

# **Notes on Complex Analysis and Riemann Surface Theory toward Algebraic Geometry**

**Ji, Yonghyeon**

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# **Chapter 1**

## **Elliptic Curve and Torus**

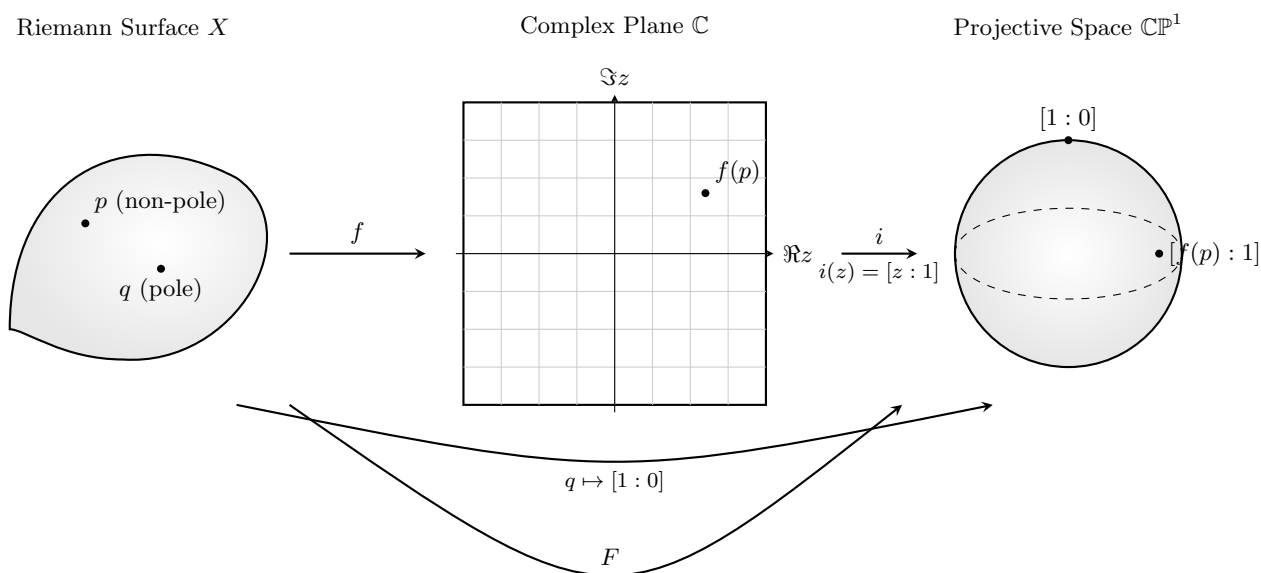
### **1.1 Note 1: Meromorphic Function and Order**

## 1.2 Note 2: Meromorphic $f \in \mathbb{C}^X$ and Holomorphic $F \in (\mathbb{CP}^1)^X$

Given a meromorphic  $f : X \rightarrow \mathbb{C}$  on a Riemann surface  $X$ , we define

$$F : X \longrightarrow \mathbb{CP}^1$$

$$p \longmapsto F(p) = \begin{cases} [f(p) : 1] & \text{if } p \text{ is not a pole} \\ [1 : 0] & \text{if } p \text{ is a pole} \end{cases}$$



In other word,

$$X \xrightarrow{f} \mathbb{C} \xrightarrow{i} \mathbb{CP}^1$$

$$p_{\text{non-pole}} \longmapsto f(p) \longmapsto [f(p) : 1]$$

$$q_{\text{pole}} \longmapsto [1 : 0]$$

### 1.2.1 Example 1: $X = \mathbb{CP}^1$ (Riemann sphere)

We view  $\mathbb{CP}^1$  as the Riemann sphere. On the affine chart

$$U_1 = \{[z_0 : z_1] \in \mathbb{CP}^1 \mid z_1 \neq 0\},$$

we use the coordinate  $z = z_0/z_1$ . The point at infinity is  $\infty = [1 : 0]$ .

On  $\mathbb{CP}^1$ , a meromorphic function is the same as a rational function. Take for instance

$$f(z) = \frac{z^2 - 1}{z - 2}.$$

This is meromorphic on  $\mathbb{CP}^1$ , with a simple pole at  $z = 2$ , and (possibly) a pole at  $\infty$ .

Define

$$F : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1, \quad F(p) = \begin{cases} [f(p) : 1], & p \text{ not a pole of } f, \\ [1 : 0], & p \text{ a pole of } f. \end{cases}$$

Concretely, for  $p = [z : 1]$  with  $z \neq 2$ ,

$$F([z : 1]) = [f(z) : 1] = \left[ \frac{z^2 - 1}{z - 2} : 1 \right],$$

and at the pole  $p = [2 : 1]$ ,

$$F([2 : 1]) = [1 : 0].$$

Similarly one checks the value at  $\infty = [1 : 0]$  using the behavior of  $f(z)$  as  $|z| \rightarrow \infty$ .

To see that  $F$  is holomorphic, we use the usual charts on  $\mathbb{CP}^1$ :

- **At a non-pole point  $p$ .** Suppose  $p$  is not a pole of  $f$ . Then  $f$  is holomorphic near  $p$  and finite there, so  $F(p) = [f(p) : 1] \in U_1$ . Let

$$w = \frac{z_0}{z_1} : U_1 \rightarrow \mathbb{C}$$

be the affine coordinate on  $U_1$ . In this chart,

$$(w \circ F)(q) = \frac{z_0}{z_1} \Big|_{F(q)} = f(q),$$

which is holomorphic in any local coordinate around  $p$ . Hence  $F$  is holomorphic at non-poles.

- **At a pole  $p$ .** Let  $p$  be a pole of order  $m > 0$ . Choose a local coordinate  $z$  on  $\mathbb{CP}^1$  with  $z(p) = 0$ . Then

$$f(z) = z^{-m}g(z), \quad g \text{ holomorphic, } g(0) \neq 0.$$

Here  $F(p) = [1 : 0]$ . Use the chart

$$U_0 = \{[z_0 : z_1] \in \mathbb{CP}^1 \mid z_0 \neq 0\},$$

with coordinate

$$u = \frac{z_1}{z_0} : U_0 \rightarrow \mathbb{C}.$$

For  $z \neq 0$  near  $p$ ,

$$F(z) = [f(z) : 1] = [z^{-m}g(z) : 1].$$

Multiplying homogeneous coordinates by  $z^m$  (which does not change the point in projective space), we get

$$[z^{-m}g(z) : 1] = [g(z) : z^m].$$

Thus, in the chart  $U_0$ ,

$$(u \circ F)(z) = \frac{z^m}{g(z)}.$$

Since  $g(z)$  is holomorphic with  $g(0) \neq 0$ , the function  $\frac{1}{g(z)}$  is holomorphic near 0, and hence

$$\frac{z^m}{g(z)}$$

is holomorphic near 0 (and vanishes to order  $m$ ). Therefore  $F$  is holomorphic at the pole  $p$ .

Since we have holomorphicity in local charts at every point of  $\mathbb{CP}^1$ ,  $F : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1$  is a holomorphic map.

### 1.2.2 Example 2: $X = \mathbb{C}/\Lambda$ (complex torus)

Let  $\Lambda \subset \mathbb{C}$  be a lattice and consider the complex torus

$$X = \mathbb{C}/\Lambda.$$

The quotient map is

$$\pi : \mathbb{C} \rightarrow X, \quad \pi(z) = [z].$$

A meromorphic function  $f : X \rightarrow \mathbb{C}$  corresponds to a  $\Lambda$ -periodic meromorphic function  $\tilde{f} : \mathbb{C} \rightarrow \mathbb{C}$  satisfying

$$\tilde{f}(z + \lambda) = \tilde{f}(z), \quad \forall \lambda \in \Lambda,$$

and

$$f([z]) = \tilde{f}(z).$$

A standard example is the Weierstrass  $\wp$ -function  $\wp : \mathbb{C} \rightarrow \mathbb{C}$ , which is  $\Lambda$ -periodic and meromorphic with double poles at lattice points. Thus it descends to a meromorphic

$$f : X \rightarrow \mathbb{C}, \quad f([z]) = \wp(z).$$

We define

$$F : X \rightarrow \mathbb{CP}^1, \quad F(p) = \begin{cases} [f(p) : 1], & p \text{ not a pole of } f, \\ [1 : 0], & p \text{ a pole of } f. \end{cases}$$

For our example  $f([z]) = \wp(z)$ :

- $\wp(z)$  has poles precisely at lattice points  $z \in \Lambda$ , which all represent the same point on the torus, usually denoted  $[0]$ .
- For  $[z] \neq [0]$ , we set  $F([z]) = [\wp(z) : 1]$ .
- At  $[0]$ , we set  $F([0]) = [1 : 0]$ .

### Local coordinate on the torus near a pole

To get a local coordinate near  $[0] \in X$ , choose a small disc  $D \subset \mathbb{C}$  around 0 such that  $\pi|_D : D \rightarrow \pi(D)$  is a biholomorphism. Then

$$\varphi : \pi(D) \rightarrow \mathbb{C}, \quad \varphi([z]) = z,$$

is a local coordinate on  $X$  near  $[0]$ .

The local behavior of  $\wp(z)$  at  $z = 0$  is

$$\wp(z) = \frac{1}{z^2} + \text{holomorphic terms},$$

so more precisely,

$$\wp(z) = z^{-2}g(z), \quad g(z) \text{ holomorphic, } g(0) \neq 0.$$

Thus, for the induced  $f$ ,

$$f([z]) = \wp(z) = z^{-2}g(z),$$

so  $f$  has a pole of order  $m = 2$  at  $[0]$ .

### Holomorphicity of $F$ at the pole $[0]$

As before, we use the chart around  $[1 : 0] \in \mathbb{CP}^1$ :

$$U_0 = \{[z_0 : z_1] \mid z_0 \neq 0\}, \quad u = \frac{z_1}{z_0} : U_0 \rightarrow \mathbb{C}.$$

For  $z \neq 0$  small, we have  $p = [z] \neq [0]$  and

$$F([z]) = [f([z]) : 1] = [\wp(z) : 1] = [z^{-2}g(z) : 1].$$

Multiplying the homogeneous coordinates by  $z^2$  gives

$$[z^{-2}g(z) : 1] = [g(z) : z^2].$$

So in the chart  $U_0$ ,

$$(u \circ F)([z]) = \frac{z^2}{g(z)}.$$

Since  $g(z)$  is holomorphic with  $g(0) \neq 0$ , the function  $\frac{1}{g(z)}$  is holomorphic near 0, and hence  $\frac{z^2}{g(z)}$  is holomorphic near 0 and vanishes at  $z = 0$ . In the local coordinate  $\varphi([z]) = z$  on  $X$ , the expression

$$u \circ F \circ \varphi^{-1}(z) = \frac{z^2}{g(z)}$$

is holomorphic, so  $F$  is holomorphic at the pole  $[0]$ .

At a non-pole point  $[z_0] \in X$ , the same argument as in Example 1 applies:  $f$  is holomorphic and finite, and in the affine chart

$$U_1 = \{[z_0 : z_1] \mid z_1 \neq 0\}, \quad w = \frac{z_0}{z_1},$$

we have

$$(w \circ F)([z]) = f([z]) = \wp(z),$$

which is holomorphic in the local coordinate on  $X$ .

### Conclusion

For both examples  $X = \mathbb{CP}^1$  and  $X = \mathbb{C}/\Lambda$ , the construction

$$f : X \rightarrow \mathbb{C} \text{ meromorphic} \quad \longmapsto \quad F : X \rightarrow \mathbb{CP}^1, \quad F(p) = \begin{cases} [f(p) : 1], & p \text{ not a pole,} \\ [1 : 0], & p \text{ a pole,} \end{cases}$$

produces a holomorphic map  $F : X \rightarrow \mathbb{CP}^1$ . This concretely illustrates the general principle that a meromorphic function on a Riemann surface is the same as a holomorphic map to  $\mathbb{CP}^1$ .



We start with a meromorphic function

$$f : X \rightarrow \mathbb{C}$$

on a Riemann surface  $X$ , and define a map

$$F : X \rightarrow \mathbb{CP}^1$$

by

$$F(p) = \begin{cases} [f(p) : 1], & p \text{ not a pole of } f, \\ [1 : 0], & p \text{ a pole of } f. \end{cases}$$

You're asking: **why is this  $F$  holomorphic as a map of Riemann surfaces?**

## 1. Definition to remember

A map  $F : X \rightarrow Y$  between Riemann surfaces is **holomorphic** if, for every point  $p \in X$ , you can choose local coordinates

- $\varphi$ : neighborhood of  $p \rightarrow \mathbb{C}$ ,
- $\psi$ : neighborhood of  $F(p) \rightarrow \mathbb{C}$ ,

such that the coordinate expression

$$\psi \circ F \circ \varphi^{-1} : (\text{open in } \mathbb{C}) \rightarrow \mathbb{C}$$

is an ordinary holomorphic function.

So we need to check this around:

1. a point where  $f$  is holomorphic (no pole),
2. a point where  $f$  has a pole.

## 2. Case 1: $p$ is not a pole (easy)

If  $p$  is not a pole, then  $f$  is holomorphic near  $p$  and finite there.

- On  $X$ : choose any local coordinate  $z$  with  $z(p) = 0$ .
- On  $\mathbb{CP}^1$ : since  $F(p) = [f(p) : 1]$  has second coordinate  $\neq 0$ , it lies in the chart

$$U_1 = \{[z_0 : z_1] \mid z_1 \neq 0\}$$

with coordinate

$$w = \frac{z_0}{z_1} : U_1 \rightarrow \mathbb{C}.$$

Then on some neighborhood of  $p$ ,

$$(w \circ F)(q) = \frac{z_0}{z_1} \Big|_{F(q)} = \frac{f(q)}{1} = f(q),$$

which is holomorphic in  $z$ .

So  $\psi \circ F \circ \varphi^{-1} = f$  is holomorphic  $\Rightarrow F$  is holomorphic at non-pole points.

### 3. Case 2: $p$ is a pole of order $m > 0$

This is the interesting part.

Let  $p$  be a pole of  $f$  of order  $m$ . Choose a local coordinate  $z$  on  $X$  with  $z(p) = 0$ . By the definition of meromorphic:

$$f(z) = z^{-m}g(z),$$

where  $g$  is holomorphic and  $g(0) \neq 0$ .

By definition,

$$F(p) = [1 : 0] \in \mathbb{CP}^1.$$

Now we must look at a chart of  $\mathbb{CP}^1$  that contains  $[1 : 0]$ . That is:

$$U_0 = \{[z_0 : z_1] \mid z_0 \neq 0\},$$

with coordinate

$$u = \frac{z_1}{z_0} : U_0 \rightarrow \mathbb{C},$$

and in this chart  $[1 : 0]$  corresponds to  $u = 0$ .

For  $z \neq 0$  near  $p$ ,

$$F(z) = [f(z) : 1] = [z^{-m}g(z) : 1].$$

Multiply homogeneous coordinates by  $z^m$  (allowed in projective space):

$$[z^{-m}g(z) : 1] = [g(z) : z^m].$$

So in the chart  $U_0$  we have:

$$u(F(z)) = \frac{z^m}{g(z)}.$$

Now, check holomorphicity:

- $g(z)$  is holomorphic with  $g(0) \neq 0 \Rightarrow 1/g(z)$  is holomorphic near 0.
- $z^m$  is holomorphic.
- The product  $z^m \cdot \frac{1}{g(z)}$  is holomorphic near 0.

So

$$u \circ F(z) = \frac{z^m}{g(z)}$$

is an ordinary holomorphic function of  $z$  on a neighborhood of 0, and it extends to  $z = 0$  with value 0.

Thus, in local coordinates,

$$\psi \circ F \circ \varphi^{-1} = u \circ F$$

is holomorphic at  $z = 0$ . Therefore,  $F$  is **holomorphic at the pole  $p$** .

## 4. Conclusion

We have checked:

- At non-poles: in the chart  $U_1$ ,  $w \circ F = f$  is holomorphic.
- At poles: in the chart  $U_0$ ,  $u \circ F = z^m/g(z)$  is holomorphic.

So at **every** point  $p \in X$ , we can choose charts making the coordinate expression of  $F$  holomorphic. That's exactly the definition:

$$F : X \rightarrow \mathbb{CP}^1 \text{ is holomorphic.}$$

This is why we can safely say:

### 1.3 Note 3: The Isomorphism $\mathcal{M}(\mathbb{CP}^1) \simeq \mathbb{C}(x)$

We explain that the field of meromorphic functions on  $\mathbb{CP}^1$  is isomorphic to the field  $\mathbb{C}(x)$  of rational functions in one variable.

$$\mathcal{M}(X) = \left\{ \overline{i \circ f} \in (\mathbb{CP}^1)^X \mid f \text{ meromorphic on } X \right\},$$

$$\mathcal{M}(X) = \{ F : X \rightarrow \mathbb{CP}^1 \mid F \text{ holomorphic} \}.$$

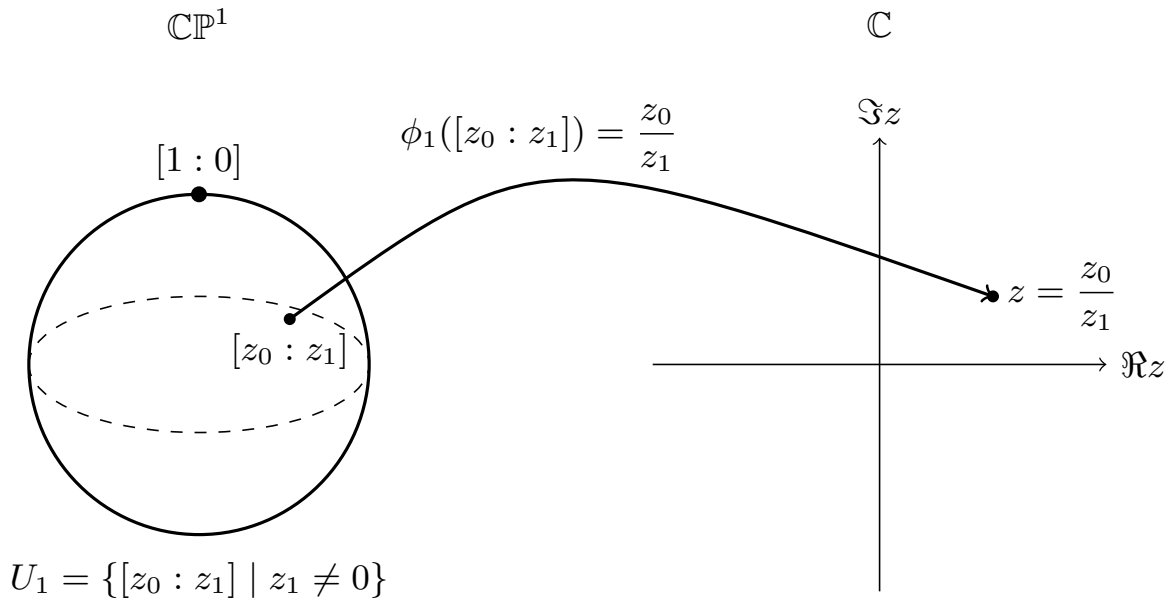
#### 1.3.1 Charts on $\mathbb{CP}^1$ and Field of Meromorphic Functions

View  $\mathbb{CP}^1$  as the Riemann sphere. Consider the standard affine chart

$$U_1 = \{[z_0 : z_1] \in \mathbb{CP}^1 \mid z_1 \neq 0\}$$

with coordinate map

$$\begin{aligned} \phi_1 : U_1 &\longrightarrow \mathbb{C} \\ [z_0 : z_1] &\longmapsto \frac{z_0}{z_1}. \end{aligned}$$



We write

$$x := \phi_1,$$

and think of  $x$  as the *coordinate function* on  $U_1$ . This function extends meromorphically to all of  $\mathbb{CP}^1$ , with a simple pole at  $\infty = [1 : 0]$ .

We define the field of meromorphic functions on  $\mathbb{CP}^1$  as

$$\mathcal{M}(\mathbb{CP}^1) = \{F : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1 \mid F \text{ holomorphic}\},$$

viewing a meromorphic function as a holomorphic map into  $\mathbb{CP}^1$  (via the usual convention “finite value  $\mapsto [f(p) : 1]$ , pole  $\mapsto [1 : 0]$ ”).

On the other hand, the field  $\mathbb{C}(x)$  is

$$\mathbb{C}(x) = \left\{ \frac{p(x)}{q(x)} \mid p, q \in \mathbb{C}[x], q \neq 0 \right\} / \sim,$$

where  $\frac{p}{q} \sim \frac{p'}{q'}$  if  $p(x)q'(x) = p'(x)q(x)$ .

Here  $\phi_1$  is a biholomorphism between  $U_1$  and  $\mathbb{C}$ , its inverse is

$$\begin{aligned} \phi_1^{-1} : \mathbb{C} &\longrightarrow U_1 \\ z &\longmapsto [z : 1] \end{aligned}.$$

We'll write

$$x := \phi_1$$

and think of  $x$  as the *coordinate function* on  $U_1$ . It extends meromorphically to all of  $\mathbb{CP}^1$  with a simple pole at  $[1 : 0]$  (the point at infinity).

## 1. Describe both sides with $\phi_1$

### Side 1: $\mathcal{M}(\mathbb{CP}^1)$

We use the “holomorphic map to  $\mathbb{CP}^1$ ” definition:

$$\mathcal{M}(\mathbb{CP}^1) = \left\{ F : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1 \mid F \text{ holomorphic} \right\}.$$

We want to use  $\phi_1$ , so whenever the image of  $F$  lies in  $U_1$ , we can look at

$$\phi_1 \circ F : (\text{some open set}) \rightarrow \mathbb{C}.$$

That's just the “affine coordinate” of the value of  $F$ .

### Side 2: $\mathbb{C}(x)$

$$\mathbb{C}(x) = \left\{ \frac{p(x)}{q(x)} \mid p(x), q(x) \in \mathbb{C}[x], q(x) \neq 0 \right\} / \sim,$$

where  $\frac{p}{q} \sim \frac{p'}{q'}$  iff  $p(x)q'(x) = p'(x)q(x)$ .

Here the symbol  $x$  is exactly your coordinate function

$$x = \phi_1 : U_1 \rightarrow \mathbb{C}.$$

## 2. Map $\mathbb{C}(x) \rightarrow \mathcal{M}(\mathbb{CP}^1)$ using $\phi_1$

Take a rational function

$$R(x) = \frac{p(x)}{q(x)} \in \mathbb{C}(x).$$

**On the affine chart  $U_1$ :**

Given a point  $[z_0 : z_1] \in U_1$ , write

$$x([z_0 : z_1]) = \phi_1([z_0 : z_1]) = z_0/z_1 =: z.$$

We define a map  $F_R : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1$  by saying on  $U_1$ ,

$$\phi_1(F_R([z_0 : z_1])) = R(\phi_1([z_0 : z_1])) = R(z).$$

In other words,

$$F_R|_{U_1} = \phi_1^{-1} \circ R \circ \phi_1.$$

Concretely:

$$F_R([z_0 : z_1]) = [R(z_0/z_1) : 1] \quad (\text{for } z_1 \neq 0, R(z) \neq \infty).$$

At points where  $R(z) = \infty$  (i.e.  $q(z) = 0$ ), we set

$$F_R([z_0 : z_1]) = [1 : 0].$$

This defines  $F_R$  on  $U_1 \cup \{\infty\}$ , but one must check it is *holomorphic at*  $\infty$ . Using homogeneous polynomials is a cleaner way:

- Let  $\deg p \leq m, \deg q \leq m$ . Define

$$P(z_0, z_1) = z_1^m p(z_0/z_1), \quad Q(z_0, z_1) = z_1^m q(z_0/z_1),$$

homogeneous of degree  $m$ .

- Then set

$$F_R([z_0 : z_1]) = \begin{cases} [P(z_0, z_1) : Q(z_0, z_1)], & Q(z_0, z_1) \neq 0, \\ [1 : 0], & Q(z_0, z_1) = 0. \end{cases}$$

This is well-defined and holomorphic on all of  $\mathbb{CP}^1$ . In the chart  $U_1$ , this is exactly  $\phi_1^{-1} \circ R \circ \phi_1$ . So we get a map

$$\Phi : \mathbb{C}(x) \rightarrow \mathcal{M}(\mathbb{CP}^1), \quad R \mapsto F_R.$$

### 3. Use $\phi_1$ to go backwards: from $F$ to $R(x)$

Now take any

$$F \in \mathcal{M}(\mathbb{CP}^1), \quad F : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1 \text{ holomorphic.}$$

We want to show: *there exists a unique rational function  $R(x) \in \mathbb{C}(x)$  such that*

$$F = F_R.$$

Using  $\phi_1$ :

1. Consider the open set where the image of  $F$  stays inside  $U_1$ :

$$V := F^{-1}(U_1) \subset \mathbb{CP}^1.$$

2. On  $V$ , define

$$f := \phi_1 \circ F : V \rightarrow \mathbb{C}.$$

In local coordinates,  $f$  is holomorphic. So  $f$  is a holomorphic function on the Riemann surface  $V$ .

3. The complement  $\mathbb{CP}^1 \setminus V = F^{-1}(\infty)$  is a *finite set* (preimages of the point  $[1 : 0]$  under a holomorphic map from a compact Riemann surface). At those points, we'll see  $f$  has poles. So in the chart  $\phi_1$ ,  $f$  is a *meromorphic function on  $\mathbb{C}$*  with finitely many poles.

Now, via  $\phi_1$ , we can identify  $\mathbb{CP}^1 \setminus \{\infty\}$  with  $\mathbb{C}$ . Under this,  $F$  becomes a meromorphic function

$$\tilde{f} : \mathbb{C} \rightarrow \mathbb{C} \cup \{\infty\},$$

which has only finitely many poles (coming from  $F^{-1}(\infty)$ ) and maybe a pole at  $\infty$ .

From standard complex analysis:

A meromorphic function on  $\mathbb{CP}^1$  (i.e. on  $\mathbb{C} \cup \{\infty\}$ ) is *rational*.

Concretely, we do the principal-part argument *in the coordinate*  $\phi_1$ :

- In the  $x$ -coordinate (i.e. using  $\phi_1$  as your chart),  $f(x)$  has Laurent expansions at each finite pole  $x = a_j$ .
- You build a rational function  $R(x)$  whose principal parts match those of  $f$  at all finite poles and at  $\infty$ .
- Then  $f(x) - R(x)$  is entire and holomorphic at  $\infty$ , so it's constant. So  $f(x) = R(x) + C$ , still rational.

Thus there exists some  $R(x) \in \mathbb{C}(x)$  such that

$$f(x) = R(x) \quad \text{as meromorphic functions on } \mathbb{C} \cup \{\infty\}.$$

But  $f = \phi_1 \circ F$  and  $R \circ \phi_1$  have the same values on  $U_1$ , so

$$\phi_1 \circ F = R \circ \phi_1 \quad \text{on } U_1,$$

hence

$$F|_{U_1} = \phi_1^{-1} \circ R \circ \phi_1 = F_R|_{U_1}.$$

Both  $F$  and  $F_R$  are holomorphic maps  $\mathbb{CP}^1 \rightarrow \mathbb{CP}^1$  that agree on the nonempty open set  $U_1$ , so by the identity theorem they agree everywhere:

$$F = F_R.$$

So every  $F \in \mathcal{M}(\mathbb{CP}^1)$  comes from a unique  $R \in \mathbb{C}(x)$ . That's surjectivity and injectivity of  $\Phi$ .

## 4. Summary in your language

Using your chart

$$\phi_1 : U_1 \rightarrow \mathbb{C}, \quad [z_0 : z_1] \mapsto z_0/z_1,$$

we have:

- Define  $x := \phi_1$ . This is a meromorphic function on  $\mathbb{CP}^1$  with one pole at  $[1 : 0]$ .
- Given  $R(x) \in \mathbb{C}(x)$ , we define a holomorphic map  $F_R : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1$  by

$$F_R = \phi_1^{-1} \circ R \circ \phi_1 \quad \text{on } U_1,$$

extended holomorphically to  $\infty$ .



- Given a holomorphic  $F : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1$ , its coordinate expression

$$f = \phi_1 \circ F \circ \phi_1^{-1} : \mathbb{C} \cup \{\infty\} \rightarrow \mathbb{C} \cup \{\infty\}$$

is a meromorphic function on the sphere, hence a rational function  $R(x)$ . Then  $F = F_R$ .

So precisely:

$$\boxed{\mathcal{M}(\mathbb{CP}^1) = \{F : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1 \text{ holomorphic}\} \cong \{R(x) \in \mathbb{C}(x)\}}$$

and the chart  $\phi_1$  is the bridge that makes this identification explicit.