

## Fermions

For fermions,  $P_{ij} \psi = -\psi$

$$\psi(x_1, x_2) = -\psi(x_2, x_1)$$

So we define

$$|x_1, x_2, \dots\rangle_- \equiv \frac{1}{\sqrt{\# \text{Perm}}} \sum_{\text{Perm}} (-1)^P | \text{Perm} \{x\} \rangle$$

e.g.  $|1, 2\rangle_- = \frac{1}{\sqrt{2}} (|1, 2\rangle - |2, 1\rangle)$

Note  $|\{x_i\}\rangle_- = 0$  if any  $x_i = x_j$

So restrict to  $x_i \neq x_j$ : "Pauli exc."

Note  $|x_1, x_2, x_3 \dots\rangle_- = -|x_2, x_1, x_3 \dots\rangle_-$

So we can restrict to representative

$$x_1 < x_2 < x_3 < \dots$$

Then occupation basis is

$$|n_1=1, n_2=0, n_3=1\rangle = |1, 3\rangle_-$$

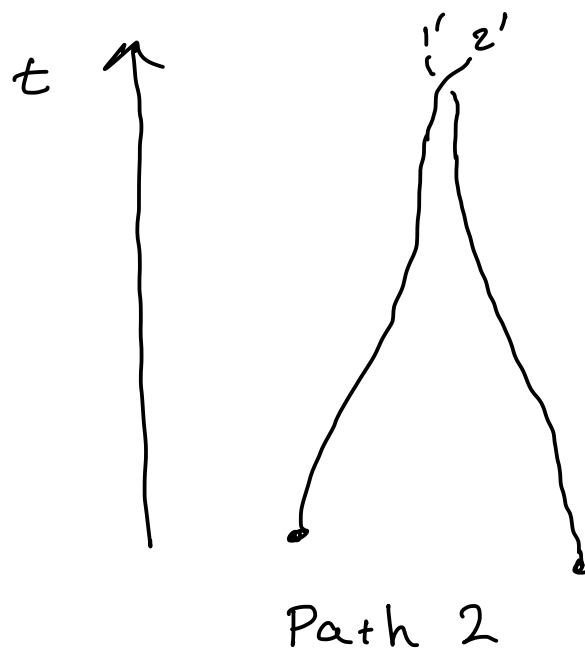
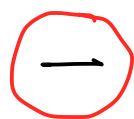
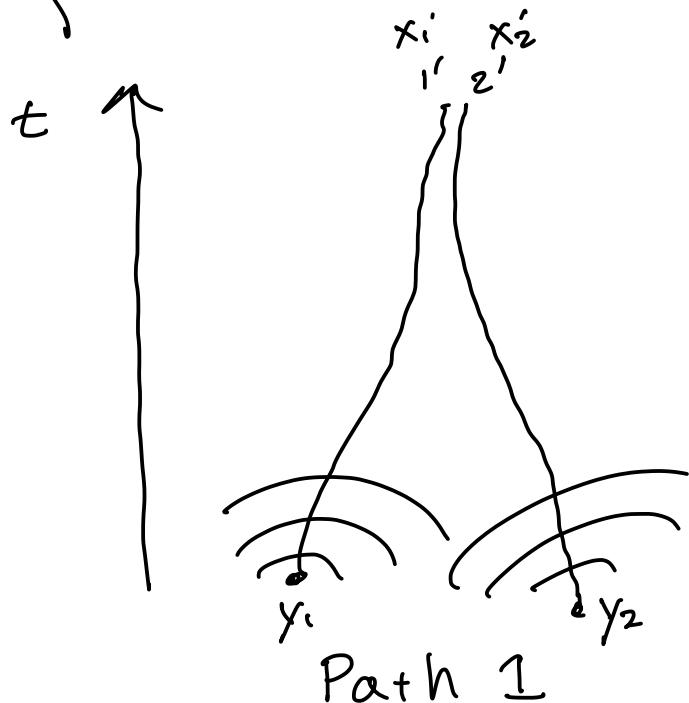
etc.

But now  $n_x = 0$  or  $1$  because of exclusion.

$$\mathcal{H} = \text{span}(|10010\dots\rangle, |11110\dots\rangle, \dots)$$

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Exclusion can be understood as destructive interference phenomena:



$$\lim_{1' \rightarrow 2'} (e^{iS_1/\hbar} - e^{iS_2/\hbar}) \rightarrow 0$$

$$\lim_{1' \rightarrow 2'} S_1 = S_2 \quad S = \int L(x) dt$$

$w(x) = \psi$

[See: R. Shankar's QM]

Amplitude vanishes for fermions to end up at same place!

$$\psi(x_1, x_2, t=0) = \frac{1}{\sqrt{2}} (w(x_1 - y_1) w(x_2 - y_2) - \leftrightarrow)$$

↓ Schrodinger.

$$P_{12 \rightarrow 1'2'} \propto |\psi(x_1', x_2', t)|^2$$

For non-interacting fermions, we thus have  $H = \sum_{\alpha} \epsilon_{\alpha} \hat{n}_{\alpha}$   $\hat{n}_{\alpha} = 0/1$

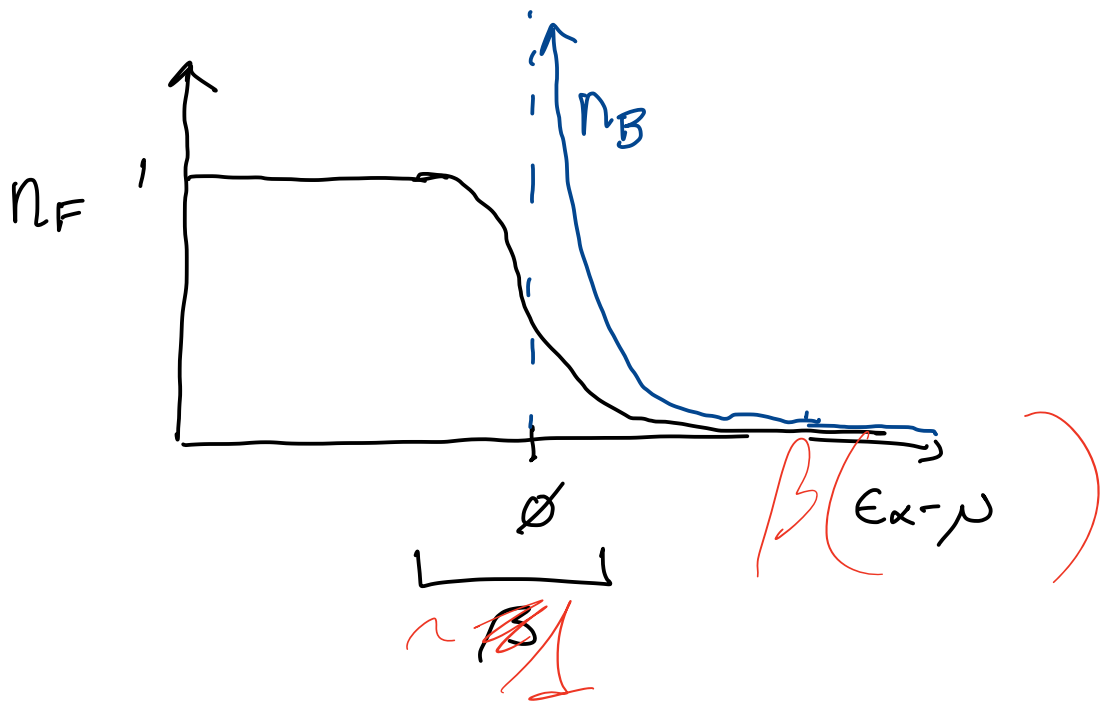
$$Z = \sum_{\{n_{\alpha}\}} e^{-\beta \sum_{\alpha} (\epsilon_{\alpha} - \mu) n_{\alpha}}$$

$$= \prod_{\alpha} \left( \sum_{n=0}^1 e^{-\beta (\epsilon_{\alpha} - \mu) n} \right)$$

$$= \prod_{\alpha} (1 + e^{-\beta (\epsilon_{\alpha} - \mu)})$$

$$\langle n_{\alpha} \rangle = \frac{e^{-\beta (\epsilon_{\alpha} - \mu)}}{1 + e^{-\beta (\epsilon_{\alpha} - \mu)}} = \frac{1}{e^{\beta (\epsilon_{\alpha} - \mu)} + 1}$$

This motivates  $\langle n \rangle_{B/F} = \frac{1}{e^{\beta (\epsilon_{\alpha} - \mu)} \mp 1}$



# Fermionic raising/lowering operators

Similar to bosons, we define ops

$$c_\alpha^\dagger, c_\alpha, n_\alpha = c_\alpha^\dagger c_\alpha.$$

Let us start with single orbital  $\alpha=1$ :

! pre-factor!

$$c^\dagger |n\rangle = |n+1\rangle \quad c^\dagger |1\rangle = 0$$

$$c |n\rangle = |n-1\rangle \quad c |0\rangle = 0$$

But because  $n_\alpha = 0, 1$

$$c_\alpha^2 = (c_\alpha^\dagger)^2 = 0, \quad c_\alpha^\dagger c_\alpha = n_\alpha$$

For a single orbital,

$$c = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{matrix} |0\rangle \\ |1\rangle \end{matrix} \quad c^\dagger = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad n = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\text{But this implies } c c^\dagger = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

$$c^\dagger c = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = n$$

$$\text{So } c^\dagger c + c c^\dagger = \mathbb{1} = \{c^\dagger, c\}$$

$$\{A, B\} \equiv AB + BA$$

"anti-commutation relation"

$$c^{\dagger 2} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} = 0 \quad \checkmark$$

Ladder ops operating on different orbitals  $c_1$  and  $c_2$   
But what about  $c_1 + c_2$ ?

Do we have  $\{c_1^\dagger, c_2^\dagger\} = 0$  or  
 $\underbrace{[c_1^\dagger, c_2^\dagger]}_{\text{wrong!}} = 0$  ??

Now we need to be careful  
because  $|x_1, x_2\rangle_- = -|x_2, x_1\rangle_-$  !!

Let us define  $= 0$  if  $x_i = x$  for any  $i \in \{1, N\}$  !

$$c_x^\dagger |x_1, \dots, x_N\rangle_- = |x_1, \dots, x_N, x_{N+1} = x\rangle_-$$

Now

$$c_y^\dagger c_x^\dagger |x_1, \dots, x_N\rangle = c_y^\dagger |x_1, \dots, x_N, x_{N+1} = x\rangle_- \\ = |x_1, \dots, x_N, x_{N+1} = x, x_{N+2} = y\rangle_-$$

But similarly

$$c_x^\dagger c_y^\dagger |x_1, \dots, x_N\rangle = |x_1, \dots, x_N, x_{N+1} = y, x_{N+2} = x\rangle_-$$

This implies  $c_x^\dagger c_y^\dagger = -c_y^\dagger c_x^\dagger$

$$\begin{aligned} \{c_x^\dagger, c_y^\dagger\} &= 0 \\ \{c_x, c_y\} &= 0 \\ \{c_x^\dagger, c_y\} &= \delta_{x,y} \end{aligned}$$

! Bosons  $\rightarrow$  commutator // Fermions  $\rightarrow$  anticommutator

Because  $|\{n_\alpha\}\rangle \equiv |x_1, x_2, \dots\rangle$  for  
 $x_1 < x_2 < \dots$ ,

$$|x_1, x_2, \dots\rangle = c_{x_N}^+ \dots c_{x_2}^+ c_{x_1}^+ | \rangle_-$$

if  $x_1 < x_2, \dots$ .

But this leads to "-" signs if you create in a different order! In particular

$$c_a^+ |n_1, \dots, n_\alpha, \dots\rangle = \eta |n_1, \dots, n_{\alpha+1}, \dots\rangle$$

where  $\eta = \underbrace{(-1)^{\sum_{\beta > \alpha} n_\beta}}_{\text{"Jordan Wigner String"}}$

"Jordan Wigner String"

So even though the Hilbert space of fermions looks like  $s=1/2$  spins,

$$|\{n_\alpha\}\rangle = |001101\dots\rangle \sim |\downarrow\downarrow\uparrow\uparrow\downarrow\uparrow\dots\rangle$$

fermion  $\hat{H}$  are built from  $c_\alpha^+, c_\alpha$  which behave different from  $s_\alpha^+, s_\alpha^-$ !

# Pressure of a quantum gas

For  $\hat{H} = \sum \frac{p_i^2}{2m}$  in a  $V = L^3$  box, the classical pressure is  $\beta P = \frac{N}{V}$ . Here we derive QM correction in the Grand ensemble (see Kardar 7.2 for Canonical)

The eigenstates are  $|\vec{k}\rangle$  for

$$\vec{k} = \frac{2\pi}{L} (i, j, k), \quad \epsilon_k = \frac{\hbar^2 k^2}{2m} \quad \text{(non-relativistic)}$$

$$Q = \prod_{\vec{k}} \begin{cases} 1 + e^{-\beta(\epsilon_k - \mu)} & \text{Fer.} \\ (1 - e^{-\beta(\epsilon_k - \mu)})^{-1} & \text{Bos.} \end{cases}$$

$$\frac{\langle k | p^2 | k \rangle}{2m}$$

$$\Omega = -\frac{1}{\beta} \ln Q = -\frac{1}{\beta} \sum_{\vec{k}} (\mp \ln(1 \mp e^{-\beta(\epsilon_k - \mu)}))$$

Suppose gas is dilute, <sup>low-T limit</sup>  $\beta(\epsilon_k - \mu) \gg 1$ :

$$\ln(1+x) = -\sum_{m=1}^{\infty} (-1)^m \frac{x^m}{m}$$

$$\Omega_k = \mp \frac{1}{\beta} \sum_{m=1}^{\infty} \frac{(\pm)^m}{m} e^{-\beta m (\epsilon_k - \mu)}$$

Note  $\sum_{\vec{k}} \approx \left(\frac{L}{2\pi}\right)^D \int d^D k = V \cdot \int \frac{d^D k}{(2\pi)^D}$

$$\text{So } \Omega = V \cdot \int \frac{d^D k}{(2\pi)^D} \Omega_k$$

$$\begin{aligned}
\Omega &= \frac{V}{\beta} \int \frac{d^D K}{(2\pi)^D} \left( \mp \sum_{m=1}^{\infty} \frac{(\pm)^m}{m} e^{-\beta m (\epsilon_K - \mu)} \right) \\
&= \sum_{m=1}^{\infty} \Omega_m = \mp \frac{V}{\beta} \sum_m \frac{(\pm)^m}{m} e^{m\beta\mu} \left( \frac{1}{\lambda \sqrt{m}} \right)^D \\
&= - \frac{V}{\beta \lambda^D} \sum_m \frac{(\pm)^{m-1}}{m} \frac{e^{\beta m \mu}}{\sqrt{m}^D}
\end{aligned}$$

$\lambda = \sqrt{\frac{\hbar^2 \pi}{k_B T m 2}}$

We then obtain  $P, N$

$$P = - \frac{\partial \Omega}{\partial V} = \sum_m P_m = \frac{1}{\beta \lambda^D} \sum_m \frac{(\pm)^{m-1}}{m} \frac{e^{\beta m \mu}}{\sqrt{m}^D}$$

$$\frac{N}{V} = - \frac{1}{V} \frac{\partial \Omega}{\partial \mu} = \frac{1}{\lambda^D} \sum_m \frac{(\pm)^{m-1}}{\sqrt{m}^D} e^{\beta m \mu} \quad (\text{c.f. Kardar 7.36})$$

Now recall virial expansion

$$\beta P = \frac{N}{V} \left( 1 + B_2(T) \frac{N}{V} + B_3(T) \left( \frac{N}{V} \right)^2 + \dots \right)$$

Keeping to 2nd order in  $e^{\beta \mu}$ ,

$$\begin{aligned}
\left( e^{\beta \mu} \pm \frac{1}{2} \frac{e^{2\beta \mu}}{\sqrt{2}^D} + \dots \right) &= \left( e^{\beta \mu} \pm \frac{e^{2\beta \mu}}{\sqrt{2}^D} + \dots \right) \times \\
&\quad \left( 1 + B_2(T) \frac{e^{\beta \mu}}{\lambda^D} + \dots \right)
\end{aligned}$$

2nd Order:

$$\mp \frac{1}{2} \frac{e^{2\beta \mu}}{\sqrt{2}^D} = \mp \frac{e^{2\beta \mu}}{\sqrt{2}^D} + B_2(T) e^{2\beta \mu} / \lambda^D$$



$$\mp \frac{1}{2} \frac{e^{2\beta\mu}}{\sqrt{2}^D} = \mp \frac{e^{2\beta\mu}}{\sqrt{2}^D} + B_2(T) e^{2\beta\mu} / \lambda^D$$

$$B_2(T) = \mp \frac{\lambda^D}{2^{(D+2)/2}}$$

2nd Virial Coefficient of quantum gas

For Bosons, negative correction to pressure; for fermions, positive.

Classically, for pairwise interactions

$$B_2 = -\frac{1}{2} \int d^3q (e^{-\beta V(q)} - 1)$$

In Kardar 7.2, this is shown to be consistent with quantum result if we take

$$\beta V(r) = \mp e^{-2\pi r^2/\lambda^2}$$

So bosons/fermions behave as if there is short-range ( $r \sim \lambda$ ) attractive/repulsive interaction. Of course really, there is no interaction: it is quantum statistics!

Important when  $\lambda^D \cdot \frac{N}{V} \approx 1$

"Quantum Degeneracy"

We'll study the quantum degenerate limits next.