Extensions of Abelian Automaton Groups

Chris Grossack (Advisor: Klaus Sutner)

May 8, 2019

Automata

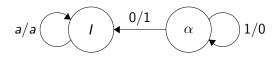
2 Abelian

Groups

4 Extensions

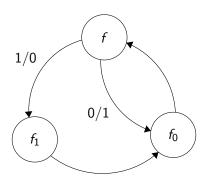
Finite State Automata

- Combinatorial Objects
- Encode length preserving functions on binary strings
 - states
 - transitions

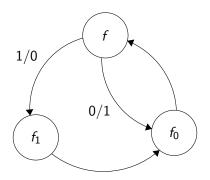


- One function per state
- Evaluate by following edges

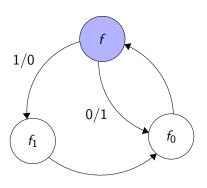
- \mathcal{A}_2^3 . This automaton will be our friend for the rest of this talk
- Defines three functions:
 - **>** 1
 - ► f₀
 - ► f₁



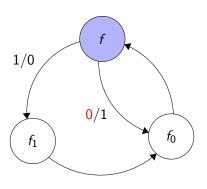
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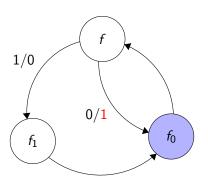
• How do we compute, say, f of a string?



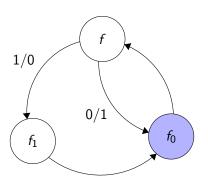
• f(011010)



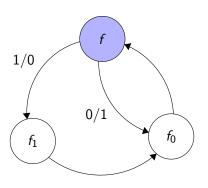
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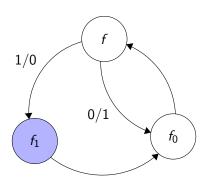
• $1f_0(11010)$



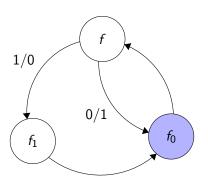
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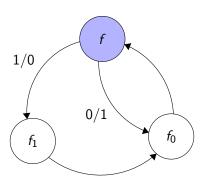
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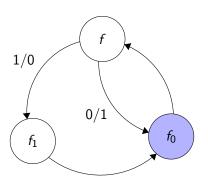
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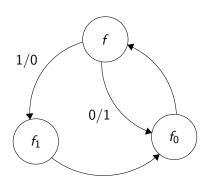
• $1100f_0(10)$



• 11001f(0)



• $110011f_0(\varepsilon)$



• 110011

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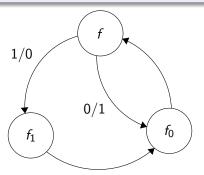
Definition

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- $\partial_0 f = f_0$ and $\partial_1 f = f_1$
- f is odd, f_0 and f_1 are even



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- We are interested in the Abelian case.
- For all of our machines, f + g = g + f
- ullet Given a machine ${\cal A}$, this condition is checkable in polynomial time

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- ullet + : $\mathcal{G} o \mathcal{G} o \mathcal{G}$ (associative)
- \bullet $-: \mathcal{G} \to \mathcal{G}$
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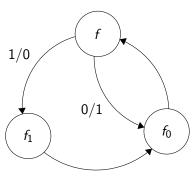
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- Our functions don't even need to be invertible
- Each function is invertible iff each state is invertible in one step



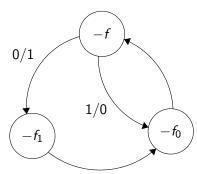
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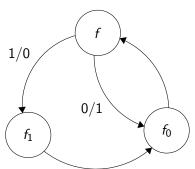






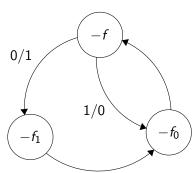
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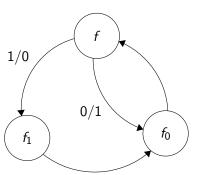
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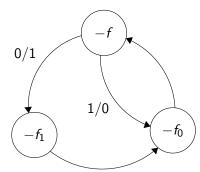


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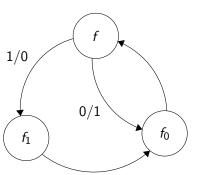
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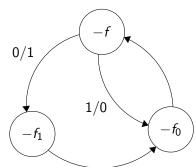
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- Take Care: $\partial_0(-f) = -\partial_1 f$
- But: (f + (-f))(01) = f((-f)(01)) = f(11) = 01
- An easy induction shows these are actually inverses.

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- We will focus on the \mathbb{Z}^m case here

Theorem

 \mathbb{Z}^m equipped with a matrix \mathbf{A} and $\bar{\mathbf{e}}$ forms a (infinite state) abelian automaton (called $\mathfrak{C}(\mathbf{A}, \bar{\mathbf{e}})$) with residuation as shown below. Further, for every abelian automaton \mathcal{A} whose group is \mathbb{Z}^m , there exists an \mathbf{A} and $\bar{\mathbf{e}}$ such that \mathcal{A} is a finite subautomaton. The odd states are exactly the states with odd first component.

$$\mathbf{A} = \begin{pmatrix} \frac{a_1}{2} & 1 & 0 & \cdots & 0 \\ \frac{a_2}{2} & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \frac{a_{m-1}}{2} & 0 & 0 & \cdots & 1 \\ \frac{a_m}{2} & 0 & 0 & \cdots & 0 \end{pmatrix}$$

$$\partial_0 \bar{v} = egin{cases} A(\bar{v}) & \bar{v} \text{ is even} \\ A(\bar{v} - \bar{e}) & \bar{v} \text{ is odd} \end{cases}$$

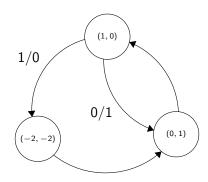
$$\partial_1 \bar{v} = egin{cases} A(\bar{v}) & \bar{v} ext{ is even} \ A(\bar{v} + \bar{e}) & \bar{v} ext{ is odd} \end{cases}$$

- $a_i \in \mathbb{Z}$
- A has irreducible characteristic polynomial
- \bar{e} (the Residuation Vector) is odd



Example:

Take
$$\mathbf{A}=\begin{pmatrix} -1 & 1 \\ -\frac{1}{2} & 0 \end{pmatrix}$$
, and $\bar{e}=(3,2)$. Then:



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- It can also be shown that for any \bar{e} , if A is a subautomaton, its location in the structure is unique
- There are infinitely many choices of \bar{e} though, and the goal is to understand them.

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Definition

For $p \in \mathbb{Z}[x]$ and $\bar{v} \in \mathfrak{C}(\mathbf{A}, \bar{e})$, put $p \cdot \bar{v} = (p(\mathbf{A}^{-1}))\bar{v}$

Theorem

for each $\bar{v} \in \mathbb{Z}^m$, there is $p_{\bar{v}} \in \mathbb{Z}[x]$ such that $p_{\bar{v}} \cdot e_1 = \bar{v}$

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- This embedding is surjective if and only if $p_{\bar{e}}$ is a unit in $\mathbb{Z}[x]/\chi$, where χ is the characteristic polynomial of \mathbf{A}^{-1}
- So different residuation vectors give groups which *extend* the group $\mathfrak{C}(\mathbf{A}, \bar{e}_1)$
- Also, if $p_{\bar{e}}$ divides $p_{\bar{r}}$, then $\mathfrak{C}(\mathbf{A}, \bar{r})$ extends $\mathfrak{C}(\mathbf{A}, \bar{e})$.

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Theorem

If \mathcal{A} is an automaton whose group is \mathbb{Z}^m , then for each odd state in \mathcal{A} , there is exactly one \bar{e} which locates that state at \bar{e}_1 in $\mathfrak{C}(\mathbf{A},\bar{e})$. Further, if \bar{e} and \bar{r} are two such residuation vectors, they differ by a unit. This procedure is effective.

Theorem

If A has a state located at $\bar{v} \in \mathfrak{C}(\mathbf{A}, \bar{e})$, then \bar{v} is located at $p \cdot \bar{v} \in \mathfrak{C}(\mathbf{A}, p \cdot \bar{e})$

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- Incredibly, we now understand residuation vectors!
- First find \bar{r} such that A has a state at \bar{e}_1 .
- $\mathcal A$ is a subautomaton of $\mathfrak C(\mathbf A, \bar e)$ if and only if $p_{\bar r}$ divides $p_{\bar e}$
- ullet Also, if ${\cal A}$ is a subautomaton, then $qp_{ar r}=p_{ar e}$, and ${\cal A}$ is located at $q\cdot ar e_1$

- It turns out we can "scale by an infinite polynomial" to get a
 universal structure which contains every automaton (with the correct
 matrix A) at exactly one location.
- The construction is a bit involved, so we don't have time to discuss it, but it is computable, and removes the need for the extra parameter \bar{e} .

Questions?