

Physical Layer

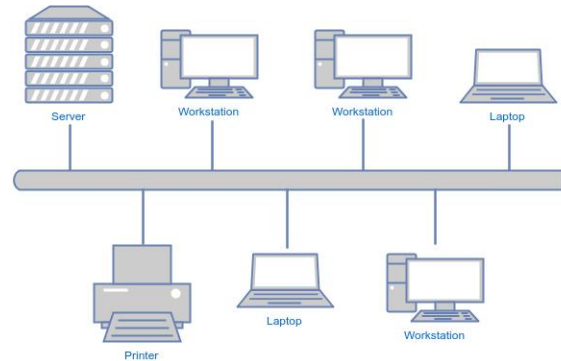
Responsibilities and Services

Provide following services to upper layer protocols:

- Define network topology
- Converts bit into signal and vice versa.
- Defining the medium of communication
 - Guided Medium (Wired)
 - Unguided Medium (Wireless)
- Defining interface type
 - RS232
 - RJ45 etc.
- Facilitate parallel as well as serial communication

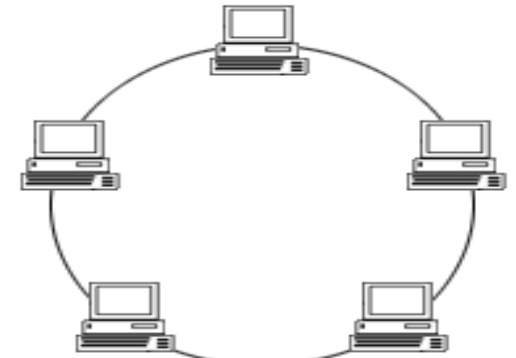
Network Topology

- Bus
- Ring
- Star
- tree
- Mesh
- Combination of above all

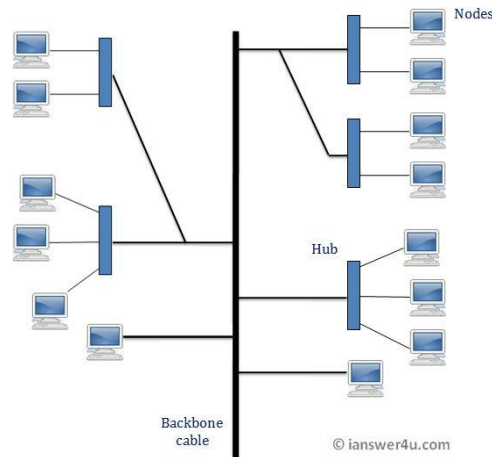
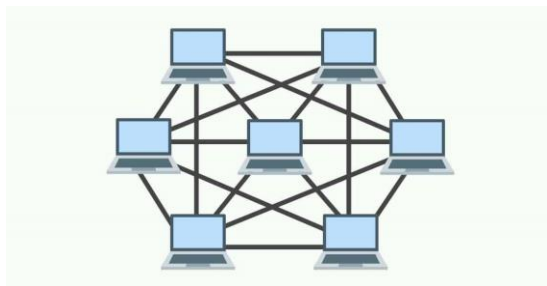


Bus Topology Network

Ring Topology

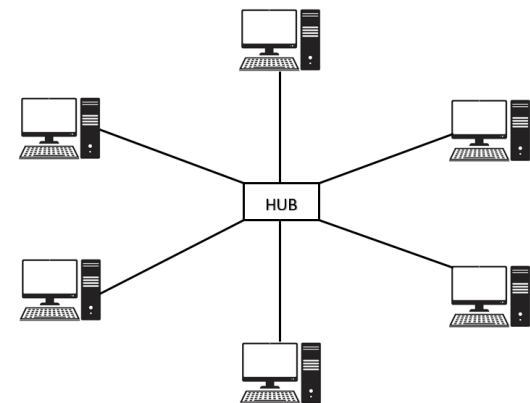


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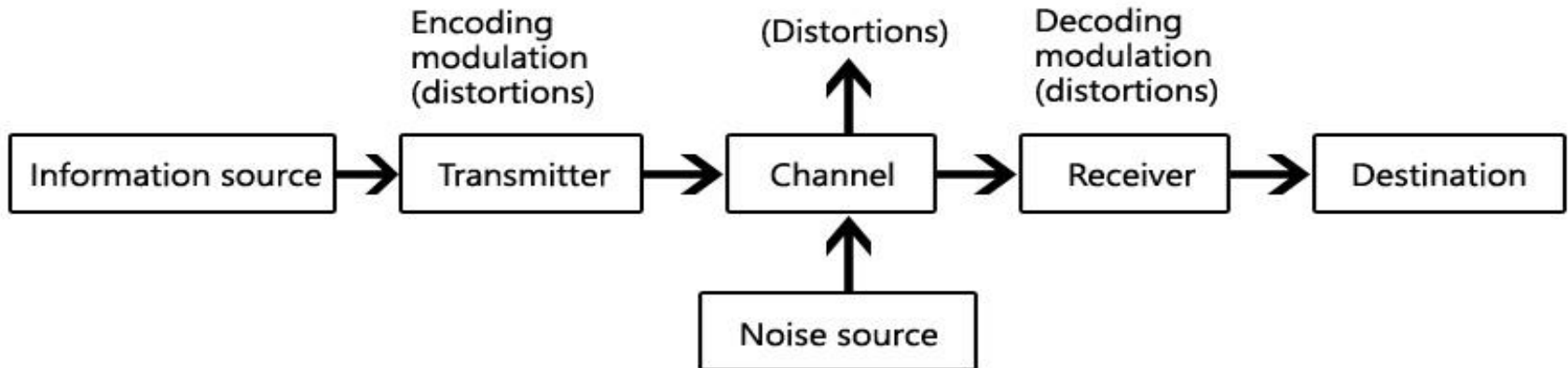


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Star Topology

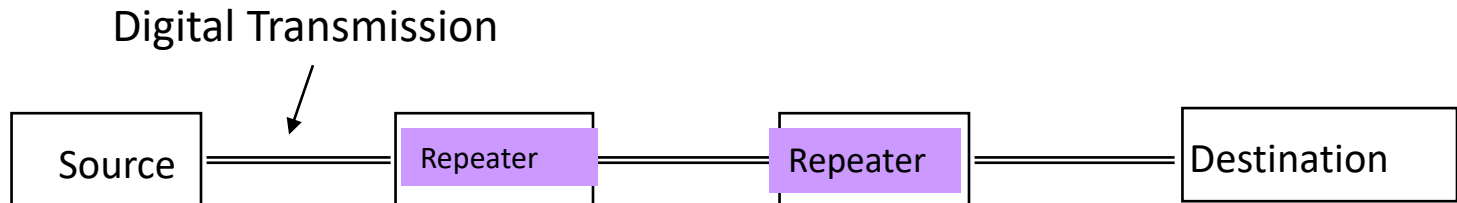
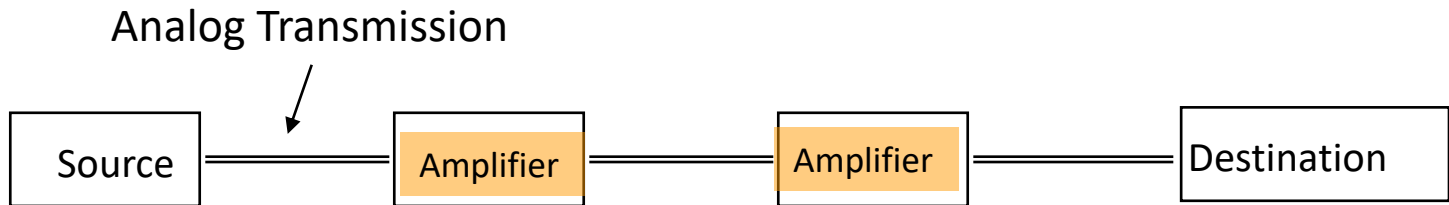


Communication Basics



- Communication means transfer of data from one place to another.
- To transfer the data, signals are sent from one place to another over either wired medium or wireless medium.
- A signal is a physical representation of data which will be a function of time and space.

Communication Basics



Communication Channel

- A logical point to point connection between source and destination.
- So far we have assumed one channel per connection.
- What if there is more bandwidth available than that required by a single user then we can create more than one channel from the available bandwidth by the use of multiplexing techniques for example:
 - Frequency division multiplexing (FDM)
 - Time division multiplexing (TDM)
 - Code division multiplexing (CDMA)
 - Orthogonal frequency division multiplexing (OFDM) etc.

Type of communication

- Digital data and analog signal (Computer to internet communication through modem, mobile communication)
- Digital data and digital signal (LAN)
- Analog data and analog signal (Telephone)
- Analog data and digital signal (Voice over internet)

Bandwidth vs. Spectrum

Bandwidth: it is defined for the wired medium and depends upon the number of frequencies that the medium allows to pass through it. ($f_{\max} - f_{\min}$ Hz)

Spectrum: Part of electromagnetic spectrum allowed by the regulatory bodies to use.

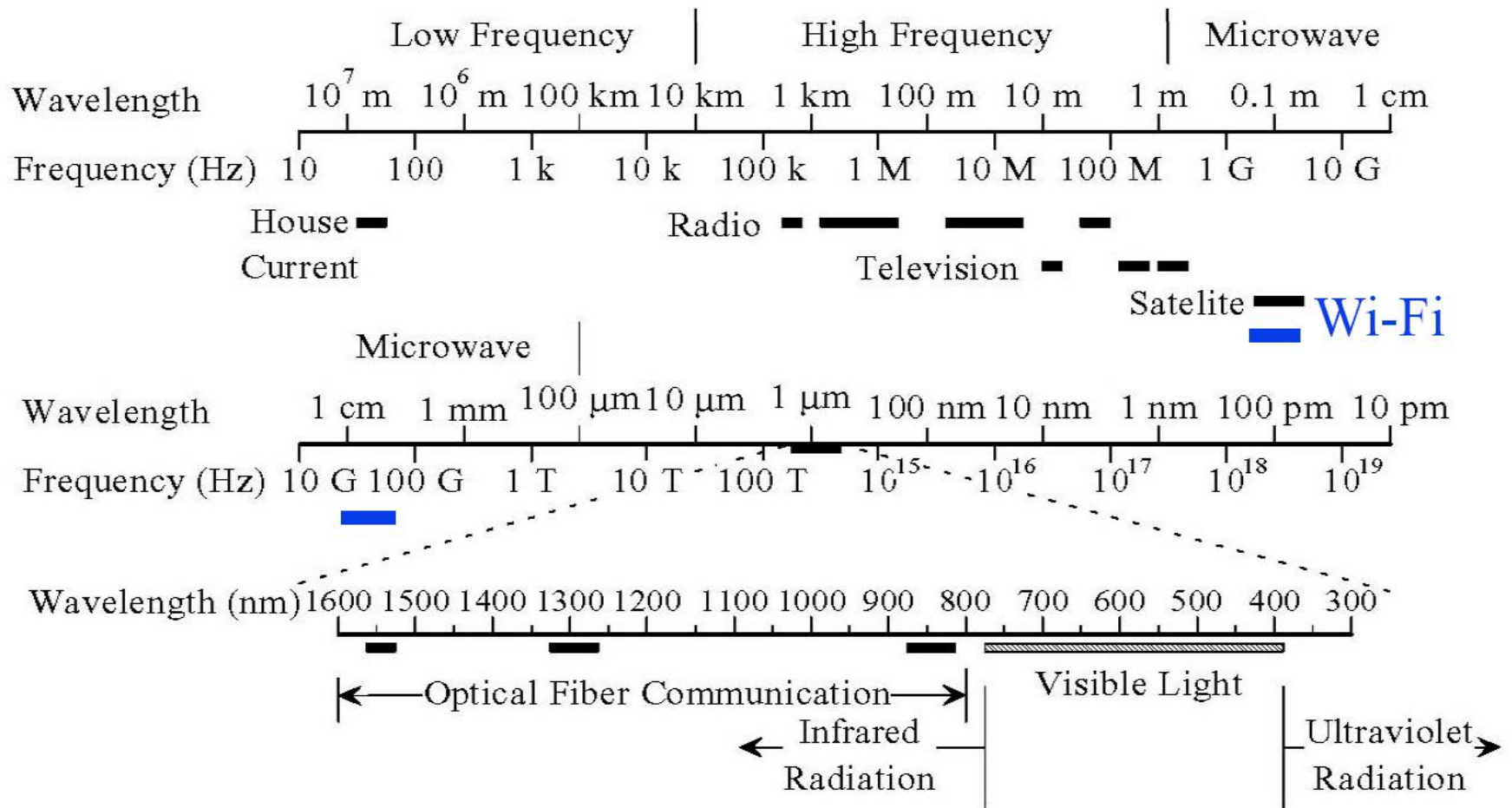
Physical Layer definitions

- the time required to transmit a character depends on both the **encoding method** and the **signaling speed** (i.e., the modulation rate - the number of times/sec the signal changes its voltage)
- **baud (D)** - the number of changes per second
- **bandwidth (H)** - the range of frequencies that is passed by a channel. The transmitted signal is constrained by the transmitter and the nature of the transmission medium in cycles/sec (hertz)
- **channel capacity (C)** – the rate at which data can be transmitted over a given channel under given conditions. {This is also referred to as **data rate (R)** .}

Transmission Medium

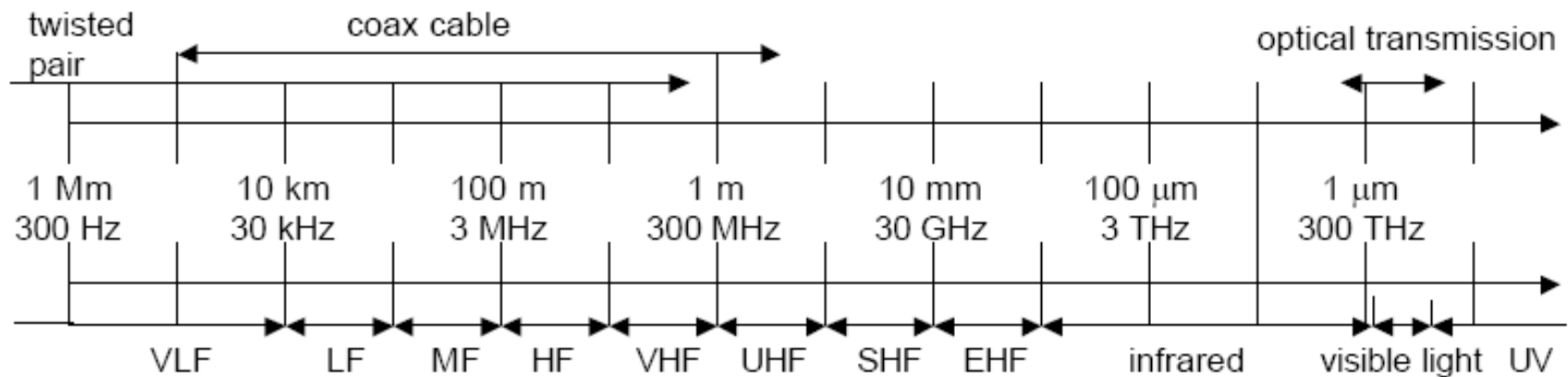
- Wired (Guided Medium)
 - Twisted pairs (10^4 to 10^8 Hz) (10 KHz to 100 MHz) (Mbps) (~10 Kms)
 - Unshielded Twisted Pair (10^4 to 10^8 Hz) (10 KHz to 100 MHz) (Gbps) (~10 Kms)
 - Coaxial cables (10^5 to 10^9 Hz) (100 KHz to 1 GHz) (Gbps) (~10 Kms)
 - Fiber Lines Coaxial cables (10^{14} to 10^{15} Hz) (100 THz to 1000 THz) (> Gbps) (~100 Kms)
- Wireless (Part of electromagnetic spectrum) (Unguided Medium)
 - Radio waves (10^4 to 10^8 Hz) (10 KHz to 100 MHz)
 - Microwaves (10^8 to 10^{10} Hz) (100 MHz to 10 GHz)
 - Infrared (10^{11} to 10^{14} Hz) (100 GHz to 100 THz)
 - Visible Light

Frequencies for Communication



□ Wireless communication uses 100 kHz to 60 GHz

Frequencies for Communication



VLF = Very Low Frequency

LF = Low Frequency

MF = Medium Frequency

HF = High Frequency

VHF = Very High Frequency

UHF = Ultra High Frequency

SHF = Super High Frequency

EHF = Extra High Frequency

UV = Ultraviolet Light

Frequency and wave length:

$$\lambda = c/f$$

wave length λ , speed of light $c \cong 3 \times 10^8 \text{ m/s}$, frequency f



Frequencies for Communication

- VLF, LF, MF HF not used for wireless
- VHF-/UHF-ranges for mobile radio
 - simple, small antenna for cars
 - deterministic propagation characteristics, reliable connections
- SHF and higher for directed radio links, satellite communication
 - small antenna, beam forming
 - large bandwidth available
- Wireless LANs use frequencies in UHF to SHF range
 - some systems planned up to EHF
 - limitations due to absorption by water and oxygen molecules (resonance frequencies)
 - weather dependent fading. (E.g. signal loss caused by heavy rain)

What is a signal

- A signal is an electrical and electromagnetic encoding of data.
- Signal can be of two types:
 - Digital Signal (discrete time and discrete values)
 - Analog Signal (continuous time and continuous values)
- Digital signals are generated by the use of encoding techniques.
- Analog signals are generated by the use of modulation techniques.

Analog and Digital Signaling Comparison

- Digital signaling is:
 - Cheaper
 - Less susceptible to noise interference
 - Suffers more attenuation.

Analog Transmissions

Analog transmission :: a means of transmitting analog signals *without regard to their content* (i.e., the signals may represent analog data or digital data).

transmissions are attenuated over distance.

Analog signal – the analog transmission system uses **amplifiers** to boost the energy in the signal.

Digital Transmissions

Digital transmissions are concerned with the content of the signal.

Attenuation is overcome without amplifying the noise.

Analog signals {*assumes digital data*}:

With retransmission devices [analog repeater] at appropriate points the device recovers the digital data from the analog signal and generates a new clean analog signal.

the noise is not cumulative!!

Digital Transmissions

digital signals – digital repeaters are used to attain greater distances.

The digital repeater receives the digital signal, recovers the patterns of 0's and 1's and retransmits a new digital signal.

The treatment is the same for analog and digital data.

Signal Parameter

- Parameters of periodic signals:
 - period T ,
 - frequency $f=1/T$,
 - amplitude A ,
 - phase shift θ

Signal Parameter (contd.)

A sine wave as special periodic signal for a carrier can be represented as:

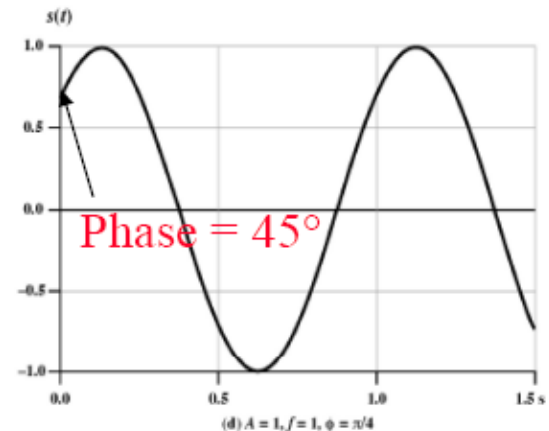
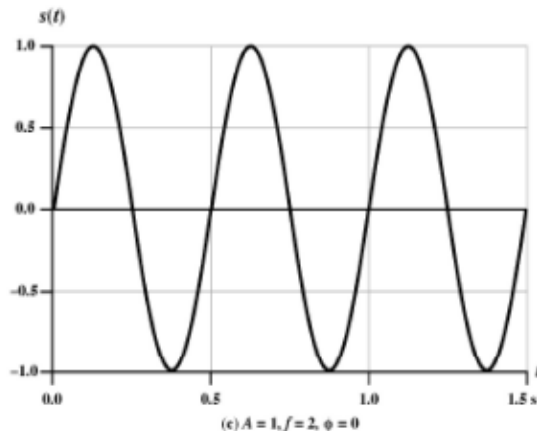
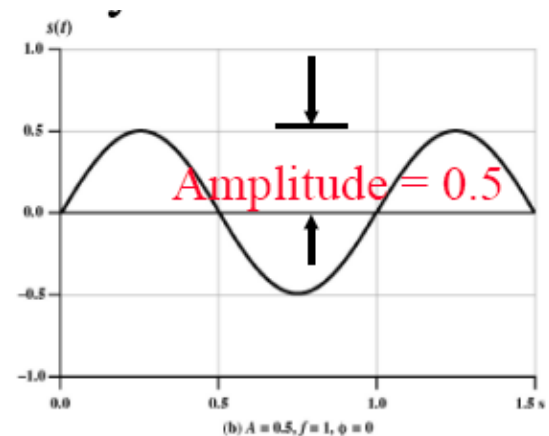
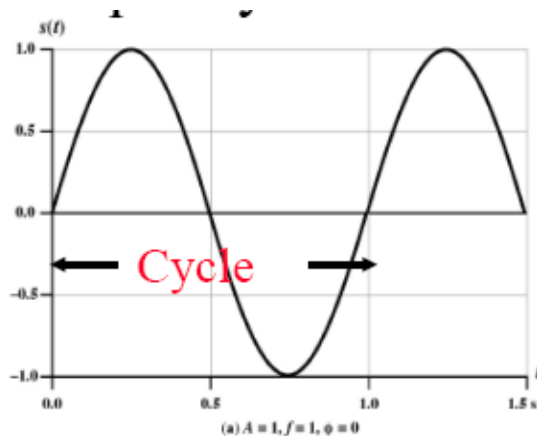
$$A \sin(2\pi ft + \theta)$$

A = Amplitude,

f = Frequency, θ = Phase,

Period $T = 1/f$,

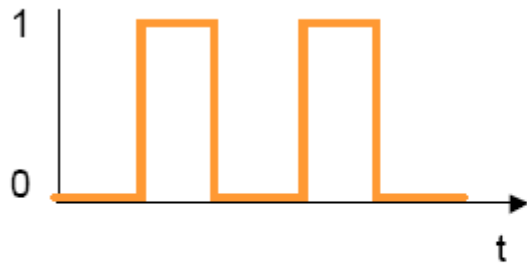
- Frequency is measured in Cycles/sec or **Hertz**



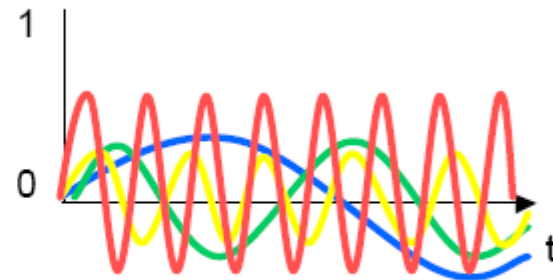
Signal Parameter (contd.)

Fourier representation of a periodic signal:

$$g(t) = \frac{1}{2}c + \sum_{n=1}^{\infty} a_n \sin(2\pi nft) + \sum_{n=1}^{\infty} b_n \cos(2\pi nft)$$



ideal periodic signal



real composition
(based on harmonics)

Signal Parameter (contd.)

Wavelength:

- Distance occupied by one cycle
- Distance between two points of corresponding phase in two consecutive cycles

– Wavelength = λ

– Assuming signal velocity v

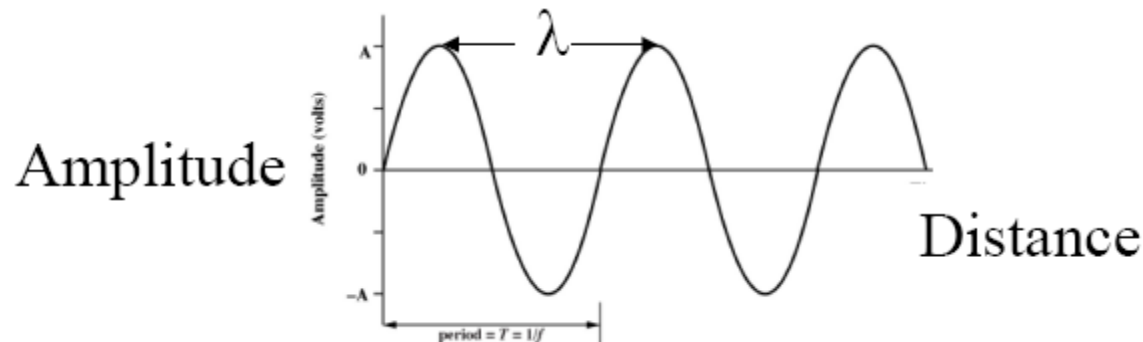
(Wavelength) $\lambda = vT$

$T = 1/f$

$\lambda f = v$

$\lambda = v/f \quad \Rightarrow \text{higher the frequency, lower the wavelength}$

$v = c = 3 \times 10^8 \text{ m/s (speed of light in free space)} = 300 \text{ m}/\mu\text{s}$

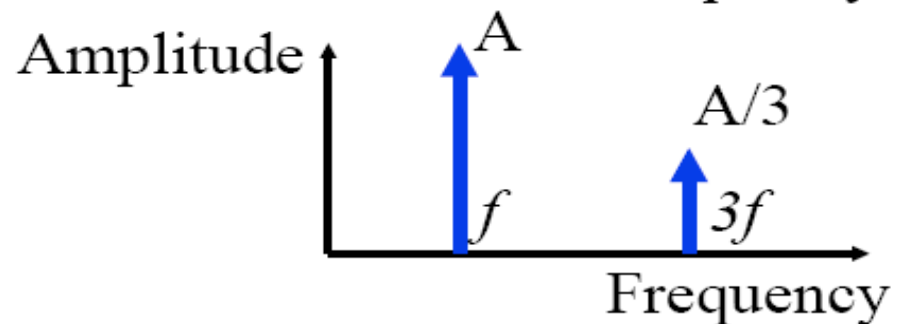
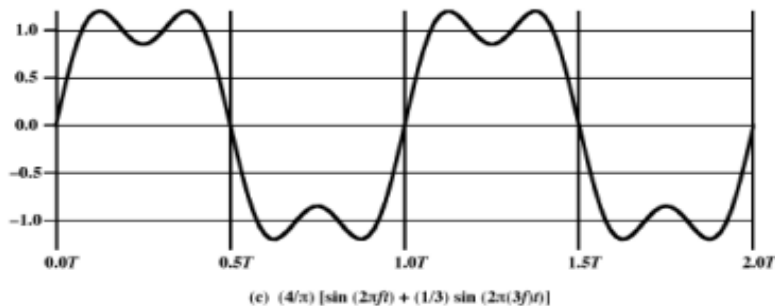
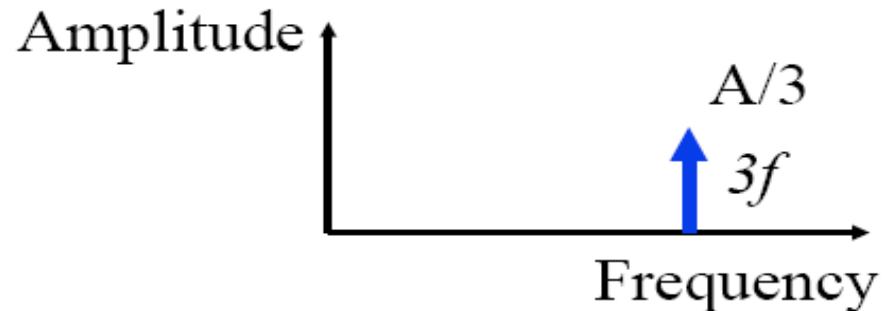
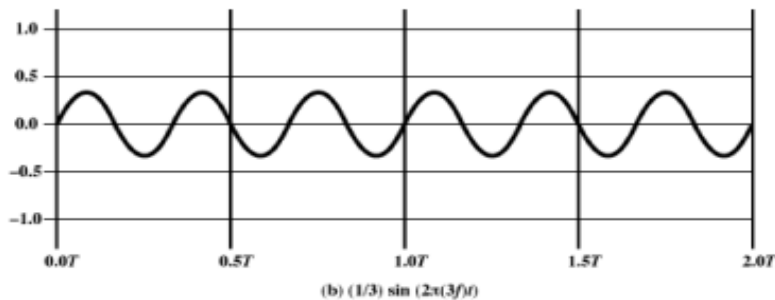
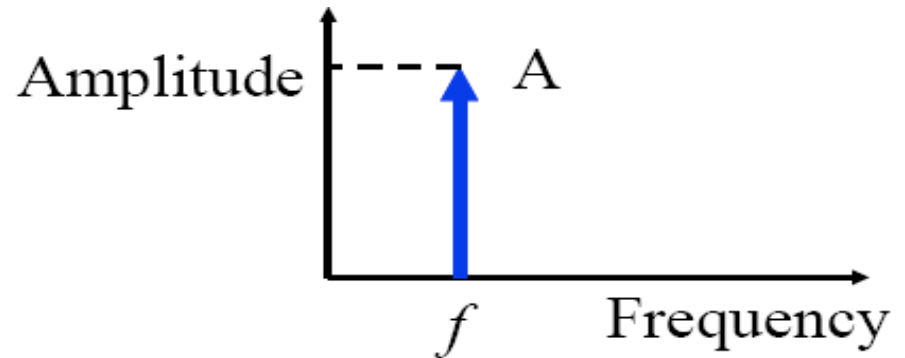
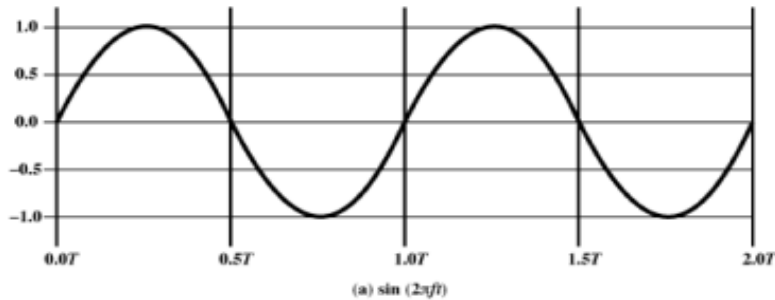


Example

- Frequency = 2.5 GHz

$$\begin{aligned}\text{Wavelength} = \lambda &= \frac{c}{f} \\ &= \frac{300 \text{ m}/\mu\text{s}}{2.5 \times 10^9} \\ &= 120 \times 10^{-3} = 120 \text{ mm} = 12 \text{ cm}\end{aligned}$$

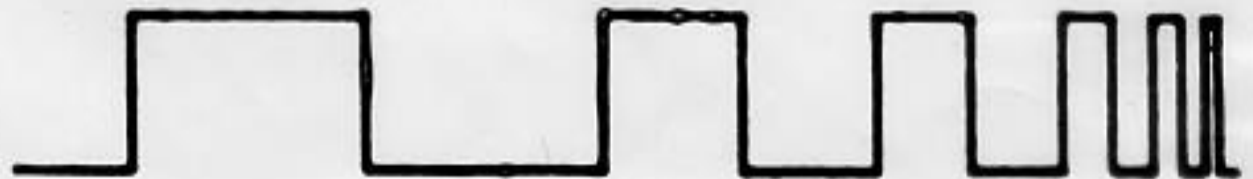
Time and Frequency Domain Representations



Transmission Impairments

- Attenuation
- Delay distortions (Delay spread)
- Noise
- Interference
- Multipath Fading

**Voltage At
Transmitting End**

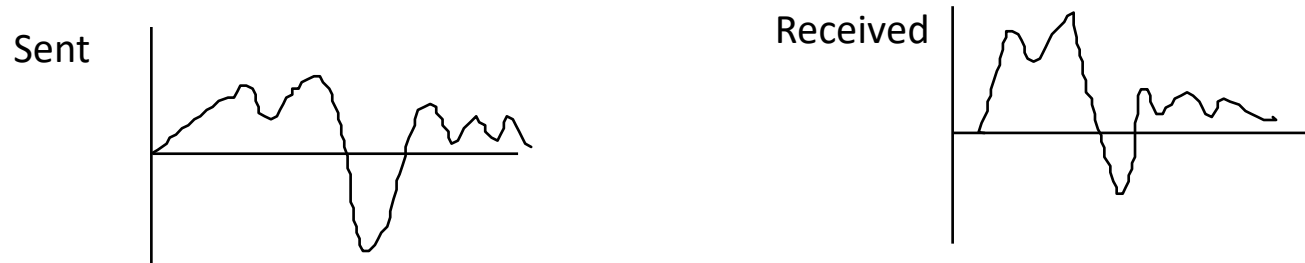


**Voltage At
Receiving End**



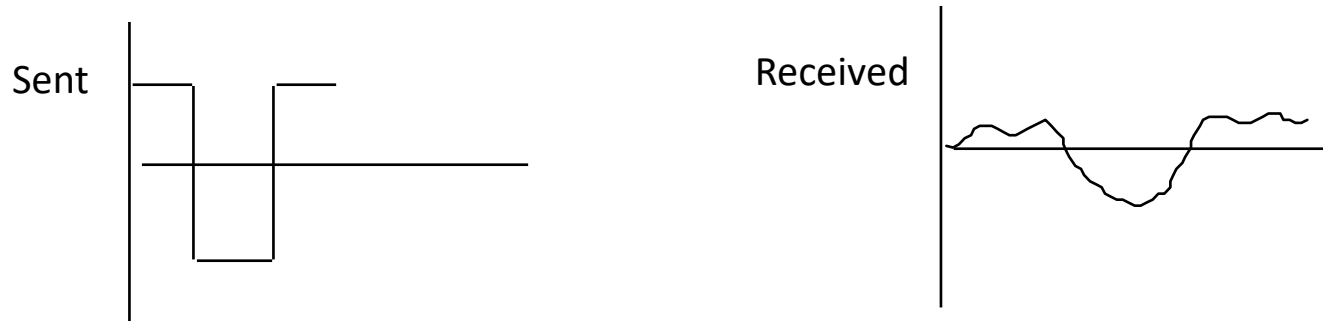
FIGURE 2.1 Attenuation of Digital Signals

(a) Analog transmission: all details must be reproduced accurately



- e.g. AM, FM, TV transmission

(b) Digital transmission: only discrete levels need to be reproduced



- e.g digital telephone, CD Audio

Nyquist Theorem

{assume a noiseless channel}

If an arbitrary signal is run through a channel of bandwidth **H**, the signal occupy **V** discrete levels, then the maximum data rate obtained over the channel can be computed as

$$\text{Max. data rate} :: C = 2H \log_2 (V) \text{ bits/sec.}$$

The Nyquist theorem says that the signal can be *completely* reconstructed by making **2H** samples/sec.

Note – a higher sampling rate is pointless because higher frequency signals have been filtered out.

Voice-grade phone line

Example 1. {sampling rate}

$$H = 4000 \text{ Hz}$$

$$2H = 8000 \text{ samples/sec.}$$

→ sample every 125 microseconds!!

Example 2. {noiseless capacity}

$$D = 2400 \text{ baud } \{\text{note } D = 2H\}$$

$$V = \text{each pulse encodes } 16 \text{ levels}$$

$$C = 2H \log_2 (V) = D \times \log_2 (V)$$

$$= 2400 \times 4 = 9600 \text{ bps.}$$

Shannon's Channel Capacity Result

{assuming only thermal noise}

For a noisy channel of bandwidth **H** Hz. and a signal-to-noise ratio **SNR**, the max. data rate:

$$C = H \log_2 (1 + \text{SNR})$$

Regardless of the number of signal levels used and the frequency of the sampling.

Signal to Noise Ratio

$$SNR_{dB} = 10 \log_{10} \frac{SignalPower}{NoisePower}$$

Shannon Example – Noisy Channel

[LG&W p. 110]

Telephone channel (3400 Hz) at 40 dB SNR

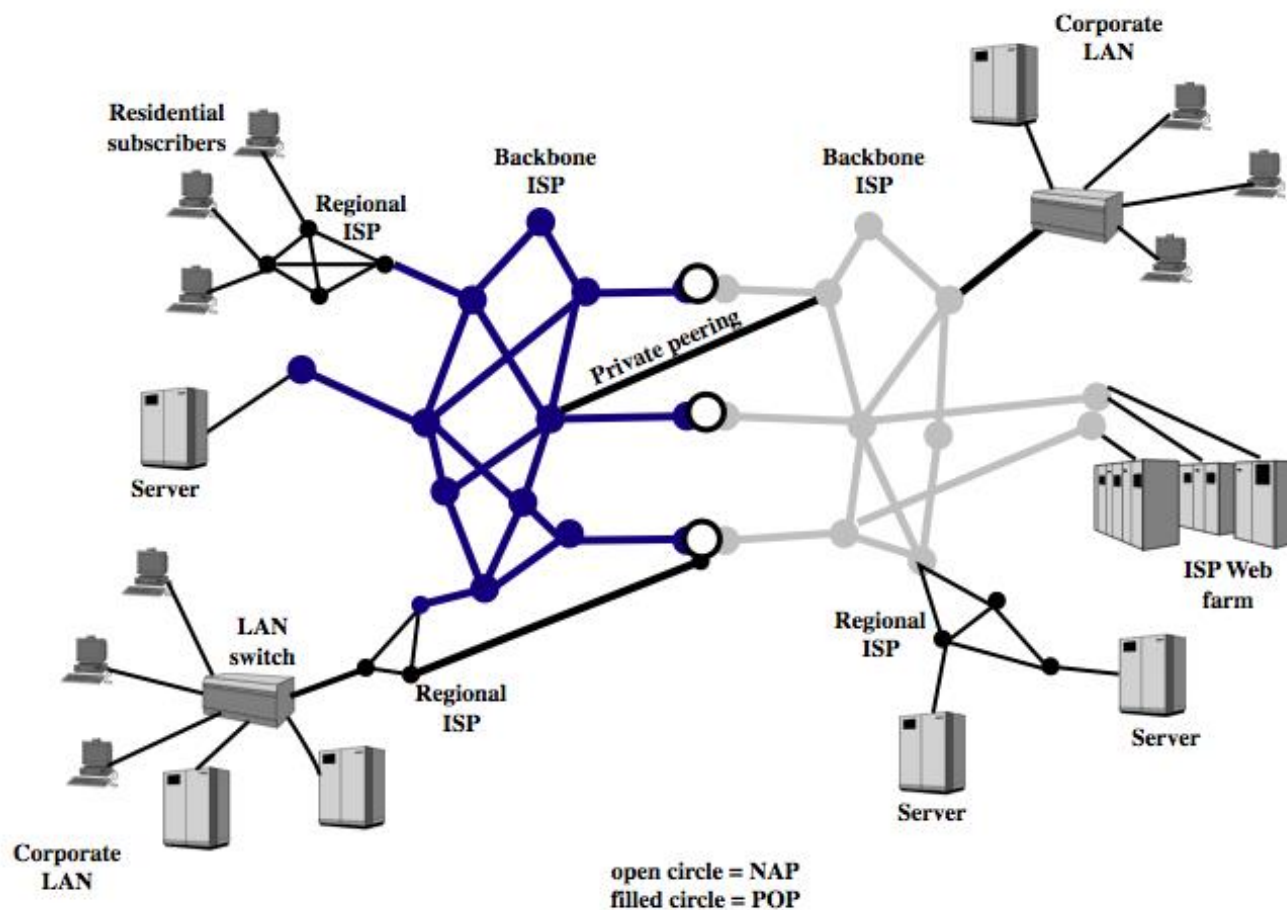
$$C = H \log_2 (1 + \text{SNR}) \text{ b/s}$$

$$\text{SNR} = 40 \text{ dB} ; 40 = 10 \log_{10} (\text{SNR}) ;$$

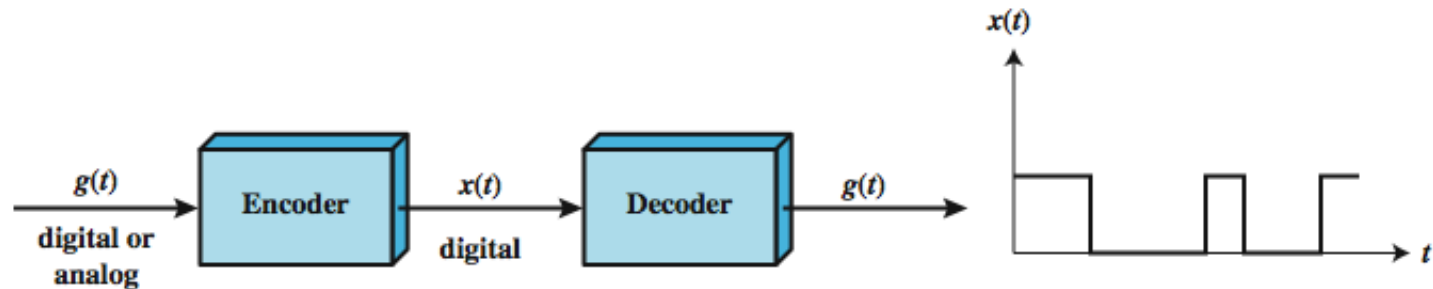
$$4 = \log_{10} (\text{SNR}) ; \text{SNR} = 10,000$$

$$C = 3400 \log_2 (10001) = 44.8 \text{ kbps}$$

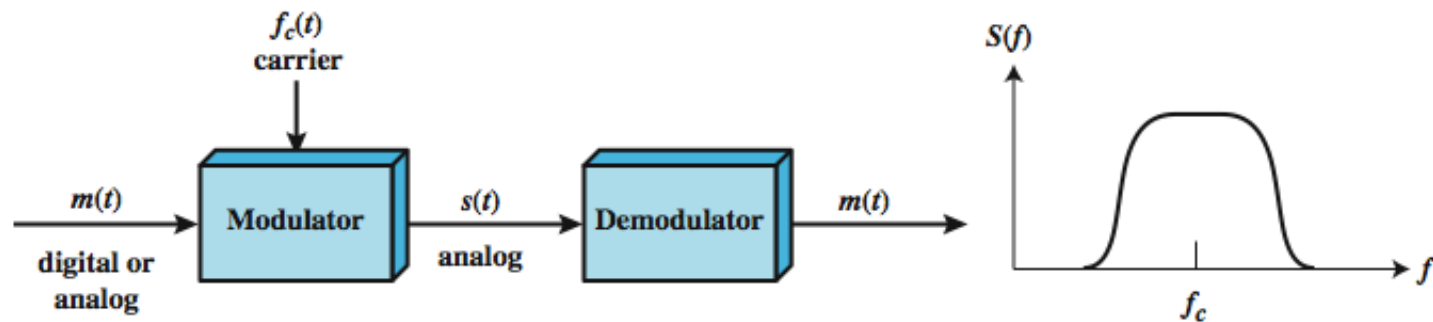
Internet Architecture



Signal Encoding Techniques



(a) Encoding onto a digital signal

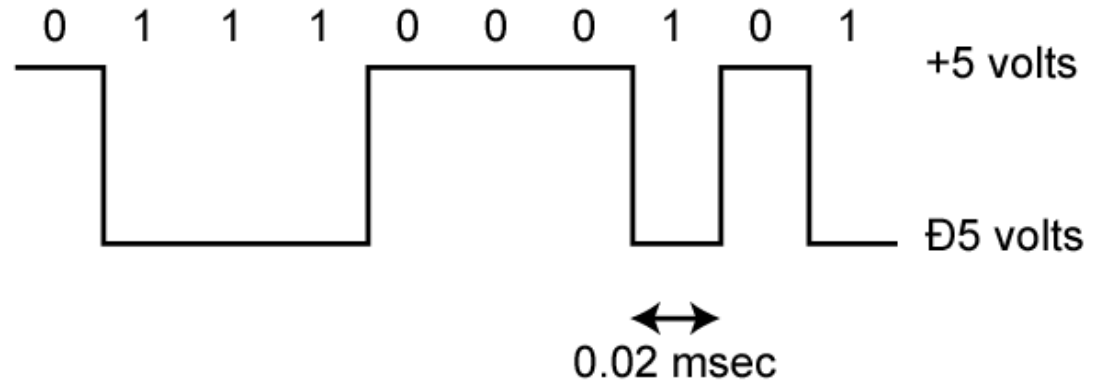


(b) Modulation onto an analog signal

Figure 5.1 Encoding and Modulation Techniques

Digital Data, Digital Signal

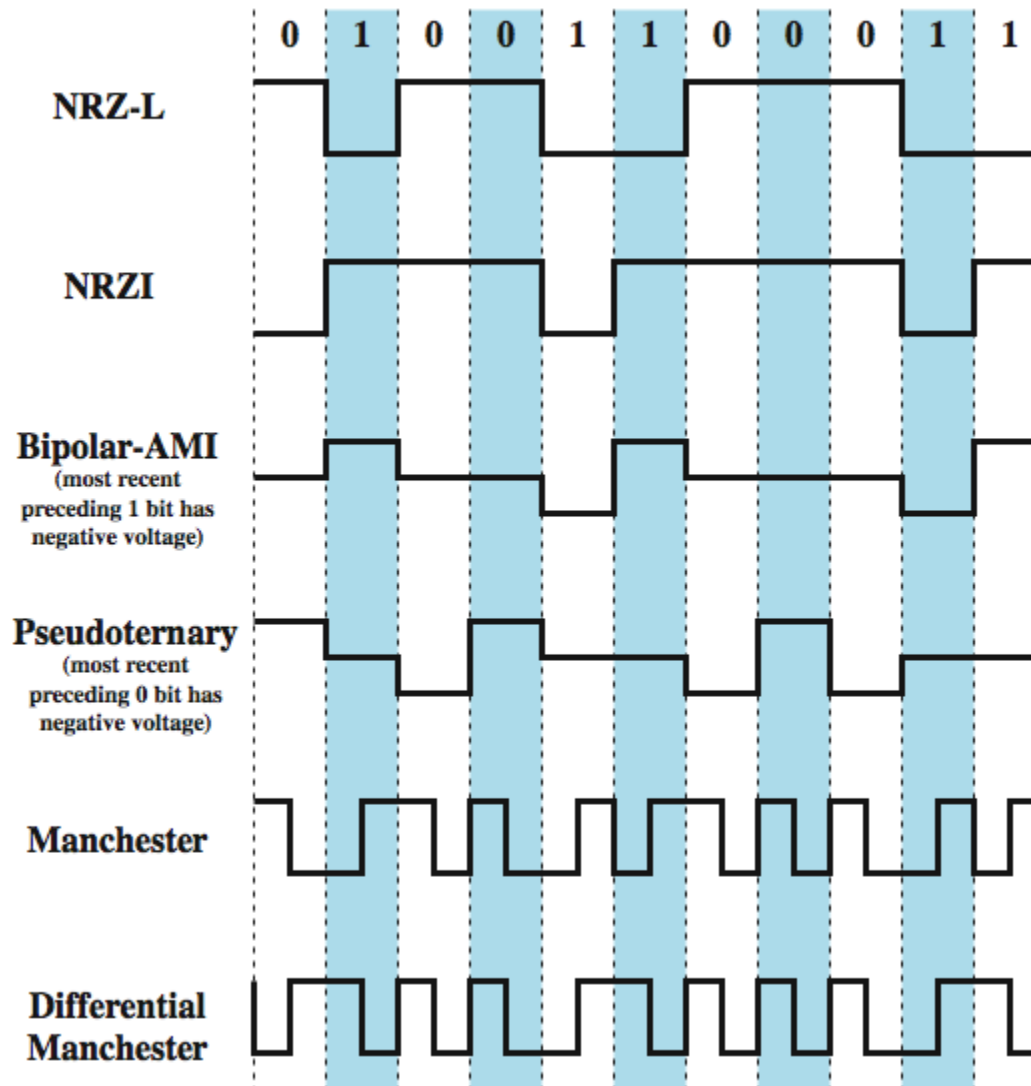
- Digital signal
 - discrete, discontinuous voltage pulses
 - each pulse is a signal element
 - binary data encoded into signal elements



Comparison of Encoding Schemes

- clocking
- error detection
- signal interference and noise immunity (BER)
- cost and complexity (Hardware implementation and no. of signals required to represent 1 bit information)
- Net DC Component present in the signal

Encoding Schemes



Nonreturn to Zero-Level (NRZ-L)

- two different voltages for 0 and 1 bits

Rule

0: High voltage level

1: Low voltage level

Data stream: 0 0 0 0 1 0 1 0 1 1 1 0 1 0

No Return to Zero Inverted (Differential Encoding)

- no return to zero inverted on ones
- constant voltage pulse for duration of bit
- data encoded as presence or absence of signal transition at beginning of bit time

1: transition (low to high or high to low) at the start of the bit interval

0: no transition at the start of the bit interval

Data stream: 0 0 0 0 1 0 1 0 1 1 1 0 1 0

NRZ Pros & Cons

- Pros
 - easy to engineer
 - make good use of bandwidth
- Cons
 - dc component
 - lack of synchronization capability
- used for magnetic recording
- not often used for signal transmission

Multilevel Binary

Bipolar-AMI

- Use more than two levels
- Bipolar-AMI

0: represented by no line signal (0 Voltage)

1: one represented by alternate positive and negative pulse

– Data stream: 0 0 0 0 1 0 1 0 1 1 1 0 0 0 0 0 0 0 0 0 0

- Pros:
 - no loss of sync if a long string of ones
 - long runs of zeros still a problem
 - no net dc component
 - lower bandwidth
 - easy error detection

Multilevel Binary Pseudoternary

- Same as Bipolar – AMI
 - 1: represented by no line signal (0 Voltage)
 - 0: one represented by alternate positive and negative pulse
- Data stream: 0 0 0 0 1 0 1 0 1 1 1 0 0 0 0 0 0 0 0 0 0
- Both Bipolar AMI and Pseudoternary used in some applications.

Multilevel Binary Issues

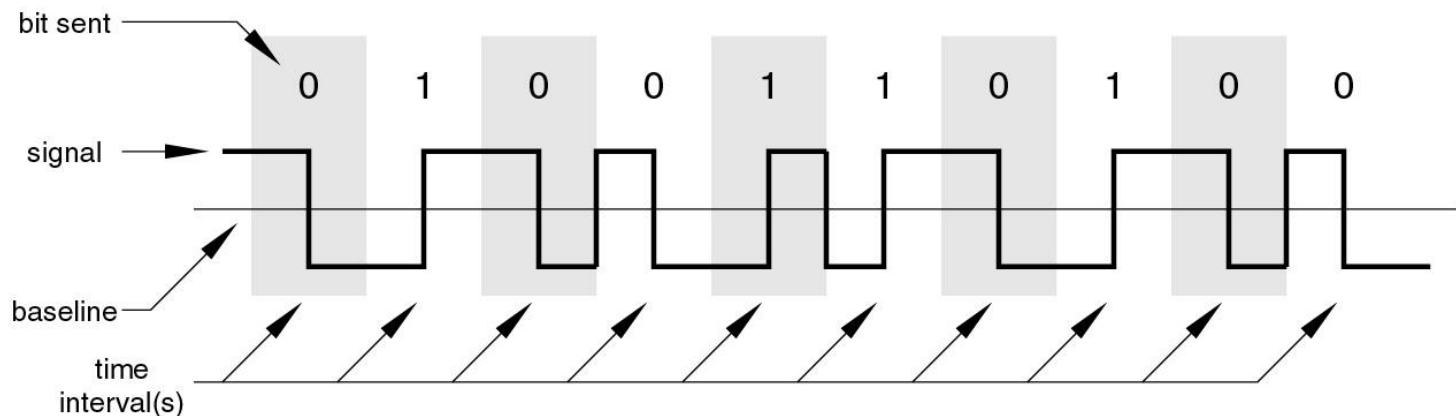
- synchronization with long runs of 0's or 1's
- not as efficient as NRZ
 - each signal element only represents one bit
 - receiver distinguishes between three levels: +A, -A, 0
 - a 3 level system could represent $\log_2 3 = 1.58$ bits
 - requires approx. 3dB more signal power for same probability of bit error
- Examples:
 - Manchester and
 - Differential Manchester Encoding

Manchester Encoding

Rule

- has transition in middle of each bit period
- transition serves as clock and data
- 1: Low to high transition at the middle of the bit interval
- 0: High to low transition at the middle of the bit interval
- used by IEEE 802.3 (Ethernet)

Manchester Encoding

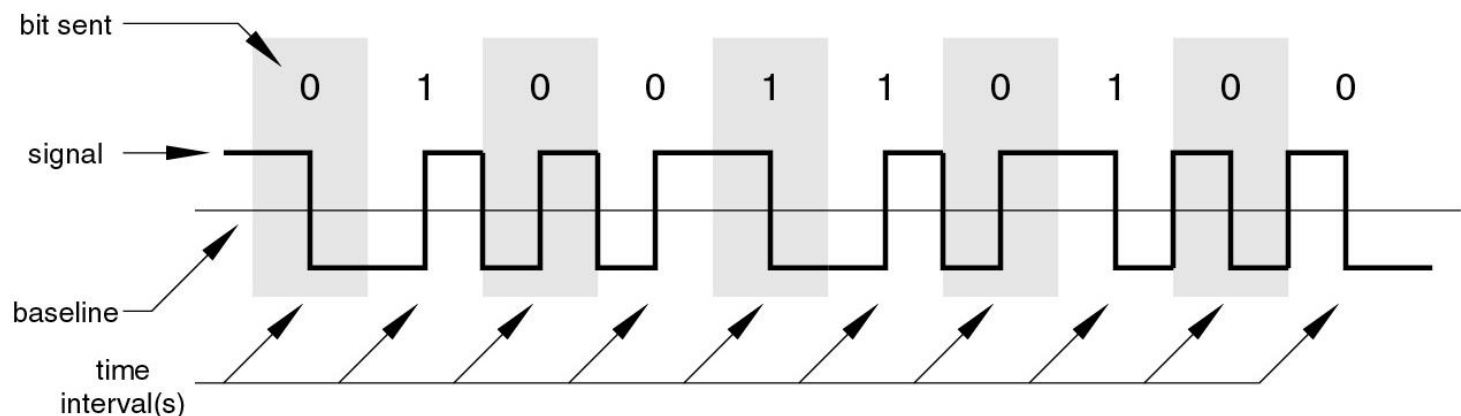


Differential Manchester Encoding

Rule

- 0: transition at start of bit period
- 1: no transition at start of bit period
- Always a transition at the middle of bit interval is clocking only
 - this is a differential encoding scheme
 - used by IEEE 802.5

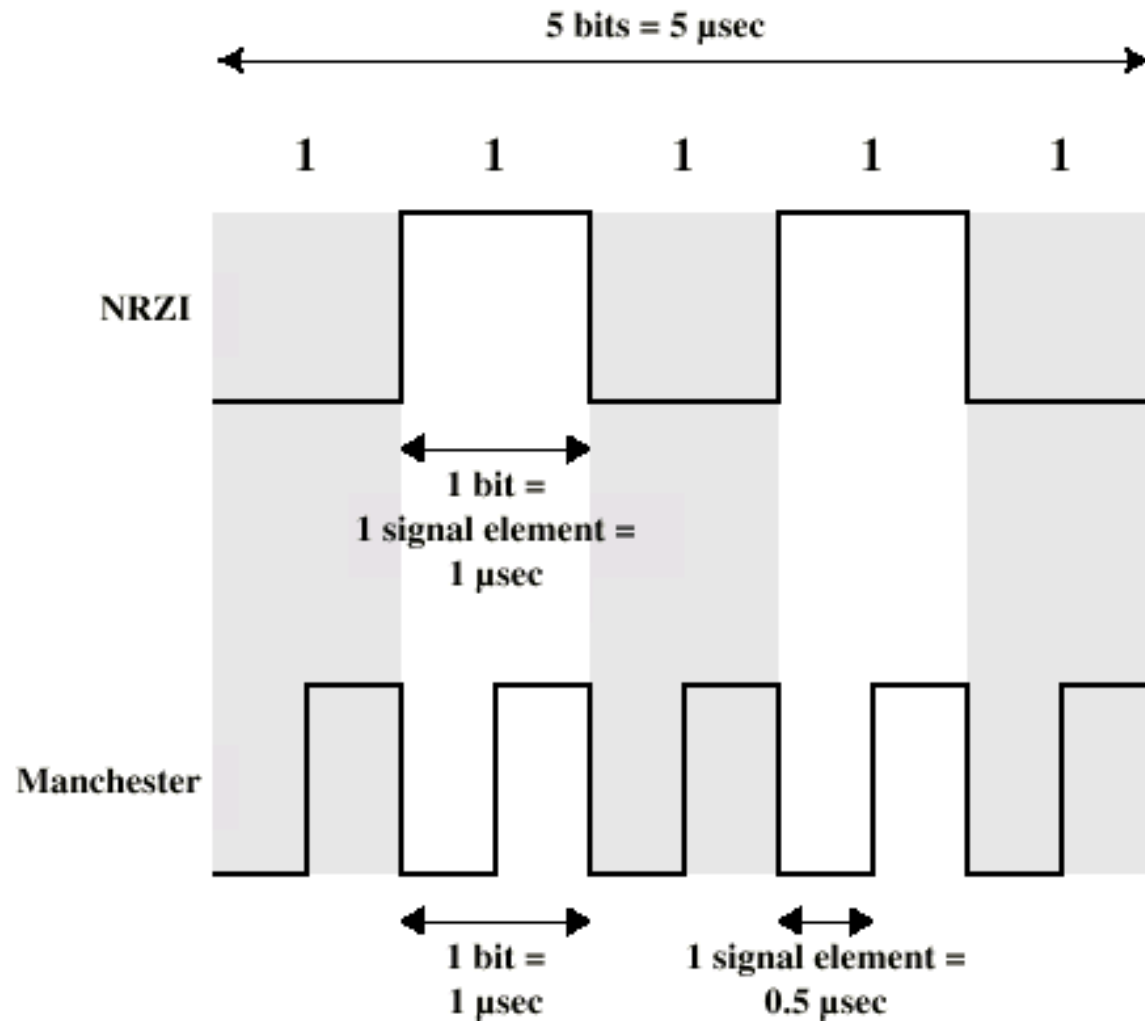
Differential Manchester Encoding



Biphase Pros and Cons

- Con
 - at least one transition per bit time and possibly two
 - maximum modulation rate is twice NRZ
 - requires more bandwidth
- Pros
 - synchronization on mid bit transition (self clocking)
 - has no dc component
 - has error detection

Modulation Rate



For long distance digital transmission

- Bipolar AMI with 8 zero substitution (B8ZS)
- High Density Bipolar with 4 zero substitution (HDB3)

B8ZS

Rule:

- Encoding takes place as per Bipolar –AMI but every sequence of 8 zeros will be replaced by the following rules:
 - If last voltage pulse preceding 8 zeros was positive then
 - 000 + –0 – +
 - If last voltage pulse preceding 8 zeros was negative then
 - 000 – +0 + –
- Cause two code violations in Bipolar AMI code.
- Data stream: 0 0 0 0 1 0 1 0 1 1 1 0 0 0 0 0 0 0 0 0 0 0

HDB3

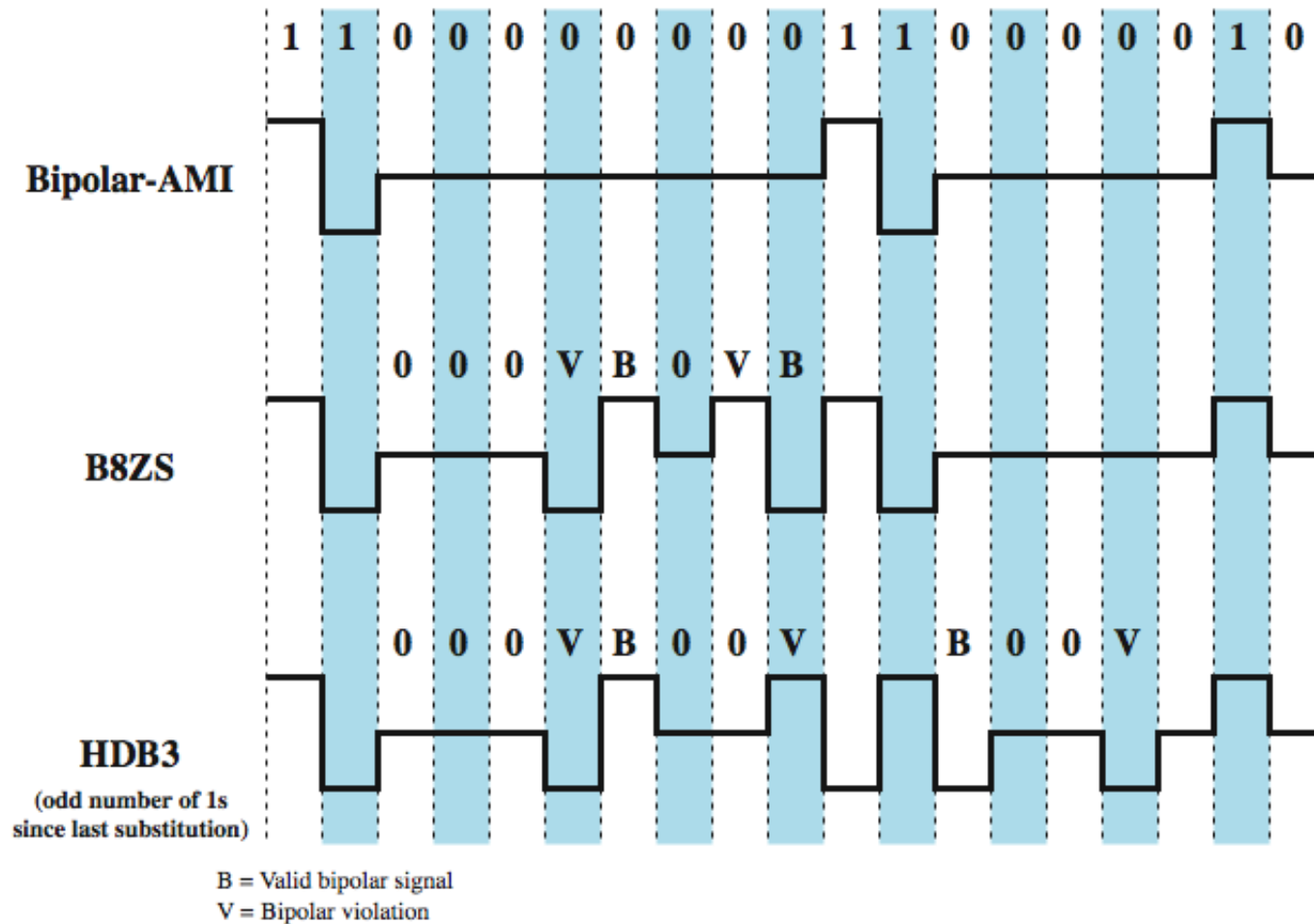
Rule:

- Encoding takes place as per Bipolar –AMI but every sequence of 4 zeros will be replaced by the following rules:

| Polarity of the previous pulse | Number of bits since last substitution | |
|--------------------------------|--|-------|
| | Even | Odd |
| – | 000 – | +00 + |
| + | 000 + | –00 – |

- Cause one code violations in Bipolar AMI code.
- Data stream: 0 0 0 0 1 0 1 0 1 1 1 0 0 0 0 0 0 0 0 0 0 0

B8ZS and HDB3



Block Encoding

- 4B/5B similarly 8B/10B
- Rule
 - Every 4 bit data is encoded in 5 bit code.
 - 5 bit codes are selected such that it do not have no more than one leading 0 and no more then two trailing 0s.
 - Therefore the code will never have three consecutive 0s.
 - Resulted code is transmitted using NRZI.
 - Provide 80% of bandwidth utilization.
- 8B/10B is used over Fibre Channel and Gigabit Ethernet etc.

Signal Modulation Criteria

- The factor which determines how successful a receiver will be in interpreting an incoming signal depends on
 - Signal-to-noise ratio
 - Data rate
 - Bandwidth
- An increase in data rate increases bit error rate.
- An increase in SNR decreases bit error rate.
- An increase in bandwidth allows an increase in data rate.

Basic Modulation Techniques

- Digital data to analog signal
 - Amplitude-shift keying (ASK)
 - Amplitude difference of carrier frequency
 - Frequency-shift keying (FSK)
 - Frequency difference near carrier frequency
 - Phase-shift keying (PSK)
 - Phase of carrier signal shifted

Amplitude-Shift Keying

- One binary digit represented by presence of carrier, at constant amplitude
- Other binary digit represented by absence of carrier

$$s(t) = \begin{cases} A \cos(2\pi f_c t) & \text{binary 1} \\ 0 & \text{binary 0} \end{cases}$$

- where the carrier signal is $A \cos(2\pi f_c t)$.

Amplitude-Shift Keying

- Inefficient modulation technique
- On voice-grade lines, used up to 1200 bps
- Used to transmit digital data over optical fiber

Frequency-Shift Keying

- Two binary digits represented by two different frequencies near the carrier frequency

$$s(t) = \begin{cases} A \cos(2\pi f_1 t) & \text{binary 1} \\ A \cos(2\pi f_2 t) & \text{binary 0} \end{cases}$$

- where f_1 and f_2 are offset from carrier frequency f_c by equal but opposite amounts

Frequency-Shift Keying

- Less susceptible to error than ASK.
- On voice-grade lines, used up to 1200 bps
- Used for high-frequency (3 to 30 MHz) radio transmission
- Can be used at higher frequencies on LANs that use coaxial cable
- Inefficient to utilize full channel capacity.

Multiple Frequency-Shift Keying

- More than two frequencies are used
- More bandwidth efficient but more susceptible to error

$$s_i(t) = A \cos 2\pi f_i t \quad 1 \leq i \leq M$$

$$f_i = f_c + (2i - 1 - M)f_d$$

f_c = the carrier frequency

f_d = the difference frequency

M = number of different signal elements = 2^L

L = number of bits per signal element

Phase-Shift Keying

- Two-level PSK (BPSK)
 - Uses two phases to represent binary digits

$$\begin{aligned} s(t) &= \begin{cases} A \cos(2\pi f_c t) & \text{binary 1} \\ A \cos(2\pi f_c t + \pi) & \text{binary 0} \end{cases} \\ &= \begin{cases} A \cos(2\pi f_c t) & \text{binary 1} \\ -A \cos(2\pi f_c t) & \text{binary 0} \end{cases} \end{aligned}$$

Differential PSK (DPSK)

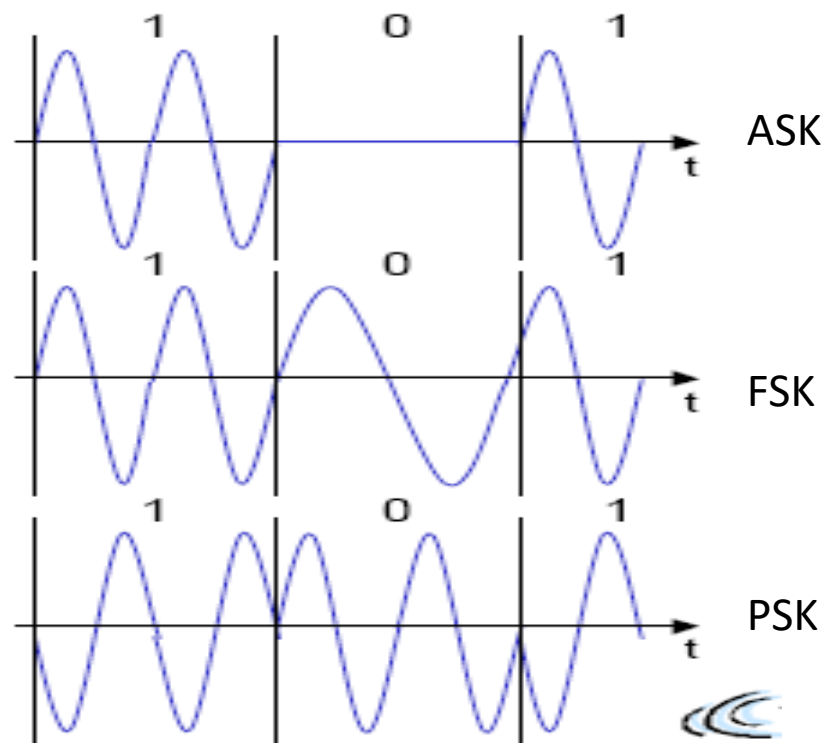
- Phase shift with reference to previous bit
 - Binary 0 – signal burst of same phase as previous signal burst
 - Binary 1 – signal burst of opposite phase to previous signal burst

Four-level PSK (QPSK)

- Each element represents more than one bit

$$s(t) = \begin{cases} A \cos\left(2\pi f_c t + \frac{\pi}{4}\right) & 11 \\ A \cos\left(2\pi f_c t + \frac{3\pi}{4}\right) & 01 \\ A \cos\left(2\pi f_c t - \frac{3\pi}{4}\right) & 00 \\ A \cos\left(2\pi f_c t - \frac{\pi}{4}\right) & 10 \end{cases}$$

Example of ASK, FSK and PSK



Quadrature Amplitude Modulation

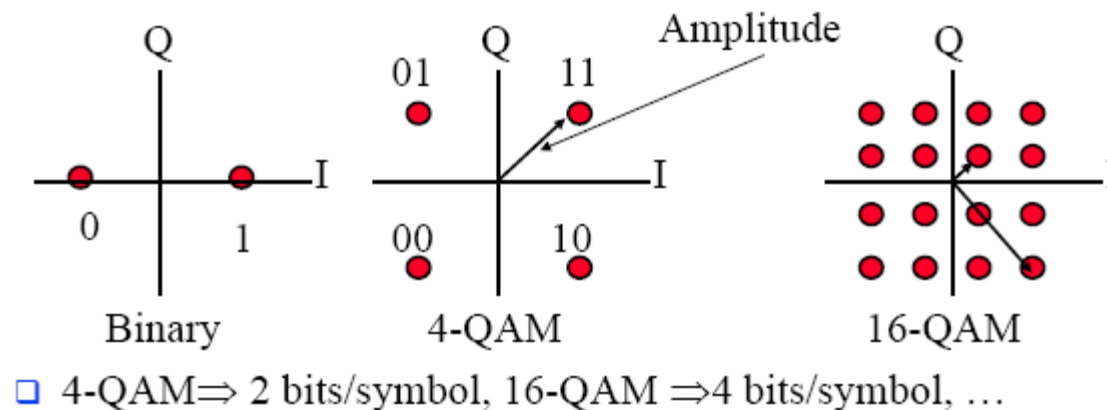
- QAM is a combination of ASK and PSK
 - Two different signals sent simultaneously on the same carrier frequency

$$s(t) = d_1(t) \cos 2\pi f_c t + d_2(t) \sin 2\pi f_c t$$

- Multiple amplitude and multiple phases are used in the signal to represent data.

Quadrature Amplitude Modulation

- It is possible to code n bits using one symbol
- For example with 2 amplitude and 4 phases the scheme will be 8-QAM and so on like 16-QAM, 32-QAM, 64-QAM, 256-QAM...



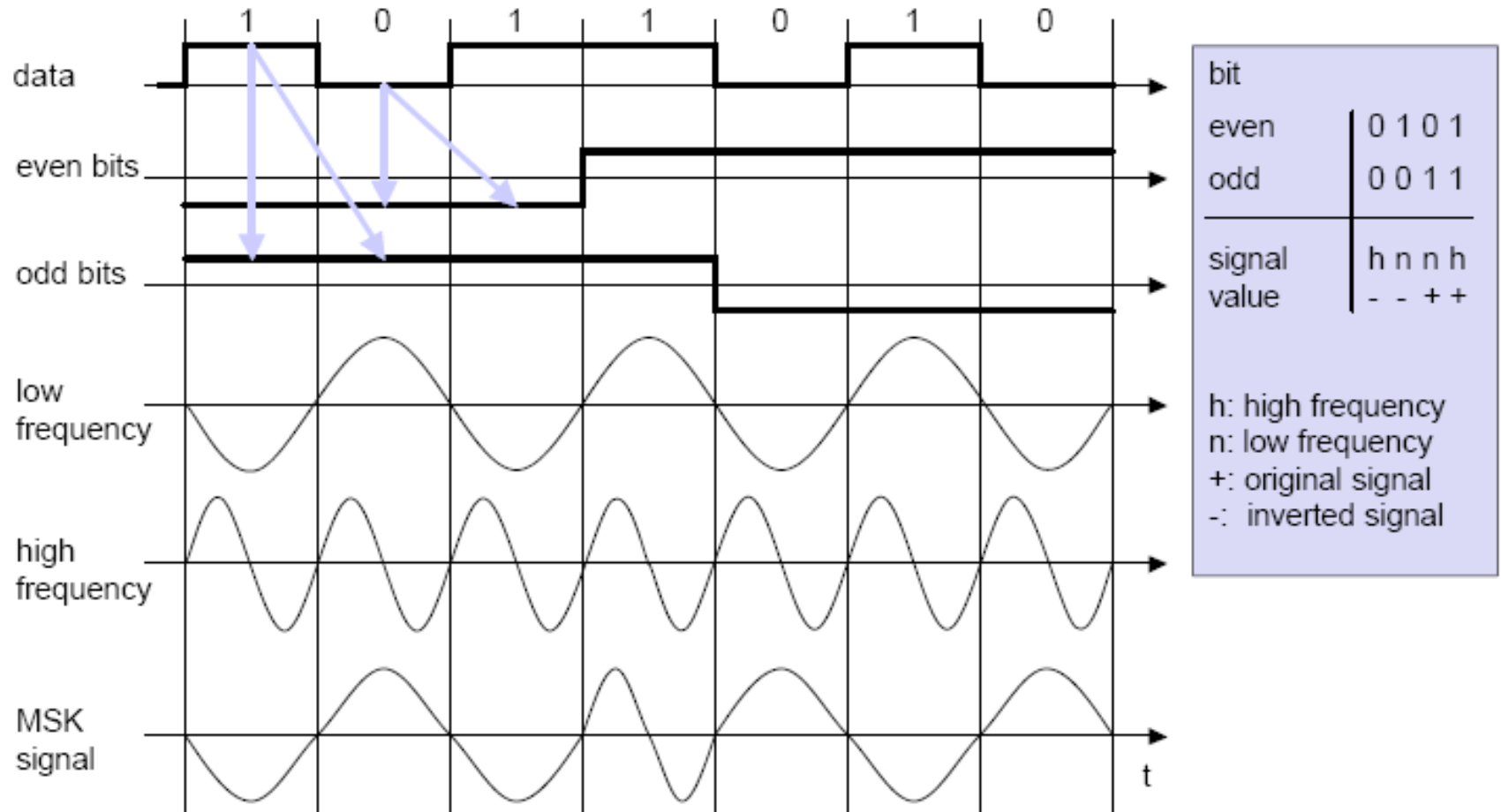
Constellations Diagram

Multiple Shift Keying (MSK)

- Bandwidth needed for FSK depends on the distance between the carrier frequencies.
- Special pre-computation avoids sudden phase shifts → MSK (Minimum Shift Keying)
- Bits are separated into even and odd bits, the duration of each bit is doubled.
- Depending on the bit values (even, odd) the higher or lower frequency, original or inverted is chosen.
- The frequency of one carrier is twice the frequency of the other. Equivalent to offset QPSK
- Even higher bandwidth efficiency can be achieved using a Gaussian low-pass filter → GMSK (Gaussian MSK), used in GSM.

Example of MSK

ie Universität Berlin



No phase shifts!

Signal propagation

- Propagation in free space always like light (straight line)
- Receiving power proportional to $1/d^2$ in vacuum
- (d = distance between sender and receiver)
- Receiving power additionally influenced by
 - Path loss / fading: Depends upon distance and frequency
 - shadowing : Obstructions
 - Réflexion at large obstacles
 - Refraction depending on the density of a medium
 - Scattering at small obstacles
 - Diffraction at edges
 - Noise
 - Frequency Dispersion (Doppler Spread) due to motion
 - Interference
 - Multipath: Multiple reflected waves: Inter-symbol interference (ISI) due to dispersion

Quadrature Amplitude Modulation

- QAM used on asymmetric digital subscriber line (ADSL) and some wireless
- combination of ASK and PSK
- logical extension of QPSK
- send two different signals simultaneously on same carrier frequency
 - use two copies of carrier, one shifted 90°
 - each carrier is ASK modulated
 - two independent signals over same medium
 - demodulate and combine for original binary output