



UNIVERSITÄT  
LEIPZIG



## Lecture 5

# Soft Matter Physics

## Molecular association and cooperativity

- Recap: Gibbs distribution & chemical potential
- Free energy change of a reaction
- Equilibrium constant from mass action law
- Equilibrium constant from grand partition function
- Cooperativity in chemical reactions

# Recap: Grand canonical ensemble

Open system with thermal + particle reservoir!

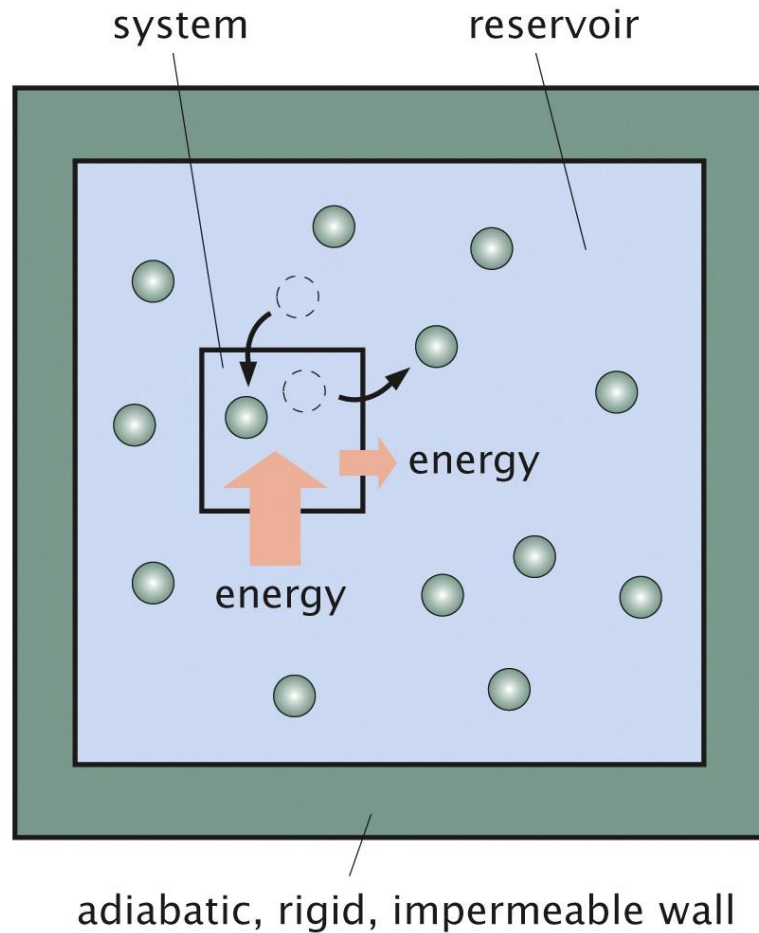


Figure 7.9 Physical Biology of the Cell, 2ed. (© Garland Science 2013)

**Gibbs distribution** for system with energy & particle exchange:

Energy to have  $N_s$  particles in system      Energy to take out  $N_s$  particles from reservoir

$$p(E_s^{(i)}, N_s^{(i)}) = \frac{e^{-\beta(E_s^{(i)} - \mu N_s^{(i)})}}{Z}$$

“Boltzmann-like” term comprising the total free energy change as sum of the free energy of the system with  $N_s$  particles and the free energy change from extracting  $N_s$  particles from the reservoir (concentration dependent)

Grand partition function

$$Z = \sum_i e^{-\beta(E_s^{(i)} - N_s^{(i)} \mu)}$$

# Recap: Gibbs distribution and chemical potential

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**Gibbs distribution:**  $\rho(E_s^{(i)}, N_s^{(i)}) = \frac{e^{-\beta(E_s^{(i)} - \mu N_s^{(i)})}}{\mathcal{Z}}$

(with  $\beta = 1/k_B T$ )

**Grand partition function:**

$$\mathcal{Z} = \sum_i e^{-\beta(E_s^{(i)} - \mu N_s^{(i)})}$$

**Grand potential:**  $\Omega(T, V, \mu) = -k_B T \ln \mathcal{Z} = F - \mu N = U - TS - \mu N = H - pV - TS - \mu N$

**Average particle number in system:**  $\langle N \rangle = \sum_i N_i \rho_i = \frac{1}{\mathcal{Z}} \sum_i N_i e^{-\beta(E_i - \mu N_i)} \longrightarrow \langle N \rangle = \frac{1}{\beta} \frac{\partial}{\partial \mu} \ln \mathcal{Z}$

# Recap: Gibbs distribution and chemical potential

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**Gibbs distribution:**  $\rho(E_s^{(i)}, N_s^{(i)}) = \frac{e^{-\beta(E_s^{(i)} - \mu N_s^{(i)})}}{\mathcal{Z}}$

(with  $\beta = 1/k_B T$ )

**Grand partition function:**

$$\mathcal{Z} = \sum_i e^{-\beta(E_s^{(i)} - \mu N_s^{(i)})}$$

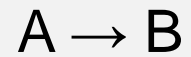
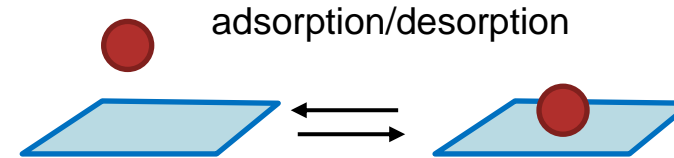
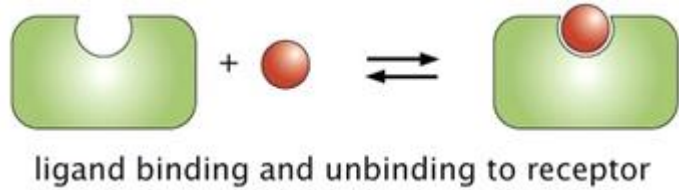
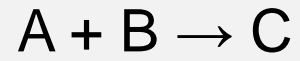
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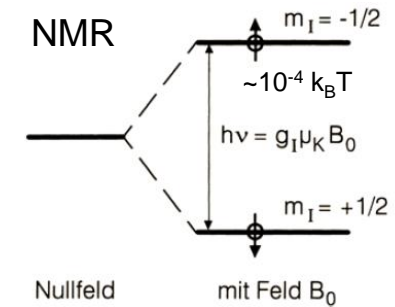
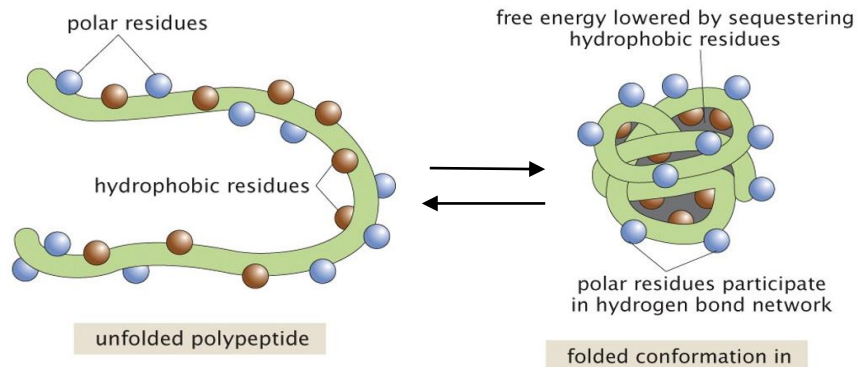
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**Chemical potential:**  $\mu(T, p, N) = \left( \frac{\partial G}{\partial N} \right)_{T, p}$   $\mu_i = \mu_{i,0} + k_B T \ln \left( \frac{c_i}{c_{i,0}} \right) \longrightarrow c_{i,0} = 1 \text{ M}$

# Examples of “chemical” reactions



Globule formation (polymers, proteins)



What can we learn about relevant energy/entropy changes by looking at the involved species?

# Free energy change of a reaction



free energy change of single reaction

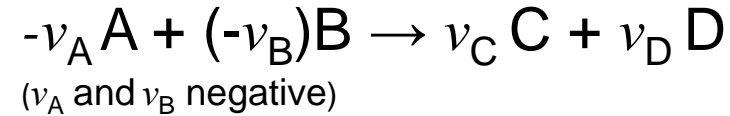
$$dG = \underbrace{\left(\frac{\partial G}{\partial N_A}\right)_{T,p}}_{\mu_A} dN + \underbrace{\left(\frac{\partial G}{\partial N_B}\right)_{T,p}}_{\mu_B} dN + \underbrace{\left(\frac{\partial G}{\partial N_C}\right)_{T,p}}_{\mu_C} dN + \underbrace{\left(\frac{\partial G}{\partial N_D}\right)_{T,p}}_{\mu_D} dN$$

$$dG = \underbrace{-x_A dN}_{v_A \mu_A} \mu_A + \underbrace{-x_B dN}_{v_B \mu_B} \mu_B + \underbrace{+x_C dN}_{v_C \mu_C} \mu_C + \underbrace{+x_D dN}_{v_D \mu_D} \mu_D \longrightarrow \Delta_r G = \frac{dG}{dN} = \sum_i v_i \mu_i$$

**$v_i$  are the stoichiometry factors**

# Free energy change of a reaction

chemical reaction



free energy change of  
single reaction

$$\begin{aligned}\Delta_r G &= \sum_i v_i \mu_i \\ &= \sum_i v_i \mu_{i0} + k_B T \sum_i \ln \left( \frac{c_i}{c_{i0}} \right)^{v_i} = \Delta_r G^0 + k_B T \sum_i \ln \left( \frac{c_i}{c_{i0}} \right)^{v_i}\end{aligned}$$

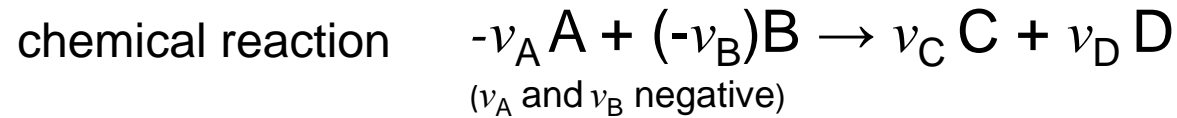
$$\Delta_r G = \Delta_r G^0 + k_B T \ln \left[ \prod_i \left( \frac{c_i}{c_{i0}} \right)^{v_i} \right]$$

Entropy term due to different  
abundance of species  $i$

Standard free energy of reaction contains  
enthalpic & entropic part (molecular property)  
(at standard conditions:  $p = 101.3 \text{ kPa}$ ,  $T = 298.15 \text{ K}$ ,  $c_{i0} = 1 \text{ M}$ )

make sure to insert  $c_i$  in units of [M]!

# Mass action law



in equilibrium

$$\Delta_r G = 0 = \Delta_r G^0 + k_B T \ln \left[ \prod_i \left( \frac{c_i}{c_{i0}} \right)^{v_i} \right]$$

$$K_{eq}(T) = \left( \prod_i c_{i0}^{v_i} \right) e^{-\Delta_r G^0 / k_B T} = \prod_i c_i^{v_i}$$



equilibrium constant

ratio of reaction species provides equilibrium constant which is related to the standard free energy (change) of the reaction by:

$$\Delta_r G^0 = -k_B T \ln \left( K_{eq} \prod_i c_{i0}^{-v_i} \right)$$



# Bimolecular association & dissociation constants

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**Association constant:** is the equilibrium constant of a simple association reaction

$$L + R \leftrightarrow LR \longrightarrow K_a = \frac{1}{K_d} = \frac{[LR]}{[L][R]} \quad [K_a] = \text{M}^{-1}$$

---

**Dissociation constant:** is the equilibrium constant of a simple dissociation reaction

$$LR \leftrightarrow L + R \longrightarrow K_d = \frac{1}{K_a} = \frac{[L][R]}{[LR]} \quad [K_d] = \text{M}$$

# Langmuir adsorption isotherm for bimol. association



as  $[L] = [L]_0 - [LR]$  and  $[R] = [R]_0 - [LR]$

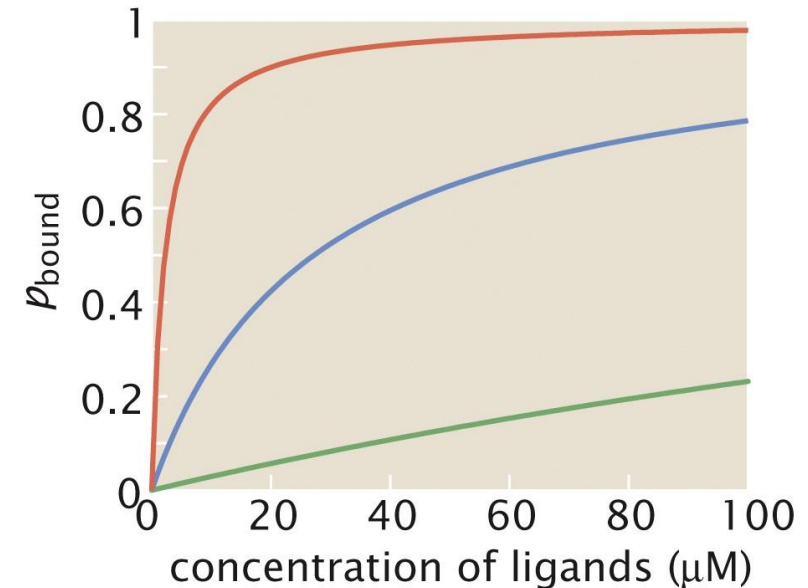
with  $[L]_0 \gg [R]_0$  (excess of ligand)  $\rightarrow [L] \approx [L]_0$

$$K_d = \frac{([R]_0 - [LR])[L]_0}{[LR]}$$

Langmuir isotherm

$$\rho_{bound} = \frac{[LR]}{[R]_0} = \frac{[L]_0}{[L]_0 + K_D} = \frac{[L]_0/K_D}{1 + [L]_0/K_D}$$

$K_D$  is the ligand concentration at which the receptor is half occupied



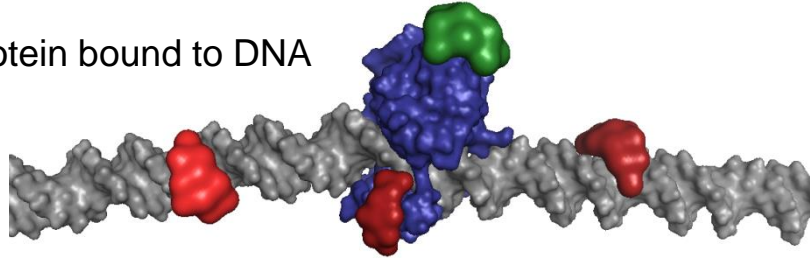
	$\Delta_r G_{bind}^0$	$K_d$ ( $\mu\text{M}$ )
—	-12.5	2.2
—	-10	27
—	-7.5	330

Figure 6.6 Physical Biology of the Cell, 2ed. (© Garland Science 2013)

# How to determine an equilibrium constant?

$K_d$  for simple protein DNA complex from EMSA (electromobility shift analysis)

BcnI protein bound to DNA



gel electrophoresis  
apparatus

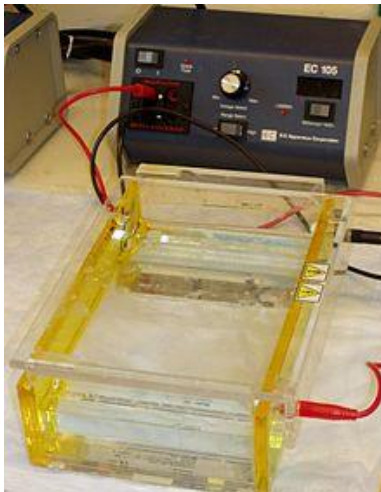
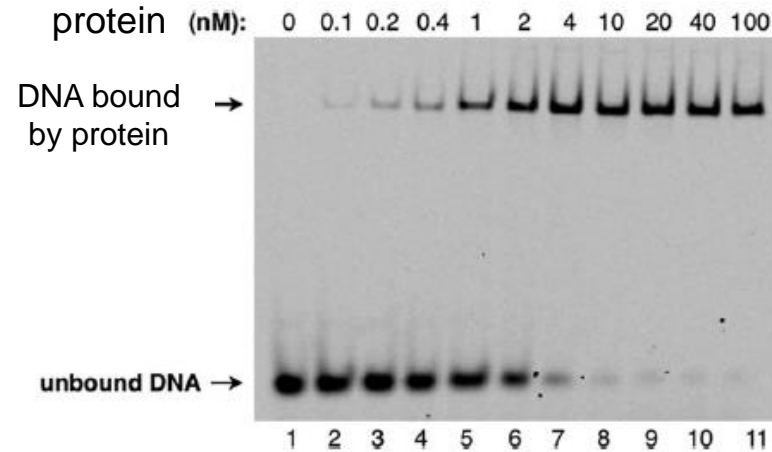
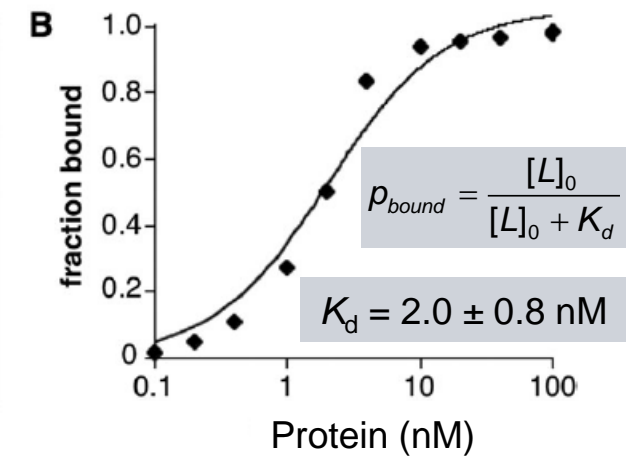
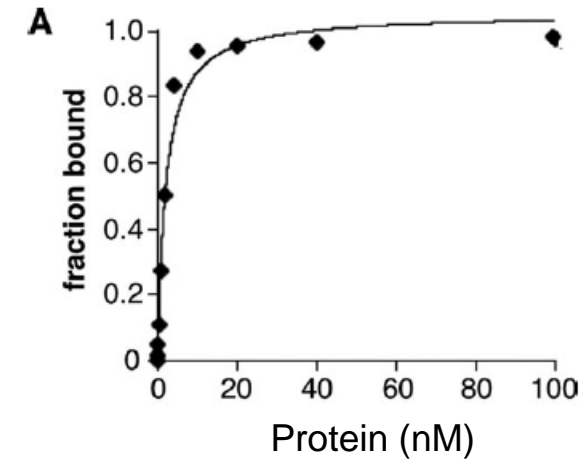


Image of the gel after electrophoresis



radioactively or fluorescently labeled DNA

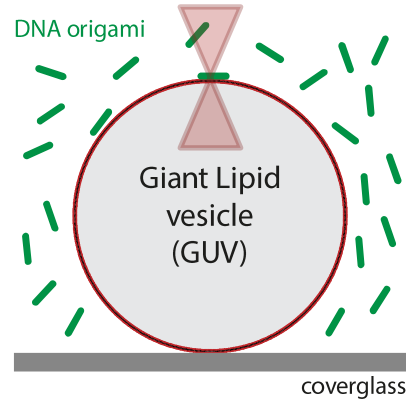
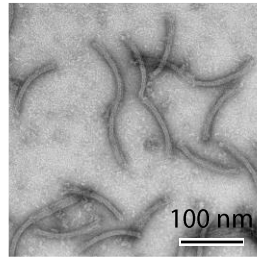
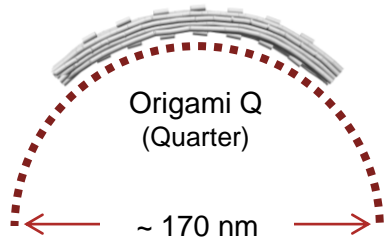


from BAMBED, Vol. 40, pp. 383–387, 2012

# How to determine an equilibrium constant?

$K_d$  for simple biomolecule (DNA origami)-lipid interactions from fluorescence microscopy

*Biomimetic curved DNA origami*



*Stronger binding*



$$K_d = 0.39 \pm 0.07 \text{ nM}$$

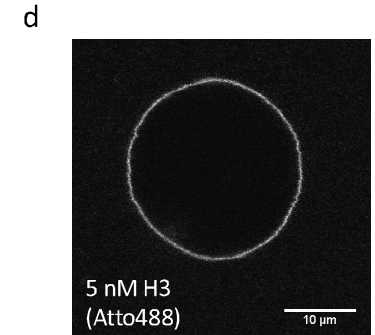
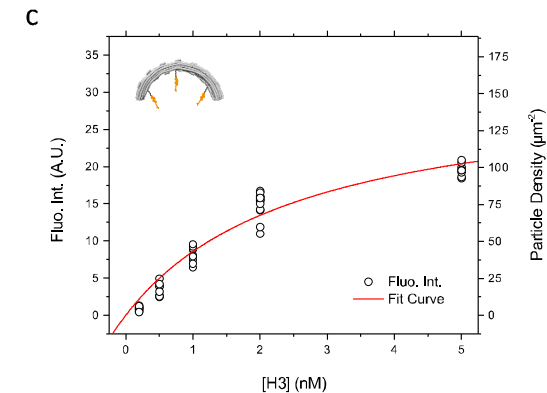
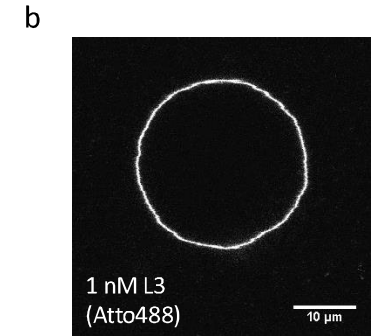
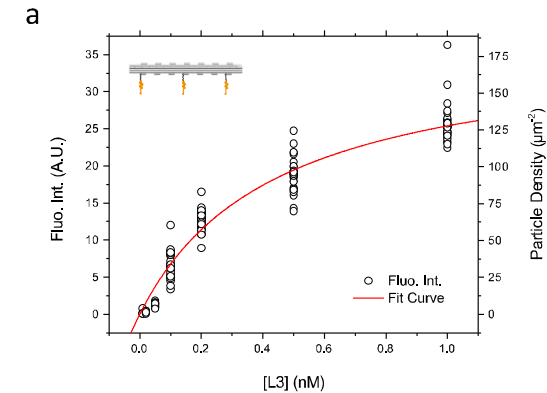


$$K_d = 0.68 \pm 0.18 \text{ nM}$$

*Weaker binding*



$$K_d = 2.0 \pm 0.6 \text{ nM}$$



Franquelim HG, et al. (2018) *Nat Commun.* 9(1): 811

# Bimolecular association from Gibbs distribution

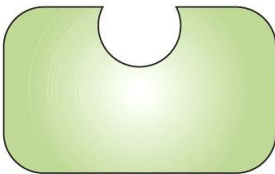
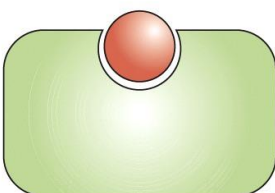
STATE	WEIGHT	free energy of receptor	$E = \epsilon_b \cdot \sigma$
 <p><math>\sigma = 0</math></p>	1	$\sigma \rightarrow$ state variable i.e., occupancy by ligand	$\epsilon_b \rightarrow$ energy change upon ligand binding
 <p><math>\sigma = 1</math></p>	$e^{-\beta(\epsilon_b - \mu)}$		
$Z = \sum_{\sigma=0}^1 e^{-\beta(\epsilon_b \sigma - \mu \sigma)} = 1 + e^{-\beta(\epsilon_b - \mu)}$			
$\rho_{bound} = \langle N \rangle = \frac{e^{-\beta(\epsilon_b - \mu)}}{1 + e^{-\beta(\epsilon_b - \mu)}} = \left( \frac{1}{e^{\beta(\epsilon_b - \mu)} + 1} \right)$			

Figure 7.10 Physical Biology of the Cell, 2ed. (© Garland Science 2013)

Figure 7.10 Physical Biology of the Cell, 2ed. (© Garland Science 2013)

# Bimolecular association from Gibbs distribution

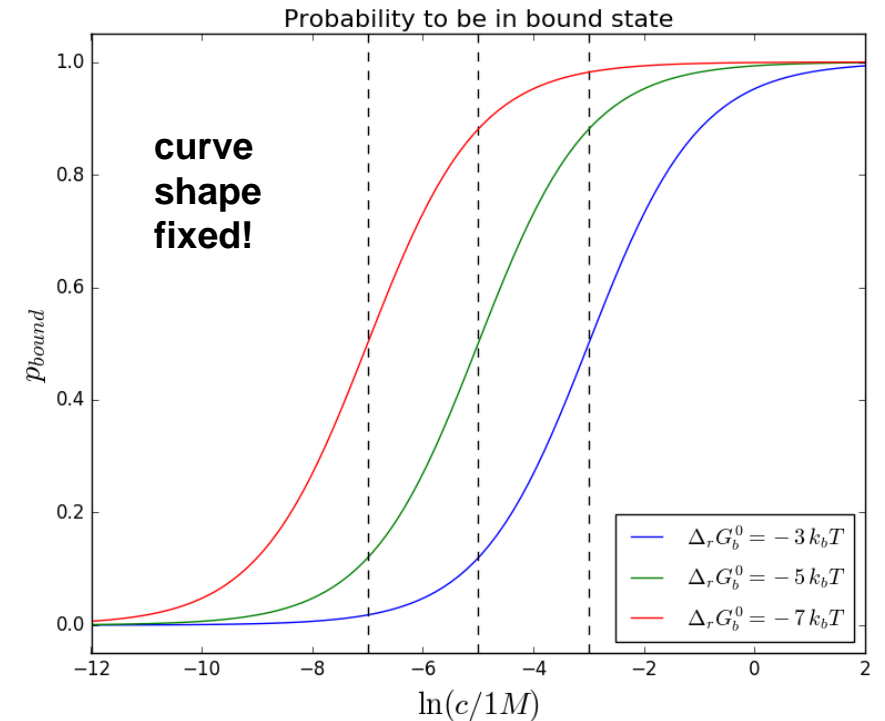
Inserting  $\mu = \mu_0 + k_B T \ln(c/c_0)$ :

$$\rho_{bound} = \frac{e^{-\beta(\varepsilon_b - \mu_0 - k_B T \ln(c/c_0))}}{1 + e^{-\beta(\varepsilon_b - \mu_0 - k_B T \ln(c/c_0))}}$$

Inserting  $\Delta_r G_{bind}^0 = \varepsilon_b - \mu_0$  and  $K_d = c_0 e^{\beta \Delta_r G_{bind}^0}$ :

$$\rho_{bound} = \frac{(c/c_0)e^{-\beta \Delta_r G_{bind}^0}}{1 + (c/c_0)e^{-\beta \Delta_r G_{bind}^0}}$$

$$\rho_{bound} = \frac{c/K_d}{1 + c/K_d} \quad \text{Langmuir isotherm}$$



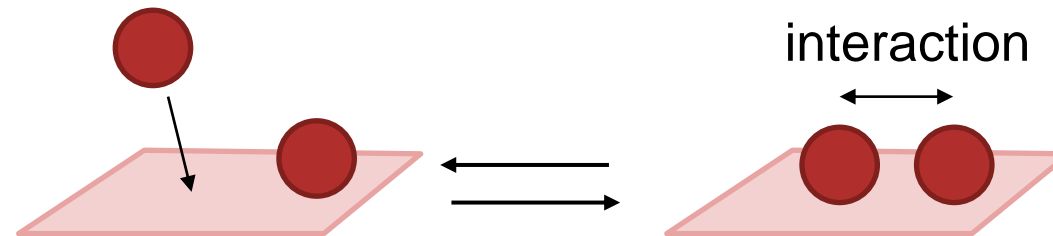
$$\rho_{bound} = \frac{1}{e^{\beta(\varepsilon_b - \mu)} + 1} = \frac{1}{e^{\beta(\Delta_r G_{bind}^0 - k_B T \ln c)} + 1}$$

Changing equilibrium state population by sweeping an external potential

# Cooperativity

Identical elements (e.g. binding sites) of a system that act dependently of each other, i.e. the occupation of an element state depends on the state of a neighboring element

## Cooperative adsorption



gives commonly rise to nucleation phenomena

# Hemoglobin – the model object of cooperativity

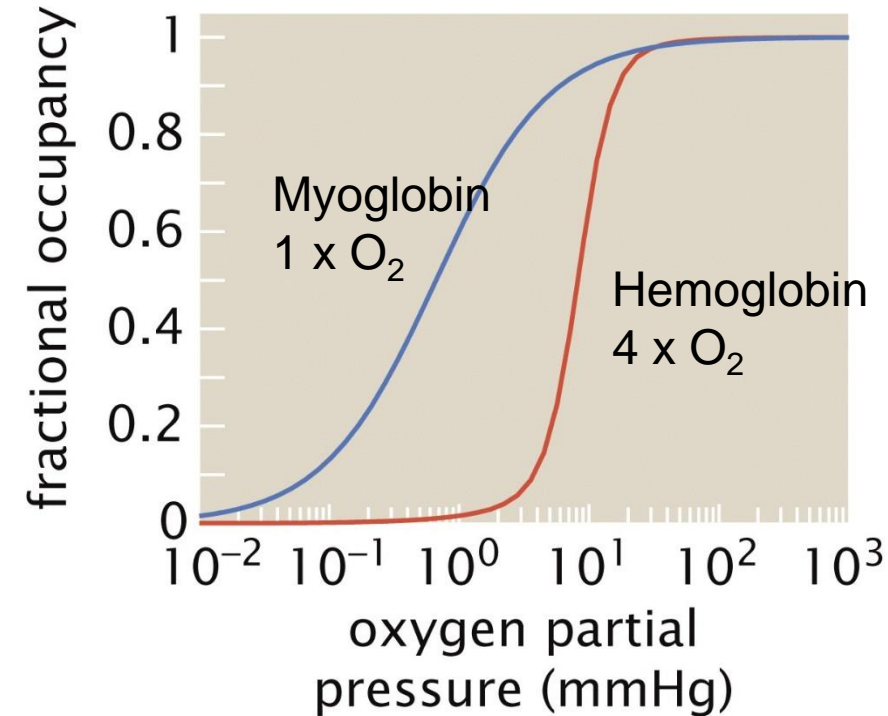
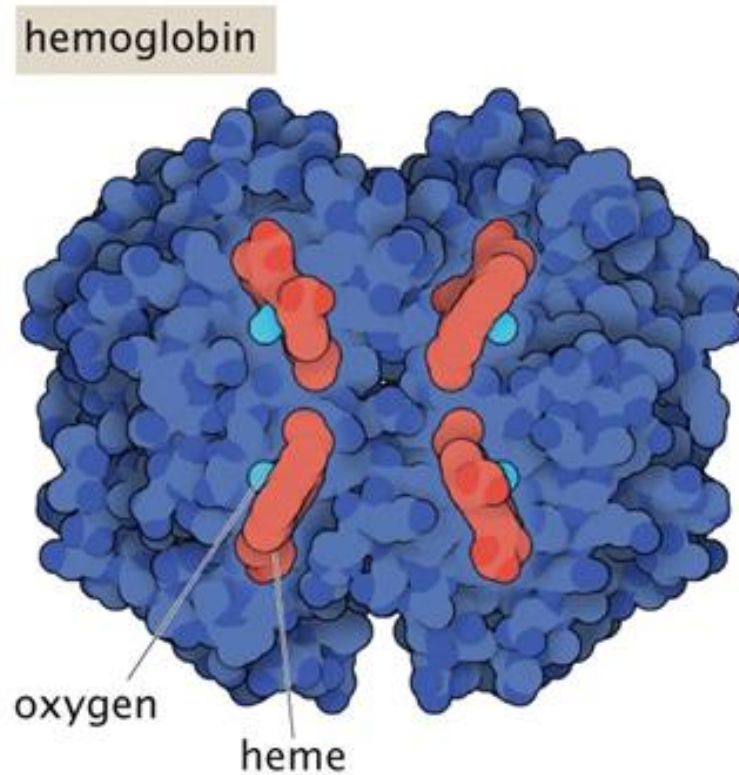


Figure 4.4b Physical Biology of the Cell, 2ed. (© Garland Science 2013)

Langmuir adsorption isotherm describes myoglobin but does not describe hemoglobin binding!!!

→ binding of  $O_2$  at one sites increases  $K_{eq}$  for binding a second  $O_2$

→ **cooperativity** seen as a more sudden/steeper transition



# Hypothetical “Dimoglobin”





STATE	WEIGHT	STATE	WEIGHT
	1		$e^{-\beta(\varepsilon-\mu)}$
	$e^{-\beta(\varepsilon-\mu)}$		$e^{-\beta(2\varepsilon+J-2\mu)}$

Figure 7.17 Physical Biology of the Cell, 2ed. (© Garland Science 2013)

free energy of system

$$E = \varepsilon(\sigma_1 + \sigma_2) + J\sigma_1\sigma_2$$

$J$  is measure of cooperativity



mutual interaction energy between the two bound ligands

two state variables for each binding site:

$\sigma_1 = 0$  or  $1 \rightarrow$  binding site 1 unbound/bound by  $O_2$

$\sigma_2 = 0$  or  $1 \rightarrow$  binding site 2 unbound/bound by  $O_2$

$$\mathcal{Z} = \underbrace{1}_{\text{unoccupied}} + \underbrace{e^{-\beta(\varepsilon-\mu)} + e^{-\beta(\varepsilon-\mu)}}_{\text{single occupancy}} + \underbrace{e^{-\beta(2\varepsilon+J-2\mu)}}_{\text{both sites occupied}}$$

# Hypothetical “Dimoglobin” – probability of states

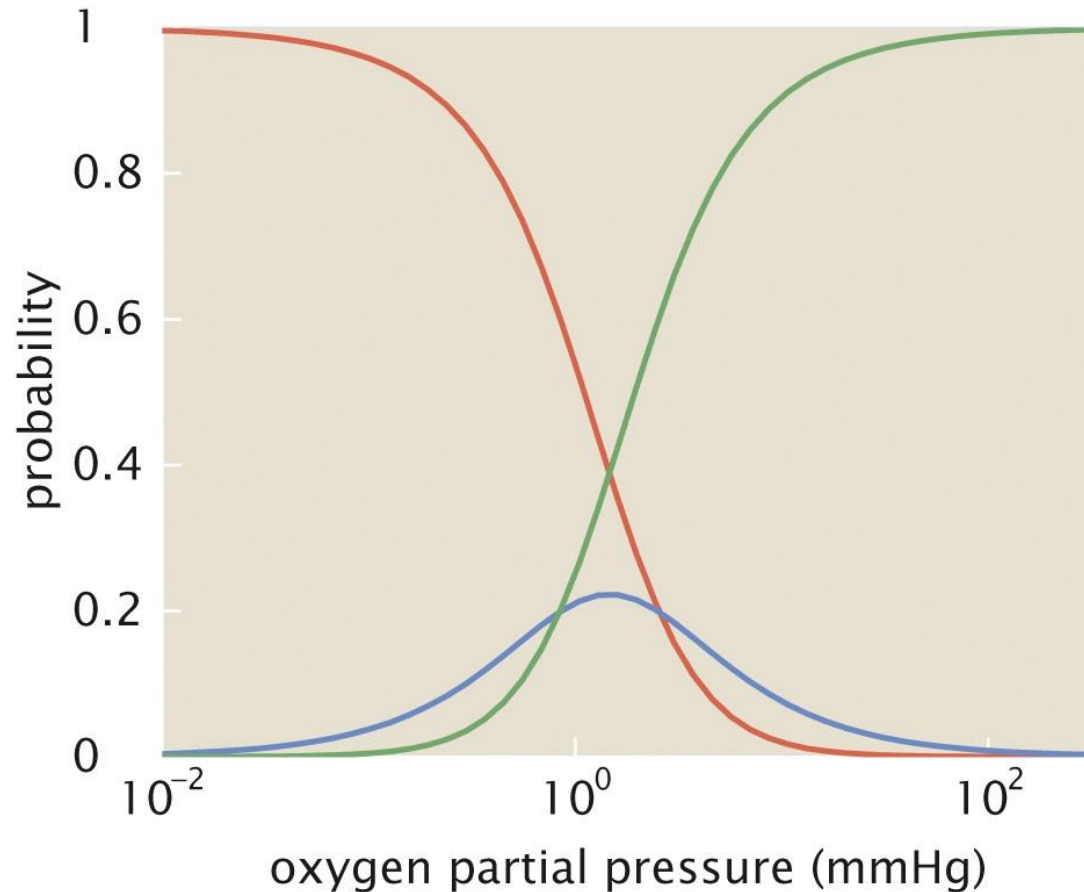
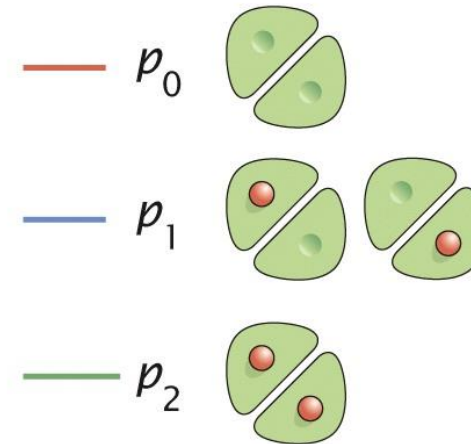


Figure 7.18 Physical Biology of the Cell, 2ed. (© Garland Science 2013)

Probabilities of each of the distinct state:



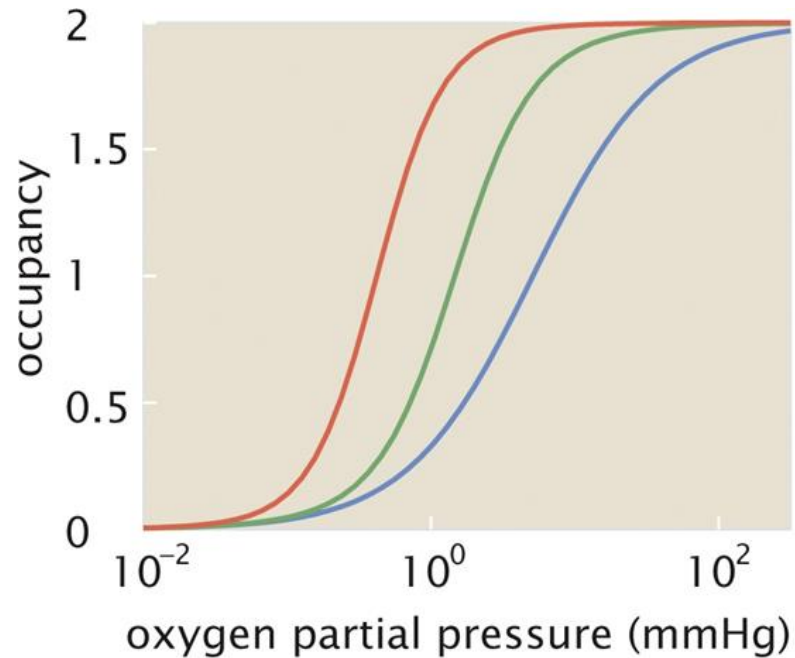
$$\rho_0 = \frac{1}{Z}$$

$$\rho_1 = \frac{2e^{-\beta(\varepsilon-\mu)}}{Z}$$

$$\rho_2 = \frac{e^{-\beta(2\varepsilon+J-2\mu)}}{Z}$$

**single-ligand state ( $\rho_1$ ) is only transiently occupied to a low degree!**

# “Dimoglobin” – Mean number of oxygens bound



$$\Delta \varepsilon = \varepsilon_b - \mu_0 = -5 \text{ } k_B T$$

**Cooperativity factor  $J$**

Mean number of bound  $O_2$ :

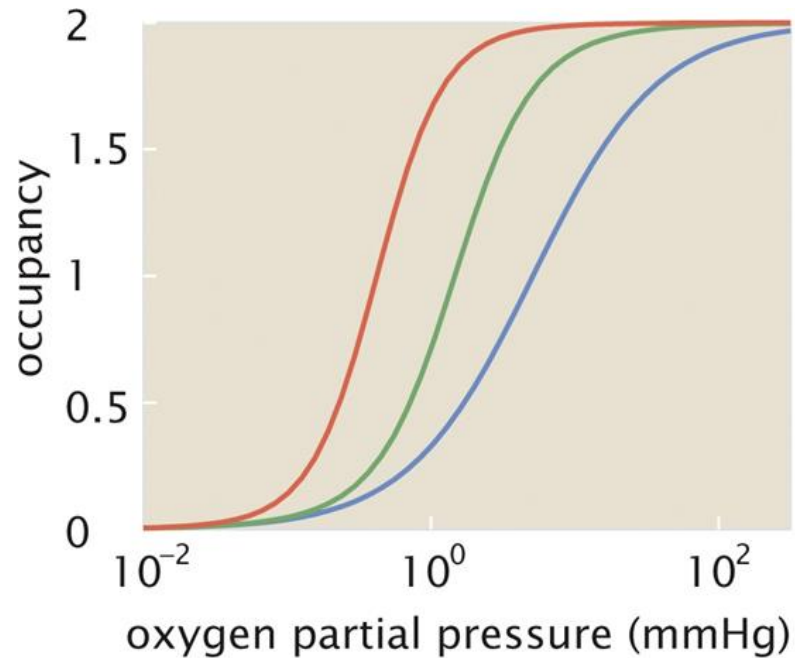
$$\langle N \rangle = \frac{1}{\beta} \frac{\partial}{\partial \mu} \ln Z = \frac{1}{\beta} \frac{1}{Z} \frac{\partial}{\partial \mu} Z$$

$$\langle N \rangle = \frac{2e^{-\beta(\varepsilon - \mu)} + 2e^{-\beta(2\varepsilon + J - 2\mu)}}{1 + e^{-\beta(\varepsilon - \mu)} + e^{-\beta(\varepsilon - \mu)} + e^{-\beta(2\varepsilon + J - 2\mu)}}$$

Inserting  $\mu = \mu_0 + k_B T \ln(c/c_0)$ :

$$\langle N \rangle = \frac{2(c/c_0)e^{-\beta\Delta\varepsilon} + 2(c/c_0)^2e^{-\beta(2\Delta\varepsilon + J)}}{1 + 2(c/c_0)e^{-\beta\Delta\varepsilon} + (c/c_0)^2e^{-\beta(2\Delta\varepsilon + J)}}$$

# “Dimoglobin” – Mean number of oxygens bound



$$\Delta\varepsilon = \varepsilon_b - \mu_0 = -5 k_B T$$

**Cooperativity factor  $J$**

If  $J = 0 \rightarrow$  no cooperativity

$$\langle N \rangle = 2 \frac{(c/c_0)e^{-\beta\Delta\varepsilon}}{1 + (c/c_0)e^{-\beta\Delta\varepsilon}} = 2 \frac{c/K_d}{1 + c/K_d}$$

↑  
2 independent  
Langmuir isotherms

Mean number of bound  $O_2$ :

$$\langle N \rangle = \frac{2(c/c_0)e^{-\beta\Delta\varepsilon} + 2(c/c_0)^2 e^{-\beta(2\Delta\varepsilon+J)}}{1 + 2(c/c_0)e^{-\beta\Delta\varepsilon} + (c/c_0)^2 e^{-\beta(2\Delta\varepsilon+J)}}$$

- **$J < 0$ ; Cooperative binding**  
(i.e. increased affinity for the second ligand)
- **$J > 0$ ; Anti-cooperative binding**  
(i.e. affinity for the second site is reduced)

# Hemoglobin – with all four binding sites

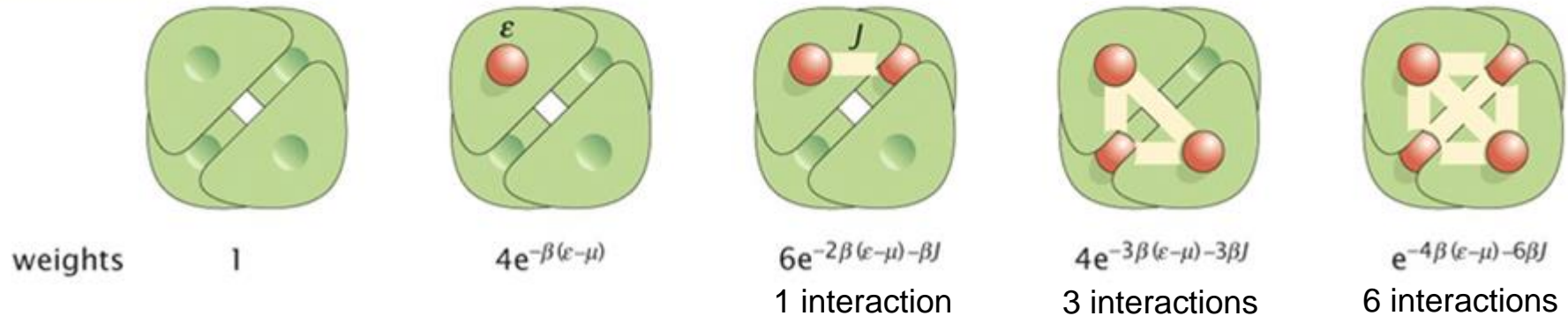
## Real hemoglobin

(max. 4 O<sub>2</sub>)

6 pairwise ligand-ligand interactions  
(corresponding to the six edges of a tetrahedron)

## Pauling model

Pauling model



$$Z = \underbrace{1}_{0 \text{ bound}} + \underbrace{4e^{-\beta(\epsilon-\mu)}}_{1 \text{ bound}} + \underbrace{6e^{-2\beta(\epsilon-\mu)-\beta J}}_{2 \text{ bound}} + \underbrace{4e^{-3\beta(\epsilon-\mu)-3\beta J}}_{3 \text{ bound}} + \underbrace{e^{-4\beta(\epsilon-\mu)-6\beta J}}_{4 \text{ bound}}$$

Mean number of bound O<sub>2</sub>:

$$\langle N \rangle = \frac{4e^{-\beta(\epsilon-\mu)} + 12e^{-\beta(\epsilon-\mu)-\beta J} + 12e^{-3\beta(\epsilon-\mu)-3\beta J} + 4e^{-4\beta(\epsilon-\mu)-6\beta J}}{1 + 4e^{-\beta(\epsilon-\mu)} + 6e^{-2\beta(\epsilon-\mu)-\beta J} + 4e^{-3\beta(\epsilon-\mu)-3\beta J} + e^{-4\beta(\epsilon-\mu)-6\beta J}}$$

# Hemoglobin – compare model with data

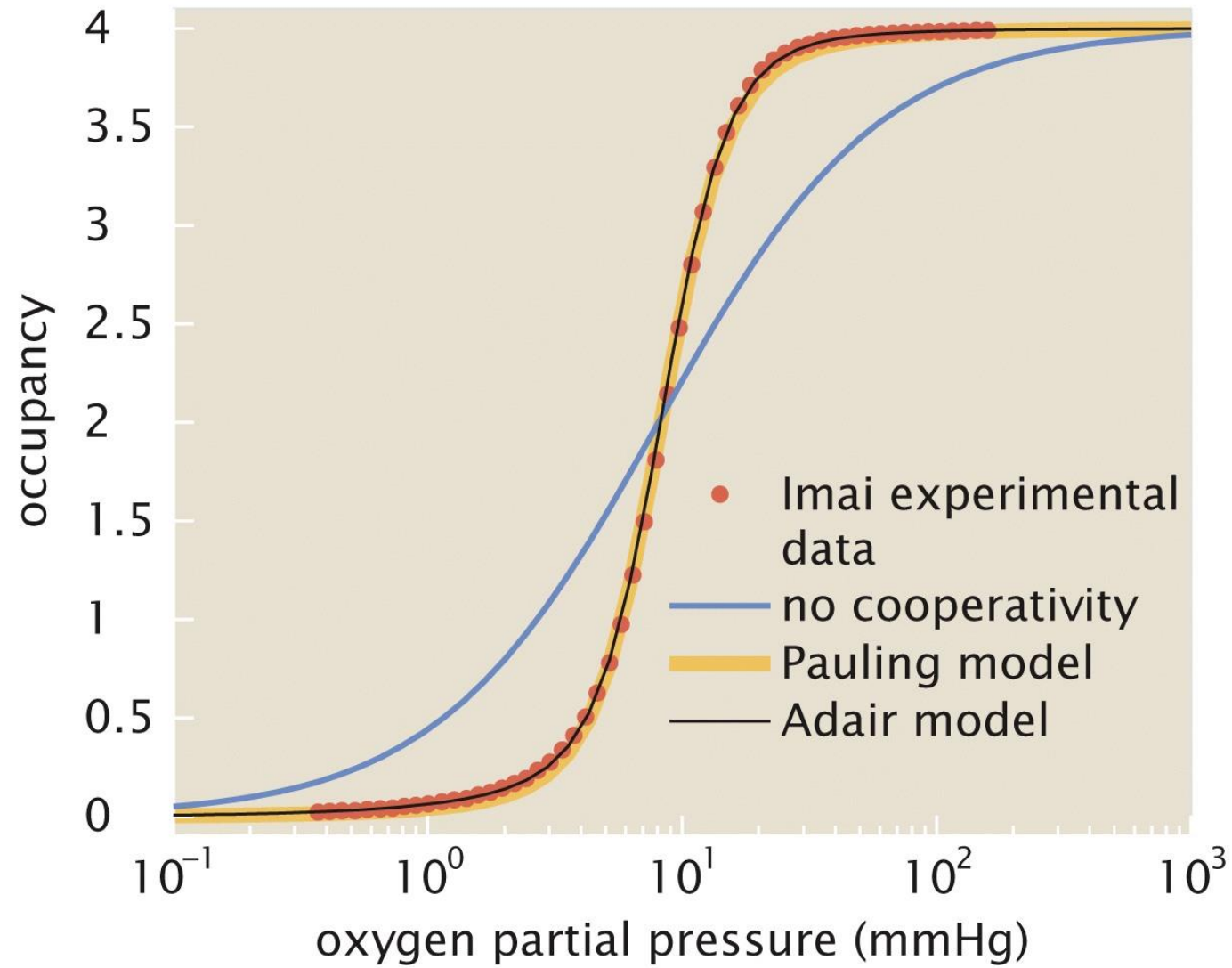
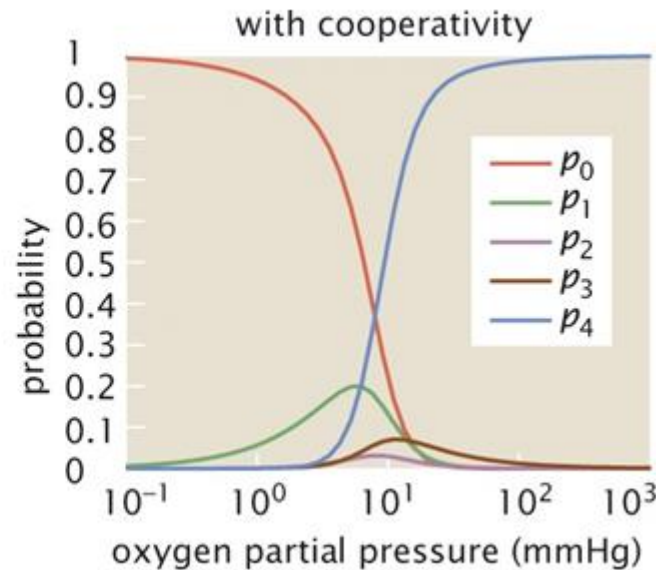
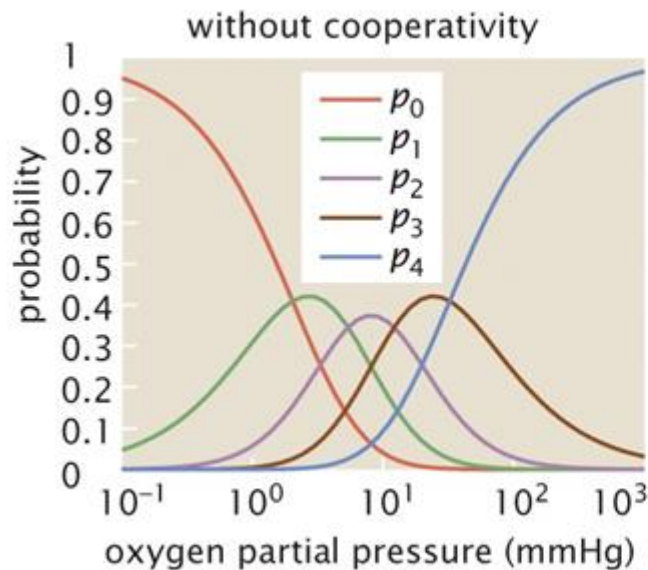


Figure 7.23 Physical Biology of the Cell, 2ed. (© Garland Science 2013)

# Hemoglobin – with all four binding sites

Adair model: includes tri- and tetravalent interactions to have even more fun ☺ (not just pairwise)

$$E = \varepsilon \sum_{\alpha=1}^4 \sigma_{\alpha} + \frac{J}{2} \sum'_{\alpha,\gamma} \sigma_{\alpha} \sigma_{\gamma} + \frac{K}{3!} \sum'_{\alpha,\beta,\gamma} \sigma_{\alpha} \sigma_{\beta} \sigma_{\gamma} + \frac{L}{4!} \sum'_{\alpha,\beta,\gamma,\delta} \sigma_{\alpha} \sigma_{\beta} \sigma_{\gamma} \sigma_{\delta}$$

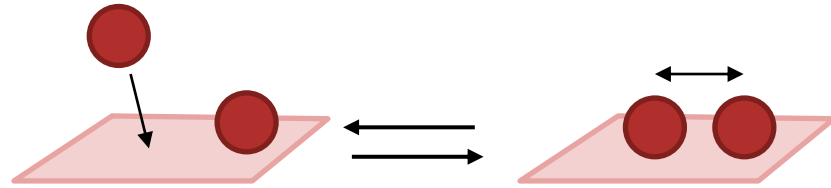


**cooperativity effectively eliminates intermediate states**

limits binding to narrow conc. range  
(sudden threshold, all-or-none binding)



# Cooperative ligand binding – formula using mass action law



$$[L_n R] = [R] \frac{[L]^n}{K_d^n}$$

$n^{\text{th}}$  power of dissociation constant

$$(K_d)^{\textcolor{red}{n}} = \frac{[L]^{\textcolor{red}{n}} [R]}{[L_{\textcolor{red}{n}}R]} = c_0^{\textcolor{red}{n}} e^{\beta(n\Delta\varepsilon)}$$

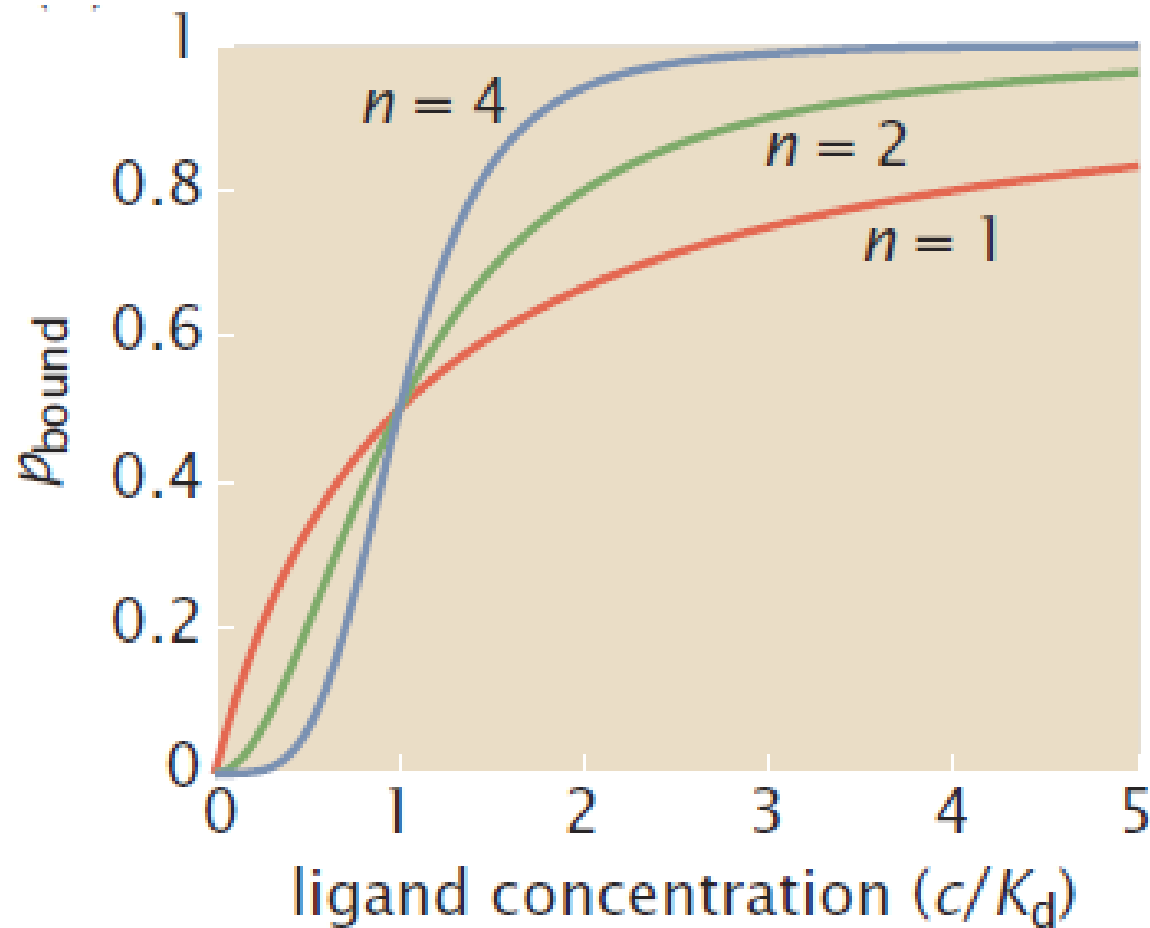
Probability that receptor carries  $n$  ligands:

$$\rho_{\text{bound}} = \frac{[L_n R]}{[R] + [L_n R]} = \frac{([L]/K_d)^{\textcolor{red}{n}}}{1 + ([L]/K_d)^{\textcolor{red}{n}}}$$

Hill function with **Hill coefficient  $n$**



# Hill function for different Hill coefficients



With increasing Hill coefficient  
**(cooperativity)**



Curve becomes sigmoidal (S-shaped)

**Hill function is empirical way to cooperativity**  
**Fitting hemoglobin data provides  $n < 4$**