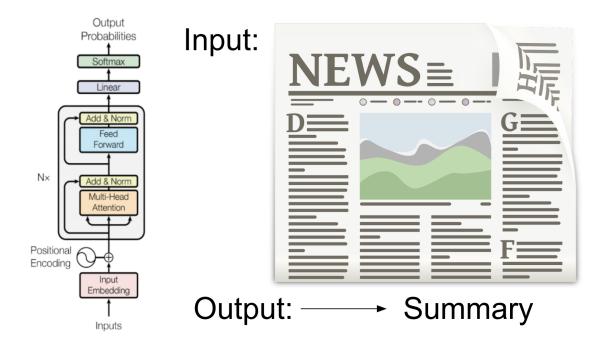
# **Assignment 2: Transformer Summarizer**

Welcome to the second assignment of course 4. In this assignment you will explore summarization using the transformer model. Yes, you will implement the transformer decoder from scratch, but we will slowly walk you through it. There are many hints in this notebook so feel free to use them as needed.



# **Outline**

- Introduction
- Part 1: Importing the dataset
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  - 1.2 Defining parameters
  - 1.3 Exploring the data
- Part 2: Summarization with transformer
  - 2.1 Dot product attention
    - Exercise 01
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    - Exercise 02
  - 2.3 Transformer decoder block
    - Exercise 03
  - 2.4 Transformer Language model
    - Exercise 04
- · Part 3: Training
  - 3.1 Training the model
    - Exercise 05
- Part 4: Evaluation
  - 4.1 Loading in a trained model
- Part 5: Testing with your own input
  - Exercise 6
  - 5.1 Greedy decoding
    - Exercise 07

### Introduction

Summarization is an important task in natural language processing and could be useful for a consumer enterprise. For example, bots can be used to scrape articles, summarize them, and then you can use sentiment analysis to identify the sentiment about certain stocks. Anyways who wants to read an article or a long email today, when you can build a transformer to summarize text for you. Let's get started, by completing this assignment you will learn to:

- Use built-in functions to preprocess your data
- Implement DotProductAttention
- Implement Causal Attention
- · Understand how attention works
- Build the transformer model
- Evaluate your model
- · Summarize an article

As you can tell, this model is slightly different than the ones you have already implemented. This is heavily based on attention and does not rely on sequences, which allows for parallel computing.

```
In [8]: import sys
    import os

import numpy as np

import textwrap
    wrapper = textwrap.TextWrapper(width=70)

import trax
    from trax import layers as tl
    from trax.fastmath import numpy as jnp

# to print the entire np array
    np.set_printoptions(threshold=sys.maxsize)
```

INFO:tensorflow:tokens\_length=568 inputs\_length=512 targets\_length=114
noise\_density=0.15 mean\_noise\_span\_length=3.0

# Part 1: Importing the dataset

Trax makes it easy to work with Tensorflow's datasets:

# 1.1 Tokenize & Detokenize helper functions

Just like in the previous assignment, the cell above loads in the encoder for you. Given any data set, you have to be able to map words to their indices, and indices to their words. The inputs and outputs to your <a href="mailto:Trax">Trax</a> (<a href="https://github.com/google/trax">https://github.com/google/trax</a>) models are usually tensors of numbers where each number corresponds to a word. If you were to process your data manually, you would have to make use of the following:

- word2Ind: a dictionary mapping the word to its index.
- ind2Word: a dictionary mapping the index to its word.
- word2Count: a dictionary mapping the word to the number of times it appears.
- num\_words: total number of words that have appeared.

Since you have already implemented these in previous assignments of the specialization, we will provide you with helper functions that will do this for you. Run the cell below to get the following functions:

- tokenize: converts a text sentence to its corresponding token list (i.e. list of indices). Also converts words to subwords.
- detokenize: converts a token list to its corresponding sentence (i.e. string).

```
In [10]: def tokenize(input str, EOS=1):
              """Input str to features dict, ready for inference"""
             # Use the trax.data.tokenize method. It takes streams and returns st
         reams,
             # we get around it by making a 1-element stream with `iter`.
             inputs = next(trax.data.tokenize(iter([input str]),
                                                vocab dir='vocab dir/',
                                                vocab file='summarize32k.subword.s
         ubwords'))
             # Mark the end of the sentence with EOS
             return list(inputs) + [EOS]
         def detokenize(integers):
             """List of ints to str"""
             s = trax.data.detokenize(integers,
                                       vocab dir='vocab dir/',
                                       vocab file='summarize32k.subword.subwords')
             return wrapper.fill(s)
```

# 1.2 Preprocessing for Language Models: Concatenate It!

This week you will use a language model -- Transformer Decoder -- to solve an input-output problem. As you know, language models only predict the next word, they have no notion of inputs. To create a single input suitable for a language model, we concatenate inputs with targets putting a separator in between. We also need to create a mask -- with 0s at inputs and 1s at targets -- so that the model is not penalized for mis-predicting the article and only focuses on the summary. See the preprocess function below for how this is done.

```
In [11]: # Special tokens
         SEP = 0 # Padding or separator token
         EOS = 1 # End of sentence token
         # Concatenate tokenized inputs and targets using 0 as separator.
         def preprocess(stream):
             for (article, summary) in stream:
                 joint = np.array(list(article) + [EOS, SEP] + list(summary) + [E
         OS])
                 mask = [0] * (len(list(article)) + 2) + [1] * (len(list(summary))
         )) + 1) # Accounting for EOS and SEP
                 yield joint, joint, np.array(mask)
         # You can combine a few data preprocessing steps into a pipeline like th
         is.
         input pipeline = trax.data.Serial(
             # Tokenizes
             trax.data.Tokenize(vocab_dir='vocab_dir/',
                                 vocab file='summarize32k.subword.subwords'),
             # Uses function defined above
             preprocess,
             # Filters out examples longer than 2048
             trax.data.FilterByLength(2048)
         # Apply preprocessing to data streams.
         train stream = input pipeline(train stream fn())
         eval stream = input pipeline(eval stream fn())
         train input, train target, train mask = next(train stream)
         assert sum((train input - train target)**2) == 0 # They are the same in
         Language Model (LM).
```

In [12]: # prints mask, Os on article, 1s on summary
 print(f'Single example mask:\n\n {train\_mask}')

Single example mask:

0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 1 1]

```
In [13]: # prints: [Example][<EOS>][<pad>][Example Summary][<EOS>]
    print(f'Single example:\n\n {detokenize(train_input)}')
```

Single example:

Chelsea's early season form may have led to comparisons with the Arsenal 'Invincibles' side, but Gary Neville believes they aren't even as good as the Chelsea side from 10 years ago. Jose Mourinho's side are currently four points clear at the top of the Premier League, but after letting leads slip against both Manchester City and United, their killer instinct has been called into question. 'If a team are going to be playing for a 1-0 then you better see it out,' Neville said on Monday Night Football. 'When I saw Jose Mourinho two weeks ago he talked about the 2005 (Chelsea) team and (compared) the team he had then to the team he has now and he said the killer instinct's missing. Chelsea have dropped more points from winning positions this season than they did in the whole of 2004/05. Chelsea took the lead against both Manchester United and Manchester City, but drew both matches . 'When I look at the statistics they are staggering - 28 times they (the 2004/05 team) scored first (in Premier League matches), 27 the season after and they only dropped two and four points (respectively). 'This team this season, even though they're at a really high level, have scored first seven times and already dropped four points. They've got to get to that next level.' 'When (Manchester) City went down to 10 men I thought Chelsea let them off the hook and yesterday at 1-0 up I think Chelsea let United off the hook. 'There's a mentality shift. Gary Neville was talking on Sky Sports' Monday Night Football show with Sportsmail's Jamie Carragher . Robin van Persie scores Manchester United's injury-time equaliser against Chelsea at Old Trafford . The away side had appeared to be in control of the game but were undone with just moments remaining . 'At Manchester City they went from 55 per cent possession for the 10 minutes before the goal, and the 10 minutes after they went to 26 per cent possession, and City had 10 men. That can't be an instruction from the manager. That's a shift in the players. 'Yesterday (against Manchester United) they went from 64 per cent (possession before scoring) to 45 per cent (after scoring). They switch off. 'This is not the manager changing it. The players who have worked themselves into a 1-0 lead have then sat deeper.'<EOS><pad>Chelseaare four points clear at the top of the Premier League . Jose Mourinho's side have proved themselves to be early title favourites . But Gary Neville believes there is still room for improvement . The former Manchester United defender criticised their lack of killer instinct . Chelsea dropped points against both Manchester clubs .<EOS>

# 1.3 Batching with bucketing

As in the previous week, we use bucketing to create batches of data.

```
In [14]: # Bucketing to create batched generators.

# Buckets are defined in terms of boundaries and batch sizes.
# Batch_sizes[i] determines the batch size for items with length < bound aries[i]
# So below, we'll take a batch of 16 sentences of length < 128 , 8 of length < 256,
# 4 of length < 512. And so on.
boundaries = [128, 256, 512, 1024]
batch_sizes = [16, 8, 4, 2, 1]

# Create the streams.
train_batch_stream = trax.data.BucketByLength(
    boundaries, batch_sizes)(train_stream)

eval_batch_stream = trax.data.BucketByLength(
    boundaries, batch_sizes)(eval_stream)</pre>
```

# In [15]: # Every execution will result in generation of a different article # Try running this cell multiple times to see how the length of the exam ples affects the batch size input\_batch, \_, mask\_batch = next(train\_batch\_stream) # Shape of the input\_batch input\_batch.shape

Out[15]: (2, 1024)

In [16]: # print corresponding integer values
 print(input\_batch[0])

						C+_ 11 2_1 13	signment					
]		379	3867	5208	151	11399	5061	5	379	9720	22449	359
9	4601	3	388	180	2130	16958	4	2	66	568	420	37
	187	3070	14287	592	16485	5	50	82	2710	37	1252	132
9	132	2054	3778	186	467	299	564	17833	956	2	5103	52
	19735	464	1487	39	1151	3322	3	2043	220	984	2	10
3	1353	1595	285	213	459	458	40	424	213	175	7	
5	94	1123	27439	9275	29	10967	4018	3105	78	3004	186	369
8	2	1248	28	600	6774	446	1487	78	3004	2	186	68
5	6295	88	226	355	213	3105	7	5	1569	982	5802	7
8	3698	3	5674	2	41	18	2659	44	1271	3698	1487	35
5	31	566	12955	5	186	756	4389	1020	78	31	221	309
1	2912	3	18761	213	3683	11	15532	4677	12596	311	186	1529
9		11944	3965	24256	78	284	527	213	2150	3070	14287	132
9			14287	7	5	299	564	935	23	3575	8457	975
7		70							3	9		
2			186	103	229	234	1441	2077			3443	
0	476	4636	4853	2	229	213	503	285	3070	14287	1075	9
2	196	1274	1020	7169	1248	31	8744	78	299	17833	7791	
9	186	756	82	186	224	1020	320	193	36	3	397	22
6	3891	78	3004	39	1151	224	1838	285	78	3698	2	18
	18676	6288	1435	6210	144	1526	64	467	148	3	2043	82
	1859	2	3070	14287	1471	379	150	82	4342	1838	31	215
		2	10147	861	7716	512	379	3804	9581	1337	15532	467
·		311	186	15299	4	11944	3965	24256	2	2341	31	309
		3	244	809	213	782	285	213	3105	40	379	162
7	6774	446	3004	1487	2	3070	14287	2031	8185	3797	6381	37
_	16962	15769	17	28	776	3756	33	1074	28	846	2396	32
0		434	3	10313	272	2	28	501	17578	88	226	4
0		3	348	10563	11	9	72	16423	111	6661	379	33
7	824	1281	1242	1634	1487	285	1331	44	3830	1248	213	310

-							8					
5	70	186	8283	105	2532	1782	6381	229	456	810	3898	307
	14287	793	6676	1850	5646	1782	69	23	11889	1487	80	109
7	7666	78	379	3004	2	186	22	7	5	139	1050	7
8	3698	10220	52	1634	101	579	82	3	52	7	5	2
8	1281	186	776	1626	1019	105	2002	1715	11855	4343	2	1331
0	379	895	1535	214	213	16679	15200	1071	527	10967	9908	
2	186	3615	3847	379	1487	4426	634	74	2872	105	809	2
8	1675	3	639	54	4018	1542	3872	379	9717	1879	6	227
9	1765	285	22608	4	38	35	213	4529	6391	70	2434	37
7	5837	26798	7829	1606	15	220	907	320	28	7154	2298	52
4	4081	379	11546	2	1248	92	18741	212	186	86	28	1207
8	527	4812	574	1325	70	3070	14287	18	379	17891	824	21
0	895	3	4087	2	3070	14287	1353	213	175	7	5	6
3	379	4018	414	320	117	24350	9326	8430	4	957	14850	178
2	1838	50	16784	37	3132	4018	344	78	320	379	3698	773
6	3	171	213	1908	40	188	12843	64	2341	213	14316	210
3	3	9	5262	1013	2482	1487	379	25	217	320	172	21
0	907	171	213	14258	979	2618	186	213	379	4018	574	4
7	188	3925	28	25305	3	174	15	160	2	617	1337	52
9	213	379	1329	15532	4677	12596	311	1402	285	6381	16962	22
5	2	412	36	62	379	2886	1838	15	5044	895	320	39
8	2	28	117	7922	4	527	28	157	4291	13	410	2
8	1917	3331	527	6381	16962	3898	22	465	1782	69	229	2
1		8623	186	28	6627	157	1782	9	4018	175	49	115
0	28	16337	4	17888	16	35	6381	1199	28	855	2	2320
0	7922	4	527	28	157	3	244	18892	2304	691	213	215
9	2238	2	77	1435	809	518	137	446	101	64	77	177
6	2882	3	22698	479	11	15299	4	2	406	2	23	4
U												

						C4_W Z_AS	signment					
1	213	882	527	3070	14287	254	568	220	104	2	7511	13
9	1472	132	213	3105	7	5	59	37	19527	32	1329	37
7	6551	103	64	11	9	2710	37	1252	3070	14287	1329	1014
6	861	7716	512	3804	9581	7	5	15532	4677	12596	311	18
9	8036	4	14668	300	186	682	15299	4	11944	3965	24256	37
5	9	2789	682	213	5081	5222	420	907	1019	213	3070	240
0	20	2607	6654	435	907	379	1780	564	935	488	11	307
	14287	23	95	6774	75	3004	1487	70	186	16783	379	259
0	213	4342	2	16962	465	3611	129	1118	320	4161	28	171
8	132	213	1056	527	72	4654	186	14159	459	3806	1779	1
9	46	160	527	213	3070	14287	228	1019	329	91	1782	
6	1783	3102	213	10648	527	213	907	123	15532	7	5	18
:	15299	4	7	5	974	2	7831	13742	186	5997	13576	25
	19523	1362	186	13	18	106	1917	13954	361	1019	105	14
8 7		10488	3070	14287	809	688	10	22816	349	10	394	3
	5164	14287	14400	391	688	10	15771	10	394	37	5164	1428
7	2	688	10	8968	10	394	37	5164	14287	2	688	1
	5164	14287	10	394	186	688	10	10708	15877	7721	10035	53
0	10	394	10	1	0	6382	4	23	137	88	17578	8
8	5122	118	1487	78	3004	192	6047	66	88	3449	65	135
5	982	3698	5802	16346	27439	6774	1628	2031	2856	1976	6381	1696
2	7666	3222	8744	16346	27439	6774	1628	119	1322	1337	3070	1428
7		15532	4677	12596	311	186	15299	4	11944	3965	24256	210
4	1	0	0	0	0	0	0	0	0	0	0	
0	0	0	0	0	0	0	0	0	0	0	0	
0	0	0	0	0	0	0	0	0	0	0	0	
0	0	0	0	0	0	0	0	0	0	0	0	
0	0	0	0	0	0	0	0	0	0	0	0	
0	0	0	0	0	]							

### Things to notice:

- First we see the corresponding values of the words.
- The first 1, which represents the <EOS> tag of the article.
- Followed by a 0, which represents a <pad> tag.
- After the first 0 (<pad> tag) the corresponding values are of the words that are used for the summary of the article.
- The second 1 represents the <EOS> tag for the summary.
- All the trailing 0s represent <pad> tags which are appended to maintain consistent length (If you don't see them then it would mean it is already of max length)

```
In [17]: # print the article and its summary
    print('Article:\n\n', detokenize(input_batch[0]))
```

Article:

By . Deborah Arthurs . UPDATED: . 13:47 EST, 4 January 2012 . As Burberry today unveils its new spring/summer campaign in store windows and across social media networking sites, millions of ardent fans will be watching. Just last month, it was announced that the British company had become the world's most successful luxury fashion brand on Facebook and Twitter, with a record 10million fans on Facebook, and almost 700,000 following the brand's regular UK feed on Twitter. Meanwhile, they have thousands more global Twitter fans following their international feeds and post exclusive content on their own YouTube channel. Behind the scenes: Eddie Redmayne and Cara Delevingne on set of the latest Burberry campaign . Burberry's social media success has grown exponentially - and it is still growing fast. The secret, say consumer experts, is the fact that Burberry share so much unique content exclusively with their followers on social networking platforms, and post new and different content to each one. What is posted on Facebook will be different from that on Twitter, and dialogues are constantly being carried out across both. Just this morning, Burberry placed . three new videos from their latest campaign, starring My Week With . Marilyn star Eddie Redmayne and Cara Delevingne, onto their YouTube channel. And at the news that the brand had . reached 10million Facebook fans, Burberry Chief Creative Officer Christopher . Bailey uploaded a personal thank you via a video message to the site. Days later, a further 163,000 had joined. At ease: The two chat between shots . All this direct contact gives fans that bit more involvement with the brand - and keeps them connected. 'Christopher is really involved,' Burberry told MailOnline. 'He has answered fans' questions personally on . Facebook, and he's very active on Twitter.' 'It gives people something new. It's a direct and personal route for them.' Their groundbreaking, inclusive . approach goes against the practiced elitism of luxury brands, and ultimately brings . fans closer rather than keeping them at a distance. While other fashion houses operate . strict closed-door policies that isolate all but the inner circle - Tom . Ford famously showed his last collection to a tiny selection of invited . contacts, with no photographers and only a handful of select Press allowed - Burberry have . embraced this public approach. Indeed, Burberry was the world's first . fashion house to 'Tweettalk', posting images from its autumn/winter fashion show on to . Twitter - . before the models had even stepped out onto the catwalk. The innovative move meant fans . were able to see the collection before the celebrity front row and the . fashion Press had even caught a glimpse. For his part, current star of the . campaign Eddie Redmayne reports that Christopher Bailey is, as one would . expect from his democratic approach to business, a 'gem of a man' 'I am a huge fan of Christopher Bailey,' he says. 'He is a brilliant designer and a brilliant man. 'The fashion world can be a tad intimidating but Christopher remains a kind, grounded gem of a man. And judging by the latest figures, there are at least 10 million people out there who agree. Intense: Cara, 18, has been the face of Burberry since January last year, when she appeared in the brand's S/S11 campaign . Check it out: The spring/summer Burberry campaign starring My Week With Marilyn's Eddie Redmayne and Brit socialite and model Cara Delevingne . The pair model the Spring Summer 2012 collection for the Burbery Prorsum collection . Social media success story: Burberry has over 10m Facebook fans - and counting . About the videos, Bailey says: 'We wanted to capture a moment in the lives of

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You can see that the data has the following structure:

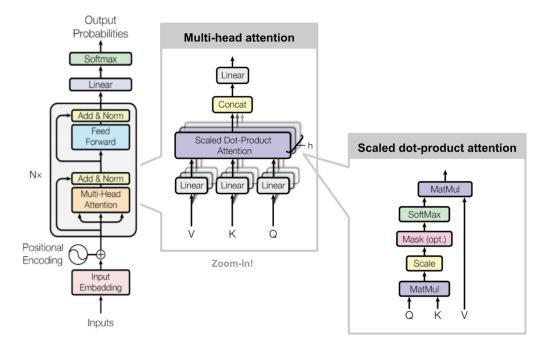
• [Article] -> <EOS> -> <pad> -> [Article Summary] -> <EOS> -> (possibly) multiple <pad>

The loss is taken only on the summary using cross\_entropy as loss function.

# Part 2: Summarization with transformer

Now that we have given you the data generator and have handled the preprocessing for you, it is time for you to build your own model. We saved you some time because we know you have already preprocessed data before in this specialization, so we would rather you spend your time doing the next steps.

You will be implementing the attention from scratch and then using it in your transformer model. Concretely, you will understand how attention works, how you use it to connect the encoder and the decoder.



# 2.1 Dot product attention

Now you will implement dot product attention which takes in a query, key, value, and a mask. It returns the output.



Here are some helper functions that will help you create tensors and display useful information:

- create\_tensor creates a jax numpy array from a list of lists.
- display tensor prints out the shape and the actual tensor.

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```
In [18]: def create_tensor(t):
    """Create tensor from list of lists"""
    return jnp.array(t)

def display_tensor(t, name):
    """Display shape and tensor"""
    print(f'{name} shape: {t.shape}\n')
    print(f'{t}\n')
```

Before implementing it yourself, you can play around with a toy example of dot product attention without the softmax operation. Technically it would not be dot product attention without the softmax but this is done to avoid giving away too much of the answer and the idea is to display these tensors to give you a sense of how they look like.

The formula for attention is this one:

Attention 
$$(Q, K, V) = \operatorname{softmax} \left( \frac{QK^T}{\sqrt{d_k}} + M \right) V$$
 (1)

 $d_k$  stands for the dimension of queries and keys.

The query, key, value and mask vectors are provided for this example.

Notice that the masking is done using very negative values that will yield a similar effect to using  $-\infty$ .

```
In [19]: | q = create_tensor([[1, 0, 0], [0, 1, 0]])
         display_tensor(q, 'query')
         k = create_tensor([[1, 2, 3], [4, 5, 6]])
         display_tensor(k, 'key')
         v = create_tensor([[0, 1, 0], [1, 0, 1]])
         display_tensor(v, 'value')
         m = create_tensor([[0, 0], [-1e9, 0]])
         display_tensor(m, 'mask')
         query shape: (2, 3)
         [[1 0 0]
          [0 1 0]]
         key shape: (2, 3)
         [[1 2 3]
          [4 5 6]]
         value shape: (2, 3)
         [[0 1 0]
          [1 0 1]]
         mask shape: (2, 2)
         [[ 0.e+00 0.e+00]
          [-1.e+09 0.e+00]]
```

```
In [20]: q_dot_k = q @ k.T / jnp.sqrt(3)
    display_tensor(q_dot_k, 'query dot key')

    query dot key shape: (2, 2)

[[0.57735026 2.309401 ]
      [1.1547005 2.8867514 ]]
```

```
query dot key shape: (2, 2)

[[0.57735026 2.309401 ]
  [1.1547005 2.8867514 ]]

In [21]: masked = q_dot_k + m
    display_tensor(masked, 'masked query dot key')

    masked query dot key shape: (2, 2)

[[ 5.7735026e-01 2.3094010e+00]
    [-1.0000000e+09 2.8867514e+00]]
```

### **Expected Output:**

```
masked query dot key dot value shape: (2, 3)

[[ 2.3094010e+00 5.7735026e-01 2.3094010e+00]
  [ 2.8867514e+00 -1.0000000e+09 2.8867514e+00]]
```

In order to use the previous dummy tensors to test some of the graded functions, a batch dimension should be added to them so they mimic the shape of real-life examples. The mask is also replaced by a version of it that resembles the one that is used by trax:

```
In [23]: q_with_batch = q[None,:]
         display tensor(q with batch, 'query with batch dim')
         k with batch = k[None,:]
         display tensor(k_with_batch, 'key with batch dim')
         v_with_batch = v[None,:]
         display_tensor(v_with_batch, 'value with batch dim')
         m_bool = create_tensor([[True, True], [False, True]])
         display_tensor(m_bool, 'boolean mask')
         query with batch dim shape: (1, 2, 3)
         [[[1 0 0]
           [0 1 0]]]
         key with batch dim shape: (1, 2, 3)
         [[[1 2 3]
           [4 5 6]]]
         value with batch dim shape: (1, 2, 3)
         [[[0 1 0]
           [1 0 1]]]
         boolean mask shape: (2, 2)
         [[ True True]
          [False True]]
```

```
query with batch dim shape: (1, 2, 3)

[[[1 0 0]
      [0 1 0]]]

key with batch dim shape: (1, 2, 3)

[[[1 2 3]
      [4 5 6]]]

value with batch dim shape: (1, 2, 3)

[[[0 1 0]
      [1 0 1]]]

boolean mask shape: (2, 2)

[[ True True]
      [False True]]
```

### **Exercise 01**

5/2/2021

Instructions: Implement the dot product attention. Concretely, implement the following equation

Attention 
$$(Q, K, V) = \operatorname{softmax} \left( \frac{QK^T}{\sqrt{d_k}} + M \right) V$$
 (1)

 ${\it Q}$  - query,  ${\it K}$  - key,  ${\it V}$  - values,  ${\it M}$  - mask,  ${\it d}_{\it k}$  - depth/dimension of the queries and keys (used for scaling down)

You can implement this formula either by trax numpy (trax.math.numpy) or regular numpy but it is recommended to use jnp.

Something to take into consideration is that within trax, the masks are tensors of True/False values not 0's and  $-\infty$  as in the previous example. Within the graded function don't think of applying the mask by summing up matrices, instead use jnp.where() and treat the mask as a tensor of boolean values with False for values that need to be masked and True for the ones that don't.

Also take into account that the real tensors are far more complex than the toy ones you just played with. Because of this avoid using shortened operations such as @ for dot product or .T for transposing. Use jnp.matmul() and jnp.swapaxes() instead.

This is the self-attention block for the transformer decoder. Good luck!

```
In [32]: # UNQ C1
         # GRADED FUNCTION: DotProductAttention
         def DotProductAttention(query, key, value, mask):
              """Dot product self-attention.
             Args:
                 query (jax.interpreters.xla.DeviceArray): array of query represe
         ntations with shape (L q by d)
                 key (jax.interpreters.xla.DeviceArray): array of key representat
         ions with shape (L k by d)
                 value (jax.interpreters.xla.DeviceArray): array of value represe
         ntations with shape (L k by d) where L v = L k
                 mask (jax.interpreters.xla.DeviceArray): attention-mask, gates a
         ttention with shape (L q by L k)
             Returns:
                 jax.interpreters.xla.DeviceArray: Self-attention array for q, k,
         v arrays. (L q by L k)
             assert query.shape[-1] == key.shape[-1] == value.shape[-1], "Embeddi
         ng dimensions of q, k, v aren't all the same"
             ### START CODE HERE (REPLACE INSTANCES OF 'None' with your code) ###
             # Save depth/dimension of the query embedding for scaling down the d
         ot product
             depth = query.shape[-1]
             # Calculate scaled query key dot product according to formula above
             dots = jnp.matmul(query, jnp.swapaxes(key, -1, -2)) / jnp.sqrt(depth
         )
             # Apply the mask
             if mask is not None: # The 'None' in this line does not need to be r
         eplaced
                 dots = jnp.where(mask, dots, jnp.full like(dots, -1e9))
             # Softmax formula implementation
             # Use trax.fastmath.logsumexp of dots to avoid underflow by division
         by large numbers
             # Hint: Last axis should be used and keepdims should be True
             # Note: softmax = e^(dots - logsumexp(dots)) = E^dots / sumexp(dots)
             logsumexp = trax.fastmath.logsumexp(dots, axis=-1, keepdims=True)
             # Take exponential of dots minus logsumexp to get softmax
             # Use jnp.exp()
             dots = jnp.exp(dots - logsumexp)
             # Multiply dots by value to get self-attention
             # Use inp.matmul()
             attention = jnp.matmul(dots, value)
             ## END CODE HERE ###
             return attention
```

# 2.2 Causal Attention

Now you are going to implement causal attention: multi-headed attention with a mask to attend only to words that occurred before.



In the image above, a word can see everything that is before it, but not what is after it. To implement causal attention, you will have to transform vectors and do many reshapes. You will need to implement the functions below.

### Exercise 02

Implement the following functions that will be needed for Causal Attention:

- compute\_attention\_heads: Gets an input x of dimension (batch\_size, seqlen, n\_heads  $\times$  d\_head) and splits the last (depth) dimension and stacks it to the zeroth dimension to allow matrix multiplication (batch\_size  $\times$  n\_heads, seqlen, d\_head).
- dot\_product\_self\_attention: Creates a mask matrix with False values above the diagonal and True values below and calls DotProductAttention which implements dot product self attention.
- compute\_attention\_output: Undoes compute\_attention\_heads by splitting first (vertical) dimension and stacking in the last (depth) dimension (batch\_size, seqlen, n\_heads × d\_head). These operations concatenate (stack/merge) the heads.

Next there are some toy tensors which may serve to give you an idea of the data shapes and opperations involved in Causal Attention. They are also useful to test out your functions!

```
In [34]: tensor2d = create_tensor(q)
         display_tensor(tensor2d, 'query matrix (2D tensor)')
         tensor4d2b = create_tensor([[q, q], [q, q]])
         display tensor(tensor4d2b, 'batch of two (multi-head) collections of que
         ry matrices (4D tensor)')
         tensor3dc = create tensor([jnp.concatenate([q, q], axis = -1)])
         display tensor(tensor3dc, 'one batch of concatenated heads of query matr
         ices (3d tensor)')
         tensor3dc3b = create_tensor([jnp.concatenate([q, q], axis = -1), jnp.con
         catenate([q, q], axis = -1), jnp.concatenate([q, q], axis = -1)])
         display_tensor(tensor3dc3b, 'three batches of concatenated heads of quer
         y matrices (3d tensor)')
         query matrix (2D tensor) shape: (2, 3)
         [[1 0 0]
          [0 1 0]]
         batch of two (multi-head) collections of query matrices (4D tensor) sha
         pe: (2, 2, 2, 3)
         [[[[1 0 0]
            [0 1 0]]
           [[1 0 0]
            [0 1 0]]]
          [[[1 0 0]
            [0 1 0]]
           [[1 0 0]
            [0 1 0]]]]
         one batch of concatenated heads of query matrices (3d tensor) shape:
         (1, 2, 6)
         [[[1 0 0 1 0 0]
           [0 1 0 0 1 0]]]
         three batches of concatenated heads of guery matrices (3d tensor) shap
         e: (3, 2, 6)
         [[[1 0 0 1 0 0]
           [0 1 0 0 1 0]]
          [[1 0 0 1 0 0]
           [0 1 0 0 1 0]]
          [[1 0 0 1 0 0]
           [0 1 0 0 1 0]]]
```

C4\_W2\_Assignment

It is important to know that the following 3 functions would normally be defined within the CausalAttention function further below.

However this makes these functions harder to test. Because of this, these functions are shown individually using a closure (when necessary) that simulates them being inside of the CausalAttention function. This is done because they rely on some variables that can be accessed from within CausalAttention.

# **Support Functions**

compute\_attention\_heads: Gets an input x of dimension (batch\_size, seqlen, n\_heads  $\times$  d\_head) and splits the last (depth) dimension and stacks it to the zeroth dimension to allow matrix multiplication (batch\_size  $\times$  n\_heads, seqlen, d\_head).

For the closures you only have to fill the inner function.

```
In [36]: # UNQ C2
         # GRADED FUNCTION: compute attention heads closure
         def compute attention heads closure(n heads, d head):
              """ Function that simulates environment inside CausalAttention funct
         ion.
             Args:
                  d head (int): dimensionality of heads.
                 n heads (int): number of attention heads.
             Returns:
                 function: compute attention heads function
             def compute attention heads(x):
                  """ Compute the attention heads.
                 Args:
                      x (jax.interpreters.xla.DeviceArray): tensor with shape (bat
         ch size, seglen, n heads X d head).
                 Returns:
                      jax.interpreters.xla.DeviceArray: reshaped tensor with shape
         (batch size X n heads, seglen, d head).
                 ### START CODE HERE (REPLACE INSTANCES OF 'None' with your code)
         ###
                 # Size of the x's batch dimension
                 batch_size = x.shape[0]
                 # Length of the sequence
                 # Should be size of x's first dimension without counting the bat
         ch dim
                 seglen = x.shape[1]
                 # Reshape x using jnp.reshape()
                 # batch size, seqlen, n heads*d head -> batch size, seqlen, n he
         ads, d head
                 x = jnp.reshape(x,(batch size, seqlen, n heads, d head))
                 # Transpose x using jnp.transpose()
                 # batch size, seqlen, n heads, d head -> batch size, n heads, se
         glen, d head
                 # Note that the values within the tuple are the indexes of the d
         imensions of x and you must rearrange them
                 x = jnp.transpose(x, (0, 2, 1, 3))
                  # Reshape x using jnp.reshape()
                 # batch size, n heads, seglen, d head -> batch size*n heads, seg
         len, d head
                 x = jnp.reshape(x, (-1, seqlen, d head))
                 ### END CODE HERE ###
                 return x
             return compute attention heads
```

```
In [37]: display_tensor(tensor3dc3b, "input tensor")
         result_cah = compute_attention_heads_closure(2,3)(tensor3dc3b)
         display_tensor(result_cah, "output tensor")
         input tensor shape: (3, 2, 6)
         [[[1 0 0 1 0 0]
           [0 1 0 0 1 0]]
          [[1 0 0 1 0 0]
           [0 1 0 0 1 0]]
          [[1 0 0 1 0 0]
           [0 1 0 0 1 0]]]
         output tensor shape: (6, 2, 3)
         [[[1 0 0]
           [0 1 0]]
          [[1 0 0]
           [0 1 0]]
          [[1 0 0]
           [0 1 0]]
          [[1 0 0]
           [0 1 0]]
          [[1 0 0]
           [0 1 0]]
          [[1 0 0]
           [0 1 0]]]
```

```
input tensor shape: (3, 2, 6)
[[[1 0 0 1 0 0]
  [0 1 0 0 1 0]]
 [[1 0 0 1 0 0]
 [0 1 0 0 1 0]]
 [[1 0 0 1 0 0]
 [0 1 0 0 1 0]]]
output tensor shape: (6, 2, 3)
[[[1 0 0]
 [0 1 0]]
 [[1 0 0]
 [0 1 0]]
 [[1 0 0]
 [0 1 0]]
 [[1 0 0]
 [0 1 0]]
 [[1 0 0]
 [0 1 0]]
 [[1 0 0]
  [0 1 0]]]
```

dot\_product\_self\_attention: Creates a mask matrix with False values above the diagonal and True values below and calls DotProductAttention which implements dot product self attention.

```
In [38]: # UNQ C3
         # GRADED FUNCTION: dot product self attention
         def dot product self_attention(q, k, v):
             """ Masked dot product self attention.
             Args:
                 q (jax.interpreters.xla.DeviceArray): queries.
                 k (jax.interpreters.xla.DeviceArray): keys.
                 v (jax.interpreters.xla.DeviceArray): values.
             Returns:
                 jax.interpreters.xla.DeviceArray: masked dot product self attent
         ion tensor.
             ### START CODE HERE (REPLACE INSTANCES OF 'None' with your code) ###
             # Hint: mask size should be equal to L q. Remember that q has shape
          (batch size, L q, d)
             mask size = q.shape[-2]
             # Creates a matrix with ones below the diagonal and 0s above. It sho
         uld have shape (1, mask size, mask size)
             # Notice that 1's and 0's get casted to True/False by setting dtype
          to jnp.bool
             # Use jnp.tril() - Lower triangle of an array and jnp.ones()
             mask = jnp.tril(jnp.ones((1, mask size, mask size), dtype=jnp.bool
         ), k=0)
             ### END CODE HERE ###
             return DotProductAttention(q, k, v, mask)
```

### **Expected Output:**

compute\_attention\_output : Undoes compute\_attention\_heads by splitting first (vertical) dimension and stacking in the last (depth) dimension (batch\_size, seqlen, n\_heads × d\_head). These operations concatenate (stack/merge) the heads.

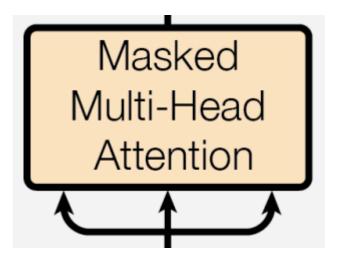
```
In [40]: # UNQ C4
         # GRADED FUNCTION: compute attention output closure
         def compute attention output closure(n heads, d head):
              """ Function that simulates environment inside CausalAttention funct
         ion.
             Args:
                  d head (int): dimensionality of heads.
                 n heads (int): number of attention heads.
             Returns:
                 function: compute attention output function
             def compute attention output(x):
                  """ Compute the attention output.
                 Args:
                      x (jax.interpreters.xla.DeviceArray): tensor with shape (bat
         ch size X n heads, seglen, d head).
                 Returns:
                      jax.interpreters.xla.DeviceArray: reshaped tensor with shape
         (batch size, seglen, n heads X d head).
                 ### START CODE HERE (REPLACE INSTANCES OF 'None' with your code)
         ###
                 # Length of the sequence
                 # Should be size of x's first dimension without counting the bat
         ch dim
                 seqlen = x.shape[1]
                 # Reshape x using jnp.reshape() to shape (batch size, n heads, s
         eglen, d head)
                 x = jnp.reshape(x, (-1, n heads, seqlen, d head))
                 # Transpose x using jnp.transpose() to shape (batch size, seqle
         n, n heads, d head)
                 x = jnp.transpose(x,(0,2,1,3))
                 ### END CODE HERE ###
                 # Reshape to allow to concatenate the heads
                 return jnp.reshape(x, (-1, seglen, n heads * d head))
             return compute attention output
```

```
In [41]: | display_tensor(result_cah, "input tensor")
         result_cao = compute_attention_output_closure(2,3)(result_cah)
         display_tensor(result_cao, "output tensor")
         input tensor shape: (6, 2, 3)
         [[[1 0 0]
           [0 1 0]]
          [[1 0 0]
           [0 1 0]]
          [[1 0 0]
           [0 1 0]]
          [[1 0 0]
           [0 1 0]]
          [[1 0 0]
           [0 1 0]]
          [[1 0 0]
           [0 1 0]]]
         output tensor shape: (3, 2, 6)
         [[[1 0 0 1 0 0]
           [0 1 0 0 1 0]]
          [[1 0 0 1 0 0]
           [0 1 0 0 1 0]]
          [[1 0 0 1 0 0]
           [0 1 0 0 1 0]]]
```

```
input tensor shape: (6, 2, 3)
[[[1 0 0]
  [0 1 0]]
 [[1 0 0]
 [0 1 0]]
 [[1 0 0]
 [0 1 0]]
 [[1 0 0]
 [0 1 0]]
 [[1 0 0]
  [0 1 0]]
 [[1 0 0]
 [0 1 0]]]
output tensor shape: (3, 2, 6)
[[[1 0 0 1 0 0]
  [0 1 0 0 1 0]]
 [[1 0 0 1 0 0]
 [0 1 0 0 1 0]]
 [[1 0 0 1 0 0]
  [0 1 0 0 1 0]]]
```

### **Causal Attention Function**

Now it is time for you to put everything together within the CausalAttention or Masked multi-head attention function:



**Instructions:** Implement the causal attention. Your model returns the causal attention through a *tl*. *Serial* with the following:

- [tl.Branch](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.combinators.Branch): consisting of 3 [tl.Dense(d\_feature), ComputeAttentionHeads] to account for the queries, keys, and values.
- [tl.Fn](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.base.Fn): Takes in dot product self attention function and uses it to compute the dot product using Q, K, V.
- [tl.Fn](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.base.Fn): Takes in compute\_attention\_output\_closure to allow for parallel computing.
- [tl.Dense](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.core.Dense): Final Dense layer, with dimension d\_feature.

Remember that in order for trax to properly handle the functions you just defined, they need to be added as layers using the <a href="mailto:tin.">t1.Fn()</a> (<a href="https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.base.Fn">tntps://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.base.Fn</a> function.

```
In [42]: # UNQ C5
         # GRADED FUNCTION: CausalAttention
         def CausalAttention(d feature,
                             n heads,
                             compute attention heads closure=compute attention he
         ads_closure,
                             dot product self attention=dot product self attentio
         n,
                             compute attention output closure=compute attention o
         utput_closure,
                             mode='train'):
              """Transformer-style multi-headed causal attention.
             Args:
                 d feature (int): dimensionality of feature embedding.
                 n heads (int): number of attention heads.
                 compute attention heads closure (function): Closure around compu
         te attention heads.
                  dot product self attention (function): dot product self attentio
         n function.
                 compute attention output closure (function): Closure around comp
         ute attention output.
                 mode (str): 'train' or 'eval'.
             Returns:
                  trax.layers.combinators.Serial: Multi-headed self-attention mode
         1.
             .....
             assert d feature % n heads == 0
             d head = d feature // n heads
             ### START CODE HERE (REPLACE INSTANCES OF 'None' with your code) ###
             # HINT: The second argument to tl.Fn() is an uncalled function (with
         out the parentheses)
             # Since you are dealing with closures you might need to call the out
         er
             # function with the correct parameters to get the actual uncalled fu
             ComputeAttentionHeads = tl.Fn('AttnHeads', compute_attention_heads_c
         losure(n heads,d head), n out=1)
             return tl.Serial(
                 tl.Branch( # creates three towers for one input, takes activatio
         ns and creates queries keys and values
                     [tl.Dense(d feature), ComputeAttentionHeads], # queries
                     [tl.Dense(d feature), ComputeAttentionHeads], # keys
                     [tl.Dense(d feature), ComputeAttentionHeads], # values
                 ),
                 tl.Fn('DotProductAttn', dot product self attention, n out=1), #
          takes QKV
                 # HINT: The second argument to tl.Fn() is an uncalled function
                 # Since you are dealing with closures you might need to call the
```

```
outer
    # function with the correct parameters to get the actual uncalle
d function.
    tl.Fn('AttnOutput', compute_attention_output_closure(n_heads,d_h
ead), n_out=1), # to allow for parallel
    tl.Dense(d_feature) # Final dense layer
)

### END CODE HERE ###
```

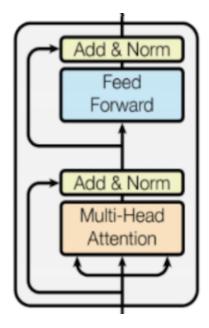
```
In [43]: # Take a look at the causal attention model
    print(CausalAttention(d_feature=512, n_heads=8))

Serial[
        Branch_out3[
            [Dense_512, AttnHeads]
            [Dense_512, AttnHeads]
            [Dense_512, AttnHeads]
            ]
        DotProductAttn_in3
        AttnOutput
        Dense_512
```

```
Serial[
    Branch_out3[
        [Dense_512, AttnHeads]
        [Dense_512, AttnHeads]
        [Dense_512, AttnHeads]
    ]
    DotProductAttn_in3
    AttnOutput
    Dense_512
]
```

# 2.3 Transformer decoder block

Now that you have implemented the causal part of the transformer, you will implement the transformer decoder block. Concretely you will be implementing this image now.



To implement this function, you will have to call the CausalAttention or Masked multi-head attention function you implemented above. You will have to add a feedforward which consists of:

- [tl.LayerNorm](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.normalization.LayerNorm): used to layer normalize
- [tl.Dense](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.core.Dense): the dense layer
- [ff\_activation](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.activation\_fns.Relu): feed forward activation (we use ReLu) here.
- [tl.Dropout](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.core.Dropout): dropout layer
- [tl.Dense](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.core.Dense): dense layer
- [tl.Dropout](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.core.Dropout): dropout layer

Finally once you implement the feedforward, you can go ahead and implement the entire block using:

- [tl.Residual](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.combinators.Residual): takes in the tl.LayerNorm(), causal attention block, tl.dropout.
- [tl.Residual](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.combinators.Residual): takes in the feedforward block you will implement.

### Exercise 03

Instructions: Implement the transformer decoder block. Good luck!

```
In [44]: # UNQ C6
         # GRADED FUNCTION: DecoderBlock
         def DecoderBlock(d_model, d_ff, n_heads,
                          dropout, mode, ff_activation):
              """Returns a list of layers that implements a Transformer decoder bl
         ock.
             The input is an activation tensor.
             Args:
                 d model (int): depth of embedding.
                 d ff (int): depth of feed-forward layer.
                 n heads (int): number of attention heads.
                 dropout (float): dropout rate (how much to drop out).
                 mode (str): 'train' or 'eval'.
                 ff activation (function): the non-linearity in feed-forward laye
         r.
             Returns:
                 list: list of trax.layers.combinators.Serial that maps an activa
         tion tensor to an activation tensor.
             ### START CODE HERE (REPLACE INSTANCES OF 'None' with your code) ###
             # Create masked multi-head attention block using CausalAttention fun
         ction
             causal attention = CausalAttention(
                                  d model,
                                  n heads=n heads,
                                  mode=mode
             # Create feed-forward block (list) with two dense layers with dropou
         t and input normalized
             feed forward = [
                 # Normalize layer inputs
                 tl.LayerNorm(),
                 # Add first feed forward (dense) layer (don't forget to set the
          correct value for n units)
                 tl.Dense(d ff),
                 # Add activation function passed in as a parameter (you need to
          call it!)
                 ff activation(), # Generally ReLU
                 # Add dropout with rate and mode specified (i.e., don't use drop
         out during evaluation)
                 tl.Dropout(rate=dropout, mode=mode),
                 # Add second feed forward layer (don't forget to set the correct
         value for n units)
                 tl.Dense(d model),
                 # Add dropout with rate and mode specified (i.e., don't use drop
         out during evaluation)
                 tl.Dropout(rate=dropout, mode=mode)
             1
             # Add list of two Residual blocks: the attention with normalization
```

```
and dropout and feed-forward blocks
  return [
    tl.Residual(
        # Normalize layer input
        tl.LayerNorm(),
        # Add causal attention block previously defined (without paren
theses)

    causal_attention,
        # Add dropout with rate and mode specified
        tl.Dropout(rate=dropout,mode=mode)
    ),
    tl.Residual(
        # Add feed forward block (without parentheses)
        feed_forward
    ),
    l
### END CODE HERE ###
```

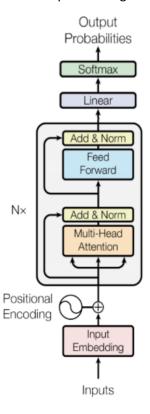
```
In [45]: # Take a look at the decoder block
         print(DecoderBlock(d_model=512, d_ff=2048, n_heads=8, dropout=0.1, mode=
          'train', ff_activation=tl.Relu))
          [Serial[
           Branch_out2[
             None
              Serial[
                LayerNorm
                Serial[
                  Branch out3[
                    [Dense_512, AttnHeads]
                    [Dense 512, AttnHeads]
                    [Dense 512, AttnHeads]
                  DotProductAttn in3
                  AttnOutput
                  Dense 512
                Dropout
              ]
            ]
           Add in2
          ], Serial[
           Branch out2[
             None
              Serial[
                LayerNorm
                Dense 2048
                Relu
                Dropout
                Dense_512
                Dropout
              ]
            1
           Add in2
```

]]

```
[Serial[
  Branch_out2[
    None
    Serial[
      LayerNorm
      Serial
        Branch_out3[
          [Dense_512, AttnHeads]
          [Dense_512, AttnHeads]
          [Dense_512, AttnHeads]
        DotProductAttn_in3
        AttnOutput
        Dense_512
      ]
      Dropout
    ]
  ]
  Add_in2
], Serial[
  Branch_out2[
    None
    Serial[
      LayerNorm
      Dense 2048
      Relu
      Dropout
      Dense_512
      Dropout
    ]
  ]
  Add in2
]]
```

# 2.4 Transformer Language Model

You will now bring it all together. In this part you will use all the subcomponents you previously built to make the final model. Concretely, here is the image you will be implementing.



### Exercise 04

**Instructions:** Previously you coded the decoder block. Now you will code the transformer language model. Here is what you will need.

- positional enconder a list containing the following layers:
  - tl.Embedding (https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.core.Embedding)
  - tl.Dropout (https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.core.Dropout)
  - tl.PositionalEncoding (https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.attention.PositionalEncoding)
- A list of n\_layers decoder blocks.
- [tl.Serial](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.combinators.Serial): takes in the following layers or lists of layers:
  - [tl.ShiftRight](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.attention.ShiftRight): : shift the tensor to the right by padding on axis 1.
  - positional\_encoder : encodes the text positions.
  - decoder\_blocks : the ones you created.
  - [tl.LayerNorm](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.normalization.LayerNorm): a layer norm.
  - [tl.Dense](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.core.Dense): takes in the vocab\_size.

• [tl.LogSoftmax](https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.core.LogSoftmax) : to predict.

Go go go!! You can do it :)

```
In [46]: # UNQ C7
         # GRADED FUNCTION: TransformerLM
         def TransformerLM(vocab_size=33300,
                           d model=512,
                           d ff = 2048,
                           n layers=6,
                           n heads=8,
                           dropout=0.1,
                           max len=4096,
                           mode='train',
                            ff activation=tl.Relu):
              """Returns a Transformer language model.
             The input to the model is a tensor of tokens. (This model uses only
          the
             decoder part of the overall Transformer.)
             Args:
                  vocab size (int): vocab size.
                 d model (int): depth of embedding.
                 d ff (int): depth of feed-forward layer.
                 n layers (int): number of decoder layers.
                 n heads (int): number of attention heads.
                 dropout (float): dropout rate (how much to drop out).
                 max len (int): maximum symbol length for positional encoding.
                 mode (str): 'train', 'eval' or 'predict', predict mode is for fa
         st inference.
                 ff activation (function): the non-linearity in feed-forward laye
         r.
             Returns:
                 trax.layers.combinators.Serial: A Transformer language model as
          a layer that maps from a tensor of tokens
                 to activations over a vocab set.
             ### START CODE HERE (REPLACE INSTANCES OF 'None' with your code) ###
             # Embedding inputs and positional encoder
             positional encoder = [
                 # Add embedding layer of dimension (vocab size, d model)
                 tl.Embedding(vocab size,d model),
                 # Use dropout with rate and mode specified
                 tl.Dropout(rate=dropout, mode=mode),
                 # Add positional encoding layer with maximum input length and mo
         de specified
                 tl.PositionalEncoding(max len=max len,mode=mode)]
             # Create stack (list) of decoder blocks with n layers with necessary
         parameters
             decoder blocks = [
                 DecoderBlock(d model,d ff,n heads,dropout,mode,ff activation) fo
         r in range(n layers)]
             # Create the complete model as written in the figure
             return tl.Serial(
```

```
# Use teacher forcing (feed output of previous step to current s
tep)
       tl.ShiftRight(mode=mode), # Specify the mode!
       # Add positional encoder
       positional_encoder,
       # Add decoder blocks
       decoder_blocks,
        # Normalize layer
       tl.LayerNorm(),
       # Add dense layer of vocab size (since need to select a word to
translate to)
        # (a.k.a., logits layer. Note: activation already set by ff acti
vation)
       tl.Dense(vocab_size),
       # Get probabilities with Logsoftmax
       tl.LogSoftmax()
   ### END CODE HERE ###
```

```
In [47]: # Take a look at the Transformer
         print(TransformerLM(n_layers=1))
         Serial[
           ShiftRight(1)
           Embedding_33300_512
           Dropout
           PositionalEncoding
           Serial[
              Branch_out2[
                None
                Serial[
                  LayerNorm
                  Serial[
                    Branch_out3[
                      [Dense_512, AttnHeads]
                      [Dense_512, AttnHeads]
                      [Dense_512, AttnHeads]
                    DotProductAttn_in3
                    AttnOutput
                    Dense_512
                  ]
                  Dropout
                1
              ]
             Add_in2
            ]
           Serial[
             Branch_out2[
               None
                Serial[
                  LayerNorm
                  Dense 2048
                  Relu
                  Dropout
                  Dense 512
                  Dropout
                ]
              ]
             Add in2
           LayerNorm
           Dense 33300
           LogSoftmax
          ]
```

```
Serial[
  ShiftRight(1)
  Embedding_33300_512
  Dropout
  PositionalEncoding
  Serial
    Branch_out2[
      None
      Serial[
        LayerNorm
        Serial[
          Branch_out3[
            [Dense_512, AttnHeads]
            [Dense_512, AttnHeads]
            [Dense_512, AttnHeads]
          DotProductAttn_in3
          AttnOutput
          Dense_512
        ]
        Dropout
      ]
    ]
    Add in2
  ]
  Serial[
    Branch_out2[
      None
      Serial[
        LayerNorm
        Dense 2048
        Relu
        Dropout
        Dense_512
        Dropout
      ]
    ]
    Add in2
  ]
  LayerNorm
  Dense_33300
  LogSoftmax
]
```

# **Part 3: Training**

Now you are going to train your model. As usual, you have to define the cost function, the optimizer, and decide whether you will be training it on a <code>gpu</code> or <code>cpu</code>. In this case, you will train your model on a <code>cpu</code> for a few steps and we will load in a pre-trained model that you can use to predict with your own words.

# 3.1 Training the model

You will now write a function that takes in your model and trains it. To train your model you have to decide how many times you want to iterate over the entire data set. Each iteration is defined as an epoch. For each epoch, you have to go over all the data, using your training iterator.

### Exercise 05

**Instructions:** Implement the train\_model program below to train the neural network above. Here is a list of things you should do:

- Create the train task by calling <u>trax.supervised.training.TrainTask</u> (https://trax-ml.readthedocs.io/en/latest/trax.supervised.html#trax.supervised.training.TrainTask) and pass in the following:
  - labeled\_data = train\_gen
  - loss\_fn = tl.CrossEntropyLoss() (https://traxml.readthedocs.io/en/latest/trax.layers.html#trax.layers.metrics.CrossEntropyLoss)
  - optimizer = <u>trax.optimizers.Adam(0.01) (https://trax-ml.readthedocs.io/en/latest/trax.optimizers.html#trax.optimizers.adam.Adam)</u>
  - Ir\_schedule = <u>Ir\_schedule (https://trax-</u> ml.readthedocs.io/en/latest/trax.supervised.html#trax.supervised.lr\_schedules.warmup\_and\_rsqrt\_decay
- Create the eval task by calling <u>trax.supervised.training.EvalTask</u> (<a href="https://trax-ml.readthedocs.io/en/latest/trax.supervised.html#trax.supervised.training.EvalTask">https://trax-ml.readthedocs.io/en/latest/trax.supervised.html#trax.supervised.training.EvalTask</a>) and pass in the following:
  - labeled data = eval gen
  - metrics = tl.CrossEntropyLoss() and <u>tl.Accuracy() (https://trax-ml.readthedocs.io/en/latest/trax.layers.html#trax.layers.metrics.Accuracy)</u>
- Create the training loop by calling <a href="mailto:trax.supervised.training.Loop">trax.supervised.training.Loop</a> (https://trax-ml.readthedocs.io/en/latest/trax.supervised.html#trax.supervised.training.Loop) and pass in the following:
  - TransformerLM
  - train task
  - eval task = [eval task]
  - output\_dir = output\_dir

You will be using a cross entropy loss, with Adam optimizer. Please read the <u>Trax (https://trax-ml.readthedocs.io/en/latest/index.html)</u> documentation to get a full understanding.

The training loop that this function returns can be runned using the run() method by passing in the desired number of steps.

```
In [48]: from trax.supervised import training
         # UNQ C8
         # GRADED FUNCTION: train model
         def training loop(TransformerLM, train gen, eval gen, output dir = "~/mo
         del"):
             Input:
                  TransformerLM (trax.layers.combinators.Serial): The model you ar
         e building.
                 train gen (generator): Training stream of data.
                 eval gen (generator): Evaluation stream of data.
                 output dir (str): folder to save your file.
             Returns:
                 trax.supervised.training.Loop: Training loop.
             output_dir = os.path.expanduser(output_dir) # trainer is an object
             lr schedule = trax.lr.warmup and rsqrt decay(n warmup steps=1000, ma
         x value=0.01)
             ### START CODE HERE (REPLACE INSTANCES OF 'None' with your code) ###
             train task = training.TrainTask(
               labeled_data=train_gen, # The training generator
               loss layer=tl.CrossEntropyLoss(), # Loss function
               optimizer=trax.optimizers.Adam(0.01), # Optimizer (Don't forget to
         set LR to 0.01)
               lr schedule=lr schedule,
               n steps per checkpoint=10
             eval task = training.EvalTask(
               labeled data=eval gen, # The evaluation generator
               metrics=[t1.CrossEntropyLoss(), t1.Accuracy()] # CrossEntropyLoss
          and Accuracy
             )
             ### END CODE HERE ###
             loop = training.Loop(TransformerLM(d model=4,
                                                 d ff=16,
                                                 n layers=1,
                                                 n heads=2,
                                                 mode='train'),
                                   train task,
                                   eval tasks=[eval task],
                                   output dir=output dir)
             return loop
```

Notice that the model will be trained for only 10 steps.

Even with this constraint the model with the original default arguments took a very long time to finish. Because of this some parameters are changed when defining the model that is fed into the training loop in the function above.

```
In [49]: # Should take around 1.5 minutes
         !rm -f ~/model.pkl.gz
         loop = training_loop(TransformerLM, train batch_stream, eval_batch_strea
         loop.run(10)
                  1: Ran 1 train steps in 8.85 secs
         Step
         Step
                  1: train CrossEntropyLoss |
                                               10.41231632
         Step
                  1: eval CrossEntropyLoss
                                               10.41075611
         Step
                  1: eval
                                   Accuracy
                                               0.0000000
                 10: Ran 9 train steps in 74.63 secs
         Step
         Step
                 10: train CrossEntropyLoss
                                               10.41373348
                 10: eval CrossEntropyLoss
                                               10.41402721
         Step
                                               0.0000000
         Step
                 10: eval
                                   Accuracy
```

# **Part 4: Evaluation**

# 4.1 Loading in a trained model

In this part you will evaluate by loading in an almost exact version of the model you coded, but we trained it for you to save you time. Please run the cell below to load in the model.

As you may have already noticed the model that you trained and the pretrained model share the same overall architecture but they have different values for some of the parameters:

Only the parameters shown for your model were changed. The others stayed the same.

C4\_W2\_Assignment

```
In [50]: # Get the model architecture
  model = TransformerLM(mode='eval')

# Load the pre-trained weights
  model.init_from_file('model.pkl.gz', weights_only=True)
```

# Part 5: Testing with your own input

You will now test your input. You are going to implement greedy decoding. This consists of two functions. The first one allows you to identify the next symbol. It gets the argmax of the output of your model and then returns that index.

# **Exercise 06**

5/2/2021

**Instructions:** Implement the next symbol function that takes in the cur\_output\_tokens and the trained model to return the index of the next word.

```
In [51]: # UNQ C9
         def next symbol(cur output tokens, model):
              """Returns the next symbol for a given sentence.
             Args:
                 cur output tokens (list): tokenized sentence with EOS and PAD to
         kens at the end.
                 model (trax.layers.combinators.Serial): The transformer model.
             Returns:
                 int: tokenized symbol.
             ### START CODE HERE (REPLACE INSTANCES OF 'None' with your code) ###
             # current output tokens length
             token_length = len(cur_output_tokens)
             # calculate the minimum power of 2 big enough to store token length
             # HINT: use np.ceil() and np.log2()
             # add 1 to token length so np.log2() doesn't receive 0 when token le
         ngth is 0
             padded length = 2**int(np.ceil(np.log2(token length + 1)))
             # Fill cur output tokens with 0's until it reaches padded length
             padded = cur_output_tokens + [0] * (padded_length - token_length)
             padded with batch = np.array(padded)[None, :] # Don't replace this
           'None'! This is a way of setting the batch dim
             # model expects a tuple containing two padded tensors (with batch)
             output, _ = model((padded_with_batch, padded with batch))
             # HINT: output has shape (1, padded length, vocab size)
             # To get log probs you need to index output with 0 in the first dim
             # token length in the second dim and all of the entries for the last
         dim.
             log probs = output[0, token length, :]
             ### END CODE HERE ###
             return int(np.argmax(log probs))
```

```
In [52]: # Test it out!
    sentence_test_nxt_symbl = "I want to fly in the sky."
    detokenize([next_symbol(tokenize(sentence_test_nxt_symbl)+[0], model)])
```

Out[52]: 'The'

#### **Expected Output:**

'The

# 5.1 Greedy decoding

Now you will implement the greedy\_decode algorithm that will call the next\_symbol function. It takes in the input\_sentence, the trained model and returns the decoded sentence.

### Exercise 07

**Instructions**: Implement the greedy\_decode algorithm.

```
In [53]: # UNQ C10
         # Decoding functions.
         def greedy decode(input sentence, model):
             """Greedy decode function.
             Args:
                  input sentence (string): a sentence or article.
                 model (trax.layers.combinators.Serial): Transformer model.
             Returns:
                 string: summary of the input.
             ### START CODE HERE (REPLACE INSTANCES OF 'None' with your code) ###
             # Use tokenize()
             cur output tokens = tokenize(input sentence) + [0]
             generated output = []
             cur output = 0
             EOS = 1
             while cur output != EOS:
                 # Get next symbol
                 cur_output = next_symbol(cur_output_tokens, model)
                 # Append next symbol to original sentence
                 cur output tokens.append(cur output)
                 # Append next symbol to generated sentence
                 generated output.append(cur output)
                 print(detokenize(generated output))
             ### END CODE HERE ###
             return detokenize(generated output)
```

: I just found roses, not tu: I just found roses, not tulips: I just found roses, not tulips: I just found roses, not tulips

: I just found roses, not tulips.<EOS>
: I just found roses, not tulips.<EOS>

```
In [54]: # Test it out on a sentence!
    test_sentence = "It was a sunny day when I went to the market to buy som
    e flowers. But I only found roses, not tulips."
    print(wrapper.fill(test_sentence), '\n')
    print(greedy_decode(test_sentence, model))

It was a sunny day when I went to the market to buy some flowers. But
    I only found roses, not tulips.

:
    : I
    : I just
    : I just found
    : I just found
    : I just found roses
    : I just found roses
    : I just found roses,
    : I just found roses,
    : I just found roses, not
```

```
: I
: I just
: I just found
: I just found ros
: I just found roses
: I just found roses,
: I just found roses,
: I just found roses, not
: I just found roses, not tu
: I just found roses, not tulips
: I just found roses, not tulips
: I just found roses, not tulips
: I just found roses, not tulips.
```

In [55]: # Test it out with a whole article!

5/2/2021

article = "It's the posing craze sweeping the U.S. after being brought t o fame by skier Lindsey Vonn, soccer star Omar Cummings, baseball player Albert Pujols - and even Republican politician Rick Perry. But now four students at Riverhead High School on Long Island, New York, have been s uspended for dropping to a knee and taking up a prayer pose to mimic Den ver Broncos quarterback Tim Tebow. Jordan Fulcoly, Wayne Drexel, Tyler C arroll and Connor Carroll were all suspended for one day because the 'Te bowing' craze was blocking the hallway and presenting a safety hazard to students. Scroll down for video. Banned: Jordan Fulcoly, Wayne Drexel, T yler Carroll and Connor Carroll (all pictured left) were all suspended f or one day by Riverhead High School on Long Island, New York, for their tribute to Broncos quarterback Tim Tebow. Issue: Four of the pupils wer e suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze at the school was blocking the hallway and pr esenting a safety hazard to students." print(wrapper.fill(article), '\n') print(greedy decode(article, model))

It's the posing craze sweeping the U.S. after being brought to fame by skier Lindsey Vonn, soccer star Omar Cummings, baseball player Albert Pujols - and even Republican politician Rick Perry. But now four students at Riverhead High School on Long Island, New York, have been suspended for dropping to a knee and taking up a prayer pose to mimic Denver Broncos quarterback Tim Tebow. Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were all suspended for one day because the 'Tebowing' craze was blocking the hallway and presenting a safety hazard to students. Scroll down for video. Banned: Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll (all pictured left) were all suspended for one day by Riverhead High School on Long Island, New York, for their tribute to Broncos quarterback Tim Tebow. Issue: Four of the pupils were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze at the school was blocking the hallway and presenting a safety hazard to students.

```
Jordan
Jordan Ful
Jordan Fulcol
Jordan Fulcoly
Jordan Fulcoly,
Jordan Fulcoly, Wayne
Jordan Fulcoly, Wayne Dre
Jordan Fulcoly, Wayne Drexe
Jordan Fulcoly, Wayne Drexel
Jordan Fulcoly, Wayne Drexel,
Jordan Fulcoly, Wayne Drexel, Tyler
Jordan Fulcoly, Wayne Drexel, Tyler Carroll
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for one
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for one day
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for one day.
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for one day. Four
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for one day. Four students
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for one day. Four students were
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for one day. Four students were suspended
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for one day. Four students were suspended for
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for one day. Four students were suspended for one
Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were
suspended for one day. Four students were suspended for one day
```

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not hee

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warn

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were

suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the '

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Te Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebow Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were

suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing'

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day

cra

because they allegedly did not heed to warnings that the 'Tebowing' craze

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocki

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hall

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and presenting

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and presenting a

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and presenting a safety Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were

suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and presenting a safety hazard Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and presenting a safety hazard to Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and presenting a safety hazard to

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day

students

because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and presenting a safety hazard to students.

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and presenting a safety hazard to students.<EOS>

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended for one day. Four students were suspended for one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and presenting a safety hazard to students.<EOS>

### **Expected Output:**

```
Jordan
Jordan Ful
Jordan Fulcol
Jordan Fulcoly
Jordan Fulcoly,
Jordan Fulcoly, Wayne
Jordan Fulcoly, Wayne Dre
Jordan Fulcoly, Wayne Drexe
Jordan Fulcoly, Wayne Drexel
Jordan Fulcoly, Wayne Drexel
Jordan Fulcoly, Wayne Drexel,
.
.
```

#### Final summary:

Jordan Fulcoly, Wayne Drexel, Tyler Carroll and Connor Carroll were suspended **for** one day. Four students were suspended **for** one day because they allegedly did not heed to warnings that the 'Tebowing' craze was blocking the hallway and presenting a safety hazard to students.<EOS>

**Congratulations on finishing this week's assignment!** You did a lot of work and now you should have a better understanding of the encoder part of Transformers and how Transformers can be used for text summarization.

#### Keep it up!