

# Image Filtering

Ling Shao

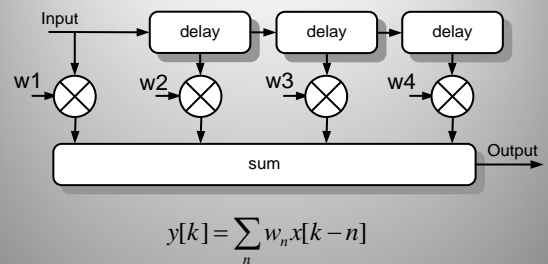
2

## Linear filtering of discrete images

### 3 What is a linear filter?

- A linear filter is a system with an input and an output that:
  - Contains **delays**, **multipliers** and **adders**
  - Connected such that the output results as a weighted sum of delayed copies of the input signal and the output signal

### 4 Example linear filter

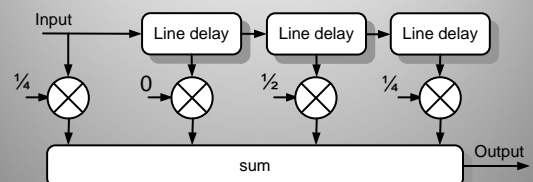


### 5 Simple notation

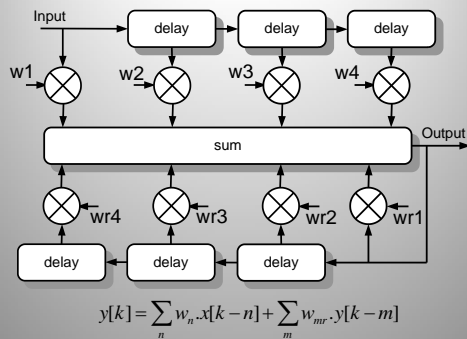
- We shall assume that a  $\begin{pmatrix} 1 & 2 & 1 \end{pmatrix}$  filter outputs a weighted sum of **horizontally neighbouring pixels** in an image
- We shall assume that a  $\begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$  filter outputs a weighted sum of **vertically neighbouring pixels** in an image
  - Vertically shifting the image requires a **line-delay**
    - Orders of magnitude more expensive than a **pixel-delay**
  - Analogously, temporal filtering **requires picture-delays**
    - Again orders of magnitude more expensive

### 6 From horizontal to vertical filtering

- If we increase the delay from 1 to # pixels/line, the pixels combined in the filter output are **vertical neighbours**
- A delay is now implemented with a "line-memory"



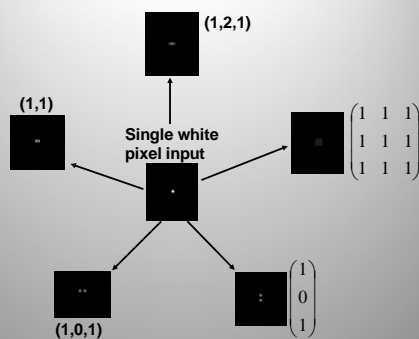
## 7 What if we re-use output samples? Recursive filtering



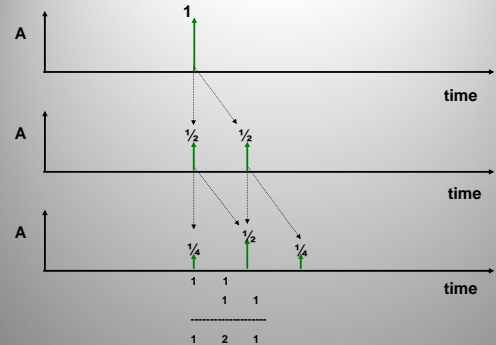
8

## Calculating with impulse responses

## 9 Some impulse responses



## 10 The cascading of two (1, 1) filters



## 11 Simple calculation of impulse response of cascade

- Cascade of (1,1) and (1, 1):
- Cascade of 121 and 11:

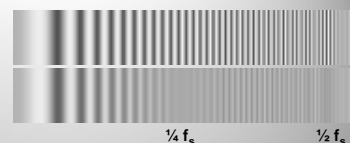
$$\begin{array}{r} 1 \quad 1 \\ 1 \quad 1 \quad 1 \\ \hline 1 \quad 2 \quad 1 \end{array}$$

$$\begin{array}{r} 1 \quad 2 \quad 1 \\ 1 \quad 2 \quad 1 \\ \hline 1 \quad 3 \quad 3 \quad 1 \end{array}$$

## 12 Simple calculation of impulse response of cascade

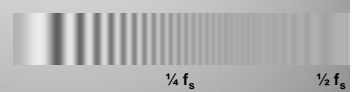
- Cascade of 11 and 101

$$\begin{array}{r} 1 \quad 1 \\ 0 \quad 0 \\ 1 \quad 1 \\ \hline 1 \quad 1 \quad 1 \quad 1 \end{array}$$



- Cascade of 121 and 121 (cascade of 11 and 11 and 11 and 11):

$$\begin{array}{r} 1 \quad 2 \quad 1 \\ 2 \quad 4 \quad 2 \\ 1 \quad 2 \quad 1 \\ \hline 1 \quad 4 \quad 6 \quad 4 \quad 1 \end{array}$$



## 13 Simple calculation of impulse response of cascade

- Cascade of (horizontal) 11 and a (vertical)  $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$

$$\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$$

- Cascade of (horizontal) 121 and a (vertical)  $\begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$

$$\begin{pmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{pmatrix}$$

14

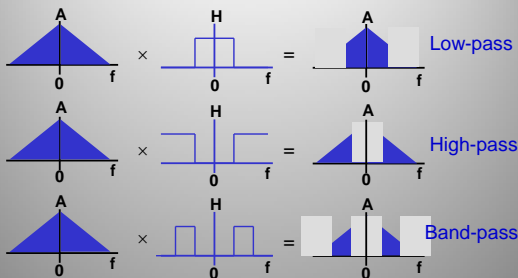
# Qualitative analysis of linear filters

## 15 Qualitative filter behaviour in the frequency domain

Transfer of filter

Spectrum input image

Spectrum of output image



## 16 Filtering images

- Move the coefficient matrix (impulse response) over each pixel in the image, multiply the entries by the pixels, and sum together

- E.g. 3x3 "box"-filter
- Effect is averaging

$$\frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$

- Formal name for this procedure is **convolution** of the image data with the filter "mask" or "kernel"

## 17 Effect of the box filter on a natural image

Original (input)

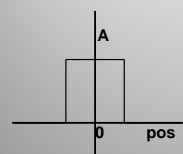
Box-filter output



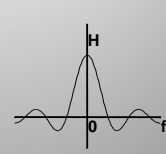
## 18 Box Filter

$$\frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$

- Box filters smooth by averaging neighbouring pixels
- In the frequency domain, the box-filter leaves low frequencies unaltered and attenuates (reduces) high frequencies
- So, the box filter is clearly a low-pass filter



Spatial: Box



Frequency domain: sinc-function

## 19 What about the image boundaries?

$$F_{\text{output}}[x][y] = \sum_{i=-k/2}^{k/2} \sum_{j=-k/2}^{k/2} F_{\text{input}}[x+i][y+j]w[i+k/2][j+k/2]$$

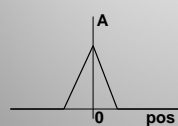
- At (0,0) for instance, you need pixel data for (-1,-1), which doesn't exist
  - Option 1: Make the output image smaller – don't evaluate pixels you don't have all the input for
  - Option 2: Replicate the edge pixels
    - Equivalent to:  $\text{pos} = x + i$ ; if ( $\text{pos} < 0$ )  $\text{pos} = 0$ ; same for y
  - Option 3: Reflect image about edge
    - Equivalent to:  $\text{pos} = x + i$ ; if ( $\text{pos} < 0$ )  $\text{pos} = -\text{pos}$ ; same for y



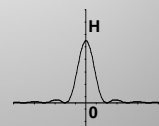
## 20 Bartlett Filter

$$\frac{1}{81} \begin{bmatrix} 1 & 2 & 3 & 2 & 1 \\ 2 & 4 & 6 & 4 & 2 \\ 3 & 6 & 9 & 6 & 3 \\ 2 & 4 & 6 & 4 & 2 \\ 1 & 2 & 3 & 2 & 1 \end{bmatrix}$$

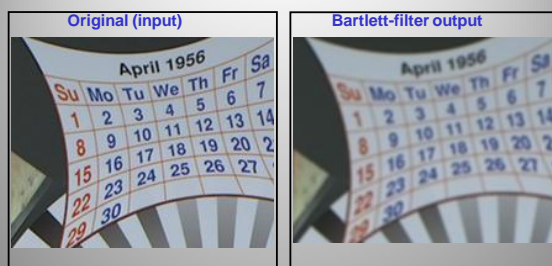
- Triangle shaped filter in spatial domain
- Cascade of two box filters
- So in frequency domain; attenuates high frequencies more than a box-filter



Spatial: Triangle (Box⊗Box)

Frequency:  $\text{sinc}^2$ 

## 21 Effect of the Bartlett filter on a natural image

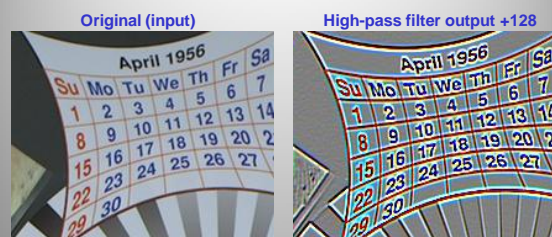


## 22 High-pass filters

- A high-pass filter can be obtained from a low-pass filter
  - If we subtract the smoothed image from the original, we must be subtracting out the low frequencies
  - What remains must contain only the high frequencies
- High-pass masks come from matrix subtraction:
  - eg: 3x3 Box:

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} - \frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} = \frac{1}{9} \begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$$

## 23 Effect of the high-pass filter on a natural image



## 24 Edge Enhancement

- High-pass filters give high values at edges, low values in constant regions
- Adding high frequencies back into the image **enhances edges**
- Possible approach:
  - Image = Image + [Image – smooth(Image)]

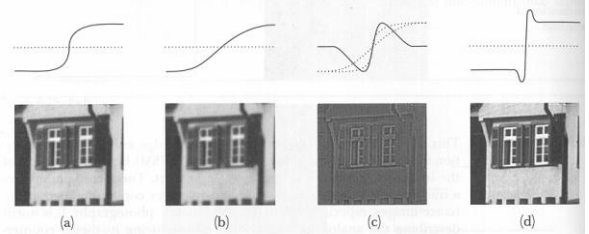
$$\begin{array}{c} \text{Low-pass} \\ \text{High-pass} \end{array}$$

25 Effect of the edge-enhance, or **peaking**, filter

$$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 12 & -1 \\ -1 & -1 & -1 \end{bmatrix} \times 1/4$$

26 Edge enhancement, peaking, or **unsharp masking**

Figure 6.32. (a) Original image. (b) Blurred image. (c) Difference between first two. (d) Enhanced image.

27 **Negative filter coefficients may lead to problems!**

- The negative values in high-pass filters can lead to **negative image values**
  - Most image formats don't support this, and if they do, we cannot have image negative light from the display....
- Also, the boosting effect on high frequencies may lead to **values higher than peak-white**
- Solutions:
  - Clipping**: Chop off values below min or above max
  - Offset**: Add a constant to move the min value to 0
  - Re-scale**: Rescale the image values to fill the range (0,max)

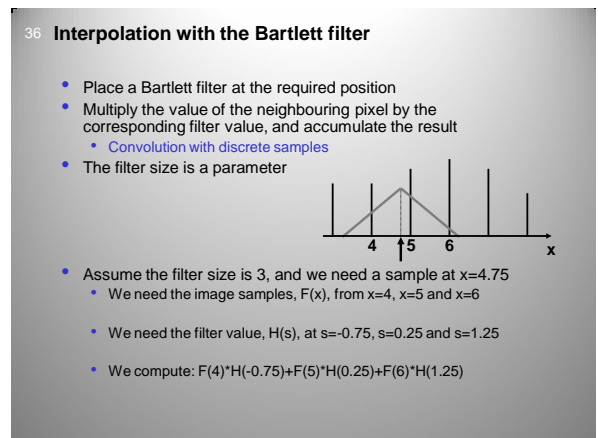
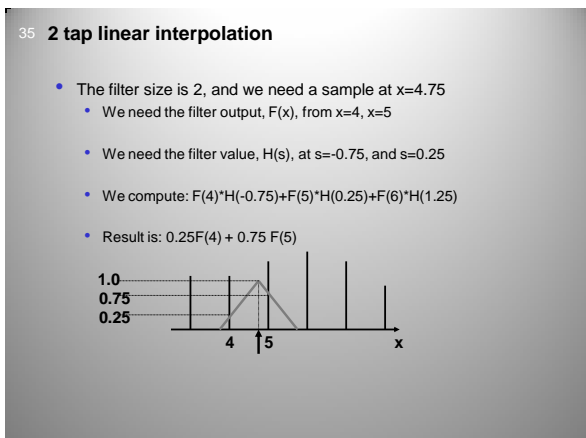
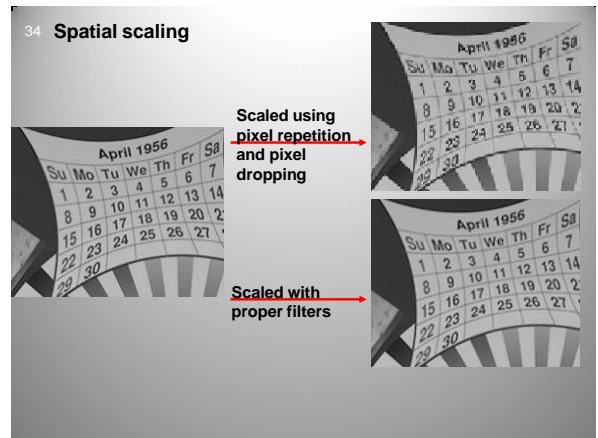
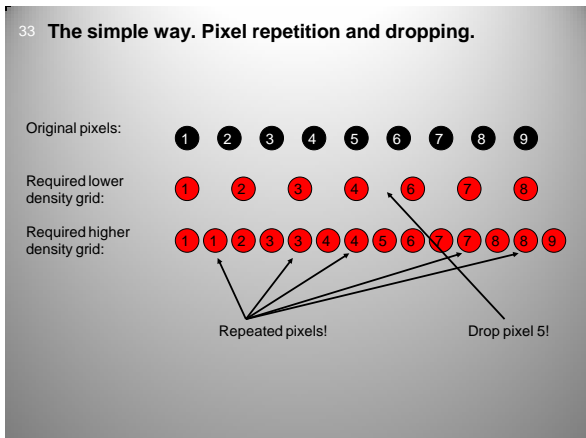
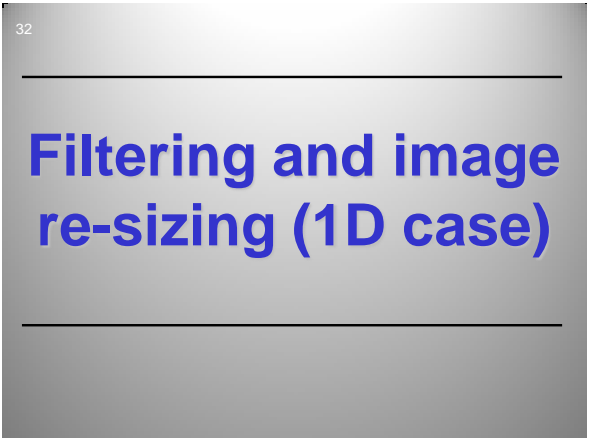
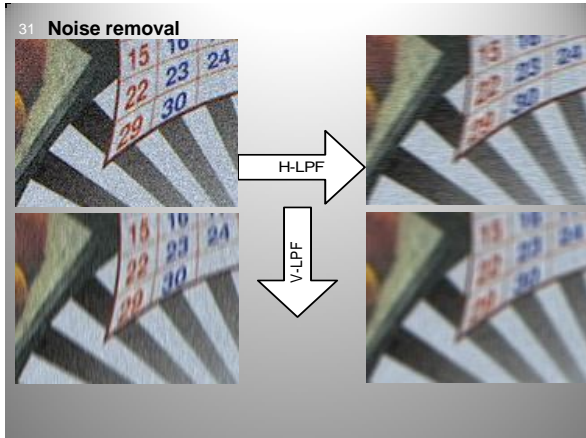
28

# The purpose of filters

29 **Purpose of filters**

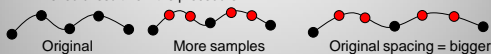
- Removal of spectral components
  - E.g. for alias prevention, or removal of interference signal
- Enhancement of spectral components
  - Edge/feature enhancement
- Removal of noise
  - Balance between noise suppression and suppression of relevant image components
- Interpolation
  - Image up- and down-scaling, geometrical deformations

30 **Alias prevention**

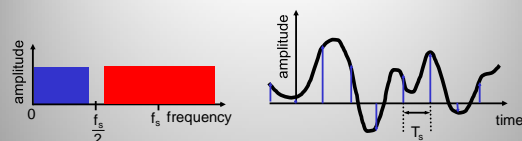


## 37 Re-sampling

- Making an image larger is like sampling the original signal at a higher density
  - You need more pixels to represent the same thing, so a higher pixel density should result from the procedure:
- Reducing an image in size is like sampling at lower density
- Generating new samples of the "same" function is called *re-sampling*
- In theory, 2 steps:
  - Reconstruction of the continuous signal
  - Followed by sampling with the new sampling frequency
- In practice: sample rate conversion in the discrete domain



## 38 The sampling theorem

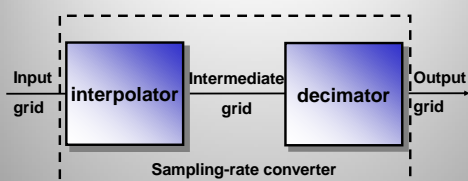


We have a continuous signal

We sample it to obtain a discrete representation

Sampling theorem: we **can reconstruct** the continuous signal from its discrete representation, provided it contained **no frequencies above half the sampling frequency**

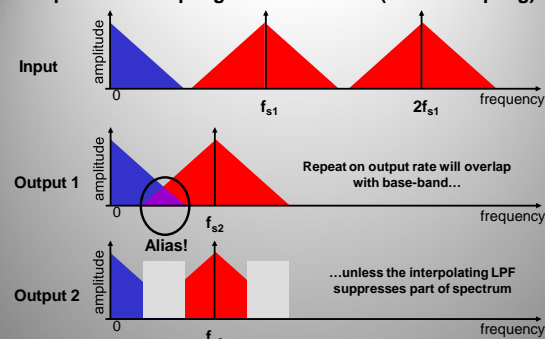
## 39 The general principle of sample rate conversion



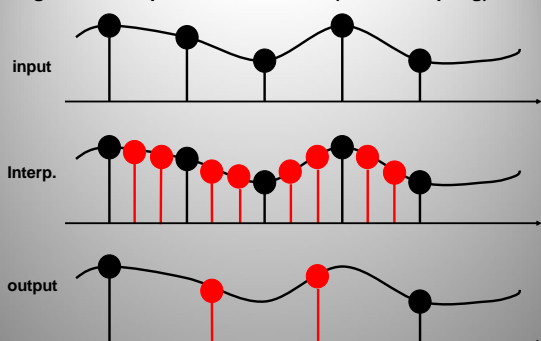
As a consequence of the time-discrete nature of the processing, the input and output sampling frequency have a rational relation.

Output results from an **integer up-sampling** and an **integer sub-sampling**

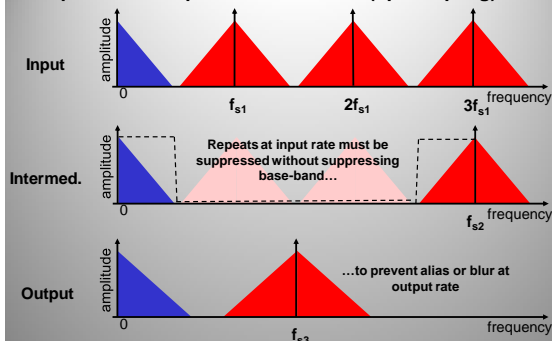
## 40 Spectra in sampling rate conversion (down-sampling)



## 41 Signals in sample rate conversion (down-sampling)

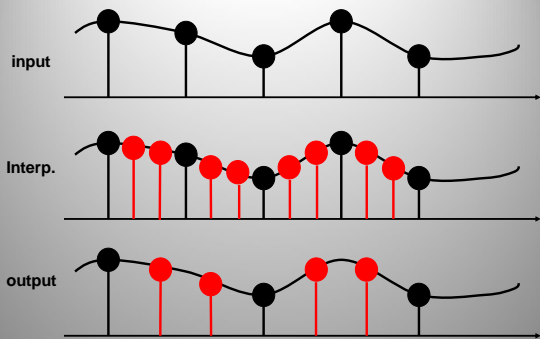


## 42 Spectra in sample rate conversion (up-sampling)

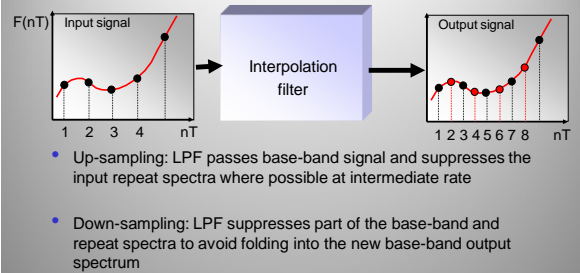




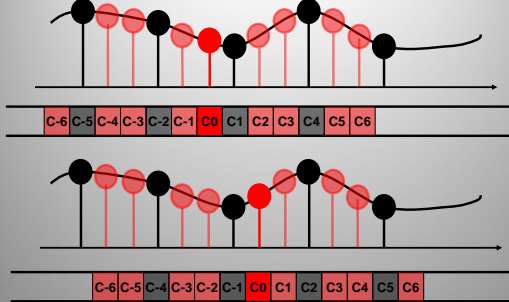
## 43 Signals in sample rate conversion (up-sampling)



## 44 Requirements for the interpolation (scaling) filter

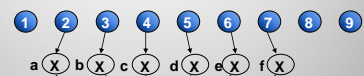


## 45 Poly-phase filtering: the filter is designed at the intermediate frequency, at the output frequency a varying set of coefficients is used



## 46 Poly-phase filtering

Original pixels:



Required pixel on other density grid:

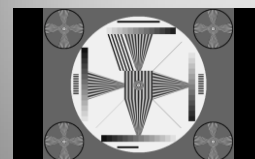
The filter coefficients, a b c d e f..., depend on the position of the required pixel n

47

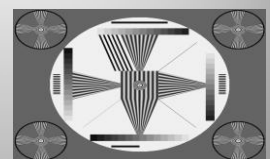
# Application: Aspect ratio conversion

## 48 4:3 image on a wide-screen (16:9) picture tube

Linear scaling options:



Accept side-panels

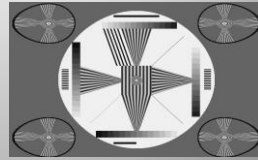
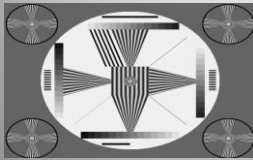


Accept geometrical distortion



## 49 4:3 image on a wide-screen (16:9) picture tube

## Non-linear scaling options:



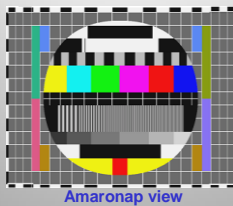
## 50 Wide-screen image on a 4:3 picture tube

## Linear scaling options:

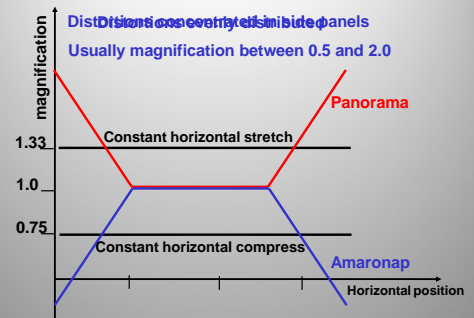


## 51 Wide-screen image on a 4:3 picture tube

## Non-linear scaling options:



## 52 Options in wide-screen conversion



## 53

# Non-linear filters

## 54 Different filter types

- Linear filters
- Rank-order filters
- Hybrid filters
- Morphological filtering
- Adaptive filters

## 55 Linear filters not very effective against shot noise

- Linear filters fine in case small noise values are more frequent than high noise values
  - E.g. Gaussian noise
- Shot noise (salt and pepper noise,...) is characterized by relatively few extreme noise values and (almost) no small noise values
  - E.g. impulses from ignition of combustion engines, or film defects
- To know if a pixel is extreme we have to [rank](#) adjacent pixel values



## 56 Linear and rank-order filters – The difference

This is what a linear filter outputs:

$$y = w_1x_1 + w_2x_2 + \dots + w_nx_n$$

Let the filter support (vector) define the input pixels used:

$$\mathbf{S}(x) = (x_1, x_2, \dots, x_n)^T$$

and the coefficient vector defines the weighting:

$$\mathbf{W} = (w_1, w_2, \dots, w_n)$$

Now we can briefly define the filtered output pixel as:

$$y(x) = \mathbf{W}\mathbf{S}(x)$$

## 57 Linear and rank-order filters – The difference

So, the linear filter is defined as:

$$y(x) = \mathbf{W}\mathbf{S}(x)$$

If we now define the [ordered](#) (ranked) support:

$$\mathbf{S}_r(x) = (x_{(1)}, x_{(2)}, \dots, x_{(n)})^T$$

with:

$$x_{(1)} \leq x_{(2)} \leq \dots \leq x_{(n)}$$

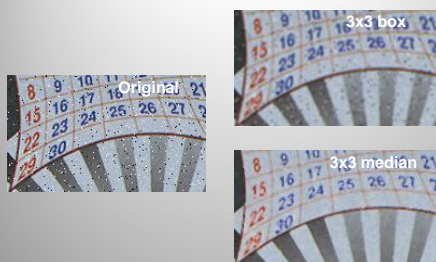
Then the [rank-order filter](#) is defined by:

$$y(x) = \mathbf{W}_r\mathbf{S}_r(x)$$

## 58 Linear and rank-order filters – The difference

- The pixel-weights in a linear filter are determined by the [spatio-temporal position](#) of the pixel relative to the output position
- The pixel-weights in a rank-order filter are determined by the [rank number](#) of the pixel after ordering all values in the support. Examples:
  - minimum,
  - maximum,
  - midpoint =  $(\max + \min)/2$
  - median,
  - $\alpha$ -trimmed mean

## 59 Effectiveness against shot noise



[Median filter](#), especially effective for shot noise:

$$\mathbf{W}_{med} = (0, \dots, 1, \dots, 0)$$

60 Less extreme distributions: The  $\alpha$ -trimmed-mean filter

The general [rank-order filter](#) is defined by:

$$y(x) = \mathbf{W}_r\mathbf{S}_r(x)$$

The [median filter](#), especially effective for shot noise:

$$\mathbf{W}_{med} = (0, \dots, 1, \dots, 0)$$

The  $\alpha$ -trimmed-mean filter, long-tail distributed noise, but less extreme:

$$\mathbf{W}_\alpha = (0, \dots, 0, \underbrace{1, 1, 1, 1, 1}_\alpha, 0, \dots, 0)$$

$\alpha$ -central pixels  
are averaged,  
extremes ignored

## 61 Shot noise + Gaussian noise reduction



## 62 Combination of linear and rank-order: The hybrid filter

If we concatenate the linear and ranked supports:

$$S_h(x) = (x_1, x_2, \dots, x_n, x_{(1)}, x_{(2)}, \dots, x_{(n)})^T$$

and also the coefficient vectors defines the weighting:

$$W_h = (w_1, w_2, \dots, w_n, w_{r1}, w_{r2}, \dots, w_{rn})$$

Then the **hybrid filter** is defined by:

$$y(x) = W_h S_h(x)$$

•LMS-optimization is possible to find coefficients

## 63 Combination of linear and rank-order: The bilateral filter

In the linear filter, weights depend on position relative to centre:

$$w_k = f_1(c - k)$$

In the rank-order filter, they depend on the "similarity" with current pixel:

$$w_k = f_2(|x_k - x_c|)$$

In the **bilateral filter** the weight is defined by:

$$w_k = N f_1(c - k) f_2(|x_k - x_c|)$$

Where  $N$  is selected such that the sum of the coefficients is 1

Functions  $f_1$  and  $f_2$  may be e.g. Gaussian or triangular functions

## 64 The max and min filter (morphological filtering)

Original motion  
detection signal

5x5 max filter  
(dilation)

5x5 max- cascaded  
with 5x5 min-filter  
(closing)



## 65 The max and min filter (morphological filtering)

Original motion  
detection signal

5x5 min filter  
(erosion)

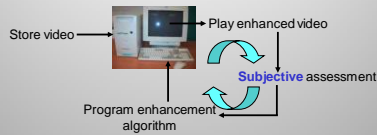
5x5 min- cascaded  
with 5x5 max-filter  
(opening)



## 66

# Filter optimization

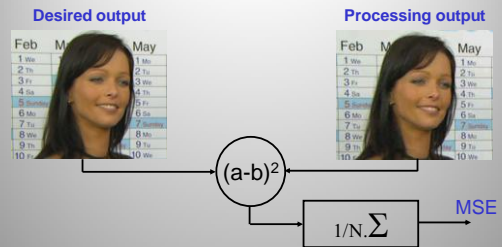
## 67 Optimization is our problem



Subjective assessment is time consuming

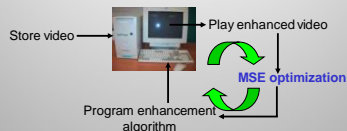
Huge design space requires automatic optimization...

## 68 It seems straightforward...



Minimize a sum of squared pixel differences by varying all parameters...

## 69 Bottleneck eliminated?



## 70 MSE-optimal (non-recursive) linear filtering

- The linear filter is defined by:
 
$$y = w_1x_1 + w_2x_2 + w_3x_3 + \dots + w_nx_n$$
- Compare the output with original image to know error:
 
$$e = y_o - y$$
- For a minimal MSE, the first derivative should be zero:
 
$$\frac{\partial e^2}{\partial w_i} = 2 \left( \frac{\partial e}{\partial w_i} \right) e = 2x_i e = 0$$
- If we now define:
 
$$X_{ji} = x_i x_j \quad Y_i = x_i y_o$$

We then get:

$$\begin{bmatrix} X_{11} & X_{12} & \dots & X_{1n} \\ X_{21} & X_{22} & \dots & X_{2n} \\ \dots & \dots & \dots & \dots \\ X_{n1} & X_{n2} & \dots & X_{nn} \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ \dots \\ w_n \end{bmatrix} = \begin{bmatrix} Y_1 \\ Y_2 \\ \dots \\ Y_n \end{bmatrix}$$

## 71 MSE-optimal (non-recursive) linear filtering

- We have to solve the following equation:

$$\begin{bmatrix} X_{11} & X_{12} & \dots & X_{1n} \\ X_{21} & X_{22} & \dots & X_{2n} \\ \dots & \dots & \dots & \dots \\ X_{n1} & X_{n2} & \dots & X_{nn} \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ \dots \\ w_n \end{bmatrix} = \begin{bmatrix} Y_1 \\ Y_2 \\ \dots \\ Y_n \end{bmatrix}$$

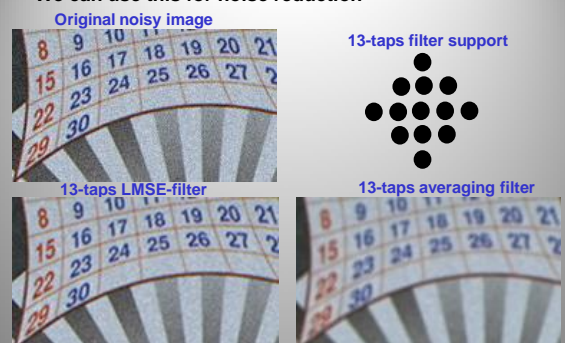
- We thereto write:

$$\mathbf{X} \cdot \mathbf{W} = \mathbf{Y}$$

- And conclude upon the following optimal weights:

$$\mathbf{W} = \mathbf{X}^{-1} \mathbf{X} \cdot \mathbf{W} = \mathbf{X}^{-1} \mathbf{Y}$$

## 72 We can use this for noise reduction



## 73 We may also use it for de-blurring noisy images

Original blurred noisy image



- Conclusion: LMSE-filter enhances noise less
- Therefore, also reduces the blur less...
- MSE-best compromise

3x3LMSE-filter



3x3 peaking-filter



## 74 How does this compare with “inverse filtering”?

- We shall see later that it is also possible to **calculate** the **inverse filter** for perfect de-blurring, which turns out to be a recursive filter
- The inverse filter has an infinitely high gain if the blurring filter has a zero response for a given frequency
  - Consequence is that noise will be amplified unlimited...

Original blurred noisy image



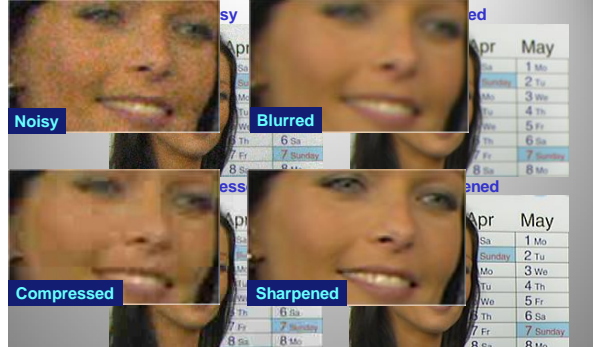
De-blurring with inverse filter



75

## Problem with LMSE-filters

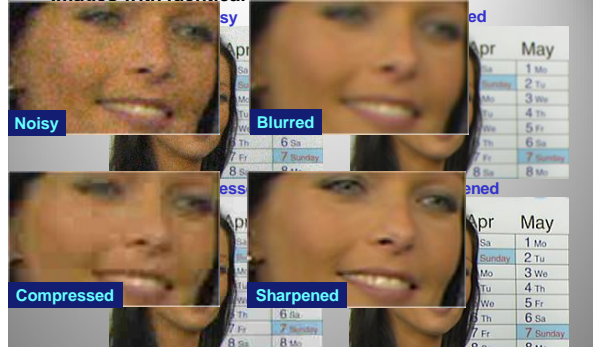
## 76 Images with identical MSE



77

## Trained Filters (optimizing adaptive filters)

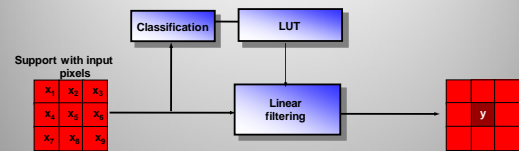
## 78 Images with identical MSE



## 79 What's wrong with MSE?

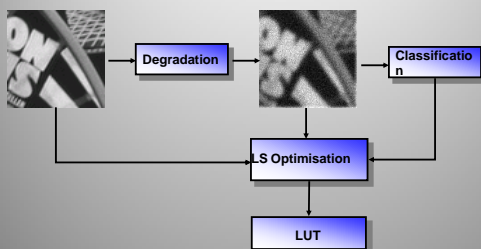
- The mistake is in the averaging!
  - Some picture parts get a lot better with a method that is poor on the average!
- characterize image parts that can be treated identically...
- ...and **individually optimize** (MSE) parts with the same character!

## 80 Classification-based trained filters – filtering process



$$y = w_{1c}x_1 + w_{2c}x_{2c} + \dots + w_{nc}x_n$$

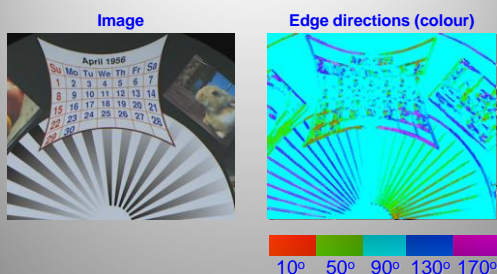
## 81 Classification-based trained filters – training process



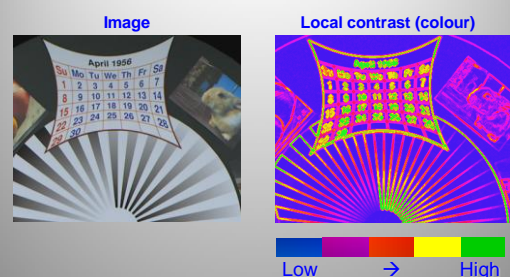
## 82

# Classification examples

## 83 Local edge direction classification

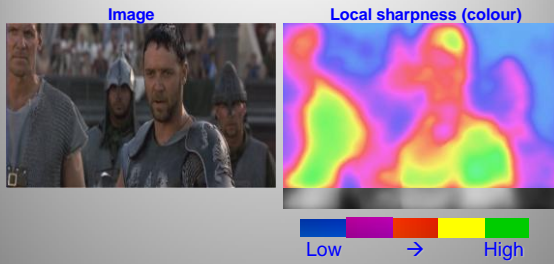


## 84 Local contrast classification





## 85 Local sharpness classification



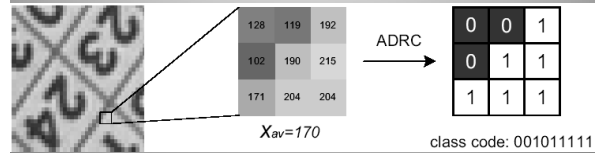
## 86 Coding the local structure to classify support data

Classification code is concatenation of pixels reduced to single bit:

$$\text{ADRC}(x) = \begin{cases} 1 & , (x \geq x_{av}) \\ 0 & , (x < x_{av}) \end{cases}$$

with

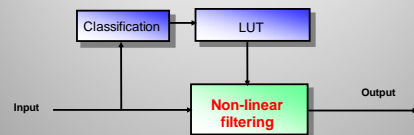
$$x_{av} = \frac{1}{n} \sum_{i=1}^n x_i$$



87

Not only **linear**  
filtering

## 88 Architecture of the hybrid filter

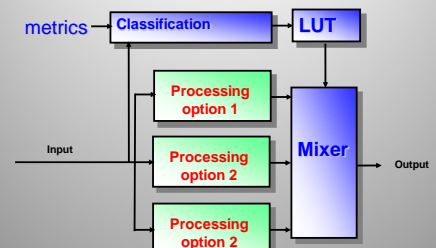


Concept can be extended to trained rank-order and bilateral filters

89

Not only  
**filtering**

## 90 Trained Mixing of processing options





91

# Application examples

92 Sharpening and de-noising

Noise filter without classification

Trained Filter output



93

**PixelPlus**

Up-scaling combined with sharpening

Standard up-scaling

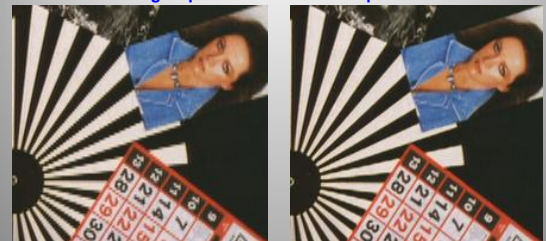
Trained Filter output



94 Interlace artifact removal

Line-average input

Output Trained Filter



95 Enhancement of digital video

Input

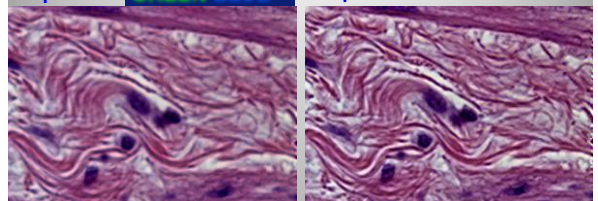
Trained Filter output



96 Microscopy resolution enhancement

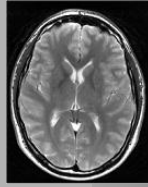
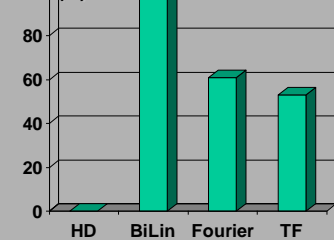
Input: RED GREEN BLUE

Output: RED GREEN BLUE

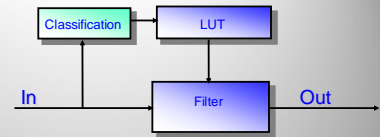


## 97 Up-scaling of MRI-images

MSE (%)



## 98 Conclusions



- Similar architecture for many functions
- Design methodology replaces heuristics

## 99 The value of trained filtering

- Automatic optimization
  - Design methodology replaces heuristics for tuning
  - **No thinking → faster**
- Researcher can focus on creatively finding the relevant classes

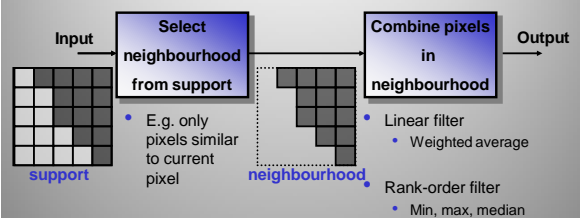
100

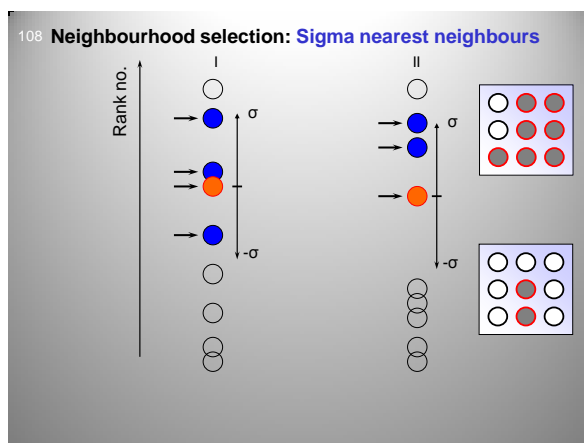
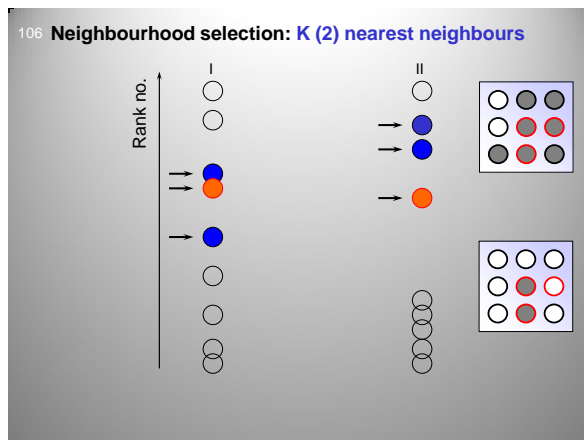
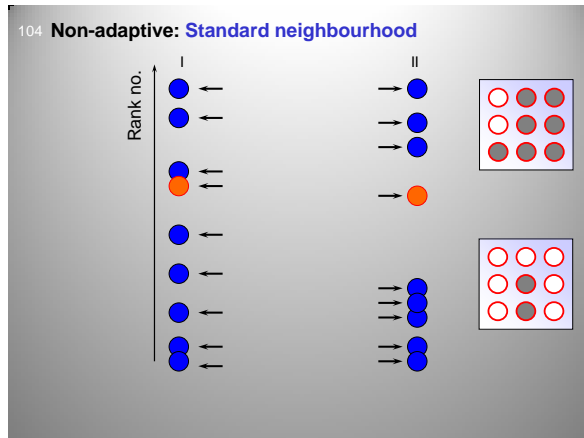
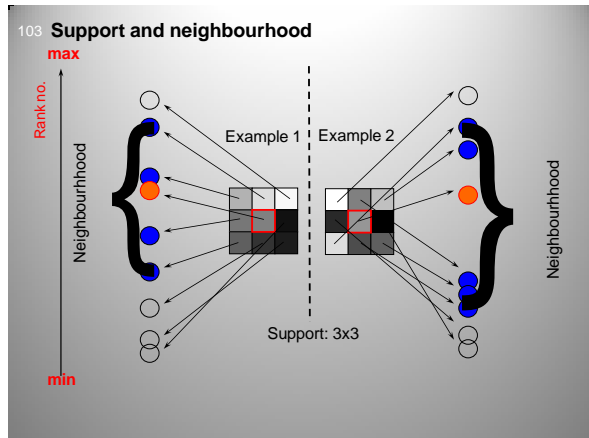
# Neighbourhood Selection

## 101 Neighbourhood selection

- So far, we assumed that ALL pixels in the support are combined under ALL circumstances
- We may also propose to adapt the set of pixels that are "combined" to expectations about local correlation, using a so-called **neighbourhood selection technique**
- A neighbourhood shall be defined as a sub-set of the support
  - Various options exist to exclude pixels from the support
    - K-nearest, sigma nearest, symmetrical nearest,...
- Pixels in the neighbourhood are then combined
  - Weighted averaging, rank-order, etc.

## 102 Adaptive filtering: Neighbourhood Selection

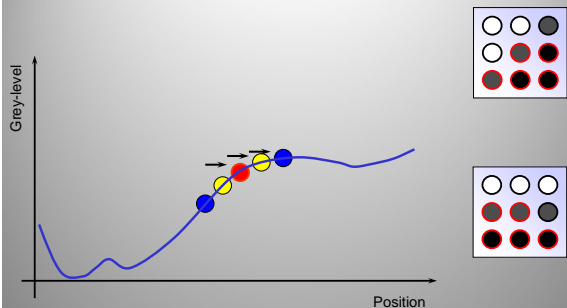




109 Effect of the sigma nearest selection (5x5)



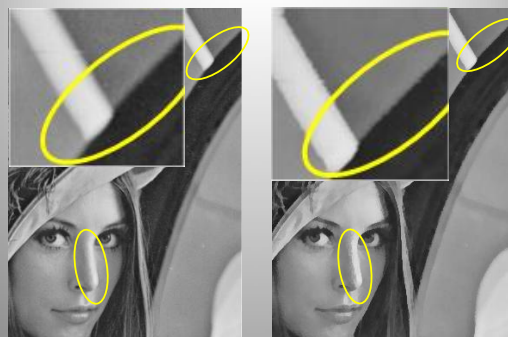
110 Neighbourhood selection: Symmetric nearest neighbours



111 The effect of symmetric nearest neighbour filtering (5x5)



112 The effect of symmetric nearest neighbour filtering (5x5)



113 Adaptive filtering: Neighbourhood Selection

