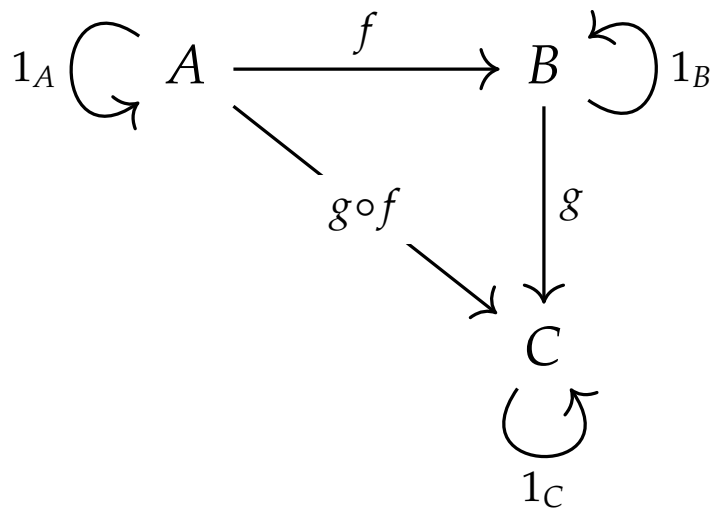


# Category Theory

- A Journey from Concretization to Abstraction -

Ji, Yong-Hyeon



A document presented for  
the Category Theory

Department of Information Security, Cryptology, and Mathematics  
College of Science and Technology  
Kookmin University

May 5, 2024

# Contents

- 1 **Categorical** . . . . . 3
  - 1.1 Example: Application in  $\mathbb{R}^2$  . . . . . 11
  - 1.2 Currying, Kleisli Category, Grothendieck Construction . . . . . 14
    - 1.2.1 Currying . . . . . 14
    - 1.2.2 Kleisli Category . . . . . 15

# Chapter 1

## Categorical

**Example 1.1** (Determinant).

[ Two Categories ]

1. **Category of Commutative Rings** (CRing)

- **Objects:** Commutative rings  $R, S, \dots$
- **Morphisms:** Ring homomorphisms  $\phi : R \rightarrow S$

2. **Category of Groups** (Grp)

- **Objects:** Groups
- **Morphisms:** Group homomorphisms

[ Two Functors ] Note that  $\mathbf{GL}_n$  represents the functor, while  $GL_n$  denotes the group.

1. **General Linear Group Functor** ( $\mathbf{GL}_n : \mathbf{CRing} \rightarrow \mathbf{Grp}$ )

- **On Objects:**

$$R \mapsto GL_n(R)$$

Maps a ring  $R$  to the general linear group  $GL_n(R)$ , which consists of all  $n \times n$  invertible matrices over  $R$ .

- **On Morphisms:** Let  $\mathbf{GL}_n(\phi) : GL_n(R) \rightarrow GL_n(S)$ .

$$\phi \mapsto \mathbf{GL}_n(\phi)$$

It preserves invertibility.

2. **Unit Functor** ( $\mathbf{U} : \mathbf{CRing} \rightarrow \mathbf{Grp}$ )

- **On Objects:**

$$R \mapsto R^\times$$

- **On Morphisms:** Let  $\mathbf{U}(\phi) : R^\times \rightarrow S^\times$ .

$$\phi \mapsto \mathbf{U}(\phi)$$

It preserves the unit property.

- [ **Natural Transformation: Determinant** ] The determinant

$$\det_R : GL_n(R) \rightarrow R^\times$$

is a natural transformation between two functors,  $\mathbf{GL}_n$  and  $\mathbf{U}$ .

- **On Objects:**  $\eta_R : GL_n(R) \rightarrow R^\times$
- **Naturality Condition:** The following diagram commutes:

$$\begin{array}{ccc}
 GL_n(R) & \xrightarrow{\eta_R} & R^\times \\
 \mathbf{GL}_n(\phi) \downarrow & & \downarrow \mathbf{U}(\phi) \\
 GL_n(S) & \xrightarrow{\eta_S} & S^\times
 \end{array}$$
  

$$\begin{array}{ccc}
 X & & F(X) \xrightarrow{\eta_X} G(X) \\
 f \downarrow & & \downarrow F(f) \quad \downarrow G(f) \\
 Y & & F(Y) \xrightarrow{\eta_Y} G(Y)
 \end{array}$$
  

$$\begin{array}{ccc}
 R & & GL_n(R) \xrightarrow{\eta_R} R^\times \\
 \phi \downarrow & & \downarrow \mathbf{GL}_n(\phi) \quad \downarrow \mathbf{U}(\phi) \\
 S & & GL_n(S) \xrightarrow{\eta_S} S^\times
 \end{array}$$

**Example 1.2.** Consider  $\mathbb{Z}$  and  $GL_2(\mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbb{Z}) : ad - bc \neq 0 \right\}$ .

### [ Two Categories ]

#### 1. Category of Commutative Rings (CRing)

- **Object:** Commutative ring  $(\mathbb{Z}, +, \times)$
- **Morphism:** Ring homomorphism  $\phi : \mathbb{Z} \rightarrow \mathbb{Z}$

#### 2. Category of Groups (Grp)

- **Objects:** Groups  $(GL_2(\mathbb{Z}), *)$  and  $(\mathbb{Z}^\times, \times)$ . Here  $*$  denotes matrix multiplication. Note that

$$\mathbb{Z}^\times = \{1, -1\}.$$

- **Morphisms:** Group homomorphisms

$$\sigma : GL_2(\mathbb{Z}) \rightarrow GL_2(\mathbb{Z}) \quad \text{and} \quad \tau : \mathbb{Z}^\times \rightarrow \mathbb{Z}^\times.$$

Note that  $\tau : \{\pm 1\} \rightarrow \{\pm 1\}$

[ **Two Functors** ] Note that  $\mathbf{GL}_2$  represents the functor, while  $GL_2$  denotes the group.

1. **General Linear Group Functor** ( $\mathbf{GL}_2 : \mathbf{CRing} \rightarrow \mathbf{Grp}$ )

– **On Object:**

$$\mathbb{Z} \mapsto GL_2(\mathbb{Z})$$

– **On Morphism:**

$$\phi \mapsto \sigma = \mathbf{GL}_2(\phi)$$

It preserves invertibility.

2. **Unit Functor** ( $\mathbf{U} : \mathbf{CRing} \rightarrow \mathbf{Grp}$ )

– **On Object:**

$$\mathbb{Z} \mapsto \mathbb{Z}^\times = \{\pm 1\}$$

– **On Morphism:**

$$\phi \mapsto \tau = \mathbf{U}(\phi)$$

It preserves the unit property.

- [ **Natural Transformation: Determinant** ] The determinant

$$\begin{aligned} \det_{\mathbb{Z}} : GL_2(\mathbb{Z}) &\longrightarrow \mathbb{Z}^\times = \{\pm 1\} \\ \begin{pmatrix} a & b \\ c & d \end{pmatrix} &\longmapsto ad - bc = \det_{\mathbb{Z}} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \end{aligned}$$

is a natural transformation between two functors,  $\mathbf{GL}_2$  and  $\mathbf{U}$ .

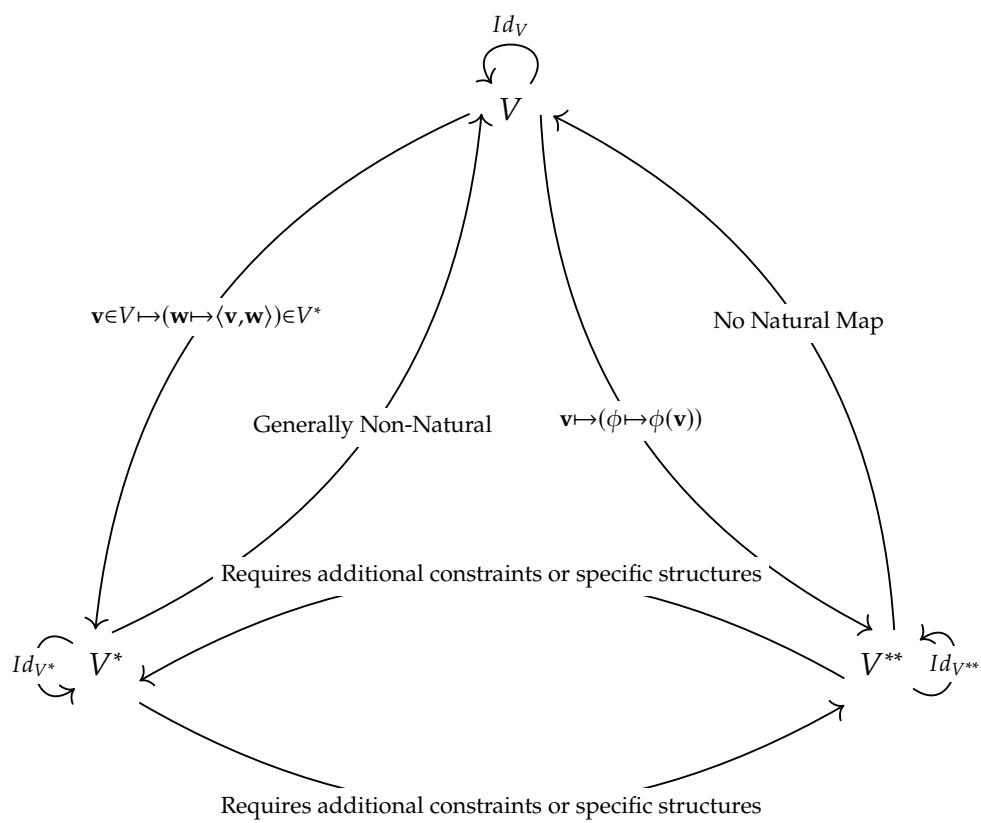
– **Naturality Condition:** The following diagram commutes:

$$\begin{array}{ccc} GL_2(\mathbb{Z}) & \xrightarrow{\eta_{\mathbb{Z}} = \det_{\mathbb{Z}}} & \mathbb{Z}^\times \\ \downarrow \sigma = \mathbf{GL}_2(\phi) & & \downarrow \tau = \mathbf{U}(\phi) \\ GL_n(\mathbb{Z}) & \xrightarrow{\eta_{\mathbb{Z}} = \det_{\mathbb{Z}}} & \mathbb{Z}^\times \end{array}$$

$$\begin{array}{ccc}
 R & GL_n(R) & \xrightarrow{\eta_R = \det_R} R^\times \\
 \phi \downarrow & \downarrow \mathbf{GL}_n(\phi) & \downarrow \mathbf{U}(\phi) \\
 S & GL_n(S) & \xrightarrow{\eta_S = \det_S} S^\times
 \end{array}$$

$$\begin{array}{ccc}
 \mathbb{Z} & GL_2(\mathbb{Z}) & \xrightarrow{\det_{\mathbb{Z}}} \mathbb{Z}^\times \\
 \phi \downarrow & \downarrow \sigma = \mathbf{GL}_2(\phi) & \downarrow \tau = \mathbf{U}(\phi) \\
 \mathbb{Z} & GL_2(\mathbb{Z}) & \xrightarrow{\det_{\mathbb{Z}}} \mathbb{Z}^\times
 \end{array}$$

$$\begin{array}{ccccc}
 & & \mathbf{U} & & \\
 & \text{GL}_2 \nearrow & \text{GL}_2(\mathbb{Z}) & \xrightarrow{\det_{\mathbb{Z}}} & \mathbb{Z}^\times \\
 \mathbb{Z} & \text{GL}_2 \dashrightarrow & \downarrow \sigma = \mathbf{GL}_2(\phi) & & \downarrow \tau = \mathbf{U}(\phi) \\
 \phi \downarrow & \text{GL}_2 \dashrightarrow & \mathbf{U} & \dashrightarrow & \\
 \mathbb{Z} & \text{GL}_2 \nearrow & GL_2(\mathbb{Z}) & \xrightarrow{\det_{\mathbb{Z}}} & \mathbb{Z}^\times \\
 & & \mathbf{U} & & 
 \end{array}$$



**Example 1.3** (Evaluation Mapping as Natural Transformation).**Definition** (*Evaluation Map*)

- Let  $V, K$  be sets, and
- let  $K^V$  be the set of all mappings from  $V$  to  $K$ .

The **evaluation mapping** for  $K^V$  is the mapping  $\text{ev} : K^V \times V \rightarrow K$  defined by:

$$\text{ev}(f, v) := f(v)$$

for  $f \in K^V$  and  $v \in V$ .

Consider a linear mapping

$$\phi : \mathbb{R}^2 \rightarrow \mathbb{R}, \quad \phi(\mathbf{v}) = \phi(v_1, v_2) = 3v_1 - 2v_2.$$

Then evaluation map  $\text{ev} : \mathbb{R}^{\mathbb{R}^2} \times \mathbb{R}^2 \rightarrow \mathbb{R}$  ensure

$$\text{ev}(\phi, (1, 1)) = \phi(1, 1) = 3 \cdot 1 - 2 \cdot 1 = 1.$$

**[ Category ]****1. Category of Vector Space ( $\text{Vect}_K$ )****– Object:**

- \* Vector Spaces over field  $K$ :  $V, W, \dots$
- \* Dual Spaces:  $V^*, W^*, \dots$ . Note that

$$V^* := \{ \phi : V \rightarrow K \mid \phi \text{ is linear functional} \}$$

- \* Double dual Spaces:  $V^{**}, W^{**}, \dots$ . Note that

$$V^{**} := \{ \psi \in V^{**} \rightarrow K \mid \psi \text{ is linear functional} \}$$

**– Morphism:** Ring homomorphism  $\phi : \mathbb{Z} \rightarrow \mathbb{Z}$ **2. Category of Groups ( $\text{Grp}$ )**

- **Objects:** Groups  $(GL_2(\mathbb{Z}), *)$  and  $(\mathbb{Z}^\times, \times)$ . Here ‘ $*$ ’ denotes matrix multiplication. Note that

$$\mathbb{Z}^\times = \{1, -1\}.$$

- **Morphisms:** Group homomorphisms

$$\sigma : GL_2(\mathbb{Z}) \rightarrow GL_2(\mathbb{Z}) \quad \text{and} \quad \tau : \mathbb{Z}^\times \rightarrow \mathbb{Z}^\times.$$

Note that  $\tau : \{\pm 1\} \rightarrow \{\pm 1\}$



[ **Two Functors** ] Note that  $\mathbf{GL}_2$  represents the functor, while  $GL_2$  denotes the group.

1. **General Linear Group Functor** ( $\mathbf{GL}_2 : \mathbf{CRing} \rightarrow \mathbf{Grp}$ )

– **On Object:**

$$\mathbb{Z} \mapsto GL_2(\mathbb{Z})$$

– **On Morphism:**

$$\phi \mapsto \sigma = \mathbf{GL}_2(\phi)$$

It preserves invertibility.

2. **Unit Functor** ( $\mathbf{U} : \mathbf{CRing} \rightarrow \mathbf{Grp}$ )

– **On Object:**

$$\mathbb{Z} \mapsto \mathbb{Z}^\times = \{\pm 1\}$$

– **On Morphism:**

$$\phi \mapsto \tau = \mathbf{U}(\phi)$$

It preserves the unit property.

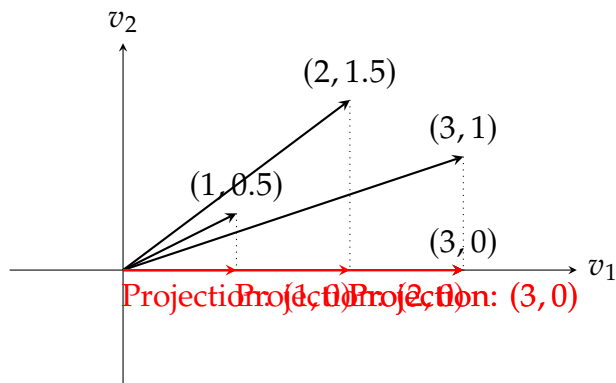
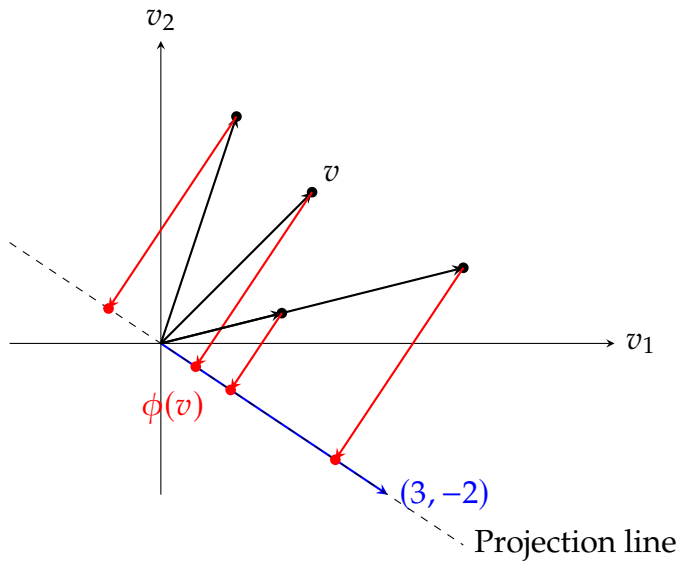
- [ **Natural Transformation: Determinant** ] The determinant

$$\begin{aligned} \det_{\mathbb{Z}} : GL_2(\mathbb{Z}) &\longrightarrow \mathbb{Z}^\times = \{\pm 1\} \\ \begin{pmatrix} a & b \\ c & d \end{pmatrix} &\longmapsto ad - bc = \det_{\mathbb{Z}} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \end{aligned}$$

is a natural transformation between two functors,  $\mathbf{GL}_2$  and  $\mathbf{U}$ .

– **Naturality Condition:** The following diagram commutes:

$$\begin{array}{ccc} GL_2(\mathbb{Z}) & \xrightarrow{\eta_{\mathbb{Z}} = \det_{\mathbb{Z}}} & \mathbb{Z}^\times \\ \downarrow \sigma = \mathbf{GL}_2(\phi) & & \downarrow \tau = \mathbf{U}(\phi) \\ GL_n(\mathbb{Z}) & \xrightarrow{\eta_{\mathbb{Z}} = \det_{\mathbb{Z}}} & \mathbb{Z}^\times \end{array}$$



$$\begin{array}{ccc}
 V & \xrightarrow{T} & W \\
 (\cdot)^* \downarrow & & \downarrow (\cdot)^* \\
 V^* & \xrightarrow{T^*} & W^* \\
 (\cdot)^* \uparrow & & \uparrow (\cdot)^* \\
 V^{**} & \xrightarrow{T^{**}} & W^{**}
 \end{array}$$

**Definition 1.1** (Vector Space and Dual Space).

- Let  $V$  be a finite-dimensional *vector space* over field  $\mathbb{K}$ . That is,  $V = K^n$ .
- The *dual space*  $V^*$  of  $V$  consists of all linear functionals on  $V$ . That is,

$$V^* = \{\phi : V \rightarrow \mathbb{R} \mid \phi \text{ is linear}\}.$$

**Definition 1.2** (Double Dual Space). The *double dual space*  $V^{**}$  of a vector space  $V$  is defined as the dual of the dual space, i.e.,  $V^{**} = (V^*)^*$ . For each  $\psi \in V^{**}$ ,  $\psi$  is a functional acting on elements of  $V^*$ .

## Natural Isomorphism Between $V$ and $V^{**}$

**Theorem 1.1** (Canonical Isomorphism). *For every finite-dimensional vector space  $V$ , there is a canonical isomorphism  $\eta_V : V \rightarrow V^{**}$  defined by*

$$\eta_V(v)(\phi) = \phi(v),$$

*for every  $v \in V$  and  $\phi \in V^*$ . This map is a natural isomorphism, meaning it commutes with all linear transformations.*

**Definition 1.3** (Induced Map on Dual and Double Dual Spaces). For a linear transformation  $T : V \rightarrow W$  between vector spaces, the induced map on the dual space,  $T^* : W^* \rightarrow V^*$ , is defined by

$$T^*(\phi) = \phi \circ T,$$

where  $\phi \in W^*$ . Similarly, the induced map on the double dual space,  $T^{**} : V^{**} \rightarrow W^{**}$ , is defined by

$$T^{**}(\psi) = \psi \circ T^*,$$

where  $\psi \in V^{**}$ .

### 1.1 Example: Application in $\mathbb{R}^2$

**Example 1.4** (Matrix Transformation and Double Dual). Consider the vector space  $V = \mathbb{R}^2$ , and let  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be represented by the matrix

$$A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$$

We compute the induced maps  $T^*$  and  $T^{**}$  and verify the natural transformation property with  $\eta_V$ .

**Action of  $T$  and  $\eta_V$ :** If  $\mathbf{v} = (1, 1)$ , then

$$T(\mathbf{v}) = A \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 3 \\ 7 \end{bmatrix}.$$

The action of  $\eta_{\mathbb{R}^2}$  and  $\phi$  defined by  $\phi(\mathbf{v}) = 3v_1 - 2v_2$  gives

$$\eta_{\mathbb{R}^2}((1, 1))(\phi) = 1 \quad \text{and} \quad \eta_{\mathbb{R}^2}((3, 7))(\phi) = 3 \times 3 - 2 \times 7 = -5.$$

**Verification of Commutativity:** To confirm the natural transformation property, we need to show

$$T^{**}(\eta_{\mathbb{R}^2}(\mathbf{v})) = \eta_{\mathbb{R}^2}(T(\mathbf{v})).$$

For  $\mathbf{v} = (1, 1)$ , the calculations show that both sides of the equation represent consistent transformations via the isomorphism  $\eta_{\mathbb{R}^2}$ , proving the natural transformation.

**Example 1.5.****[ Category ]****Category of Finite Vector Spaces ( $\text{Vect}_K$ )****– Objects:**

- \* Vector space  $V$  over a field  $K$
- \* Dual Space

$$V^* = \left\{ \phi \in K^V : \phi \text{ is linear transformation} \right\} \subseteq (V \times K).$$

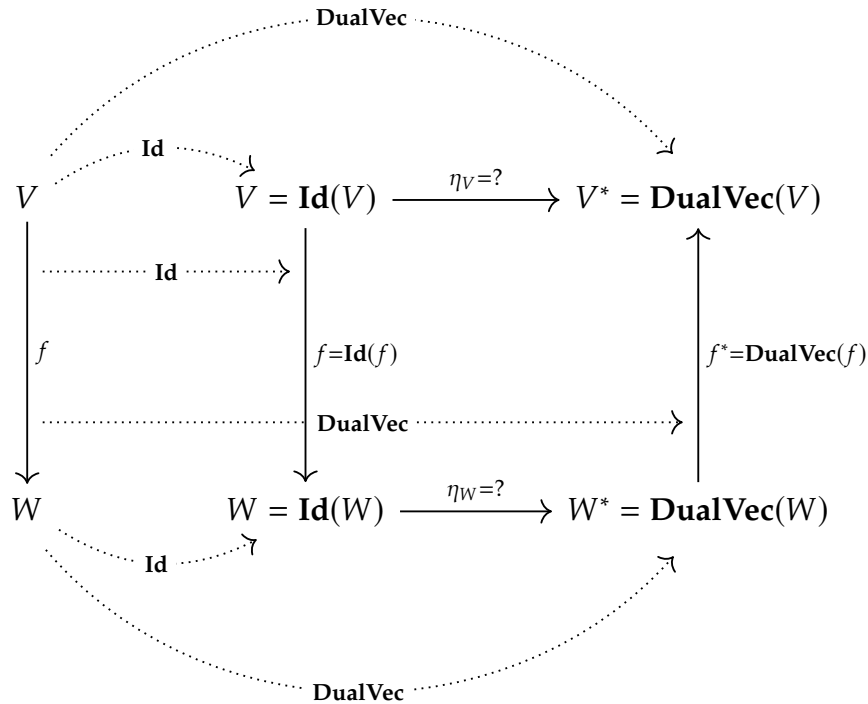
**– Morphisms:**

- \*  $f : V \rightarrow W$
- \*  $f^* : W^* \rightarrow V^*$  defined by

$$f^*(\phi_W) = (\phi_W \circ f) \in V^*$$

for  $\phi_W \in W^*$ .

- \* Let  $f : V \rightarrow W$  and  $g : W \rightarrow U$ .
- \*  $f^* : W^* \rightarrow V^*, g^* : U^* \rightarrow W^*$
- \*  $\mathbf{DualVec}(g \circ f) = \mathbf{DualVec}(f) \circ \mathbf{DualVec}(g) = f^* \circ g^*$

**[ Functor ]****1. Dual Space Functor ( $\mathcal{D} : \text{FinVect}_K \rightarrow \text{FinVect}_K$ )****– On Objects:**

$$V \mapsto V^* = \mathcal{D}(V)$$

Maps a vector space  $V$  to the dual space  $V^*$ , which consists of all linear functionals from  $V$  to  $K$ .

– On Morphisms:

$$T \mapsto T^* = \mathcal{D}(T)$$

- [ **Natural Transformation: Determinant** ] The determinant

$$\det_R : GL_n(R) \rightarrow R^\times$$

is a natural transformation between two functors,  $\mathbf{GL}_n$  and  $\mathbf{U}$ .

## 1.2 Currying, Kleisli Category, Grothendieck Construction

### 1.2.1 Currying

**Note.**

$$A^{B \times C} \simeq (A^C)^B$$

$$(f : B \times C \rightarrow A) \begin{matrix} \mapsto \\ \mapsto \end{matrix} \left( g : \begin{matrix} B & \longrightarrow & [C \rightarrow A] \\ b \in B & \longmapsto & g_b \in A^C \end{matrix} \right).$$

Consider  $f : X \times Y \rightarrow Z$ . Then

$$\begin{aligned} f : X \times Y \rightarrow Z &\implies f \in Z^{X \times Y} \\ &\implies f \in (Z^Y)^X, \text{ i.e., } x \in X \mapsto f_x \in Z^Y \\ &\implies f : X \rightarrow [Y \rightarrow Z]. \end{aligned}$$

### 1.2.2 Kleisli Category

#### Kleisli Category

**Definition 1.4.** The Kleisli

[ Category ]

Category  $C_{Py}$  of Python integers with functions between them as morphisms.

\* **Obj**

```
def f1(x):
    return (x + 1, str(x) + "+1")

def f2(x):
    return (x + 2, str(x) + "+2")

def f3(x):
    return (x + 3, str(x) + "+3")

def unit(x):
    return (x, "Ops:")

def bind(t, f):
    res = f(t[0])
    return (res[0], t[1] + res[1] + ";")

print( bind(bind(bind(unit(x), f1), f2), f3) )
```

$$\begin{array}{ccccccc}
 & & g \circ f & & & & \\
 & \nearrow & & \searrow & & & \\
 X & \xrightarrow{f} & TY & \xrightarrow{Tg} & T^2Z & \xrightarrow{\mu_Z} & TZ
 \end{array}$$

# Bibliography

- [1] ProofWiki. "Definition:Set of All Mappings" Accessed on [April 29, 2024]. [https://proofwiki.org/wiki/Definition:Set\\_of\\_All\\_Mappings](https://proofwiki.org/wiki/Definition:Set_of_All_Mappings).