



# **Evolutionary Algorithms**

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Assignment 1

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# Chapter 1

## Abstract

The purpose of this assignment is to gather an insight of stochastic optimization using Evolutionary Algorithms (EA). This exercise will enable us to address some known computing problems that map to several real-world problems and are known to be computationally hard.

# Chapter 2

## Problems

### 2.1 Genetic Algorithm

The genetic algorithm is a search heuristic that replicates the process of natural evolution. This is represent the class with the following attributes,

```
16     def __init__(self,
17                   problem: Problem,
18                   selection_method1: int = 0,
19                   selection_method2: int = 0,
20                   population_size: int = 30,
21                   number_of_offsprings: int = 10,
22                   number_of_generations: int = 100,
23                   mutation_rate: float = 0.50) -> None:
```

Listing 2.1: Evolution class

The fittest chromosome is selected the chromosome maximized by the fitness function.

```
50     def get_best_individual(self, population: list):
51         """Get the fittest chromosome from the population
52
53         Args:
54             population (list): List of chromosomes
55         """
56         return max(population, key=self.fitness_function)
```

Listing 2.2: Fittest chromosome

The best fitness is the fitness of the best chromosome.

```
58     def get_best_fitness(self, population: list) -> float:
59         """Get the best fitness of the population
60
61         Args:
62             population (list): List of chromosomes
63
64         Returns:
65             float: Best fitness of the population
66         """
67         best_chromosome = self.get_best_individual(population)
68         return self.fitness_function(best_chromosome)
```

Listing 2.3: Best fitness

We randomly selects two parents and mate them to breed children. This process is repeated number\_of\_offspring times.

```
140     def breed_parents(self, population: list) -> list:
141         """Breed the parents to get the offsprings
142
143         Args:
144             population (list): List of chromosomes
145
146         Returns:
147             list: List of chromosomes after breeding
148         """
149         for _ in range(self.number_of_offsprings):
150             parents = random.sample(population, 2)
151             child = self.get_offspring(parents[0], parents[1])
152             population.append(child)
153         return population
```

Listing 2.4: Select two parents and add their offspring to the population

First we select parents from population using `selection_method1` and then the parents breed and a new chromosome enters the population which are then selected using `selection_method2` as survivors.

```
155     def next_generation(self, population: list) -> list:
156         """Get the next generation using selection methods and
157         breeding of the parents
158
159         Args:
160             population (list): List of chromosomes
161
162         Returns:
163             list: List of chromosomes after selection and breeding
164         """
165         selection_methods = [
166             self.fitness_proportionate, self.ranked_selection,
167             self.tournament_selection, self.truncation, self.
168             random_selection
169         ]
170         parents = selection_methods[self.selection_method1](
171             population)
172         new_population = self.breed_parents(parents)
173         survivors = selection_methods[self.selection_method2](
174             new_population)
175         return survivors
```

Listing 2.5: Next generation

The `step` is returns the next generation and the best fitness. `run` runs the evolution process and return the fitness of all chromosomes.

```
174     def step(self, population: list) -> tuple[list, float]:
175         """Get population of generation and best fitness of
176         the population after selection and breeding of the parents
177
178         Args:
179             population (list): List of chromosomes
180
181         Returns:
182             tuple[list, float]: List of chromosomes after
183             selection and breeding and best fitness of the population
184         """
185         return self.next_generation(population), self.
186         get_best_fitness(
187             population)
188
189     def run(self) -> list:
190         """Run the evolution
191
192         Returns:
193             list: List of best fitness of the population
194         """
195         population = self.initial_population()
196         fitness_lst = []
197         for _ in range(self.number_of_generations):
198             population, best_fitness = self.step(population)
199             fitness_lst.append(best_fitness)
200         return fitness_lst
```

Listing 2.6: Run the evolution

### 2.1.1 Selection Schemes

The selection scheme class caters all the selection methods. It requires two attributes first the population size and the other is fitness function.

```
6     def __init__(self, fitness_function, population_size: int) ->
      None:
7         """Initializes the selection schemes class with the fitness
      function
8         and population size
9
10        Args:
11            fitness_function (function): fitness function
12            population_size (int): population size
13
14        Description:
15            Population size is the number of chromosomes to select
16            from the
17            population. fitness_function is the fitness function to
18            calculate
19            fitness.
20        """
21        self.population_size = population_size
22        self.fitness_function = fitness_function
```

Listing 2.7: Selection Scheme class



This function would select the chromosome according to their fitness values.

```
48     def fitness_proportionate(self, population: list) -> list:
49         """Selects chromosomes according to their fitness value (
probability)
50
51         Args:
52             population (list): population of chromosomes
53
54         Returns:
55             list: new population of chromosomes after selection
56
57         Description:
58             Each chromosome will be assigned a probability of being
selected.
59             Such that the probability of a chromosome being selected
is
60             (fitness of chromosome) / (sum of all fitnesses).
61         """
62         fitness_lst = list(map(self.fitness_function, population))
63         total_fitness = sum(fitness_lst)
64         probabilities = list(map(lambda x: x / total_fitness,
fitness_lst))
65         return random.choices(population, probabilities, k=self.
population_size)
```

Listing 2.8: Fitness proportion selection method

The tournament selection method relies on for every chromosome it selects two random chromosome and compete them according to the fitness function and the winner gets appended to the new population.

```
67     def tournament_selection(self, population: list) -> list:
68         """Selects chromosomes according to tournament selection
69
70         Args:
71             population (list): population of chromosomes
72
73         Returns:
74             list: new population of chromosomes after selection
75
76         Description:
77             We will select two random chromosomes from the population
78         and
79             select the chromosome with the highest fitness and add
80         that
81             chromosone to the new population.
82         """
83         new_population = []
84         for _ in range(self.population_size):
85             tournament = random.sample(population, 2)
86             winner = max(tournament, key=self.fitness_function)
87             new_population.append(winner)
88         return new_population
```

Listing 2.9: Tournament selection method

Rank based selection method prioritizes the chromosome with high fitness value over low fitness one, and then randomly selects them.

```

88     def ranked_selection(self, population: list) -> list:
89         """Selects chromosomes according to their rank
90
91         Args:
92             population (list): population of chromosomes
93
94         Returns:
95             list: new population of chromosomes after selection
96
97         Description:
98             The population will be sorted according to the fitness of
99             each
100             chromosome. The probability of a chromosome being
101             selected is (rank
102             of chromosome) / (total number of chromosomes). The
103             fittest
104             individual will have the highest rank which will be N. The
105             least fit
106             will have the lowest rank which will be 1.
107         """
108         N = len(population)
109         sorted_population = sorted(population, key=self.
fitness_function)
110         probabilities = list(map(lambda x: x / N, range(1, N + 1)))
111         return random.choices(sorted_population,
112                               probabilities,
113                               k=self.population_size)

```

Listing 2.10: Rank based selection method

Random selection methods is just randomly selecting desired number of chromosomes.

```

111     def random_selection(self, population: list) -> list:
112         """Selects chromosomes randomly from the population
113
114         Args:
115             population (list): population of chromosomes
116
117         Returns:
118             list: new population of chromosomes after selection
119         """
120         return random.choices(population, k=self.population_size)

```

Listing 2.11: Random selection method

## 2.2 Travelling Salesman Problem

A chromosome is represented by a list of different cities.

```
18     @staticmethod
19     def chromosome() -> list:
20         """Returns a random route of cities
21
22         Returns:
23             list: A random route of cities
24         """
25         return random.sample(list(range(num_cities)), num_cities)
```

Listing 2.12: Chromosome representation

The fitness function is the inverse of the sum of the distances between the cities in order.

```
27     @staticmethod
28     def fitness_function(route: list) -> float:
29         """Calculates the distance covered in the route
30
31         Args:
32             route (list): different routes of cities
33
34         Returns:
35             float: distance covered in the route
36         """
37         N = len(graph) - 1
38         distances = list(map(lambda x: graph[route[x]][route[x + 1]],
39                             range(N)))
39         return 1 / sum(distances)
```

Listing 2.13: Fitness function

The mutation is happening by swapping the cities in the route.

```
41 @staticmethod
42 def mutate(individual: list) -> list:
43     """Mutates the route by swapping two cities
44
45     Args:
46         individual (list): list of cities
47
48     Returns:
49         list: list of cities after mutation
50     """
51     indexes = random.sample(list(range(len(individual))), 2)
52     swap1, swap2 = indexes[0], indexes[1]
53     individual[swap1], individual[swap2] = individual[swap2],
individual[
54         swap1]
55     return individual
```

Listing 2.14: Mutation

The crossover is done by randomly selecting two cities and then placing all the cities that are intermediate to reach them and placing them in the start of the tour. Rest of the cities comes in the end of the route.

```
57 @staticmethod
58 def crossover(parent1: list, parent2: list) -> list:
59     """Returns a offspring after breeding from two parents
60
61     Args:
62         parent1 (list): first parent
63         parent2 (list): second parent
64
65     Returns:
66         list: offspring after breeding from two parents
67     """
68     gene1 = int(random.random() * len(parent1))
69     gene2 = int(random.random() * len(parent1))
70
71     start_gene = min(gene1, gene2)
72     end_gene = max(gene1, gene2)
73     child1 = parent1[start_gene:end_gene]
74     child2 = [gene for gene in parent2 if gene not in child1]
75     child = child1 + child2
76     return child
```

Listing 2.15: Crossover

## 2.3 Graph Coloring Problem

A chromosome is represented using the matrix representation for graphs.

```
23 @staticmethod
24 def chromosome() -> list:
25     """Returns a list of random colors
26
27     Returns:
28         list: list of random colors
29
30     Description:
31         A random solution would be assign a different color to
each vertex
32     """
33     return random.sample(range(num_nodes), num_nodes)
```

Listing 2.16: Chromosome representation

Fitness function is defined as inverse of the number of unique individuals we have if they are valid other wise it is zero.

```
35 @staticmethod
36 def fitness_function(individual: list) -> float:
37     """Calculates the fitness of a solution
38
39     Args:
40         individual (list): list of colors
41
42     Returns:
43         float: fitness of a solution (number of colors used)
44
45     Description:
46         If the solution is valid, return the number of colors
used. else,
47         fitness is zero.
48     """
49     if is_valid(individual):
50         return 1 / len(set(individual))
51     return 0.0
```

Listing 2.17: Fitness function

Crossover is done by selecting a random index and placing all the elements after that index the start of the list.

```
53 @staticmethod
54 def crossover(parent1: list, parent2: list) -> list:
55     """Returns a child solution by crossing over two parents
56
57     Args:
58         parent1 (list): first parent
59         parent2 (list): second parent
60
61     Returns:
62         list: child solution
63     """
64     position = random.randint(0, num_nodes - 1)
65     child = parent1[:position] + parent2[position:]
66     return child
```

Listing 2.18: Crossover

Mutation is done by assigning a random color to a random chromosome.

```
68 @staticmethod
69 def mutate(individual: list) -> list:
70     """Mutates the individual by changing a color
71
72     Args:
73         individual (list): list of colors
74
75     Returns:
76         list: list of colors after mutation
77     """
78     position = random.randint(0, num_nodes - 1)
79     individual[position] = random.randint(0, num_nodes - 1)
80     return individual
```

Listing 2.19: Mutation

## 2.4 Knapsack Problem

Chromosome are just a list of ones and zeros, that refers the selection of that wieght.

```
17     @staticmethod
18     def chromosome() -> list:
19         """Returns a list of randomized binary numbers
20
21         Returns:
22             list: list of randomized binary numbers
23         """
24         return random.choices([0, 1], k=number_of_items)
```

Listing 2.20: Chromosome representation

Fitness function return zero if the total wieght is greater than the threshold otherwise it returns the wieght.

```
26     @staticmethod
27     def fitness_function(solution: list) -> int:
28         """Calculates the fitness of a solution
29
30         Args:
31             solution (list): list of binary numbers
32
33         Returns:
34             int: fitness of a solution (total profit)
35
36         Description:
37             We loop over the solution. If the solution has a 1 in the
38             ith
39             position, we add the profit of the ith item to the total
40             profit.
41             However, if we exceeved the capacity of the knapsack, the
42             fitness
43             is 0.
44         """
45         total_profit, total_weight = 0, 0
46         for binary, profit, weight in zip(solution, profits, weights)
47         :
48             if binary == 1:
49                 total_profit += profit
50                 total_weight += weight
51         return total_profit * (total_weight <= threshold)
```

Listing 2.21: Fitness function



Mutation is done by randomly switching the state of the wieght.

```
49 @staticmethod
50 def mutate(individual: list) -> list:
51     """Mutates the individual by flipping a bit
52
53     Args:
54         individual (list): list of binary numbers
55
56     Returns:
57         list: list of binary numbers after mutation
58     """
59     index = random.randint(0, len(individual) - 1)
60     individual[index] = int(not individual[index])
61     return individual
```

Listing 2.22: Mutation

Crossover is done by selecting a random index and placing all the elements after that index the start of the list.

```
63 @staticmethod
64 def crossover(parent1: list, parent2: list) -> list:
65     """Returns a child after breeding from two parents
66
67     Args:
68         parent1 (list): first parent
69         parent2 (list): second parent
70
71     Returns:
72         list: child after breeding from two parents
73     """
74     geneA = int(random.random() * len(parent1))
75     geneB = int(random.random() * len(parent1))
76     startGene, endGene = min(geneA, geneB), max(geneA, geneB)
77     childP1 = parent1[startGene:endGene]
78     childP2 = [gene for gene in parent2 if gene not in childP1]
79     return childP1 + childP2
```

Listing 2.23: Crossover

## 2.5 Optimization Class

Optimization class handles the testing of the algorithms. It requires attributes mentioned below along with their default arguments.

```
9     def __init__(
10         self,
11         problem: Problem,
12         population_size: int = 30,
13         number_of_offsprings: int = 10,
14         number_of_generations: int = 100,
15         mutation_rate: float = 0.50,
16         number_of_iterations: int = 10,
17         selection_case: tuple = (0, 0)) -> None:
18         """Initializes the Optimization class with the given
19         parameters
20
21         Args:
22             problem (Problem): Problem class from Evolution.problem
23             population_size (int, optional): population size.
24             Defaults to 30.
25             number_of_offsprings (int, optional): number of
26             offsprings. Defaults to 10.
27             number_of_generations (int, optional): number of
28             generations. Defaults to 100.
29             mutation_rate (float, optional): mutation rate. Defaults
30             to 0.50.
31             number_of_iterations (int, optional): number of
32             iterations. Defaults to 10.
33             selection_case (tuple, optional): selection case.
34             Defaults to (0, 0).
35         """
36         self.population_size = population_size
37         self.number_of_generations = number_of_generations
38         self.number_of_iterations = number_of_iterations
39
40         self.number_of_offsprings = number_of_offsprings
41         self.problem = problem
42
43         self.mutation_rate = mutation_rate
44         self.selection_case = selection_case
```

Listing 2.24: Parameters

This function would evolve the generation according to the values provided.

```
39 def evolve(self) -> Evolution:
40     """Returns an Evolution object
41
42     Returns:
43         Evolution: Evolution object
44     """
45     return Evolution(problem=self.problem,
46                     mutation_rate=self.mutation_rate,
47                     population_size=self.population_size,
48                     selection_method1=self.selection_case[0],
49                     selection_method2=self.selection_case[1],
50                     number_of_generations=self.
51                     number_of_generations,
52                     number_of_offsprings=self.
53                     number_of_offsprings)
```

Listing 2.25: Evolution

The title for the plot is generated by the following function.

```
53 def get_title(self, fitness_type: str) -> str:
54     """Returns the title of the plot
55
56     Args:
57         fitness_type (str): fitness type (BSF or ASF)
58
59     Returns:
60         str: title of the plot
61     """
62     selection_cases = ["FPS", "RBS", "Tournament", "Truncation",
63                       "Random"]
64     title = f"{fitness_type} - {self.problem.__name__} - "
65     title += f"{selection_cases[self.selection_case[0]]} &"
66     title += f"{selection_cases[self.selection_case[1]]}\n"
67     title += f"Pop Size: {self.population_size}; "
68     title += f"Num Offsprings: {self.number_of_offsprings}; "
69     title += f"Mutation Rate: {self.mutation_rate}"
70     return title
```

Listing 2.26: Getter for title

The name of the file is generated by the following function.

```
72 def get_filename(self, fitness_type: str) -> str:
73     """Returns the filename of the plot to be saved
74
75     Args:
76         fitness_type (str): fitness type (BSF or ASF)
77
78     Returns:
79         str: filename of the plot to be saved
80     """
81     filename = f"{fitness_type}_{self.problem.__name__}"
82     filename += f"_{self.selection_case[0]}"
83     filename += f"_{self.selection_case[1]}"
84     filename += f"_{self.population_size}"
85     filename += f"_{self.number_of_offsprings}"
86
87     return filename
```

Listing 2.27: Getter for filename

The best so far is plotted with the following function.

```
89 def plot_BSF(self) -> None:
90     """Plots the best fitness of the evolution"""
91     evolution = self.evolve()
92     fitness_lst = evolution.run()
93
94     if self.problem.inverse_fitness:
95         fitness_lst = [(1 / fitness) if fitness != 0 else 100
96                        for fitness in fitness_lst]
97
98     print("Initial fitness: ", fitness_lst[0])
99     print("Final fitness: ", fitness_lst[-1])
100
101     x = list(range(len(fitness_lst)))
102     y = fitness_lst
103
104     title = self.get_title("Best Fitness")
105
106     plt.title(title)
107     plt.plot(x, y)
108
109     filename = self.get_filename("BSF")
110     plt.savefig("Analysis/" + filename + ".png")
111     plt.close()
```

Listing 2.28: Plotter for best so far

The average so far is plotted with the following function.

```
113     def plot_ASF(self) -> None:
114         """Plots the average fitness of the evolution"""
115         runs: dict[str, list] = dict()
116
117         for iteration in range(self.number_of_iterations):
118             runs["Run #" + str(iteration + 1)] = self.evolve().run()
119
120         df = pd.DataFrame(runs)
121
122         def invert(val):
123             if val == 0:
124                 return 100
125             return 1 / val
126
127         df["Average"] = df.mean(axis=1)
128
129         print("Best Average Fitness: ")
130
131         df.index.name = "Generation #"
132
133         title = self.get_title("Average Fitness")
134
135         plt.title(title)
136         filename = self.get_filename("ASF")
137
138         if self.problem.inverse_fitness:
139             df["Average"] = df["Average"].apply(invert)
140             print(df["Average"].min())
141         else:
142             print(df["Average"].max())
143
144         plt.plot(df["Average"])
145         plt.savefig("Analysis/" + filename + ".png")
146         plt.close()
```

Listing 2.29: Plotter for average so far

# Chapter 3

## Analysis

We tried 10 different combinations of the 5 selection schemes that are,

1. Fitness Proportional Selection
2. Rank based Selection
3. Binary Tournament
4. Truncation
5. Random

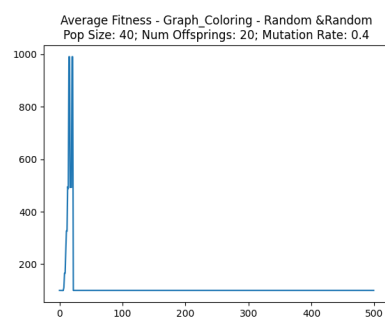
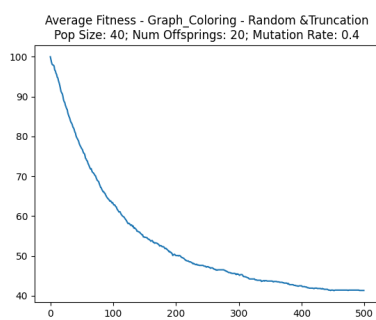
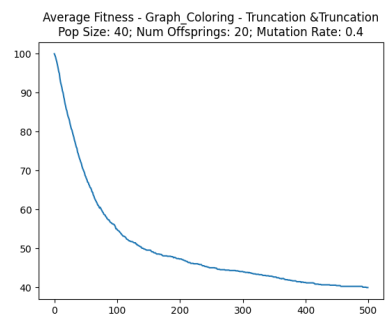
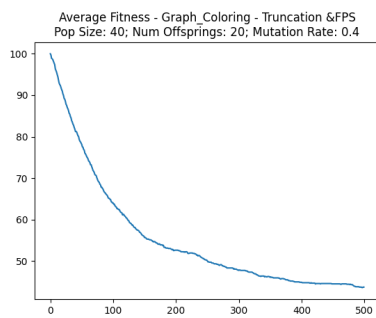
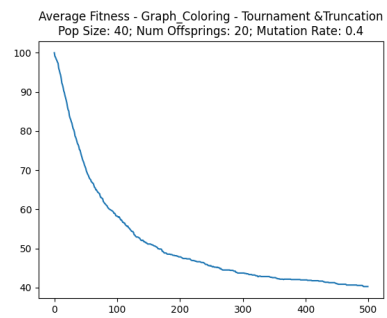
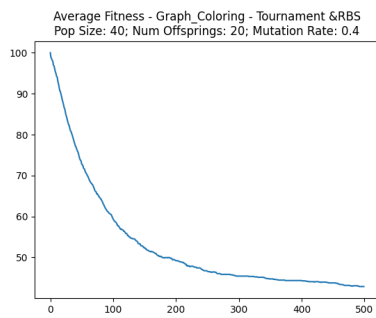
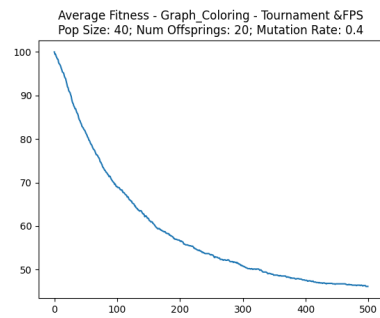
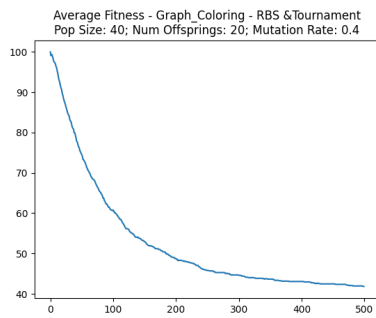
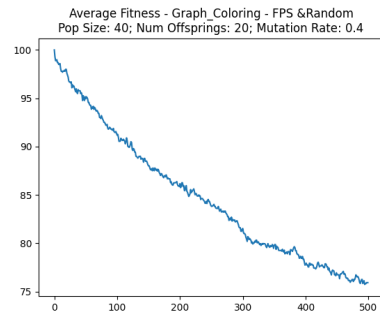
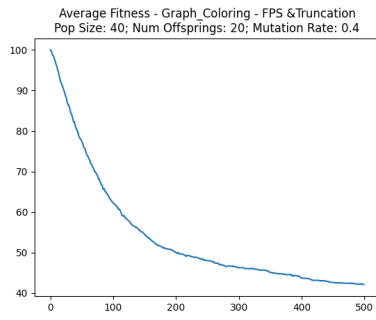
and those combinations are,

1. FPS and Random
2. Binary Tournament and Truncation
3. Truncation and Truncation
4. Random and Random
5. FPS and Truncation
6. RBS and Binary Tournament
7. Random and Truncation
8. Binary Tournament and FPS
9. Binary Tournament and RBS
10. Truncation and FPS

## **3.1 Graph Coloring Problem**

### **3.1.1 Average So Far**

Again we found 2 best selection scheme combinations, which were Tournament & Truncation, and Truncation and Truncation, with their fitness being 40. The worst selection scheme combination was Random & Random with the fitness being 100, we also saw that FPS & Random did not give us good results too.

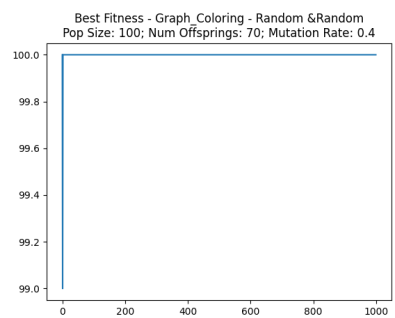
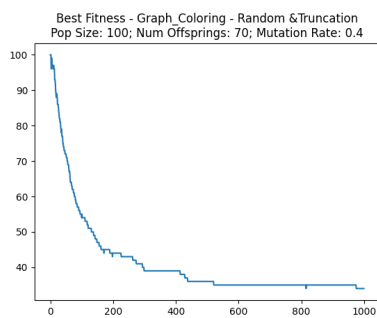
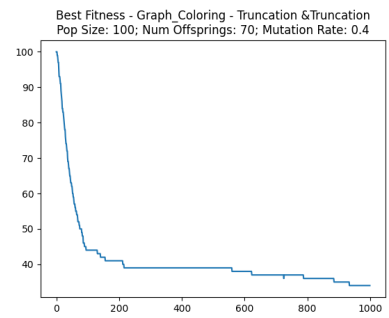
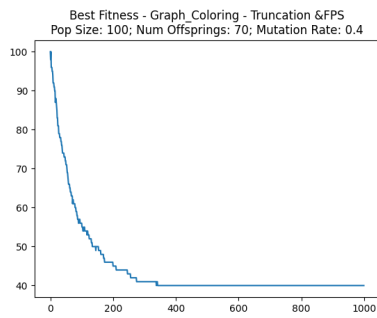
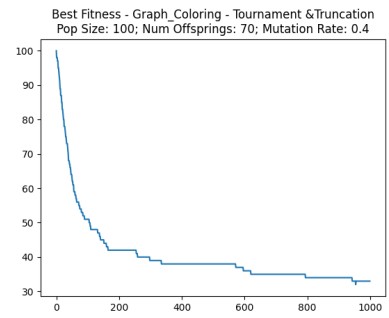
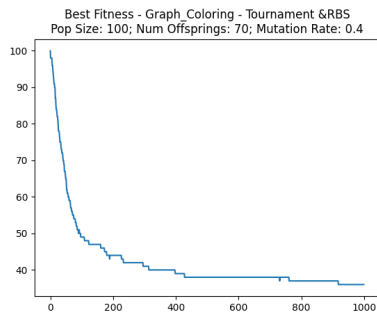
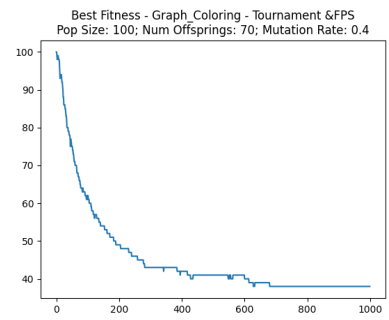
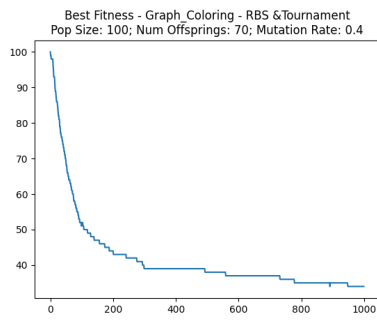
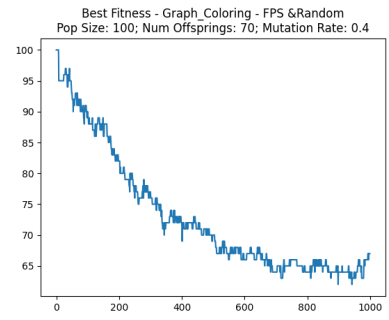
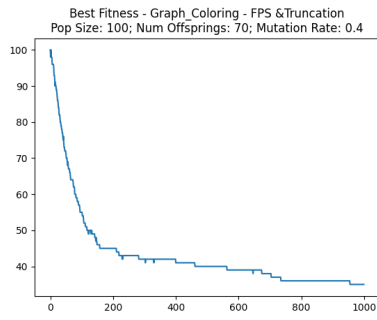




### **3.1.2 Best So Far**

We found 2 of the schemes to be best among our selection scheme combinations, Random & Truncation and RBS & Tournament, both of these combinations gave us a fitness level of around 34. Whereas the worst selection scheme combination was Random and Random in which the fitness that we received was 100.

The overall majority of the fitnesses were in near 36-35, these proved to be quite impressive as compared to our initial fitness values.

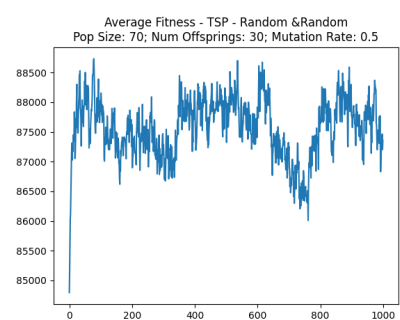
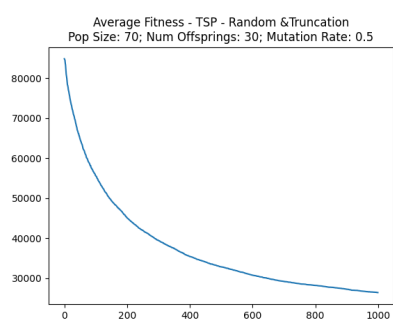
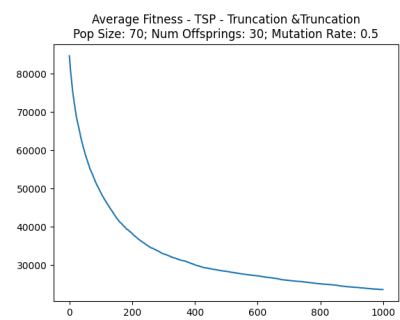
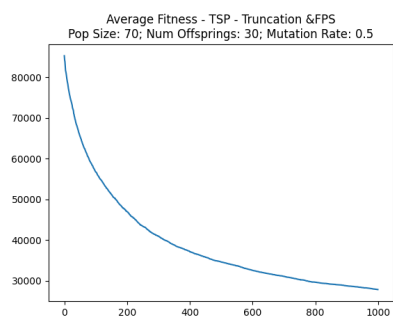
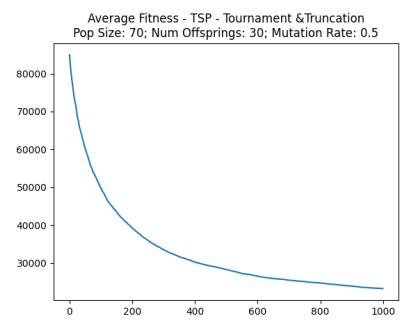
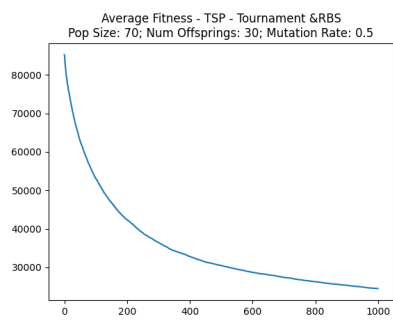
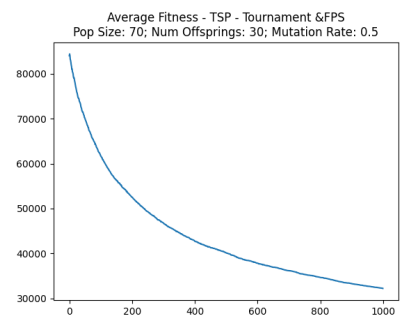
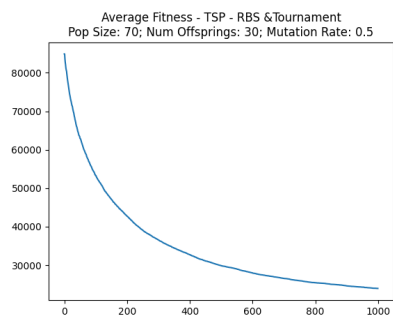
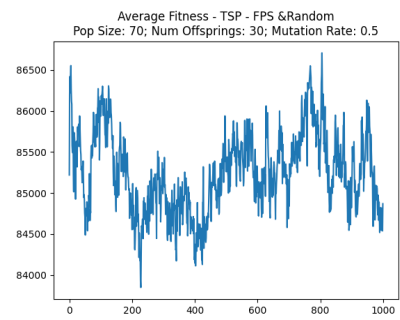
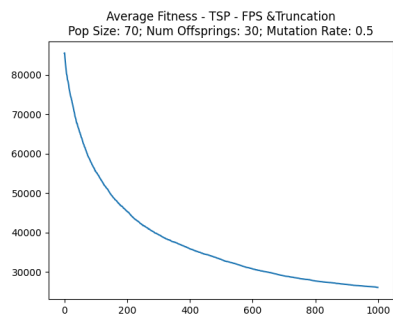


## **3.2 Travelling Salesman Problem**

### **3.2.1 Average So Far**

The best results were given by FPS & Truncation, and RBS & Tournament, with both of the selected combinations having a greater depth in their trend. The worst results were given by FPS & Random, and Random & Random, which had chaotic results with almost no convergence

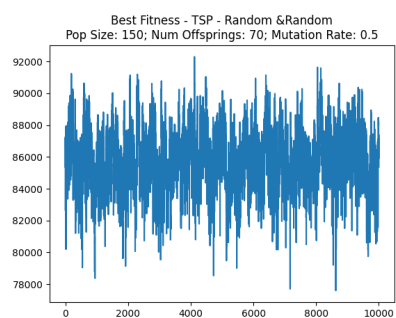
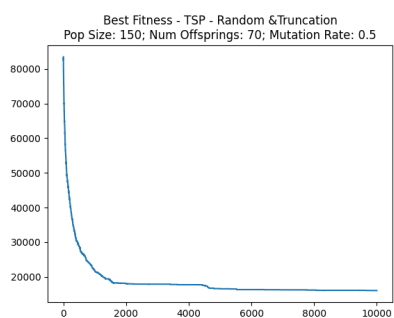
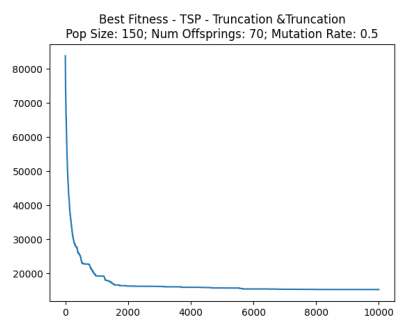
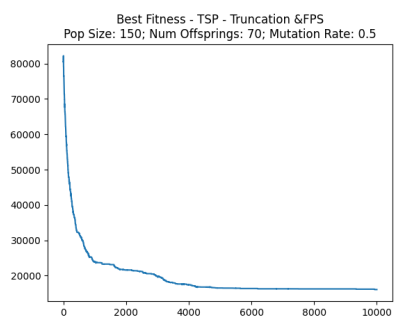
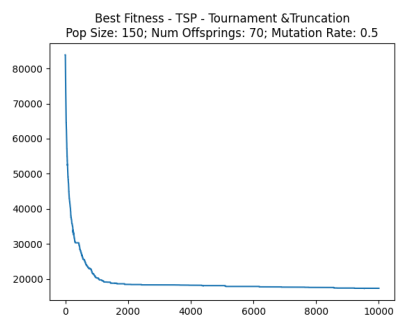
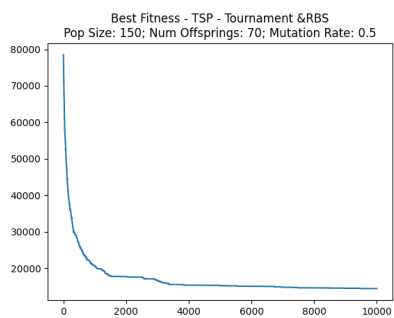
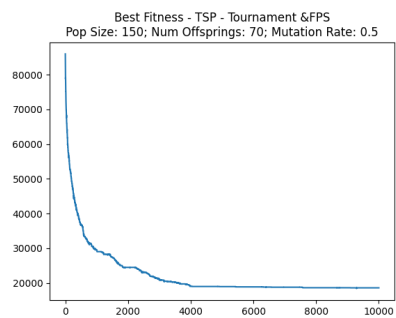
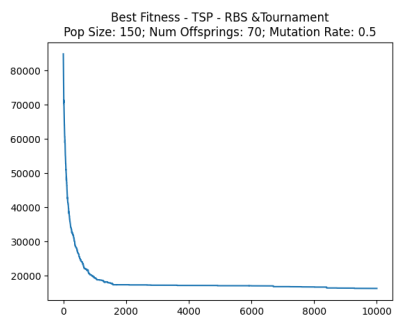
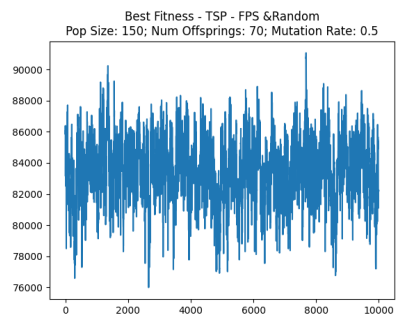
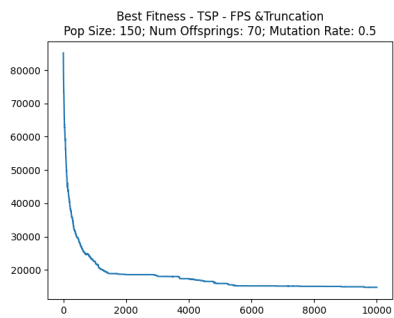
If we look at the plots of ASF on various selection schemes we again see that most of the combinations have achieved impressive fitness results, and are similar to each other as compared to their initial fitness scores.



### **3.2.2 Best So Far**

The best results we got from BSF were from the selection schemes FBS & Truncation, and Tournament and RBS, where both have pretty similar and excellent results. The worst case results were from Random & Random, FBS & Random.

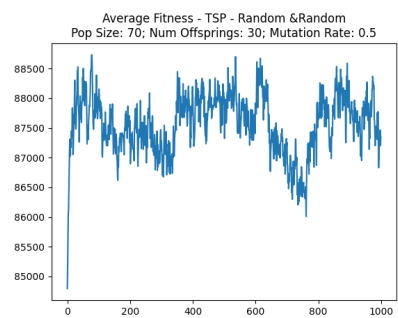
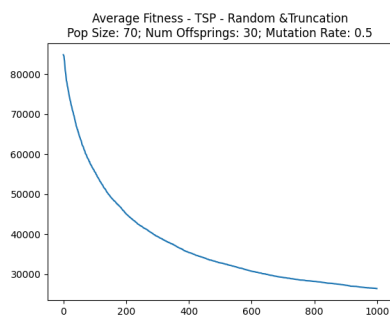
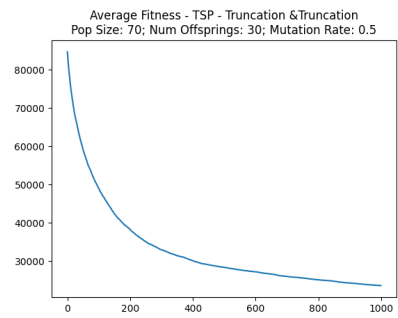
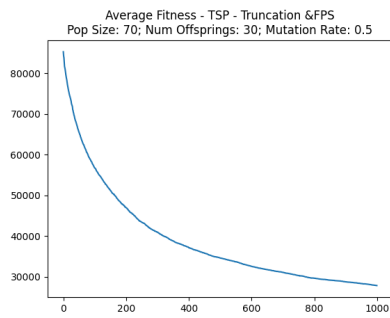
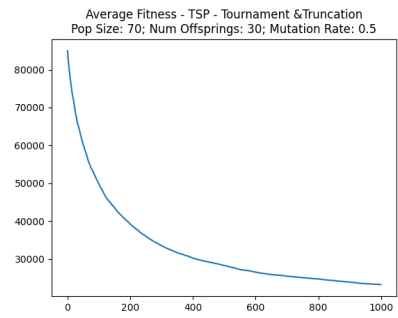
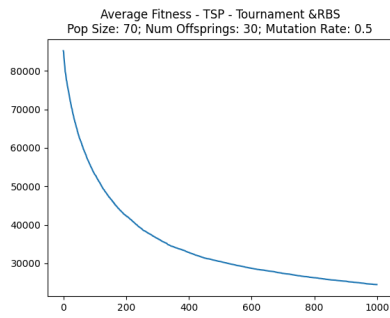
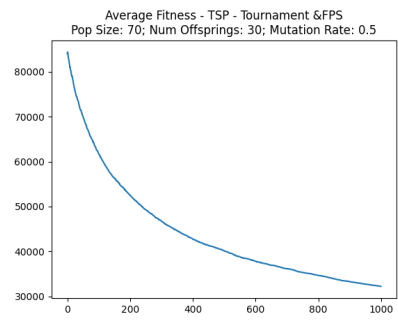
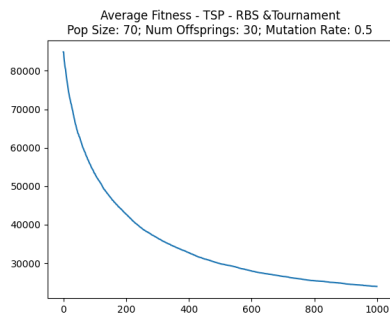
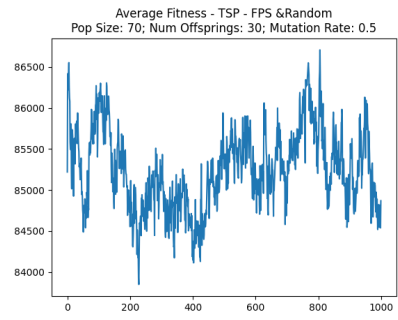
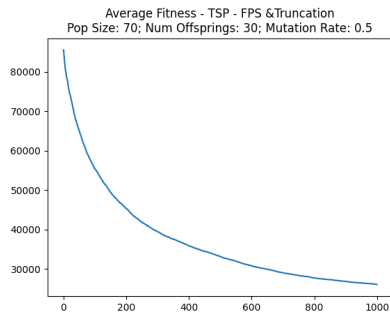
Overall if we look at the trends of the plots of our BSF plots we can see that most of our selection scheme combinations have performed.



## **3.3 Knapsack Problem**

### **3.3.1 Average So Far**

Majority of the results from the selection scheme combinations were quite similar, with very few differences from each other, however, Truncation & Truncation and Tournament & FPS were the best, with their fitness being 9758. On the other hand, the worst selection scheme combination was of Random & Random, moreover FPS & Random did not have good results as compared to our other fitnesses achieved.





### **3.3.2 Best So Far**

The best selection combination that we found was RBS & Tournament, with the fitness value being 9763. The worst selection scheme combination was Random & Random, which had no convergence , with the fitness value fluctuating between 4000-2000 throughout multiple generations

