

# Introduction to Communication Engineering

## SSY121, Lecture # 13

Fredrik Brännström  
`Fredrik.Brannstrom@chalmers.se`

Communication Systems Group  
Department of Electrical Engineering  
Chalmers University of Technology  
Göteborg, Sweden

October 16, 2019

## Announcements!

- Exercise  $E_5$  on Wed Oct 23, 10:00–11:45 – Open questions about problems from the homeworks, old exams, etc.
- Noon Fri Oct. 18: Test Report, Software, and Time Report 6.
- Noon Mon Oct. 21: Experience report deadline. Its contents will not be graded (but the delivery will)
- Project demonstrations on Fri Oct 18 and Mon Oct 21, at 17:00 – 20:00. Signup list is in Canvas.
- Exam: Wed Oct. 30 at 08:30-12:30 (in 14 days!)
- One or two articles will be uploaded to Canvas. Please remember to read them together with the other material when preparing for the exam!
- Course evaluation opens after the course. Everyone are encouraged to answer!

## Ericsson visit!

- Wed Oct. 23, 13:15–15:30: Experience workshop (voluntary) at Ericsson, Lindholmen
- Transportation is **not** arranged (bus 16 or 55 from Chalmers)
- Sign up as either “Attending” or “Not attending” in Canvas (list under People) no later than Sun Oct 20!
- Preliminary agenda:
  - 13.15 Short Introduction (Fredrik)
  - 13:25 Way of working (WoW) Ericsson Research Radio (Jingya Li)
  - 13:55 Coffee break
  - 14.15 WoW Packet Core (Martin Trygg)
  - 14.45 Project introduction and Lessons learned (Fredrik)
  - 15.05 Demo (students)
  - 15.30 End

## Some words about the exam

- Understanding is more important than memorizing
- Explain the line of reasoning clearly
  - Good solution with minor error → almost full points
  - Correct answer without clear solution → 0 points
  - Obviously unreasonable answer → 0 points
- We will visit the exam after about 1 and 3 hours
- We will be happy to clarify the questions if needed, but please do not ask about your solutions
- Problems are given in random order and the points for each question are clearly marked
- The course contents are defined in the Course Memo: The exam is based on the project, the lectures, the book (pages listed in course memo), the articles, Erik Svenske's slides (Lecture 3), and the document "Working in projects."

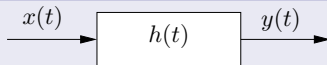
## Some words about the exam

- Read Chalmers' examination rules (link in the Course Memo)
- Allowed aids:
  - Mathematics Handbook (Beta)
  - A simple "Chalmers-approved calculator"
  - Dictionary (see examination rules)
  - Do not bring the formula sheet – it will be handed out
- Write clearly and only in English. If we do not understand it, we do not give points for it
- Do not solve two problems on the same sheet of paper (Subproblems may share the same paper)

# Part I

## Lecture 1

## Linear and Time Invariant (LTI) System



- The impulse response of the LTI system is given by  $h(t)$
- In the time domain,  $y(t) = x(t) * h(t)$
- In the frequency domain,  $Y(f) = X(f)H(f)$

## The Sampling Theorem

Let  $x(t)$  be a signal with Fourier transform  $X(f)$  such that  $x(t)$  is band-limited, i.e.,  $X(f) = 0$  for  $|f| \geq B$ . If the signal  $x(t)$  is sampled at uniformly spaced time instants using a sampling frequency  $f_s = 1/T_s$ ,  $x(t)$  can be completely recovered if  $f_s \geq 2B$ .

## And how?

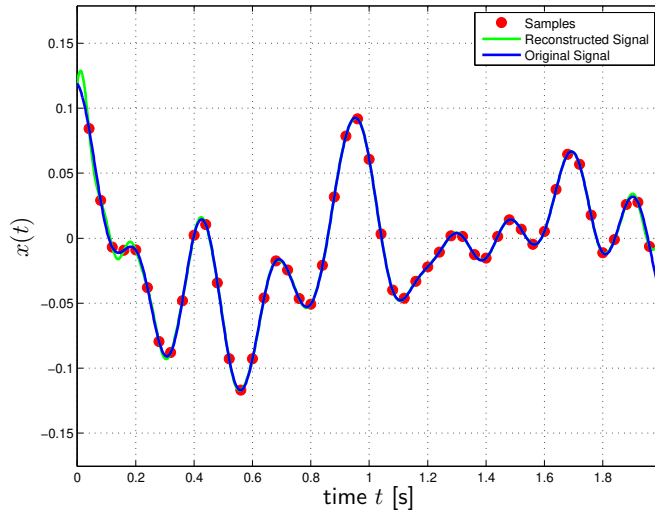
By interpolating, i.e.,

$$x(t) = \sum_{n=-\infty}^{\infty} x(nT_s) \operatorname{sinc} \left( \frac{t - nT_s}{T_s} \right),$$

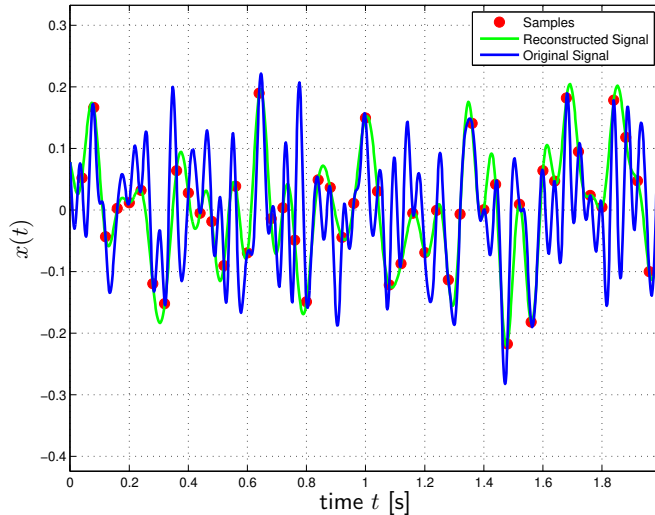
where  $\operatorname{sinc}(x)$  is the normalized sinc function

$$\operatorname{sinc}(x) = \frac{\sin \pi x}{\pi x}.$$



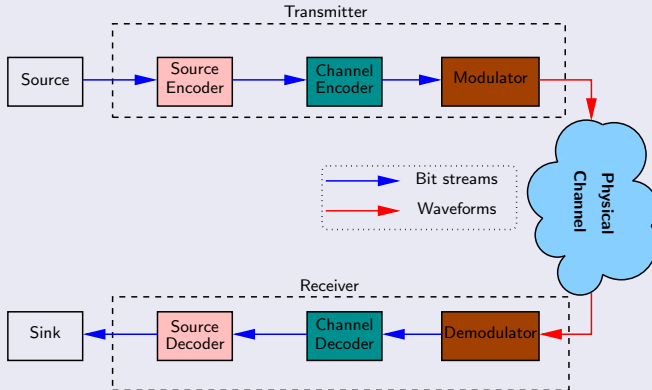


**Figure:** The band-limited signal  $x(t)$  is reconstructed using samples. The BW of  $x(t)$  is  $B \approx 10$  Hz and  $f_s = 25$  sample/s.



**Figure:** The band-limited signal  $x(t)$  is reconstructed using samples. The BW of  $x(t)$  is  $B \approx 100$  Hz and  $f_s = 25$  sample/s.

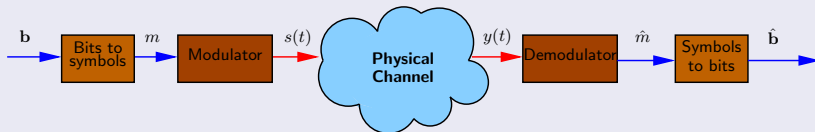
## Shannon's Communication Model



# Part II

## Lecture 2

## The General Diagram



- The length- $l$  binary codeword  $\mathbf{b} = [b_1, \dots, b_l]$  has to be transmitted
- Each of the possible  $M = 2^l$  codewords is mapped to a message (symbol)  $m \in \{m_1, \dots, m_M\}$
- The modulator sends a continuous-time baseband signal  $s(t)$  through the physical channel each  $T_s$  [s], where  $s(t)$  is selected from the set of signal alternatives  $\mathcal{S} = \{s_1(t), \dots, s_M(t)\}$ .
- The channel introduces some distortion such that  $y(t) \neq s(t)$

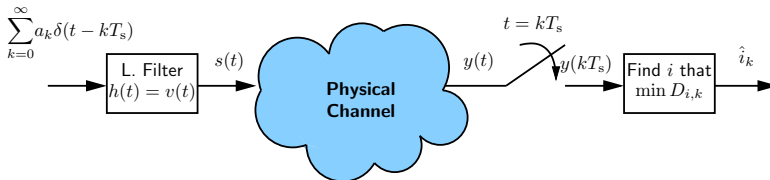
## The General Problem

Using only the channel observation  $y(t)$  during one symbol period  $T_s$ , *guess* what the transmitted message  $m$  was.

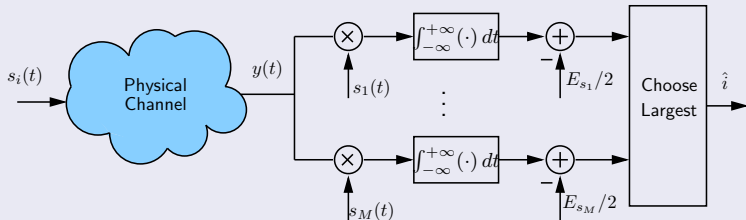
## The Sampling Rx

The Sampling Rx simply samples  $y(t)$  at time instants  $t = kT_s$  for  $k = 0, 1, 2, \dots$ , each time computing the  $M$  distances  $D_{i,k}$  and selecting the  $i$  that gives the minimum  $D_{i,k}$ .

$$\hat{i}_k = \arg \min_{i \in \{1, \dots, M\}} D_{i,k}, \text{ where } D_{i,k} = |y(kT_s) - s_i(0)|.$$



## The Correlator Rx



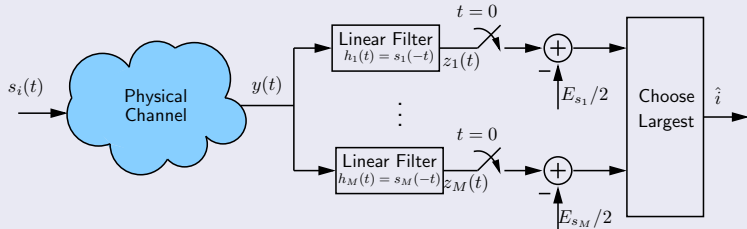
## Some Comments

- If all the signal alternatives have the same energy ( $E_{s_1} = E_{s_2} = \dots$ ), the problem is reduced to

$$\hat{i} = \arg \max_i \left\{ \int_{-\infty}^{\infty} y(t) s_i(t) dt \right\}$$

- Since the “heart” of the processing are correlation integrals, this Rx is called the **correlator Rx** (CR)

## The Matched Filter Rx



## Some Comments

- Since the filters are *matched* to the signal alternatives, it is called the **matched filter Rx** (MFR)
- Both the **correlator Rx** (CR) and MFR can be implemented using *linear filters* and are therefore called **linear Rx** (LR)
- In terms of performance, the CR and the MFR are **equivalent**
- However, the performance of the CR and MFR is different than the performance of **Sampling Rx**



# Part III

## Lecture 3

## Lecture by Erik Svenske

- Development of projects
- Working in teams
- Project phases
- Project elements

# Part IV

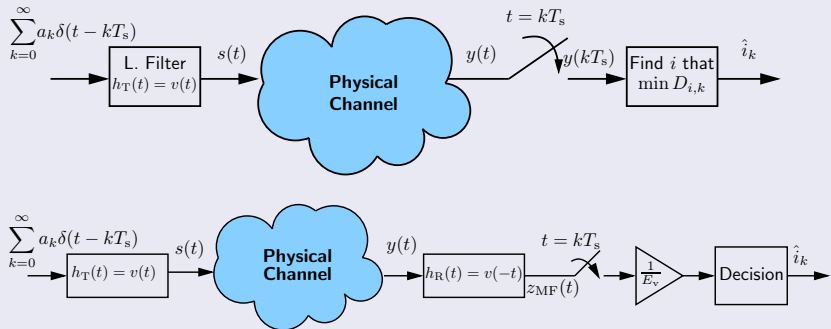
## Lecture 4

## The Transmitted Signal is a Sequence of $M$ -ary Pulses

$$s(t) = \sum_{k=0}^{\infty} a_k v(t - kT_s),$$

where  $a_k \in \mathcal{A}$  is the amplitude transmitted at the  $k$ th time instant.

## Sampling Rx (SR) vs. Matched Filter Rx (MFR)



## Definition (Pulses in Time Domain)

Nyquist Pulse for SR:  $v(nT_s) = 0$

$$T_s\text{-orthogonal Pulse for MFR: } \int_{-\infty}^{\infty} v(t)v(t - nT_s) dt = 0$$

if  $n = \pm 1, \pm 2, \pm 3, \dots$

## Definition (Pulses in Frequency Domain)

If  $v(t)$  is symmetric around  $t = 0$

$$\text{Nyquist Pulse for SR: } \sum_{n=-\infty}^{\infty} V\left(f - \frac{n}{T_s}\right) = T_s v(0)$$

$$T_s\text{-orthogonal Pulse for MFR: } \sum_{n=-\infty}^{\infty} \left| V\left(f - \frac{n}{T_s}\right) \right|^2 = T_s E_v.$$

## The signal and its vectorial representation

The signal alternatives  $s_i(t)$   $i = 1, 2, \dots, M$  can be represented by the vectors  $\mathbf{s}_i = [s_{i,1}, \dots, s_{i,N}] \in \mathbb{R}^N$

$$s_i(t) = \sum_{n=1}^N \mathbf{s}_{i,n} \phi_n(t),$$

$$\mathbf{s}_{i,n} = \int_{-\infty}^{\infty} s_i(t) \phi_n(t) dt,$$

where  $\phi_n(t)$  is an orthonormal basis

$$\int_{-\infty}^{\infty} \phi_n(t) \phi_m(t) dt = \begin{cases} 1 & \text{if } n = m \\ 0 & \text{if } n \neq m \end{cases}$$

## Distance Measures

- The *energy* of a signal  $s_i(t)$  is

$$E_{s_i} = \|s_i(t)\|^2 = \int_{-\infty}^{\infty} s_i^2(t) dt = \|\mathbf{s}_i\|^2 = \mathbf{s}_i \cdot \mathbf{s}_i^T = \sum_{n=1}^N s_{i,n}^2$$

- The *length* of a signal  $s_i(t)$  is

$$\sqrt{E_{s_i}} = \|s_i(t)\| = \sqrt{\int_{-\infty}^{\infty} s_i^2(t) dt} = \|\mathbf{s}_i\| = \sqrt{\mathbf{s}_i \cdot \mathbf{s}_i^T}$$

- The *correlation* between  $s_i(t)$  and  $s_j(t)$  is

$$\langle s_i(t), s_j(t) \rangle = \int_{-\infty}^{\infty} s_i(t) s_j(t) dt = \mathbf{s}_i \cdot \mathbf{s}_j^T = \sum_{n=1}^N s_{i,n} s_{j,n}$$

## Distance Measures (cont.)

- The *distance* between  $s_i(t)$  and  $s_j(t)$  is

$$\begin{aligned}\|s_i(t) - s_j(t)\| &= \sqrt{\int_{-\infty}^{\infty} [s_i(t) - s_j(t)]^2 dt} \\ &= \|s_i - s_j\| = \sqrt{(s_i - s_j) \cdot (s_i - s_j)^T}\end{aligned}$$

- The *angle* between  $s_i(t)$  and  $s_j(t)$  is

$$\cos \alpha = \frac{\langle s_i(t), s_j(t) \rangle}{\|s_i(t)\| \cdot \|s_j(t)\|} = \frac{s_i \cdot s_j^T}{\|s_i\| \cdot \|s_j\|}$$

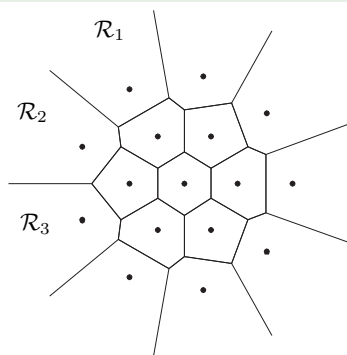
Note that  $\cos \alpha = 0$  ( $\alpha = \pi/2$ )  $\Rightarrow$  orthogonality.



## Decision Regions

For a given received vector  $y_1$ , we should choose  $s_i$  instead of  $s_j$  if  $\|y_1 - s_i\| \leq \|y_1 - s_j\|$ . One can plot decision regions  $\mathcal{R}_1, \dots, \mathcal{R}_M$  by drawing boundaries halfway between all pair of signal vectors.

### Example (Graphically in 2D)



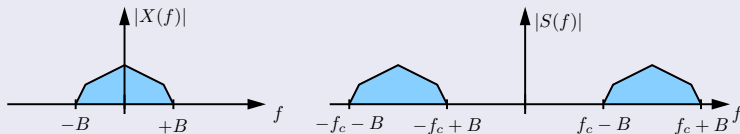
# Part V

## Lecture 5

## What do we do then?

- In FM, the signals are not transmitted in baseband, but instead using a carrier
- This is simply done by multiplying the baseband signal  $x(t)$  by a sinusoid of frequency  $f_c$ :  $s(t) = x(t) \cos(2\pi f_c t)$
- What is the spectrum of such a signal?

$$\begin{aligned} S(f) &= \mathcal{F}\{x(t) \cos(2\pi f_c t)\} = \mathcal{F}\{x(t)\} * \mathcal{F}\{\cos(2\pi f_c t)\} \\ &= X(f) * \frac{1}{2} \left[ \delta(f - f_c) + \delta(f + f_c) \right] = \frac{1}{2} \left[ X(f - f_c) + X(f + f_c) \right] \end{aligned}$$



- Other reasons for doing this
  - Makes best use of the channel
  - Allows us to assign different users to different frequencies

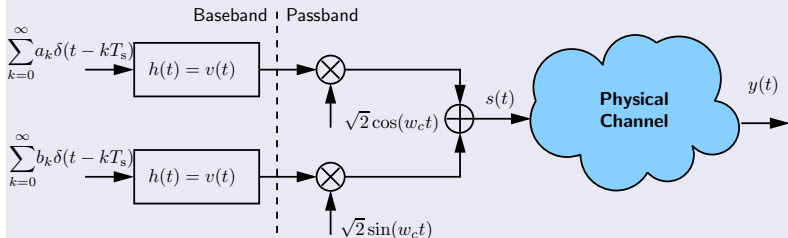
## Passband signal for 2D modulations

- The passband signal for the 2D constellations can be written as

$$s(t) = \sqrt{2} \sum_{k=0}^{\infty} a_k v(t - kT_s) \cos(w_c t) + b_k v(t - kT_s) \sin(w_c t)$$

- Every point  $(a_k, b_k) \in \mathbb{R}^2$  can be represented using an amplitude  $A_k = \sqrt{a_k^2 + b_k^2}$  and an angle  $\psi_k = \arctan(b_k/a_k)$
- The 1D signals can be obtained by using  $b_k = 0$ .

## 2D Tx



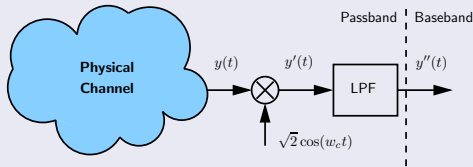
## Summary of constellations

$a_k \in$	$b_k \in$	Name(s)	$\psi_k \in$
$\{0, +A\}$	0	OOK	0
$\{-A, +A\}$	0	BPSK (2-PAM)	$\{0, \pi\}$
$\{-3A, -A, +A, +3A\}$	0	4-PAM	$\{0, \pi\}$
$\{-A, +A\}$	$\{-A, +A\}$	QPSK (4-QAM)	$\{\frac{\pi}{4}, \frac{3\pi}{4}, \frac{5\pi}{4}, \frac{7\pi}{4}\}$
$\{-3A, -A, +A, +3A\}$	$\{-3A, -A, +A, +3A\}$	16-QAM	-
$\cos(\psi_k)$	$\sin(\psi_k)$	M-PSK	$\{\frac{2\pi i}{M}\}$ for $i = 0, \dots, M-1$

# Part VI

## Lecture 6

## 1D Rx



## Why?

If the channel is good, we can assume  $y(t) \approx s(t)$ , and thus

$$\begin{aligned}
 y'(t) &= \sqrt{2}y(t) \cos(w_c t) \approx \sqrt{2}s(t) \cos(w_c t) \\
 &= 2 \sum_{k=0}^{\infty} a_k v(t - kT_s) \cos^2(w_c t) \\
 &= \sum_{k=0}^{\infty} a_k v(t - kT_s) [1 + \cos(2w_c t)] \\
 &= \sum_{k=0}^{\infty} a_k v(t - kT_s) + \sum_{k=0}^{\infty} a_k v(t - kT_s) \cos(2w_c t)
 \end{aligned}$$

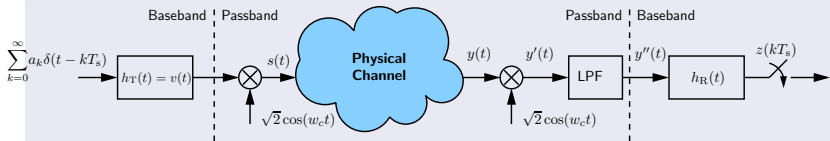
## After the LPF

After the LPF, we obtain

$$y''(t) = \sum_{k=0}^{\infty} a_k v(t - kT_s),$$

which is an expression we have seen before, haven't we?

## 1D Tx and Rx



The selection of  $h_R(t)$ ?

How do we choose the impulse response of the Rx filter?



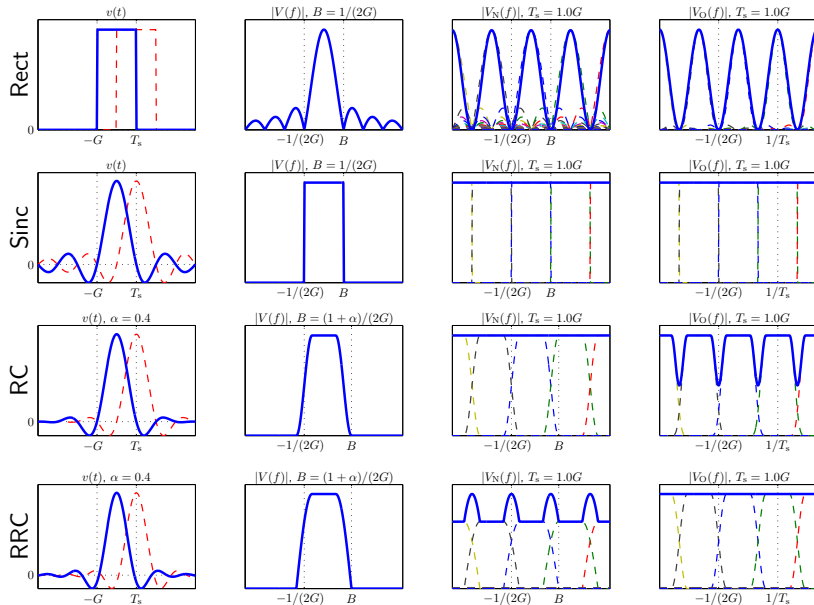
## What is the relationship between $B$ , $f_c$ , $f_{\text{samp}}$ , $R_s$ , $T_s$ , and $T_{\text{samp}}$ ?

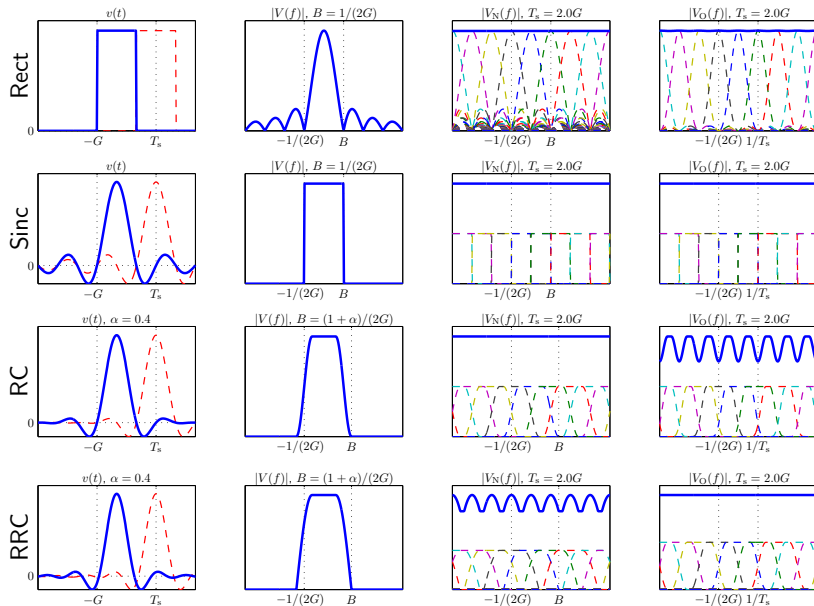
- Define the baseband bandwidth  $B$  [Hz] of  $V(f) = \mathcal{F}\{v(t)\}$  to be the lowest frequency  $f > 0$ , where  $|V(f)| = 0$  ( $L_5$ ).
- The passband bandwidth is then  $2B$  [Hz] ( $L_5$ ).
- The symbol rate is always  $R_s = 1/T_s$  [symbols/s], where  $T_s$  [s] is the time between symbols (or samples at the MF output) ( $L_2$ ).
- The received signal should be sampled every  $T_{\text{samp}}$  [s] with sampling frequency  $f_{\text{samp}} = 1/T_{\text{samp}} > 2(f_c + B)$  [Hz], where  $f_c$  [Hz] is the carrier frequency (The Sampling Theorem in  $L_1$ ).
- For Nyquist pulses ( $L_2$  and  $L_4$ ):

$$V_N(f) = \sum_{n=-\infty}^{\infty} V\left(f - \frac{n}{T_s}\right) = T_s v(0)$$

- For  $T_s$ -orthogonal pulses ( $L_4$ ):

$$V_O(f) = \sum_{n=-\infty}^{\infty} \left| V\left(f - \frac{n}{T_s}\right) \right|^2 = T_s E_v$$





## Summary and Conclusions

Pulse	Nyquist	$T_s$ -orthogonal	$B$
Rect	$T_s > G$	$T_s \geq 2G$	$1/(2G)$
Sinc	$T_s = kG$	$T_s = kG$	$1/(2G)$
RC	$T_s = kG$	–	$(1 + \alpha)/(2G)$
RRC	–	$T_s = kG$	$(1 + \alpha)/(2G)$

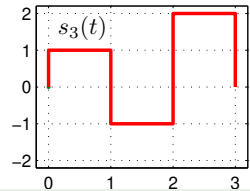
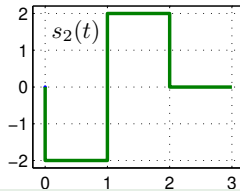
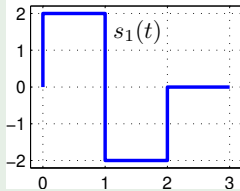
where  $k = 1, 2, 3, \dots$

# Part VII

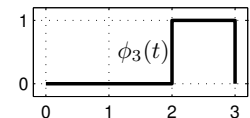
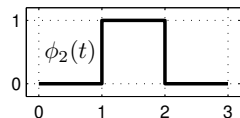
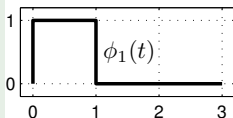
## Lecture 7

Example (Finding  $\phi_n(t)$ )

$$s_i(t) = \sum_{n=1}^N s_{i,n} \phi_n(t), \quad s_{i,n} = \int_{-\infty}^{\infty} s_i(t) \phi_n(t) dt,$$

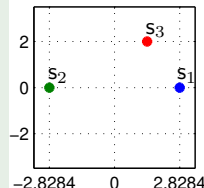
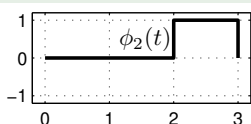
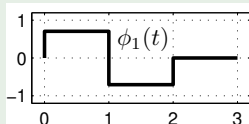
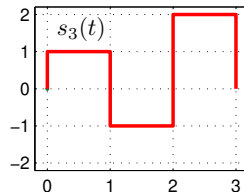
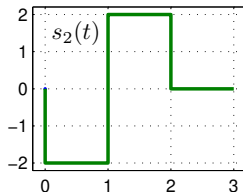
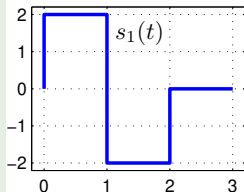


- One set of orthonormal basis functions,  $\phi_n(t)$ , are:



- What are the vectors  $s_1$ ,  $s_2$ , and  $s_3$ ?
- $s_1 = [2, -2, 0]$ ,  $s_2 = [-2, 2, 0]$ , and  $s_3 = [1, -1, 2]$

# Example (The Gram-Schmidt Process (Anderson p. 47))



$$s_1(t) = +\sqrt{8}\phi_1(t),$$

$$s_1 = [\sqrt{8}, 0]$$

$$s_2(t) = -\sqrt{8}\phi_1(t),$$

$$s_2 = [-\sqrt{8}, 0]$$

$$s_3(t) = +\sqrt{2}\phi_1(t) + 2\phi_2(t),$$

$$s_3 = [\sqrt{2}, 2]$$

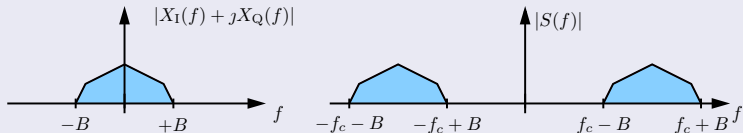
## 2D Passband Tx

- Transmitted signal (time domain):

$$s(t) = x_I(t) \cos(2\pi f_c t) + x_Q(t) \sin(2\pi f_c t)$$

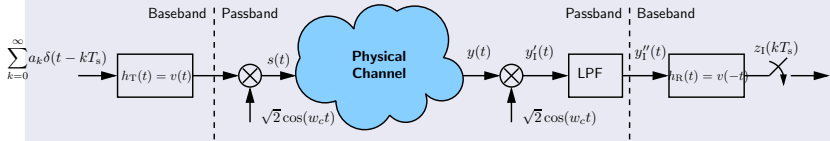
- Transmitted signal (frequency domain):

$$\begin{aligned} S(f) &= \mathcal{F}\{x_I(t) \cos(2\pi f_c t)\} + \mathcal{F}\{x_Q(t) \sin(2\pi f_c t)\} \\ &= \mathcal{F}\{x_I(t)\} * \mathcal{F}\{\cos(2\pi f_c t)\} + \mathcal{F}\{x_Q(t)\} * \mathcal{F}\{\sin(2\pi f_c t)\} \\ &= X_I(f) * \frac{1}{2} \left[ \delta(f + f_c) + \delta(f - f_c) \right] + X_Q(f) * \frac{j}{2} \left[ \delta(f + f_c) - \delta(f - f_c) \right] \\ &= \frac{1}{2} \left[ X_I(f + f_c) + X_I(f - f_c) + jX_Q(f + f_c) - jX_Q(f - f_c) \right] \end{aligned}$$





## 2D Passband Rx

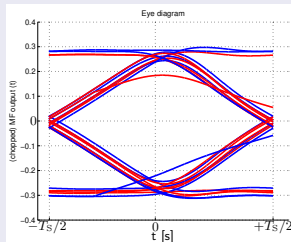
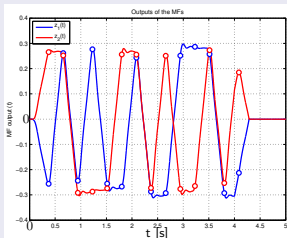


If the channel is good, we can assume  $y(t) \approx s(t)$ , and thus

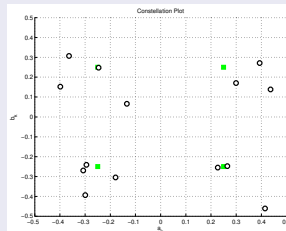
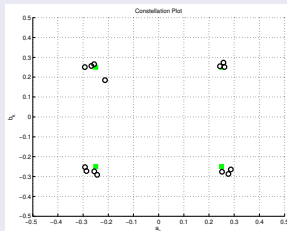
$$\begin{aligned}
 y_I'(t) &\approx \left[ \sqrt{2} \sum_{k=0}^{\infty} a_k v(t - kT_s) \cos(\omega_c t) + b_k v(t - kT_s) \sin(\omega_c t) \right] \sqrt{2} \cos(\omega_c t) \\
 &= \sum_{k=0}^{\infty} a_k v(t - kT_s) + \sum_{k=0}^{\infty} v(t - kT_s) (a_k \cos(2\omega_c t) + b_k \sin(2\omega_c t)) \\
 y_Q'(t) &\approx \left[ \sqrt{2} \sum_{k=0}^{\infty} a_k v(t - kT_s) \cos(\omega_c t) + b_k v(t - kT_s) \sin(\omega_c t) \right] \sqrt{2} \sin(\omega_c t) \\
 &= \sum_{k=0}^{\infty} b_k v(t - kT_s) + \sum_{k=0}^{\infty} v(t - kT_s) (a_k \sin(2\omega_c t) - b_k \cos(2\omega_c t))
 \end{aligned}$$

The LPF removes the red terms at frequency  $2\omega_c$ !

## MF's outputs and Eye Diagram



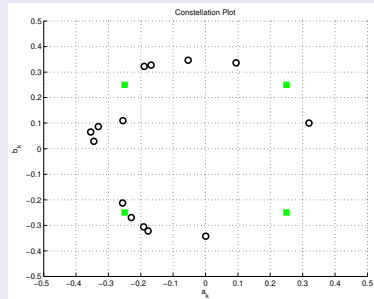
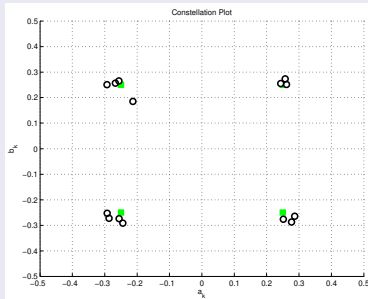
## Constellation Plot (Good and Bad Channel)



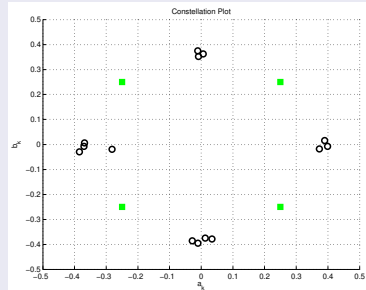
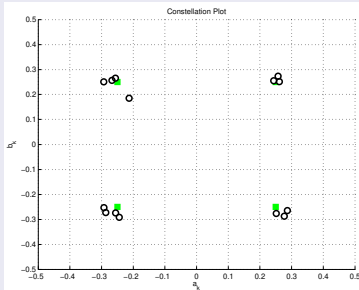
## Synchronization in Rx

- Carrier frequency synchronization:
  - The local oscillators in Rx is not exactly  $f_c$  but  $f_c + \Delta$
- Carrier phase synchronization:
  - The phase of the local oscillators in Rx is different than in Tx, i.e.,
  - $\cos(w_c t)$  and  $\sin(w_c t)$  are  $\cos(w_c t + \theta)$  and  $\sin(w_c t + \theta)$
- Symbol synchronization:
  - The output of the MFs are taken at the wrong instant, e.g.,
  - $t = 0.0T_s, 1.1T_s, 2.2T_s, \dots$  wrong sampling frequency
  - $t = 0.1T_s, 1.1T_s, 2.1T_s, \dots$  wrong timing
- Frame synchronization:
  - Locate where does the block of information bits start, i.e., where the beginning of the “sentence” starts.

## Constellation Plot for QPSK, good channel, and $\Delta = 0.1f_c$

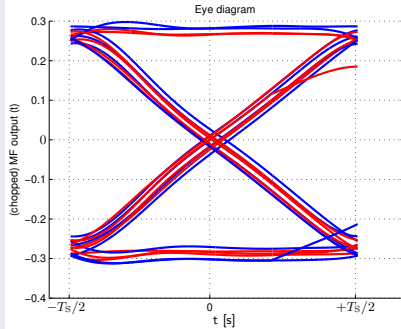
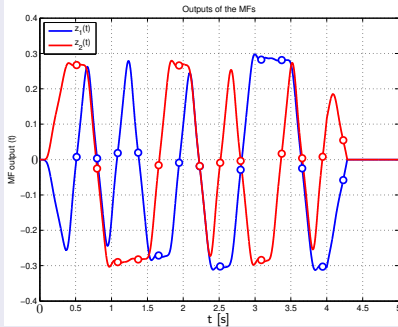


Wrong frequency  $\Rightarrow$  a “rotating” constellation

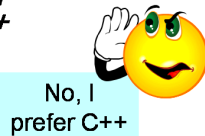
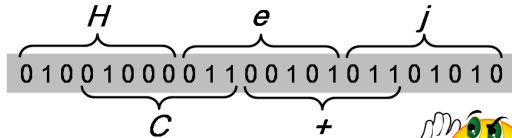
Constellation Plot for QPSK, good channel, and  $\theta = \pi/4$ 

Wrong phase  $\Rightarrow$  a “rotated” constellation

When the sampling instant is incorrect...  $t_k = kT_s + 0.5T_s$



## When the frame synchronization is incorrect...



# Part VIII

## Lecture 8



## 1D Gaussian random variables

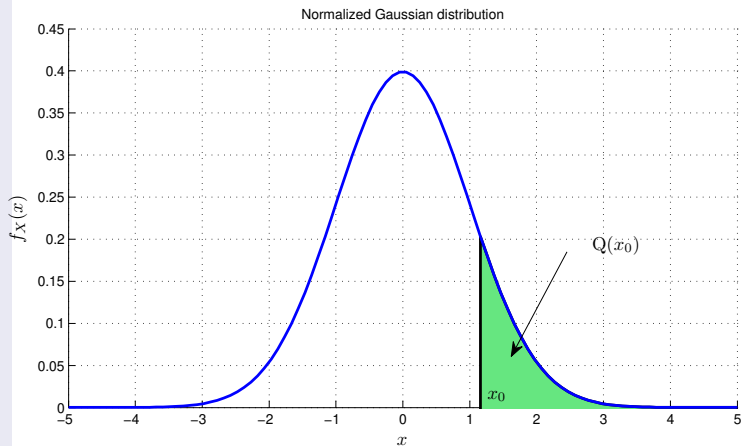
- Gaussian distribution is equivalent to normal distribution
- The mean value is  $\mu$  and the variance is  $\sigma^2$
- We use  $\mathcal{N}(\mu, \sigma^2)$  to denote this random variable
- The probability density function (PDF) of  $\mathcal{N}(\mu, \sigma^2)$  is

$$f_X(x) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right)$$

- The normalized Gaussian distribution is obtained when  $\mu = 0$  and  $\sigma^2 = 1$
- The probability density function of  $\mathcal{N}(0, 1)$  is

$$f_X(x) = \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{x^2}{2}\right)$$

## Normalized Gaussian distribution



## ML detection

In summary, minimizing the error probability is equivalent to maximizing the conditional probabilities (or PDFs)

$$\max_i \{\mathbb{P}[Y = y | S = s_i]\},$$

which is called **maximum likelihood** (ML) detection.

## ML for the AWGN channel

For the AWGN channel, the conditional PDF is

$$f_{Y|S}(y|s_i) = \frac{1}{(\pi N_0)^{N/2}} \exp\left(-\frac{\|y - s_i\|^2}{N_0}\right),$$

and therefore,

$$\max_i \{\mathbb{P}[Y = y | S = s_i]\} \equiv \min_i \{\|y - s_i\|\}$$

## Maximum a posteriori detection

- For ML detection, we assumed that all the symbols are equally likely
- If they are not, the problem is

$$\max_i \{ \mathbb{P} [S = s_i | Y = y] \} \equiv \max_i \{ \mathbb{P} [S = s_i] \mathbb{P} [Y = y | S = s_i] \}$$

- This is called **maximum a posteriori** (MAP) detection.

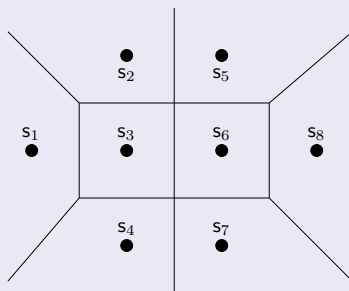
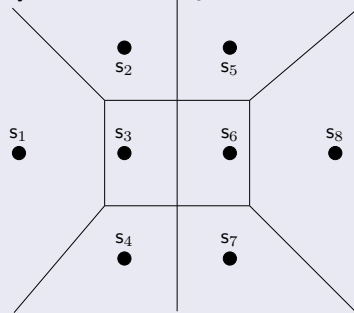
## MAP detection for the AWGN channel

- If the channel is AWGN the MAP detection is

$$\begin{aligned} & \max_i \{ \mathbb{P} [S = s_i | Y = y] \} \\ & \equiv \max_i \left\{ \mathbb{P} [S = s_i] \frac{1}{(\pi N_0)^{N/2}} \exp \left( -\frac{\|y - s_i\|^2}{N_0} \right) \right\} \\ & \equiv \max_i \left\{ \mathbb{P} [S = s_i] \exp \left( -\frac{\|y - s_i\|^2}{N_0} \right) \right\} \\ & \equiv \min_i \{ \|y - s_i\|^2 - N_0 \log \mathbb{P} [S = s_i] \} \end{aligned}$$

## OTTO constellation

Equally likely symbols

Symbols  $s_1$  and  $s_8$  are more likely

# Part IX

## Lecture 9

## Pairwise error probability (PEP) definition

The PEP is simply the probability of mistaking the symbol  $s_i$  by  $s_j$ , i.e.,

$$\text{PEP}^{(i,j)} = \mathbb{P} [\|Y - s_j\| \leq \|Y - s_i\| | S = s_i]$$

## PEP in 1D for an AWGN channel

$$\begin{aligned} \text{PEP}^{(i,j)} &= \mathbb{P} [\|Y - s_j\| < \|Y - s_i\| | S = s_i] \\ &= \int_{y_0}^{\infty} f_{Y|S}(y|s_i) dy = Q\left(\frac{y_0 - s_i}{\sigma}\right) \\ &= Q\left(\frac{s_i + s_j - 2s_i}{2\sqrt{N_0/2}}\right) = Q\left(\sqrt{\frac{D_{i,j}^2}{2N_0}}\right), \end{aligned}$$

where  $y_0 = (s_i + s_j)/2$  is halfway between the signals,  $\sigma^2 = N_0/2$  the variance of the noise, and  $D_{i,j}^2$  is the squared Euclidean distance between  $s_i$  and  $s_j$ , i.e.,  $D_{i,j}^2 = \|s_j - s_i\|^2$  (see also L<sub>7</sub>).

## The union bound (UB)

A good **approximation** of the average error probability  $P_e$  is

$$\begin{aligned} P_e &= \sum_{i=1}^M \mathbb{P}[S = s_i] \sum_{j \neq i} \mathbb{P}[Y \in \mathcal{R}_j | S = s_i] \\ &\leq \sum_{i=1}^M \mathbb{P}[S = s_i] \sum_{j \neq i} \text{PEP}^{(i,j)} = \sum_{i=1}^M \mathbb{P}[S = s_i] \sum_{j \neq i} Q \left( \sqrt{\frac{D_{i,j}^2}{2N_0}} \right) \end{aligned}$$

## The UB for equally likely symbols

$$P_e \leq \frac{1}{M} \sum_{i=1}^M \sum_{j \neq i} Q \left( \sqrt{\frac{D_{i,j}^2}{2N_0}} \right)$$

## The previous approximation...

- It is actually **not** an approximation (it is exact) for  $M = 2$



## Can we simplify it even more?

- The Q-functions decrease very fast when the argument increases
- For large arguments (high SNR), one of the Q-functions will dominate

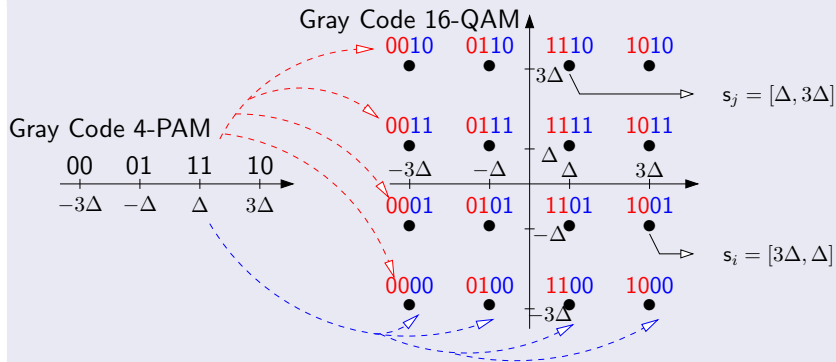
## Definition (High-SNR approximation)

For sufficiently high SNR, the average error probability can be approximated by

$$\begin{aligned}
 P_e &= \sum_{i=1}^M \mathbb{P}[S = s_i] \sum_{j \neq i} \mathbb{P}[Y \in \mathcal{R}_j | S = s_i] \\
 &\leq \frac{1}{M} \sum_{i=1}^M \sum_{j \neq i} Q\left(\sqrt{\frac{D_{i,j}^2}{2N_0}}\right) \approx \frac{2K}{M} \cdot Q\left(\sqrt{\frac{D_{\min}^2}{2N_0}}\right),
 \end{aligned}$$

where  $D_{\min} = \min_{i \neq j} \{D_{i,j}\}$  is the *minimum distance* of the constellation, and  $K$  is the number of signal pairs at minimum distance (section 2.6 in [Andersson])

## Gray code for 4-PAM and 64-QAM



# Part X

## Lecture 10

## The linear channel

- The channel is represented using a linear filter
- Noise is added at the receiver, but not by the channel
- The output of the channel is

$$r(t) = s(t) * h(t) = \int_{-\infty}^{\infty} s(\tau)h(t - \tau)d\tau,$$

or in the frequency domain  $R(f) = S(f) \cdot H(f)$ .

## Different types of channels

- Ideal channel:  $h(t) = \delta(t)$
- Multipath channel:  $h(t) = k_0\delta(t) + k_1\delta(t - \tau_1) + k_2\delta(t - \tau_2) + \dots$
- Fading channel:  $h(t) = \beta(t)$
- Guided channels: wire pair, wave guide, coaxial, cable, and optical fibers

## Link Budget

The received power  $P_R$  [W] is

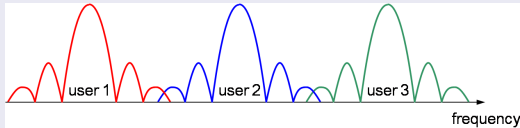
$$P_R = P_T G_T G_R \left( \frac{\lambda}{4\pi d} \right)^2,$$

where  $P_T$  [W] is the transmitted power by an isotropic antenna,  $G_T$  and  $G_R$  are the gains in TX and RX,  $\lambda = \frac{3 \cdot 10^8}{f_c}$  is the wavelength in [m],  $f_c$  is the carrier frequency [Hz], and  $d$  is the distance [m].

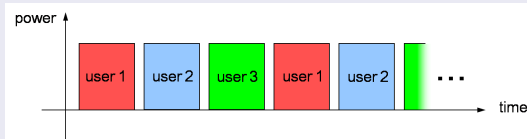
## Transmission Impairments

- Additive white Gaussian noise (AWGN)
- Cochannel interference (CCI)
- Adjacent channel interference (ACI)
- Intersymbol interference (ISI)
- Nonlinearities, e.g., PA with clipping

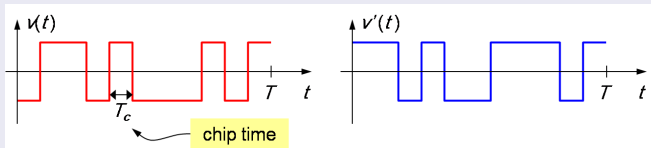
## Frequency-Division Multiple Access (FDMA)



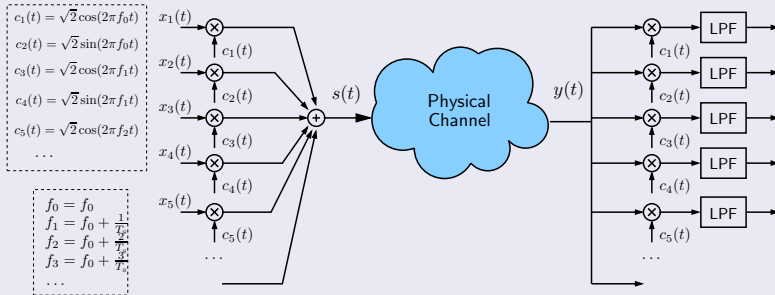
## Time-Division Multiple Access (TDMA)



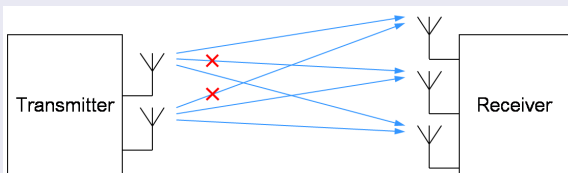
## Code-Division Multiple Access (CDMA)



# Orthogonal Frequency-Division Multiplexing (OFDM) Tx and Rx



## Multiple-Input Multiple-Output (MIMO)

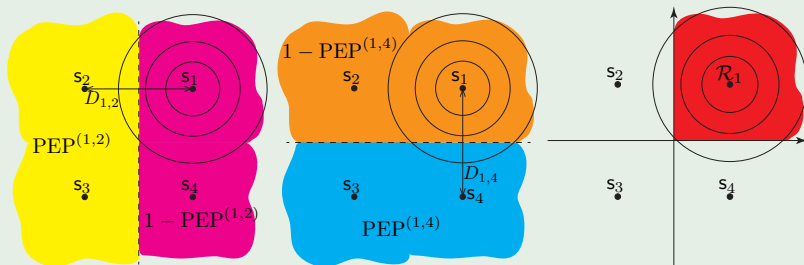


# Part XI

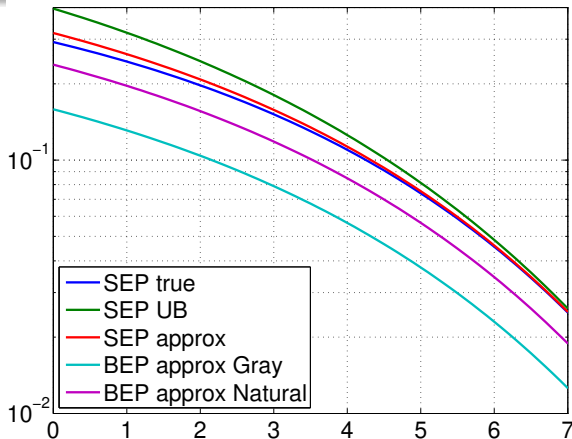
## Lecture 11



# Example (Exact SEP for QPSK)



- $D_{1,2}^2 = D_{1,4}^2 = 2E_s$
- $PEP^{(1,2)} = Q\left(\sqrt{\frac{D_{1,2}^2}{2N_0}}\right) = Q\left(\sqrt{\frac{E_s}{N_0}}\right) = PEP^{(1,4)}$
- $\mathbb{P}[Y \in \mathcal{R}_1 | S = s_1] = (1 - PEP^{(1,2)})(1 - PEP^{(1,4)})$   
 $= \left(1 - Q\left(\sqrt{\frac{E_s}{N_0}}\right)\right)^2 = 1 + Q\left(\sqrt{\frac{E_s}{N_0}}\right)^2 - 2Q\left(\sqrt{\frac{E_s}{N_0}}\right)$
- $P_e = 1 - \mathbb{P}[Y \in \mathcal{R}_1 | S = s_1] = 2Q\left(\sqrt{\frac{E_s}{N_0}}\right) - Q\left(\sqrt{\frac{E_s}{N_0}}\right)^2$



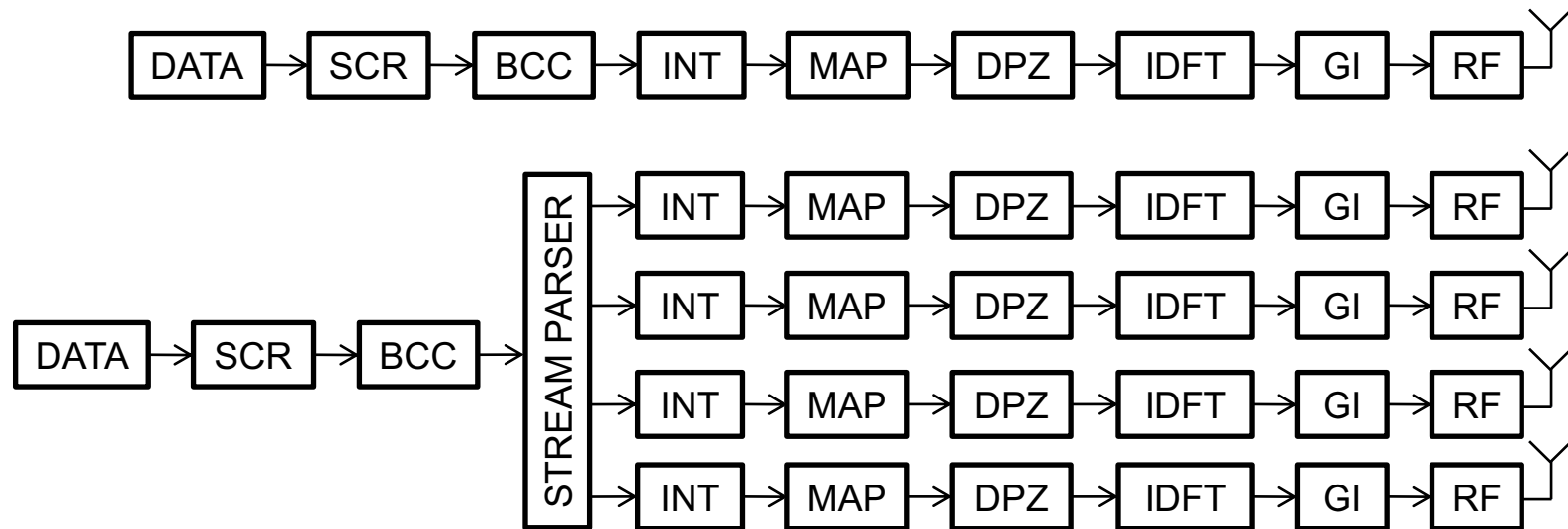
$$P_e = 2Q\left(\sqrt{\frac{E_s}{N_0}}\right) - Q\left(\sqrt{\frac{E_s}{N_0}}\right)^2 \leq 2Q\left(\sqrt{\frac{E_s}{N_0}}\right) + Q\left(\sqrt{\frac{2E_s}{N_0}}\right) \approx 2Q\left(\sqrt{\frac{E_s}{N_0}}\right)$$

$$B_e \approx Q\left(\sqrt{\frac{E_s}{N_0}}\right) \quad (\text{Gray}), \quad B_e \approx \frac{3}{2}Q\left(\sqrt{\frac{E_s}{N_0}}\right) \quad (\text{Natural})$$

## Part XII

### Lecture 12

## 802.11n: Performance?



- The data rate is increased from 54 to 600 Mbit/s.
- Mandatory (DPZ and BCC) and optional (SGI, 40 MHz, MIMO) features.



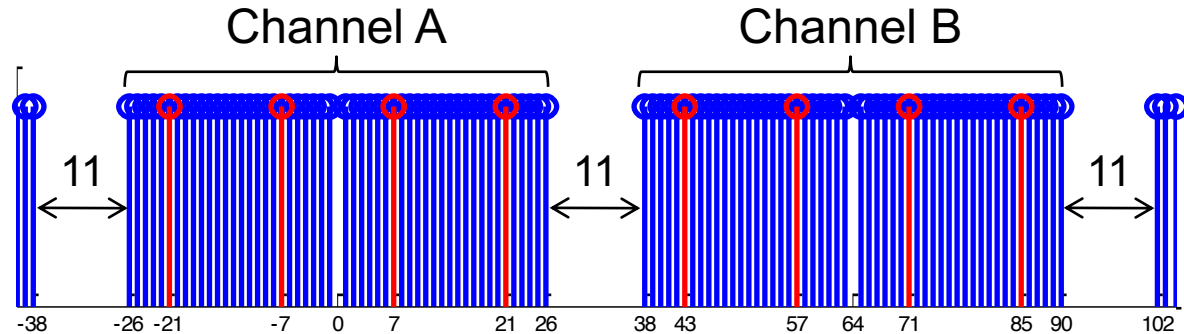
- What about the performance? Can it be improved? Suggestions?

# 802.11n: DPZ Allocation, 40 MHz

802.11a/g 20 MHz

48 D, 4 P, 12 Z

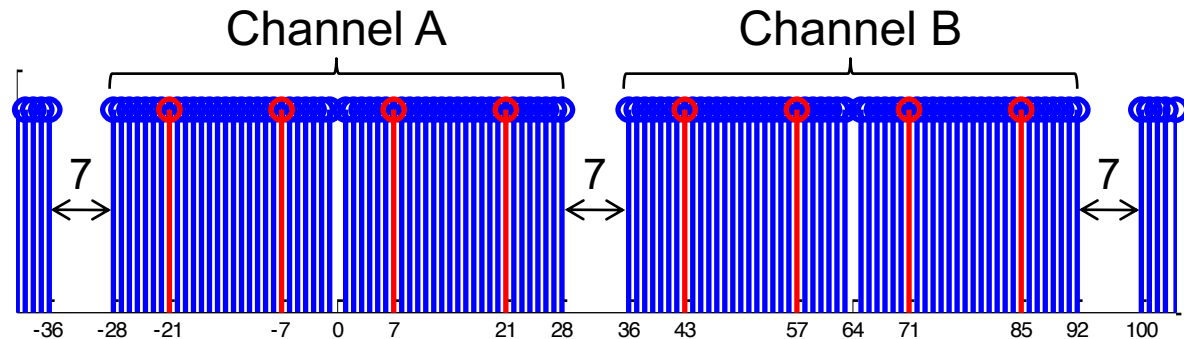
54 Mbit/s



802.11n 20 MHz

52 D, 4 P, 8 Z

72.2 Mbit/s



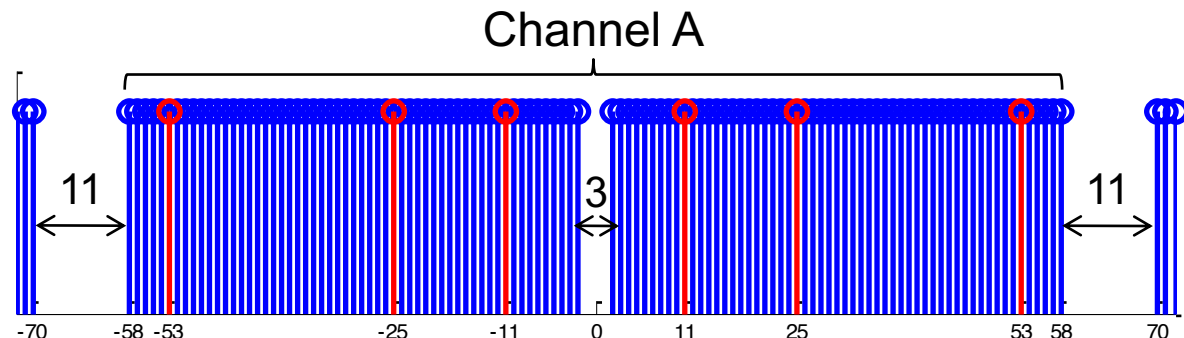
802.11n 40 MHz

108 D, 6 P, 14 Z

$72.2 \cdot 108 / 52$

= 150 Mbit/s

128-point IDFT



Good Luck!