# Transaction Management

#### Air-line Reservation

- 10 available seats vs 15 travel agents.
- How do you design a robust and fair reservation system?
  - Do not enough resources
  - Fair policy to every body
  - Robustness

#### **Failures**

Number of factors might cause failures in user requirements processing.

- 1. System failure:
  - Disk failure e.g. head crash, media fault.
  - System crash unexpected failure requiring a reboot.
- 2. Program error e.g. a divide by zero.
- 3. Exception conditions e.g. no seats for your reservation.
- 4. Concurrency control e.g. deadlock, expired locks.

## To handle failures correctly and efficiently

Each database user must express his requirements as a set of program units.

Each program unit is a transaction that either

- accesses the contents of the database, or
- changes the state of the database, from one consistent state to another.
- Sydney → Tokyo → LA→ N.Y
- It does not make sense only partial trip has tickets

Example transaction: buy a ticket from Sydney to N.Y. by JAL.

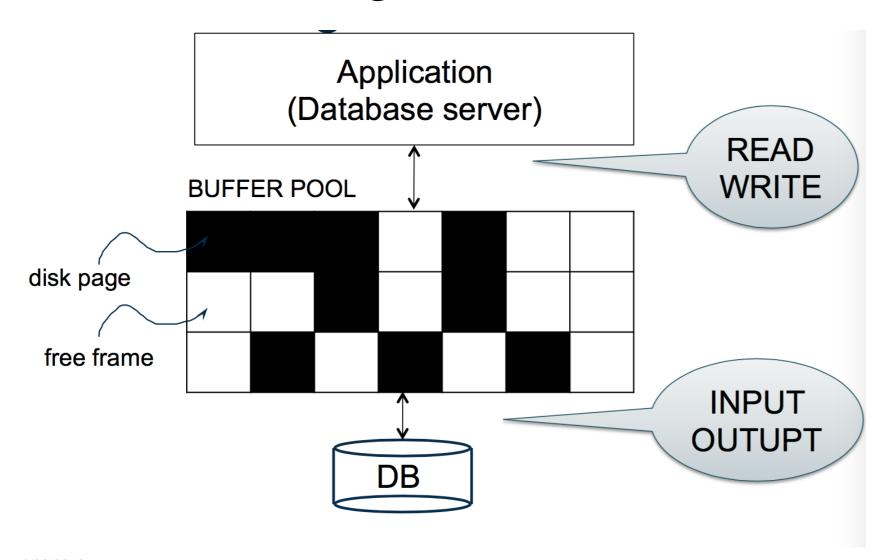
A transaction must be treated as an *atomic* unit.

## Transaction Processing

Three kinds of operations may be used in a transaction:

- Read.
- Write.
- Computation.

## Buffer Management in a DBMS



#### Read

1. Compute the data block that contains the item to be read

#### 2. Either

- find a buffer containing the block, or
- read from disk into a buffer

3. Copy the value from the buffer.

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#### Write

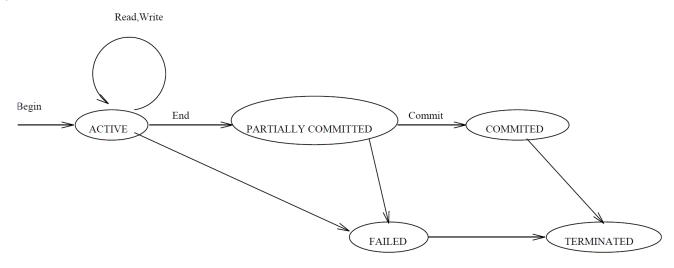
1. Compute the disk block containing the item to be written,

#### 2. Either

- find a buffer containing the block, or
- read from disk into a buffer,
- 3. Copy the new value into the buffer,
- 4. At some point (maybe later), write the buffer back to disk.

## Processing States of a Transaction

• The typical processing states are illustrated in the figure below (E/N Fig 17.4):



- **Partially committed point:** At this point, check and enforce the correctness of the concurrent execution.
- *Committed state:* Once a transaction enters the committed state, it has concluded its execution successfully.

# Desirable Properties of Transaction Processing ACID

- <u>Atomicity</u>: A transaction is either performed in its entirety or not performed at all.
- <u>Consistency preservation</u>: A correct execution of the transaction must take the database from one consistent state to another.
- <u>Isolation</u>: A transaction should not make its updates visible to other transactions until it is committed.
- <u>Durability or permanency</u>: Once a transaction changes the database and the changes are committed, these changes must never be lost because of subsequent failure.

#### Problems without Enforcing ACID

- For a banking system,
  - If durability is not enforced, then a customer may lose a deposit.
  - If consistency preservation is not enforced, then the bank runs a high risk of bankrupt. E.g., runover upper-limit.
- Below are the problems if atomicity and isolation are not enforced in a concurrent execution of transactions.

#### Lost Update Problem (Isolation is not enforced)

• Suppose we have these two transactions,  $T_1$  and  $T_2$ :

```
T_1:

read(X)
X \leftarrow X + N

write(X)

read(X)
X \leftarrow X + M

read(X)
X \leftarrow X + M

write(Y)

write(Y)
```

• Let us see what may happen if  $T_1$  and  $T_2$  are executed concurrently in an uncontrolled way:

#### Suppose initially that X = 100; Y = 50; N = 5 and M = 8.

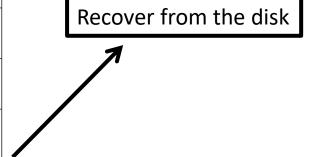
Database	$T_1$	$T_2$
X = 100, Y = 50	X = ?, Y = ?	X = ?
	read(X)	
X = 100, Y = 50	X = 100, Y = ?	X = ?
	$X \leftarrow X + N$	
X = 100, Y = 50	X = 105, Y = ?	X = ?
		read(X)
X = 100, Y = 50	X = 105, Y = ?	X = 100
		$X \leftarrow X + M$
X = 100, Y = 50	X = 105, Y = ?	X = 108
	write(X)	
X = 105, Y = 50	X = 105, Y = ?	X = 108
	read(Y)	
X = 105, Y = 50	X = 105, Y = 50	X = 108
		write(X)
X = 108, Y = 50	X = 105, Y = 50	X = 108
	$Y \leftarrow Y - N$	
X = 108, Y = 50	X = 105, Y = 45	X = 108
	write(Y)	
X = 108, Y = 45	X = 105, Y = 45	X = 108

• At the end of  $T_1$  and  $T_2$ , X should be 113, Y should be 45.

• The update  $X \leftarrow X + N$  has been lost.

## The Temporary Update Problem

Database	$T_1$	$T_2$
X = 100, Y = 50	X = ?, Y = ?	X = ?
	read(X)	
X = 100, Y = 50	X = 100, Y = ?	X = ?
	$X \leftarrow X + N$	
X = 100, Y = 50	X = 105, Y = ?	X = ?
	write(X)	
X = 105, Y = 50	X = 105, Y = ?	X = ?
	**FAILS**	
		read(X)
X = 105, Y = 50		X = 105
		$X \leftarrow X + M$
X = 105, Y = 50		X = 113



Several possibilities for what might happen next:

Database	T <sub>1</sub>	T <sub>2</sub>
X = 105, Y = 50		X = 113
X= 100, Y = 50 Case	e 1: DBMS undoes T <sub>1</sub>	X = 113
X=113, Y=50		Write (X) X= 113
Database	T <sub>1</sub>	T <sub>2</sub>
X = 105, Y = 50		X = 113
X= 105, Y = 50 Case 2: E	DBMS does nothing to $T_1$	X = 113
X=113, Y=50		Write (X) X= 113
Database	$T_1$	T <sub>2</sub>
X = 105, Y = 50		X = 113
X= 105, Y = 50		X = 113
X=100, Y=50 Cas	e 3: DBMS undoes T <sub>1</sub>	Write (X), X= 113 X = 100

Case 1:	Database	$T_1$	$T_2$
	X = 105, Y = 50		X = 113
	DBMS undoes $T_1$		
	X = 100, Y = 50		X = 113
			write(X)
	X = 113, Y = 50		X = 113

#### Case 2:

Database	$T_1$	$T_2$
X = 105, Y = 50		X = 113
DBMS does not	ning	about $T_1$
X = 105, Y = 50		X = 113
		write(X)
X = 113, Y = 50		X = 113

	Database	$T_1$	$T_2$
	X = 105, Y = 50		X = 113
Case 3:			write(X)
Case 5.	X = 113, Y = 50		X = 113
	DBMS und	does	$T_1$
	X = 100, Y = 50		X = 113

• In case 1 and 2, only half of  $T_1$  has been executed.

• In case 3,  $T_2$  has been lost.

### The Incorrect Summary Problem

$T_1$	$T_3$
	$sum \leftarrow 0$
	read(A)
	$sum \leftarrow sum + A$
	:
read(X)	
$X \leftarrow X - N$	
write(X)	
	:
	read(X)
	$sum \leftarrow sum + X$
	read(Y)
	$sum \leftarrow sum + Y$
	:
read(Y)	
$Y \leftarrow Y + N$	
write(Y)	
***********	
	:

• Here the sum calculated by  $T_3$  will be wrong by N.

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#### Recover from Failures

• Ensure the A in ACID

- Log-based Recovery
  - Undo logging
  - Redo logging
  - Undo/Redo logging

## System Log

- System Log
  - The system needs to record the states information to recover failures correctly.

- The information is maintained in a log (also called journal or audit trail).

 The system log is kept in hard disk but maintains its current contents in main memory.

## System Log

- Start transaction marker [start transaction, T]: Records that transaction T has started execution.
- [read item, T, X]: Records that transaction T has read the value of database item X.
- [write item, T, X, old value, new value]: Records that T has changed the value of database item X from old value to new value.
- Commit transaction marker [commit, T]: Records that transaction T has completed successfully, and arms that its effect can be committed (recorded permanently) to the database.
- [abort, T]: Records that transaction T has been aborted.

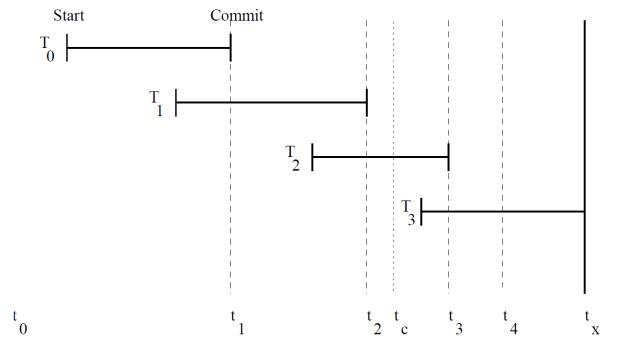
## System Log (Cont'd)

• In fact some other entries (rollback, undo, redo) are also required for a recovery method.

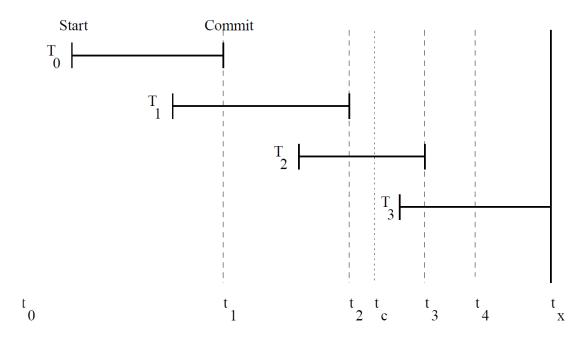
• These entries allow the recovery manager to *rollback* an unsuccessful transaction (undo any partial updates).

## Recovery

- Let us see how the log might be used to recover from a system crash.
- The diagram below shows transactions between the last system backup and a crash.



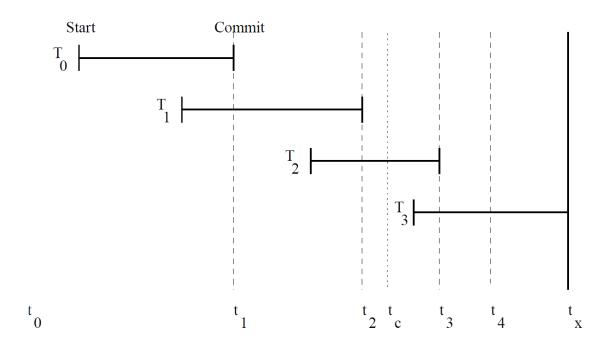
# Recovery (Cont'd)



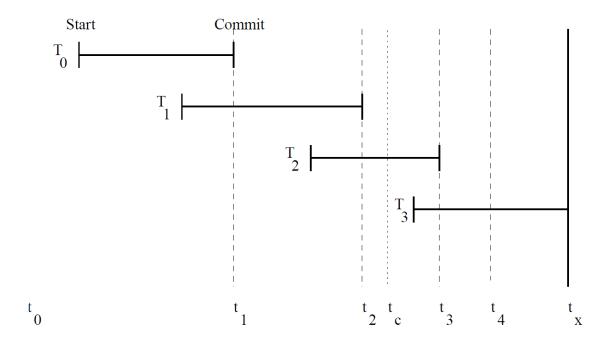
- The database on disk will be in a state somewhere between that at  $t_0$  and the state at  $t_r$ .
- The same is also true for log entries.

## Recovery (Cont'd)

- We will assume that the *write-ahead log strategy* is used. This means that
  - old data values must be force-written to the log (i.e. the buffer must be copied to disk) before any change can be made to the database, and
  - the transaction is regarded as committed when the new data values and the commit marker have been force-written to the log.
- Thus the log is force-written at least at  $t_1$ ,  $t_2$  and  $t_3$  in the above.



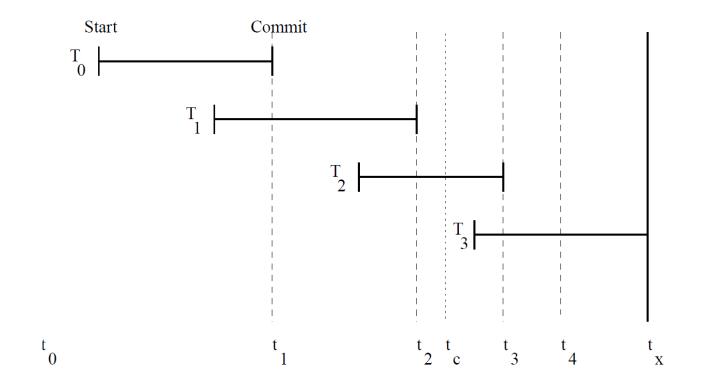
- Suppose the log was last written to disk at  $t_4$ .
- By examining the log:
  - 1. We know that  $T_0$ ,  $T_1$  and  $T_2$  have committed and their effects should be reflected in the database after recovery.
  - 2. But we do not know whether the effects of  $T_0$ ,  $T_1$  and  $T_2$  were reflected at the time of the crash.
  - 3. We also know that  $T_3$  has started, may have modified some data, but is not committed. Thus  $T_3$  should be undone.



- The database can be recovered by rolling back  $T_3$  using the old data values from the log, and redoing the changes made by  $T_0 \dots T_2$  using the new data values (for these committed transactions) from the log.
- Notice that instead of rolling back, the database could have been restored from the backup. This might be necessary in the event of a disk crash for example (for this reason, the log should be stored on an independent disk pack).

## Checkpoints

- Notice also that using this system, the longer the time between crashes, the longer recovery may take.
- To avoid this problem, the system may take *checkpoints* at regular intervals.
- To do this:
  - a start of checkpoint marker is written to the log, then
  - the database updates in buffers are force-written, then
  - an end of checkpoint marker is written to the log.



• In our example, suppose a checkpoint is taken at time  $t_c$ . Then on recovery we only need redo  $T_2$ .

# Recall: Desirable Properties of Transaction Processing: **ACID**

- <u>Atomicity</u>: A transaction is either performed in its entirety or not performed at all.
- <u>Consistency preservation</u>: A correct execution of the transaction must take the database from one consistent state to another.
- <u>Isolation</u>: A transaction should not make its updates visible to other transactions until it is committed.
- <u>Durability or permanency</u>: Once a transaction changes the database and the changes are committed, these changes must never be lost because of subsequent failure.

## Concurrency Control

• Multiple concurrent transactions  $T_1$ ,  $T_2$ , ...

• They read/write common elements  $A_1$ ,  $A_2$ , ...

• How can we prevent unwanted interference?

The Scheduler is responsible for that

#### Schedules of Transactions

- To fully utilise resources, desirable to interleave the operations of transactions in an appropriate way.
- For example, if one transaction is waiting for I/O to complete, another transaction can use the CPU.
- A schedule S of the transactions  $T_1, ..., T_n$ 
  - is a sequential ordering of the operations of  $T_1, ..., T_n$ , and
  - preserves the ordering of operations in each transaction  $T_i$ .

## Example Schedules

	a)	(I	b)
$T_1$	$T_2$	$T_1$	$T_2$
$ \begin{array}{l} \operatorname{read}(X) \\ X \leftarrow X + N \\ \operatorname{write}(X) \\ \operatorname{read}(Y) \\ Y \leftarrow Y - N \\ \operatorname{write}(Y) \end{array} $	$\begin{array}{c} \operatorname{read}(X) \\ X \leftarrow X + M \\ \operatorname{write}(X) \end{array}$	$\begin{array}{l} \operatorname{read}(X) \\ X \leftarrow X + N \\ \operatorname{write}(X) \\ \operatorname{read}(Y) \\ Y \leftarrow Y - N \\ \operatorname{write}(Y) \end{array}$	

## Example Schedules (Cont.)

(	c)	((	d)
$T_1$	$T_2$	$T_1$	$T_2$
$ read(X) \\ X \leftarrow X + N $	read(X) $X \leftarrow X + M$	$  read(X) \\ X \leftarrow X + N \\ write(X) $	read(X)
write(X) read(Y)	•	road(V)	$X \leftarrow X + M$ write(X)
$Y \leftarrow Y - N$ write(Y)	write(X)	read(Y) $Y \leftarrow Y - N$ write(Y)	

#### Serial Schedule

- As we have seen, if operations are interleaved arbitrarily, incorrect results may occur.
- However, it is reasonable to assume that schedules (a) and (b) in the figure will give correct results (as long as the transactions are independent).
- (a) and (b) are called *serial* schedules, and we will assume that *any serial* schedule is correct.

	a)	(1	o)
$T_1$	$T_2$	$T_1$	$T_2$
$ \begin{array}{l} \operatorname{read}(X) \\ X \leftarrow X + N \\ \operatorname{write}(X) \\ \operatorname{read}(Y) \\ Y \leftarrow Y - N \\ \operatorname{write}(Y) \end{array} $		$\begin{array}{l} \operatorname{read}(X) \\ X \leftarrow X + N \\ \operatorname{write}(X) \\ \operatorname{read}(Y) \\ Y \leftarrow Y - N \\ \operatorname{write}(Y) \end{array}$	

## Serializable Schedule

- Notice that schedule (d) always produces the same result as schedules (a) and (b), so it should also give correct results.
- A schedule is *serializable* if it always produces the same result as some serial schedule.
- Notice that schedule (c) is not serializable.

(c)		(d)	
$T_1$	$T_2$	$T_1$	$T_2$
$read(X) \\ X \leftarrow X + N$	read(X)	$ read(X) \\ X \leftarrow X + N \\ write(X) $	road(V)
write(X) read(Y)	$X \leftarrow X + M$ write(X)	read(Y)	$ \begin{array}{c} \operatorname{read}(X) \\ X \leftarrow X + M \\ \operatorname{write}(X) \end{array} $
$Y \leftarrow Y - N$ write(Y)	WITE(X)	$Y \leftarrow Y - N$ write(Y)	

# Scheduling Transactions

- <u>Serial schedule</u>: Schedule that does not interleave the actions of different transactions.
- <u>Equivalent schedules</u>: For any database state, the effect (on the set of objects in the database) of executing the first schedule is identical to the effect of executing the second schedule.
- <u>Serializable schedule</u>: A schedule over a set S of transactions is equivalent to some serial execution of the set of committed transactions in S.

Serializability

Note: If each transaction preserves consistency, every serializable schedule preserves consistency.

## Conflict Serializable Schedules

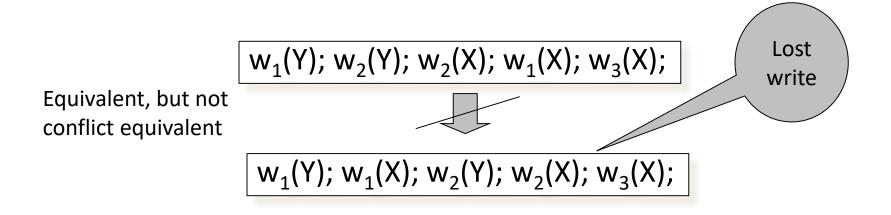
- Two schedules are *conflict equivalent* if:
  - Involve the same actions of the same transactions
  - Every pair of conflicting actions is ordered the same way

• Schedule S is *conflict serializable* if S is conflict equivalent to some serial schedule

# Conflict Serializability

• Any conflict serializable schedule is also a serializable schedule (why?)

• The inverse is not true.



## Testing Conflict Serializable

• Why not run only serial schedules? That is, run one transaction after the other?

Because of very poor throughput due to disk latency

- When there are only two transactions, there are only two serial schedules for *n* transactions there will be *n*!.
- Fortunately there is an efficient algorithm to check whether a schedule is conflict serializable without checking all these possibilities.

# Check Conflict Serializability

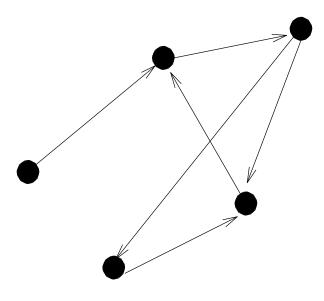
• Algorithm

Step 1: Construct a *schedule* (or *precedence*) graph – a *directed graph*.

Step 2: Check if the graph is *cyclic*:

- Cyclic: non-serializable.
- Acyclic: serializable.

- A directed graph G = (V, A) consists of
  - a vertex set V, and
  - an arc set A such that each arc connects two vertices.
- G is cyclic if G contains a directed cycle.



Cyclic Graph

# Construct a Schedule Graph $G_S = (V, A)$ for a schedule S

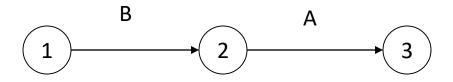
- 1. A vertex in V represents a transaction.
- 2. For two vertices  $T_i$  and  $T_j$ , an arc  $T_i \rightarrow T_j$  is added to A if
  - there are two *conflicting* operations  $O_1 \in T_i$  and  $O_2 \in T_j$ ,
  - in S,  $O_1$  is before  $O_2$ .

## Two operations $O_1$ and $O_2$ are conflicting if

- they are in different transactions but on the same data item,
- one of them must be a write.

## Example 1

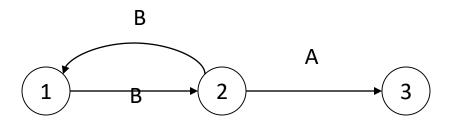
$$r_2(A); r_1(B); w_2(A); r_3(A); w_1(B); w_3(A); r_2(B); w_2(B)$$



This schedule is conflict-serializable

## Example 2

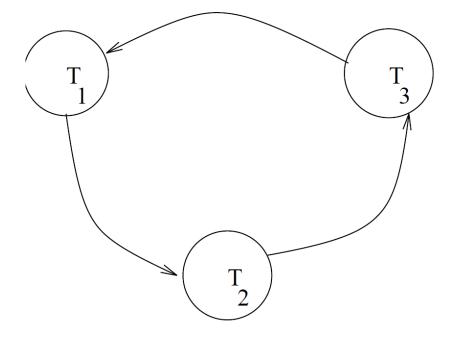
$$r_2(A); r_1(B); w_2(A); r_2(B); r_3(A); w_1(B); w_3(A); w_2(B)$$



This schedule is NOT conflict-serializable

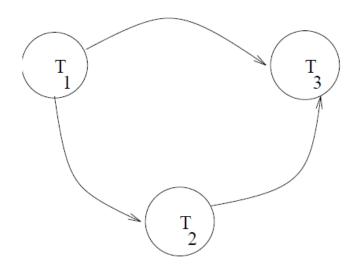
#### Example 1:

Schedule	$T_1$	$T_2$	$T_3$
read(A)	read(A)		
read(B)		read(B)	
$A \leftarrow f_1(A)$ read(C)	$A \leftarrow f_1(A)$		read(C)
$B \leftarrow f_2(B)$		$B \leftarrow f_2(B)$	( )
write(B) $C \leftarrow f_3(C)$		write(B)	$C \leftarrow f_3(C)$
write(C)			write(C)
write(A) read(B)	write(A)		read(B)
read(A)		read(A)	( )
$A \leftarrow f_4(A)$ read(C)	read(C)	$A \leftarrow f_4(A)$	
write(A)	. ,	write(A)	
$C \leftarrow f_5(C)$ write(C)	$C \leftarrow f_5(C)$ write(C)		
$B \leftarrow f_6(B)$	Witte(C)		$B \leftarrow f_6(B)$
write(B)			write(B)



## Example 2:

Schedule	$T_1$	$T_2$	$T_3$
read(A)	read(A)		
$A \leftarrow f_1(A)$	$A \leftarrow f_1(A)$		
read(C)	read(C)		
write(A)	write(A)		
$A \leftarrow f_2(C)$	$A \leftarrow f_2(C)$		
read(B)		read(B)	
write(C)	write(C)		
read(A)		read(A)	
read(C)			read(C)
$B \leftarrow f_3(B)$		$B \leftarrow f_3(B)$	
write(B)		write(B)	
$C \leftarrow f_4(C)$			$C \leftarrow f_4(C)$
read(B)			read(B)
write(C)			write(C)
$A \leftarrow f_5(A)$		$A \leftarrow f_5(A)$	
write(A)		write(A)	
$B \leftarrow f_6(B)$			$B \leftarrow f_6(B)$
write(B)			write(B)



- Unfortunately, testing for serializability on the fly is not practical.
- Instead, a number of protocols have been developed which ensure that if every transaction obeys the rules, then *every* schedule will be serializable, and thus correct.

# Concurrency Control Methods

## Locking Mechanism

The idea of locking some data item *X* is to:

- give a transaction exclusive use of the data item X,
- do not restrict the access of other data items.

This prevents one transaction from changing a data item currently being used in another transaction.

• We will discuss a simple locking scheme which locks individual items, using read and write locks

## Locking Rules

- In this schema, every transaction T must obey the following rules.
- 1) If T has only one operation (read/write) manipulating an item X:
  - obtain a read lock on X before reading it,
  - obtain a write lock on X before writing it,
  - unlock X when done with it.
- 2) If T has several operations manipulating X:
  - obtain one proper lock only on *X*:
  - a read lock if all operations on X are reads;
  - a write lock if one of these operations on *X* is a write.
  - unlock X after the last operation on X in T has been executed.

# Locking Rules (cont.)

- In this scheme,
  - Several read locks can be issued on the same data item at the same time.
  - A read lock and a write lock cannot be issued on the same data item at the same time, neither two write locks.
- This still does not guarantee serializability.

Example: Based on E/N Fig 18.3.

$T_1$	$T_2$
read_lock(Y) read(Y) unlock(Y)	
	read_lock(X) read(X) unlock(X) write_lock(Y) read(Y) $Y \leftarrow X + Y$ write(Y)
$\begin{aligned} & \text{write\_lock}(X) \\ & \text{read}(X) \\ & X \leftarrow X + Y \\ & \text{write}(X) \\ & \text{unlock}(X) \end{aligned}$	unlock(Y)

# Two Phase Locking (2PL)

- To guarantee serializability, transactions must also obey the *two-phase locking protocol*:
  - Growing Phase: all locks for a transaction must be obtained before any locks are released, and
  - Shrinking Phase: gradually release all locks (once a lock is released no new locks may be requested).

# Two Phase Locking (2PL) (Cont.)

Example: Based on E/N Fig 18.4.

```
T_1
read\_lock(Y)
read(Y)
write\_lock(X)
unlock(Y)
read(X)
X \leftarrow X + Y
write(X)
unlock(X)
```

• Locking thus provides a solution to the problem of correctness of schedules.

Two phase locking ensures conflict serializability

## Deadlock

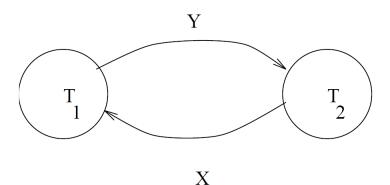
- A problem that arises with locking is **deadlock**.
- Deadlock occurs when two transactions are each waiting for a lock on an item held by the other.

$T_1$	$T_2$
write_lock(X) read(X)	
	write_lock(Y) read(Y)
$write\_lock(Y)$	
**waiting for Y***	$write\_lock(X)$
**waiting for Y***	***waiting for X***

## Deadlock Check

- Create the *wait-for graph* for currently active transactions:
  - create a vertex for each transaction; and
  - an arc from  $T_i$  to  $T_j$  if  $T_i$  is waiting for an item locked by  $T_j$ .
- If the graph has a cycle, then a *deadlock* has occurred.

### Example:



## Several methods to deal with deadlocks

#### deadlock detection

 periodically check for deadlocks, abort and rollback some transactions (restart them later). This is a good choice if transactions are very short or very independent.

# Several methods to deal with deadlocks (Cont.)

- <u>deadlock prevention</u> Assign priorities based on timestamps. Assume Ti wants a lock that Tj holds. Two policies are possible:
  - Wait-Die: If Ti has higher priority, Ti waits for Tj; otherwise Ti aborts
  - Wound-wait: If Ti has higher priority, Tj aborts; otherwise Ti waits
- If a transaction re-starts, make sure it has its original timestamp

# Timestamp ordering

• The idea here is:

to assign each transaction a timestamp (e.g. start time of transaction),
 and

 to ensure that the schedule used is equivalent to executing the transactions in timestamp order

### • Each data item, X, is assigned

- a read timestamp, read TS(X) the latest timestamp of a transaction that read X, and
- a write timestamp, write TS(X) the latest timestamp of a transaction that write X.

• These are used in read and write operations as follows. Suppose the transaction timestamp is *T*.

```
read(X):
   If T >= write_TS(X) then
       { execute read(X);
       if T \ge read_TS(X) then
             read_TS(X) <- T }</pre>
   else
      rollback the transaction and restart
write(X):
   If T >= read_TS(X) and T >= write_TS(X) then
      { execute write(X); write_TS(X) <- T }
   else
      rollback and restart
```

#### • Thomas' write rule:

```
write(X):

If T < read_TS(X) then
    rollback and restart
else if T < write_TS(X) then
    ignore the write
else
    { execute write(X);
    write_TS(X) <- T }</pre>
```

#### Some problems:

- Cyclic restart: There is no deadlock, but a kind of livelock can occur some transactions may be constantly aborted and restarted.
- Cascading rollback: When a transaction is rolled back, so are any transactions which read a value written by it, and any transactions which read a value written by them . . . etc. This can be avoided by not allowing transactions to read values written by uncommitted transactions (make them wait).

# Multiversioning

- Similar to the timestamp ordering approach; but is allowed to access "old" versions of a table.
- A history of the values and timestamps (versions) of each item is kept.
- When the value of an item is needed, the system chooses a **proper** version of the item that maintains serializability.
- This results in fewer aborted transactions at the cost of greater complexity to maintain more versions of each item.

• We will look at a scheme, several versions  $X_1, ..., X_k$  of each data item are kept. For each  $X_i$  we also keep

- $read TS(X_i)$  as for timestamp ordering.
- write  $TS(X_i)$  as for timestamp ordering.

• Read and write are done as follows for a transaction *P* with timestamp T.

```
read(X):
```

Find Xi s.t. write\_TS(Xi) is the
 highest write timestamp but <= T
update read\_TS(Xi) (and do read(Xi))
return Xi as the value for X</pre>

#### write(X):

```
Find Xi s.t. write_TS(Xi) is the
   highest write timestamp but <= T
if T < read_TS(Xi) then
   rollback and restart
else
   { create a new version X(k+1) of X;
    set read_TS(X(k+1)) to T;
   set write_TS(X(k+1)) to T}</pre>
```

- *Note:* Cascading rollback and cyclic restart problems can still occur, but should be reduced.
- However, there is an increased overhead in maintaining multiple versions of items.

# Optimistic scheduling

- In two-phase locking, timestamp ordering, and multiversioning concurrency control techniques, a certain degree of checking is done **before** a database operation can be executed.
- The idea here is to push on and hope for the best!
- No checking is done while the transaction is executing.

- The protocol has three phases.
  - <u>read phase</u> A transaction can read data items from the database into local variables. However, updates are applied only to local copies of the data items kept in the transaction workspace.
  - validation phase checks are made to ensure that serializability is not violated,
  - <u>write phase</u> -if validation succeeds, updates are applied and the transaction is committed. Otherwise, the updates are discarded and the transaction is restarted.

- A scheme uses timestamps and keeps each transaction's
  - read-set the set of items read by the transaction,
  - write-set the set of items written by the transaction.

• During validation, we check that the transaction does not interfere with any transaction that is committed or currently validating.

- Each transaction T is assigned 3 timestamps: Start(T), Validation(T), Finish(T).
- To pass the validation test for T, one of the following must be true:
  - -1. Finish(S) < Start(T); or
  - -2. for S s.t. Start(T) < Finish(S), then
    - a) write set of S is disjoint from the read set of T, and
    - b) Finish(S) < Validation(T).

Optimistic control is a good option if there is not much interaction between transactions.

## 2PL vs. TSO vs. MV vs. OP

- A Comparison among two-phase locking (2PL), timestamp ordering (TSO), multiversioning (MV), optimistic (OP) concurrency control techniques.
- MV should provide the greatest concurrency degree (in average). However, we need to maintain multiversions for each data item.
- 2PL can offer the second greatest concurrency degree (in average); but will result in deadlocks. To resolve the deadlocks, either
  - need additional computation to detect deadlocks and to resolve the deadlocks, or
  - reduce the concurrency degree to prevent deadlocks by adding other restrictions.

## 2PL vs. TSO vs. MV vs. OP (cont.)

- If most transactions are very short, we can use 2PL + deadlock detection and resolution.
- TSO has a less concurrency degree than that of 2PL if a proper deadlock resolution is found. However, TSO does not cause deadlocks. Other problems, such as cyclic restart and cascading rollback, will appear in TSO.
- If there are not much interaction between transactions, OP is a very good choice. Otherwise, OP is a bad choice.