

$$\mathcal{M}(\mathbb{CP}^1) \cong \mathbb{C}(z) \text{ and } \mathcal{M}(X) \cong \mathbb{C}(z) \iff X \cong \mathbb{CP}^1$$

(Algebraic and Calculus Viewpoints)

## 1 Setup and notation

We work over  $\mathbb{C}$ .

- $\mathbb{CP}^1$  is the complex projective line. As a set,

$$\mathbb{CP}^1 = \{[z_0 : z_1] \mid (z_0, z_1) \neq (0, 0)\} / \sim,$$

where  $[z_0 : z_1] \sim [\lambda z_0 : \lambda z_1]$  for  $\lambda \neq 0$ .

- Analytically,  $\mathbb{CP}^1$  is the Riemann sphere

$$\widehat{\mathbb{C}} = \mathbb{C} \cup \{\infty\}.$$

On  $\mathbb{C} \subset \widehat{\mathbb{C}}$  we use the coordinate  $z$ , and  $\infty$  is the “point at infinity”.

- For any compact Riemann surface  $X$ , we denote its field of meromorphic functions by  $\mathcal{M}(X)$ .
- For  $\mathbb{CP}^1$ , we want to show

$$\mathcal{M}(\mathbb{CP}^1) \cong \mathbb{C}(z),$$

and then show that for a general compact Riemann surface  $X$ ,

$$\mathcal{M}(X) \cong \mathbb{C}(z) \iff X \cong \mathbb{CP}^1.$$

## 2 Part A: Analytic (“calculus”) proof that $\mathcal{M}(\mathbb{CP}^1) = \mathbb{C}(z)$

We identify  $\mathbb{CP}^1$  with  $\widehat{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$ , so a meromorphic function on  $\mathbb{CP}^1$  is a meromorphic  $f : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$ .

### 2.1 Step A.1: Meromorphic 1-forms and residues

Given a meromorphic function  $f$  on  $\widehat{\mathbb{C}}$ , consider the 1-form

$$\omega = f(z) dz.$$

For any closed loop  $\gamma$  in  $\mathbb{C}$  avoiding the poles of  $f$ , we can form

$$\oint_{\gamma} \omega = \oint_{\gamma} f(z) dz.$$

### Residues at finite poles

Let  $a \in \mathbb{C}$  be a pole of  $f$ . Take a small positively oriented circle

$$\gamma_a : z = a + re^{it}, \quad 0 \leq t \leq 2\pi,$$

small enough to enclose no other poles. The residue of  $\omega$  at  $a$  is

$$\text{Res}_{z=a}(f(z) dz) := \frac{1}{2\pi i} \oint_{\gamma_a} f(z) dz.$$

On an annulus  $0 < |z - a| < \varepsilon$ ,  $f$  has a Laurent series

$$f(z) = \sum_{n=-m}^{\infty} c_n (z - a)^n.$$

Then the coefficient of  $(z - a)^{-1}$  is

$$c_{-1} = \text{Res}_{z=a}(f(z) dz).$$

More generally,

$$c_n = \frac{1}{2\pi i} \oint_{\gamma_a} \frac{f(\zeta)}{(\zeta - a)^{n+1}} d\zeta.$$

So the principal part at  $a$  is determined by integrals of the 1-form  $f(\zeta) d\zeta$ .

### Residue at infinity

At  $\infty$ , use the coordinate  $w = 1/z$ . Then  $z = 1/w$  and  $dz = -w^{-2} dw$ . Define

$$F(w) := f\left(\frac{1}{w}\right).$$

Then

$$\omega = f(z) dz = f\left(\frac{1}{w}\right) \left(-\frac{1}{w^2} dw\right) = -F(w)w^{-2} dw.$$

Since  $f$  is meromorphic at  $\infty$ ,  $F(w)w^{-2}$  has a Laurent expansion

$$F(w)w^{-2} = \sum_{n=-M}^{\infty} a_n w^n$$

with finitely many negative powers. Define

$$\text{Res}_{z=\infty}(f(z) dz) := -\text{Res}_{w=0}(F(w)w^{-2} dw).$$

This gives the global residue theorem on  $\mathbb{CP}^1$ :

$$\sum_{p \in \hat{\mathbb{C}}} \text{Res}_p(f(z) dz) = 0.$$

## 2.2 Step A.2: Finitely many poles and principal parts

Let  $f$  be meromorphic on  $\widehat{\mathbb{C}}$ . Since  $\widehat{\mathbb{C}}$  is compact and poles are isolated,  $f$  has only finitely many poles:

$$\{a_1, \dots, a_N\} \subset \mathbb{C} \cup \{\infty\}.$$

At each finite pole  $a_j \in \mathbb{C}$ ,  $f$  has a Laurent series:

$$f(z) = \sum_{n=-m_j}^{\infty} c_{j,n}(z - a_j)^n.$$

The *principal part* at  $a_j$  is

$$\text{PP}_{a_j}(f)(z) := \sum_{n=-m_j}^{-1} c_{j,n}(z - a_j)^n.$$

At  $\infty$  in coordinate  $w = 1/z$ ,

$$F(w) := f\left(\frac{1}{w}\right) = \sum_{n=-M}^{\infty} b_n w^n.$$

The principal part at  $\infty$  is

$$\text{PP}_{\infty}(f)(w) := \sum_{n=-M}^{-1} b_n w^n,$$

which corresponds to a polynomial in  $z$  because  $w^{-k} = z^k$ .

## 2.3 Step A.3: Build a rational function $R(z)$ from principal parts

Define

$$R(z) := P(z) + \sum_{j=1}^N \text{PP}_{a_j}(f)(z),$$

where  $P(z)$  is the polynomial corresponding to the principal part at  $\infty$ .

Concretely,

$$\text{PP}_{a_j}(f)(z) = \sum_{k=1}^{m_j} \frac{c_{j,-k}}{(z - a_j)^k},$$

and

$$P(z) = \sum_{k=1}^M \tilde{b}_k z^k.$$

So

$$R(z) = \sum_{k=1}^M \tilde{b}_k z^k + \sum_{j=1}^N \sum_{k=1}^{m_j} \frac{c_{j,-k}}{(z - a_j)^k}.$$

Each term is rational in  $z$ , so

$$R(z) \in \mathbb{C}(z).$$

By construction:

- At each finite pole  $a_j$ ,  $R$  has the same principal part as  $f$ .
- At  $\infty$ ,  $R$  has the same principal part as  $f$ .

## 2.4 Step A.4: Holomorphic difference $g = f - R$ and Liouville

Define

$$g(z) := f(z) - R(z).$$

**At finite points.** At each finite pole  $a_j$ , the principal parts of  $f$  and  $R$  cancel, so the Laurent expansion of  $g$  at  $a_j$  has no negative powers. Therefore  $g$  is holomorphic at  $a_j$ . At points where  $f$  is holomorphic, so is  $g$ . Hence  $g$  is holomorphic on all of  $\mathbb{C}$ .

**At infinity.** At  $\infty$ , in coordinate  $w = 1/z$ ,  $f$  and  $R$  have the same principal part at  $w = 0$ , so  $g(1/w)$  has a power series expansion with no negative powers. Thus  $g$  is holomorphic at  $w = 0$ , i.e. at  $z = \infty$ .

So  $g$  is holomorphic on the entire sphere  $\widehat{\mathbb{C}} = \mathbb{CP}^1$  (a compact Riemann surface). By the maximum modulus principle or Liouville's theorem,  $g$  is constant:

$$g(z) \equiv C \in \mathbb{C}.$$

Thus

$$f(z) = R(z) + C.$$

Since  $R(z)$  is rational in  $z$ , so is  $f(z)$ .

$\mathcal{M}(\mathbb{CP}^1) = \mathbb{C}(z).$

This is the *analytic* / “calculus” proof: we used differential forms  $f(z)dz$ , residues, contour integrals, and Liouville.

## 3 Part B: Algebraic / projective viewpoint on $\mathcal{M}(\mathbb{CP}^1)$

Now we describe the same fact algebraically, using homogeneous coordinates and maps of projective varieties.

### 3.1 Step B.1: Affine chart and rational functions

Consider the affine chart

$$U_1 = \{[z_0 : z_1] \in \mathbb{CP}^1 \mid z_1 \neq 0\},$$

with coordinate

$$z = \frac{z_0}{z_1} : U_1 \longrightarrow \mathbb{C}.$$

This identifies  $U_1 \cong \mathbb{C}$ . The remaining point  $[1 : 0]$  corresponds to  $\infty$ .

Any rational function in the affine coordinate  $z$ ,

$$R(z) = \frac{p(z)}{q(z)}, \quad p, q \in \mathbb{C}[z], \quad q \neq 0,$$

defines a map on  $U_1$  by

$$[z_0 : z_1] \mapsto [R(z_0/z_1) : 1],$$

with the convention that if  $R(z_0/z_1) = \infty$  we send to the point  $[1 : 0]$ . One checks this extends uniquely to a holomorphic map

$$F_R : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1.$$

### 3.2 Step B.2: Homogeneous polynomial description

Writing  $R(z) = p(z)/q(z)$  with  $\deg p, \deg q \leq m$ , define homogeneous polynomials of degree  $m$ :

$$P(z_0, z_1) = z_1^m p\left(\frac{z_0}{z_1}\right), \quad Q(z_0, z_1) = z_1^m q\left(\frac{z_0}{z_1}\right).$$

Then

$$F_R([z_0 : z_1]) = \begin{cases} [P(z_0, z_1) : Q(z_0, z_1)], & Q(z_0, z_1) \neq 0, \\ [1 : 0], & Q(z_0, z_1) = 0. \end{cases}$$

This is well-defined on projective space (scaling  $(z_0, z_1)$  multiplies  $(P, Q)$  by a common factor) and holomorphic. On the affine chart  $U_1$  this agrees with  $R(z)$ .

Conversely, any holomorphic map  $F : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1$  is given by a pair of homogeneous polynomials of the same degree, and on  $U_1$  its affine expression is a rational function in  $z$ .

Thus algebraically:

$$\mathcal{M}(\mathbb{CP}^1) = \{\text{meromorphic functions } \mathbb{CP}^1 \rightarrow \mathbb{CP}^1\} \cong \mathbb{C}(z).$$

## 4 Part C: General $X$ and the condition $\mathcal{M}(X) \cong \mathbb{C}(z)$

Now let  $X$  be an arbitrary compact Riemann surface. We consider its function field

$$\mathcal{M}(X) := \{\text{meromorphic functions on } X\}.$$

### 4.1 Step C.1: Non-constant meromorphic maps $X \rightarrow \mathbb{CP}^1$

Any non-constant meromorphic function  $f \in \mathcal{M}(X)$  gives a holomorphic map

$$f : X \rightarrow \mathbb{CP}^1$$

by the same recipe as before:

$$f(p) = \begin{cases} [f(p) : 1], & f(p) \text{ finite}, \\ [1 : 0], & f(p) = \infty. \end{cases}$$

This map is *finite*: for a generic point  $w \in \mathbb{CP}^1$ , the fiber  $f^{-1}(w)$  has finitely many points, counted with multiplicity. That number is called the *degree* of  $f$ ,  $\deg(f)$ .

Analytically, around a point  $p \in X$  where  $f$  is not critical, in local coordinates  $z$  on  $X$  and  $\zeta$  on  $\mathbb{CP}^1$ ,  $f$  looks like

$$\zeta = f(z) \approx z^k$$

for some  $k \geq 1$ ;  $k$  is the local degree at  $p$ .

### 4.2 Step C.2: Function field extension viewpoint

From algebraic geometry / field theory:

- The map  $f : X \rightarrow \mathbb{CP}^1$  induces an inclusion of function fields

$$f^* : \mathcal{M}(\mathbb{CP}^1) \hookrightarrow \mathcal{M}(X),$$

by pullback:  $R(z) \mapsto R(f)$ .

- This is an embedding of fields  $\mathbb{C}(z) \hookrightarrow \mathcal{M}(X)$ .
- The degree of the field extension  $[\mathcal{M}(X) : \mathbb{C}(z)]$  equals the degree of the map  $f$ :

$$[\mathcal{M}(X) : \mathbb{C}(z)] = \deg(f).$$

In particular:

If  $\mathcal{M}(X) \cong \mathbb{C}(z)$  as fields, any non-constant  $f : X \rightarrow \mathbb{CP}^1$  must have  $\deg(f) = 1$ .

Because  $\deg(f) = [\mathcal{M}(X) : \mathbb{C}(z)]$  and if  $\mathcal{M}(X) = \mathbb{C}(z)$ , the extension has degree 1.

### 4.3 Step C.3: Degree 1 map $X \rightarrow \mathbb{CP}^1$ is an isomorphism

If  $f : X \rightarrow \mathbb{CP}^1$  is a non-constant holomorphic map of compact Riemann surfaces with degree 1, then:

- $f$  is surjective (image of compact + open implies all of  $\mathbb{CP}^1$ ).
- $\deg(f) = 1$  means generically each point of  $\mathbb{CP}^1$  has exactly one preimage.
- One can show (using local behavior and the open mapping theorem) that  $f$  is a bijection.
- A bijective holomorphic map between compact Riemann surfaces has a holomorphic inverse (by the open mapping theorem + properness), so  $f$  is a biholomorphism.

Hence:

If there exists a meromorphic  $f : X \rightarrow \mathbb{CP}^1$  with  $\deg(f) = 1$ , then  $X \cong \mathbb{CP}^1$  as Riemann surfaces.

Combining with the field-extension fact:

$$\mathcal{M}(X) \cong \mathbb{C}(z) \implies \text{there exists } f : X \rightarrow \mathbb{CP}^1 \text{ with } \deg(f) = 1 \implies X \cong \mathbb{CP}^1.$$

### 4.4 Step C.4: Converse: if $X \cong \mathbb{CP}^1$ then $\mathcal{M}(X) \cong \mathbb{C}(z)$

Conversely, if  $X \cong \mathbb{CP}^1$  as Riemann surfaces, then by definition

$$\mathcal{M}(X) \cong \mathcal{M}(\mathbb{CP}^1) \cong \mathbb{C}(z).$$

So we have the equivalence:

$$X \cong \mathbb{CP}^1 \iff \mathcal{M}(X) \cong \mathbb{C}(z) \text{ (as fields)}.$$

## 5 Part D: Extra analytic structure (genus and differentials)

There is a deeper equivalence involving the *genus*  $g(X)$ .

- The genus  $g(X)$  is the “number of holes” of  $X$  as a surface. Topologically:  $g(\mathbb{CP}^1) = 0$ ,  $g(\mathbb{C}/\Lambda) = 1$ , etc.
- Analytically,  $g(X)$  equals the dimension of the space of holomorphic 1-forms on  $X$ :

$$g(X) = \dim_{\mathbb{C}} H^0(X, \Omega_X^1).$$

For  $\mathbb{CP}^1$ , there are no holomorphic 1-forms, so  $g(\mathbb{CP}^1) = 0$ .

One can prove the following classical equivalence:

For a compact Riemann surface  $X$ , the following are equivalent:

1.  $X \cong \mathbb{CP}^1$ .
2.  $g(X) = 0$  (no holomorphic 1-forms).
3.  $\mathcal{M}(X) \cong \mathbb{C}(z)$  as fields.

The equivalence (1)  $\Leftrightarrow$  (3) is what we just discussed in detail. The equivalence (1)  $\Leftrightarrow$  (2) can be seen via differential forms and the Riemann–Roch theorem. Intuitively:

- On  $\mathbb{CP}^1$ , every meromorphic 1-form has total number of zeros minus poles equal to  $-2$ , and there are no holomorphic ones (no poles).
- On higher-genus surfaces, there exist nontrivial holomorphic 1-forms, reflecting the topology of the surface (more “holes”).

### Summary in one sentence

- **Calculus / analytic side:** On the Riemann sphere, any meromorphic  $f$  has only finitely many poles, each with a Laurent expansion whose principal parts are given by contour integrals of  $f(z) dz$ . Subtracting a rational function  $R(z)$  built from those principal parts gives an entire function on the sphere, hence constant. So every meromorphic function is rational:  $\mathcal{M}(\mathbb{CP}^1) = \mathbb{C}(z)$ .
- **Algebraic side:** For a general compact Riemann surface  $X$ , non-constant meromorphic functions  $f : X \rightarrow \mathbb{CP}^1$  induce field embeddings  $\mathbb{C}(z) \hookrightarrow \mathcal{M}(X)$  and finite field extensions. If  $\mathcal{M}(X) \cong \mathbb{C}(z)$ , there is a degree-1 map  $X \rightarrow \mathbb{CP}^1$ , which must be a biholomorphism. Conversely, if  $X \cong \mathbb{CP}^1$ , then  $\mathcal{M}(X) \cong \mathbb{C}(z)$ .

So:

$$\boxed{\mathcal{M}(\mathbb{CP}^1) \cong \mathbb{C}(z) \quad \text{and} \quad \mathcal{M}(X) \cong \mathbb{C}(z) \iff X \cong \mathbb{CP}^1.}$$