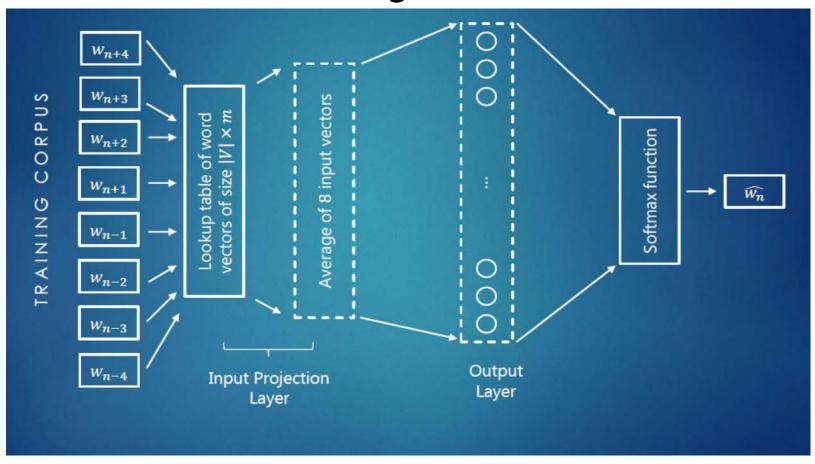
## Word2Vec/Glove/FastText

Hyopil Shin(Seoul National University)
Computational Linguistics
#Semantics with Dense Vectors
Supplement Data

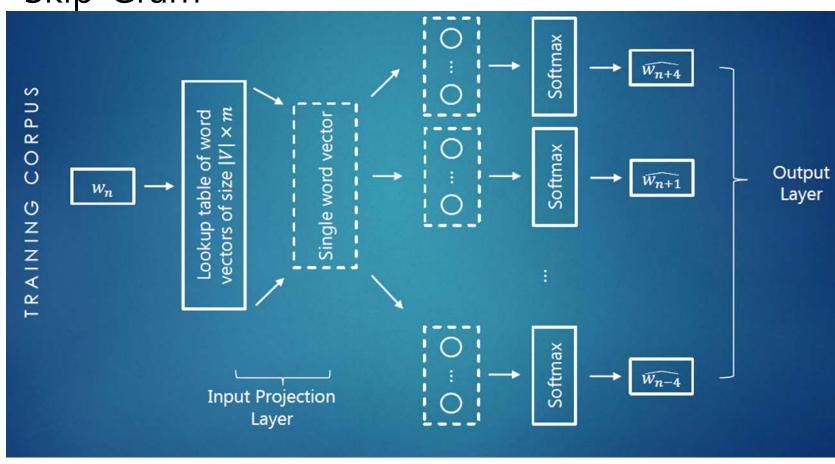
#### Word2Vec

• CBOW:Continuous Bag of words

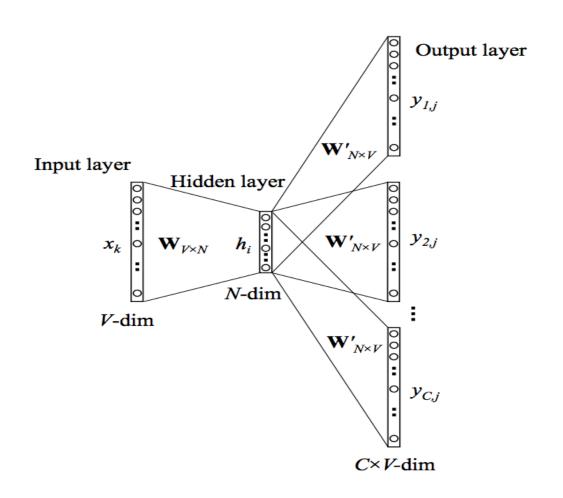


### Word2Vec

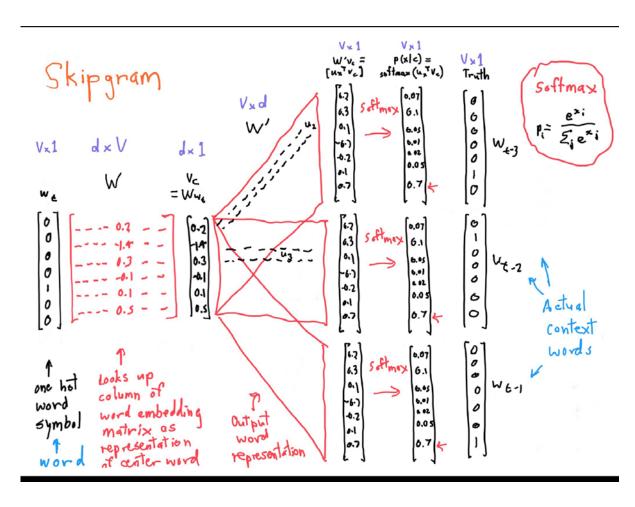
• Skip-Gram



## Word2Vec 학습 패러미터(skip-gram)

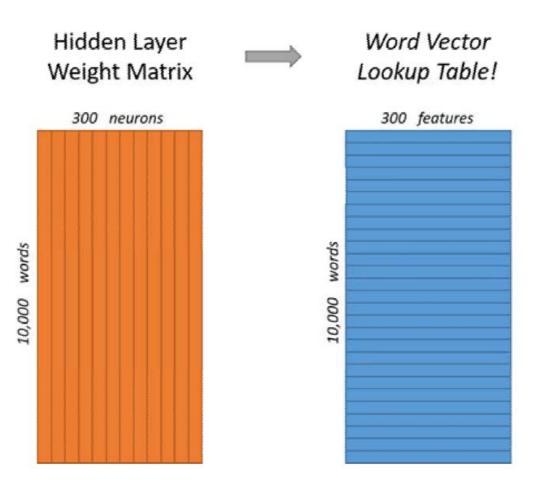


## From (cs224n Natural Language Processing with Deep Learning at Stanford University)



#### Word2Vec

- V-임베딩 단어수
- N-은닉층의 노드 개수
- 중심단어로 주변단어를 맞추거나, 주변단어로 중심단어를 맞추기 위해 가중치 행렬인 W, W'을 조금씩 업데이트 하면서 이루어지는 구조

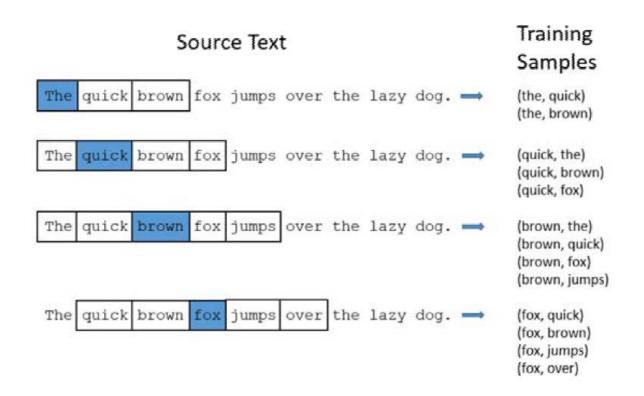


#### Word2Vec

• 학습이 마무리되면 W의 행벡터들이 각 단어에 해당하는 임베 딩이 됨

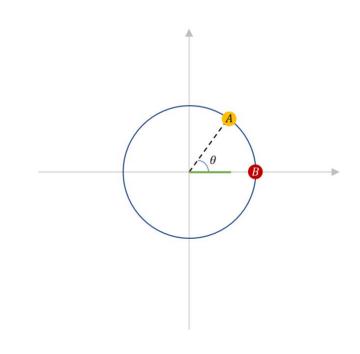
$$\begin{bmatrix} 0 & 0 & 0 & 1 & 0 \end{bmatrix} \times \begin{bmatrix} 17 & 24 & 1 \\ 23 & 5 & 7 \\ 4 & 6 & 13 \\ 10 & 12 & 19 \\ 11 & 18 & 25 \end{bmatrix} = \begin{bmatrix} 10 & 12 & 19 \end{bmatrix}$$

## Word2Vec입력과 Skip-Gram



### Word2vec학습 - 코사인 유사도

- A와 B가 겹칠때(Θ = 0), cos(Θ) = 1
- cos(Θ)는 단위원 내 벡터 들끼리의 내적과 같음
- 내적이 커진다는 것은 두 벡터가 이루는 ②가 작아지고, 유사도는 높아 진다
- Cos인과 내적을 목적함 수에 활용



## Word2Vec 학습(skip-gram): 목적함수

• Skip-gram: 중심단어(c)가 주어졌을 때 주변단어 (o)가 나타날 확률을 최대화 하는 식으로 학습이 이루어진

$$P(o \mid c) = \frac{exp(u_o^T v_c)}{\sum_{w=1}^{W} exp(u_w^T v_c)}$$

- v. 입력층-은닉층을 잇는 가중치 행렬 W의 행벡터
- u. 은닉층-출력층을 잇는 가중치 행렬 W'의 열벡터
- 최대화:
  - 붙잘 지수를 키움-중심단어(c)에 대한 주변단어(o) 벡터의 내적값을 높임. Θ를 줄여 유사
  - 분모는 중심단어©와 학습말뭉치 내 모든 단어를 각각 내적한 것의 총합. 분모를 줄이기 위해서는 주변에 등장하지 않은 단어와 중심단어의 내적값을 줄여야 함. ⊙를 크게함
  - 윈도우 내에 등장하지 않는 단어에 해당하는 벡터는 충심단어 벡터와 벡터 공간상에서 멀어지게(내적을 줄임) 등장하는 주변단어 벡터는 중심단어 벡터와 가까워지게(대적을 늘림) 하는 방식으로 벡터값을 업데이트 하면서 이루어짐

### Word2Vec: 목적함수

$$\begin{split} \frac{\partial}{\partial v_c} \ln & P(o \mid c) = \frac{\partial}{\partial v_c} \ln \frac{\exp(u_o^T v_c)}{\sum_{w=1}^W \exp(u_w^T v_c)} \\ &= \frac{\partial}{\partial v_c} u_o^T v_c - \frac{\partial}{\partial v_c} \ln \sum_{w=1}^W \exp(u_w^T v_c) \\ &= u_o^T - \frac{1}{\sum_{w=1}^W \exp(u_w^T v_c)} (\sum_{w=1}^W \exp(u_w^T v_c) \cdot u_w) \\ &= u_o^T - \sum_{w=1}^W \frac{\exp(u_w^T v_c)}{\sum_{w=1}^W \exp(u_w^T v_c)} \cdot u_w \\ &= u_o^T - \sum_{w=1}^W P(w \mid c) \cdot u_w \end{split}$$

$$v_c^{t+1} = v_c^t + \alpha (u_o^T - \sum_{w=1}^W P(w | c) \cdot u_w)$$

## Word2Vec: Complexity Reduction

- 10만개의 V와 N=100일 경우에, W, W'의 계산량은 2000만(2x10만x100)
- Subsampling frequent words: 자주 등장 하는 단어의 학습량을 확률적인 방식으로 줄임.
  - f(w)는 해당 단어가 말뭉치에 등장한 비율 (해당단어빈도/전체단어수)
  - *t*는 사용자 지정값. 보통 0.00001
  - f(w)가 0.01이라면 p(w)는 0.9684로 100번의 학습 중 96번 정도는 학습에서 제외
  - Subsampling은 학습량을 효과적으로 줄여 계산량을 감소

$$P(w_i) = 1 - \sqrt{\frac{t}{f(w_i)}}$$

## Word2Vec: Complexity Reduction

- Negative sampling:
  - 출력층의 softmax함수는 중심단어와 나머지 모든 단어들 의 내석을 한 뒤 다시 exp를 취해야함. -> 계산량이 큼
  - Softmax를 구할 때 전체 단어를 대상으로 하지 않고 일부 만 뽑아 계산
  - 지정한 윈도우 사이즈 내에 등장하지 않는 단어(negative sample)을 5-20개 정도 뽑은 후 이를 정답단어와 합쳐 이것만으로 softmax를 계산.
  - 윈도우 사이즈가 5일 경우 최대 25개 단어를 대상으로만 softmax 확률을 계산하고, 패러미터 업데이트도 25개만 함
  - 윈도우 내에 등장하지 않는 어떤 단어(w<sub>i</sub>)가 negative sample로 뽑힐 확률
  - 예) is:  $0.9^{(3/4)} = 0.92$  (2.2% 증가)

Constitution:  $0.09^{(3/4)} = 0.16$ 

bombastic:  $0.01^{(3/4)} = 0.032$  (220% 증가)

$$P(w_i) = \frac{f(w_i)^{3/4}}{\sum_{j=0}^{n} f(w_j)^{3/4}}$$

# Glove(Global Vectors for Word Representation)

- GloVe is an unsupervised learning algorithm for obtaining vector representations for words. Training is performed on aggregated global word-word co-occurrence statistics from a corpus, and the resulting representations show case interesting linear substructures of the word vector space.
  - While methods like LSA efficiently leverage statistical information, they do relatively poorly on the word analogy task, indicating a sub-optimal vector space structure. Methods like skip-gram may do better on the analogy task, but they poorly utilize the statistics of the corpus since they train on separate local context windows instead of on global co-occurrence counts.
  - https://nlp.stanford.edu/projects/glove/

## Glove(Global Vectors for Word Representation)

• GloVe is essentially a log-bilinear model with a weighted least-squares objective. The main intuition underlying the model is the simple observation that ratios of **word-word co-occurrence probabilities** have the potential for encoding some form of meaning

Probability and Ratio	k = solid	k = gas	k = water	k = fashion
P(k ice)			$3.0\times10^{-3}$	$1.7\times10^{-5}$
P(k steam)	$2.2  imes 10^{-5}$	$7.8\times10^{-4}$	$2.2\times10^{-3}$	$1.8\times10^{-5}$
P(k ice)/P(k steam)	8.9	$8.5\times10^{-2}$	1.36	0.96

- 특정 문맥단어가 주어졌을 때 임베딩된 두 단어벡터의 내적이 두단어의 동시등장확률간 비율에 되게끔 임베딩
- solid라는 문맥단어가 주어졌을 때 ice와 steam 벡터 사이의 내적값이 8.9가 되도록
   단어 상호간 비율 정보를 말뭉치 전체를 놓고 한꺼번에 반영하면 좀 더 정확한 임베딩이 될 것이라고 가정

# Glove(Global Vectors for Word Representation)

- Objective Function
  - P<sub>ik</sub> = P(서i): i번째 단어가 등장했을 때 k라는 문맥 단어(context word)가 등장할 조건부 확률, P(solid ice)
  - $P_{ik}/P_{ik}$ : P(solid|ice)/P(solid|stream) = 8.9

$$F(w_{ice}, w_{steam}, w_{solid}) = rac{P_{ice, solid}}{P_{steam, solid}} = rac{P(solid|ice)}{P(solid|steam)} = rac{1.9 imes 10^{-4}}{2.2 imes 10^{-5}} = 8.9$$

- Three conditions
  - $W_i$ ,  $W_k$  를 서로 바꾸어도 같은 값을 가져야 함
  - 코퍼스 전체에서 구한 co-occurrence matrix X는 대칭행렬(symmetric matrix)이므로 함수는 이런 특징을 포함해야 함
  - Homomorphism조건을 만족해야 함

$$egin{aligned} F(w_i-w_j, ilde{w}_k) &= rac{P_{ik}}{P_{jk}} \ F((w_i-w_j)^T ilde{w}_k) &= rac{P_{ik}}{P_{jk}} \ F((w_i-w_j)^T ilde{w}_k) &= rac{F(w_i^T ilde{w}_k)}{F(w_j^T ilde{w}_k)} \ F(w_i^T ilde{w}_k-w_j^T ilde{w}_k) &= rac{F(w_i^T ilde{w}_k)}{F(w_j^T ilde{w}_k)} \end{aligned}$$

$$w_i \longleftrightarrow ilde{w_k} \ X \longleftrightarrow X^T \ F(X-Y) = rac{F(X)}{F(Y)}$$

# Glove(Global Vectors for Word Representation)

- 이러한 조건을 만족하는 함수 는 지수함수, F를 *exp*로 지환하 고 정리
- W<sub>i</sub>, W<sub>k</sub>를 서로 바꾸어도 식이성립해야 하나 log(P<sub>ik</sub>)가 log(P<sub>k</sub>)와 같아야 하나 log(X<sub>ik</sub>) log(X<sub>i</sub>)와 log(X<sub>k</sub>)- log(X<sub>k</sub>)로 달라짐.
- 따라서 log(X) 를 상수항(b, b) 로 하여 이 조건을 만족시킴
- log(X<sub>ik</sub>)는 co-occurrence matrix에 로그값을 취한것.
- 이 차이를 최소한으로 하는 것이 목적함수

$$egin{aligned} exp(w_i^T ilde{w}_k - w_j^T ilde{w}_k) &= rac{exp(w_i^T ilde{w}_k)}{exp(w_j^T ilde{w}_k)} \ w_i^T ilde{w}_k &= \log P_{ik} = \log X_{ik} - \log X_i \end{aligned}$$

$$w_i^T ilde{w_k} = \log X_{ik} - b_i - ilde{b_k} \ w_i^T ilde{w_k} + b_i + ilde{b_k} = \log X_{ik}$$

$$J = \sum_{i,j=1}^V \left(w_i^T ilde{w_j} + b_i + ilde{b_j} - \log X_{ij}
ight)^2$$

### Glove(Global Vectors for Word Representation): weighted least squares regression model

$$J = \sum_{i,j=1}^{V} f\left(X_{ij}\right) \left(w_i^T \tilde{w}_j + b_i + \tilde{b}_j - \log X_{ij}\right)^2$$

- 1. f(0) = 0. If f is viewed as a continuous function, it should vanish as  $x \to 0$  fast enough that the  $\lim_{x\to 0} f(x) \log^2 x$  is finite.
- 2. f(x) should be non-decreasing so that rare co-occurrences are not overweighted.
- 3. f(x) should be relatively small for large values of x, so that frequent co-occurrences are not overweighted.

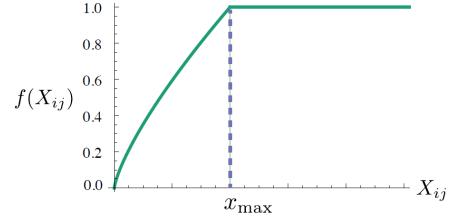


Figure 1: Weighting function f with  $\alpha = 3/4$ .

#### FastText

- Facebook Al Research lab에 의한 open source
  - https://research.fb.com/fasttext/
  - FastText combines some of the most successful concepts introduced by the natural language processing and machine learning communities in the last few decades. These include representing sentences with **bag of words** and **bag of n-grams**, as well as using **subword information**, and **sharing information across classes** through a hidden representation.
  - It also employs a hierarchical softmax that takes advantage of the unbalanced distribution of the classes to speed up computation. These different concepts are being used for two different tasks: efficient text classification and learning word vector representations.

#### General Model

- Given a word vocabulary size W, where a word is identified by its index  $w \in \{1, ... W\}$ , the goal is to learn a vectorial representation for each word w.
- Skip-gram model is to maximize the following log-likelihood:

$$\sum_{t=1}^{T} \sum_{c \in \mathcal{C}_t} \log p(w_c \mid w_t),$$

- where the context  $C_t$  is the set of indices of words surrounding word  $W_t$
- one possible choice to define the probability of a context word is the softmax:

• d 
$$p(w_c \mid w_t) = \frac{e^{s(w_t, w_c)}}{\sum_{j=1}^{W} e^{s(w_t, j)}}.$$

#### General Model

- Negative Sampling
  - The problem of predicting context words can be framed as a set of independent binary classification tasks
  - For the word at position t we consider all context words as positive examples and sample negatives at random from the dictionary
  - For a chosen context position c, using the binary logistic loss, we obtain the following negative log-likelihood:

$$\log\left(1 + e^{-s(w_t, w_c)}\right) + \sum_{n \in \mathcal{N}_{t,c}} \log\left(1 + e^{s(w_t, n)}\right)$$

- Where Nt,c is a set of negative examples sampled from the vocabulary
- By denoting the logistic loss function  $\ell: x \mapsto \log(1+e^{-x})$ , we can rewrite the objective as:

$$\sum_{t=1}^{T} \left[ \sum_{c \in \mathcal{C}_t} \ell(s(w_t, w_c)) + \sum_{n \in \mathcal{N}_{t,c}} \ell(-s(w_t, n)) \right]$$

#### Subword Model

- By using a distinct vector representation for each word, the skipgram model ignores the internal structure of words.
  - Each word w is represented as a bag of character n-gram. FastText adds special symbols < and > at the beginning and end of words, allowing to distinguish prefixes and suffixes from other character sequences
  - Also includes w itself in the set of its *n-grams*, to learn a representation for each word
  - Taking the word where and *n*=3 as an example, it will be represented by the character n-grams:
    - <wh, whe, her, ere, re> and the special sequence
    - <where>
    - Note that the sequence <her>, corresponding to her is different from the tri-gram her from the word where.
  - In practice, they extract all the n-grams for n greater or equal 2 and smaller or equal to 6

#### Subword Model

- Suppose that you are given a dictionary of n-grams of size G. Given a word w, let us denote by  $g_w \subset \{1, \ldots, G\}$ , the set of n-grams appearing in w.
  - Then associate a vector representation  $z_q$  to each n-gram g
  - The scoring function is

$$s(w,c) = \sum_{g \in \mathcal{G}_w} \mathbf{z}_g^{\mathsf{T}} \mathbf{v}_c.$$

• This simple model allows sharing the representations across words, thus allowing to learn reliable representation for rare words