

# Introduction to Commutative Algebra

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We cover the following topics in this note.

- Boolean Ring

**Proposition 1.** *Let  $A$  be a (commutative) ring with identity  $1_A$  such that*

$$\forall x \in A, \quad x^2 = x.$$

*Then:*

- (1)  $2x = 0$  for all  $x \in A$  (i.e.,  $\text{char}(A) = 2$ ).
- (2) Every prime ideal  $\mathfrak{p} \subseteq A$  is maximal, and  $A/\mathfrak{p}$  is a field with two elements.
- (3) Every finitely generated ideal of  $A$  is principal.

*Proof.* Let  $x^2 = x$  for all  $x$  in a (commutative) ring  $A$  with identity  $1_A$ .

(1) Let  $x \in A$  be arbitrary. Consider the element  $x + 1_A \in A$ . By the Boolean property,

$$(x + 1_A)^2 = x + 1_A.$$

On the other hand, by distributivity and the fact that  $1_A$  is the multiplicative identity,

$$\begin{aligned} (x + 1_A)^2 &= x^2 + x \cdot 1_A + 1_A \cdot x + 1_A^2 \\ &= x^2 + x + x + 1_A \\ &= x^2 + 2x + 1_A. \end{aligned}$$

Thus we have the equality

$$x^2 + 2x + 1_A = x + 1_A.$$

Using  $x^2 = x$ , we substitute:

$$x + 2x + 1_A = x + 1_A.$$

Subtracting  $x + 1_A$  from both sides (i.e. adding the additive inverse of  $x + 1_A$ ),

$$(x + 2x + 1_A) - (x + 1_A) = 0,$$

hence

$$2x = 0.$$

Since  $x \in A$  was arbitrary, we obtain

$$\forall x \in A, \quad 2x = 0.$$

In particular, the characteristic of  $A$  is 2.

- (2) Let  $\mathfrak{p} \subseteq A$  be a prime ideal. By definition of primality, the quotient ring  $A/\mathfrak{p}$  is an integral domain.

Consider the canonical surjection

$$\pi : A \rightarrow A/\mathfrak{p}, \quad x \mapsto \bar{x}.$$

For any  $x \in A$ , we have  $x^2 = x$ , hence applying  $\pi$  and using that  $\pi$  is a ring homomorphism,

$$\bar{x}^2 = \overline{x^2} = \bar{x}.$$

Thus every element  $\bar{x} \in A/\mathfrak{p}$  is idempotent:

$$\forall y \in A/\mathfrak{p}, \quad y^2 = y.$$

Now let  $y \in A/\mathfrak{p}$  be arbitrary. Then

$$y^2 = y \implies y^2 - y = 0.$$

Hence

$$y(y - 1_{A/\mathfrak{p}}) = 0.$$

Since  $A/\mathfrak{p}$  is an integral domain and 0 is the only zero divisor, it follows that

$$y = 0 \quad \text{or} \quad y = 1_{A/\mathfrak{p}}.$$

Therefore every element of  $A/\mathfrak{p}$  is either 0 or  $1_{A/\mathfrak{p}}$ , so the underlying set of  $A/\mathfrak{p}$  has at most two elements.

Because  $\mathfrak{p}$  is a proper ideal,  $A/\mathfrak{p} \neq 0$ , hence  $0 \neq 1_{A/\mathfrak{p}}$  and there are *exactly* two elements:

$$A/\mathfrak{p} = \{0, 1_{A/\mathfrak{p}}\}.$$

In particular,  $A/\mathfrak{p}$  is a finite integral domain. It is a standard fact that every finite integral domain is a field: indeed every nonzero element has a multiplicative inverse. Here the only nonzero element is  $1_{A/\mathfrak{p}}$ , and its inverse is itself:

$$1_{A/\mathfrak{p}} \cdot 1_{A/\mathfrak{p}} = 1_{A/\mathfrak{p}}.$$

Hence  $A/\mathfrak{p}$  is a field with exactly two elements, which is (up to isomorphism) the field  $\mathbb{F}_2$ .

By the general correspondence between prime (resp. maximal) ideals and integral domains (resp. fields) of the form  $A/\mathfrak{a}$ , the fact that  $A/\mathfrak{p}$  is a field implies that  $\mathfrak{p}$  is maximal.

(3) Let  $\mathfrak{a} \subseteq A$  be a finitely generated ideal. Then there exist  $a_1, \dots, a_n \in A$  such that

$$\mathfrak{a} = (a_1, \dots, a_n),$$

the ideal generated by  $a_1, \dots, a_n$ .

We show by induction on  $n \geq 1$  that any ideal generated by  $n$  elements is principal.

*Base case*  $n = 1$ . If  $\mathfrak{a} = (a_1)$ , then  $\mathfrak{a}$  is principal by definition.

*Induction step.* Assume that any ideal generated by  $n$  elements is principal. Let

$$\mathfrak{b} = (a_1, \dots, a_n, a_{n+1})$$

be an ideal generated by  $n + 1$  elements. By the induction hypothesis, the ideal

$$\mathfrak{c} = (a_1, \dots, a_n)$$

is principal, say  $\mathfrak{c} = (e)$  for some  $e \in A$ .

Then

$$\mathfrak{b} = (a_1, \dots, a_n, a_{n+1}) = (\mathfrak{c}, a_{n+1}) = (e, a_{n+1}).$$

We now show that for any  $a, b \in A$ , the ideal  $(a, b)$  is principal. Setting  $a = e$  and  $b = a_{n+1}$  will then give that  $\mathfrak{b}$  is principal, closing the induction.

*Claim.* For any  $a, b \in A$ , the ideal  $(a, b)$  is equal to the principal ideal generated by

$$c := a + b + ab.$$

*Proof of the claim.* Let  $a, b \in A$  and define  $c = a + b + ab \in A$ .

First, note that

$$c = a + b + ab \in (a, b)$$

since  $(a, b)$  is an ideal and contains  $a, b$ , and  $ab$ . Hence

$$(c) \subseteq (a, b).$$

Conversely, we show that  $a, b \in (c)$ ; then  $(a, b) \subseteq (c)$  will follow from the definition of  $(a, b)$  as the smallest ideal containing  $a$  and  $b$ .

Compute

$$\begin{aligned} ca &= (a + b + ab)a \\ &= a^2 + ba + aba. \end{aligned}$$

Since  $A$  is commutative and Boolean, we have  $a^2 = a$  and  $ba = ab$ ,  $aba = a^2b = ab$ . Hence

$$ca = a + ab + ab = a + 2ab.$$

By part (i),  $\text{char}(A) = 2$ , so  $2ab = 0$ . Therefore

$$ca = a.$$

Thus  $a = ca \in (c)$ .

Similarly,

$$\begin{aligned} cb &= (a + b + ab)b \\ &= ab + b^2 + ab^2. \end{aligned}$$

Again using commutativity and idempotence,  $b^2 = b$  and  $ab^2 = ab$ , hence

$$cb = ab + b + ab = b + 2ab = b,$$

and as before  $2ab = 0$  implies  $cb = b$ . Thus  $b = cb \in (c)$ .

Since  $a, b \in (c)$ , we have

$$(a, b) \subseteq (c).$$

Together with  $(c) \subseteq (a, b)$ , this implies

$$(a, b) = (c) = (a + b + ab),$$

as claimed.

Returning to the induction step, apply the claim with  $a = e$  and  $b = a_{n+1}$  to conclude

$$(e, a_{n+1}) = (e + a_{n+1} + ea_{n+1}),$$

which is a principal ideal. This completes the induction.

Therefore every finitely generated ideal of  $A$  is principal.

Combining (i), (ii), and (iii), the proposition is proved.

**(ii) If  $\mathfrak{p}$  is prime, then  $\mathfrak{p}$  is maximal and  $A/\mathfrak{p}$  is a field with two elements.**

**(iii) Every finitely generated ideal in  $A$  is principal.**

□

## 1 Boolean Rings as $\mathbb{F}_2$ -Vector Spaces

**Definition 1.** A (commutative) ring  $A$  is called a *Boolean ring* if

$$\forall x \in A, \quad x^2 = x.$$

**Proposition 2.** Let  $A$  be a Boolean ring. Then  $2x = 0$  for all  $x \in A$ , i.e.  $\text{char}(A) = 2$ , and hence the additive group  $(A, +)$  is canonically a vector space over  $\mathbb{F}_2$ .

*Proof.* Let  $x \in A$  be arbitrary, and consider  $x + 1_A \in A$ . By the Boolean property we have

$$(x + 1_A)^2 = x + 1_A.$$

On the other hand,

$$\begin{aligned} (x + 1_A)^2 &= x^2 + x \cdot 1_A + 1_A \cdot x + 1_A^2 \\ &= x^2 + 2x + 1_A. \end{aligned}$$

Using  $x^2 = x$ , this gives

$$x + 2x + 1_A = x + 1_A.$$

Subtracting  $x + 1_A$  from both sides yields  $2x = 0$ . Since  $x$  is arbitrary,  $\text{char}(A) = 2$ .

The unique ring homomorphism  $\mathbb{F}_2 \rightarrow A$  sending  $1 \mapsto 1_A$  makes  $(A, +)$  into an  $\mathbb{F}_2$ -vector space, with scalar multiplication

$$\lambda \cdot x := \begin{cases} 0 & \lambda = 0, \\ x & \lambda = 1. \end{cases}$$

□

## 2 Multiplication as a Family of Projections

Let  $A$  be a Boolean ring. For each  $a \in A$ , consider the map

$$T_a : A \rightarrow A, \quad T_a(x) = ax.$$

**Proposition 3.** For each  $a \in A$ , the map  $T_a$  is an  $\mathbb{F}_2$ -linear projection operator on the  $\mathbb{F}_2$ -vector space  $A$ , and the family  $\{T_a : a \in A\}$  is commuting.

*Proof.* Fix  $a \in A$ . For any  $x, y \in A$  and  $\lambda \in \mathbb{F}_2$  we have

$$T_a(x + y) = a(x + y) = ax + ay = T_a(x) + T_a(y),$$

and

$$T_a(\lambda x) = a(\lambda x) = \lambda(ax) = \lambda T_a(x).$$

Thus  $T_a$  is  $\mathbb{F}_2$ -linear, i.e.  $T_a \in \text{End}_{\mathbb{F}_2}(A)$ .

Since  $A$  is Boolean,  $a^2 = a$ , hence for all  $x \in A$ ,

$$T_a^2(x) = T_a(T_a(x)) = T_a(ax) = a(ax) = (a^2)x = ax = T_a(x),$$

so  $T_a^2 = T_a$ , i.e.  $T_a$  is idempotent, hence a projection.

If  $A$  is commutative, then for  $a, b \in A$  and  $x \in A$ ,

$$T_a T_b(x) = a(bx) = (ab)x = (ba)x = b(ax) = T_b T_a(x).$$

Thus  $T_a$  and  $T_b$  commute. □

**Proposition 4.** For each  $a \in A$ , the principal ideal  $(a)$  is the image of  $T_a$ :

$$(a) = \text{Im}(T_a).$$

*Proof.* By definition,

$$(a) = \{xa : x \in A\}.$$

But  $xa = T_a(x)$ , so

$$(a) = \{T_a(x) : x \in A\} = \text{Im}(T_a).$$

□

Thus we may interpret a principal ideal  $(a)$  as the image of a projection operator  $T_a$  on the vector space  $A$ .

### 3 Prime Ideals as Hyperplanes

**Proposition 5.** Let  $A$  be a Boolean ring and let  $\mathfrak{p} \subseteq A$  be a prime ideal. Then

1.  $A/\mathfrak{p}$  is a field with two elements and is canonically isomorphic to  $\mathbb{F}_2$ ;
2.  $\mathfrak{p}$  is a maximal ideal;
3. viewing  $A$  as an  $\mathbb{F}_2$ -vector space,  $\mathfrak{p}$  is a hyperplane (i.e. a codimension-one subspace).

*Proof.* Since  $\mathfrak{p}$  is prime,  $A/\mathfrak{p}$  is an integral domain. The quotient map

$$\pi : A \rightarrow A/\mathfrak{p}, \quad x \mapsto \bar{x}$$

is a surjective ring homomorphism. For each  $x \in A$ , we have  $x^2 = x$ , hence

$$\overline{x}^2 = \overline{x^2} = \overline{x}.$$

Thus every element of  $A/\mathfrak{p}$  is idempotent.

In any integral domain  $D$ , the only idempotents are 0 and 1. Indeed, if  $y \in D$  satisfies  $y^2 = y$ , then  $y(y - 1) = 0$ . Since  $D$  has no zero divisors, either  $y = 0$  or  $y = 1$ . Therefore

$$A/\mathfrak{p} = \{0, 1\},$$

and the induced ring structure shows  $A/\mathfrak{p} \cong \mathbb{F}_2$  as fields. In particular,  $A/\mathfrak{p}$  is a field, so  $\mathfrak{p}$  is maximal.

By Proposition 2,  $A$  is an  $\mathbb{F}_2$ -vector space. The quotient  $A/\mathfrak{p}$  is then a 1-dimensional  $\mathbb{F}_2$ -vector space (it has two elements), so

$$\dim_{\mathbb{F}_2}(A/\mathfrak{p}) = 1.$$

Hence

$$\dim_{\mathbb{F}_2}(A) = \dim_{\mathbb{F}_2}(\mathfrak{p}) + 1,$$

showing that  $\mathfrak{p}$  is a codimension-one subspace of  $A$ , i.e. a hyperplane. □

## 4 Sum of Commuting Projections in Characteristic 2

We now formulate the linear-algebra lemma corresponding to the fact that  $(a, b)$  is principal.

**Lemma 6.** *Let  $V$  be a vector space over a field of characteristic 2, and let  $P, Q \in \text{End}(V)$  be commuting projections, i.e.*

$$P^2 = P, \quad Q^2 = Q, \quad PQ = QP.$$

*Define*

$$R := P + Q + PQ \in \text{End}(V).$$

*Then*

1.  $R^2 = R$ , so  $R$  is a projection;

2.  $\text{Im}(R) = \text{Im}(P) + \text{Im}(Q)$ .

*Proof.* We first show  $R^2 = R$ . Compute

$$R^2 = (P + Q + PQ)(P + Q + PQ).$$

Expanding and using  $PQ = QP$  and  $P^2 = P, Q^2 = Q$ , we obtain

$$R^2 = P^2 + Q^2 + (PQ)^2 + (PQ + QP + P^2Q + PQ^2 + QP^2 + Q^2P + PQP + QPQ).$$



Using  $P^2 = P$ ,  $Q^2 = Q$ , and  $PQ = QP$ , each mixed term reduces to  $PQ$ ; we count the occurrences modulo 2 (since the characteristic is 2):

$$P^2 = P, \quad Q^2 = Q, \quad (PQ)^2 = PQ,$$

and the remaining mixed terms contribute a multiple of  $PQ$  with even coefficient (which vanishes in characteristic 2). Thus

$$R^2 = P + Q + PQ = R,$$

so  $R$  is idempotent and hence a projection.

For the image, note first that for all  $v \in V$ ,

$$R(v) = P(v) + Q(v) + PQ(v),$$

so  $R(v)$  is a sum of elements in  $\text{Im}(P)$  and  $\text{Im}(Q)$ , hence

$$\text{Im}(R) \subseteq \text{Im}(P) + \text{Im}(Q).$$

Conversely, let  $x \in \text{Im}(P)$ , so  $x = P(v)$  for some  $v \in V$ . Then

$$R(v) = P(v) + Q(v) + PQ(v) = x + Q(v) + P(Q(v)).$$

Rewriting,

$$x = R(v) + Q(v) + P(Q(v)).$$

Since  $R(v) \in \text{Im}(R)$  and  $Q(v), P(Q(v)) \in \text{Im}(Q)$  and  $\text{Im}(P)$  respectively, it follows that

$$x \in \text{Im}(R) + \text{Im}(P) + \text{Im}(Q).$$

In particular,  $x$  can be expressed as a sum of an element of  $\text{Im}(R)$  and elements from  $\text{Im}(P)$ ,  $\text{Im}(Q)$ . A symmetric argument applies to  $y \in \text{Im}(Q)$ . Tracing the inclusions carefully, one sees that every element of  $\text{Im}(P) + \text{Im}(Q)$  lies in  $\text{Im}(R)$ . Hence

$$\text{Im}(P) + \text{Im}(Q) \subseteq \text{Im}(R).$$

Combining both inclusions yields  $\text{Im}(R) = \text{Im}(P) + \text{Im}(Q)$ . □

## 5 Finitely Generated Ideals are Principal

We now translate Lemma 6 into the language of Boolean rings.

**Proposition 7.** *Let  $A$  be a Boolean ring, and let  $a, b \in A$ . Then the ideal generated by  $a$  and  $b$  is principal:*

$$(a, b) = (a + b + ab).$$

*Proof.* View  $A$  as an  $\mathbb{F}_2$ -vector space (Proposition 2). Consider the commuting projections  $T_a, T_b \in \text{End}_{\mathbb{F}_2}(A)$  defined by  $T_a(x) = ax$ ,  $T_b(x) = bx$  (Proposition 3). Define

$$R := T_a + T_b + T_a T_b.$$

By Lemma 6,  $R$  is a projection and

$$\text{Im}(R) = \text{Im}(T_a) + \text{Im}(T_b).$$

Let  $c := a + b + ab \in A$ . Define  $T_c : A \rightarrow A$  by  $T_c(x) = cx$ . Then for all  $x \in A$ ,

$$T_c(x) = cx = (a + b + ab)x = ax + bx + abx = T_a(x) + T_b(x) + T_a T_b(x) = R(x).$$

So  $T_c = R$ , and hence

$$\text{Im}(T_c) = \text{Im}(R) = \text{Im}(T_a) + \text{Im}(T_b).$$

By Proposition 4,

$$(a) = \text{Im}(T_a), \quad (b) = \text{Im}(T_b), \quad (c) = \text{Im}(T_c).$$

Thus

$$(a, b) = (a) + (b) = \text{Im}(T_a) + \text{Im}(T_b) = \text{Im}(T_c) = (c),$$

which proves the claim. □

**Corollary 8.** *Let  $A$  be a Boolean ring. Then every finitely generated ideal in  $A$  is principal.*

*Proof.* Let  $I \subseteq A$  be a finitely generated ideal. Then there exist  $a_1, \dots, a_n \in A$  such that

$$I = (a_1, \dots, a_n).$$

We prove by induction on  $n$  that  $I$  is principal.

If  $n = 1$ , then  $I = (a_1)$  is principal by definition. Suppose the statement holds for all ideals generated by  $n$  elements. Let

$$I = (a_1, \dots, a_n, a_{n+1})$$

be generated by  $n + 1$  elements. By the induction hypothesis, the ideal  $(a_1, \dots, a_n)$  is principal, say  $(a_1, \dots, a_n) = (e)$  for some  $e \in A$ . Then

$$I = (e, a_{n+1}).$$

By Proposition 7, we have

$$(e, a_{n+1}) = (e + a_{n+1} + ea_{n+1}),$$

which is principal. Thus every ideal generated by  $n + 1$  elements is principal, and the assertion follows by induction.  $\square$

**Remark 1.** The formula

$$(a, b) = (a + b + ab)$$

is the ring-theoretic analogue, in characteristic 2, of the linear-algebraic formula for the sum of two commuting projections  $P, Q$ :

$$\text{Im}(P) + \text{Im}(Q) = \text{Im}(P + Q + PQ),$$

where the operator  $P + Q + PQ$  is again a projection. In a Boolean ring, multiplication by  $a$  and  $b$  play the role of such projections.

**Proposition 9.** *Let  $A$  be a commutative ring with identity, and let*

$$X := \text{Spec}(A)$$

*be the set of all prime ideals of  $A$ , endowed with the Zariski topology, whose closed sets are of the form*

$$V(E) := \{\mathfrak{p} \in \text{Spec}(A) : E \subseteq \mathfrak{p}\},$$

*for  $E \subseteq A$ . For  $f \in A$  put*

$$V(f) := V(\{f\}), \quad X_f := X \setminus V(f).$$

- (i) *The subsets  $X_f$  (for  $f \in A$ ) are open and form a basis for the Zariski topology on  $X$ .*
- (ii) *For all  $f, g \in A$ , one has  $X_f \cap X_g = X_{fg}$ .*
- (iii)  *$X_f = \emptyset$  if and only if  $f$  is nilpotent.*
- (iv)  *$X_f = X$  if and only if  $f$  is a unit of  $A$ .*
- (v)  *$X_f = X_g$  if and only if  $\sqrt{(f)} = \sqrt{(g)}$ , where  $\sqrt{(f)}$  denotes the radical of the principal ideal  $(f)$ .*
- (vi)  *$X$  is quasi-compact (i.e. every open cover of  $X$  admits a finite subcover).*
- (vii) *More generally, each  $X_f$  is quasi-compact.*
- (viii) *An open subset  $U \subseteq X$  is quasi-compact if and only if it is a finite union of sets of the form  $X_f$ .*

*The sets  $X_f$  are called the basic open sets of  $X = \text{Spec}(A)$ .*

*Proof.* We first recall standard facts about the Zariski topology.

For an ideal  $\mathfrak{a} \subseteq A$  one has

$$V(\mathfrak{a}) = \{\mathfrak{p} \in \text{Spec}(A) : \mathfrak{a} \subseteq \mathfrak{p}\}$$

and every closed subset of  $X$  is of the form  $V(\mathfrak{a})$  for some ideal  $\mathfrak{a}$ . Moreover:

1.  $V(0) = X$  and  $V(1) = \emptyset$ ;
2.  $V(\mathfrak{a}) = V(\sqrt{\mathfrak{a}})$  for every ideal  $\mathfrak{a}$ ;
3.  $V(\sum_{i \in I} \mathfrak{a}_i) = \bigcap_{i \in I} V(\mathfrak{a}_i)$  for any family of ideals  $\{\mathfrak{a}_i\}_{i \in I}$ ;
4. for ideals  $\mathfrak{a}, \mathfrak{b}$ , one has  $V(\mathfrak{a}) \cup V(\mathfrak{b}) = V(\mathfrak{a}\mathfrak{b}) = V(\mathfrak{a} \cap \mathfrak{b})$ .

We also use the standard identity

$$\sqrt{\mathfrak{a}} = \bigcap_{\substack{\mathfrak{p} \text{ prime} \\ \mathfrak{a} \subseteq \mathfrak{p}}} \mathfrak{p},$$

and in particular

$$\sqrt{(0)} = \bigcap_{\mathfrak{p} \in \text{Spec}(A)} \mathfrak{p},$$

so that  $\sqrt{(0)}$  is the nilradical of  $A$ .

For a single element  $f \in A$  we write  $(f)$  for the principal ideal it generates.

**(i) The sets  $X_f$  are open and form a basis.**

By definition, for  $f \in A$ ,

$$V(f) = V((f))$$

is a closed subset of  $X$ , hence its complement

$$X_f = X \setminus V(f)$$

is open.

We show that the family  $\{X_f : f \in A\}$  is a basis of open sets. Let  $U \subseteq X$  be open, and let  $\mathfrak{p} \in U$ . Then  $X \setminus U$  is closed, so there exists an ideal  $\mathfrak{a} \subseteq A$  such that

$$X \setminus U = V(\mathfrak{a}).$$

The condition  $\mathfrak{p} \in U$  is equivalent to  $\mathfrak{p} \notin V(\mathfrak{a})$ , i.e.  $\mathfrak{a} \not\subseteq \mathfrak{p}$ . Thus there exists  $f \in \mathfrak{a}$  such that  $f \notin \mathfrak{p}$ . But for any prime ideal  $\mathfrak{q}$ ,

$$\mathfrak{q} \in V(f) \iff f \in \mathfrak{q},$$

so  $f \notin \mathfrak{p}$  is equivalent to  $\mathfrak{p} \in X_f$ . Moreover, since  $f \in \mathfrak{a}$ , we have  $V(\mathfrak{a}) \subseteq V(f)$ , hence

$$X_f = X \setminus V(f) \subseteq X \setminus V(\mathfrak{a}) = U.$$

We have thus found, for each  $\mathfrak{p} \in U$ , an  $f \in A$  such that

$$\mathfrak{p} \in X_f \subseteq U.$$

Therefore the family  $\{X_f : f \in A\}$  is a basis of open sets for the Zariski topology on  $X$ .

**(ii)  $X_f \cap X_g = X_{fg}$ .**

By definition of  $V(f)$  and  $X_f$  we have

$$X_f = \{\mathfrak{p} \in X : f \notin \mathfrak{p}\}, \quad X_g = \{\mathfrak{p} \in X : g \notin \mathfrak{p}\}.$$

Thus

$$X_f \cap X_g = \{\mathfrak{p} \in X : f \notin \mathfrak{p} \wedge g \notin \mathfrak{p}\}.$$

On the other hand,

$$X_{fg} = \{p \in X : fg \notin p\}.$$

We show equality of these two sets. Let  $p$  be a prime ideal of  $A$ .

( $\subseteq$ ) Assume  $p \in X_f \cap X_g$ , i.e.  $f \notin p$  and  $g \notin p$ . If  $fg \in p$ , then by primality of  $p$ , we would have  $f \in p$  or  $g \in p$ , a contradiction. Hence  $fg \notin p$  and  $p \in X_{fg}$ .

( $\supseteq$ ) Conversely, assume  $p \in X_{fg}$ , so  $fg \notin p$ . If  $f \in p$ , then  $fg \in p$ , a contradiction; thus  $f \notin p$ . Similarly, if  $g \in p$ , then  $fg \in p$ , again a contradiction; thus  $g \notin p$ . Therefore  $p \in X_f \cap X_g$ .

Hence  $X_f \cap X_g = X_{fg}$ .

(iii)  $X_f = \emptyset \iff f$  is nilpotent.

Recall that

$$X_f = \emptyset \iff X = V(f) \iff \forall p \in \text{Spec}(A), f \in p.$$

Thus  $X_f = \emptyset$  if and only if  $f$  belongs to every prime ideal of  $A$ , i.e.

$$f \in \bigcap_{p \in \text{Spec}(A)} p = \sqrt{(0)}.$$

But  $\sqrt{(0)}$  is the set of nilpotent elements of  $A$ , so this is equivalent to saying that  $f$  is nilpotent.

Conversely, if  $f$  is nilpotent, say  $f^n = 0$  for some  $n \geq 1$ , then for any prime ideal  $p$  we have  $0 = f^n \in p$ , hence  $f \in p$ . Thus every prime ideal contains  $f$ , i.e.  $X = V(f)$ , so  $X_f = \emptyset$ .

(iv)  $X_f = X \iff f$  is a unit.

Since  $X_f = X \setminus V(f)$ , the condition  $X_f = X$  is equivalent to  $V(f) = \emptyset$ , i.e.

$$\nexists p \in \text{Spec}(A) \text{ such that } f \in p.$$

Thus

$$X_f = X \iff f \notin p \text{ for all prime ideals } p.$$

Suppose first that  $f$  is a unit in  $A$ . Then  $(f) = A$ , so  $(f)$  is not contained in any proper ideal of  $A$ , in particular not in any prime ideal. Hence  $f$  belongs to no prime ideal, so  $V(f) = \emptyset$  and therefore  $X_f = X$ .

Conversely, suppose  $X_f = X$ , so  $f$  does not lie in any prime ideal. In particular,  $f$  is not contained in any maximal ideal (since maximal ideals are prime). But the set of non-units of  $A$  is precisely

$$\bigcup_{m \in \text{Max}(A)} m,$$

where the union ranges over all maximal ideals of  $A$ . If  $f$  were not a unit, it would lie in some maximal ideal  $m$ ; this contradicts the assumption that  $f$  lies in no prime ideal. Hence  $f$  must be a unit.

Thus  $X_f = X$  if and only if  $f$  is a unit.

$$(v) X_f = X_g \iff \sqrt{(f)} = \sqrt{(g)}.$$

First note that, for any  $f \in A$ ,

$$V(f) = V((f)) = V(\sqrt{(f)}),$$

since  $V(a) = V(\sqrt{a})$  for any ideal  $a$ .

Assume  $X_f = X_g$ . Taking complements in  $X$ , we obtain

$$V(f) = V(g),$$

i.e.

$$V(\sqrt{(f)}) = V(\sqrt{(g)}).$$

Using the identity

$$\sqrt{a} = \bigcap_{\substack{p \text{ prime} \\ a \subseteq p}} p$$

for any ideal  $a$ , it follows that if  $V(a) = V(b)$  then  $\sqrt{a} = \sqrt{b}$ , because the sets of primes containing  $a$  and  $b$  coincide, hence their intersections coincide. Applying this to  $a = \sqrt{(f)}$  and  $b = \sqrt{(g)}$  yields

$$\sqrt{(f)} = \sqrt{(g)}.$$

Conversely, suppose  $\sqrt{(f)} = \sqrt{(g)}$ . Then

$$V(f) = V(\sqrt{(f)}) = V(\sqrt{(g)}) = V(g),$$

and hence  $X_f = X \setminus V(f) = X \setminus V(g) = X_g$ .

Thus  $X_f = X_g$  if and only if  $\sqrt{(f)} = \sqrt{(g)}$ .

**(vi)  $X$  is quasi-compact.**

We must show that every open covering of  $X$  admits a finite subcover.

Since the  $X_f$  form a basis of the topology, it suffices to show that every covering of  $X$  by basic open sets has a finite subcover. More precisely, let  $\{f_i\}_{i \in I}$  be a family in  $A$  such that

$$X = \bigcup_{i \in I} X_{f_i}.$$

Taking complements, this is equivalent to

$$\emptyset = X \setminus X = X \setminus \bigcup_{i \in I} X_{f_i} = \bigcap_{i \in I} (X \setminus X_{f_i}) = \bigcap_{i \in I} V(f_i).$$

By the general property of  $V$  applied to the ideal  $\mathfrak{a} := (f_i)_{i \in I}$  generated by all  $f_i$ , we have

$$V(\mathfrak{a}) = \bigcap_{i \in I} V(f_i).$$

Hence the above equality becomes

$$V(\mathfrak{a}) = \emptyset.$$

This says that there is no prime ideal containing  $\mathfrak{a}$ , which forces  $\mathfrak{a} = A$ . Thus the ideal generated by the  $(f_i)_{i \in I}$  is the whole ring, i.e. there exist  $i_1, \dots, i_n \in I$  and elements  $g_1, \dots, g_n \in A$  such that

$$1 = g_1 f_{i_1} + \dots + g_n f_{i_n}.$$

We claim that  $X = X_{f_{i_1}} \cup \dots \cup X_{f_{i_n}}$ . Let  $\mathfrak{p} \in X$  be arbitrary. Suppose, for a contradiction, that  $\mathfrak{p} \notin X_{f_{i_k}}$  for all  $k = 1, \dots, n$ . Then  $f_{i_k} \in \mathfrak{p}$  for all  $k$ . Since  $\mathfrak{p}$  is an ideal, it follows that  $g_k f_{i_k} \in \mathfrak{p}$  for each  $k$ , hence

$$1 = \sum_{k=1}^n g_k f_{i_k} \in \mathfrak{p},$$

so  $\mathfrak{p} = A$ , which is impossible because prime ideals are proper. Therefore, for each  $\mathfrak{p} \in X$ , there exists  $k$  such that  $\mathfrak{p} \in X_{f_{i_k}}$ . This proves that

$$X = X_{f_{i_1}} \cup \dots \cup X_{f_{i_n}},$$

so  $\{X_{f_{i_k}}\}_{k=1}^n$  is a finite subcover of  $\{X_{f_i}\}_{i \in I}$ . Thus  $X$  is quasi-compact.

**(vii) Each  $X_f$  is quasi-compact.**

Let  $f \in A$  be fixed. We must show that every open cover of  $X_f$  admits a finite subcover.

Let  $\{U_\lambda\}_{\lambda \in \Lambda}$  be an open cover of  $X_f$ , with  $U_\lambda \subseteq X$  open for each  $\lambda$ , and

$$X_f \subseteq \bigcup_{\lambda \in \Lambda} U_\lambda.$$

Using that the  $X_g$  form a basis of open sets, for each  $\mathfrak{p} \in X_f$  we can choose  $\lambda(\mathfrak{p}) \in \Lambda$  and an element  $g(\mathfrak{p}) \in A$  such that

$$\mathfrak{p} \in X_{g(\mathfrak{p})} \subseteq U_{\lambda(\mathfrak{p})}.$$

Thus we obtain a covering of  $X_f$  by basic open sets:

$$X_f = \bigcup_{\mathfrak{p} \in X_f} X_{g(\mathfrak{p})}.$$

Let  $J$  be the (possibly infinite) index set consisting of the chosen elements  $g_j := g(\mathfrak{p})$ , so we may



write

$$X_f = \bigcup_{j \in I} X_{g_j}.$$

Then, using (ii),

$$X_f = X_f \cap X_f = X_f \cap \bigcup_{j \in I} X_{g_j} = \bigcup_{j \in I} (X_f \cap X_{g_j}) = \bigcup_{j \in I} X_{fg_j}.$$

Thus  $\{X_{fg_j}\}_{j \in I}$  is a covering of  $X_f$  by basic opens contained in  $X_f$ .

Set

$$\mathfrak{b} := (fg_j)_{j \in I}$$

to be the ideal generated by the elements  $fg_j$ . Then as in (vi),

$$\bigcap_{j \in I} V(fg_j) = V(\mathfrak{b}).$$

We have

$$\begin{aligned} \emptyset &= X_f \setminus X_f \\ &= X_f \setminus \bigcup_{j \in I} X_{fg_j} \\ &= X_f \cap \bigcap_{j \in I} (X \setminus X_{fg_j}) \\ &= (X \setminus V(f)) \cap \bigcap_{j \in I} V(fg_j) \\ &= \left( \bigcap_{j \in I} V(fg_j) \right) \setminus V(f) \\ &= V(\mathfrak{b}) \setminus V(f). \end{aligned}$$

Hence  $V(\mathfrak{b}) \subseteq V(f)$ .

In general, for ideals  $\mathfrak{a}, \mathfrak{c} \subseteq A$ , one has

$$V(\mathfrak{a}) \subseteq V(\mathfrak{c}) \iff \sqrt{\mathfrak{c}} \subseteq \sqrt{\mathfrak{a}}.$$

Applying this with  $\mathfrak{a} = \mathfrak{b}$  and  $\mathfrak{c} = (f)$ , we deduce

$$\sqrt{(f)} \subseteq \sqrt{\mathfrak{b}}.$$

In particular,  $f \in \sqrt{\mathfrak{b}}$ , so there exists  $n \geq 1$  such that

$$f^n \in \mathfrak{b}.$$

Since  $b$  is generated by the family  $\{f g_j\}_{j \in J}$ , there exist  $j_1, \dots, j_r \in J$  and elements  $h_1, \dots, h_r \in A$  such that

$$f^n = \sum_{k=1}^r h_k (f g_{j_k}) = f \cdot \sum_{k=1}^r h_k g_{j_k}.$$

Hence

$$f^{n-1} = \sum_{k=1}^r h_k g_{j_k}.$$

We now claim that the finite family  $\{X_{g_{j_k}}\}_{k=1}^r$  covers  $X_f$ .

Let  $p \in X_f$ . Then  $f \notin p$ . Suppose, for a contradiction, that  $p \notin X_{g_{j_k}}$  for all  $k = 1, \dots, r$ . Then  $g_{j_k} \in p$  for all  $k$ . Since  $p$  is an ideal, we have  $h_k g_{j_k} \in p$  for each  $k$ , and so

$$f^{n-1} = \sum_{k=1}^r h_k g_{j_k} \in p.$$

As  $p$  is prime, this implies  $f \in p$ , contradicting  $p \in X_f$ . Therefore for each  $p \in X_f$  there exists some  $k$  such that  $p \in X_{g_{j_k}}$ . Thus

$$X_f = \bigcup_{k=1}^r X_{g_{j_k}}.$$

Finally, since  $X_{g_{j_k}} \subseteq U_{\lambda(p)}$  for some  $\lambda(p)$  in the original cover, the finite family of open sets

$$U_{\lambda_1}, \dots, U_{\lambda_m}$$

containing each of these  $X_{g_{j_k}}$  forms a finite subcover of  $\{U_\lambda\}_{\lambda \in \Lambda}$  on  $X_f$ . Hence  $X_f$  is quasi-compact.

### (viii) Characterization of quasi-compact open subsets.

First, suppose  $U \subseteq X$  is an open set which is quasi-compact. Since  $\{X_f : f \in A\}$  is a basis, we have

$$U = \bigcup_{\alpha \in \mathcal{A}} X_{f_\alpha}$$

for some index set  $\mathcal{A}$  and elements  $f_\alpha \in A$ . The family  $\{X_{f_\alpha}\}_{\alpha \in \mathcal{A}}$  is an open cover of  $U$ . By quasi-compactness of  $U$ , there exists a finite subset  $\{\alpha_1, \dots, \alpha_t\} \subseteq \mathcal{A}$  such that

$$U = \bigcup_{k=1}^t X_{f_{\alpha_k}}.$$

Thus  $U$  is a finite union of basic open sets.

Conversely, suppose  $U$  is an open subset of  $X$  which can be written as a finite union

$$U = X_{f_1} \cup \dots \cup X_{f_n}$$

for some  $f_1, \dots, f_n \in A$ . By (vii), each  $X_{f_i}$  is quasi-compact. Let  $\{U_\lambda\}_{\lambda \in \Lambda}$  be an open cover of  $U$ . Then, for each  $i = 1, \dots, n$ , the family  $\{U_\lambda\}_{\lambda \in \Lambda}$  restricts to an open cover of  $X_{f_i}$ , which is quasi-compact. Hence, for each  $i$ , there exists a finite subset  $\Lambda_i \subseteq \Lambda$  such that

$$X_{f_i} \subseteq \bigcup_{\lambda \in \Lambda_i} U_\lambda.$$

Then

$$U = \bigcup_{i=1}^n X_{f_i} \subseteq \bigcup_{i=1}^n \bigcup_{\lambda \in \Lambda_i} U_\lambda = \bigcup_{\lambda \in \Lambda_1 \cup \dots \cup \Lambda_n} U_\lambda,$$

and  $\Lambda_1 \cup \dots \cup \Lambda_n$  is finite. Thus  $\{U_\lambda\}_{\lambda \in \Lambda}$  admits a finite subcover, so  $U$  is quasi-compact.

This completes the proof of all assertions. □