Deep Learning for Identification of RF Emitters Based on Transmitter Artifacts

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Abstract

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One of the key performance indicators for 5G wireless systems defined by the International Telecommunication Union is the ability to support up to a million Internet of Things (IoT) devices per square kilometer [1]. This is a challenging goal both from a cellular network provider and IoT device manufacturer perspective given the need to minimize IoT device Size, Weight, and Power (SWAP) 10 [2].

Therefore, the need to enable the secure operation of 11 12 large scale IoT device networks has motivated the development of radio frequency fingerprinting (RFF) solutions. RFF is defined as the identification (classification) of a transmitter (TX) based off its emitted 15 signal, and properties unique to that given transmitter 17 and transmission (e.g., TX hardware artifacts). In this 18 report, each project team member summarizes their development of an RFF deep learning solution. This 20 includes a discussion of our observation that RFF 21 algorithm generalization depends on accounting for RF channel variability. Results show deep learning learns RFF data well but has difficulty generalizing to untrained radio frequency (RF) propagation channels. 24

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27 1. Introduction / Background / Motivation

28 The goal of our project is to evaluate several different 29 deep learning architectures to address the need for robust 30 IoT device authentication via RF fingerprinting. A 2019 IEEE journal article "IoT Device Security Using RF 32 Fingerprinting" cautioned that "the computational complexity of cryptographic protocols and scalability problems make almost all cryptography-based authentication protocols impractical for IoT" [3]. Also, "Ghost-in-ZigBee: Energy Depletion Attack 37 ZigBee-Based Wireless Networks" discusses 38 ramifications of an adversarial attack that aims to reduce a ZigBee device's battery life via "luring a node to do superfluous security-related computations" [4], pointing to the need for improved IoT device authentication 42 methods.

43 The U.S. Department of Homeland Security 44 Cybersecurity and Infrastructure "The Internet of Things: Impact on Public Safety Communications" March 2019 white paper identified several anticipated 47 IoT benefits included simplifying the response to traffic 48 accidents. However, capitalizing on these benefits 49 requires addressing the need for robust IoT device authentication, for which RFF holds promise, as 51 evidenced by the Defense Advanced Research Projects 52 Agency (DARPA)'s investment in its Radio Frequency Machine Learning Systems (RFMLS) program [5] [6].

54 1.1. ORACLE RF Fingerprinting Dataset

55 The dataset that we selected for our project was 56 released in conjunction with Northeastern University 57 GENESYS Laboratory's 2018 IEEE INFOCOM journal 58 article "ORACLE: Optimized Radio clAssification 59 through Convolutional Neural nEtworks" [7].

60 This dataset includes twenty million samples each for sixteen Ettus Universal Software Defined Radios 61 (USRP) recorded 802.11a (Wi-Fi) protocol signals. The raw data for each radio is stored as complex valued 64 numbers. This data format is typically described as in-phase (real) and quadrature phase (imaginary) data, or IQ data. Orthogonal Frequency Division Multiplexing 67 (OFDM) is the underlying modulation scheme for this 68 dataset. Modulation is the process of modifying one or 69 more of an RF signal's characteristics (i.e., phase, 70 frequency, amplitude) to convey information. OFDM 71 transmits information on multiple frequencies in parallel 72 at a slower rate. The benefit of this approach is that this 73 modulation scheme is more resilient to multipath 74 interference. Multipath interference is a mobile communications channel impairment that occurs due to multiple copies of a signal arriving at a receiver that are caused by reflections off nearby objects.

79 2. Approach

Northeastern University's approach for applying deep 80 81 learning to their dataset was based on a neural network design that operated on 128 samples of I / Q pairs. Following their example, we treated the in phase and quadrature components of the raw RF signal data as two 85 real-valued channels, resulting in a single training sample consisting of a 2x128 tensor, with dimensions 87 interpreted as [number of features, time samples].

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Each member of our team implemented their deep learning algorithms using PyTorch Lightning. Our rationale for this approach is that it abstracts a significant fraction of the details associated with model training and evaluation. The benefit of these features is that this enables a deep learning algorithm researcher to focus on algorithm development.

95 Our baseline model is a replication of the ORACLE 96 model within the PyTorch framework. This model 97 consists of two 1D convolution layers at the beginning that have 50 filters each and a kernel size of seven. The first convolution expands the two input channels to 50 channels while decreasing the length from 128 to 122. 101 The output length L_{out} of each convolution operation is given by (1):

$$L_{out} = \frac{L_{in} - K + 2*P}{S} + 1 \tag{1}$$

105 Where L_{in} is the input sequence length to the convolution layer, K is kernel size, S is kernel stride, and P is padding. ORACLE's convolution layers are followed by 108 three fully connected layers. Rectified Linear Units (ReLUs) are used between each of the layers and 110 dropout is applied at a rate of 50% between each of the dense layers. A softmax classifier is used at the end of 112 the network to output prediction probabilities. This 113 baseline model contained 1,525,212 parameters.

Implementing the data loader and augmentations 115 typically is a significant fraction of the effort required to implement a deep learning algorithm. The data loader 117 approach used by Sean McCarty and Greg Zdor was 118 based on the 2014 IEEE journal article "MMap: Fast billion-scale graph computation on a PC via memory 119 120 mapping" that described collaborative Georgia Institute 121 of Technology and Korea Advanced Institute of Science 122 and Technology (KAIST) research [8]. The data loader returned batches of IO samples with an average 124 amplitude of 1, ensuring consistent input value ranges 125 into the model. Beyond this, the data loader work 126 included implementing two RF signal augmentation 127 algorithms. However, Jordan Barker did not incorporate 128 this data loader / data augmentations due to software integration issues on his computer that proved too time 130 consuming to resolve.

Data augmentation for RF signal data requires a 131 132 different approach than what is typically applied to 133 image data. For this project we evaluated two different data augmentations. First, we added random complex 135 Gaussian noise and shifted the phase of the I/O signal 136 data blocks. Our rationale for this initial set of data augmentations is based on Sean McCarty's 26-year experience as a professional electrical engineer. Second,

Sean McCarty implemented an RF channel effects data 140 augmentation that applied a random Center Frequency 141 Offset (CFO) and a multipath channel model to the 142 training data. He expected that this revised data augmentation would improve poor test dataset 143 144 generalization performance based on research described in a 2021 IEEE journal article "Wireless Fingerprinting 145 via Deep Learning: The Impact of Confounding Factors" 147 [9]. In the next three subsections of our project report 148 each team member describes their deep learning 149 algorithm approach.

150 2.1. Greg Zdor's Approach

Greg Zdor's approach began with motivation from the 151 baseline ORACLE researcher's CNN network, coupled 153 with insights from O'Shea et. al's "Over the Air Deep Learning Based Radio Signal Classification" paper [10]. 154 155 O'Shea's work points to the success of 1D convolutional 156 layers as feature extractors for modulation classification, 157 a task like RFF in input data type and similarly plagued 158 with the unique difficulty of RF channel effects. All data 159 used was the run1 for train and validation and run2 for 160 test, from the 14 ft. and 20 ft. measurements.

Following the design principles from discussion in, Greg Zdor constructed a 1D CNN using filter size of 3 162 and max pooling kernel size of 2 [10]. The first layer consisted of 128 filters, followed by a batch normalization layer, ReLU activation, and lastly max pooling. Layer two was identical except for using 64 filters. Two dense layers of hidden sizes 1024 and 256 followed by a softmax output completed the initial network.

Initial training results showed this model learned the training set well, which confirmed initial design decisions. To maximize this model's performance, hyper parameter tuning in the form of a variable number 174 of convolution and dense layers architecture was 175 developed. Specifically, Ray Tune, a distributed 176 compute and hyper parameter tuning package, was utilized to develop a search space of model and training parameters to search across.

179 Key parameters in this search space included number 180 of convolution layers, varying 1 to 5, number of dense 181 layers, varying 2 to 5, number of convolution filter, 182 dense layer hidden sizes, learning rate, momentum, and 183 a dropout layer was added after each dense layer, with 184 varying dropout rates ranging from 0.1 to 0.7. Model 185 architecture tuning then consisted of running 250 186 training iterations or trials, where for each, Ray Tune sampled the search space and drew a set of architecture 187 188 and training parameters, instantiated, and trained the 189 model. Validation loss was set as the metric to optimize, 190 and Ray Tune's built-in implementation of the Hyper Opt Search parameter space optimization algorithm was used as the search space optimizer [11]. Tuning was

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193 executed on a NVIDIA DGX with 8 Tesla V100-SXM2 GPUs, which enabled parallel running of tuning 195 iterations using Ray Tune's built-in implementation of the Async Hyper Band Scheduler algorithm, a highly performant scheduler algorithm [12]. Developing this 198 training framework and integrating PyTorch Lightning 199 with Ray Tune proved an extensive task which took the remainder of Greg Zdor's project time. The final tuning 200 201 approach can be visualized below as passing a trainable 202 model plus search space parameters and dataset into Ray Tune, which then schedules, runs, and optimizes the 204 input algorithm parameters.



Figure 1. Ray Tune hyper parameter tuning flow diagram. Image source.

211 2.2. Jordan Barker's Approach

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Using the ORACLE architecture as a starting point, 213 several modifications were explored and implemented to improve upon its design. The first is changing the 1D 214 215 convolution layers such that the first convolution outputs 216 32 filters and the second convolution outputs 64 layers. 217 Increasing the number of convolution filters improved 218 performance empirically.

Using the ORACLE architecture as a starting point, several modifications were explored and implemented to improve upon its design.

The first is changing the 1D convolution layers such 223 that the first convolution outputs 32 filters and the second convolution outputs 64 layers. Increasing the number of convolution filters improved performance empirically. Kernel sizes of 3, 5, 7, and 9 were considered for this model. Kernel size of 3 is a common choice which has been shown to be faster and give better performance in some contexts [13]. For this use case, 230 Jordan's analysis is consistent with the ORACLE results that suggests that a kernel size of 7 yields the best 232 performance. Batch normalization was added between 233 layers to improve training. Leaky ReLUs were used in place of ORACLE's ReLUs to give a small gradient when the unit is negative. Lastly, the size of the dense layers was increased so that the model had more space to learn features.

238 PyTorch contains several pretrained models that have 239 a proven track record on the task of image classification. 240 EfficientNet-B0 and ResNet-50 were chosen since they 241 offered a good trade-off between accuracy and 242 parameter size [14] [15].

243 Several changes were required starting with the input 244 data to make them work for our case. The input data is 245 reshaped from 2x128 to shape 2x1x128. This gives it the 246 same number of dimensions as an image, except the 247 models expect colored images that contain three 248 channels in the second dimension. A 2D convolution 249 with three kernels of size one was added at the beginning 250 of the network to accomplish this data transformation. 251 Next, a 2D convolution with three kernels of size one 252 outputs a new tensor of shape 3x1x128. After the 253 convolution operation, average pooling is performed 254 over the tensor to get a new tensor of shape 3x128x128. 255 This tensor is then fed through the existing model 256 architecture, and the last linear layer was modified from 257 having 1000 outputs to use 16 outputs for each of our 258 device classes.

259 Ideally, we would be able to freeze the pretrained 260 weights and avoid recomputing them while just training 261 the new input layers and output layer. In practice, the model was unable to learn effectively with the pretrained weights so ultimately everything needed to be retrained.

264 2.3. Sean McCarty's Approach

265 Sean McCarty's Spring 2023 Data & Visual Analytics 266 group project involved the fusion of several algorithms 267 to detect misinformation. His contribution to this project 268 was implementing a Residual network to analyze image 269 encoded text. He based his solution on the April 2022 270 "Implementing ResNet18 for Image Classification" 271 Kaggle tutorial authored by Srindhi. His rationale for 272 choosing this approach was two-fold. First, historically residual networks have achieved good ImageNet 273 274 benchmark performance. Second, several UCLA 275 researchers recently published RF fingerprinting 276 research based on residual networks [16].

277 Sean McCarty also implemented a network that 278 combined residual blocks and a Long-Term Short-Term 279 (LSTM) residual network. His rationale for this 280 approach is that feature maps generated by a residual 281 block can be interpreted as embeddings typically 282 processed by an LSTM block. However, this approach requires transpositions of the LSTM input and output. 283 284 Also, a recent Association of Computing Machinery 285 (ACM) article "CLD-Net: A Network Combining CNN 286 and LSTM for Internet Encrypted Traffic Classification" 287 describes the application of this combined deep learning 288 architecture to cybersecurity [17].

289 3. Experiments and Results

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290 The ORACLE dataset includes measurements across 291 eleven distances for sixteen devices. For our project we trained our deep learning algorithms using 80% of the 293 data from the 14 and 20 ft. distance run1 measurements. 294 Appendix A provides plots of example training data for 295 both ranges of consideration, along with 2 ft. and 56 ft. 296 Note in the selected ranges, the signal is noticeably 297 above the noise floor, thus at a positive signal to noise 298 ratio (SNR). We tested our algorithms' ability to 299 generalize using the corresponding run2 measurements. Unfortunately, we did not observe good generalization 301 performance. However, that is a typical problem 302 encountered by RFF algorithm developers. A potential next step to address this issue would likely involve 304 transitioning to a complex-valued deep learning 305 architecture paired with more robust channel effects modeling. For example, this approach is discussed in 307 "ChaRRNets: Channel Robust Representation Networks for RF Fingerprinting" that was recently published by 309 several Expedition Technology researchers [18] [19].

All our neural networks use multiclass accuracy as the performance evaluation metric, defined as:

$$Accuracy = \frac{1}{N} \sum_{i}^{N} \mathbb{1}(y_i = \widehat{y}_i) \quad (2)$$

315 Where y_i is the predicted label, y_i hat is the truth label 316 and N is number of samples in a batch. Given RF 317 fingerprinting is a classification task, categorical cross 318 entropy was used as the loss function to optimize, and it 319 may be defined as:

Categorical Cross Entropy =
$$-\sum_{i}^{N} \log(y_i)$$
 (3)

321 322 Where y_i is the softmax probability for the truth label 323 index.

324 We measured the success of each algorithm based on its validation and test data performance. This included 325 evaluating our algorithm's accuracy and loss learning 327 curves, classification reports, and confusion matrices. 328 Our analysis suggests that we succeeded 329 implementing algorithms that obtained good training and validation dataset performance. However, our analysis suggests additional effort is required to ensure our algorithms are more robust to RF channel variations.

333 3.1. Greg Zdor's Experiments & Results

All training leveraged the 14 ft. and 20 ft. dataset using random complex Gaussian noise and phase shift I/Q data augmentation with a batch size of 512. For the initial baseline model, it was trained for 25 epochs, cross entropy loss was the loss function, and stochastic gradient descent (SGD) at its default learning rate and momentum settings was the optimizer. Model converged to 92 % training accuracy, 91 % validation accuracy, yet

342 when evaluated on the test set yielded only 19 % test 343 accuracy.

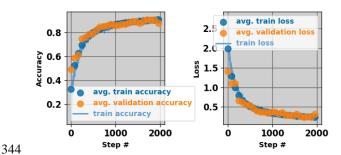


Figure 2. Initial CNN training curves.

Both training and validation loss and accuracies track each other closely, and do not diverge noticeably, indicative of model generalizing to the training set. The poor test performance is explained by the test set consisting of channel effects that are not present in the train data.

The second phase of Greg Zdor's experimentation was using Ray Tune to hyper parameter the above-described network. Training consisted of 250 tuning trials, each training up to 25 epochs. Since the Cornell-developed Asynchronous Successive Halving Algorithm scheduler terminates training instances after a given grace period, this grace period was set strictly to only 1 epoch, to facilitate only training models where validation loss continually decreased [12].

As Figure 3 shows, the top performing model did not achieve an increase in validation accuracy, making it to 91%, however, *how long* it took the model to get there was dramatically reduced, only training to 2 epochs before early stopping kicked in, compared to the default parameter values requiring 25 epochs to converge to 92 validation accuracy.

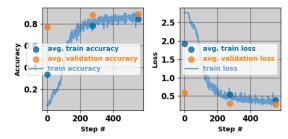


Figure 3. Top CNN hyperparameter tuning.

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Layer (type)	Output Shape	Param #
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BatchNorm1d-1	[-1, 2, 128]	4
Conv1d-2	[-1, 67, 126]	469
MaxPool1d-3	[-1, 67, 124]	0
Conv1d-4	[-1, 81, 122]	16,362
MaxPool1d-5	[-1, 81, 120]	0
Linear-6	[-1, 428]	4,160,588
Dropout-7	[-1, 428]	0
Linear-8	[-1, 366]	157,014
Dropout-9	[-1, 366]	0
Linear-10	[-1, 234]	85,878
Dropout-11	[-1, 234]	0
Linear-12	[-1, 16]	3,760

Total params: 4,424,075

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Figure 4. Top Performing Architecture

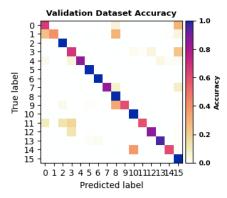


Figure 5. Hyperparameter Tuning

Notable differences from the baseline CNN and the hyperparameter tuning selected version (HPTS) include the first convolution layer has only 67 filters instead of the default 128, and instead of having only 2 dense layers, 4 dense layers proved most performant. Figure 5 illustrates that the top HPTS model's accuracies per class and confirms observed 91% average validation accuracy observed.

Beyond model and training hyperparameters, data parameters, namely the window size l or number of time-samples per input vector to the model, was varied. The starting initial architecture was used in both cases, the first using l = 128, and second at l = 1280. The l =392 1280 samples showed a slight increase in train and validation accuracy at 96% and 93% respectively, but evaluation yielded only 18% test accuracy, again pointing to the lack of generalization across RF channels. O'Shea et al found a roughly 3% increase in performance for each doubling of input size, starting at l= 16 up to l = 512, above performance plateaus [10]. However, O'Shea's dataset included simulated multipath channel affects, allowing model generalization across channels, a feature not present in the ORACLE dataset [10].

Training at l = 1280 took only 3 epochs before early stopping kicked in, while the learning rate and batch size was constant in both cases at 1e-3 and 512 respectively. The 10x larger input vector size acted as increasing the batch size by 10x or reducing the number of steps (a step is a single forward and backwards pass on a minibatch) 409 per epoch by 10x. One reason for the slightly higher 410 validation accuracy at l = 1280 could be at a 10x larger batch size, each minibatch is more representative of the 412 whole dataset, enabling less variability in and faster 413 convergence for the learning curves. While a larger 414 learning rate may help a larger batch size converge faster, exploring that relationship was beyond the scope of this experiment.

417 3.2. Jordan Barker's Experiments & Results

418 Several combinations of hyperparameters were tested 419 including dropout percentage, learning rate, batch size, and regularization amount. Figure 6 shows the results of 421 these tests on the CNN classifier.

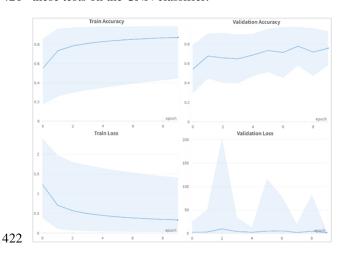


Figure 6. CNN Hyperparameter Optimization

Many of the configurations were found to overfit by achieving a high training set performance yet failing to generalize to the validation set. The final model chosen was one that improved both metrics slowly and demonstrated stable learning. Once trained, this model achieved a 95% train and validation accuracy.

The transfer learning models, EfficientNet-B0 and 432 ResNet-50, demonstrated superior performance over the 433 CNN classifier on the validation dataset, with improvements of approximately 3% and respectively. This performance boost can likely be attributed to their larger sizes. In comparison to the CNN classifier, EfficientNet-B0 is roughly four times larger, while ResNet-50 is a substantial 23 times larger. However, it is worth noting that the growth in model size does not correspond proportionally to the observed increase in performance.

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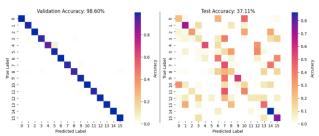


Figure 7. ResNet-50's test and validation accuracy.

445 3.3. Sean McCarty's Experiments & Results

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Sean McCarty's initial algorithm experiments focused on analyzing data from the 2 ft. and 8 ft. measurements. Based on team discussions he transitioned to analyzing data from the 14 ft. and 20 ft. measurements. The best performance in terms of test set generalization (~32%) accuracy) was for a combined LSTM / CNN neural network that was trained using random complex Gaussian noise and phase shift I/Q data augmentation.

We present the learning curves for this model in 455 Figure 8. These results suggest this model is not overfitting on the training data since there is close training and validation dataset accuracy & loss agreement.

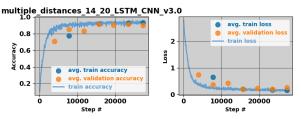


Figure 8. LSTM + CNN learning curves (I/Q data augmentation).

We show the corresponding validation dataset confusion matrix in Figure 9. This result is consistent with the average 90% accuracy estimated by the Python scikit-learn library's classification report function. 468 However, we also illustrate in Figure 9 that additional effort is required to ensure that our models are insensitive to RF channel variability [20].

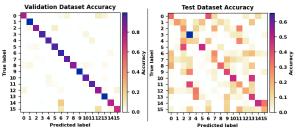


Figure 9. LSTM + CNN validation and test dataset accuracy (I/Q data augmentation).

474 4. Conclusion and Results Discussion

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Results showed iterating upon our neural network designs in the form hyper parameter tuning via Ray Tune, transfer learning and adding temporal learning layers like an LSTM, all yielded higher validation accuracy than the ORACLE baseline model architecture. In this way the project was a success, yet still to be resolved is the problem of generalizing to unseen RF channels – a task likely solvable given more time and the implementation of a Rician distribution-based fading channel model in the input data loader augmenter.

Metric	ORACLE	Greg	Jordan	Sean
	Baseline	Zdor	Barker	McCarty
Train accuracy	0.77	0.96	0.98	0.94
Validate	0.89	0.93	0.98	0.90
accuracy				
Test	0.27	0.27	0.38	0.32
accuracy				
Train loss	0.61	0.13	0.06	0.16
Validate	0.31	0.16	0.04	0.27
loss				

Table 1. Performance comparison of best performing models for the 3 approaches.

Each of our models incorporated convolutional layers due to their ability to detect local patterns as well as spatial hierarchies. They are also invariant to translation which we hoped would help them generalize to this classification problem, but there remains much to be improved. Recent research has shown that the transformer architecture can be adapted to image classification problems using the Vision Transformer architecture to achieve state of the art performance. Future work could explore adapting this architecture to the task of RF Fingerprinting to improve the generalization to unseen RF multipath channels problem.

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598 5. Appendix

During the preparation of our project proposal each team member performed a literature search that facilitated the development of our approach. This included summarizing potential datasets that the team reviewed and down selected during one of its periodic meetings. Also, all team members implemented their own PyTorch Lightning model definitions, trainers, and evaluation classes. We summarize individual team member contributions in Table 2.

609 Table 2 Team Member Contributions

٠.	Table 2 Team Member Contributions		
	Team Member	Contributions	
	Greg W. Zdor	 Initial data exploration Implemented Ray Tune hyperparameter tuning Implemented CNN and Residual Networks & experimented with larger network input sequence sizes Wrote Appendix section and part of Approach and Dataset sections for final report 	
	Jordan Barker	Proposed working with the ORACLE dataset Implemented ORACLE baseline model Implemented transfer learning models Implemented vanilla data loader	
	Sean McCarty	Implemented data loader and data augmentation Python code. Implemented a Residual CNN & LSTN + Residual CNN networks Wrote final report introduction section	

- 610 PyTorch Lightning was used as the foundation for our
- 611 dataset, model definitions, trainer, and evaluation
- 612 classes, due to its benefits of abstracting away
- 613 boilerplate and enabling standardization across

- 614 experiments. Code was developed and stored in GitHub
- 615 locations that are identified in Table 3 and Table 4.
- 616 There is a README.md at Greg Zdor's repository with
- 617 instructions on installing Python dependencies,
- 618 activating conda environment, running training,
- 619 evaluation, and more.

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620 Table 3 Project Code Repositories

Student	GitHub Link
Jordan Barker, Sean McCarty	https://github.gatech.edu/jbarker63/CS-7643-Deep-Learning-Group-Project/
Greg Zdor	https://github.com/gzdor/Radio_Frequency_Fingerprinting_802.11

622 Table 4 Key Python Software Modules

Student	Python Module	GitHub Link	
Greg Zdor	Neural net definitions	https://github.com/gzdor/Radio_Frequency_ Fingerprinting_802.11/blob/main/src/pkgs/ ml/neural_net_definitions.py	
	Training	https://github.com/gzdor/Radio_Frequency_ Fingerprinting_802.11/blob/main/src/tools/ ml_train/model_trainer.py	
	Evaluation	https://github.com/gzdor/Radio_Frequency_ Fingerprinting_802.11/blob/main/src/tools/ ml_evaluation/model_evaluation.ipynb	
Jordan Barker	Neural net definitions	https://github.gatech.edu/jbarker63/CS-764 3-Deep-Learning-Group-Project/blob/main/ Scripts/JJB networks.py	
	Training	https://github.gatech.edu/jbarker63/CS-764 3-Deep-Learning-Group-Project/blob/main/ Scripts/JJB train.py	
	Evaluation	https://github.gatech.edu/jbarker63/CS-764 3-Deep-Learning-Group-Project/blob/main/ Notebooks/JJB model eval.ipynb	
	Memory Mapper	https://github.gatech.edu/jbarker63/CS-764 3-Deep-Learning-Group-Project/blob/main/ Scripts/memory mapper.py	
	PyTorch Lightning Dataset Module	https://github.gatech.edu/jbarker63/CS-764 3-Deep-Learning-Group-Project/blob/main/ Scripts/sigmf dataset.py	
Sean McCarty	Neural Network Definitions	https://github.gatech.edu/jbarker63/CS-7 643-Deep-Learning-Group-Project/blob/ main/Scripts/residual_block.py https://github.gatech.edu/jbarker63/CS-7 643-Deep-Learning-Group-Project/blob/ main/Scripts/residual_rff_network.py https://github.gatech.edu/jbarker63/CS-7 643-Deep-Learning-Group-Project/blob/ main/Scripts/lstm_residual_rffnet.py	
	Training	https://github.gatech.edu/jbarker63/CS-764 3-Deep-Learning-Group-Project/blob/main/ Notebooks/SPM Train LSTM CNN RFF Model.ipynb	
	Evaluation	https://github.gatech.edu/jbarker63/CS-764 3-Deep-Learning-Group-Project/blob/main/ Notebooks/SPM Eval LSTM CNN RFF Model.ipynb	

624 5.1. ORACLE Dataset Exploratory Data Analysis

We summarize the ORACLE RF fingerprinting dataset in Table 5 including the associated paper link, sampling rate, center frequency, and data format.

629 Table 5 ORACLE RF fingerprinting dataset

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Dataset	Northeastern University Institute for the Wireless Internet of Things	
Producers	https://genesvs-lab.org/	
Dataset Overview	https://genesys-lab.org/oracle (Download links on this page)	
Associated	https://ieeexplore.ieee.org/document/8737463	
Paper Link		
Data Size	Sixteen classes (16 different USRP X310 Software Defined Radios)	
	Twenty million samples / class	
Sampling Rate	Five Million Samples per Second (MSPS)	
Center Frequency	2.45 GHz	
Data Format	Raw data in binary file format (read as np.complex128)	
	Metadata (labels) in standard Signal Metadata (SigMF) format	
	https://github.com/sigmf/SigMF	

Figure 10 and Figure 11 illustrate training samples from run1 with signal strength varying with range. For our analysis, we used samples from 14- & 20-feet Tx / Rx distance measurements for training, validation, and testing. These ranges were selected for several reasons, using the entirety of the dataset was too large given student compute resources, additionally, training samples were selected where the signal was still above the noise floor yet still at realistic ranges of a dozen or more feet.

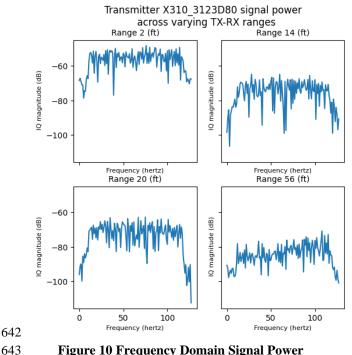


Figure 10 Frequency Domain Signal Power as a Function of Tx / Rx Distance

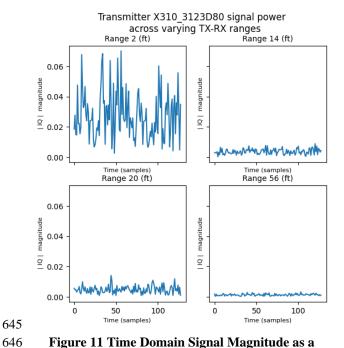


Figure 11 Time Domain Signal Magnitude as a Function of Tx / Rx Distance

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We show in Table how signal attenuation varies as a function of Tx / Rx distance. In this table free space path loss is described using a logarithmic scale in decibels [dB]. For example, a 10 dB increase in free space path loss corresponds to an order of magnitude decrease in received power.

Table 6 ORACLE Dataset Signal Attenuation

Range (feet)	Free Space Path Loss (dB)
2 (minimum range in dataset)	35.9
14	52.8
20	55.9
62 (maximum range in dataset	65.7

Free space path loss (FSPL) in Table 6 above is the relationship quantifying the attenuation of a radio signal's energy across some range D at a given transmission frequency f or wavelength λ and may expressed in decibels (dB) as:

$$FSPL(dB) = \log_{10}(\frac{4\pi D}{\lambda})^2 \qquad (4)$$

While FSPL assumes as obstacle free, line-of-sight (LOS) path between TX and RX in free space, usually air, and real-world conditions inherently include some channel conditions that add channel impairments to the signal. For the ORACLE dataset, researchers state there was a clear LOS path across air, therefore, looking at 670 FSPL attenuation across varying ranges provides a close model of the signal attenuation in this dataset [7]. Using a recording center frequency of 2.45 GHz, the same that the ORACLE dataset was recorded at, is how the Table 6