

# **Computer Networks**

EDA387/DIT663

**Fault-tolerant Algorithms for Computer Networks**

*Self-Stabilizing Algorithms for Model Conversions (Ch. 4)*

# Compiler

- All the above facts are our motivation for designing a compiler:

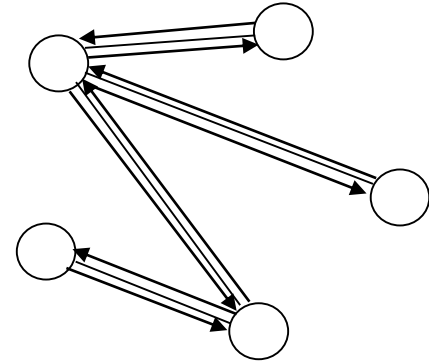


- An algorithm designed to stabilize in the presence of distributed daemon must stabilize in a system with central daemon

# Converting

- How does the compiler work ?

1. Compose a spanning tree using the **Spanning Tree Construction** algorithm



2. Construct an Euler tour on the tree to create a virtual ring for the **Mutual Exclusion** algorithm
3. A processor that enters the critical section reads the state of its neighbors changes state and writes, then it exits the critical section

$$AI \text{ for } T \text{ (read/write)} = AI \text{ for } T \text{ (daemon)} \circ \text{Mutual Exclusion}$$

# Chapter 4: roadmap

4.3 Self-Stabilizing Ranking: Converting an Id-based System to a Special-processor System

4.4 Update: Converting a Special Processor to an Id-based Dynamic System

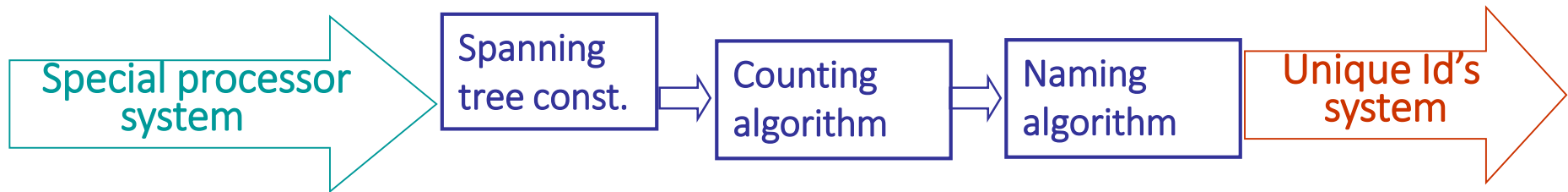
# Converting an Id-based System to a Special-processor System

- Our goal is to design a **compiler** that converts self-stabilizing algorithm for a unique *ID* system to work in special processor system.
- The *ranking* (compiler) task is to assign each of the  $n$  processors in the system with a **unique identifier** in the range 1 to  $n$ .

# Converting an Id-based System to a Special-processor System

We will form the self stabilizing *ranking* algorithm by running 3 self-stabilizing algorithms one after the other:

- 1) Self-Stabilizing spanning tree construction (section 2.5)
- 2) Self-Stabilizing counting algorithm
- 3) Self-Stabilizing naming algorithm



# Self-Stabilizing Counting Algorithm

- Assuming **rooted spanning tree** system in which every processor knows its parent and children's
- $P_i$  has a variable  $count_i$  that hold the number of processor in sub-tree where  $P_i$  is a root
- The correctness proofs by induction on the height of a processor

# Self-Stabilizing Counting Algorithm

01 Root: **do forever**

02     sum := 0

03         **forall**  $p_j \in \text{children}(i)$  **do**

04              $lr_{ji} := \text{read}(r_{ji})$

05             sum := sum +  $lr_{ji}.\text{count}$

06         **od**

07          $\text{count}_i = \text{sum} + 1$

08     **od**

09 Other: **do forever**

10     sum := 0

11         **forall**  $p_j \in \text{children}(i)$  **do**

12              $lr_{ji} := \text{read}(r_{ji})$

13             sum := sum +  $lr_{ji}.\text{count}$

14         **od**

15          $\text{count}_i = \text{sum} + 1$

16         **write**  $r_{i,\text{parent}}.\text{count} := \text{count}_i$

17     **od**

Calculate  $\text{count}_i$ :     sum  
the values of  $r_{ji}$  registers of his  
child's and 1 (himself)

Write local count value to  
communication register



# Self-Stabilizing Naming Algorithm

- The *naming* algorithm uses the value of the *count* fields from *counting* algorithm.
- Algorithm assign *unique* identifiers to the processors.
- The *identifier* of a processor is stored in the  $ID_i$  variable.
- Proof of the stabilization by induction on the distance of the processors from the root

# Self-Stabilizing Naming Algorithm

01 Root: **do forever**

02                     $ID_i := 1$

03                     $sum := 0$

04                    forall  $p_j \in \text{children}(i)$  **do**

05                             $lr_{ji} := \text{read}(r_{ji})$

05                            **write**  $r_{ij}.\text{identifier} := Id + 1 + sum$

06                             $sum := sum + lr_{ji}.\text{count}$

08                    **od**

09                    **od**

10 Other: **do forever**

11                     $sum := 0$

12                     $lr_{parent,i} := \text{read}(r_{parent,i})$

13                     $ID_i := lr_{parent,i}.\text{identifier}$

14                    forall  $p_j \in \text{children}(i)$  **do**

15                             $lr_{ji} := \text{read}(r_{ji})$

16                            **write**  $r_{ij}.\text{identifier} := Id_i + 1 + sum$

17                             $sum := sum + lr_{ji}.\text{count}$

18                    **od**

19                    **od**

# Counting Algorithm for non-rooted tree

01 do forever

02     forall  $P_j \in N(i)$  do  $lr_{ji} := \text{read}(r_{ji})$

03      $sum_i := 0$

04     forall  $P_j \in N(i)$  do

05          $sum_j := 0$

06         forall  $P_k \in N(i)$  do

07             if  $P_j \neq P_k$  then

08                  $sum_j := sum_j + lr_{ki}.count$

09         od

10          $count_i[j] := sum_j + 1$

11          $sum_i := sum_i + sum_j$

12         write  $r_{ij}.count := count_i[j]$

13     od

14      $count_i = sum_i + 1$

15 od

Each processor  $P_i$  has a variable  $count_i[j]$  for every neighbor  $P_j$

The value of  $count_i[j]$  is the number of processors in subtree of  $T$  to which  $P_i$  belongs and  $P_j$  doesn't.

The correctness proof is by induction on the height of the registers  $r_{ij}$

# Chapter 4: roadmap

4.3 Self-Stabilizing Ranking: Converting an Id-based System to a Special-processor System

4.4 Update: Converting a Special Processor to an Id-based Dynamic System

# Update - Converting a Special Processor to an Id-based Dynamic System

- The task of the **update algorithm** in id-based system is to inform each processor of the other processors that are in its connected component.
- As a result every processor in the connected component knows the maximal identifier in the system, and a single leader is elected.
- The **update algorithm** is a self-stabilizing leader election algorithm within  $O(d)$  cycles (pulses).

The motivation for the restriction that the update must work in id-based system can be viewed by examining Dijkstra self stabilizing ME algorithm for a ring of processors...

# Dijkstra proof

- Dijkstra proved that without a special processor, it is impossible to achieve ME in a self-stabilizing manner
- The impossibility proof is for composite number of identical processors connected in a ring activated by a central daemon

ME requires that processor  $P_i$  should execute the critical section **if and only if** it is the only processor that can change its state (by reading its neighbors' states) at that execution point

# Dijkstra proof

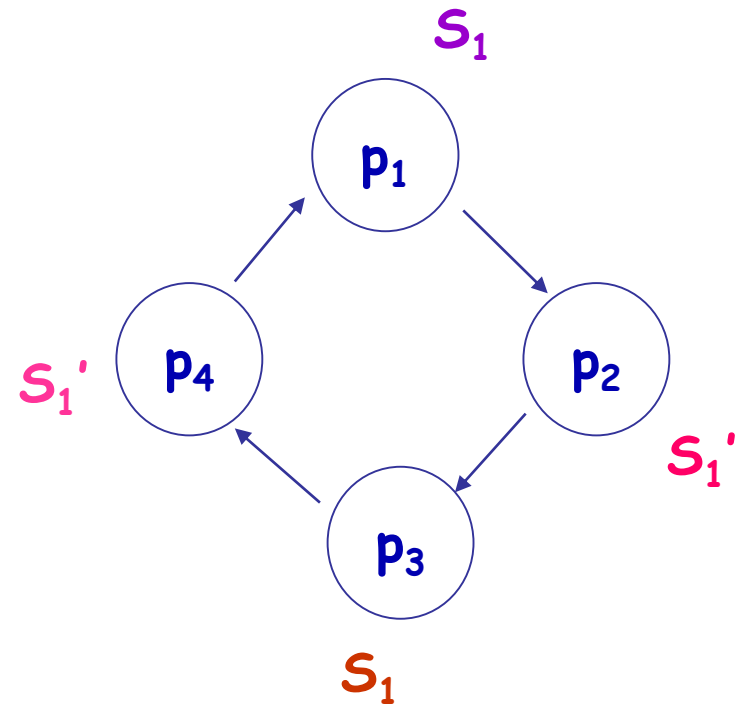
- Consider  $P_1, P_3$  starting in the same state  $S_0$ ,  $P_2, P_4$  starting in the same state  $S_0'$

And execution order is:

$P_1, P_3, P_2, P_4 \dots$

- Then,  $p_1$  and  $p_3$  will be at  $S_1$ ,  $p_2$  and  $p_4$  will be at  $S_1'$ .

- We can see that symmetry in state is preserved



# Conclusions from Dijkstra's proof

- Whenever  $P_1$  has permission to execute critical so does  $P_3$ . (Like that for  $P_2$  and  $P_4$ ).
- With no central daemon the impossibility result of self-stabilizing ME algorithm holds also for a ring of **prime** number of identical processors.

We start in a configuration that all the processors are in the same state, and the contents of all the registers are identical. An Execution that preserve that symmetry forever is one that every processor reads all neighbors registers **before** any writing is done.

The restriction for designing the update algorithm in an **id-based** (not identical) system is thus clear.



# The Update algorithm outlines

- The algorithm constructs  $n$  directed BFS trees. One for every processor.
1. Each processor  $P_i$  holds a BFS tree rooted at  $P_i$ .
  2. When a node  $P_j$  with distance  $k+1$  from  $P_i$  has more than one neighbor at distance  $k$  from  $P_i$ ,  $P_j$  is connected to the neighbor (parent) with the maximal ID.
  3. Each  $P_i$  reads from his  $\delta$  neighbors their set of tuples  $\langle j, x \rangle$  where  $j$  represents a processor id, and  $x$  is the distance of  $j$  from the processor that holds this tuple.
  4.  $P_i$  tuples are computed from these neighbors' sets, adapting for each  $j \neq i$  the tuple  $\langle j, x \rangle$  with the smallest  $x$  and adding 1 to  $x$ .  $P_i$  also adds the tuple  $\langle i, 0 \rangle$  indicating the distance from itself. At the end of the iteration,  $P_i$  removes the floating (false) tuples.

# Update algorithm

```
01 do forever
02    $Readset_i := \emptyset$ 
03   forall  $p_j \in N(i)$  do
04      $Readset_i := Readset_i \cup \text{read}(Processors_j)$ 
05      $Readset_i := Readset_i \setminus \langle i, * \rangle$ 
06      $Readset_i := Readset_i \cup \langle *, 1 \rangle$ 
07      $Readset_i := Readset_i \cup \{ \langle i, 0 \rangle \}$ 
08   forall  $p_j \in processors(Readset_i)$  do
09      $Readset_i := Readset_i \setminus \text{NotMinDist}(P_j, Readset_i)$ 
10   write  $Processors_i := \text{ConPrefix}(Readset_i)$ 
11 od
```

# Floating Tuples

- Floating tuple in ReadSet is a tuple with a processor's id that does not exist.
- Let  $y$  be the minimal missing distance value in  $\text{ReadSet}_i$ .
- We remove every tuple with distance greater than  $y$  in  $\text{ReadSet}_i$ .

$\text{ConPrefix}(\text{ReadSet}_i)$  removes these tuples out.

# Correctness

To prove the correctness, we define *safe configuration*, such that for every  $p_i$  it holds:

1.  $Processors_i$  includes  $n$  tuples, a tuple  $\langle j, y \rangle$  for every processor  $p_j$  in the system, where  $y$  is the distance of  $p_j$  from  $p_i$ .
2.  $ReadSet_i$  tuples will rewrite the same contents to  $Processors_i$

## Tuple Addition (Lemma 4.6)

In every arbitrary execution following the  $k^{\text{th}}$  cycle, for each pair of processors  $p_i$  and  $p_j$  that are at distance  $\ell < \min(k, d+1)$  it holds:

**Invariant 1 (inclusion):** A tuple  $\langle j, l \rangle$  appears in Processors $_i$ .

**Invariant 2 (constant value):** If a tuple  $\langle x, y \rangle$ , such that  $y \leq \ell$  appears in Processors $_i$ , there exists a processor  $p_x$  at distance  $y$  from  $p_i$ .

# Tuple Addition – cont.

The proof is by induction on  $k$ , which is the number of cycles in the execution.

Base case:

- $k=1$ , by adding  $\langle i, 0 \rangle$  to  $\text{ReadSet}_i$  (line 7), incrementing the distance of all other tuples to be greater than 0 (line 6), **Invariant 1 (inclusion)** holds.
- After these increments, only tuple  $\langle i, 0 \rangle$  is left with distance 0. **Thus Invariant 2 (constant value)** holds.

**Induction assumption :**

**Invariants 1 and 2 hold after  $k$  cycles.**

**Induction Step:**

- By the induction assumption, in the start of the  $k+1^{\text{th}}$  cycle the processors variables are correct up to distance  $\ell-1$ .
- Cycle  $k+1$  reads all the correct tuples with distance  $\ell-1$ , so it computes all the tuples of distance  $\ell$  correctly.

## Correctness (Lemma 4.7)

In every arbitrary execution following  $d+2$  cycles, it holds for every tuple  $\langle x, y \rangle$  in every processors <sub>$i$</sub>  variable that a processor  $x$  exists in the system.

## Correctness - cont.

### The proof depend on Tuple addition (Lemma 4.6)

- According to Lemma 4.6, it holds that following  $d+1$  cycles every tuple with distance smaller than  $d$  is not a floating tuple.
- If a floating tuple  $\langle x, y \rangle$  exists after cycle  $d+1$  in a processor <sub>$i$</sub>  variable, then  $y > d$ . Cycle  $d+2$  then increments  $y$ , thus  $y > d+1$ .
- Since no tuple of distance  $d+1$  exists, the function ConPrefix removes it.



# Stabilization (Corollary 4.1)

In any execution, any configuration that follows the first  $d+3$  cycles is a *safe configuration*

## Proof:

- In accordance with Lemma 4.6, it holds that, in every configuration that follows the first  $d+1$  cycles, every tuple with distance smaller than  $d$  is not a floating tuple, and also  $\forall p_j$  and  $P_i$  at distance  $\ell \leq d$ , it holds that a tuple  $\langle j, \ell \rangle \in \text{Processors}_i$ .
- In accordance with Lemma 4.7, in every configuration that follows  $d+2$  cycles, no tuples of greater distance than  $d$  exists in  $\text{Processors}_i$  variables.
- Therefore, during the  $d+3$  cycle, a *safe configuration* is reached.

# Self-Stabilizing Convergecast for Topology Update

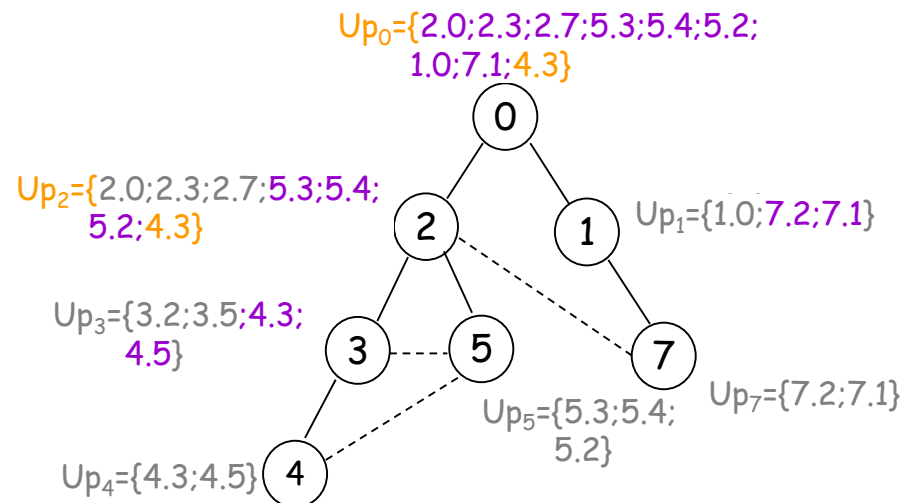
In the topology-update algorithm, the information that is convergecast is the local topology (the descendant's neighbors identity).

We assume that every processor knows its parent and children in the BFS tree of the leader

(can be done in  $O(d)$  cycles with update).

# Self-Stabilizing Convergecast for Topology Update

- In the convergecast every processor  $P_i$  uses the variable  $up_i$  to write to his parent his local topology and also (if  $P_i$  is not a leaf) his children's topology.
- The stabilization of the convergecast is based on the correct information in the leaves and direction, in which information is collected - from leaves up.



# Self Stabilizing Broadcast for Topology Update

In order to inform every processor the tree topology collected by the leader, we use a self-stabilizing broadcast mechanism:

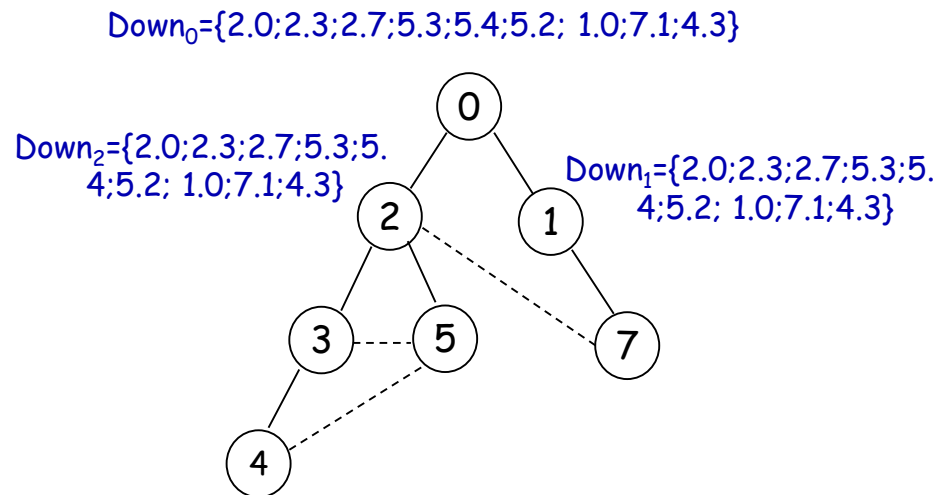
Root (x):

$$\text{Down}_x = \text{Up}_x$$

Everyone else (i):

$$\text{Down}_i = \text{Down}_j \mid j = \text{parent}(i)$$

This is done in  $O(d)$ .



# Adaptive self-stabilizing Algorithm

In dynamic systems, the parameters of the system such as diameter and number of processors are not fixed

- A self-stabilizing algorithm is **time-adaptive** if the number of cycles until convergence is proportional to the system's parameters.
- A self-stabilizing algorithm is **memory-adaptive** if the amount of memory used during safe configuration is proportional to the system's parameters.
- A silent self-stabilizing algorithm is **communication-adaptive** if the number of bits that are communicated is proportional to the system's parameters.

The update algorithm stabilizes within  $O(d)$  cycles therefore it's **time-adaptive**. It reads/writes  $O(n)$  tuples in the communication register and is therefore **memory-adaptive** and **communication-adaptive**.

# Summary

We have looked into some common algorithmic and proof techniques in self-stabilization

# Review Questions

1. Design a self-stabilizing mutual exclusion algorithm for a system with processors  $p_1, p_2, \dots, p_n$  that are connected in a line. The leftmost processor  $p_1$  is the special processor. Every processor  $p_i$ ,  $2 \leq i \leq n - 1$ , communicates with its left neighbor  $p_{i-1}$  and its right neighbor  $p_{i+1}$ . Similarly,  $p_1$  communicates with  $p_2$  and  $p_n$  with  $p_{n-1}$ .
2. Define a safe configuration for the self-stabilizing synchronous consensus algorithm of figure 2.7.