

# EXTENSIONS OF ABELIAN AUTOMATA GROUPS

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## Mealy Automata

A **Mealy Automaton**  $\mathcal{A}$  is a finite state machine which encodes a family of continuous functions from Cantor Space to itself. For us, these continuous functions will always be homeomorphisms, and thus we may associate to a machine  $\mathcal{A}$  a subgroup  $\mathcal{G}(\mathcal{A})$  of the automorphisms of Cantor Space. These are known as **Automata Groups** in the literature.

Classifying all groups generated by even 3-state machines is still and open problem, so we will focus attention on those which generate abelain groups.

We can define two operations from  $\mathcal{G}(\mathcal{A})$  to itself called **Residuation**, where the 0-residual of  $f$  is defined to be the unique function  $\partial_0 f$  so that

$$f(0s) = f(0)(\partial_0 f)(s).$$

The 1-residual  $\partial_1 f$  is defined analogously.

A function  $f$  is called **even** if it copies its first input bit, that is  $f(as) = a\partial_a f(s)$ , and is called **odd** otherwise.

It is a theorem of Sutner that, in the abelian case,  $f$  is even if and only if  $\partial_0 f = \partial_1 f$ .

## Past Results

In their paper “Automorphisms of the binary tree: State-closed subgroups and dynamics of 1/2-endomorphisms”, Nerikashevych and Sidki show that abelian automata groups are isomorphic to integer lattices, and moreover, there is a “1/2-integral” matrix  $\mathbf{A}_{\mathcal{A}}$  of irreducible character so that residuation lifts to an affine map. Succinctly, for some  $\varphi$ :

$$\varphi : \mathcal{G}(\mathcal{A}) \cong \mathbb{Z}^m$$

$$\varphi(\partial_0 f) = \begin{cases} \mathbf{A}\varphi(f) & f \text{ even} \\ \mathbf{A}(\varphi(f) - \bar{e}) & f \text{ odd} \end{cases}$$

$$\varphi(\partial_1 f) = \begin{cases} \mathbf{A}\varphi(f) & f \text{ even} \\ \mathbf{A}(\varphi(f) + \bar{e}) & f \text{ odd} \end{cases}$$

Moreover,  $\varphi$  can be chosen so that the first component of  $\varphi(f)$  is even iff  $f$  is even. Under this additional constraint,  $\bar{e}$  must be odd, and we can put  $\mathbf{A}$  into rational canonical form ( $a_i \in \mathbb{Z}$ ):

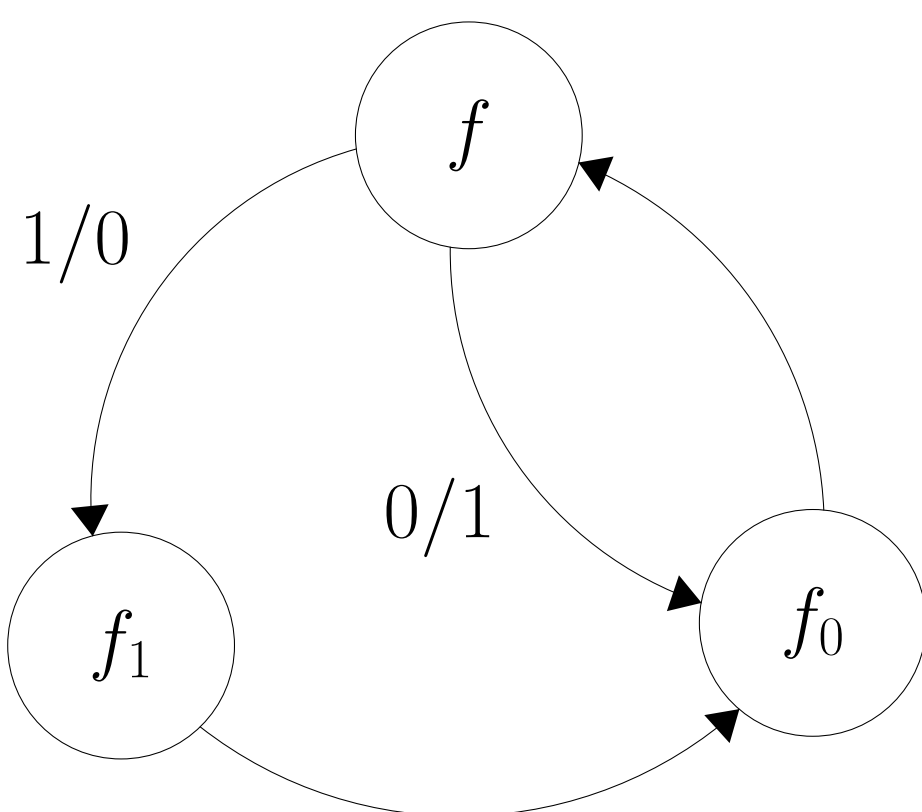
$$\begin{pmatrix} \frac{a_1}{2} & 1 & 0 & \dots & 0 \\ \frac{a_2}{2} & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \frac{a_{n-1}}{2} & 0 & 0 & \dots & 1 \\ \frac{a_n}{2} & 0 & 0 & \dots & 0 \end{pmatrix}$$

$\chi_{\mathbf{A}}$  is  $\mathbb{Q}$ -irreducible

## The Question

By choosing a 1/2-integral matrix  $\mathbf{A}$  and an odd residuation vector  $\bar{e}$ , we can view  $\mathbb{Z}^m$  as a Mealy Automaton with countably many states. It is then natural to ask when we can find a particular machine  $\mathcal{A}$  as a sub-automaton. It doesn’t take much effort to show that the matrix  $\mathbf{A}$  must agree with  $\mathbf{A}_{\mathcal{A}}$ , but there is no immediate pattern for which choices of  $\bar{e}$  admit a particular  $\mathcal{A}$  as a subautomaton.

## An Important Example: $\mathcal{A}_2^3$



$$\mathbf{A} = \begin{pmatrix} -1 & 1 \\ -\frac{1}{2} & 0 \end{pmatrix}$$

$$\partial_0 f = f_0$$

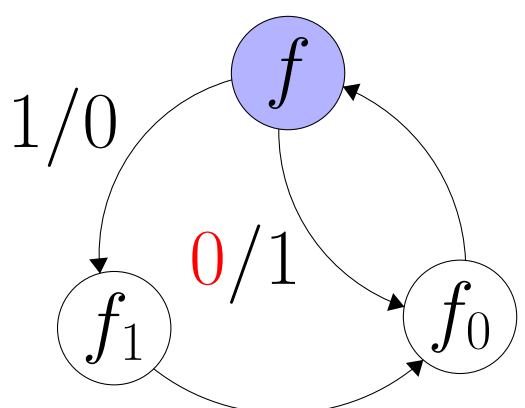
$$\partial_1 f = f_1$$

$$\partial_0 f_0 = \partial_1 f_0 = f$$

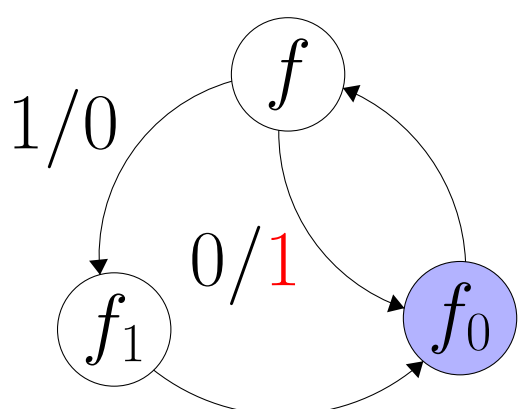
$$\partial_0 f_1 = \partial_1 f_1 = f_0$$

(Unlabeled edges correspond to both 0/0 and 1/1 edges)

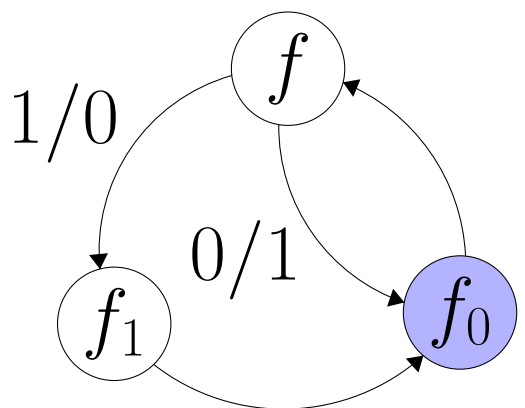
## Computing $f(0110\dots)$



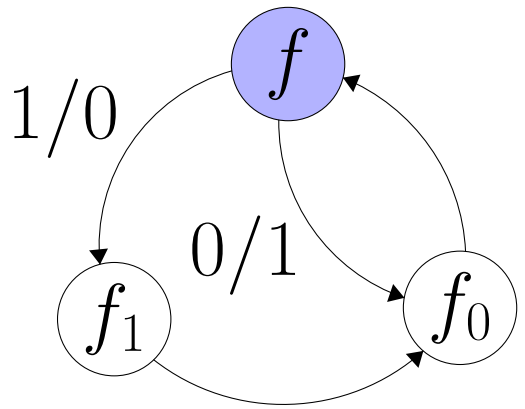
$$f(0110\dots)$$



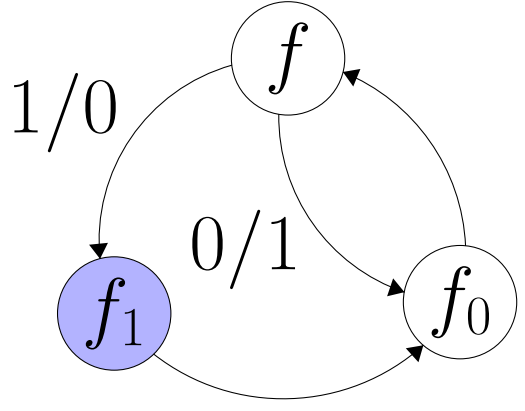
$$1f_0(110\dots)$$



$$1f_0(110\dots)$$



$$11f(10\dots)$$



$$110f_1(0\dots)$$

## An Embedding

For  $\bar{e} = (-3, -2)$ :

$$f = (1, 0)$$

$$f_0 = (0, 1)$$

$$f_1 = (-2, -2)$$