

Generalization of a Complex Adjoint Matrix to Construct Spherical Monogenics



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① Background and Motivation

② Using Reproducing Kernel and Optimizations

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Clifford Algebra

Definition

Let (e_1, e_2, \dots, e_m) be an orthonormal basis for \mathbb{R}^m . The non-commutative **Clifford algebra** \mathbb{R}_m is built by the rules:

$$\begin{aligned}e_j^2 &= -1, \quad j = 1, \dots, m, \\e_j e_i &= -e_i e_j, \quad i \neq j, \quad i, j = 1, \dots, m.\end{aligned}$$

The basis for \mathbb{R}_m is obtained by considering for any set $A = \{j_1, j_2, \dots, j_h\} \subset \{1, \dots, m\} = M$, ordered by the element $e_A = e_{j_1} e_{j_2} \dots e_{j_h}$.

Definition

Let $\Omega \subset \mathbb{R}^m$ be open and $f \in C^1(\Omega, \mathbb{R}_m)$. Then f is said to be left monogenic in Ω if

$$\partial_x f = 0$$

where $\partial_x = \sum_{j=1}^m e_j \partial_{x_j}$ is the Dirac operator.

A left monogenic homogeneous polynomial Y_k on \mathbb{R}^m of degree $k \geq 0$ is called a solid inner spherical monogenic of order k .

The set of all solid inner spherical monogenic of order k on \mathbb{R}^m will be denoted by $\mathcal{M}_l^+(k)$.

$$\dim \mathcal{M}_l^+(k) = \frac{(m+k-2)!}{(m-2)!k!} = \binom{m+k-2}{k} = d_{k,m}. \quad (1)$$

Let's remember these for later:

- if $m = 2$ then $d_{k,m} = 1$,
- if $m = 3$ then $d_{k,m} = k + 1$,
- if $m = 4$, $k = 2$ then $d_{k,m} = \binom{m+k-2}{k} = 6$.

Definition

We define the operator L_c from $C^2(\bar{B}(1), \mathbb{C}_m)$ to $L^2(\bar{B}(1), \mathbb{C}_m)$ by

$$L_c f(x) = \partial_x((1 - |x|^2)\partial_x f(x)) + 4\pi^2 c^2 |x|^2 f(x)$$

where $B(1)$ is the unit ball in \mathbb{R}^m and ∂_x is the Dirac Operator. We define the Eigenfunctions of the operator L_c as **Clifford Prolate Spheroidal Wave Functions (CPSWFs)**.

Theorem (B.G., Hogan, Lakey)

Let $n = 2N$ be an even integer, and $\psi_{n,m}^{k,c,i}(x)$ be a CPSWF. Then, $\psi_{2N,m}^{k,c,i}(x) = P_{N,m}^{k,c}(|x|^2)Y_k^i(x)$, where $P_{N,m}^{k,c}(|x|^2)$ is a radial function.

Definition

We define truncated Fourier transformation from $L^2(\bar{B}(1), \mathbb{C}_m)$ to $L^2(\bar{B}(1), \mathbb{C}_m)$ by

$$\mathcal{G}_c f(x) = \chi_{\bar{B}(1)}(x) \int_{\bar{B}(1)} e^{2\pi i c \langle x, y \rangle} f(y) dy,$$

Theorem (B.G., Hogan, Lakey)

The operators L_c and \mathcal{G}_c commute.

Theorem (B.G., Hogan, Lakey)

It's possible to see that eigenfunctions of operator L_c are also the eigenfunction of \mathcal{G}_c , in $L^2(B(1), \mathbb{C}_m)$.

Lemma

The radial part of $P_{N,m}^{k,c}(|x|^2)$ of the CPSWF $\bar{\psi}_{2N,m}^{k,c,i}(x)$, satisfies

$$4t(1-t)\frac{d^2}{dt^2}P_{N,m}^{k,c}(t) + 2(m+2k-t(2+m+2k))\frac{d}{dt}P_{N,m}^{k,c}(t) - 4\pi^2c^2tP_{N,m}^{k,c}(t) + \chi_{2N,m}^{k,c}P_{N,m}^{k,c}(t) = 0,$$

which becomes a Sturm-Liouville differential equation after multiplying by $g(t) = t^{k+\frac{m}{2}-1}$. Therefore, $\{P_{N,m}^{k,c}\}_{N=0}^{\infty}$ may be normalised so that

$$\int_0^1 P_{N,m}^{k,c}(t)P_{M,m}^{k,c}(t)t^{k+\frac{m}{2}-1}dt = \langle P_{N,m}^{k,c}, P_{M,m}^{k,c} \rangle_{g(t)} = \delta_{MN}.$$

① Background and Motivation

② Using Reproducing Kernel and Optimizations

Definition

A zonal function $g : S^{m-1} \rightarrow \mathbb{C}$ is of the form

$$g(x) = f(\langle x, y \rangle) \quad (y \in S^{m-1})$$

for some $f : \mathbb{R} \rightarrow \mathbb{C}$ and fixed $y \in S^{m-1}$.

Now we define a special case of zonal function.

Definition

Fix $y \in S^{m-1}$ and $c \in \mathbb{C}$. The zonal spherical harmonic Z_y is defined by

$$Z_y(x) := cRH_k(x, y),$$

where $RH_k(x, y)$ is the reproducing kernel spherical harmonics.

The collection $\{RH_k(x, \eta_i)\}_{i=1}^{2k+1}$ is a basis for \mathcal{H}_k^3 for almost every choice points $\eta_1, \dots, \eta_{k+1} \in S^2$.

With the aim of obtaining an orthonormal basis for \mathcal{H}_k^3 , we define

$$Z_t(x) := \sum_{j=1}^{2k+1} RH_k(x, \eta_j) a_{jt},$$

with $t = 1, \dots, (2k + 1)$, and, $a_{jt} \in \mathbb{C}$. Let

$G_{ij} := RH_k(\eta_i, \eta_j) = (2k+1)P_k(\langle \eta_i, \eta_j \rangle)$. If we want to have $\{Z_t(x)\}_{t=1}^{2k+1}$ be orthonormal in $L^2(S^2)$ then we can conclude that $A^*GA = I$.

Lemma

The matrix G is semidefinite.

So we compute the matrix $G := [G_{ij}]$ in dimension 3. We have $G_{ij} := (2k+1)P_k(\langle \eta_i, \eta_j \rangle)$ where η_i , and, η_j are points on the unit sphere S^2 , which can be chosen randomly such that determinant of G is not zero. If $A = G^{-\frac{1}{2}}$ is a diagonal matrix then $Z_l(x)$ will be just a spherical zonal function. Otherwise, we try to generate a matrix G with diagonally dominant entries, i.e., we want to choose points η_i so that G is diagonal.

Therefore, we try to minimize the upper half of the matrix G , i.e, we try to minimize the following objective function

$$F(\eta_1, \dots, \eta_{2k+1}) = \sum_{i < j} (2k + 1)^2 P_k(\langle \eta_i, \eta_j \rangle)^2.$$

Projected Gradient Descent Method. In this method, we choose a point η_t to change in order to minimize the objective functions. The new point will be

$$new \eta_l(t) = \cos t \eta_l + \sin t \vec{w},$$

where \vec{w} is a tangent vector to S^2 at the point η_l . We define

$$G_l(t) = F(\eta_1, \dots, \cos t \eta_l + \sin t \vec{w}, \dots, \eta_{2k+1}),$$

and minimize $G_l(t)$ in terms of t . The vector \vec{w} should be chosen in a direction of steepest descent of G_l at the point η_l on the sphere S^2 . We then repeat the same process for other points and iterate until convergence.

Lemma

The direction of the tangent vector is as follows

$$\vec{w} = 2 \sum_{l \neq j} (2k + 1)^2 P_k(\langle \eta_l, \eta_j \rangle) P'_k(\langle \eta_l, \eta_j \rangle) (-\eta_j + \langle \eta_j, \eta_l \rangle \eta_l).$$

Lemma (De Bie., Sommen, Wutzig, 2016)

Let $P_k^i(x)$ be the basis for k , $\mathcal{M}_l^+(k)$. The reproducing kernel for the left solid inner spherical monogenics of order k , $\mathcal{M}_l^+(k)$ with dimension $d_{k,m}$ is

$$\begin{aligned} K_k(x, y) &= \sum_{i=1}^{d_{k,m}} P_k^i(x) \overline{P_k^i(y)} \\ &= \frac{2\mu + k}{2\mu} |x|^k |y|^k C_k^\mu(t) + (x \wedge y) |x|^{k-1} |y|^{k-1} C_{k-1}^{\mu+1}(t), \end{aligned}$$

where $\mu = \frac{m}{2} - 1$ and $t = \frac{\langle x, y \rangle}{|x||y|}$, and $C_k^\mu(t)$ is the Gegenbauer polynomials defined on the line.

For any $x, y \in S^{d-1}$ the spherical monogenic zonal function can be defined as

where $K_k(x, y)$ is the reproducing kernel.

Let $A \in M_n(\mathbb{R}_2)$, i.e., an $n \times n$ quaternionic matrix. We can write the matrix $A = A_1 + A_2 j$ where A_1 , and, A_2 are in $M_{n \times n}(\mathbb{C})$. We define the complex adjoint matrix A as

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Finding orthonormal basis for $\mathcal{M}_l^+(k)$: The collection of $\{K_k(x, \eta_i)\}_{i=1}^{k+1}$ are basis for $\mathcal{M}_l^+(k)$, for almost every choice points $\eta_1, \dots, \eta_{k+1} \in S^2$. We want to obtain an orthonormal basis for $\mathcal{M}_l^+(k)$. Therefore, we define

$$Z_t(x) := \sum_{j=1}^{k+1} K_k(x, \eta_j) a_{jt},$$

where $K_k(x, y)$ is the reproducing kernel and $t = 1 \cdots (k + 1)$. The coefficient matrix $A = [a_{jt}]$ defined above can be obtained as follows

$$A = G^{-\frac{1}{2}},$$

where $G := [G_{ij}] = (k+1)C_k^{\frac{1}{2}}(\langle \eta_i, \eta_j \rangle) + (\eta_i \wedge \eta_j)C_{k-1}^{\frac{3}{2}}(\langle \eta_i, \eta_j \rangle)$.

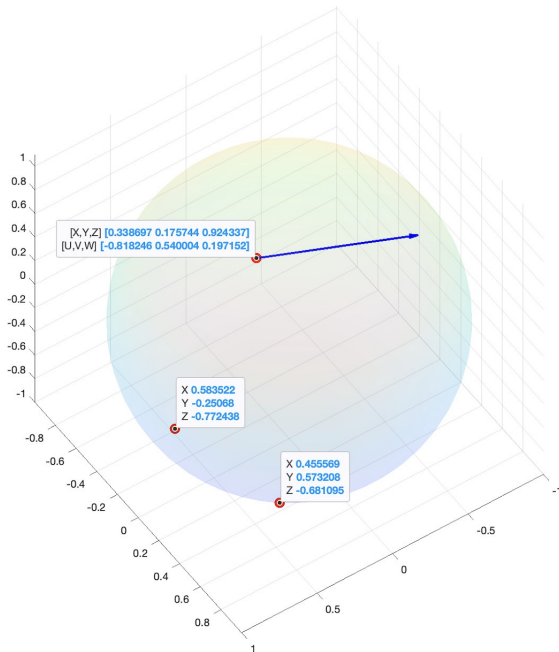
Since η_i and η_j can be chosen randomly on the sphere so we will try to obtain the matrix A such that most of the energy of the matrix are on the diagonal elements. Therefore, we will try to minimize the upper half of the matrix G , i.e. we try to minimize the following objective function

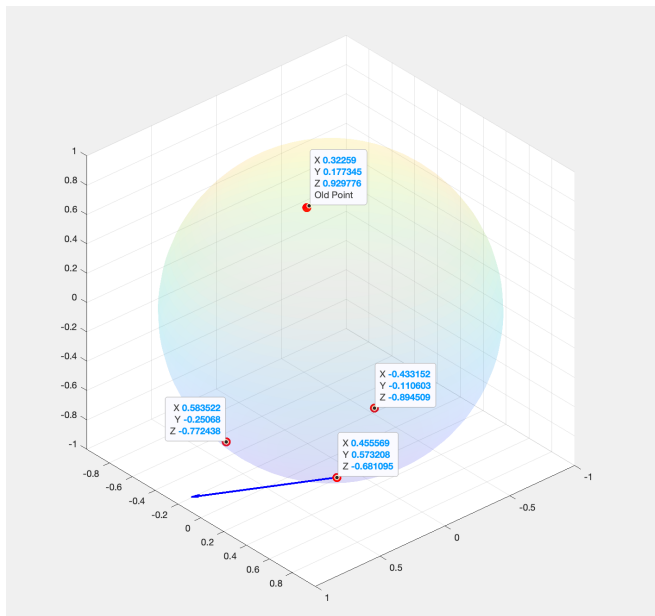
$$F = \sum_{i < j} [(k+1)^2 |C_k^{\frac{1}{2}}(\langle \eta_i, \eta_j \rangle)|^2 + |(\eta_i \wedge \eta_j)|^2 |C_{k-1}^{\frac{3}{2}}(\langle \eta_i, \eta_j \rangle)|^2].$$

Lemma (B.G., Hogan, Lakey)

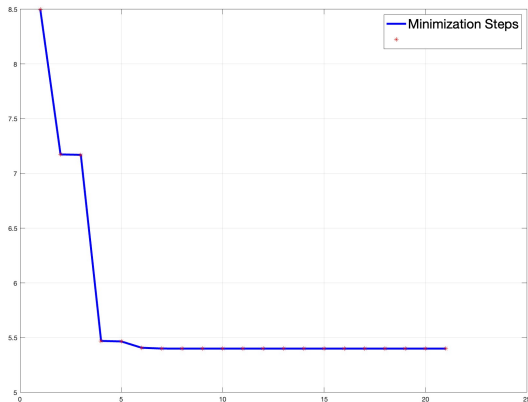
The direction of the tangent vector is as follows

$$w = - \sum_{l \neq j} \left[(k+1)^2 C_k^{\frac{1}{2}} \langle \eta_l, \eta_j \rangle (C_k^{\frac{1}{2}})' \langle \eta_l, \eta_j \rangle \eta_j \right. \\ \left. - \langle \eta_l, \eta_j \rangle \eta_j (C_k^{\frac{3}{2}} \langle \eta_l, \eta_j \rangle)^2 \right. \\ \left. + (1 - (\langle \eta_l, \eta_j \rangle)^2) C_k^{\frac{3}{2}} \langle \eta_l, \eta_j \rangle (C_k^{\frac{3}{2}})' (\langle \eta_l, \eta_j \rangle) \eta_j \right].$$





The amount of Objective Function is $F = 5.399999$.



One Example with $k = 2$

Now we are able to calculate the matrix G and as a result A . From the definition of G in the entries of G is in the even subalgebra $\mathbb{R}_3^0 \oplus \mathbb{R}_3^2$ of \mathbb{R}_3 . Before proceeding further and computing the matrix A , we need to introduce another isomorphism. Let $x = x_0 + x_{12}e_{12} + x_{13}e_{13} + x_{23}e_{23} \in \mathbb{R}_3^0 \oplus \mathbb{R}_3^2$ in which $x_0, x_{12}, x_{13}, x_{23} \in \mathbb{R}$. We define the mapping $\tau : \mathbb{R}_3^0 \oplus \mathbb{R}_3^2 \rightarrow \mathbb{H}$ by $\tau(x) = y$ where $y = x_0 + x_{12}k + x_{13}j + x_{23}i$ where i, j, k are the imaginary units in the quaternions. Then τ is $*$ -isomorphism. Since G is positive semidefinite $\tau(G)$ is also semidefinite.

Theorem (B.G., Hogan, Lakey)

The coefficient matrix $A = [a_{jl}]$ can be computed as follows

$$A = \tau^{-1} \left(\chi^{-1} \left((\chi(\tau G))^{-\frac{1}{2}} \right) \right),$$

where χ is the complex adjoint matrix.

One Example with $k = 2$

$$Z_1(x) = K_2(x, \eta_1)a_{11} + K_2(x, \eta_2)a_{21} + K_2(x, \eta_3)a_{31}$$

Where

$$a_{11} = (0.5281) + (0.0347)e_{12} + (0.0251)e_{13} + (-0.0140)e_{23},$$

$$a_{21} = (0.0332) + (0.0354)e_{12} + (0.1147)e_{13} + (0.0204)e_{23},$$

$$a_{31} = (0.0449) + (0.0795)e_{12} + (0.0410)e_{13} + (-0.0280)e_{23}.$$

Respectively we can obtain $Z_2(x)$ and $Z_3(x)$.

Now in dimension $m \geq 4$. We see that

$$G_{ij} = K_k(\eta_i, \eta_j) = \frac{(k+m-2)}{(m-2)} C_k^\mu(\langle \eta_i, \eta_j \rangle) + (\eta_i \wedge \eta_j) C_{k-1}^{\mu+1}(\langle \eta_i, \eta_j \rangle).$$

From the definition, the entries of G is in the even subalgebra \mathbb{R}_m^+ of \mathbb{R}_m .

To compute the matrix coefficient A , we require

- A homomorphism from \mathbb{R}_{m-1} to \mathbb{R}_m^+ .
- A monomorphism from $M_n(\mathbb{R}_m)$ to $M_{2n}(\mathbb{R}_m^+)$.

$$\psi(u) = \psi(u_e + u_o) = u_e + e_m u_o.$$

So $\psi^{-1} : \mathbb{R}_m^+ \rightarrow \mathbb{R}_{m-1}$, let $v \in \mathbb{R}_m^+$ be written as $v = v' + e_m v''$, where $v' \in \mathbb{R}_m^+$ includes all components of v except e_m , and, $v'' \in \mathbb{R}_m^-$ includes all components of v except e_m . The inverse isomorphism $\psi^{-1} : \mathbb{R}_m^+ \rightarrow \mathbb{R}_{m-1}$ is defined as

$$\psi^{-1}(v) = v' + v''.$$

Theorem (3)

Let $G \in M_n(\mathbb{R}_m^+)$. We can define $\tilde{\tau} : M_n(\mathbb{R}_m^+) \rightarrow M_n(\mathbb{R}_{m-1})$ by $\tilde{\tau}(G) := [\tilde{\tau}(G)]_{ij} = \psi^{-1}(G_{ij})$.

The construction of τ can be as follows

$$\tau_3(e_0) = e_0$$

$$\tau_3(e_{12}) = e_1 \quad \tau_3(e_{13}) = e_2$$

$$\tau_3(e_{23}) = e_{12}$$

which is the way of construction implying $\tau_3 : \mathbb{R}_3^+ \rightarrow \mathbb{R}_2$ is an isomorphism.

The construction of τ can be as follows

$$\tau_4(e_0) = e_0$$

$$\tau_4(e_{12}) = e_1 \quad \tau_4(e_{13}) = e_2 \quad \tau_4(e_{14}) = e_3$$

$$\tau_4(e_{23}) = e_{12} \quad \tau_4(e_{24}) = e_{13}$$

$$\tau_4(e_{34}) = e_{23}$$

$$\tau_4(e_{1234}) = e_{123}.$$

which is the way of construction implying $\tau_4 : \mathbb{R}_4^+ \rightarrow \mathbb{R}_3$ is an isomorphism.

The construction of τ can be as follows

$$\tau_m(e_0) = e_0$$

$$\tau_m(e_{12}) = e_1 \quad \tau_m(e_{13}) = e_2 \quad \dots \quad \tau_m(e_{1(m-1)}) = e_{m-2} \quad \tau_m(e_{1m}) = e_{m-1}$$

$$\tau_m(e_{23}) = e_{12} \quad \tau_m(e_{24}) = e_{13} \quad \dots \quad \tau_m(e_{2m}) = e_{1(m-1)}$$

$$\tau_m(e_{34}) = e_{23} \quad \dots \quad \tau_m(e_{3m}) = e_{2(m-1)}$$

\vdots

$$\tau_m(e_{(m-1)m}) = e_{(m-2)(m-1)}.$$

$$\tau_m(e_{1234}) = e_{123} \dots$$

$$\tau_m(e_{(m-3)(m-2)(m-1)m}) = \tau_m(e_{1(m-3)})\tau_m(e_{1(m-2)})\tau_m(e_{1(m-1)})\tau_m(e_{1m})$$

\vdots

Lemma (B.G., Hogan, Lakey)

For any $b \in \mathbb{R}_m^+$, we define $\varphi : \mathbb{R}_m^+ \rightarrow \mathbb{R}_m^+$ by $\varphi(b) := \overline{e_{23\dots m}} b e_{23\dots m}$. Then

- (i) φ is an isomorphism from \mathbb{R}_m^+ to itself,
- (ii) $\varphi(b)e_1 = e_1b$, and, $e_1\varphi(b) = e_1b$, for all $b \in \mathbb{R}_m^+$,
- (iii) $\varphi^2(b) = b$, for all $b \in \mathbb{R}_m^+$.

For the construction, we can show that φ satisfies

$$\varphi(e_{k_1 k_2 \dots k_{2l}}) = \begin{cases} e_{k_1 k_2 \dots k_{2l}} & \text{if } k_1 \neq 1, \\ -e_{k_1 k_2 \dots k_{2l}} & \text{if } k_1 = 1, \end{cases} \quad (2)$$

with $k_1 < k_2 < \dots < k_{2l}$.

By the isomorphism defined in the previous slide, we can define the matrix version of φ , i.e., $\tilde{\varphi} : M_n(\mathbb{R}_m^+) \rightarrow M_n(\mathbb{R}_m^+)$ as $\tilde{\varphi}(A^+) := [\varphi(a^+)]_{ij}$ where a^+ s are the elements of A^+ .

Theorem (B.G., Hogan, Lakey)

There is a monomorphism, $\chi_m(A) := \begin{bmatrix} A^+ & \tilde{A}^+ \\ -\tilde{\varphi}(\tilde{A}^+) & \tilde{\varphi}(A^+) \end{bmatrix}$, from $M_n(\mathbb{R}_m)$ into $M_{2n}(\mathbb{R}_m^+)$.

BHL Algorithm for dimension m for calculating coefficient matrix A

- 1 Choose $\{\eta_i\}_{i=1}^{d_k^m}$ points on the sphere S^{m-1} .
- 2 We then move these points using the method of projected gradient descent on the sphere to minimize

$$F(\eta_1, \dots, \eta_{d_k^m}) = \sum_{i < j} \left[\left(\frac{(k+m-2)}{(m-2)} \right)^2 C_k^\mu (\langle \eta_i, \eta_j \rangle)^2 \right. \\ \left. + (1 - (\langle \eta_i, \eta_j \rangle)^2) C_{k-1}^{\mu+1} (\langle \eta_i, \eta_j \rangle)^2 \right]. \quad (3)$$

- 3 Calculate the matrix $G \in M_n(\mathbb{R}_m^+)$ defined in which the elements are defined in (3).

- 4 Apply the operator $\tilde{\tau} : M_n(\mathbb{R}_m^+) \rightarrow M_n(\mathbb{R}_{m-1})$ on G . If $H := \tilde{\tau}(G)$ is a complex matrix then go to step (6) otherwise go to step (5).
- 5 Apply the operator $\chi_{m-1} : M_n(\mathbb{R}_{m-1}) \rightarrow M_{2n}(\mathbb{R}_{m-1}^+)$ on H . Then go to step (3).
- 6 Calculate the matrix

$$A = \tilde{\tau}^{-1} \circ \chi_{m-1}^{-1} \circ \tilde{\tau}^{-1} \circ \dots \circ \chi_2^{-1} \circ \tilde{\tau}^{-1}(B^{-\frac{1}{2}}), \quad (4)$$

where $B = H$ is the complex matrix from step 4.

For $k = 2$ and dimension $m = 4$ we obtain the 6 monogenic homogeneous functions. By any optimization methods we see that the best optimal points on the sphere are

$$\eta_1 = (-0.3055, -0.3675, -0.1685, -0.8621),$$

$$\eta_2 = (-0.3916, 0.0379, -0.5478, 0.7383),$$

$$\eta_3 = (-0.1693, -0.5860, -0.7911, -0.0449),$$

$$\eta_4 = (0.6053, -0.3942, -0.4204, 0.5491),$$

$$\eta_5 = (-0.6560, 0.3052, 0.4443, 0.5283),$$

$$\eta_6 = (0.9383, 0.3275, 0.1098, 0.0166).$$

The objective function of those points takes the value $F(\eta_1, \eta_2, \eta_3, \eta_4, \eta_5, \eta_6) = 40.4376$. Therefore,

Therefore, by $Z_j(x) = \sum_{i=1}^6 K_2(x, \eta_i) a_{ij}$ we can see for instance, a_{i1} 's

$$a_{11} = (0.5) e_0 + (-0.0001751) e_{1234},$$

$$\begin{aligned} a_{21} = & (0.00456) e_0 + (0.01032) e_{12} + (-0.01597) e_{13} \\ & + (0.04519) e_{14} + (-0.02264) e_{23} + (0.02916) e_{24} + (0.05645) e_{34} \\ & + (-0.001705) e_{1234}, \end{aligned}$$

$$\begin{aligned} a_{31} = & (0.005577) e_0 + (0.0119) e_{12} + (0.02261) e_{13} + (-0.0159) e_{14} \\ & + (0.01897) e_{23} + (-0.04864) e_{24} + (-0.06711) e_{34} \\ & + (-0.0001817) e_{1234}, \end{aligned}$$

$$\begin{aligned} a_{41} = & (0.001499) e_0 + (-0.02649) e_{12} + (-0.02269) e_{13} \\ & + (-0.02975) e_{14} + (-0.005987) e_{23} + (0.0501) e_{24} + (0.04863) e_{34} \\ & + (-0.0009728) e_{1234}, \end{aligned}$$

$$\begin{aligned} a_{51} = & (0.003591) e_0 + (0.02954) e_{12} + (0.01926) e_{13} + (0.07882) e_{14} \\ & + (0.007936) e_{23} + (-0.002199) e_{24} + (-0.02181) e_{34} \\ & + (0.0009455) e_{1234}, \end{aligned}$$

$$\begin{aligned} a_{61} = & (0.005036) e_0 + (-0.02784) e_{12} + (-0.01157) e_{13} \\ & + (-0.08013) e_{14} + (-0.003863) e_{23} + (-0.01768) e_{24} \\ & + (0.002964) e_{34} + (0.001643) e_{1234}. \end{aligned}$$

