

Chapter 8: Relational Database Design

Database System Concepts, 6th Ed.

©Silberschatz, Korth and Sudarshan See www.db-book.com for conditions on re-use



Features of Good Relational Design

- In general, the goal of relational database design is to generate a set of relation schemas that allows us to store information without unnecessary redundancy, yet also allows us to retrieve information easily. This is accomplished by designing schemas that are in an appropriate normal form.
- It is possible to generate a set of relation schemas directly from the E-R design. Obviously, the goodness (or badness) of the resulting set of schemas depends on how good the E-R design was in the first place.



Schema For The University Database

- classroom(<u>building</u>, room number, capacity)
- department(<u>dept_name</u>, building, budget)
- course(<u>course id</u>, title, dept_name, credits)
- instructor (<u>ID</u>, name, dept_name, salary)
- section(<u>course_id</u>, <u>sec_id</u>, <u>semester</u>, <u>year</u>, building, room_number, time_slot_id)
- teaches(<u>ID</u>, <u>course_id</u>, <u>sec_id</u>, <u>semester</u>, <u>year</u>)
- student(<u>ID</u>, name, dept_name, tot_cred)
- takes(<u>ID</u>, <u>course_id</u>, <u>sec_id</u>, <u>semester</u>, <u>year</u>, grade)
- advisor(<u>s ID</u>, <u>i ID</u>)
- time slot(<u>time_slot_id</u>, <u>day</u>, <u>start_time</u>, end_time)
- prereq(<u>course id</u>, <u>prereq id</u>)



Design Alternative: Larger Schemas

- Suppose we combine *instructor* and *department* into *inst_dept*
 - (No connection to relationship set inst_dept)
- Result is possible repetition of information

ID	name	salary	dept_name	building	budget
22222	Einstein	95000	Physics	Watson	70000
12121	Wu	90000	Finance	Painter	120000
32343	El Said	60000	History	Painter	50000
45565	Katz	75000	Comp. Sci.	Taylor	100000
98345	Kim	80000	Elec. Eng.	Taylor	85000
76766	Crick	72000	Biology	Watson	90000
10101	Srinivasan	65000	Comp. Sci.	Taylor	100000
58583	Califieri	62000	History	Painter	50000
83821	Brandt	92000	Comp. Sci.	Taylor	100000
15151	Mozart	40000	Music	Packard	80000
33456	Gold	87000	Physics	Watson	70000
76543	Singh	80000	Finance	Painter	120000



A Combined Schema Without Repetition

- Consider combining relations
 - sec_class(sec_id, building, room_number) and
 - section(course id, sec id, semester, year)
- into one relation
 - section(course id, sec id, semester, year, building, room number)
- No repetition in this case

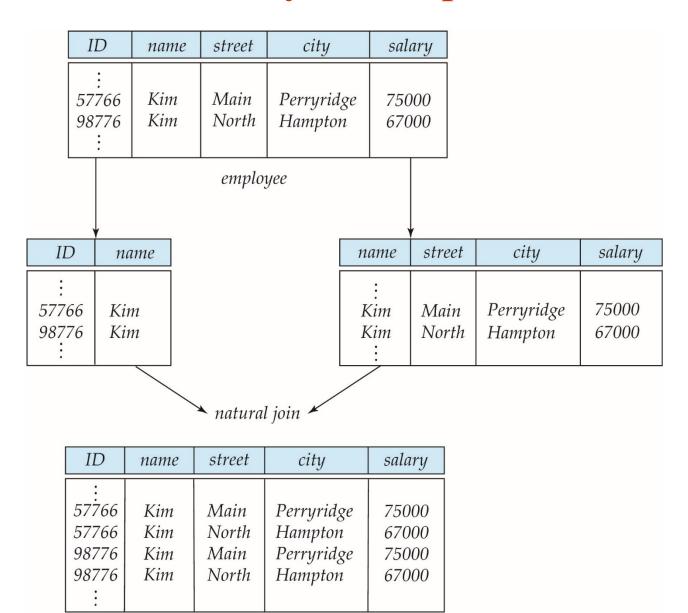


What About Smaller Schemas?

- Suppose we had started with *inst_dept*. How would we know to split up (**decompose**) it into *instructor* and *department*?
- Write a rule "if there were a schema (*dept_name*, *building*, *budget*), then *dept_name* would be a candidate key"
- Denote as a functional dependency:
 dept_name → building, budget
- In *inst_dept*, because *dept_name* is not a candidate key, the building and budget of a department may have to be repeated.
 - This indicates the need to decompose *inst dept*
- Not all decompositions are good. Suppose we decompose employee(ID, name, street, city, salary) into employee1 (ID, name) employee2 (name, street, city, salary)
- The next slide shows how we lose information -- we cannot reconstruct the original *employee* relation -- and so, this is a **lossy decomposition**.



A Lossy Decomposition





Example of Lossless-Join Decomposition

- Lossless join decomposition
- Decomposition of R = (A, B, C) $R_1 = (A, B)$ $R_2 = (B, C)$

A	В	C	
$\begin{array}{c} \alpha \\ \beta \end{array}$	1 2	A B	
	r		

$$\begin{array}{c|c}
\beta & 2 \\
\hline
\Pi_{A,B}(r)
\end{array}$$

$$\prod_{A} (r) \bowtie \prod_{B} (r) \qquad \qquad \boxed{ \begin{array}{c|ccc}
A & B & C \\
\hline
 \alpha & 1 & A \\
 \beta & 2 & B
\end{array}}$$

В	C	
1 2	A B	
$\prod_{B,C}(r)$		



Data Normalization

- Primarily a tool to validate and improve a logical design so that it satisfies certain constraints that avoid unnecessary duplication of data
- The process of decomposing relations with anomalies to produce smaller, well-structured relations
- Results of Normalization
- Removes the following modification anomalies (integrity errors) with the database
 - Insertion
 - Deletion
 - Update



ANOMALIES

Insertion

• inserting one fact in the database requires knowledge of other facts unrelated to the fact being inserted

Deletion

• Deleting one fact from the database causes loss of other unrelated data from the database

Update

• Updating the values of one fact requires multiple changes to the database



ANOMALIES EXAMPLES TABLE: COURSE

COURSE#	SECTION#	C_NAME
CIS564	072	Database Design
CIS564	073	Database Design
CIS570	072	Oracle Forms
CIS564	074	Database Design



ANOMALIES EXAMPLES

Insertion:

Suppose our university has approved a new course called CIS563: SQL & PL/SQL.

COURSE#	SECTION#	C_NAME
CIS564	072	Database Design
CIS564	073	Database Design
CIS570	072	Oracle Forms
CIS564	074	Database Design



ANOMALIES EXAMPLES

Deletion:

Suppose not enough students enrolled for the course CIS570 which had only one section 072. So, the school decided to drop this section and delete the section# 072 for CIS570 from the table COURSE. But then, what other relevant info also got deleted in the process?

COURSE#	SECTION#	C_NAME
CIS564	072	Database Design
CIS564	073	Database Design
CIS570	072	Oracle Forms
CIS564	074	Database Design



ANOMALIES EXAMPLES

Update:

Suppose the course name (C_Name) for CIS 564 got changed to Database Management. How many times do you have to make this change in the COURSE table in its current form?

COURSE#	SECTION#	C_NAME
CIS564	072	Database Design
CIS564	073	Database Design
CIS570	072	Oracle Forms
CIS564	074	Database Design

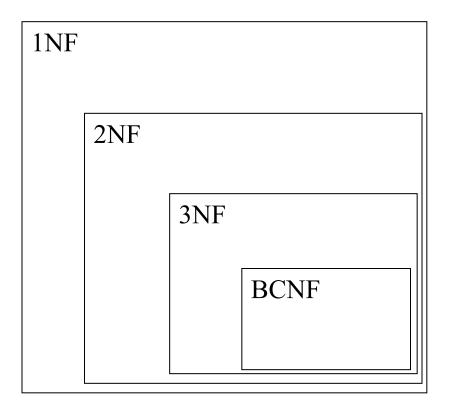


ANOMALIES

- So, a table (relation) is a stable ('good') table only if it is free from any of these anomalies at any point in time.
- You have to ensure that each and every table in a database is always free from these modification anomalies. And, how do you ensure that?
- 'Normalization' theory helps.



Normalization



a relation in BCNF, is also in 3NF

a relation in 3NF is also in 2NF

a relation in 2NF is also in 1NF



Normalization

We consider a relation in BCNF to be fully normalized.

The benefit of higher normal forms is that update semantics for the affected data are simplified. This means that applications required to maintain the database are simpler.

A design that has a lower normal form than another design has more redundancy. Uncontrolled redundancy can lead to <u>data</u> integrity problems.

First we introduce the concept of *functional dependency*



Functional Dependencies

Functional Dependencies

We say an attribute, B, has a *functional dependency* on another attribute, A, if for any two records, which have the same value for A, then the values for B in these two records must be the same. We illustrate this as:

 $A \square B$

Example: Suppose we keep track of employee email addresses, and we only track one email address for each employee. Suppose each employee is identified by their unique employee number. We say there is a functional dependency of email address on employee number:

employee number

email address



Functional Dependencies

EmpNu	EmpEmai	EmpFnam	EmpLnam
<u>m</u> 123	jdok@abc.com	e Joh	e Do
456	psmith@abc.con	n Pleter	Smith
555	alee1@abc.com	Ala	Lee
633	pdoe@abc.com	Pleter	Do
787	alee2@abc.com	Ala	& ee

n

If EmpNum is the PK then the FDs:

EmpNum

EmpEmail

EmpNum

EmpFname

EmpNum

EmpLname

must exist.



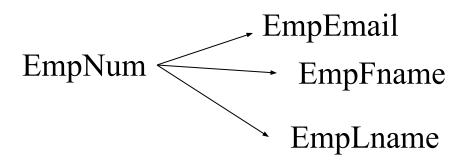
Functional Dependencies

EmpNum □ EmpEmail EmpNum □ EmpFname

EmpNum

EmpLname

3 different ways you might see FDs depicted



<u>EmpNum</u>	EmpEmail	EmpFname	EmpLname



Determinant

Functional Dependency

EmpNum

EmpEmail

Attribute on the LHS is known as the *determinant*

• EmpNum is a determinant of EmpEmail



Transitive dependency

Consider attributes A, B, and C, and where

 $A \square B$ and $B \square C$.

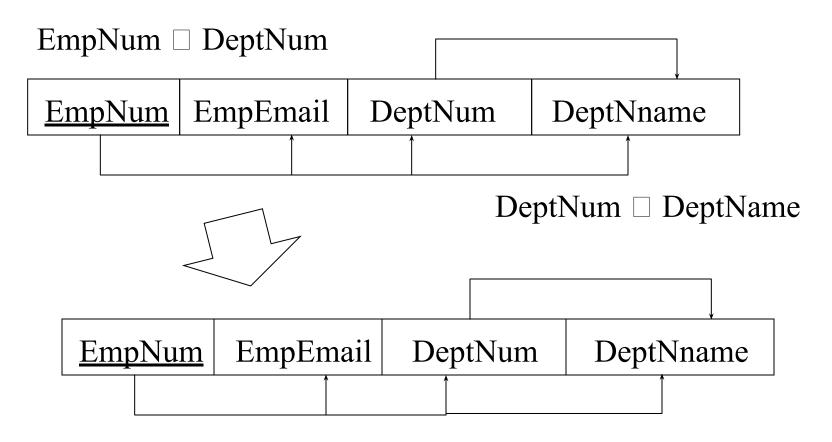
Functional dependencies are transitive, which means that we also have the functional dependency

 $A \square C$

We say that C is transitively dependent on A through B.



Transitive dependency

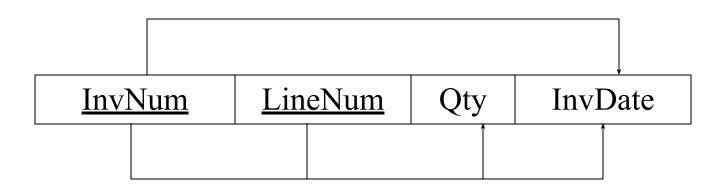


DeptName is *transitively dependent* on EmpNum via DeptNum EmpNum DeptName



Partial dependency

A **partial dependency** exists when an attribute B is functionally dependent on an attribute A, and A is a component of a multipart candidate key.



Candidate keys: {InvNum, LineNum} InvDate is partially dependent on {InvNum, LineNum} as InvNum is a determinant of InvDate and InvNum is part of a candidate key



- Given a set F of functional dependencies, there are certain other functional dependencies that are logically implied by F.
 - For example: If $A \to B$ and $B \to C$, then we can infer that $A \to C$
- The set of **all** functional dependencies logically implied by F is the **closure** of F. We denote the *closure* of F by \mathbf{F}^+ . \mathbf{F}^+ is a superset of F.
- Candidate Key Example:
 - $FD1: A \rightarrow B, B \rightarrow C, C \rightarrow D$
 - $FD2: A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A$
 - $FD3: A \rightarrow B, BC \rightarrow D, E \rightarrow C, D \rightarrow A$
- Prime Attribute VS Non-Prime Attribute



- Given a set F set of functional dependencies, there are certain other functional dependencies that are logically implied by F.
 - For e.g.: If $A \to B$ and $B \to C$, then we can infer that $A \to C$
- The set of **all** functional dependencies logically implied by *F* is the **closure** of *F*.
- We denote the *closure* of F by F^+ .



- We can find F⁺, the closure of F, by repeatedly applying **Armstrong's Axioms:**
 - if $\beta \subseteq \alpha$, then $\alpha \to \beta$ (reflexivity)
 - if $\alpha \to \beta$, then $\gamma \alpha \to \gamma \beta$ (augmentation)
 - if $\alpha \to \beta$, and $\beta \to \gamma$, then $\alpha \to \gamma$ (transitivity)
- These rules are
 - **sound** (generate only functional dependencies that actually hold), and
 - **complete** (generate all functional dependencies that hold).



Example

•
$$R = (A, B, C, G, H, I)$$

 $F = \{A \rightarrow B$
 $A \rightarrow C$
 $CG \rightarrow H$
 $CG \rightarrow I$
 $B \rightarrow H\}$

- some members of F^+
 - \bullet $A \rightarrow H$
 - 4 by transitivity from $A \rightarrow B$ and $B \rightarrow H$
 - $AG \rightarrow I$
 - 4 by augmenting $A \to C$ with G, to get $AG \to CG$ and then transitivity with $CG \to I$
 - $CG \rightarrow HI$
 - 4 by augmenting $CG \rightarrow I$ to infer $CG \rightarrow CGI$, and augmenting of $CG \rightarrow H$ to infer $CGI \rightarrow HI$, and then transitivity



Closure of Functional Dependencies (Cont.)

- Additional rules:
 - If $\alpha \to \beta$ holds and $\alpha \to \gamma$ holds, then $\alpha \to \beta \gamma$ holds (union)
 - If $\alpha \to \beta \gamma$ holds, then $\alpha \to \beta$ holds and $\alpha \to \gamma$ holds (decomposition)
 - If $\alpha \to \beta$ holds and $\gamma \beta \to \delta$ holds, then $\alpha \gamma \to \delta$ holds (pseudotransitivity)

The above rules can be inferred from Armstrong's axioms.



Example of Attribute Set Closure

- R = (A, B, C, G, H, I)
- $F = \{A \rightarrow B \ A \rightarrow C \ CG \rightarrow H \ CG \rightarrow I \ B \rightarrow H\}$
- \bullet $(AG)^+$
 - 1. result = AG
 - 2. $result = ABCG \ (A \rightarrow C \text{ and } A \rightarrow B)$
 - 3. result = ABCGH $(CG \rightarrow H \text{ and } CG \subseteq AGBC)$
 - 4. result = ABCGHI $(CG \rightarrow I \text{ and } CG \subseteq AGBCH)$
- Is AG a candidate key?
 - 1. Is AG a super key?
 - 1. Does $AG \rightarrow R$? == Is $(AG)^+ \supseteq R$
 - 2. Is any subset of AG a superkey?
 - 1. Does $A \rightarrow R$? == Is $(A)^+ \supseteq R$
 - 2. Does $G \rightarrow R$? == Is $(G)^+ \supseteq R$



R(ABCDEF)
FD{
$$C \rightarrow F$$
, $E \rightarrow A$, $EC \rightarrow D$, $A \rightarrow B$ }



First Normal Form

We say a relation is in **1NF** if all values stored in the relation are single-valued and atomic.

1NF places restrictions on the structure of relations. Values must be simple.



The following in **not** in 1NF

EmpNu	EmpPhon	EmpDegrees
m 123	e 233-9876	
333	233-1231	BA, BSc,
679	233-1231	BISIO,

MSc

EmpDegrees is a multi-valued field:

employee 679 has two degrees: BSc and MSc

employee 333 has three degrees: BA, BSc, PhD



• To obtain 1NF relations we must, replace the above with single value multiple row

EmpNum	EmpPhone	EmpDegree
123	233-9876	
333	233-1231	BA
333	233-1231	BSc
333	233-1231	PhD
679	233-1231	BSc
679	233-1231	MSc



• To obtain 1NF relations we must, replace the above with single value multiple Column

EmpNum	EmpPhone	EmpDegree	EmpDegree	EmpDegree
		1	2	3
123	233-9876			
333	233-1231	BA	BSc	PhD
679	233-1231	BSc	MSc	



To obtain 1NF relations we must, replace the above with two relations

Employe

EmpNum	EmpPhone
123	233-9876
333	233-1231
679	233-1231

EmployeeDegre

EmpNum	EmpDegre
333	B ^e A
333	BSc
333	PhD
679	BSc
679	MSc

An outer join between Employee and EmployeeDegree will produce the information we saw before



Second Normal Form

Second Normal Form

A relation is in **2NF** if it is in 1NF, and **every non-key attribute is fully dependent on each candidate key.** (That is, we don't have any partial functional dependency.)

- 2NF (and 3NF) both involve the concepts of key and non-key attributes.
- A *key attribute* is any attribute that is part of a key; any attribute that is not a key attribute, is a *non-key attribute*.

SECOND NORMAL FORM

Table purchase detail		
Customer_id	Store_id	Location
1	1	Patna
1	3	Noida
2	1	Patna
3	2	Delhi
4	3	Noida

This table has a composite primary key i.e. customer id, store id. The non key attribute is location. In this case location depends on store id, which is part of the primary key.

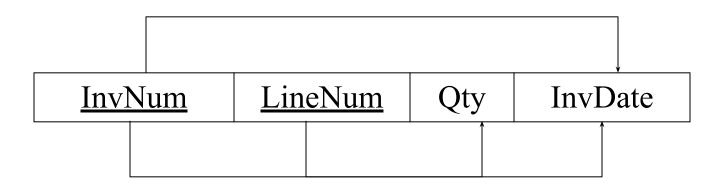
After decomposing it into second normal form it looks like:

Table Purchase		
Customer_id	Store_id	
1	1	
1	3	
2	1	
3	2	
4	3	

Table Store	
Store_id	Location
1	Patna
2	Delhi
3	Noida



Second Normal Form



We correct the situation by decomposing the original relation into two 2NF relations.

<u>InvNum</u>	<u>LineNum</u>	Qty
---------------	----------------	-----

<u>InvNum</u>	InvDate



Second Normal Form

R(ABCDEF)
$$FD\{ C \to F, E \to A, EC \to D, A \to B \}$$
PD PD

• A relation is in **2NF** if it is in 1NF, and every non-prime attribute is fully dependent on each candidate key (If L.H.S. is proper subset of candidate key and R.H.S. is non prime attribute then there is a partial dependency).

R(ABCDEF)

After Decomposition:

Step1: R1(ABCDE), R2(CF)

Step2: R11(BCDE), R12(AE), R2(CF)

Third Normal Form (3NF)

A table design is said to be in 3NF if both the following conditions hold:

- Table must be in 2NF
- Transitive functional dependency of non-prime attribute on any super key should be removed.

An attribute that is not part of any candidate key is known as non-prime attribute.

In other words 3NF can be explained like this: A table is in 3NF if it is in 2NF and for each functional dependency X-> Y at least one of the following conditions hold:

- X is a super key of table
- Y is a prime attribute of table

An attribute that is a part of one of the candidate keys is known as prime attribute.

THIRD NORMAL FORM

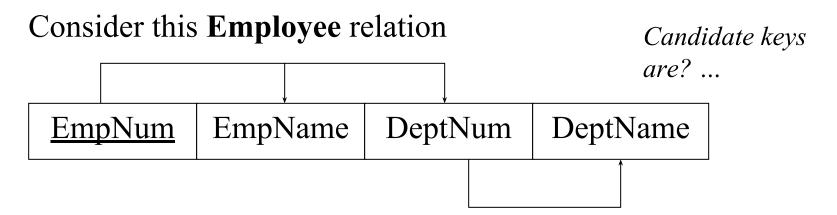
Table Boo	k Details		
Bood_id	Genre_id	Genre type	Price
1	1	Fiction	100
2	2	Sports	110
3	1	Fiction	120
4	3	Travel	130
5	2	sports	140

In the table, book_id determines genre_id and genre_id determines genre type. Therefore book_idd determines genre type via genre_id and we have transitive functional dependency.

After decomposing it into third normal form it looks like:

TABLE BOOK		
Book_id	Genre_id	Price
1	1	100
2	2	110
3	1	120
4	3	130
5	2	140

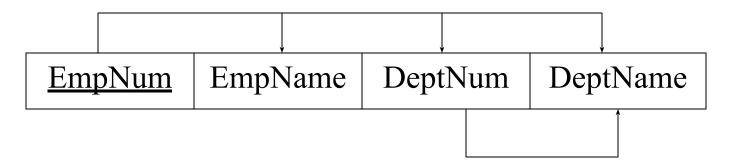
TABLE GENRE		
Genre_id	Genre type	
1	Fiction	
2	Sports	
3	Travel	



EmpName, DeptNum, and DeptName are non-key attributes.

DeptNum determines DeptName, a non-key attribute, and DeptNum is not a candidate key.

Is the relation in 3NF? ... no
Is the relation in BCNF? ... no
Is the relation in 2NF? ... yes



We correct the situation by decomposing the original relation into two 3NF relations. Note the decomposition is *lossless*.



EmpNum EmpName DeptNum	DeptNum DeptName
------------------------	------------------

Verify these two relations are in 3NF.



R(ABCD)
FD{
$$AB \rightarrow C, C \rightarrow D$$
}

• A relation is in 3NF if it is in 2NF, and no non-prime attribute is dependent on another non-prime attribute. (If L.H.S. is a candidate key or R.H.S. is prime attribute then it is already in 3NF otherwise not in 3NF).

R(ABCD)
After Decomposition:
Step1: R1(ABC), R2(CD)

Boyce-Codd Normal Form (BCNF)

It is an advance version of 3NF that's why it is also referred as 3.5NF. BCNF is stricter than 3NF. A table complies with BCNF if it is in 3NF and for every functional dependency X->Y, X should be the super key of the table.

Boyce-Codd Normal Form

Student	Course	Teacher
Aman	DBMS	AYUSH
Aditya	DBMS	RAJ
Abhinav	E-COMM	RAHUL
Aman	E-COMM	RAHUL
abhinav	DBMS	RAJ

- KEY: {Student, Course}
- Functional dependency {student, course} -> Teacher Teacher-> Course
- Problem: teacher is not superkey but determines course.

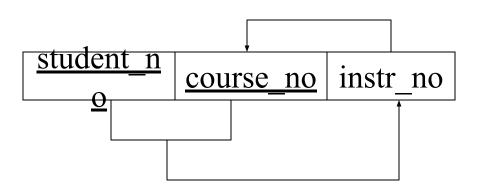
After decomposing it into Boyce-Codd normal form it looks like:

Student	Course	
Aman	DBMS	
Aditya	DBMS	
Abhinav	E-COMM	
Aman	E-COMM	
Abhinav	DBMS	

Course	Teacher	
DBMS	AYUSH	
DBMS	RAJ	
E-COMM	RAHUL	



In 3NF, but not in BCNF:



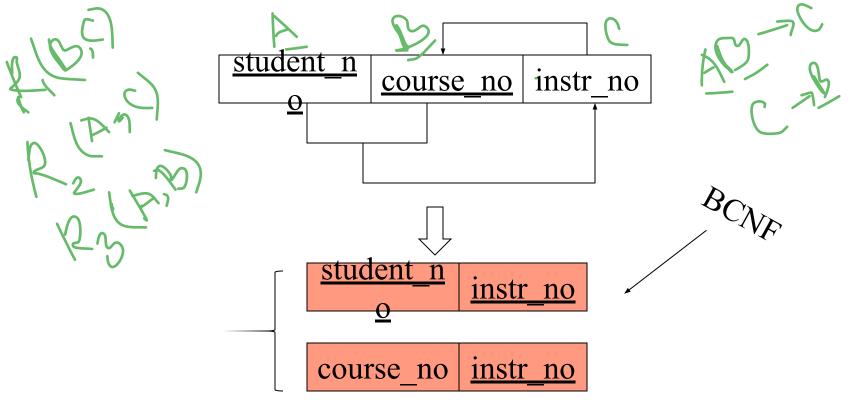
Instructor teaches one course only.

Student takes a course and has one instructor.

{student_no, course_no} → instr_no instr_no → course_no

since we have instr_no → course-no, but instr_no is not a Candidate key.





```
{student_no, instr_no} → student_no
{student_no, instr_no} → instr_no
instr_no → course_no
```



R(ABCEF)
FD{
$$AB \rightarrow C$$
, $C \rightarrow E$, $E \rightarrow F$, $F \rightarrow A$ }
NC

• A relation is in BCNF if it is in 3NF, and non-prime attribute must be dependent on <u>candidate</u> key. (If L.H.S. is a candidate key then it is already in BCNF otherwise not in BCNF).

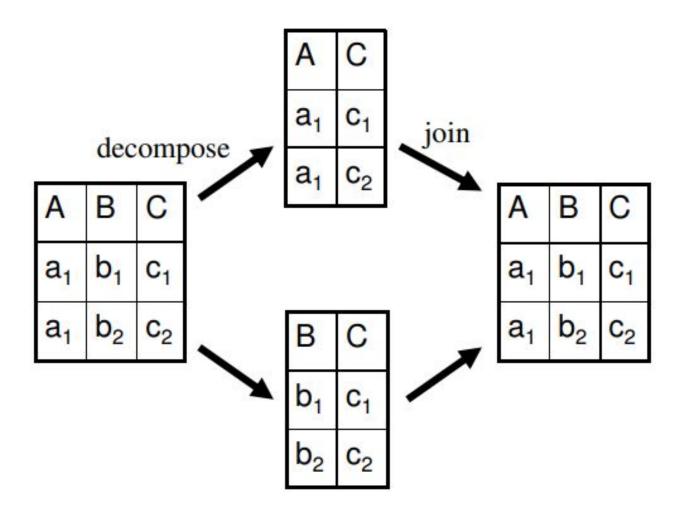


Goals of Normalization

- Let *R* be a relation scheme with a set *F* of functional dependencies.
- Decide whether a relation scheme *R* is in "good" form.
- In the case that a relation scheme R is not in "good" form, decompose it into a set of relation scheme $\{R_1, R_2, ..., R_n\}$ such that
 - each relation scheme is in good form
 - the decomposition is a lossless-join decomposition
 - Preferably, the decomposition should be dependency preserving.



Example (Lossless-Join)





Testing for Lossless-Join Decomposition

• Rule: A decomposition of R into (R1, R2) is lossless, iff:

$$R1 \cap R2 \rightarrow R1$$
 or $R1 \cap R2 \rightarrow R2$

in F+.



Exercise: Lossless-join Decomposition

$$R = \{A,B,C,D,E\}.$$

$$F = \{A \rightarrow BC, CD \rightarrow E, B \rightarrow D, E \rightarrow A\}.$$

Is the following decomposition a lossless join?

$$R2 = \{A, D, E\}$$

Since R1 \cap R2 = A, and A is a key for R1, the decomposition is lossless join.

2.
$$R1 = \{A,B,C\},$$

$$R2 = \{C, D, E\}$$

Since R1 \cap R2 = C, and C is not a key for R1 or R2, the decomposition is not lossless join.



Exercise 3

$$R = (A, B, C, D).$$

$$F = \{C \rightarrow D, C \rightarrow A, B \rightarrow C\}.$$

Question 1: Identify all candidate keys for R.

Question 2: Identify the best normal form that R satisfies.

Question 3: Decompose R into a set of BCNF relations.

Question 4: Decompose R into a set of 3NF relations.



$$R = (A, B, C, D).$$

$$F = \{C \rightarrow D, C \rightarrow A, B \rightarrow C\}.$$

Question 1: Identify all candidate keys for R.

$$B^{+} = B$$
 $(B \rightarrow B)$
 $= BC$ $(B \rightarrow C)$
 $= BCD$ $(C \rightarrow D)$
 $= ABCD$ $(C \rightarrow A)$
so the candidate key is B.

B is the ONLY candidate key, because nothing determines B: There is no rule that can produce B, except $B \rightarrow B$.



$$R = (A, B, C, D).$$

$$F = \{C \rightarrow D, C \rightarrow A, B \rightarrow C\}.$$

Question 2: Identify the best normal form that R satisfies.

R is not 3NF, because:

C→D causes a violation,

 $C \rightarrow D$ is non-trivial ($\{D\} \not\subset \{C\}$).

C is not a superkey.

D is not part of any candidate key.

C→A causes a violation

Similar to above

B→C causes no violation

Since R is not 3NF, it is not BCNF either.



$$R = (A, B, C, D).$$

$$F = \{C \rightarrow D, C \rightarrow A, B \rightarrow C\}.$$

Question 3: Decompose R into a set of BCNF relations

(1) $C \rightarrow D$ and $C \rightarrow A$ both cause violations of BCNF.

Take C \rightarrow D: decompose R to R₁= {A, B, C}, R₂={C, D}.

(2) Now check for violations in R₁ and R₂. (Actually, using F⁺)

 R_1 still violates BCNF because of $C \rightarrow A$.

Decompose R_1 to $R_{11} = \{B, C\}$ $R_{12} = \{C, A\}$.

Final decomposition: $R_2 = \{C, D\}, R_{11} = \{B, C\}, R_{12} = \{C, A\}.$

No more violations: Done!



$$R = (A, B, C, D).$$

$$F = \{C \rightarrow D, C \rightarrow A, B \rightarrow C\}.$$

Question 4: Decompose R into a set of 3NF relations.

The canonical cover is $F_c = \{C \rightarrow DA, B \rightarrow C\}$.

For each functional dependency in F_c we create a table:

$$R_1 = \{C, D, A\}, R_2 = \{B, C\}.$$

The table R_2 contains the candidate key for R – we done.



Exercise 4

$$R = (A, B, C, D)$$

$$F = \{AB \rightarrow C, AB \rightarrow D, C \rightarrow A, D \rightarrow B\}$$

1. Is R in 3NF, why? If it is not, decompose it into 3NF

2. Is R in BCNF, why? If it is not, decompose it into BCNF



$$R = (A, B, C, D)$$

$$F = \{AB \rightarrow C, AB \rightarrow D, C \rightarrow A, D \rightarrow B\}$$

Is R in 3NF, why? If it is not, decompose it into 3NF.
 Yes.

Find all the Candidate Keys:

AB, BC, CD, AD

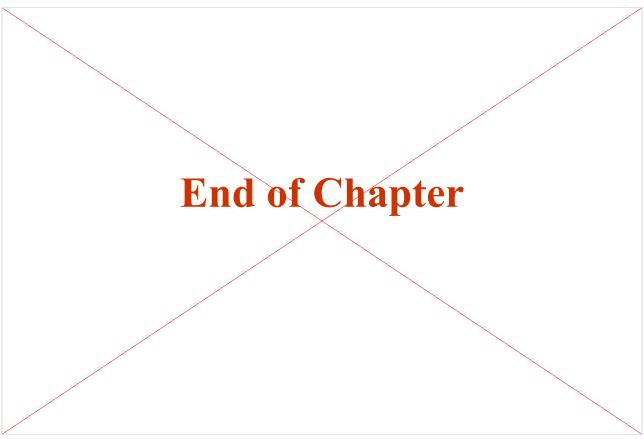
Check all FDs in F for 3NF condition

2. Is R in BCNF, why? If it is not, decompose it into BCNF

No. Because for $C \rightarrow A$, C is not a superkey. Similar for $D \rightarrow B$

$$R1 = \{C, D\}, R2 = \{A, C\}, R3 = \{B, D\}$$





Database System Concepts, 6th Ed.

©Silberschatz, Korth and Sudarshan See <u>www.db-book.com</u> for conditions on re-use