

Computer Networks

EDA387/DIT663

Fault-tolerant Algorithms for Computer Networks

Maximal Matching (Ch. 2)

Today

- Proof Techniques

Proof Techniques: Variant Function



$$|VF(c)| \geq |VF(c_1)| \geq |VF(c_2)| \geq |VF(c_3)| \geq \dots \geq |VF(c_{\text{safe}})| \geq \dots \geq \text{bound}$$

- Used for proving convergence
- Can be used to estimate the number of steps required to reach a safe configuration

Variant Function - Example: self stabilizing Maximal Matching

- *Matching* is a set of edges without common nodes
- The algorithm should reach a configuration in which $pointer_i = j$ implies that $pointer_j = i$
- We will assume the existence of a central daemon
- The set of legal executions **MM** for the maximal matching task includes every execution in which the values of the pointers of all the processors are fixed and form a maximal matching

Variant Function - Example: self stabilizing Maximal Matching- definitions

Program for p_i :

01 **do** forever

02 **if** $pointer_i = null$ **and** $(\exists p_j \in N(i) \mid pointer_j = i)$ **then**

03 $pointer_i = j$ **matched**

04 **free** **if** $pointer_i = null$ **and** $(\forall p_j \in N(i) \mid pointer_j \neq i)$ **and**

05 $(\exists p_j \in N(i) \mid pointer_j = null)$ **then**

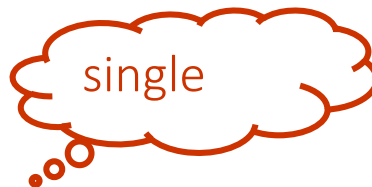
06 $pointer_i = j$ **waiting**

07 **if** $pointer_i = j$ **and** $pointer_j = k$ **and** $k \neq i$ **then**

chaining

08 $pointer_i = null$

09 **od**



if $pointer_i = null$ and $pointer_j = k$ and $k \neq i$ then $pointer_i = null$
singel

matched, waiting, free and chaining

- **matched** in c_l , if p_i has a neighbor p_j such that $\text{pointer}_i = j$ and $\text{pointer}_j = i$
- **waiting** in c_l , if p_i has a neighbor p_j such that $\text{pointer}_i = j$ and $\text{pointer}_j = \text{null}$
- **free** in c_l , if $\text{pointer}_i = \text{null}$ and there exists a neighbor p_j such that $\text{pointer}_j = \text{null}$
- **single** in c_l , if $\text{pointer}_i = \text{null}$ and there is no neighbor p_j such that $\text{pointer}_j = \text{null}$
- **chaining** in c_l , if there exists a neighbor p_j for which $\text{pointer}_i = j$ and $\text{pointer}_j = k$, $k \neq j$

Variant Function - Example: self stabilizing Maximal Matching- proving correctness

- The variant function $VF(c)$ returns a vector $(m+s, w, f, c)$
 m - matched, s - single, w - waiting,
 f - free, c - chaining
- Values of VF are compared lexicographically
- $VF(c) = (n, 0, 0, 0) \Leftrightarrow c$ is a safe configuration with relation to MM and to our algorithm
- Can you show that: Once a system reaches a safe configuration, no processor changes the value of its pointer?

Variant Function - Example: self stabilizing Maximal Matching- proving correctness

- In every non-safe configuration, there exists at least one processor that can change the value of its pointer
- Can you show that: Every change of a pointer-value increases the value of VF?
- The number of such pointer-value changes is bounded by the number of all possible vector values.
- The first three elements of the vector $(m+s, w, f, c)$ imply the value of c , thus there at most $O(n^3)$ changes.

Proof

- An assignment in line 3 of the code reduces the number of free processors and waiting processors by 1 and increments the number of matched processors by 2.
- An assignment in line 6 of the code reduces the number of free processors by 1 and increments the number of waiting processors by 1.
- The assignment in line 8 is executed when p_i is chaining.

Proof

- Two cases are considered: first, if no neighboring processor points to p_i .
- In this case, p_i changes status to free if there exists an unmatched neighbor, or to single if all neighbors are matched.
- Therefore, the number of chaining processors is reduced by 1 and the number of free or single processors is incremented by 1.

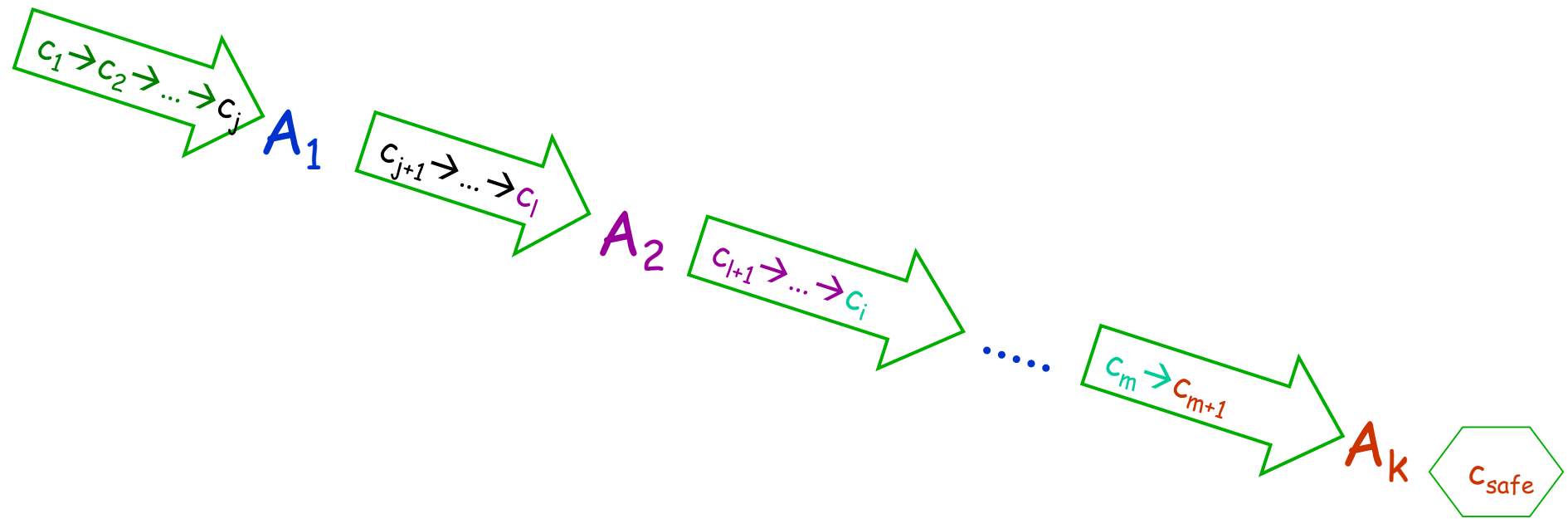
Proof

- In the 2nd case, when at least one neighbor p_ℓ points toward p_i , the status of p_i is changed to free and the status of p_ℓ is changed from chaining to waiting.
- Hence the number of chaining processors is reduced by 2, while the number of both free and waiting processors is incremented by 1.
 - Thus each assignment increments the value of VF.
- The system stabilizes once it reaches a configuration in which no increment is possible, which is a safe configuration.

Proof

- The number of such pointer-value changes is bounded by the number of all possible vector values.
 - The fact that $m + s + w + f + c = n$ implies that the number of possible vector values is $O(n^3)$.
 - A rough analysis uses the following argument.
 - One can choose $n + 1$ possible values for $m + s$ and then $n + 1$ values for w and f .
 - The value of n and the first three elements of the vector ($m + s, w, f, c$) imply the value of c .
- Therefore the system reaches a safe configuration within $O(n^3)$ pointer-value changes.

Convergence Stairs



○ A_i – predicate

○ for every $1 \leq i < k$, A_{i+1} is a refinement of A_i

Convergence Stairs - Example: Leader election in a General Communication Network

Program for p_i , each processor reads it's neighbors leader and chooses the candidate with the lowest value :

```
01 do forever
02      $\langle candidate, distance \rangle := \langle ID(i), 0 \rangle$ 
03     forall  $P_j \in N(i)$  do
04         begin
05              $\langle leader_i[j], dis_i[j] \rangle := \text{read} \langle leader_j, dis_j \rangle$ 
06             if  $(dis_i[j] < N)$  and  $((leader_i[j] < candidate)$  or
07                  $((leader_i[j] = candidate)$  and  $(dis_i[j] < distance)))$  then
08                  $\langle candidate, distance \rangle := \langle leader_i[j], dis_i[j] + 1 \rangle$ 
09         end
10     write  $\langle leader_i, dis_i \rangle := \langle candidate, distance \rangle$ 
11 od
```

Convergence Stairs - Example:

Leader election in a General Communication Networks

- We assume that every processor has a unique identifier in the range 1 to N
- The leader election task is to inform every processor of the identifier of a single processor in the system, this single processor is the leader
- Floating identifier - an identifier that appears in the initial configuration, when no processor in the system with this identifier appears in the system

Convergence Stairs - Example:

Leader election, proving correctness

- We will use 2 convergence stairs :
 - A_1 - no floating identifiers exists
 - A_2 (for a safe configuration) every processor chooses the minimal identifier of a processor in the system as the identifier of the leader
- To show that A_1 holds, we argue that, if a floating identifier exists, then during $O(\Delta)$ rounds, the minimal distance of a floating identifier increases

Convergence Stairs - Example: Leader election, proving correctness ...

- After the first stair only the correct ids exist, so the minimal can be chosen
- From that point, every fair execution that starts from any arbitrary configuration reaches the safe configuration
- Notice : if A_1 wasn't true, we couldn't prove the correctness

Proof

- The proof of correctness uses two convergence stairs.
- The first convergence stair is a predicate A_1 on system configurations verifying that no floating identifier exists.
- The second convergence stair is a predicate A_2 for a safe configuration — a predicate that verifies that every processor chooses the minimal identifier of a processor in the system as the identifier of the leader.

Proof

- The value of a floating identifier can appear in the local arrays of every processor p_i , $l_i[1, \dots, \delta]$, in the candidate local variable, and in the field leader_i of the communication register.
 - The distance of a floating identifier appearing $l_i[j]$, candidate, or leader_i is $d_i[j]$, distance, or dis_i , respectively.
- To show that the first attractor holds, we argue that, if a floating identifier exists, then during any $O(\Delta)$ rounds, the minimal distance of a floating identifier increases.

Proof

- **Lemma 2.5:** Every fair execution that starts from any arbitrary configuration has a suffix in which no floating identifier exists.
- We first show that, as long as a floating identifier exists, the minimal distance of a floating identifier increases during any $O(\Delta)$ rounds.
- Let p_i be a processor that holds in its local variables or in its communication registers a floating identifier with the minimal distance.

Proof

- Once p_i starts executing the do forever loop, it must choose (either its own identifier for $leader_i$ or) a distance that is at least one greater than the distance read from a neighbor (line 8 of the code).
 - Thus, if p_i chooses to assign a floating identifier to leader u it must choose a distance that is greater by one than the distance it read.
 - Once the minimal distance of a floating identifier reaches N , all processors do not choose a floating identifier.
- Therefore, all the floating identifiers are eventually eliminated. ■

Proof

- The fact that the first predicate A_1 holds from some configuration of the system lets us prove the next theorem using arguments similar to those used for the non-stabilizing algorithm.
- **THEOREM 2.3:** Every fair execution that starts from any arbitrary configuration reaches a safe configuration.

Summary

We have looked into some common algorithmic and proof techniques in self-stabilization

Review Questions

1. Design a self-stabilizing mutual exclusion algorithm for a system with processors p_1, p_2, \dots, p_n that are connected in a line. The leftmost processor p_1 is the special processor. Every processor p_i , $2 \leq i \leq n - 1$, communicates with its left neighbor p_{i-1} and its right neighbor p_{i+1} . Similarly, p_1 communicates with p_2 and p_n with p_{n-1} .
2. Define a safe configuration for the self-stabilizing synchronous consensus algorithm of figure 2.7.