

# Databases

## Lesson 06

### Transaction Models, Query Processing and Data Recovery

# Transaction

- Means execution of interrelated instructions in a sequence for a specific operation on a database
- Database transaction models must maintain data integrity and must enforce a set of rules called ACID rules

# ACID Rules

1. Atomicity
2. Consistency
3. Isolation
4. Durability

# 1. Atomicity

- All operations of a transaction must be complete
- In case, a transaction cannot be completed; it must be undone (rolled back)
- Operations in a transaction are assumed to be one indivisible unit (atomic unit)

## 2. Consistency

- A transaction must be such that it preserves the integrity constraints and follows the declared consistency rules for a given database
- Consistency means the data is not in a contradictory state after the transaction

# Consistency

- The amount transferred must be subtracted from account *A* and added into account *B*
- Consistency means that the sum total of the balances in accounts *A* and *B* is the same as it was before the transaction

# 3. Isolation

- If two transactions are carried out simultaneously, there should not be any interference between the two
- Further, any intermediate results in a transaction should be invisible to any other transaction

## 4. Durability

- After a transaction is completed, it must persist and cannot be aborted or discarded
- For example, in a transaction entailing transfer of a balance from account *A* to account *B*, once the transfer is completed and finished there should be no roll back



# ADO.NET (ActiveX Data Objects in .NET)

- BeginTransaction: It is used to begin a transaction.
- Any operation after BeginTransaction is assumed to be a part of the transaction till the CommitTransaction command or the RollbackTransaction command

# Auto-commit mode

- Means that the transaction is finished automatically even if an error occurs in between
- `set autocommit = 1`

# Query Processing

- During a transaction with a database, queries sent to read and get the records from the database
- Contacts and SavedNumbers
- Contacts stores the rows of records consisting of first character (firstChar) of name, contact-name (cName), and contact telephone number (cTelNum)

# Query Processing

- Querying of Record in Contacts by firstChar, cName, or cTelNum
- DialedNumbers stores the rows of records consisting of dialling sequence number (seqNum), time of call (cTime), and dialled telephone number (dTelNum). A record in DialedNumbers can be searched by seqNum, cTime, or dTelNum

# SQL Query

- `SELECT cName, cTelNum FROM  
Contacts, DialedNumbers WHERE  
Contacts.firstChar = "R" AND  
Contacts.cTelNum =  
DialedNumbers.dTelNum`

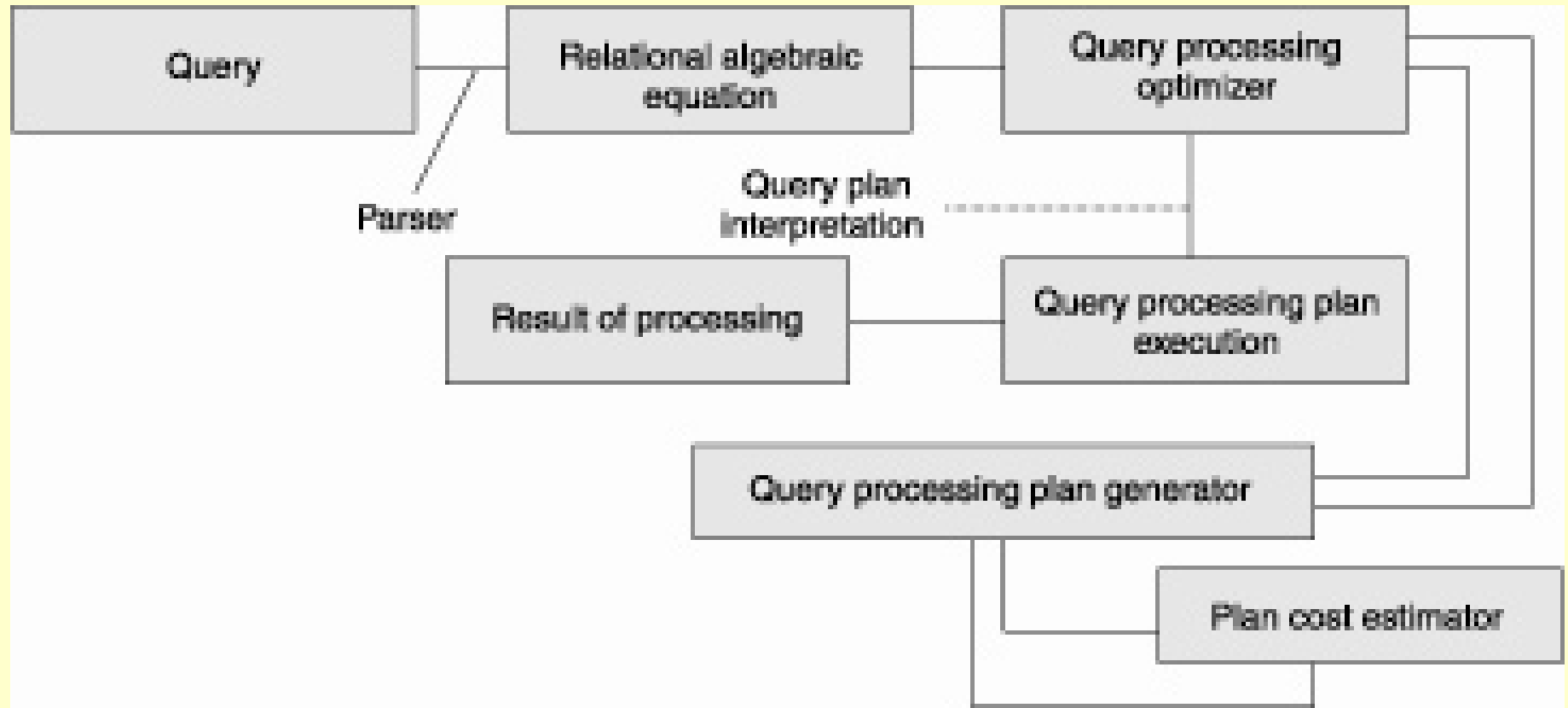
# Query processing

- Efficient processing of queries needs optimization of steps for query processing
- Query processing means making a correct as well as efficient execution strategy by *query decomposition* and *query-optimization*. A relational-algebraic equation defines a set of operations needed during query processing

# Queries optimization

- Based on cost (number of micro-operations in processing) by evaluating the costs of sets of equivalent expressions
- Based on a heuristic approach consisting of the following steps: perform the selection steps and projection steps as early as possible and eliminate duplicate operations

# Query processing architecture





# Number of reasons warranting database recovery

- Media failure
- System failure
- Transaction abortion
- Data destruction due to intentional external attack or due to unintentional (due to careless handling) user carelessness

# Number of reasons warranting database recovery

- Data may also be destroyed due to destruction of the physical media hoarding the data
- Logical program errors and a transaction may not materialize
- Finally, there may be loss of main memory due to system errors (hardware or software)

# Non-recoverable Data

- In case of media failure, intentional attack on the database and transactions logging data, or physical media destruction
- However, data recovery possible in other cases

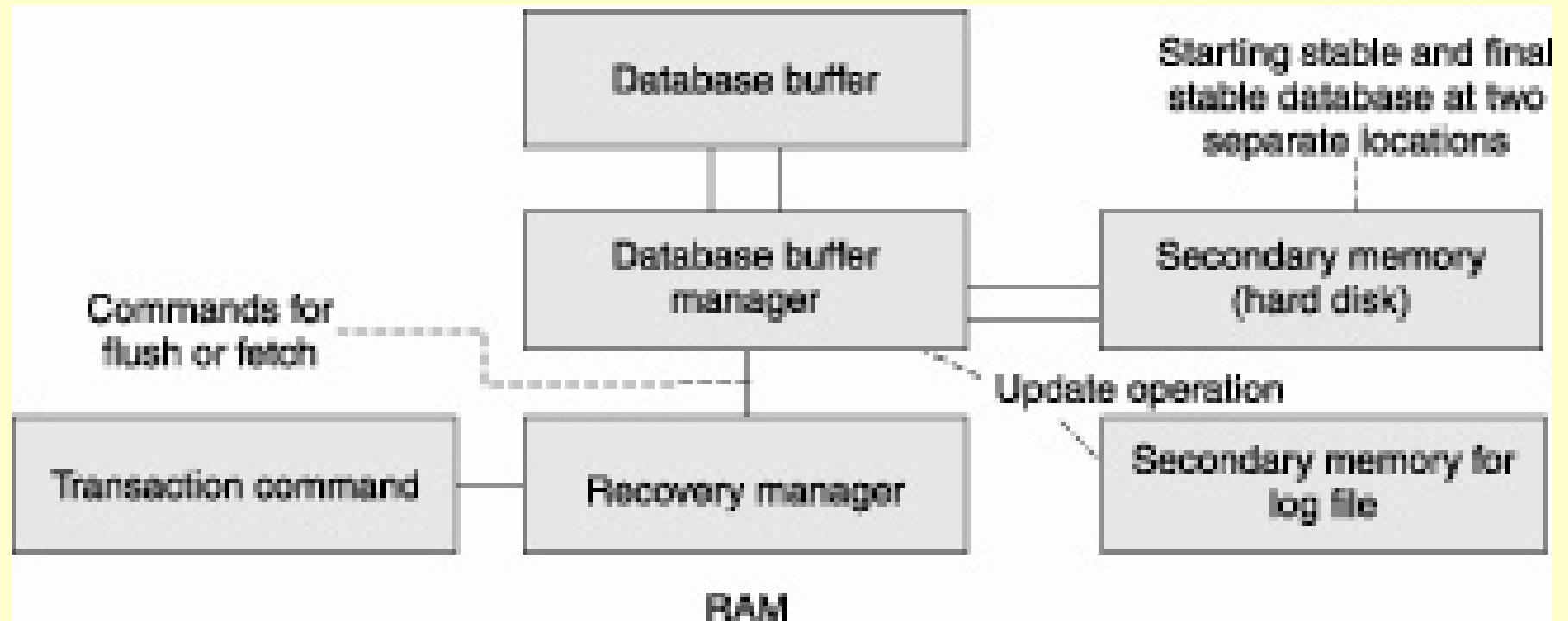
# Example

- Assume that transactions started at time  $t_0$  and system crash or failure occurs at  $t_0 + T$ .
- Assuming that transactions  $T_0$  to  $T_{n-1}$  are required to be completed in sequence  $T_0, T_1, T_2, \dots, T_{n-1}$ , the following cases are possible

# Example

- Case 1: Last transactions incomplete
- Case 2: Initial and Last transactions incomplete

# Recovery Management architecture



# Recovery Manager

- Recovers or aborts a transaction using the logged entries

# Recovery manager log file

- Each instruction for a transaction for update (insertion, deletion, replacement, and addition) logged.
- Database read instructions are not logged
- Log files stored at a different storage medium
- Log entries flushed out after the final stable state database is stored



## Logged entry Fields

- Transaction type (begin, commit, or rollback transaction)
- Transaction ID
- Operation-type
- Object on which the operation performed
- Pre-operation and post-operation values of the object

# Check Point based Recovery

- Uses the checkpoints for operations on the data during a set of transactions
- Recovery always made by back-scanning the logged records
- A checkpoint-based data recovery procedure defines the stage, up to which the back-scanning of logged operations in the secondary storage is to be done

# Recovery Models

- Full recovery model
- Bulk logged recovery model
- Simple recovery model

# Summary

- Atomicity in transactions
- Consistency in transactions
- Isolation in transactions
- Durability in Transactions
- Query
- Query processing
- Query Optimization

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## ... Summary

- Data recovery Model
- Recovery manager
- Check Points
- Logged Fields help in recovery

# **End of Lesson 06**

## **Transaction Models, Query Processing and Data Recovery**