

# Computer Networks

EDA387/DIT663

## Fault-tolerant Algorithms for Computer Networks

*Introduction and Leader Election (Ch.2)*

[http://www.ted.com/talks/danny\\_hillis\\_the\\_internet\\_could\\_crash\\_we\\_need\\_a\\_plan\\_b.html](http://www.ted.com/talks/danny_hillis_the_internet_could_crash_we_need_a_plan_b.html)

# Self-\* Methods

- Computer systems can be complex due to numerous factors including scale, decentralization, heterogeneity, mobility, dynamism, bugs and failures
- Deploying, operating and maintaining such systems can be not only very difficult, but also very costly
- A flurry of recent activity has been directed at this problem whereby future computer systems are envisioned to be self-configuring, self-organizing, self-managing and self-repairing, aka, self-\* properties

# Self-\* Methods

- Fault-tolerant computer systems that are self-stabilizing can recover after the occurrence of transient faults
  - which can cause an arbitrary corruption of the system state (so long as the program's code is still intact)
- This design criteria liberate the application designer from dealing with low-level complications, and provide an important level of abstraction
- Consequently, the application design can easily focus on its task - and knowledge-driven aspects

# Goal

- We would like to understand how to design self-stabilizing network protocols
- At the end of these lectures and after the home assignments you should be able to:
  - Define network tasks
    - leader election, token circulation, spanning tree construction (BFS) and network topology update (routing)
  - Propose methods for solving such tasks
    - with an emphasis on self-stabilizing methods
  - Argue about the correctness of their proposals

# Today

- Task definition
- Solutions for Internet-like networks
  - How to describe such solutions?
  - How to argue about their correctness?
- Other type of networks
  - When can we not solve a task?

# What is a System of Computer Networks?

- A network system consists of multiple autonomous computers that communicate through local networks
  - Communication networks
  - Multiprocessor computers
  - Multitasking single processor
- The computers interact with each other in order to achieve a common goal
  - Namely, the program *task*
- A Distributed System is modeled by a set of  $n$  state machines called processors that communicate with each other

# The Network Model

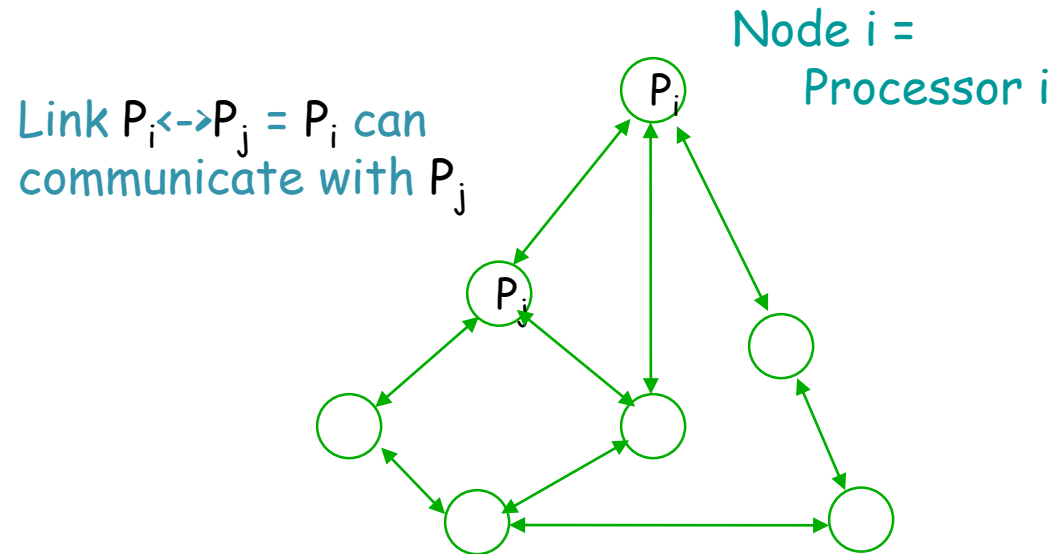
Processor can be a computer, a router, CPU, a thread, process, etc.

Denote:

- $p_i$  - the  $i^{\text{th}}$  processor in the set of the processors  $P$
- neighbor of  $P_i$  - a processor that can communicate with it directly

# Network Representation

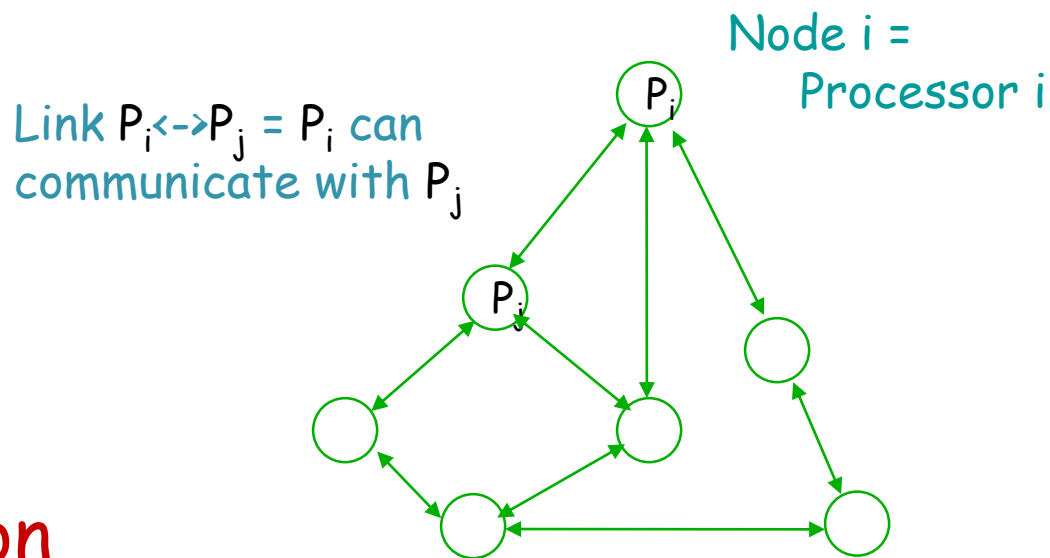
## How to represent the network?





# Network Representation

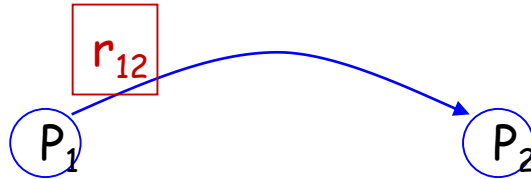
How to represent the network?



## Ways of communication

- message passing - fits communication networks and all the rest
- shared memory - fits geographically close systems (our focus today)

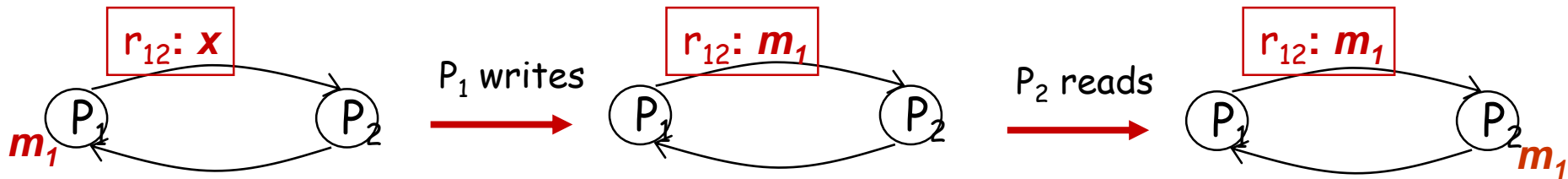
# Shared Memory



- Processors communicate by the use of shared communication registers
- The configuration will be denoted by  
 $\mathbf{c} = \langle s_1, s_2, \dots, s_n, r_{1,2}, r_{1,3}, \dots, r_{i,j}, \dots, r_{n,n-1} \rangle$  where  
 $s_i$  = State of  $p_i$   
 $r_i$  = Content of communication register  $I$
- Sometime we write  $c_i$  and sometime  $c[i]$  --- they are the same

# Computation Steps

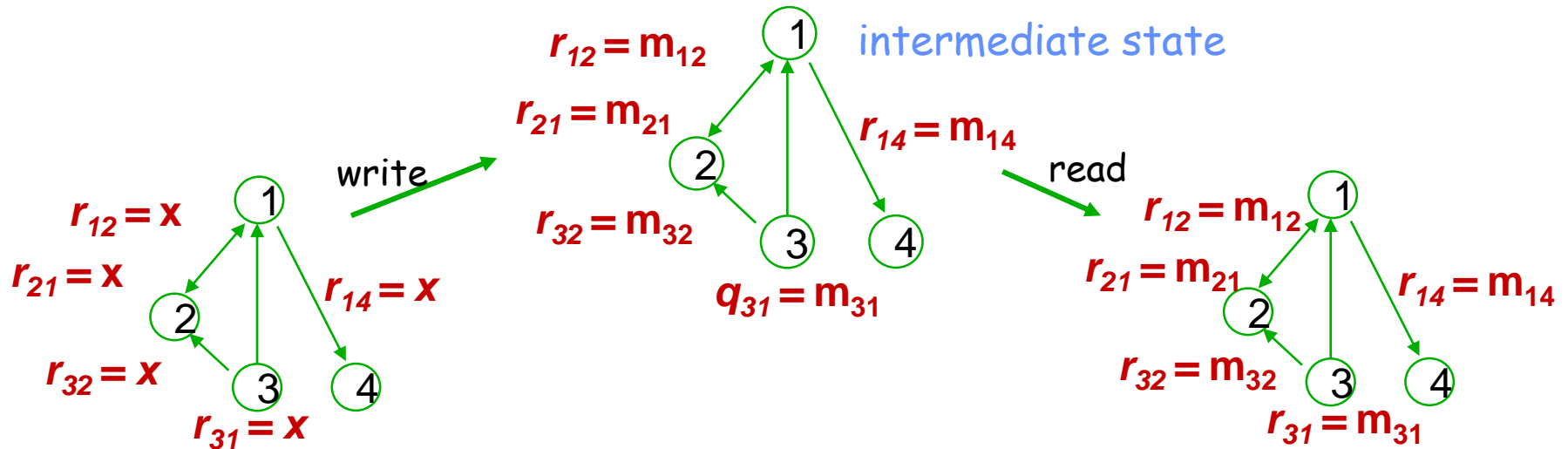
In shared memory model ...



# Synchronous Computation

- Every state transition of a process is due to communication-step execution
- A step will be denoted by  $a$
- $c_1 \xrightarrow{a} c_2$  denotes the fact that  $c_2$  can be reached from  $c_1$  by a single step  $a$
- Step  $a$  is applicable to configuration  $c$  iff  
 $\exists c' : c \xrightarrow{a} c'$ .
- Execution  $E = \langle c_1, a_1, c_2, a_2, \dots \rangle$ : an alternating sequence such that  $c_{i-1} \xrightarrow{a_i} c_i$  ( $i > 1$ )
- A global clock pulse (pulse) triggers a simultaneous step of every processor in the system
  - Fits multiprocessor systems in which the processors are located close to one another
- The execution of a synchronous network is totally defined by  $c_1$ , the first configuration in  $E$ 
  - Therefore, we can write  $E = \langle c_1, c_2, \dots \rangle$

# Synchronous Computation



# Leader Election

- Need to designate a single processor as the organizer of some (other) task among several processors
  - Simplifies many tasks by providing a single point of decision
- Leader election: (eventually) in every configuration, there is exactly one processor in the network for which the property *Leader* holds
  - Before the task is begun, all network nodes are unaware which node will serve as the “Leader,” or coordinator, of the task
  - After a leader election method has been to run, however, each node throughout the network recognizes a particular, unique node as the task leader

# Leader Election

- The network nodes communicate among themselves in order to decide which of them will have the “Leader” property
- For that, the processors need some method in order to break the symmetry among them
- How can they do that?

# Leader Election

- The network nodes communicate among themselves in order to decide which of them will have the “Leader” property
- For that, the processors need some method in order to break the symmetry among them
- How can they do that?
- **Hint:** if each node has unique identity, such as IP address, then the nodes can compare their identities, and decide that the processor with the highest identity is the leader



# Leader Election

```
1 do forever
2   write  $id$  to  $r_i$ 
3   for  $m := 1$  to  $n$  do  $lr_m := \mathbf{read}(r_m)$ 
4    $Leader := (id == \mathit{maximum} \{lr_m.id \mid 1 \leq m \leq n\})$ 
5   (* if  $Leader == \mathbf{True}$  then act_like_a_leader() *)
```

- Every processor:
  - starts by writing its unique id to its register (line 2)
  - reads the ids of its neighbors (line 3)
  - decides on the “Leader” property (line 4)

# Arguing Correctness

- We need to convincingly demonstrate that some system properties and statements are necessarily true.
- The proof must demonstrate that a statement is true in all cases, without a single exception.
- The statement that is proved is often called a theorem, a lemma, or a claim.
- For example:

Lemma (**maximum**): Let  $A$  be a set of (unique) integers, which is totally ordered by  $\leq$ . There is a single (unique) maximal value,  $\text{maximum}(A, \leq)$ .

# Proof Statement

- First list all of the proof assumptions before claiming that some properties hold within a finite time
- **Leader Election:**
  - Assumptions:
    - All processors execute the same program
    - All processors have unique ids
    - The system is synchronous
      - All processors take their steps simultaneously
      - All processors start executing the program simultaneously in line 01
    - The network topology is of a fully connected graph
  - Within one complete iteration of the leader election program, exactly one processor has the property “Leader”

# Convergence of Leader Election

Lemma (start of lemma statement)

- Suppose that in a synchronous network, all processors have unique ids and that they all execute the Leader Election program.
  - Namely, all processors take their steps simultaneously and start executing the program simultaneously.

# Leader Election Convergence

- Moreover, suppose that the network topology is of a fully connected graph.
- Within one complete iteration of the leader election program, exactly one processor has the property “Leader”.
- Let  $E=(c_0, c_1, \dots)$  be the system execution of the leader election program.
- Let  $c_{\text{safe}}$  be the first configuration after one complete iteration of the program, in which all processors execute lines 1 to 5.

# Convergence of Leader Election

- In  $c_{\text{safe}}$ , exactly one processor has the property “Leader”, which is the processor with the maximal id,  $p_{\text{max}}$ .

(end of lemma statement)

Remark: We later remove the assumption that all processors start in line 01

# Leader Election: Correctness

**Proof:** Suppose that all programs start from executing line 1. Since all processors start executing their programs simultaneously, we are going to show that  $c_{\text{safe}}$  is  $c_{n+1}$ , because  $n+1$  steps guarantee one complete iteration.

By line 2 of the pseudo code, we have that in configuration  $c_1$ , it holds that for any processor,  $p_i: r_i = id$ .

By line 3, we have that in configuration  $c_{(1+n)}$ , it holds that for any two processors,  $p_i$  and  $p_j$ , the sets  $A_i = \{lr_{mi}.id \mid 1 \leq m \leq n\}$  and  $A_j$  are identical, i.e.,  $A_i = A_j$ .

# Leader Election: Correctness

By the assumption that all processors have unique ids and the maximum lemma, we have that in configuration  $C_{(n+1)}$  exactly one processor,  $p_{max}$ , has the property of being a leader. I.e., for any  $p_i$  in  $P \setminus \{p_{max}\}$ :  $Leader_i = false$  and  $Leader_{max} = true$ , where  $P$  is the set of all processors in the system.

□

(The symbol □ is used to mark the end of a proof.)



# Leader Election: Correctness

- Suppose there is no joint start in line 01?
  - Within  $(n+1)$  steps we are guaranteed that all processors,  $p_i$ , write their own id to their own register,  $r_i$ .
    - Once it happens,  $r_i$ 's value does not change.
  - Within  $(n+1)$  additional steps we are guaranteed that all processors,  $p_i$ , read the registers,  $r_j$ , of all of their neighbors,  $p_j$ .
    - Once it happens,  $lr_i$ 's value does not change.
- The proof is finished by the same arguments on  $A_i$

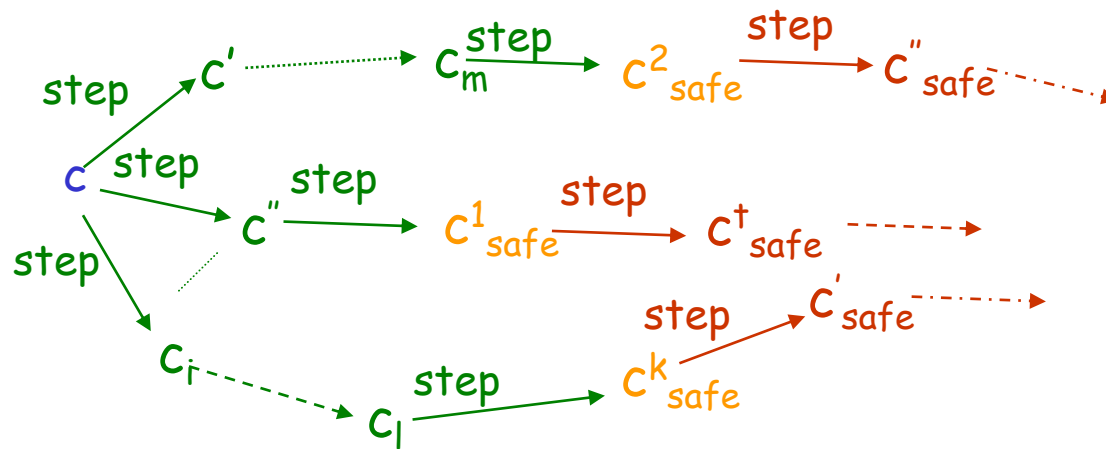
# Leader Election: Correctness

- We have just proved that the leader election program convergence to a configuration in which it is safe to assume that we have exactly one leader.
- Actually, we can, and should, prove more.
- Lemma (**Closure of Leader Election**) Assume the same assumption as in the Convergence of Leader Election Lemma. Moreover, suppose that in  $E$ 's starting configuration,  $c_0$ , the processor with the maximal id,  $p_{max}$ , is the only processor that has the property of being “Leader”. In every configuration in  $E$ ,  $p_{max}$  is the only processor that has the property “Leader”.

# Legal Behavior

- A desired legal behavior is a set of legal executions denoted LE

legal execution



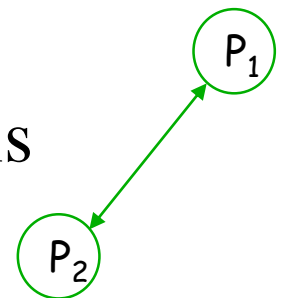
A self-stabilizing system can be started in any arbitrary configuration and will eventually exhibit a desired "legal" behavior

# Legal Behavior of Leader Election

- $LE_{\text{leader}}$ : In every configuration there is exactly one processor that has the property “Leader”
- Can we guaranteed that starting from arbitrary configuration, we reach a safe configuration with respect to  $LE_{\text{leader}}$ ?
- Is there a deterministic method for leader election in uniform systems in which all processors are identical?
  - all processors are running the same program, they have no unique id's, etc.

# Legal Behavior of Leader Election

- Suppose there is such a method, then how can we deal with an execution  $E$  in a network of two processors and a starting configuration,  $c[0] = \langle s_1, s_2, r_1, r_2 \rangle$ , in which both  $p_1$  and  $p_2$  are in the same state, where  $s_1 = s_2$  and  $r_1 = r_2$
- Lets say that within  $l$  steps we reach a configuration in which the leader election task is achieved
  - one processor is a leader and the other one is not
  - $s_1 = s_2$  and  $r_1 = r_2$  do not hold



# Legal Behavior of Leader Election

- Let  $c[l_{last-same}]$  and  $c[l_{first-different}]$  be the last, and respectively, the first be two consecutive configurations in  $E$ , such that  $s_1=s_2$  and  $r_1=r_2$  do, and respectively, do not hold
  - Consecutive configurations:  $l_{first-different} = l_{last-same} + 1$
- The question is how a deterministic method can take a step that leads from  $c[l_{last-same}]$  to  $c[l_{first-different}]$  ?
  - Same program
  - Same state
  - Same number of neighbors
  - No ids
  - Same program counter
  - ...



# Leader Election: Impossibility

**Lemma (Impossibility of Leader Election in Anonymous Networks)** Let us consider an anonymous, synchronous network that has a topology of a fully connected graph. No deterministic leader election method exists for this network

**Proof:** Lets assume, in a way of contradiction, that a deterministic leader election method, DLE, *does* exist and E is an execution of DLE that starts in configuration,  $c[0] = \langle s_1, s_2, \dots, s_i, s_j, \dots, s_n, r_1, r_2, \dots, r_i, r_j, \dots, r_n \rangle$ , where  $s_i = s_j$  and  $r_i = r_j$

# Leader Election: Impossibility

DLE solves the leader election task by reaching a configuration in which one processor has a state that is different than all other processors, which is the leader

Therefore, in  $E$  there are two consecutive configurations  $c[l_{last-same}]$  and  $c[l_{first-different}]$  that are the last, and respectively, the first configurations in  $E$  for which  $s_i = s_j$  and  $r_i = r_j$  do, and respectively, do not hold, where  $l_{first-different} = l_{last-same} + 1$



# Leader Election: Impossibility

Therefore, DLE causes at least one processor to take a step from  $c[l_{last-same}]$  to  $c[l_{first-different}]$  that is different than the step of other processors between these two configurations

This is a contradiction, because by definition any deterministic algorithm implies that if  $s_i = s_j$  and  $r_i = r_j$  holds for all  $p_i$  and  $p_j$  in  $c[l] = \langle s_1, s_2, \dots, s_i, s_j, \dots, s_n, r_1, r_2, \dots, r_i, r_j, \dots, r_n \rangle$ , then both  $p_i$  and  $p_j$  take identical steps

□

# Summary

- Presented the model of share memory
- Presented the self-stabilization design criteria
- Defined the leader election task
- Presented a solution and proved its correctness

# Review Questions

1. Write the proof of the Closure for the Leader Election task