### **Relational Model Concepts**

- •The relational Model of Data is based on the concept of a Relation.
- •A Relation is a mathematical concept based on the ideas of sets.

•We review the essentials of the relational approach in this chapter.

# **Relational Model Concepts**

•The model was first proposed by Dr. E.F. Codd of IBM in 1970 in the following paper: "A Relational Model for Large Shared Data Banks," Communications of the ACM, June 1970.

The above paper caused a major revolution in the field of Database management and earned Ted Codd the coveted ACM Turing Award.

- •RELATION: A table of values
  - A relation may be thought of as a set of rows.
  - A relation may alternately be though of as a set of columns.
  - Each row represents a fact that corresponds to a real-world entity or relationship.
  - Each row has a value of an item or set of items that uniquely identifies that row in the table.
  - Sometimes row-ids or sequential numbers are assigned to identify the rows in the table.
  - Each column typically is called by its column name or column header or attribute name.

- A **Relation** may be defined in multiple ways.
- The Schema of a Relation: R (A1, A2, .....An)
   Relation schema R is defined over attributes A1, A2, .....An
   For Example CUSTOMER (Cust-id, Cust-name, Address, Phone#)

Here, CUSTOMER is a relation defined over the four attributes Cust-id, Cust-name, Address, Phone#, each of which has a **domain** or a set of valid values. For example, the domain of Cust-id is 6 digit numbers.

- •A **tuple** is an ordered set of values
- Each value is derived from an appropriate domain.
- Each row in the CUSTOMER table may be referred to as a tuple in the table and would consist of four values.
- <632895, "John Smith", "101 Main St. Atlanta, GA 30332", "(404) 894-2000"> is a tuple belonging to the CUSTOMER relation.
- A relation may be regarded as a set of tuples (rows).
- Columns in a table are also called attributes of the relation.

- A domain has a logical definition: e.g.,
   "USA\_phone\_numbers" are the set of 10 digit phone numbers valid in the U.S.
- •A domain may have a data-type or a format defined for it. The USA\_phone\_numbers may have a format: (ddd)-ddd-dddd where each d is a decimal digit. E.g., Dates have various formats such as monthname, date, year or yyyy-mm-dd, or dd mm,yyyy etc.

- •The relation is formed over the cartesian product of the sets; each set has values from a domain; that domain is used in a specific role which is conveyed by the attribute name.
- •For example, attribute Cust-name is defined over the domain of strings of 25 characters. The role these strings play in the CUSTOMER relation is that of the name of customers.
- Formally,

Given 
$$R(A_1, A_2, \dots, A_n)$$
  
 $r(R) \subseteq dom(A_1) \times dom(A_2) \times \dots \times dom(A_n)$ 

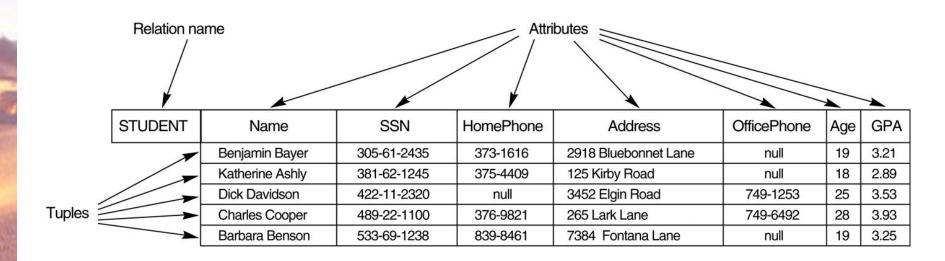
- •R: schema of the relation
- •r of R: a specific "value" or population of R.
- •R is also called the intension of a relation
- r is also called the extension of a relation

- •Let  $S1 = \{0,1\}$
- •Let  $S2 = \{a,b,c\}$
- •Let R  $\subseteq$  S1 X S2
- •Then for example: r(R) = {<0,a>, <0,b>, <1,c>} is one possible "state" or "population" or "extension" r of the relation R, defined over domains S1 and S2. It has three tuples.

### **DEFINITION SUMMARY**

<u>Informal Terms</u>	Formal Terms
Table	Relation
Column	Attribute/Domain
Row	Tuple
Values in a column	Domain
Table Definition	Schema of a Relation
Populated Table	Extension

# Example - Figure 5.1

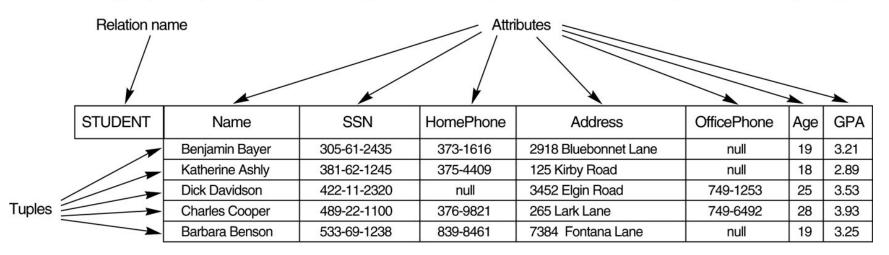


### **CHARACTERISTICS OF RELATIONS**

- •Ordering of tuples in a relation r(R): The tuples are not considered to be ordered, even though they appear to be in the tabular form.
- Ordering of attributes in a relation schema R (and of values within each tuple): We will consider the attributes in R(A<sub>1</sub>, A<sub>2</sub>, ..., A<sub>n</sub>) and the values in t=<v<sub>1</sub>, v<sub>2</sub>, ..., v<sub>n</sub>> to be ordered.
  - (However, a more general alternative definition of relation does not require this ordering. It includes both the name and the value for each of the attributes).
  - •Example: t= { <name, "John" >, <SSN, 123456789> }
  - •This representation may be called as "self-describing".

### **CHARACTERISTICS OF RELATIONS- Figure 5.2**

STUDENT	Name	SSN	HomePhone	Address	OfficePhone	Age	GPA
	Dick Davidson	422-11-2320	null	3452 Elgin Road	749-1253	25	3.53
	Barbara Benson	533-69-1238	839-8461	7384 Fontana Lane	null	19	3.25
	Charles Cooper	489-22-1100	376-9821	265 Lark Lane	749-6492	28	3.93
	Katherine Ashly	381-62-1245	375-4409	125 Kirby Road	null	18	2.89
	Benjamin Bayer	305-61-2435	373-1616	2918 Bluebonnet Lane	null	19	3.21



# **Relational Integrity Constraints**

- Constraints are conditions that must hold on all valid relation instances. There are three main types of constraints:
  - **1. Key** constraints
  - **2. Entity integrity** constraints
  - 3. Referential integrity constraints

# **Key Constraints**

- Superkey of R: A set of attributes SK of R such that no two tuples in any valid relation instance r(R) will have the same value for SK. That is, for any distinct tuples t1 and t2 in r(R), t1[SK] ≠ t2[SK].
- <u>Key of R:</u> A "minimal" superkey; that is, a superkey K such that removal of any attribute from K results in a set of attributes that is not a superkey.

**Example:** The CAR relation schema:

CAR(State, Reg#, SerialNo, Make, Model, Year)

has two keys Key1 = {State, Reg#}, Key2 = {SerialNo}, which are also superkeys. {SerialNo, Make} is a superkey but *not* a key.

 If a relation has several candidate keys, one is chosen arbitrarily to be the primary key. The primary key attributes are underlined.

### **English Monarchs**

<b>Monarch Name</b>	Monarch Number	Royal House
Edward	II	Plantagenet
Edward	III	Plantagenet
Richard	III	Plantagenet
Henry	IV	Lancaster

First, list out all the (non-empty) sets of attributes:

#### **English Monarchs**

Monarch Name	Monarch Number	Royal House
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First, list out all the (non-empty) sets of attributes:

- {Monarch Name}
- {Monarch Number}
- {Royal House}
- {Monarch Name, Monarch Number}
- {Monarch Name, Royal House}
- {Monarch Number, Royal House}
- {Monarch Name, Monarch Number, Royal House}

Second, eliminate all the sets which **do not** meet superkey's requirement. For example, {Monarch Name, Royal House} cannot be a superkey because for the same attribute values (Edward, Plantagenet), there are two distinct tuples:

- (Edward, II, Plantagenet)
- (Edward, III, Plantagenet)

Finally, after elimination, the remaining sets of attributes are the only possible superkeys in this example:

- {Monarch Name, Monarch Number} (Candidate Key)
- {Monarch Name, Monarch Number, Royal House}

# Figure 5.5 Schema diagram for the COMPANY relational database schema; the primary keys are underlined.

#### **EMPLOYEE**

FNAME MINIT LNAME SSN BE	ADDRESS SEX	SALARY SUPERSSN	DNO
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#### **DEPARTMENT**

DNAME <u>DNUMBER</u>	MGRSSN	MGRSTARTDATE
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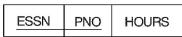
#### **DEPT\_LOCATIONS**

DNUMBER	DLOCATION	
100		

#### **PROJECT**



#### WORKS\_ON



#### DEPENDENT

ESSN D	DEPENDENT_NAME_	SEX	BDATE	RELATIONSHIP
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# **Entity Integrity**

•Relational Database Schema: A set S of relation schemas that belong to the same database. S is the *name* of the database.

$$S = \{R_1, R_2, ..., R_n\}$$

•Entity Integrity: The primary key attributes PK of each relation schema R in S cannot have null values in any tuple of r(R). This is because primary key values are used to identify the individual tuples.

• <u>Note:</u> Other attributes of R may be similarly constrained to disallow null values, even though they are not members of the primary key.

# **Referential Integrity**

- •A constraint involving *two* relations (the previous constraints involve a *single* relation).
- Used to specify a relationship among tuples in two relations: the referencing relation and the referenced relation.
- •Tuples in the referencing relation  $R_1$  have attributes FK (called **foreign key** attributes) that reference the primary key attributes PK of the referenced relation  $R_2$ . A tuple  $t_1$  in  $R_1$  is said to **reference** a tuple  $t_2$  in  $R_2$  if  $t_1$ [FK] =  $t_2$ [PK].
- •A referential integrity constraint can be displayed in a relational database schema as a directed arc from R<sub>1</sub>.FK to R<sub>2</sub>.

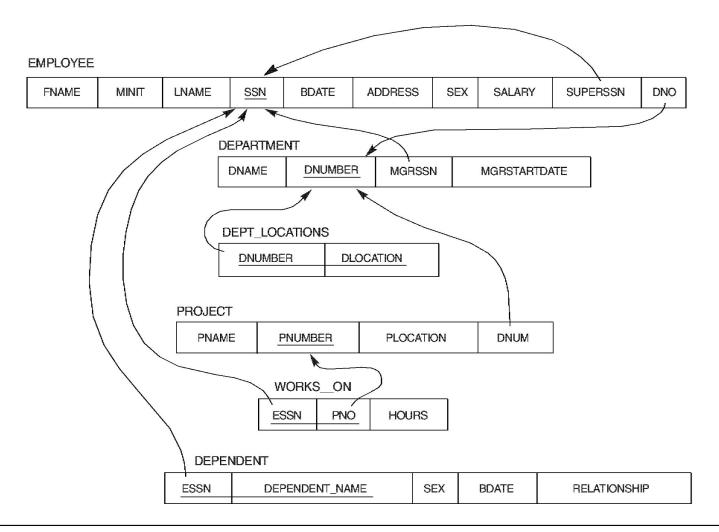
# Referential Integrity Constraint

### Statement of the constraint

The value in the foreign key column (or columns) FK of the the referencing relation  $R_1$  can be either:

- (1) a value of an existing primary key value of the corresponding primary key PK in the **referenced relation**  $R_{2}$ , or..
- (2) a null.

Figure 5.7 Referential integrity constraints displayed on the COMPANY relational database schema diagram.



# **Update Operations on Relations**

- •INSERT a tuple.
- DELETE a tuple.
- MODIFY a tuple.
- •Integrity constraints should not be violated by the update operations.
- Several update operations may have to be grouped together.
- •Updates may *propagate* to cause other updates automatically. This may be necessary to maintain integrity constraints.

# **Update Operations on Relations**

- In case of integrity violation, several actions can be taken:
  - Cancel the operation that causes the violation (REJECT option)
  - Perform the operation but inform the user of the violation
  - Trigger additional updates so the violation is corrected (CASCADE option, SET NULL option)
  - Execute a user-specified error-correction routine

# Figure 5.6 One possible relational database state corresponding to the COMPANY schema.

EMPLOYEE	FNAME	MINIT	LNAME	SSN	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
	John		Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	-
	Franklin		Wong	333445555	1955-12-08	638 Voss, Houston, TX	M	40000	88866555	5
	Alicia		Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
	Jennifer		Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
	Ramesh		Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	M	38000	333445555	5
	Joyce		English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
	Ahmad		Jaibbar	987987987	1969-03-29	980 Dallas, Houston, TX	M	25000	987654321	4
	James		Borg	888665555	1937-11-10	450 Stone, Houston, TX	M	55000	null	1

				DEPT_LOCATIO	SMC	DNUMBER	DLOCATIO
							Houston
							Stafford
DEPARTMENT	DNAME	DNUMBER	MGRSSN	MGRSTARTDATE			Bellaire
	Research	5	333445555	1988-05-22			Suparland
	Administration	4	987654321	1995-01-01			Ĭ
	Headquarters	1	888665555	1981-06-19			

WORKS_ON	<u>ESSN</u>	PNO	HOURS
	123456789	1	32.5
	123456789	2	7.5
	666884444	3	40.0
	453453453	1	20.0
	453453453	2	20.0
	333445555	2	10.0
	333445555	3	10.0
	333445555	10	10.0
	333445555	20	10.0
	999887777	30	30.0
	999887777	10	10.0
	987987987	10	35.0
	967987987	30	5.0
	987654321	30	20.0
	987654321	20	15.0
	888665555	20	nuil

PROJECT	PNAME	PNUMBER	PLOCATION	DNUM
	ProductX	1	Bellaire	5
	ProductY	2	Sugarland	5
	ProductZ	3	Houston	5
[	Computerization	10	Stafford	4
	Reorganization	20	Houston	1
	Newbenefits	30	Stafford	4

DEPENDENT	<u>ESSN</u>	DEPENDENT_NAME	SEX	BDATE	RELATIONSHIP
	333445555	Alice	F	1986-04-05	DAUGHTER
	333445555	Theodore	M	1983-10-25	SON
	333445555	Joy	F	1958-05-03	SPOUSE
	987654321	Abner	M	1942-02-28	SPOUSE
	123456789	Michael	M	1988-01-04	SON
	123456789	Alice	F	1988-12-30	DAUGHTER
	123456789	Elizabeth	E	1987-05-05	SPOUSE

### **Class Participation**

- Consider designing a web based airline system. It makes reservations and sell airline tickets.
- What DBMS architecture would you choose to design it? Why?
- Why not the other architectures be a good choice.
- Think of a ticketing representative who have to make reservations for passenger and design a view for him.