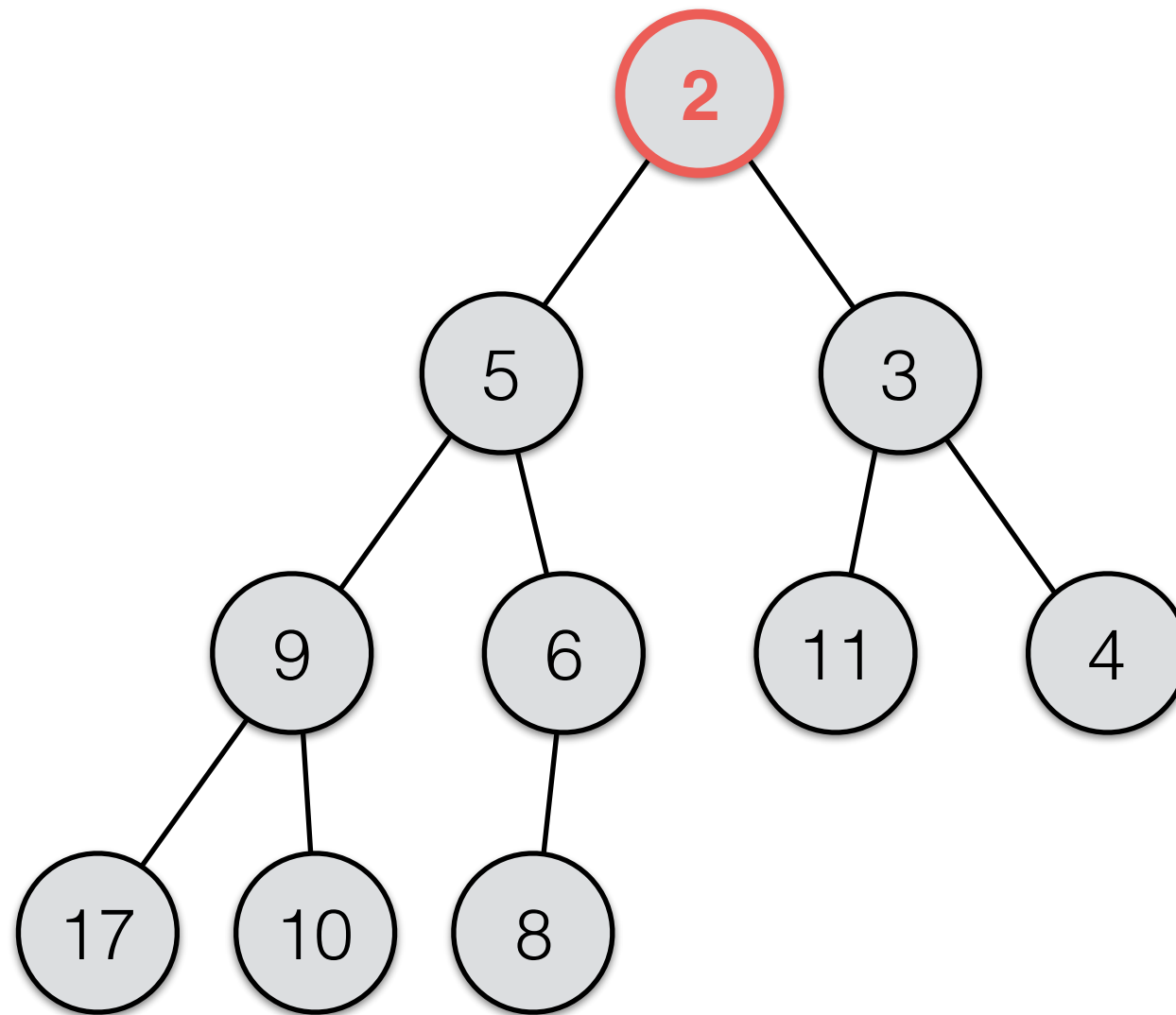
remove()

1. Save entry at root for return value.
2. Replace root entry by last entry in heap — call this entry *x*.
3. Percolate *x* down to restore heap order.
4. Return value saved in Step 1.

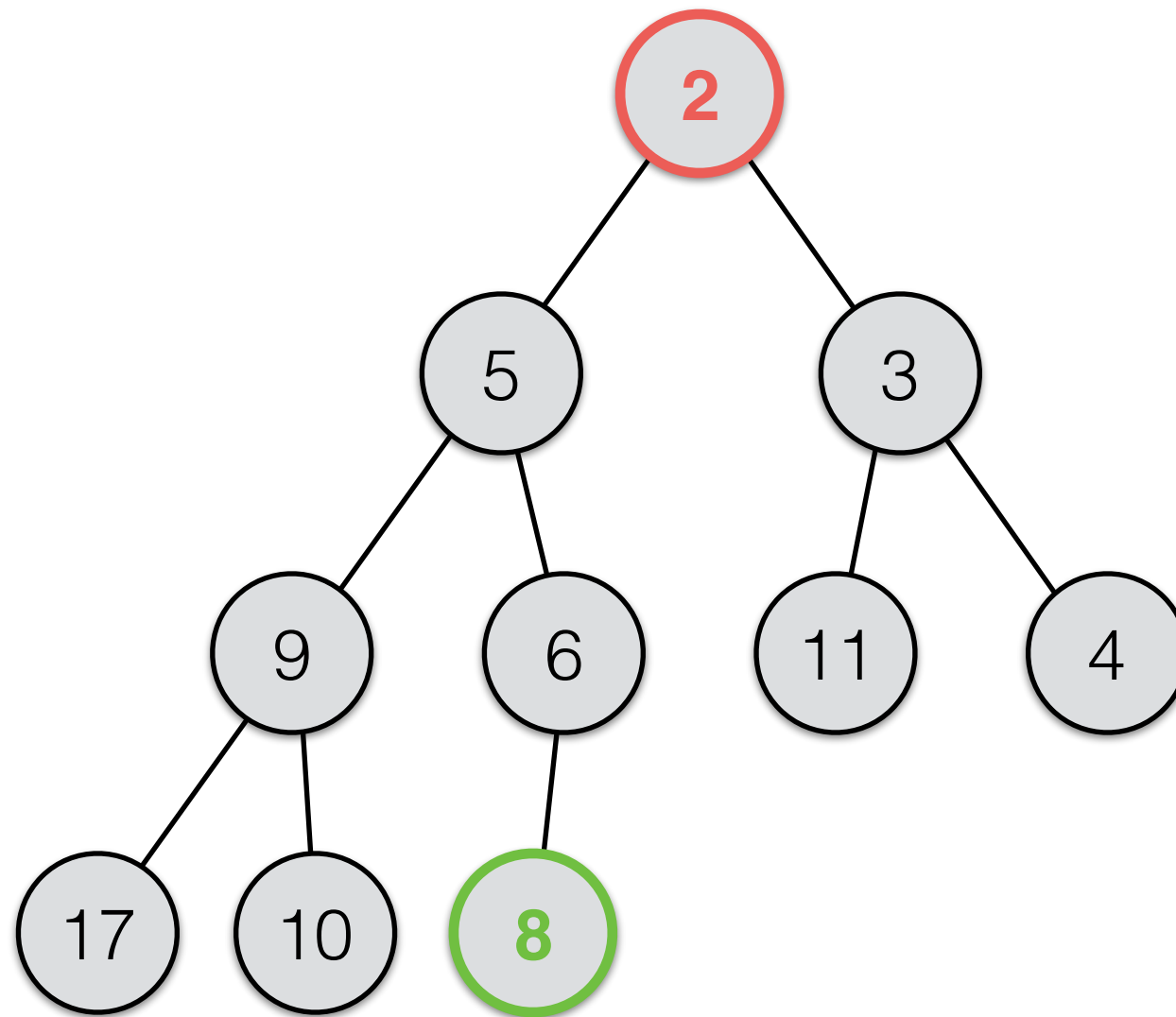
remove()



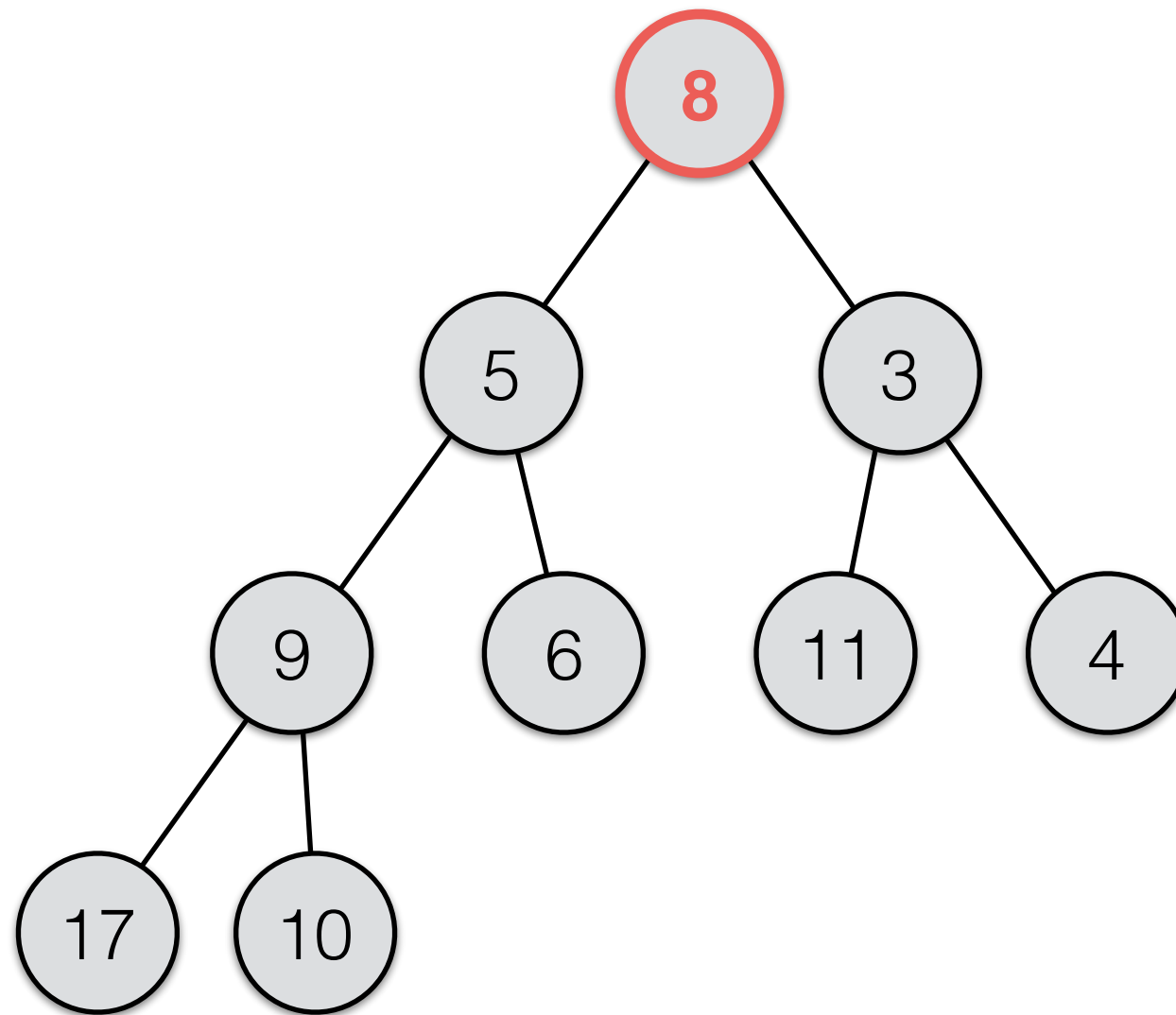
remove()



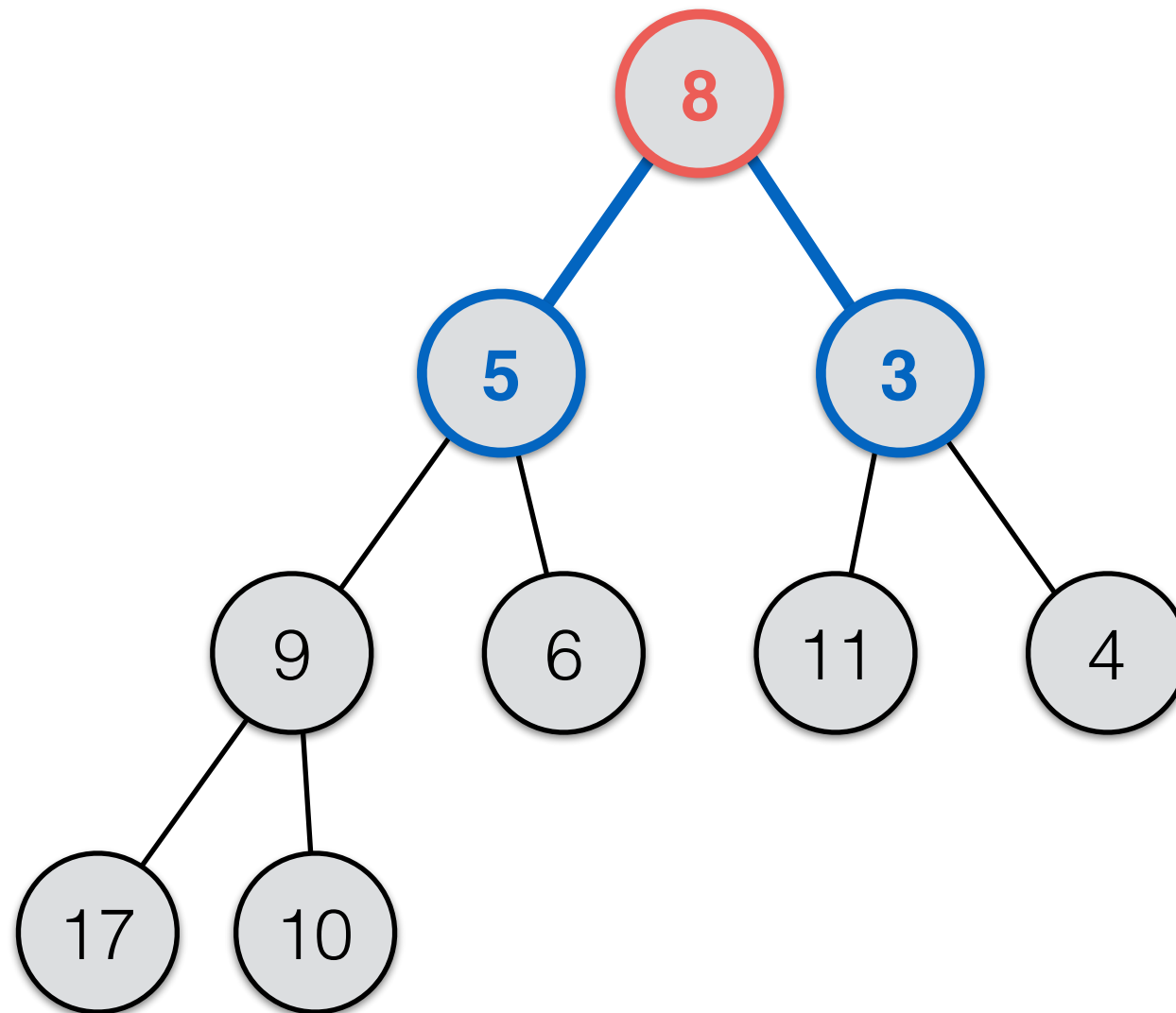
remove()



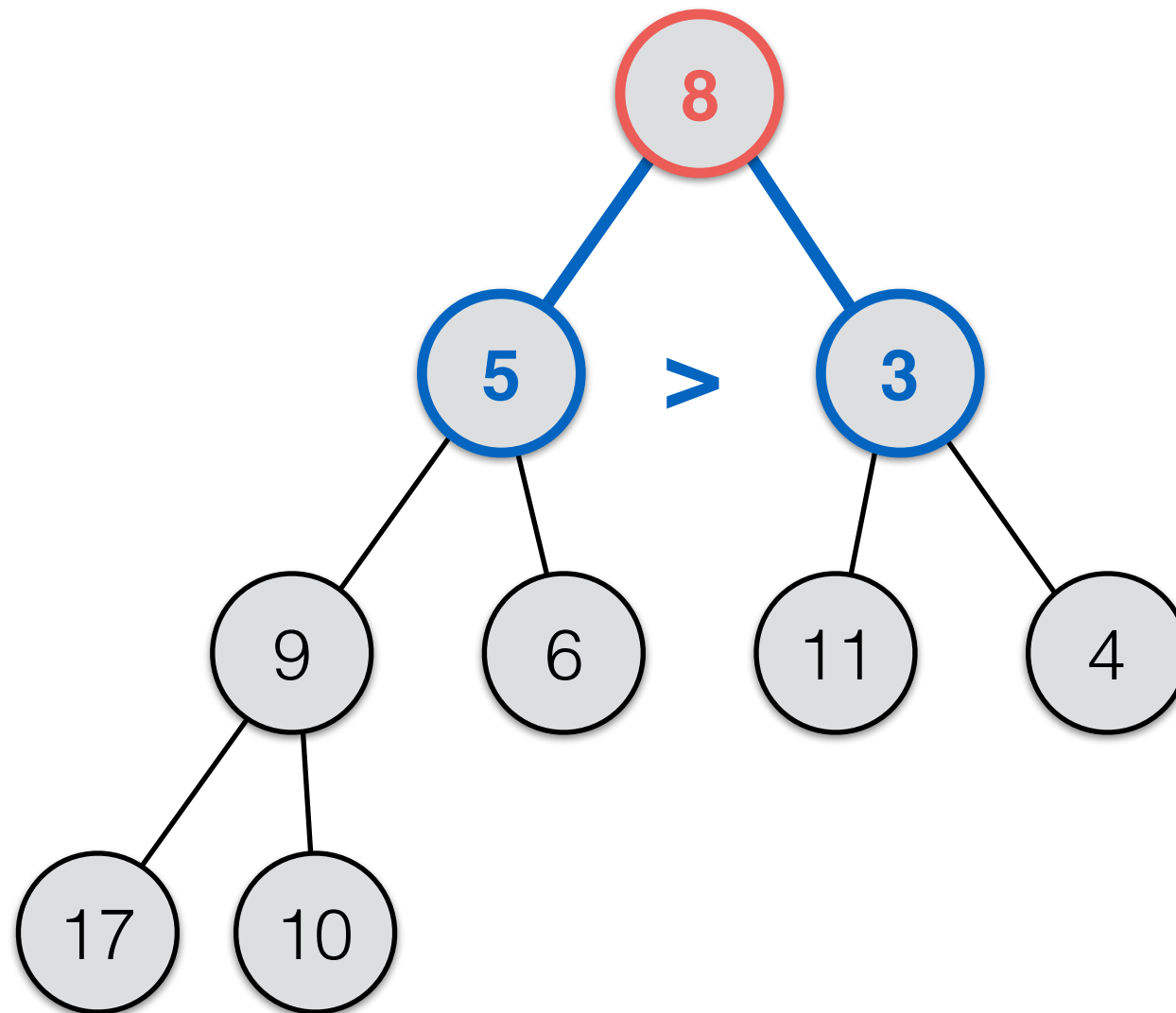
remove()



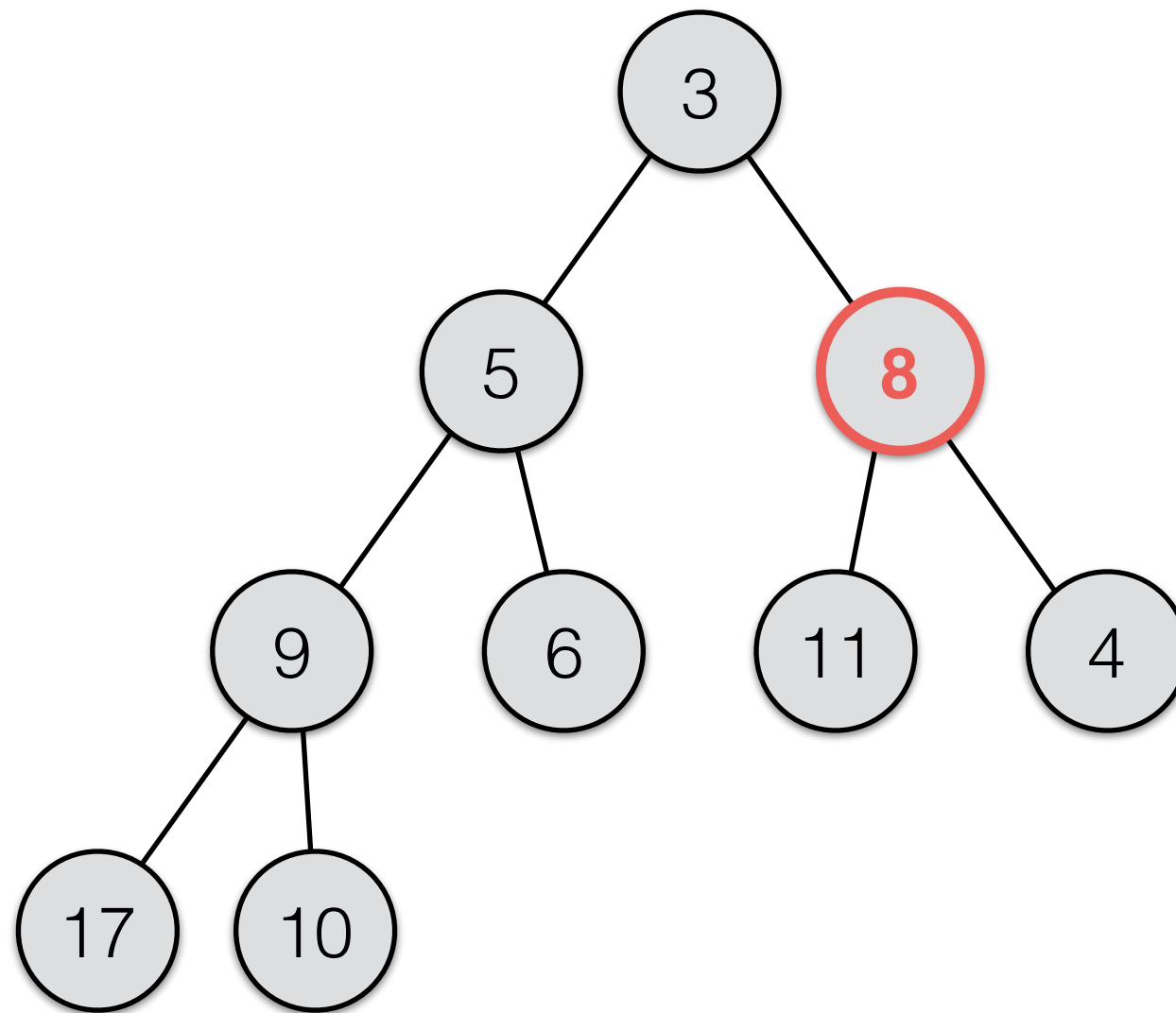
remove()



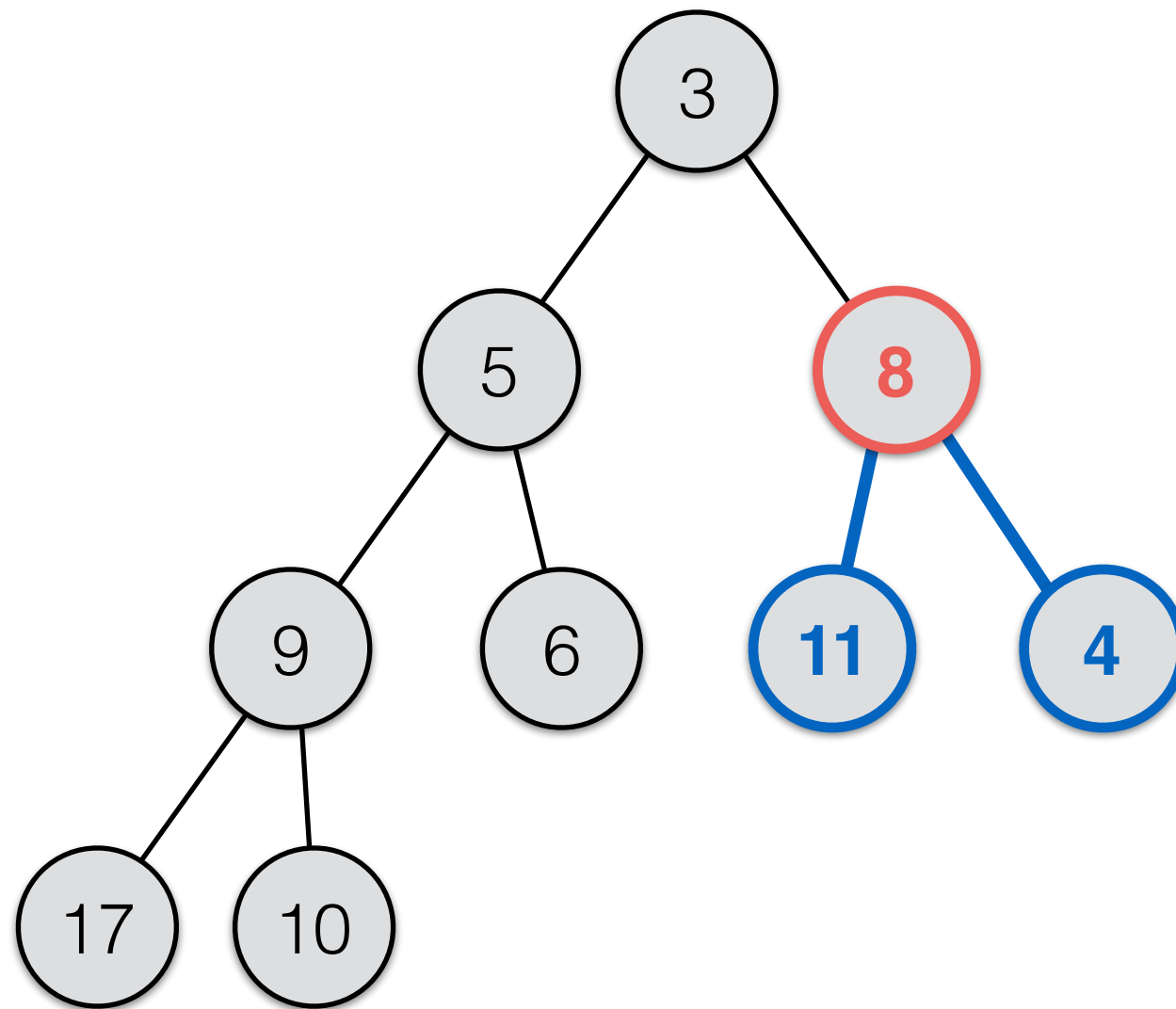
remove()



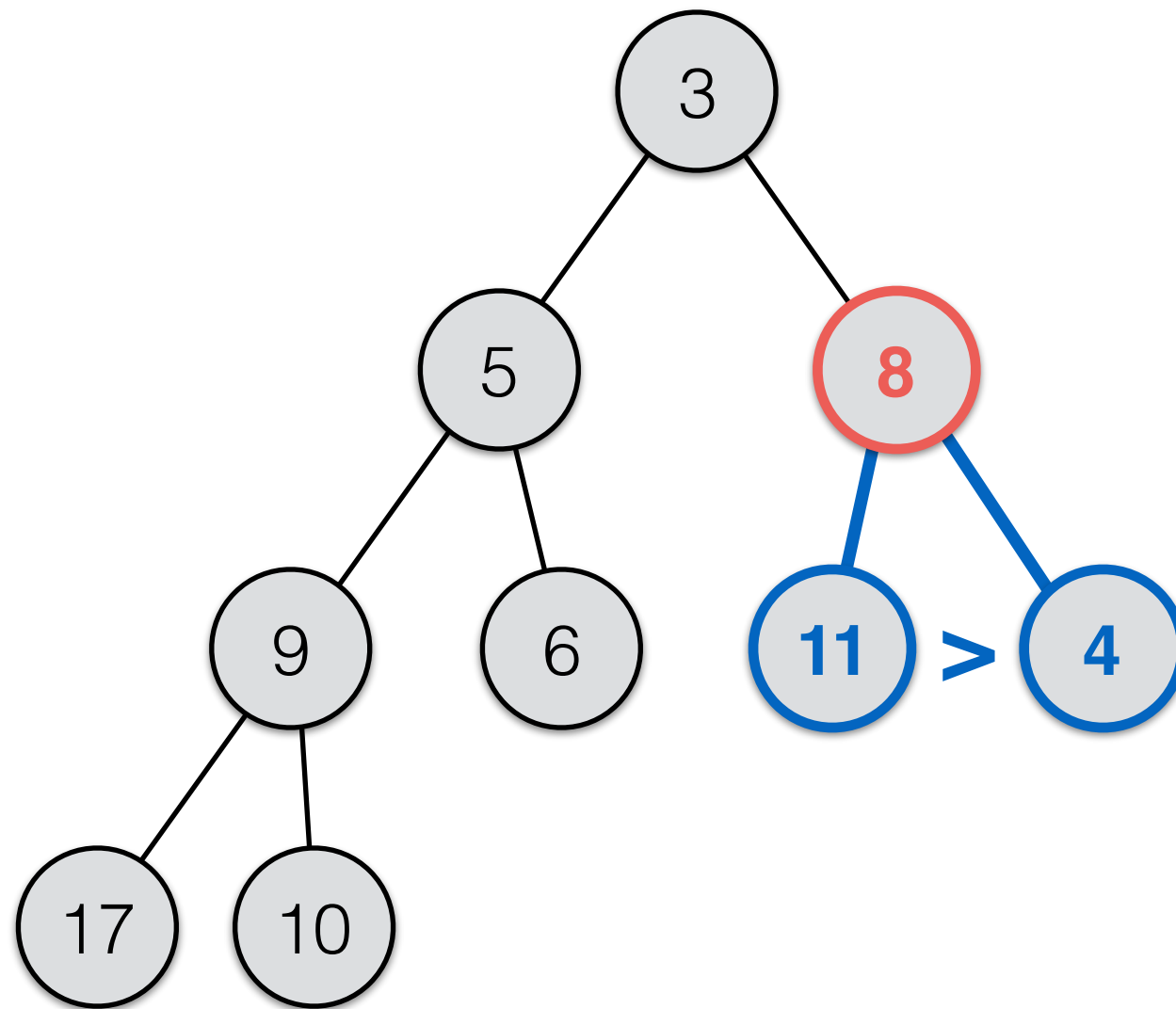
remove()



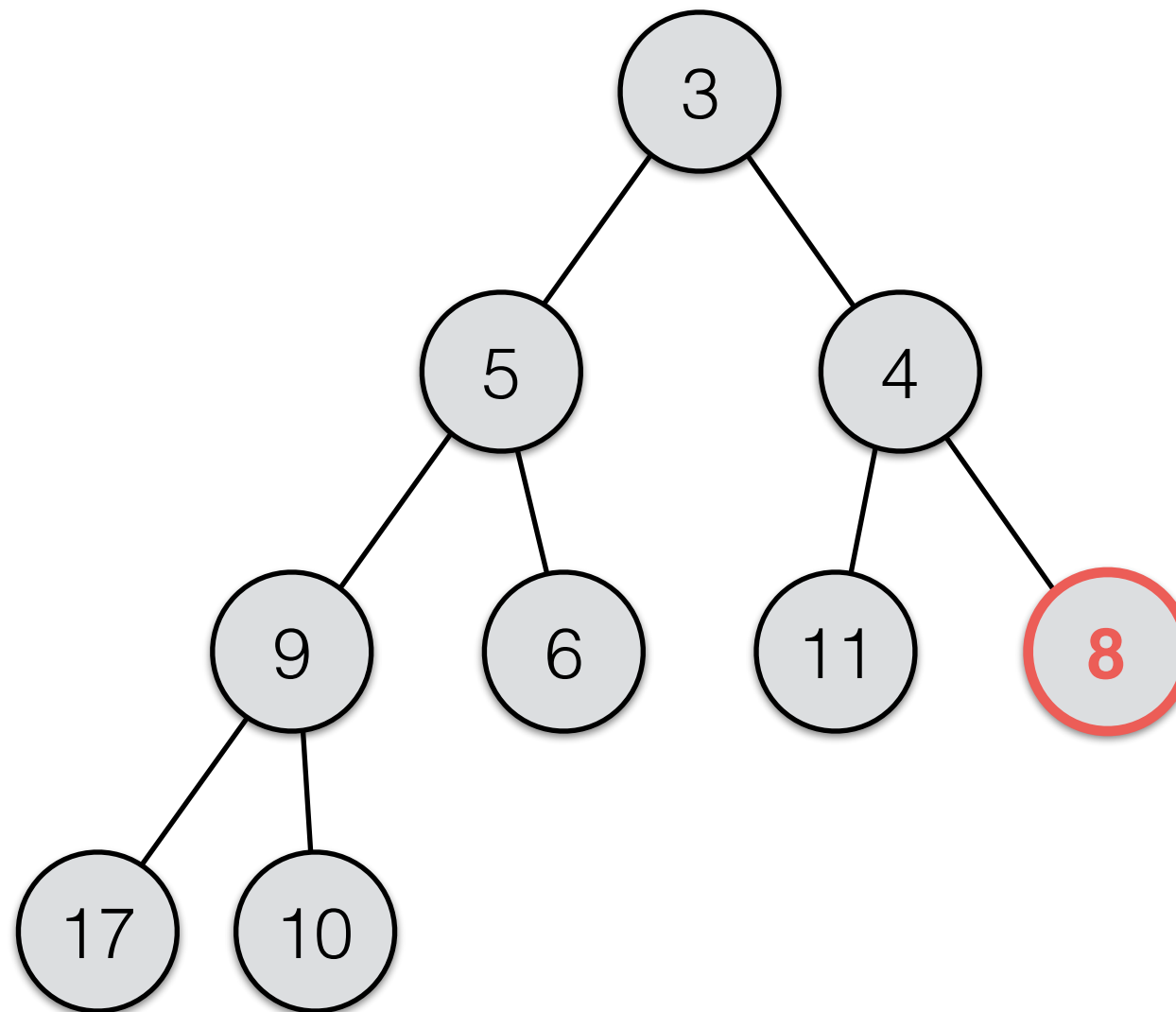
remove()



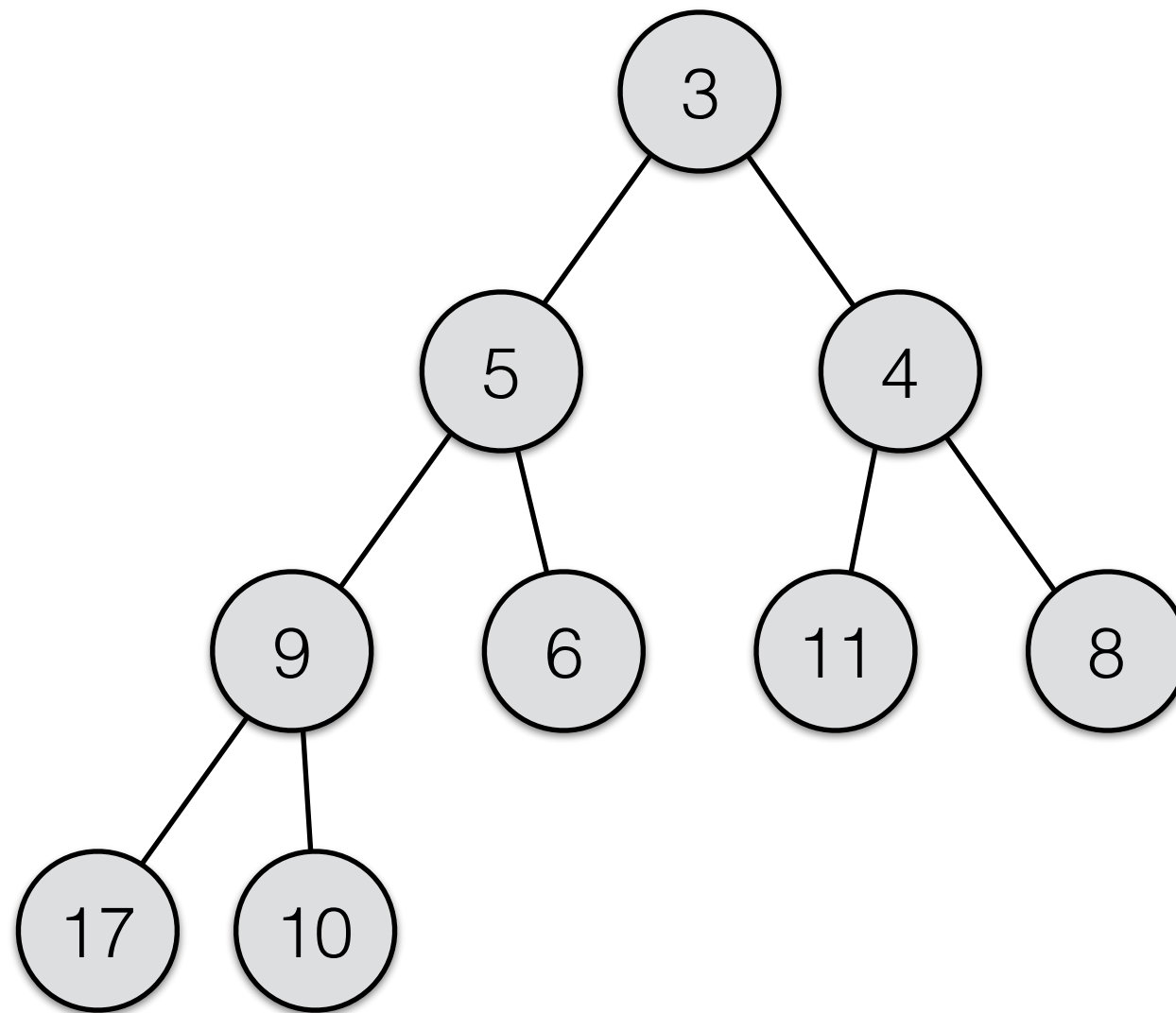
remove()



remove()



remove()



```
percolateDown(data, current):  
    // Find left child of current  
    child = 2 * current + 1  
  
    while (child < size)  
        if child + 1 < size  
            // Find smaller of two children  
            if data[child] > data[child + 1]  
                child = child + 1  
            if data[current] > data[child]  
                swap data[current] and data[child]  
            current = child  
            child = 2 * current + 1
```

Time Complexity

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Fact 1.

`add()` and `remove()` take $O(\text{height})$ time

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The height of an n -node heap is $\leq \log_2 n$.

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Fact 2 (Height Bound).

The height of an n -node heap is $\leq \log_2 n$.

\Rightarrow `add()` and `remove()` take $O(\log_2 n)$ time

Building a Heap

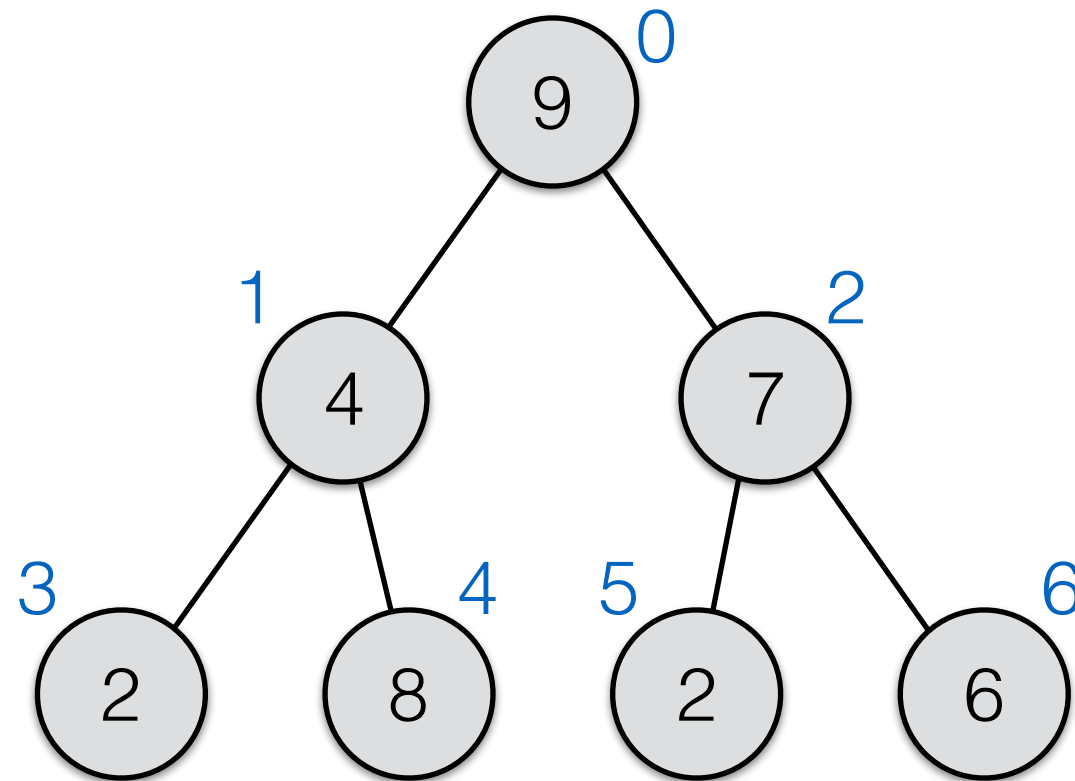
Building a Heap

- Naïve approach:
 - `add()` keys one at a time — `percolateUp()`.
 - $O(n \log n)$ time
- Faster approach: `heapify()`
 - `percolateDown()`
 - $O(n)$ time

heapify()

1. Make a complete tree out of the entries by putting them, in any order, into **data** array.
2. Work backward from the last non-leaf node to the root, in reverse order in the **data** array.
 - When visiting a node, percolate its entry down as in **remove()**.

heapify()

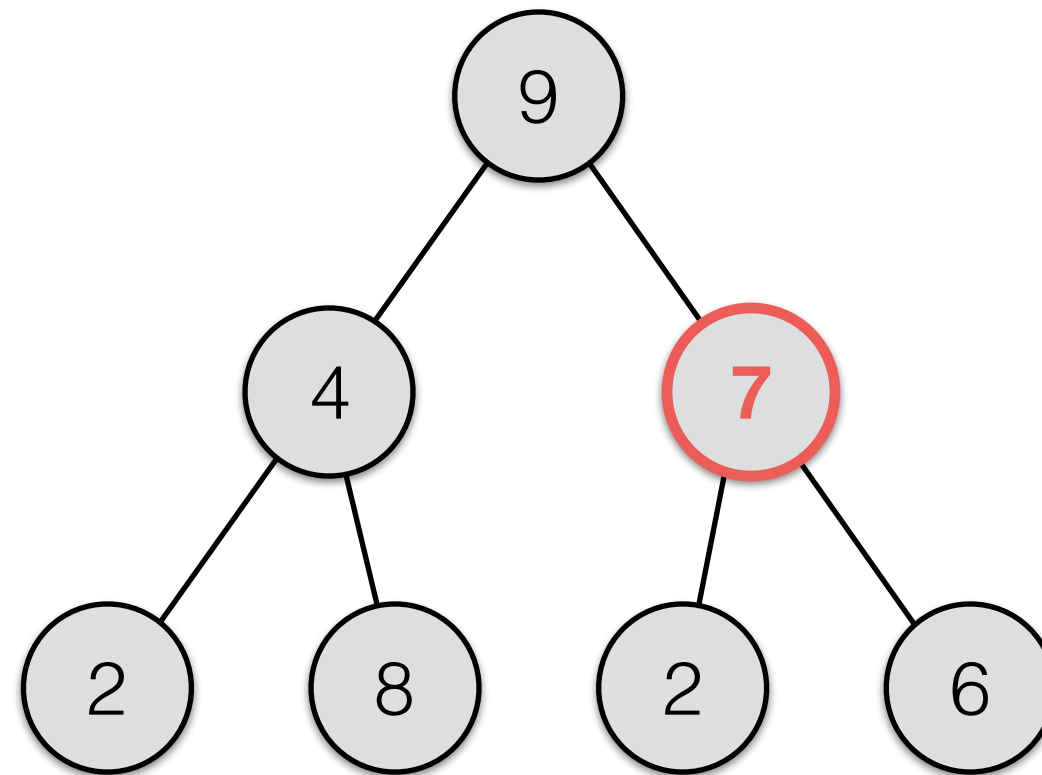


data:

9	4	7	2	8	2	6
---	---	---	---	---	---	---

0 1 2 3 4 5 6

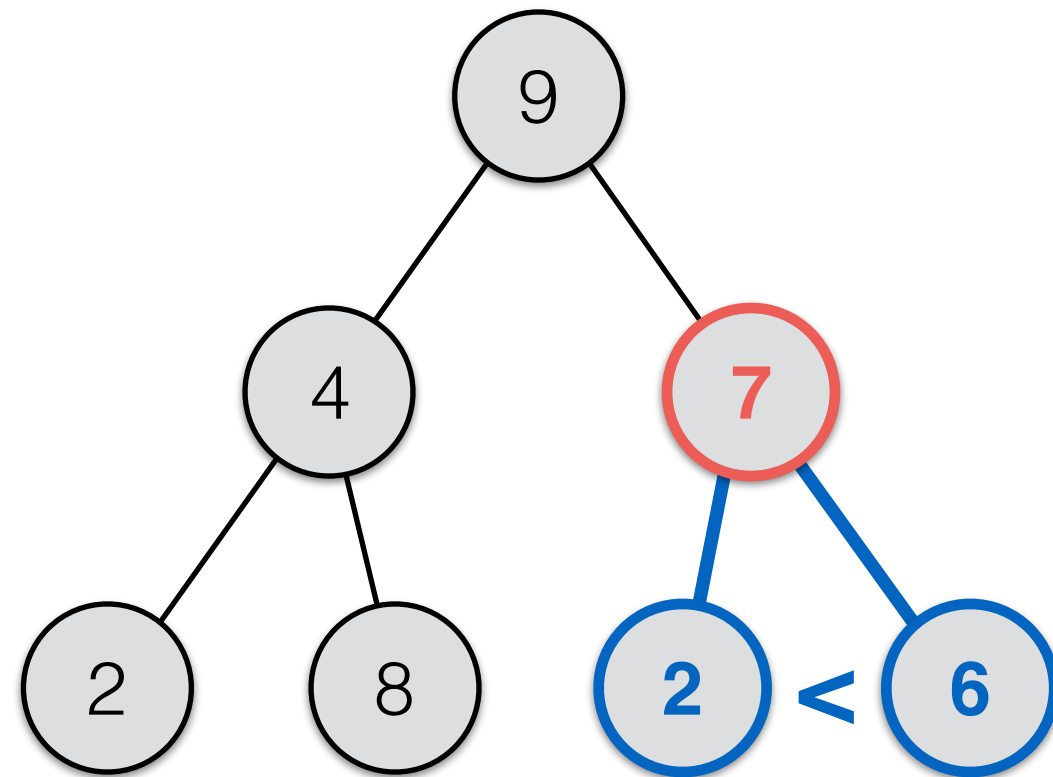
heapify()



data:

9	4	7	2	8	2	6
0	1	2	3	4	5	6

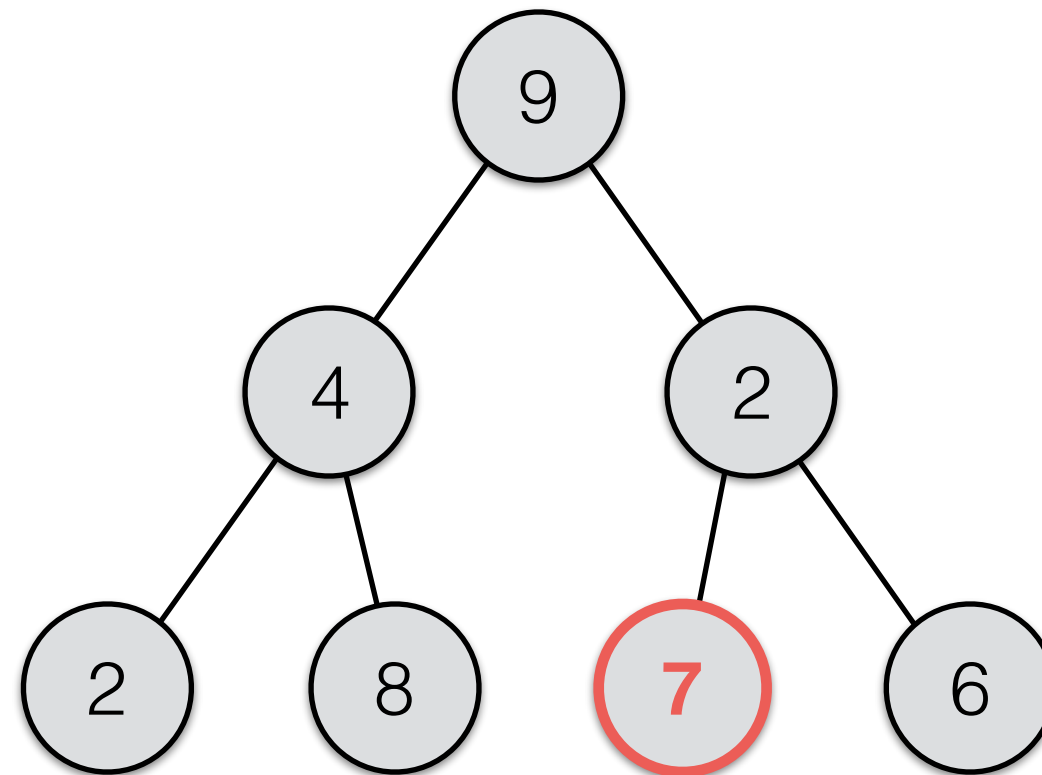
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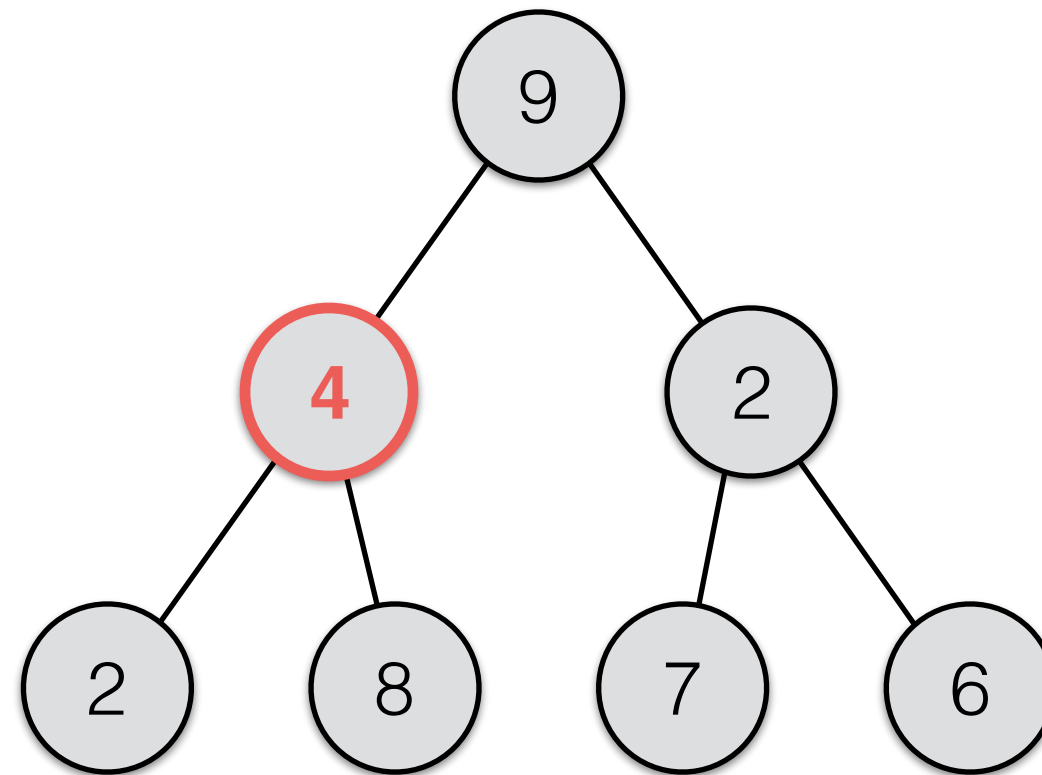
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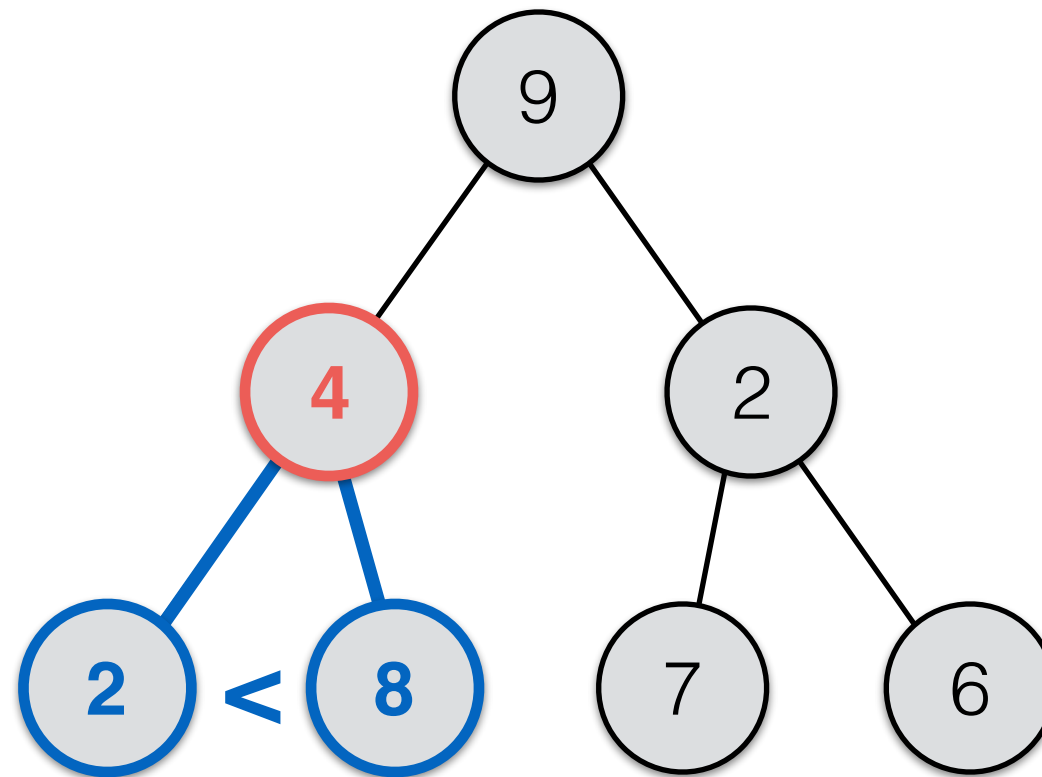
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9	4	2	2	8	7	6
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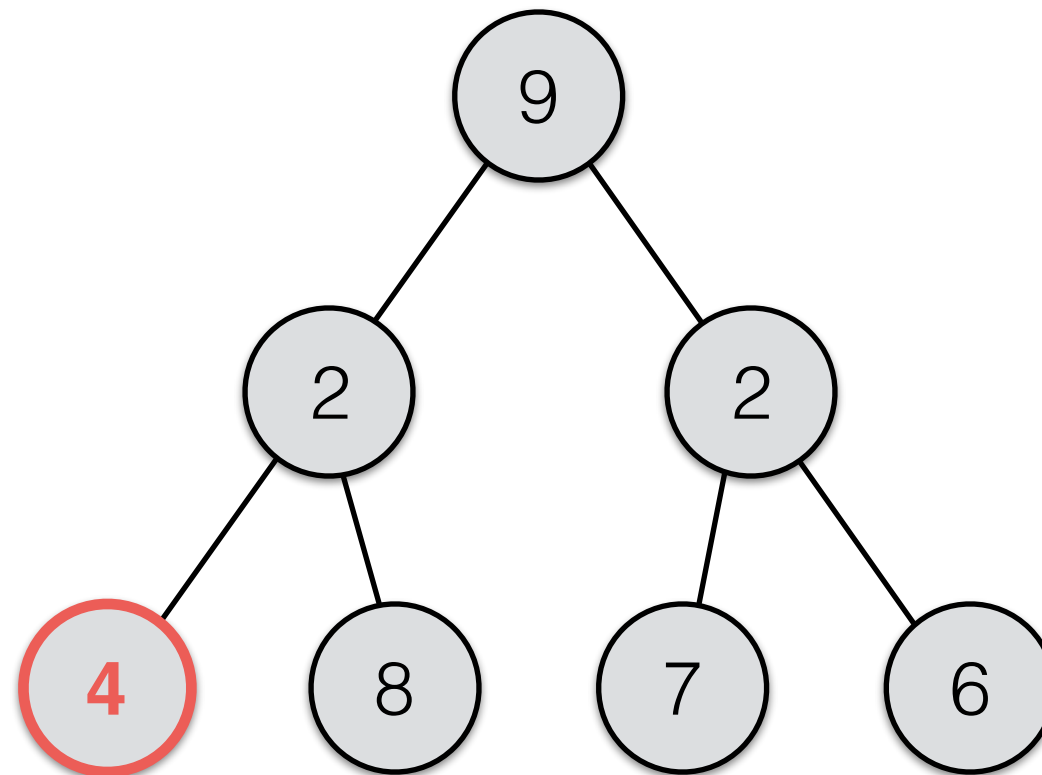


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9	4	2	2	8	7	6
---	---	---	---	---	---	---

0 1 2 3 4 5 6

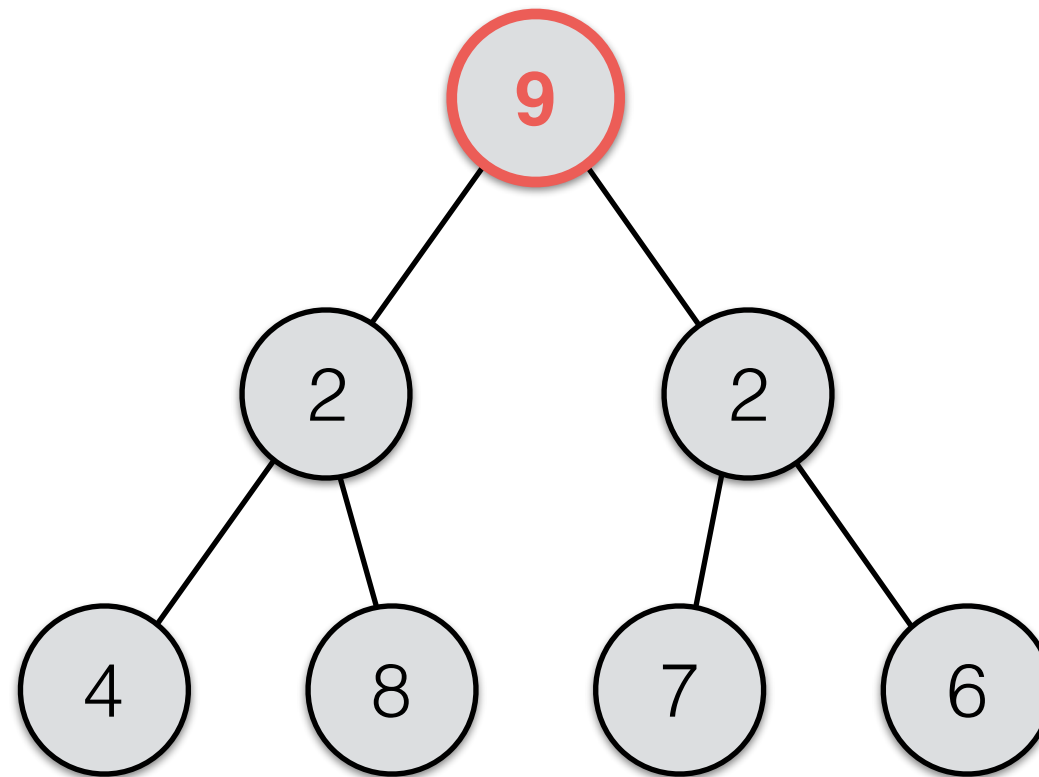
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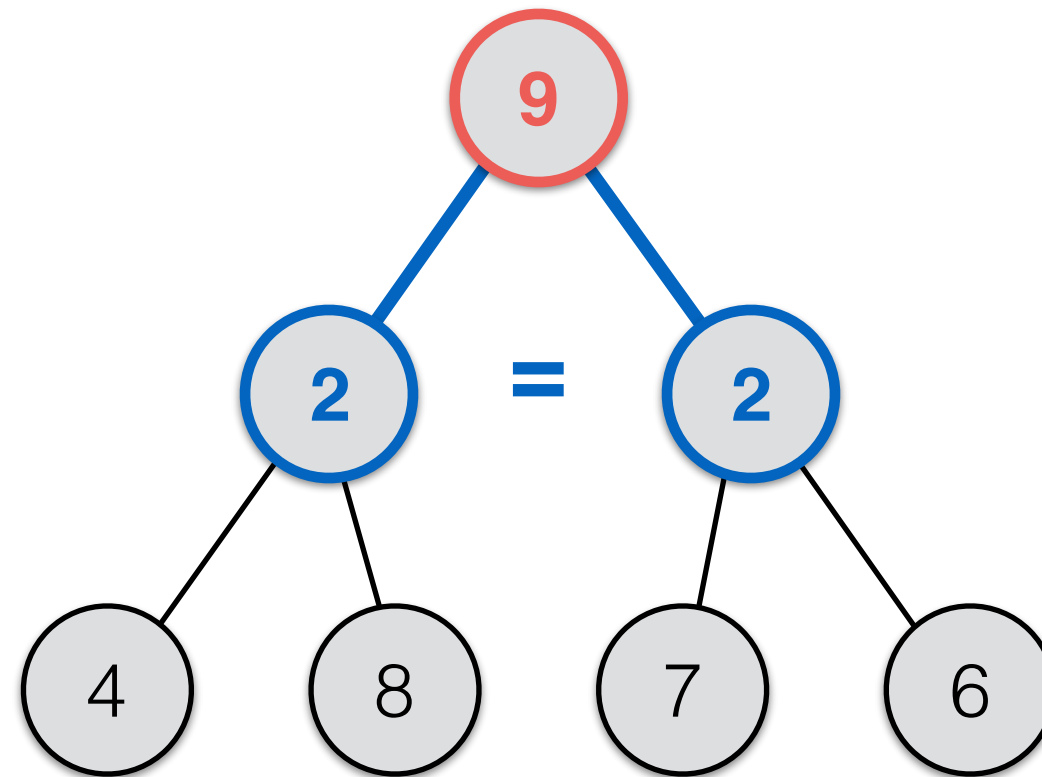
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data:

9	2	2	4	8	7	6
0	1	2	3	4	5	6

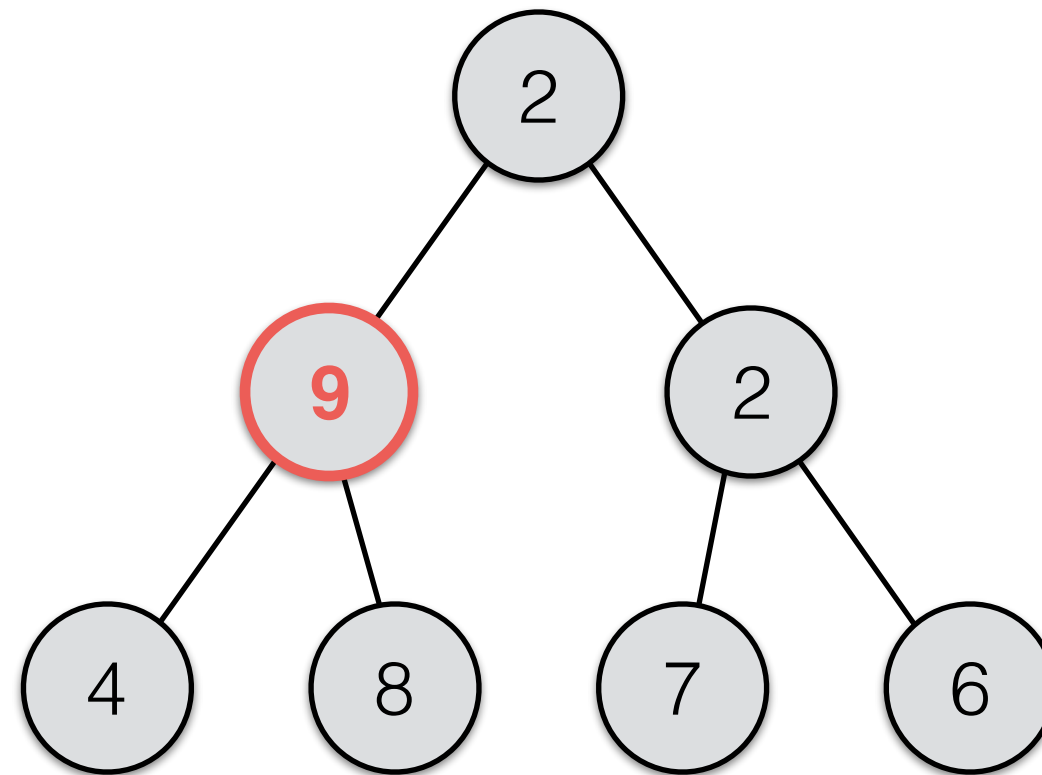
heapify()



data:

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0	1	2	3	4	5	6

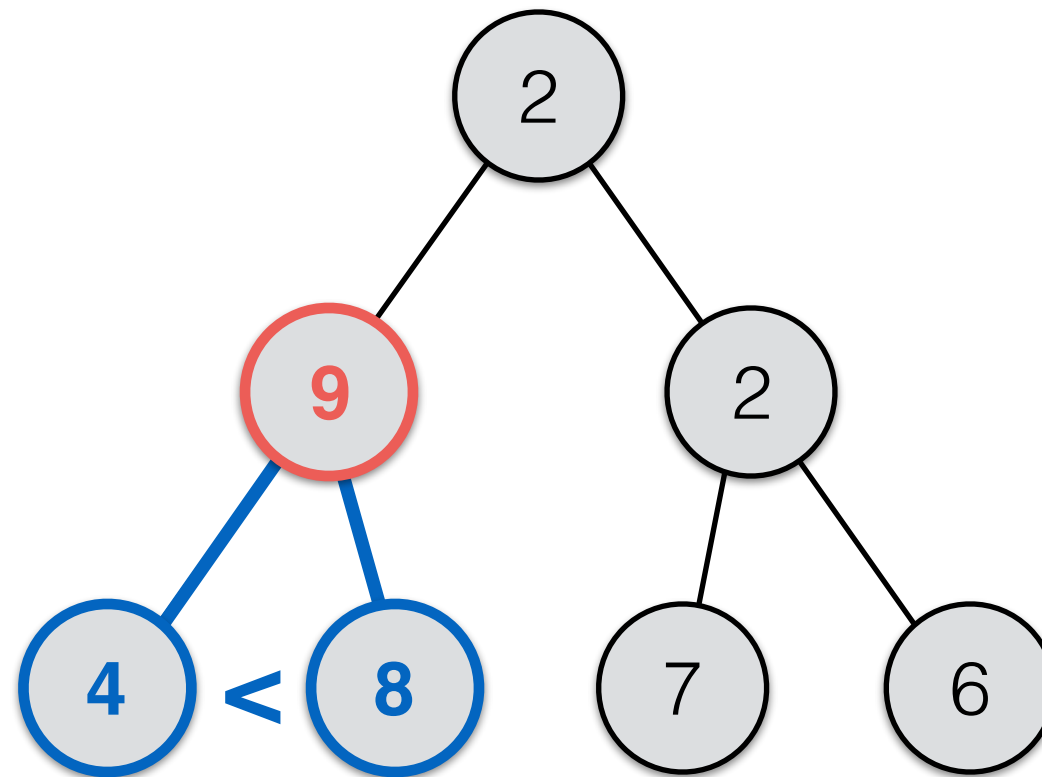
heapify()



data:

2	9	2	4	8	7	6
0	1	2	3	4	5	6

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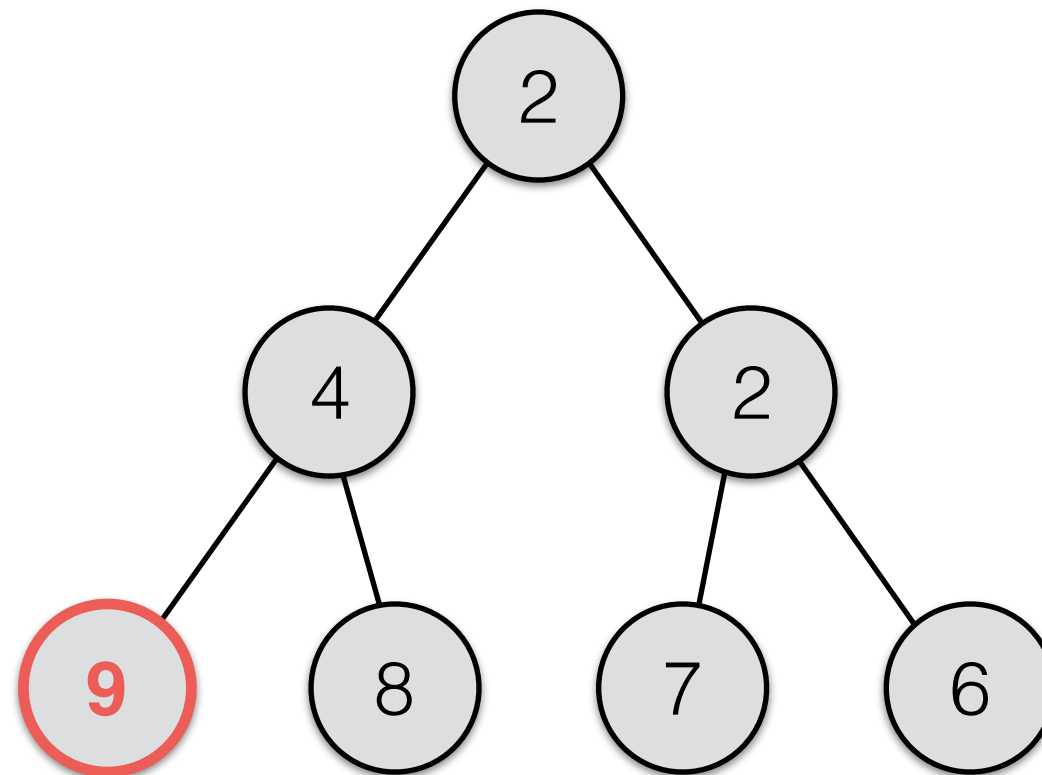


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0 1 2 3 4 5 6

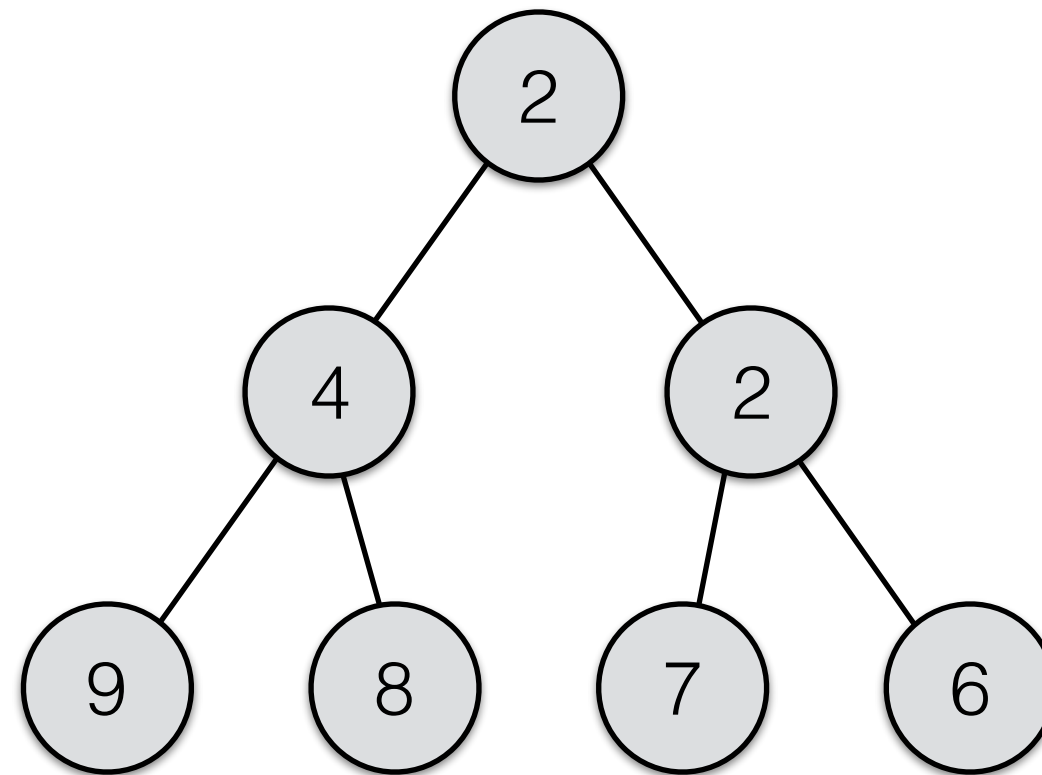
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0	1	2	3	4	5	6

heapify()



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2	4	2	9	8	7	6
0	1	2	3	4	5	6

```
heapify(data, current):  
    // Loop invariant: for each index  $i > \text{current}$ ,  
    // the subtree rooted at  $\text{data}[i]$  is heap-  
    // ordered.  
    current = size/2 - 1;  
    while (current  $\geq$  0)  
        percolateDown(data, current);  
        --current;
```