

The Divide-and-Conquer Strategy

個個擊破法

Outlines

- **The 2-Dimensional Maxima Finding Problem**
- **The Closest Pair Problem**
- **The Convex Hull Problem**
- **The Voronoi Diagrams Constructed by the Divide-and-Conquer Strategy**
- **Applications of the Voronoi Diagrams**
- **Matrices Multiplication**

Introduction

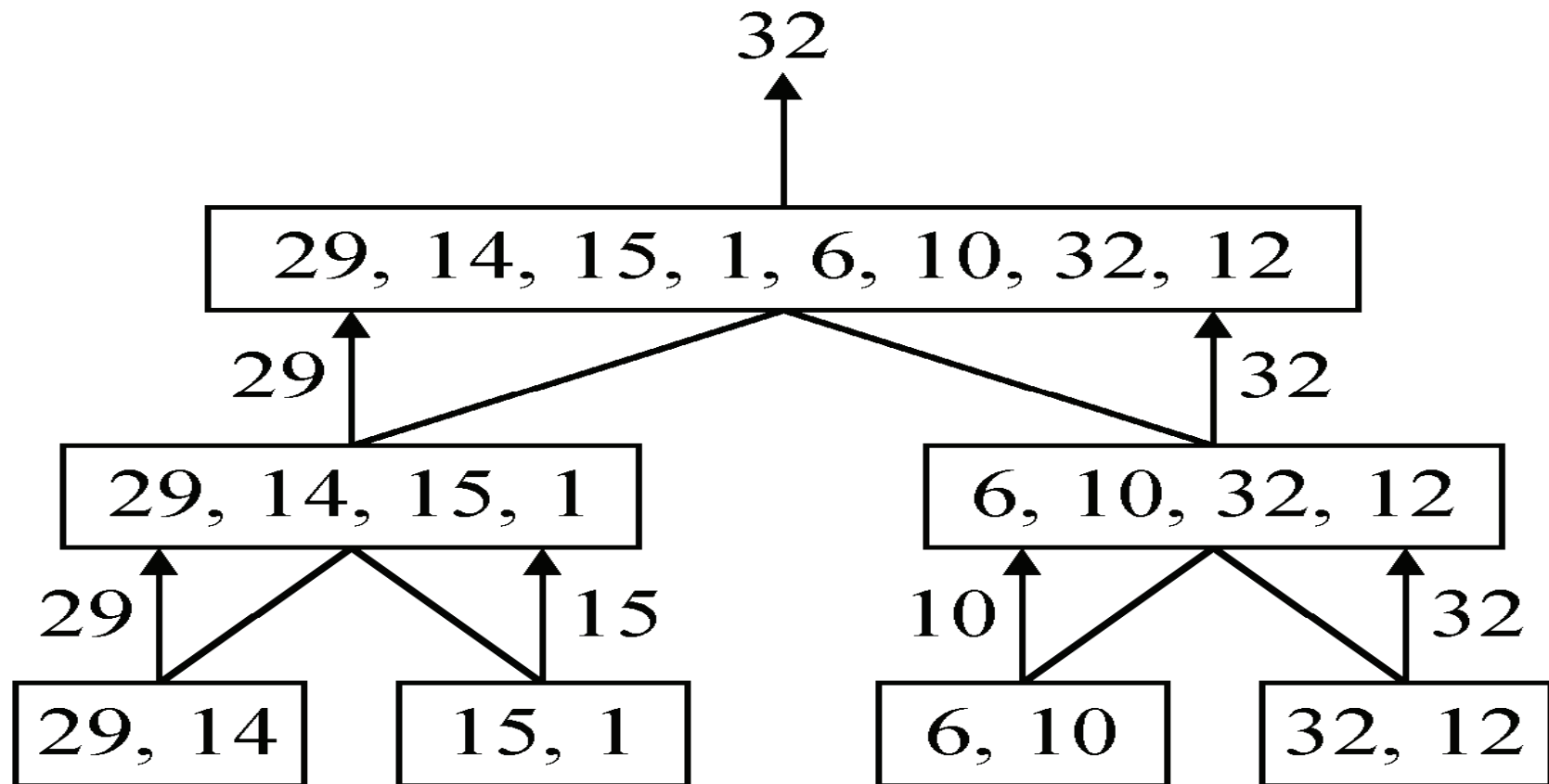
- Divide-and-conquer strategy
 - first **divides** a problem into two smaller sub-problems and each sub-problem is identical to its original problem, except its **input size is smaller**.
 - Both sub-problems are then solved and the sub-solutions are finally **merged** into the final solution.
- These two sub-problems themselves can be solved by the divide-and-conquer strategy again.
- Or, to put it in another way, these two sub-problems are *solved recursively*.

A simple example

- **Finding the maximum of a set S of n numbers.**
- Dividing the input into two sets, each set consisting of $n/2$ numbers.
- Let us call these two sets S_1 and S_2 .
- Find the maximums of S_1 and S_2 respectively.
- Let the maximum of S_i be denoted as X_i , $i = 1, 2$.
- Then the maximum of S can be found by comparing X_1 and X_2 . Whichever is the larger is the maximum of S .

A simple example

- **Problem:** Finding the **maximum** of a set S of n .



Time Complexity

- In general, the complexity $T(n)$ of a divide-and-conquer algorithm is determined by the following formulas:

$$T(n) = \begin{cases} 2T(n/2) + S(n) + M(n) & , n \geq c \\ b & , n < c \end{cases}$$

- where
 - $S(n)$ denotes the time steps needed to **split** the problem into two sub-problems,
 - $M(n)$ denotes the time steps needed to **merge** two sub-solutions and
 - b is a constant.

$$S(n)=M(n)=1, c=2, b=1$$

- Time complexity:

$$T(n) = \begin{cases} 2T(n/2)+1 & , n > 2 \\ 1 & , n \leq 2 \end{cases}$$

- Calculation of $T(n)$:

Assume $n = 2^k$,

$$\begin{aligned} T(n) &= 2T(n/2)+1 \\ &= 2(2T(n/4)+1)+1 \\ &= 4T(n/4)+2+1 \end{aligned}$$

⋮

$$\begin{aligned} &= 2^{k-1}T(2)+2^{k-2}+\dots+4+2+1 \\ &= 2^{k-1}+2^{k-2}+\dots+4+2+1 \\ &= 2^k-1 = n-1 \end{aligned}$$

A general divide-and-conquer algorithm

Step1: If the problem size is **small**, solve this problem directly; otherwise, **split** the original problem into **2** sub-problems with equal sizes.

Step2: **Recursively** solve these 2 sub-problems by applying this algorithm.

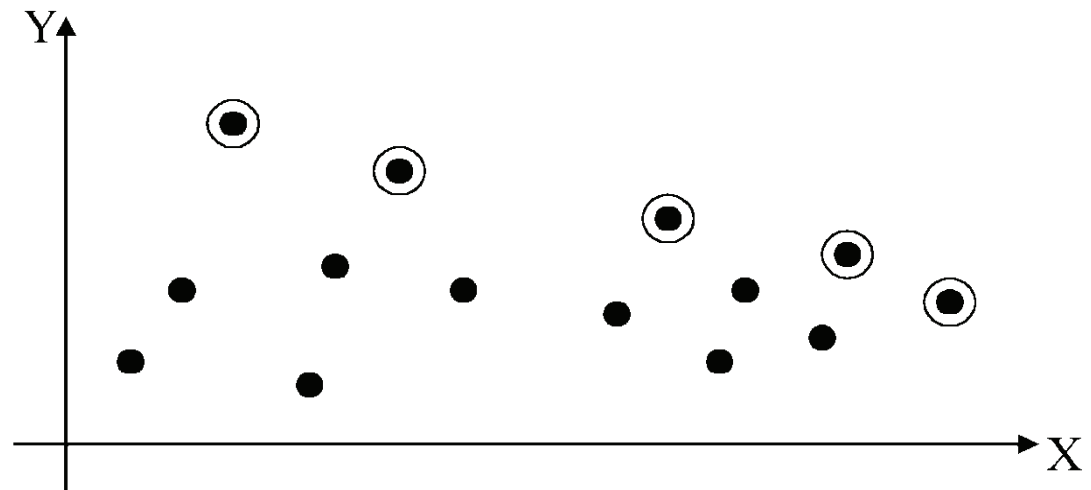
Step3: **Merge** the solutions of the 2 sub-problems into a solution of the original problem.

2-D maxima finding problem

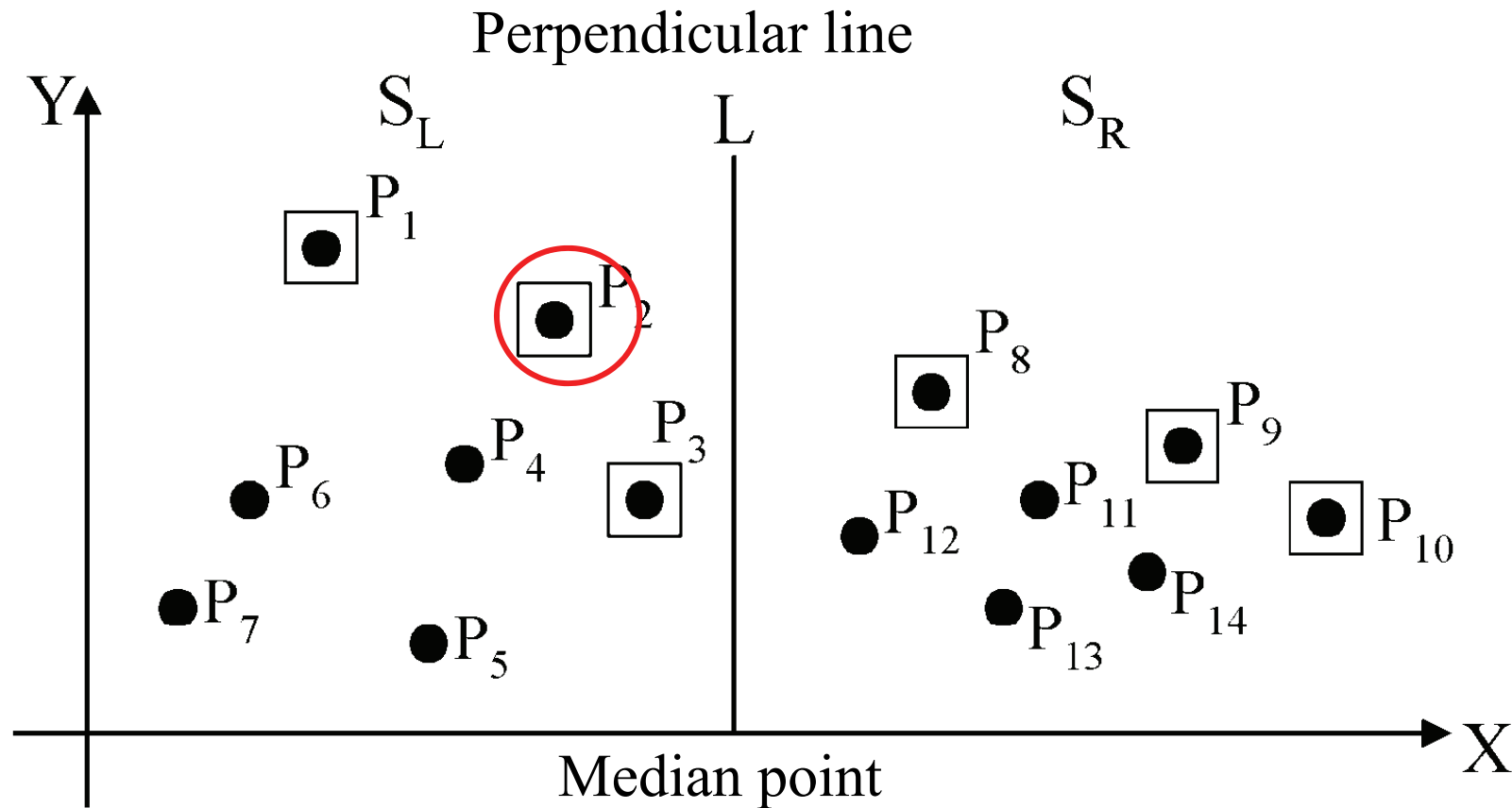
2-D maxima finding problem

- **Def** : A point (x_1, y_1) dominates (x_2, y_2) if $x_1 > x_2$ and $y_1 > y_2$. A point is called a maxima if no other point dominates it
- **Maxima finding problem**: find the maximal points among these n points.
- Straightforward method : Compare every pair of points.

Time complexity:
 $O(n^2)$



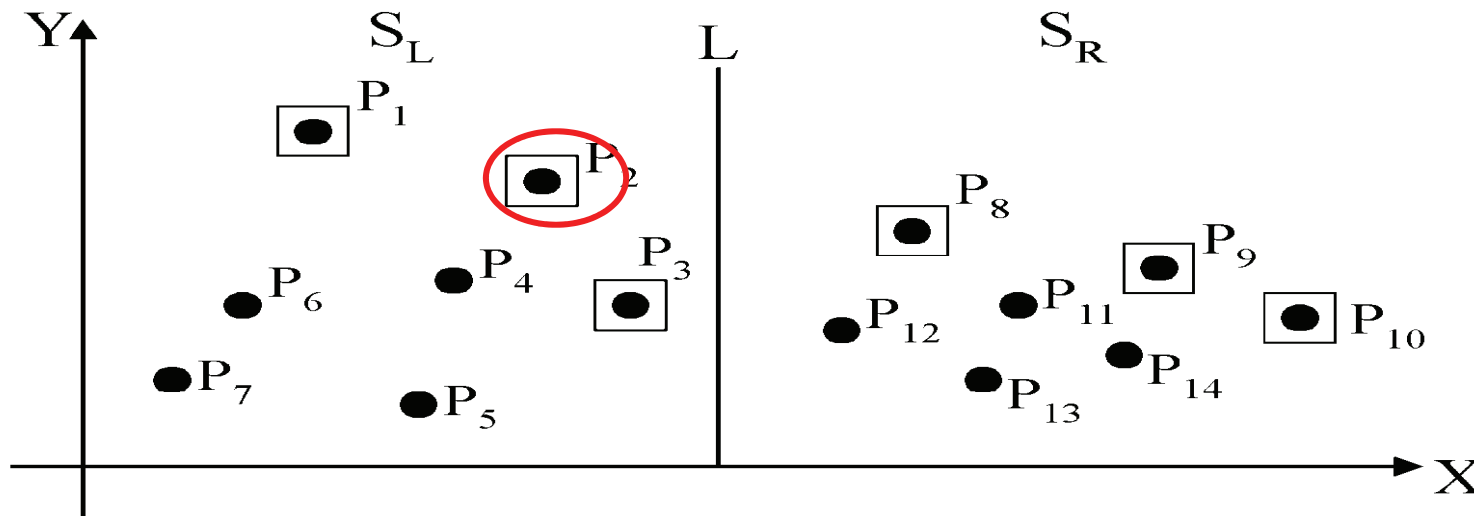
Divide-and-conquer for maxima finding



The maximal points of S_L and S_R

Merge Step

- The merging process is rather simple.
- Since the **x-value** of a point in S_R is always larger than the x-value of every point in S_L .
- *A point in S_L is a maxima if and only if its y-value is not less than the y-value of a maxima of S_R .*



The algorithm:

- Input: A set of n planar points.
- Output: The maximal points of S .

Step 1: If S contains only one point, return it as the maxima. Otherwise, find a **line** L perpendicular to the X-axis which separates the set of points into two subsets S_L and S_R , each of which consisting of $n/2$ points.

Step 2: **Recursively** find the maximal points of S_L and S_R .

Step 3: Find the **largest y**-value of S_R . Project the maximal points of S_L onto L . Discard each of the maximal points of S_L if its y-value is less than the largest y-value of S_R .

- Time complexity: $T(n)$

Step 1: $O(n)$ median finding for **line L**

Step 2: $2T(n/2)$

Step 3: $O(n \log n)$:sorting n points according to their y -value.

$$T(n) = \begin{cases} 2T(n/2) + O(n) + O(n \log n) & , n > 1 \\ 1 & , n = 1 \end{cases}$$

Assume $n = 2^k$

$$T(n) = O(n \log n) + O(n \log^2 n) = O(n \log^2 n)$$

Improvement

- We note that our divide-and-conquer strategy is dominated by sorting in the merging steps.
- Somehow we are not doing a very efficient job because **sorting should be done once and for all**.
- That is, we should **conduct a presorting $O(n \log n)$** .
- If this is done, the merging complexity is $O(n)$ and the total number of time steps needed is **$O(n \log n) + T(n)$**

where

$$T(n) = \begin{cases} 2T(n/2) + O(n) + O(n) & , n > 1 \\ 1 & , n = 1 \end{cases}$$

- and $T(n)$ can be easily found to be $O(n \log n)$. Thus the total time-complexity of using the divide-and-conquer strategy to find maximal points with presorting is **$O(n \log n)$** .

Recurrence

Recurrence

Recurrence: an equation that describes a function in terms of its value on smaller functions

$$T(n) = \begin{cases} c & n = 1 \\ 2T(n/2) + cn & n > 1 \end{cases}$$

$$T(n) = \begin{cases} 0 & n = 0 \\ c + T(n-1) & n > 0 \end{cases}$$

$$T(n) = \begin{cases} 0 & n = 0 \\ n + T(n-1) & n > 0 \end{cases}$$

$$T(n) = \begin{cases} c & n = 1 \\ 2T(n/2) + c & n > 1 \end{cases}$$

$$T(n) = \begin{cases} c & n = 1 \\ aT(n/b) + cn & n > 1 \end{cases}$$

Solve Recurrence

- **Iteration Method**
- **Recursion-Tree Method**
- **Master Theorem**

Iteration Method

- Expand the recurrence (substitute some terms)
- Work some algebra to express as a summation
- Evaluate the summation

Iteration Method

$$T(n) = \begin{cases} 0 & n = 0 \\ c + T(n-1) & n > 0 \end{cases}$$

- $T(n) = c + T(n-1)$
 $= c + c + T(n-2) = 2c + T(n-2)$
 $= 2c + c + T(n-3) = 3c + T(n-3)$
 $= \dots$
 $= kc + T(n-k)$ Set $k = n$
 $= nc + T(0) = nc$

$T(n) = \Theta(n)$

Iteration Method

$$T(n) = \begin{cases} 0 & n = 0 \\ n + T(n-1) & n > 0 \end{cases}$$

- $$\begin{aligned} T(n) &= n + T(n-1) \\ &= n + n-1 + T(n-2) \\ &= n + n-1 + n-2 + T(n-3) \\ &= \dots \\ &= n + n-1 + n-2 + \dots + n-(k-1) + T(n-k) \\ &= n + n-1 + n-2 + \dots + 1 + T(0) \\ &= \frac{n(n+1)}{2} \end{aligned}$$

$T(n) = \Theta(n^2)$

Recursion-Tree Method

Recursion-Tree Method

- Expand the recurrence
- Construct a recursion-tree
- Sum the costs

Merge Sort

$$T(n) = \begin{cases} \Theta(1) & n = 1 \\ 2T(n/2) + \Theta(n) & n > 1 \end{cases}$$

Rewrite:

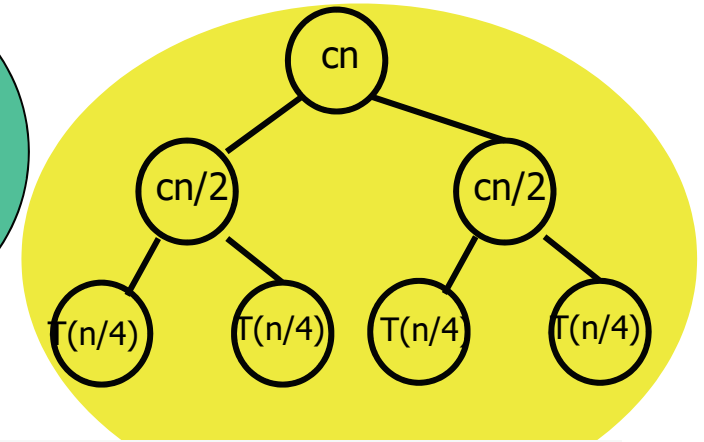
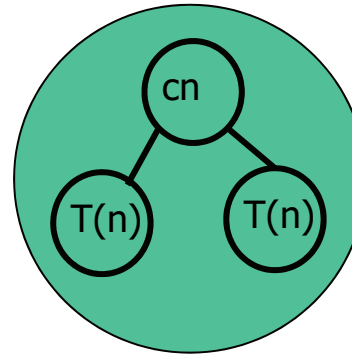
$$T(n) = \begin{cases} c & n = 1 \\ 2T(n/2) + cn & n > 1 \end{cases}$$



Becomes A Recursion Tree

Merge Sort (Recursion Tree)

$T(n)$



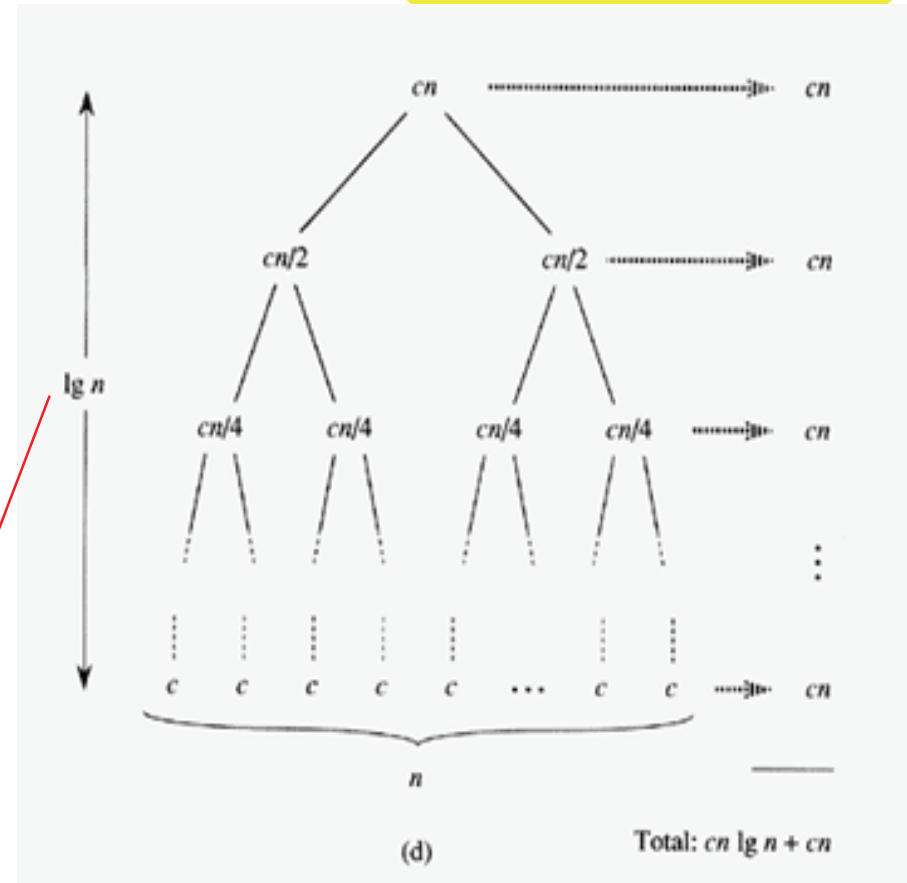
$$T(n) = \begin{cases} c & n = 1 \\ 2T(n/2) + cn & n > 1 \end{cases}$$

$$T(n) = cn + 2T(n/2)$$

$$= cn + 2\left(\frac{cn}{2}\right) + 4T(n/4)$$

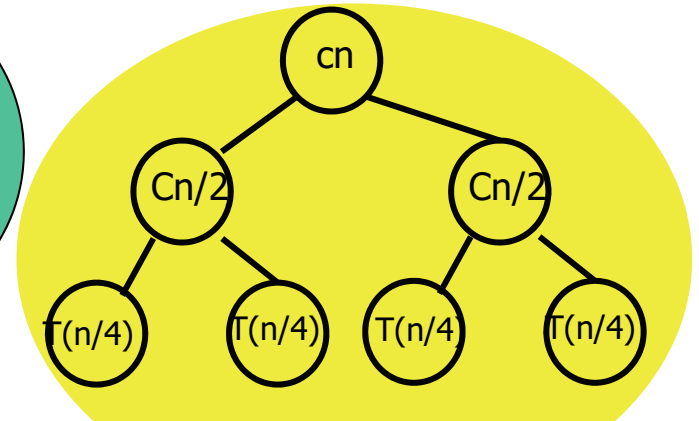
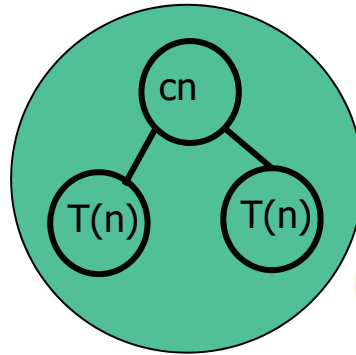
$$= cn + 2\left(\frac{cn}{2}\right) + 4\left(\frac{cn}{4}\right) + \dots?$$

when $\frac{n}{2^i} = 1, i = \lg n$



Merge Sort (Recursion Tree)

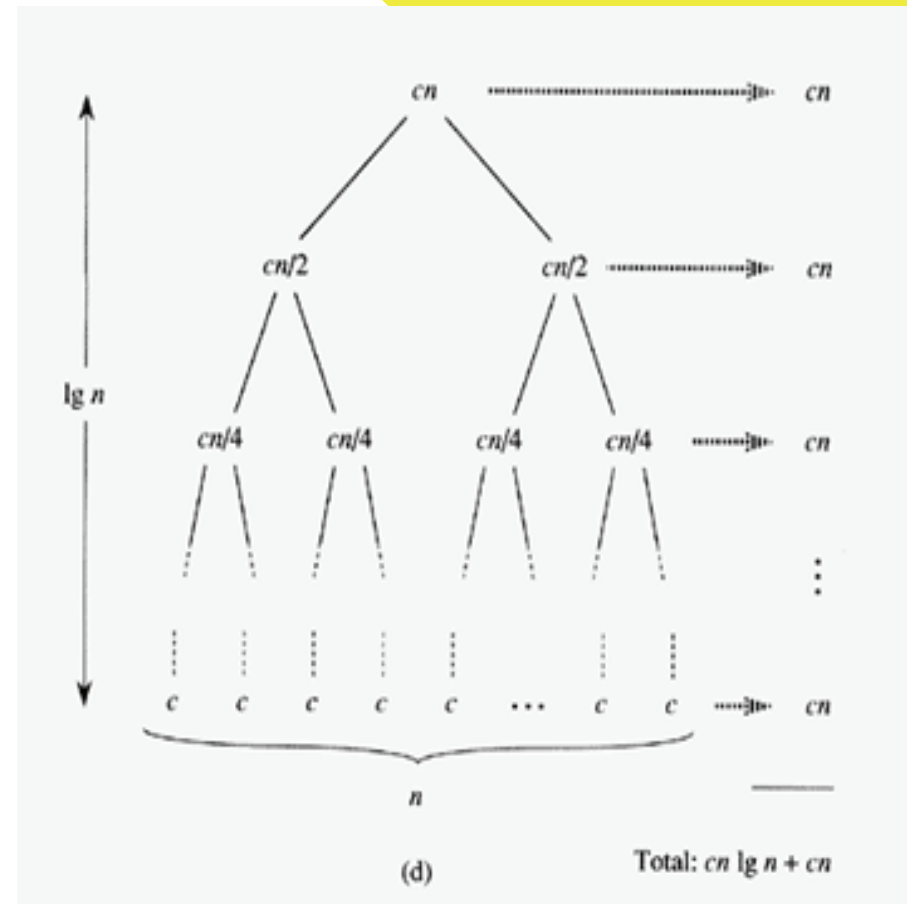
$T(n)$



$$T(n) = \begin{cases} c & n = 1 \\ 2T(n/2) + cn & n > 1 \end{cases}$$

$$T(n) = cn \lg n + cn$$

$$T(n) = \Theta(n \log n)$$



Recursion-Tree Method (Example)

$$T(n) = 3T(n/4) + cn^2$$

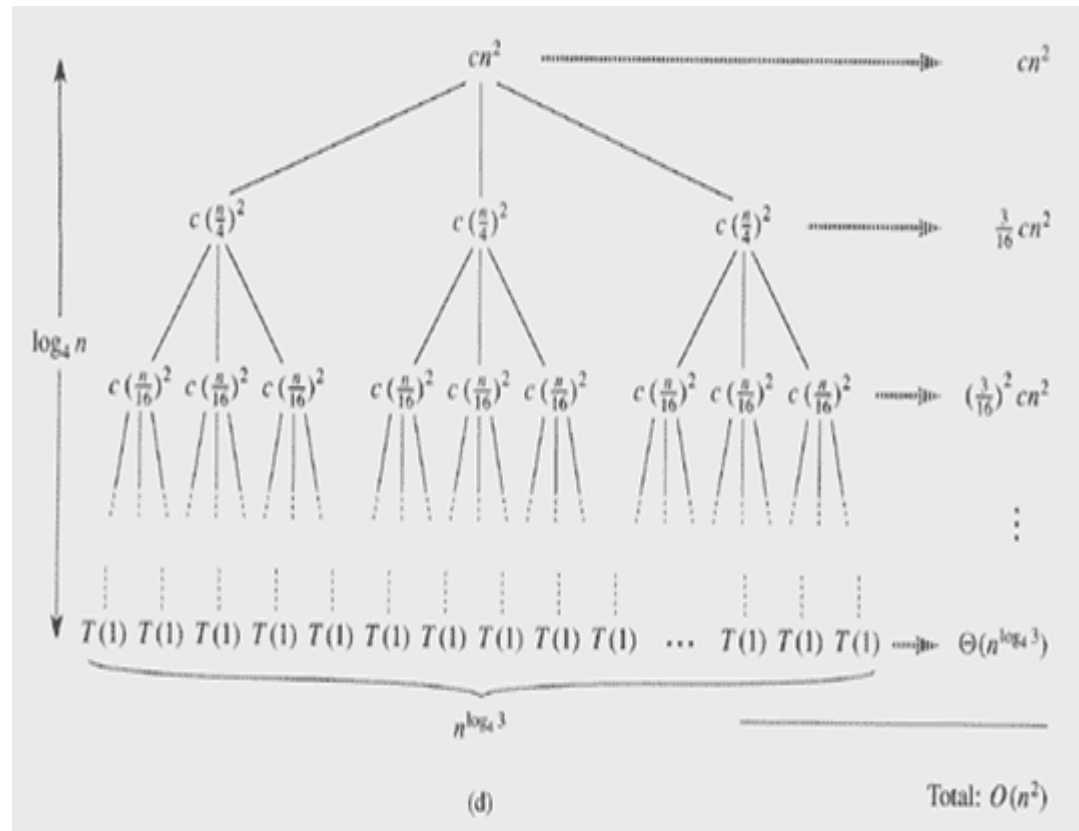
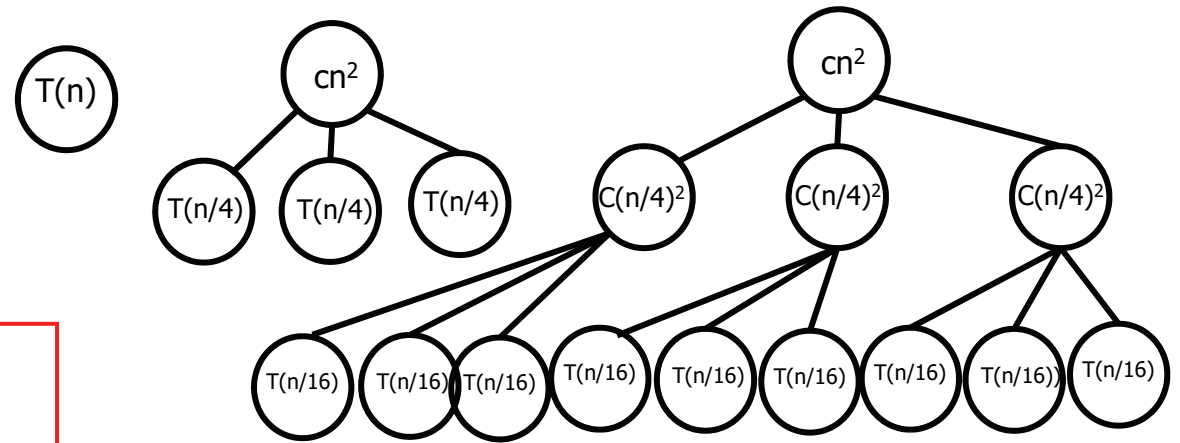
$$= cn^2 + 3T(n/4)$$

$$= cn^2 + \left(\frac{3}{16}\right)cn^2 + 9T(n/16)$$

$$= cn^2 + \left(\frac{3}{16}\right)cn^2 + \left(\frac{3}{16}\right)^2 cn^2$$

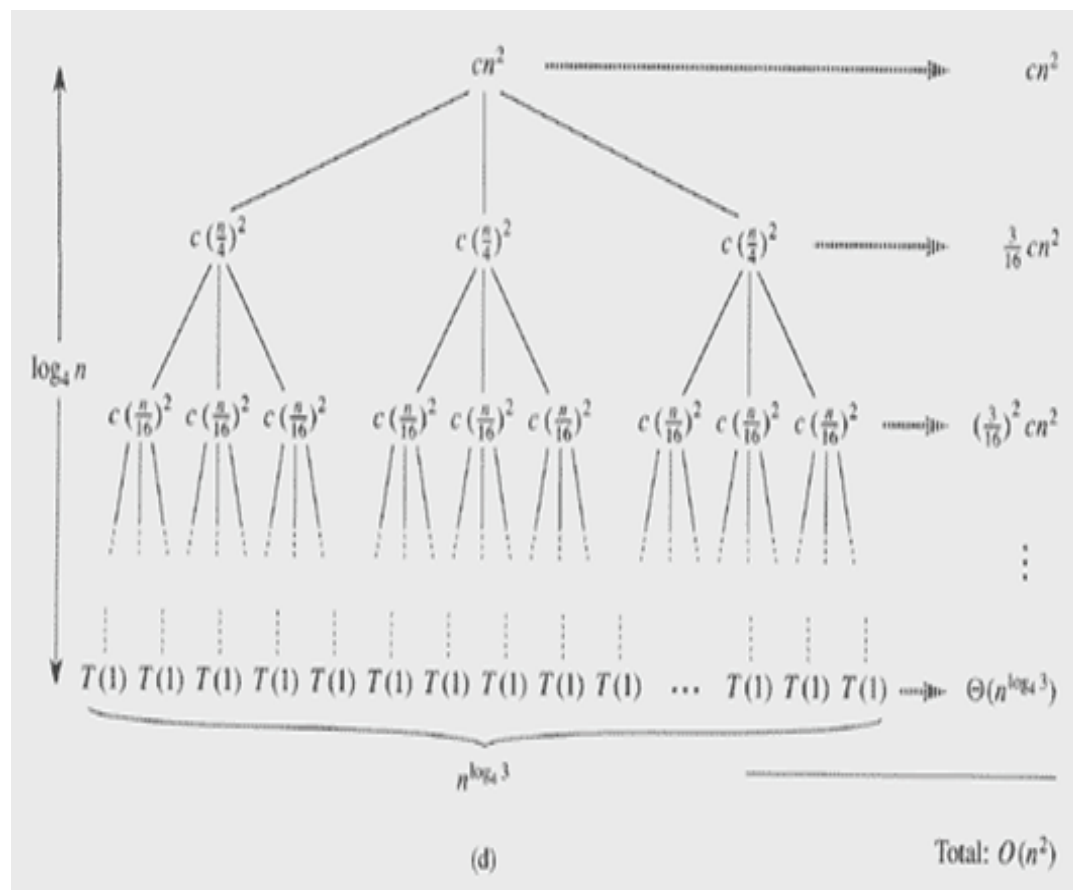
$$+ \dots + \left(\frac{3}{16}\right)^{i-1} cn^2 + 3^i T(n/4^i)$$

$$\frac{n}{4^i} = 1, i = \log_4 n$$



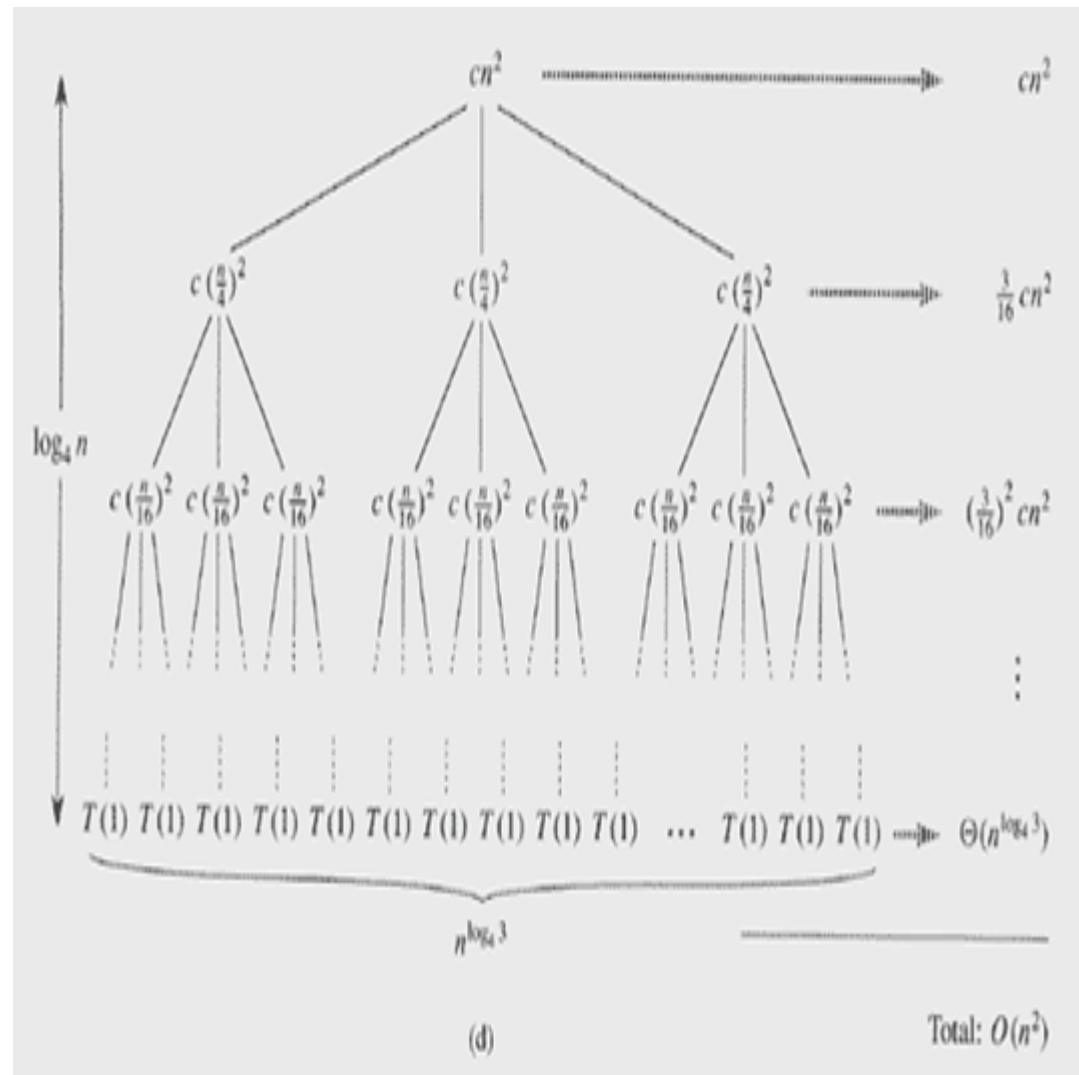
Recursion-Tree Method (Example)

$$\begin{aligned}
 T(n) &= 3T(n/4) + cn^2 \\
 &= cn^2 + 3T(n/4) \\
 &= cn^2 + \left(\frac{3}{16}\right)cn^2 + 9T(n/16) \\
 &= cn^2 + \left(\frac{3}{16}\right)cn^2 + \left(\frac{3}{16}\right)^2 cn^2 \\
 &+ \dots + \left(\frac{3}{16}\right)^{\log_4 n - 1} cn^2 + 3^{\log_4 n} T(1) \\
 &\quad \swarrow \\
 3^{\log_4 n} T(1) &= n^{\log_4 3} \Theta(1) \\
 &= \Theta(n^{\log_4 3})
 \end{aligned}$$

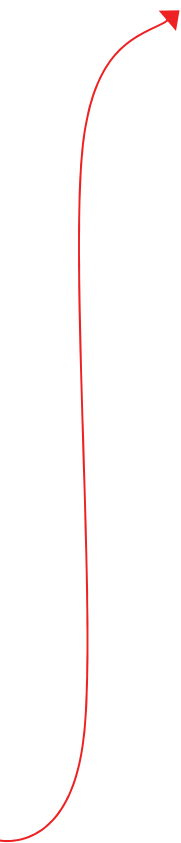


Recursion-Tree Method (Example)

$$\begin{aligned}
 T(n) &= 3T(n/4) + cn^2 \\
 &= cn^2 + 3T(n/4) \\
 &= cn^2 + \left(\frac{3}{16}\right)cn^2 + 9T(n/16) \\
 &= cn^2 + \left(\frac{3}{16}\right)cn^2 + \left(\frac{3}{16}\right)^2 cn^2 \\
 &+ \dots + \left(\frac{3}{16}\right)^{\log_4 n - 1} cn^2 + 3^{\log_4 n} T(1) \\
 &= \sum_{i=0}^{\log_4 n - 1} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3})
 \end{aligned}$$



Recursion-Tree Method (Example)

$$\begin{aligned} T(n) &= 3T(n/4) + cn^2 \\ &= cn^2 + 3T(n/4) \\ &= cn^2 + \left(\frac{3}{16}\right)cn^2 + 9T(n/16) \\ &= cn^2 + \left(\frac{3}{16}\right)cn^2 + \left(\frac{3}{16}\right)^2 cn^2 \\ &\quad + \dots + \left(\frac{3}{16}\right)^{\log_4 n - 1} cn^2 + 3^{\log_4 n} T(1) \\ &= \sum_{i=0}^{\log_4 n - 1} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3}) \end{aligned}$$

$$= \frac{(3/16)^{\log_4 n} - 1}{(3/16) - 1} cn^2 + \Theta(n^{\log_4 3})$$

Recall $\boxed{\sum_{i=0}^n r^i = \frac{r^{n+1} - 1}{r - 1}}$

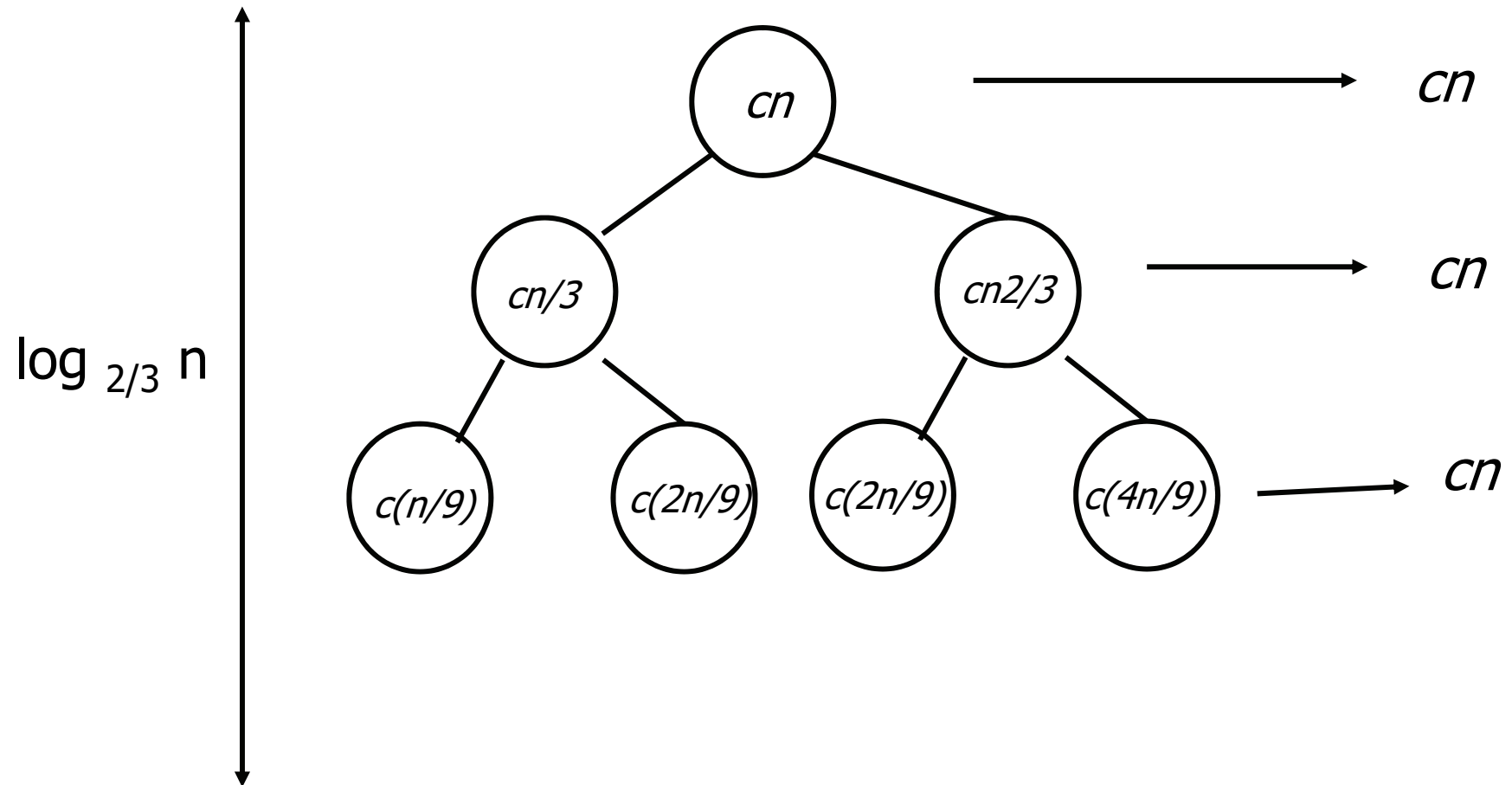
Recursion-Tree Method (Example)

$$\begin{aligned}T(n) &= 3T(n/4) + cn^2 \\&= cn^2 + 3T(n/4) \\&= cn^2 + \left(\frac{3}{16}\right)cn^2 + 9T(n/16) \\&= cn^2 + \left(\frac{3}{16}\right)cn^2 + \left(\frac{3}{16}\right)^2 cn^2 \\&\quad + \dots + \left(\frac{3}{16}\right)^{\log_4 n - 1} cn^2 + 3^{\log_4 n} T(1) \\&= \sum_{i=0}^{\log_4 n - 1} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3})\end{aligned}$$

$$\begin{aligned}&= \frac{(3/16)^{\log_4 n} - 1}{(3/16) - 1} cn^2 + \Theta(n^{\log_4 3}) \\&< \sum_{i=0}^{\infty} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3}) \\&= \frac{1}{1 - (3/16)} cn^2 + \Theta(n^{\log_4 3}) \\&= \frac{16}{13} cn^2 + \Theta(n^{\log_4 3})\end{aligned}$$

$$T(n) = O(n^2)$$

$$T(n) = T(n/3) + T(2n/3) + cn$$



Total: $O(n \log n)$

Master Theorem

Master Theorem***

- Provide a “**cookbook**” method for solving recurrences
- Divide-and-conquer algorithm

An algorithm that divides the problem of size n into a subproblems, each of size n / b

Master Theorem

$$T(n) = aT(n/b) + f(n)$$

$$a \geq 1 \text{ and } b > 1$$

1. If $f(n) = O(n^{\log_b a - \varepsilon})$, then $T(n) = \Theta(n^{\log_b a})$

2. If $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \lg n)$

3. If $f(n) = \Omega(n^{\log_b a + \varepsilon})$, and if $af(n/b) \leq cf(n)$,
then $T(n) = \Theta(f(n))$

$$\varepsilon > 0, c < 1$$

- "**Polynomially larger**" means that the ratio of the functions **falls between two polynomials**, asymptotically.
- Specifically, $f(n)$ is polynomially greater than $g(n)$ **if and only if** there exist generalized polynomials (**fractional exponents** are allowed) $p(n)$, $q(n)$ such that the following inequality holds asymptotically:

$$p(n) \leq \frac{f(n)}{g(n)} \leq q(n)$$

- Example:

- $(n, n \log n), (n^2, n \log n)$ (yes)

For the second problem, we have the ratio is equal to $n \log(n)$. It is the case that $n \leq n \log(n) \leq n^2$ asymptotically, so it is polynomially bounded and therefore n^2 is polynomially larger.

$\frac{n^2}{n \log(n)} = \frac{n}{\log(n)}$, and we have that (asymptotically)

$$n^{\frac{1}{3}} \leq \frac{n}{\log(n)} \leq n$$

Notes on Master Theorem

- Some technicalities:

In case 1, $f(n)$ must be *polynomially smaller* than $n^{\log_b a}$ by a factor of n^ε , $\varepsilon > 0$

In case 3, $f(n)$ must be *polynomially larger* than $n^{\log_b a}$ by a factor of n^ε , $\varepsilon > 0$

- The three cases doesn't cover all possibilities of $f(n)$.
- *Can't use Master Theorem*

Examples

Meaning of polynomial differences. $f(n)$ is polynomially smaller than $g(n)$ if $f(n) = O(g(n)/n^\epsilon)$ for some $\epsilon > 0$. $f(n)$ is polynomially larger than $g(n)$ if $f(n) = \Omega(g(n)n^\epsilon)$ for some $\epsilon > 0$. We will never say $f(n)$ is polynomially equal to $g(n)$.

Here are some examples.

- $f(n) = 1$ and $g(n) = n^2$. Then $f(n)$ is polynomially smaller than $g(n)$. This is what you believed and it is correct.
- $f(n) = g(n) = n^2$. Then $f(n)$ is not polynomially smaller nor polynomially larger than $g(n)$.
- $f(n) = n^{1+\frac{1000}{\log n}}$ and $g(n) = n^2$. Then $f(n)$ is polynomially smaller than $g(n)$.
- $f(n) = \frac{n}{\log n}$ and $g(n) = n$. Then $f(n)$ is not polynomially smaller nor polynomially larger than $g(n)$.

$$T(n) = 4T\left(\frac{n}{2}\right) + 1$$

$a = 4, b = 2, n^{\log_2 4} = n^2$ is polynomial larger than the constant function 1. This is case 1 of the master's theorem.

$$T(n) = 4T\left(\frac{n}{2}\right) + \frac{n^2}{\log n}$$

$a = 4, b = 2, \frac{n^2}{\log n}$ is not polynomially smaller nor polynomially larger than n^2 . None of the three cases of master's theorem can be applied. However, the extension of case 2, case 2b can be applied.

<https://cs.stackexchange.com/questions/105149/meaning-of-polynomially-larger-or-smaller-in-the-context-of-the-master-method>

Using the Master Method

$$T(n) = aT(n/b) + f(n)$$
$$a \geq 1 \text{ and } b > 1$$

$$T(n) = 9T(n/3) + n$$

$$a = 9, b = 3, f(n) = n$$

$$n^{\log_b a} = n^{\log_3 9} = n^2 = \Theta(n^2)$$

$$f(n) = O(n^{\log_3 9 - \varepsilon}), \text{ where } \varepsilon = 1$$

Use Case 1: If $f(n) = O(n^{\log_b a - \varepsilon})$, then $T(n) = \Theta(n^{\log_b a})$

$$T(n) = \Theta(n^2)$$

Using the Master Method

$$T(n) = aT(n/b) + f(n)$$
$$a \geq 1 \text{ and } b > 1$$

$$T(n) = T(2n/3) + 1$$

$$a = 1, b = 3/2, f(n) = 1$$

$$n^{\log_b a} = n^{\log_{3/2} 1} = n^0 = 1$$

$$f(n) = \Theta(n^{\log_b a}) = \Theta(1)$$

Use Case 2: *If $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \lg n)$*

$$T(n) = \Theta(\lg n)$$

Using the Master Method

$$T(n) = aT(n/b) + f(n)$$
$$a \geq 1 \text{ and } b > 1$$

$$T(n) = 3T(n/4) + n \lg n$$

$$a = 3, b = 4, f(n) = n \lg n$$

$$n^{\log_b a} = n^{\log_4 3} = O(n^{0.793})$$

$$f(n) = \Omega(n^{\log_4 3 + \varepsilon}), \text{ where } \varepsilon \approx 0.2$$

$$af(n/b) = 3(n/4) \lg(n/4) \leq (3/4)n \lg n = cf(n), \text{ for } c = 3/4$$

Use Case 3: If $f(n) = \Omega(n^{\log_b a + \varepsilon})$, and if $af(n/b) \leq cf(n)$,
then $T(n) = \Theta(f(n))$

$$T(n) = \Theta(n \lg n)$$

Using the Master Method

$$T(n) = aT(n/b) + f(n)$$
$$a \geq 1 \text{ and } b > 1$$

$$T(n) = 2T(n/2) + n \lg n$$

$$a = 2, b = 2, f(n) = n \lg n$$

But $f(n) = n \lg n$ is not polynomially larger than $n^{\log_b a} = n$

$f(n) / n^{\log_b a} = (n \lg n) / n = \lg n$ is asymptotically less than $n^\varepsilon, \varepsilon > 0$

Master Method doesn't Apply

Extended Master Method

$$T(n) = aT(n/b) + f(n)$$
$$a \geq 1 \text{ and } b > 1$$

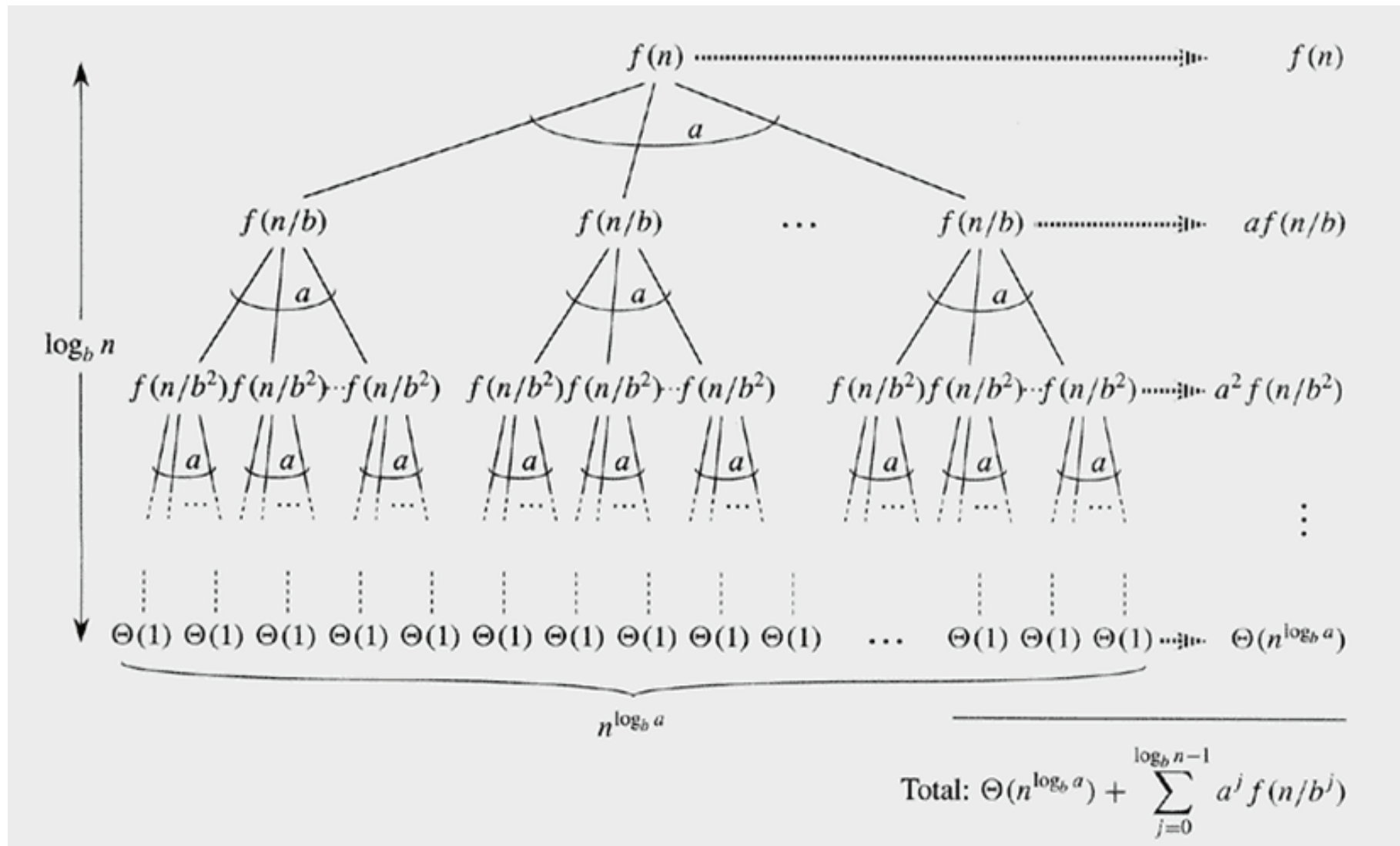
If $f(n) = \Theta(n^{\log_b a} \lg^k n)$, $k \geq 0$,
then $T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$

Back to the previous recurrence:

$$T(n) = 2T(n/2) + n \lg n$$
$$f(n) = \Theta(n^{\log_b a} \lg n), \text{ and } k = 1$$

$$T(n) = \Theta(n \lg^2 n)$$

Proof of Master Theorem (Lemma 4.2)



The closest pair problem

The closest pair problem

- Given a set S of n points, find a pair of points which are closest together.

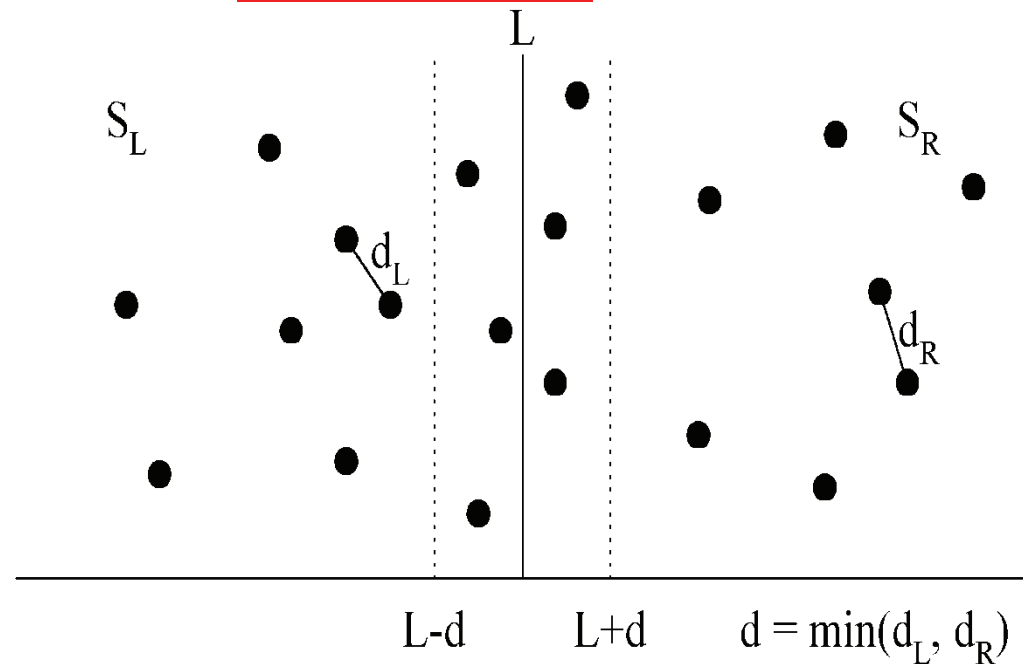
- 1-D version :

Solved by sorting

Time complexity :

$O(n \log n)$

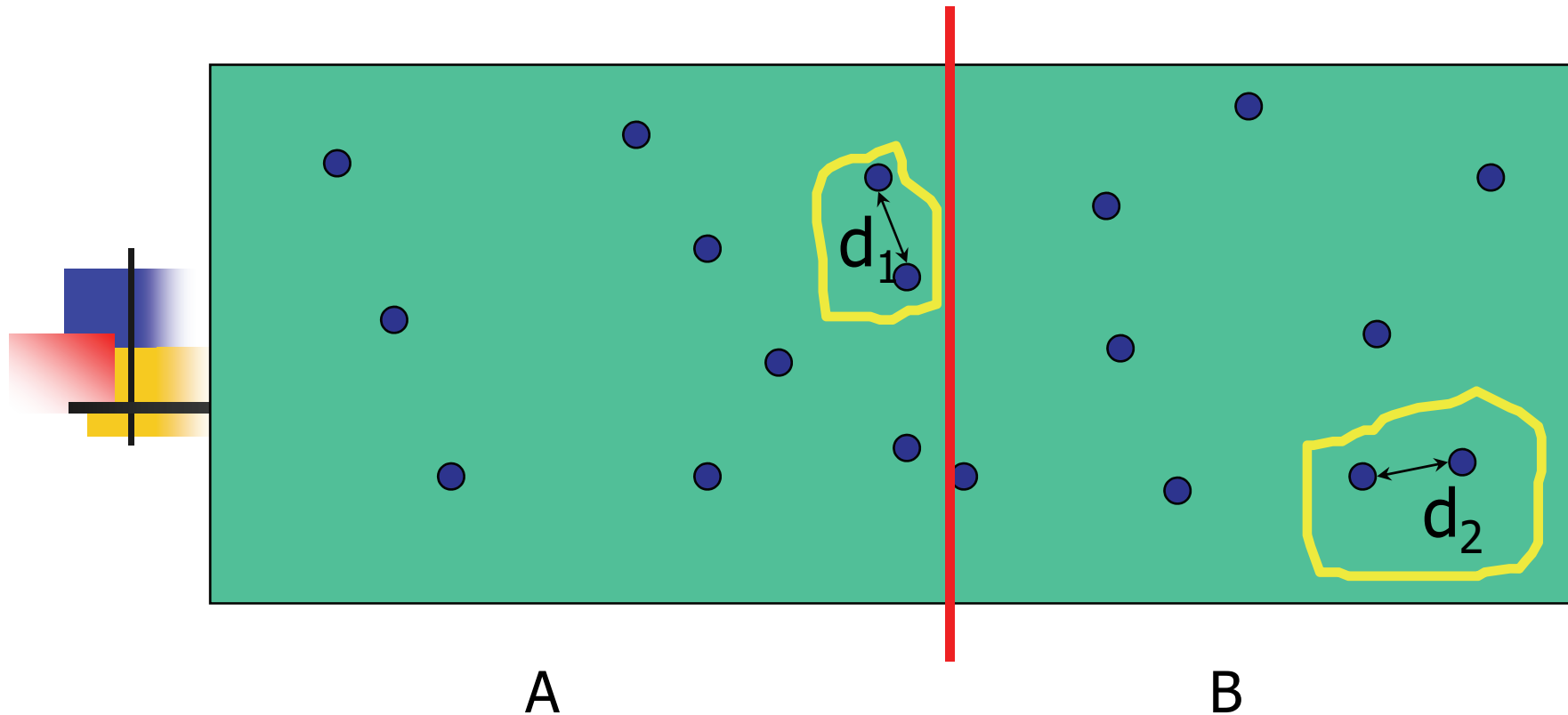
- 2-D version



Divide-and-Conquer Solution

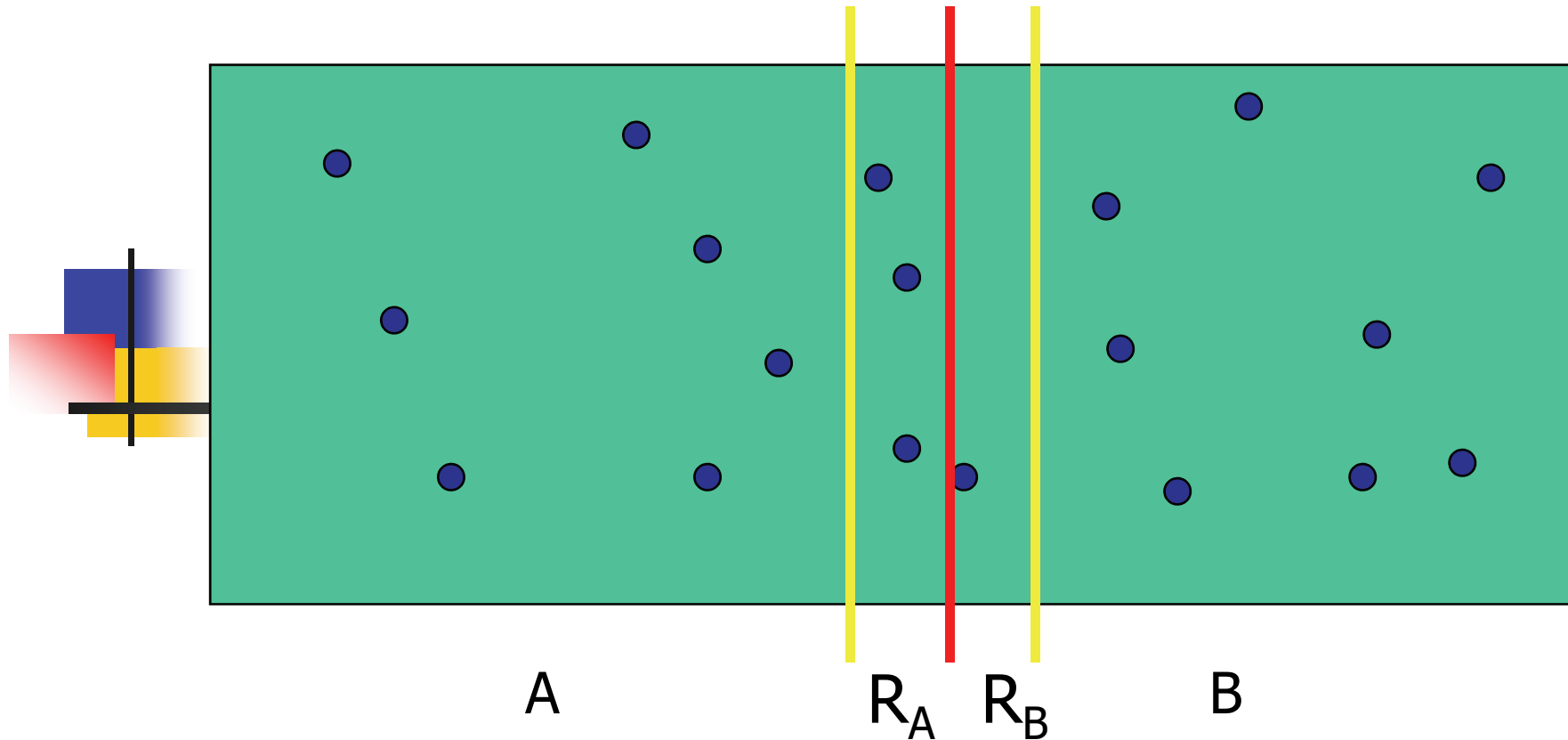
- We first partition the set S into S_L and S_R , and the number of points in S_L is equal to that in S_R .
- Find a vertical line L perpendicular to the x-axis such that S is cut into two equal sized subsets.
- Solving the closest pair problems in S_L and S_R respectively, we shall obtain d_L and d_R where d_L and d_R denote the distances of the closest pairs in S_L and S_R respectively.
- Let $d = \min(d_L, d_R)$.
- If the closest pair (P_a, P_b) of S consists of a point in S_L and a point in S_R , then P_a and P_b must lie within a *slab* centered at line L and bounded by lines $L-d$ and $L+d$.
- **Merge Step**: examine points in slab.

Example



- Find L and the closest pair in A and B.
- Let d_1 , d_2 be the distance between the points in this pair.

Example

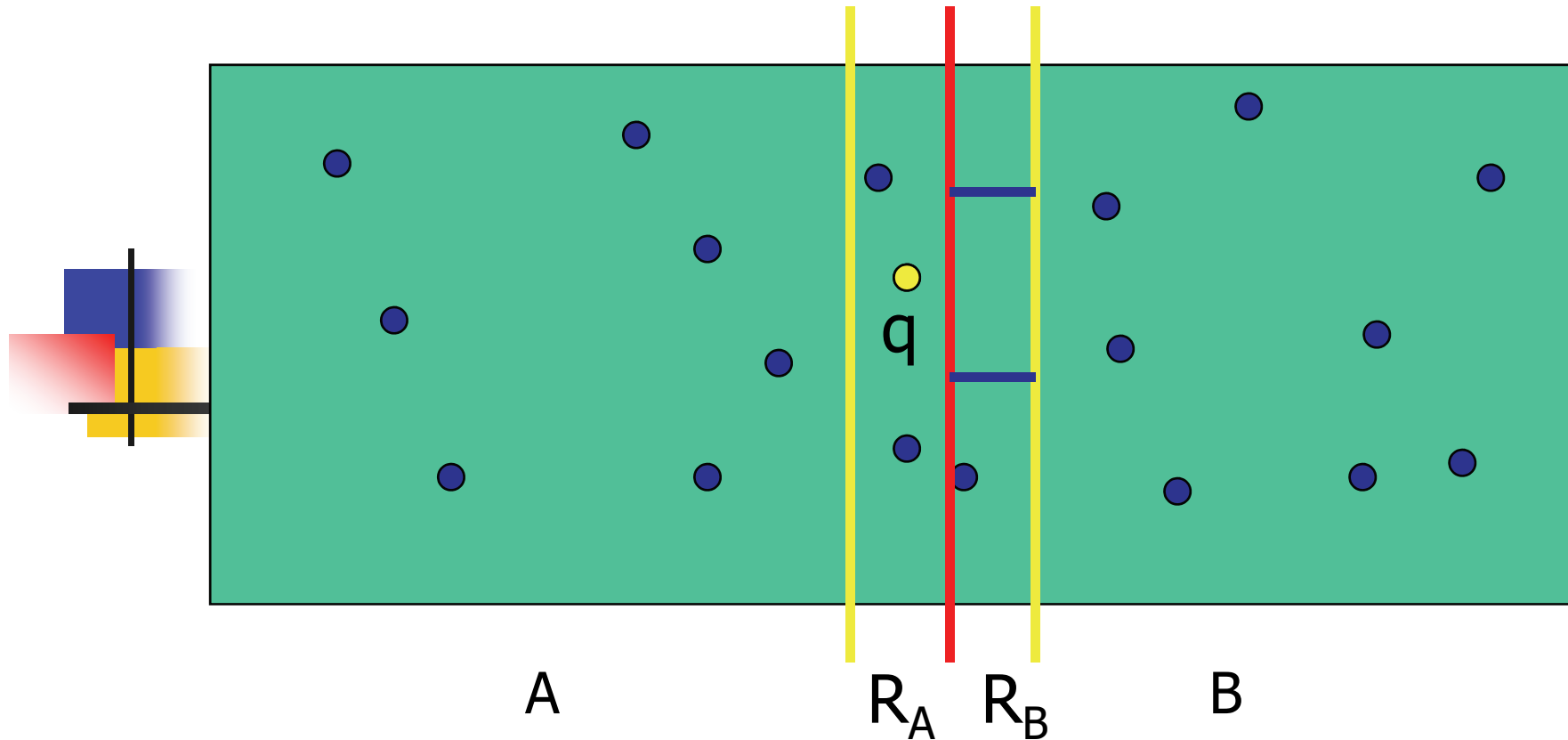


- Let $d = \min\{d_1, d_2\}$.
- Candidates lie within d of the dividing line.
- Call these regions R_A and R_B , respectively.

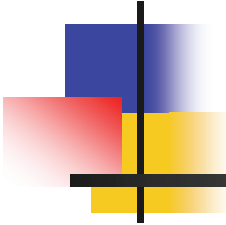
Points in Slab

- During the merging step, we may examine only points in the slab.
- Although in average, the number of points within the slab may not be too large, in the **worst case**, there can be as many as n points within the slab.
- Thus the **brute-force way** to find the closest pair in the slab needs calculating $n^2/4$ distances and comparisons.
- This kind of merging step will not be good for our divide-and-conquer algorithm.
- Fortunately, as will be shown in the following, the **merging step can be accomplished in $O(n)$ time. (how?)**

Example



- Let q be a point in R_A .
- q need be paired only with those points in R_B that are within d of $q.y$ (interval $[q.y-d, q.y+d]$).
- Points that are to be paired with q are in a $d \times 2d$ rectangle of R_B (comparing region of q).



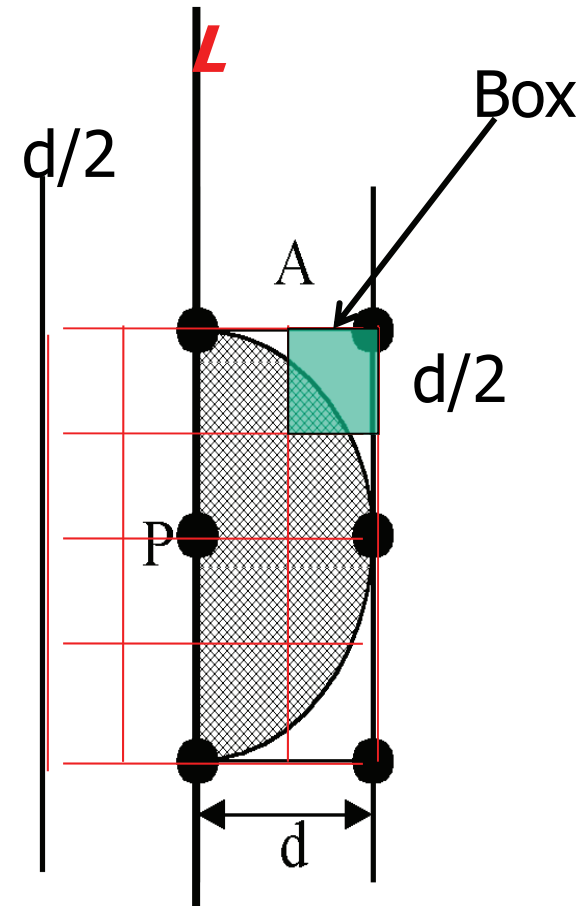
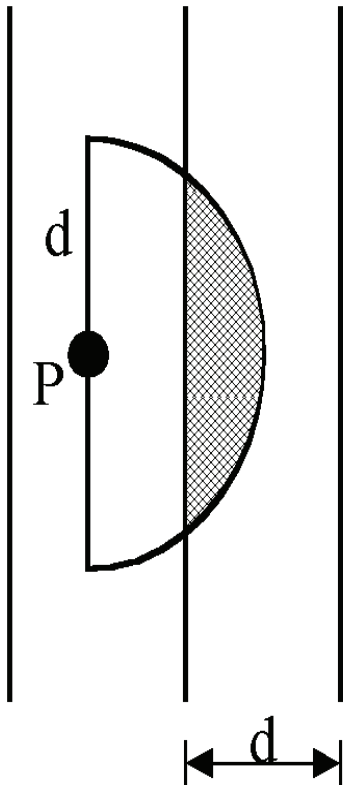
-

Merge Step

- For each point P in the slab, we only have to examine limited number of points in the other half of the slab.
- Without losing generality, we may assume that P is within the left-half of the slab.
- Let the y -value of P be denoted as y_p . For P , we only have to examine points in the other half of the slab whose y -values are within $y_p + d$ and $y_p - d$.
- There will be **at most eight** such points as discussed above **(why?)**.
- **$O(n)$** Step

Sort points by x-values and sort points by y-values.

- at most 8 points in area A:



One box contains one point.

If $s, s' \in S$ have the property that $d(s, s') < d$, then s and s' are within 15 positions of each other in the sorted list S_y .

The algorithm:

- Input: A set of n planar points.
- Output: The distance between two closest points.

Step 1: Sort points in S according to their y -values and x -values.

Step 2: If S contains only one point, return infinity(∞) as their distance.

Step 3: Find a median line L perpendicular to the X -axis to divide S into two subsets, with equal sizes, S_L and S_R .

Step 4: Recursively apply Step 2 and Step 3 to solve the closest pair problems of S_L and S_R . Let $d_L(d_R)$ denote the distance between the closest pair in S_L (S_R). Let $d = \min(d_L, d_R)$.

Step 5: For a point P in the half-slab bounded by L-d and L, let its y-value be denoted as y_p . For each such P, find all points in the half-slab bounded by L and L+d whose y-value fall within $y_p + d$ and $y_p - d$. If the distance d' between P and a point in the other half-slab is less than d, let $d=d'$. The final value of d is the answer.

- Time complexity: $O(n \log n)$

Step 1: $O(n \log n)$

Steps 2~5:

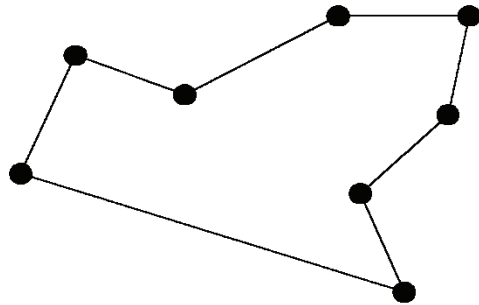
$$T(n) = \begin{cases} 2T(n/2) + O(n) + O(n) & , n > 1 \\ 1 & , n = 1 \end{cases}$$

$$\Rightarrow T(n) = O(n \log n)$$

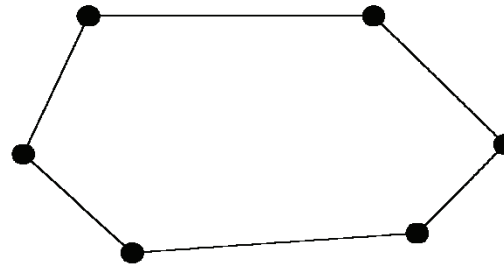
The convex hull problem

The convex hull problem

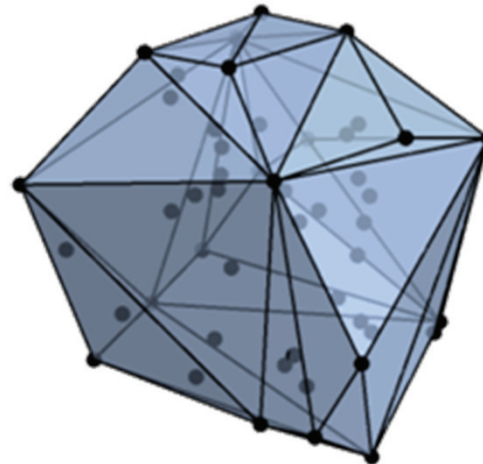
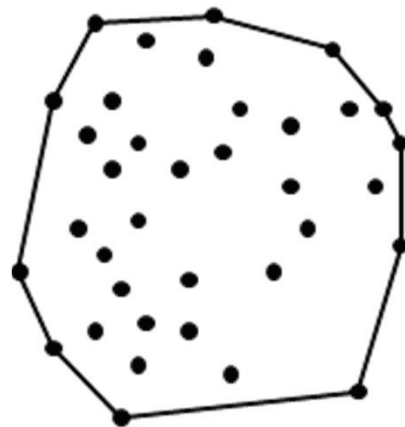
concave polygon:



convex polygon:

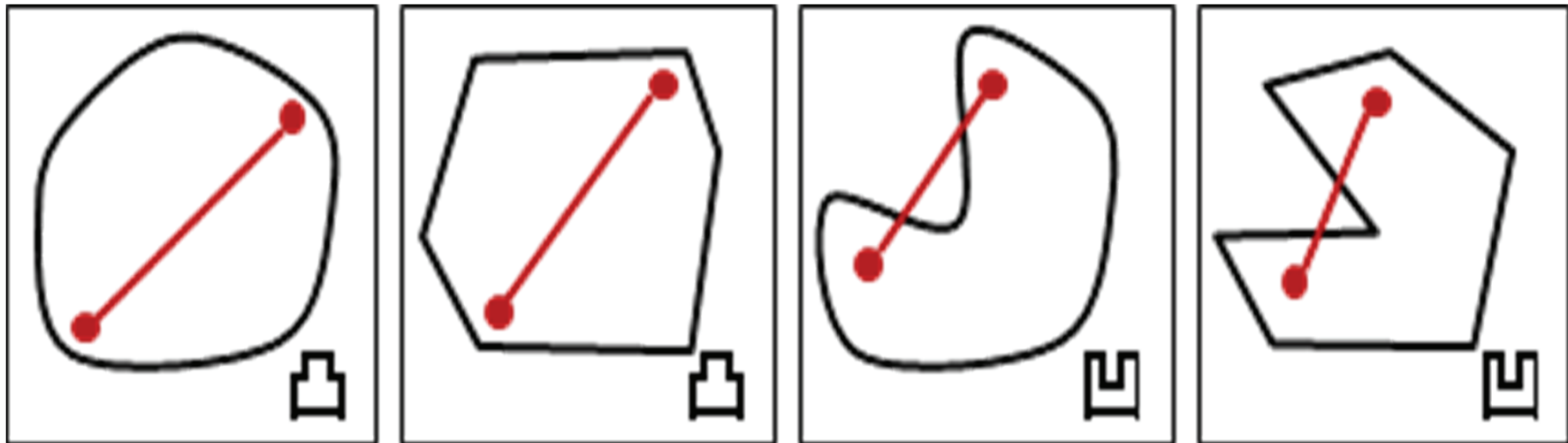


- The convex hull of a set of planar points is the smallest convex polygon containing all of the points.



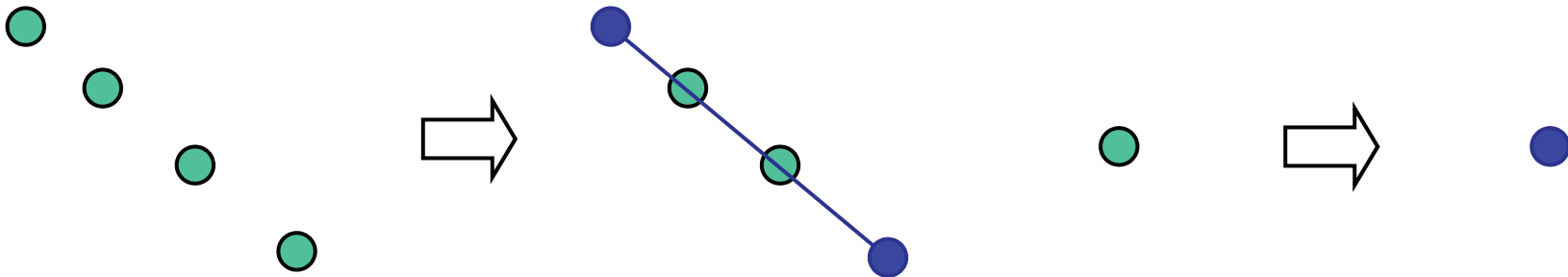
Convex Polygon

- A convex polygon is a nonintersecting polygon whose **internal angles are all convex** (i.e., less than π)
- In a convex polygon, a segment joining two vertices of the polygon **lies entirely inside the polygon**.
- The convex hull of a set of points is the smallest convex polygon containing the points



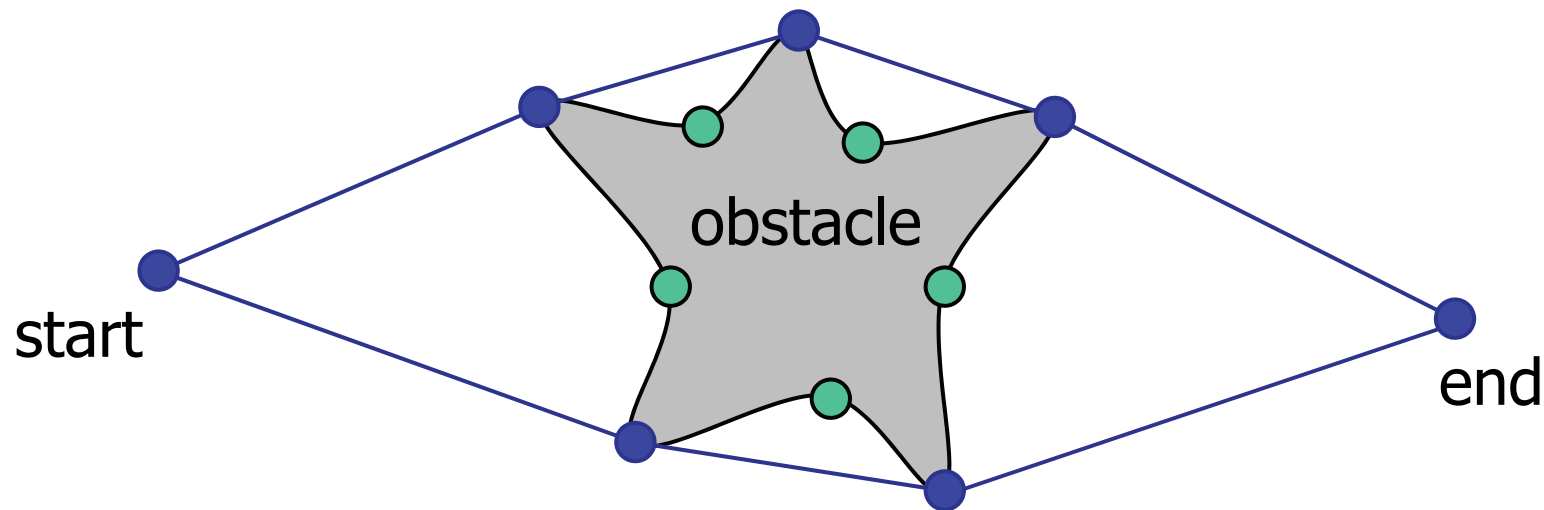
Special Cases

- The convex hull is a segment
 - Two points
 - All the points are collinear
- The convex hull is a point
 - there is one point
 - All the points are coincident



Applications

- Motion planning
 - Find an optimal route that avoids obstacles for a robot
- Geometric algorithms
 - Convex hull is like a two-dimensional sorting

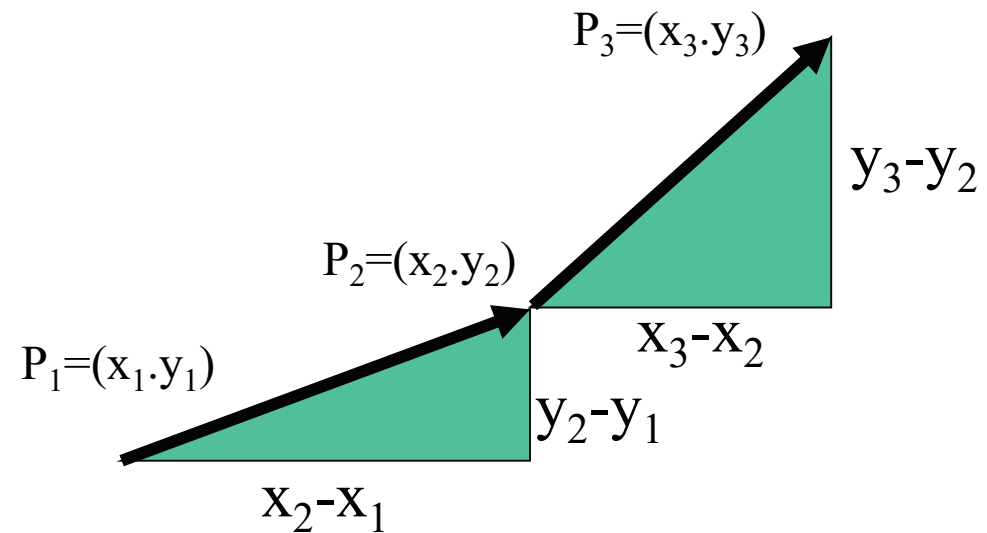


- The **orientation** of a triplet (p_1, p_2, p_3) of points in the plane is counterclockwise, clockwise, or collinear, depending on whether, $\Delta(p_1, p_2, p_3)$ is positive, negative, or zero, respectively.
- Assume $p_1=(x_1, y_1)$, $p_2=(x_2, y_2)$, and $p_3=(x_3, y_3)$ and $x_1 < x_2 < x_3$

Proof)

$$\begin{aligned}
 \text{area of } \Delta &= 1/2 \Delta(p_1, p_2, p_3) \\
 &= 1/2 \begin{vmatrix} x_1 & y_1 & 1 \\ x_2 & y_2 & 1 \\ x_3 & y_3 & 1 \end{vmatrix} \\
 &= x_1 y_2 + x_2 y_3 + x_3 y_1 \\
 &\quad - (x_3 y_2 + x_1 y_3 + x_2 y_1) = 0
 \end{aligned}$$

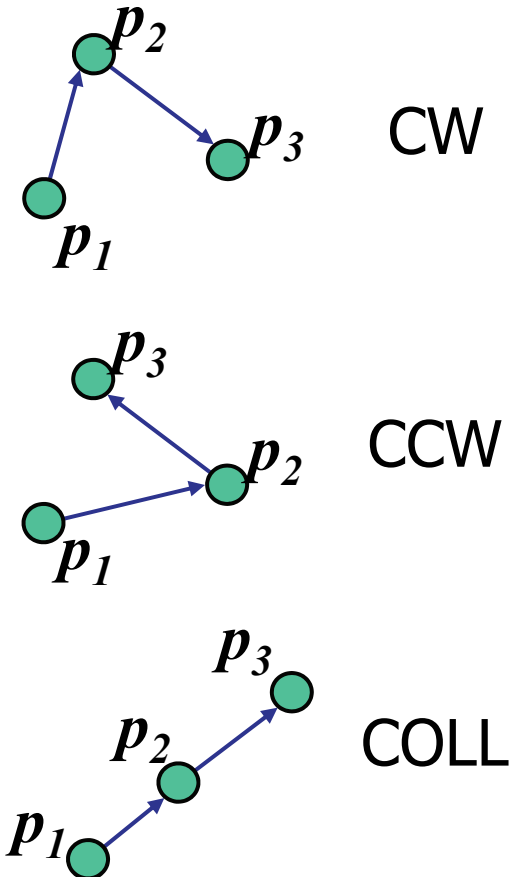
collinear



Left turn if $\frac{y_2 - y_1}{x_2 - x_1} > \frac{y_3 - y_2}{x_3 - x_2}$

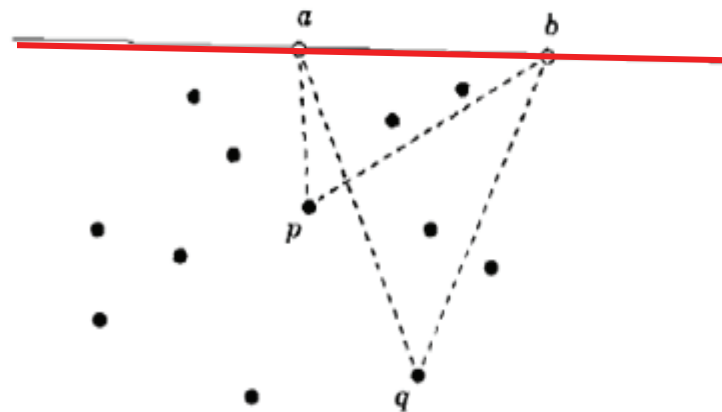
Orientation

- The orientation of three points in the plane is clockwise, counterclockwise, or collinear
- **orientation**(p_1, p_2, p_3)
 - clockwise (CW, right turn)
 - counterclockwise (CCW, left turn)
 - collinear (COLL, no turn)
- The orientation of three points is characterized by the **sign** of the determinant $D(p_1, p_2, p_3)$, whose absolute value is **twice** the area of the triangle with vertices p_1, p_2 and p_3

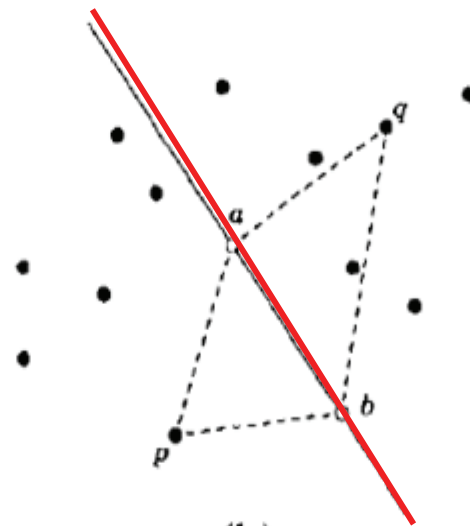


Theorem : Let S be a set of planar points with convex hull H . Then

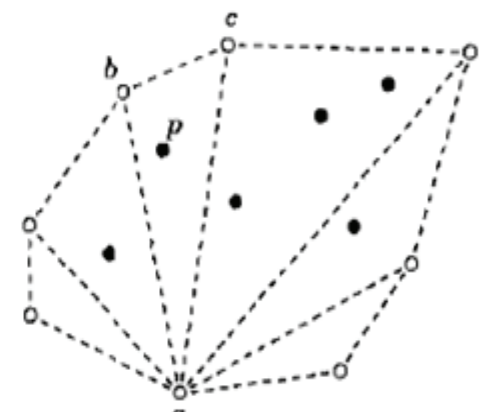
- A pair of points a and b of S form an edge of H if and only if all the other points of S are contained on one side of the line through a and b .
- A point p of S is a vertex of H if and only if there exists a line l through p , such that all the other points of S are contained in the same half-plane delimited by l (that is, they are all on the same side of l).
- A point p of S is not a vertex of H if and only if p is contained in the interior of a triangle formed by three other points of S or in the interior of a segment formed by two other points of S .



(a)



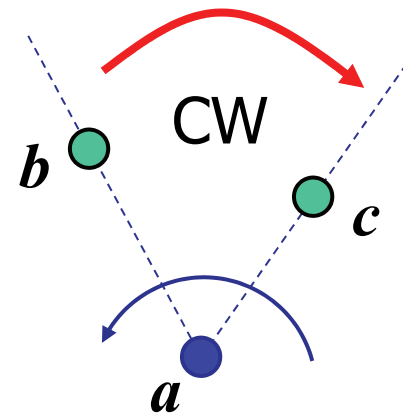
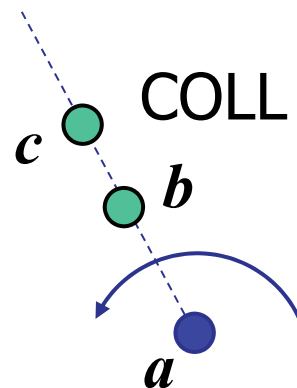
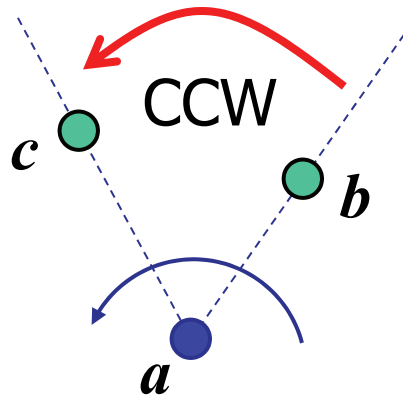
(b)



(c)

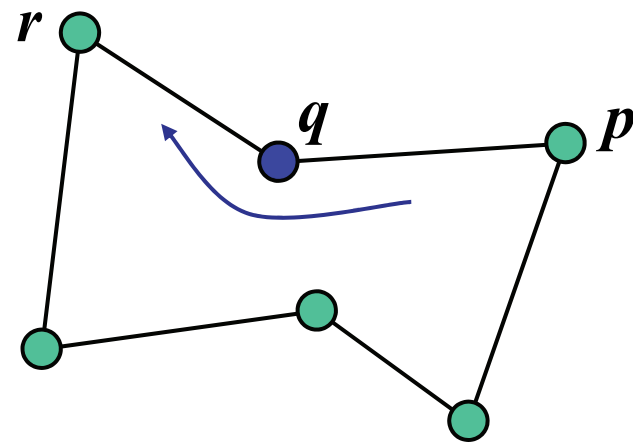
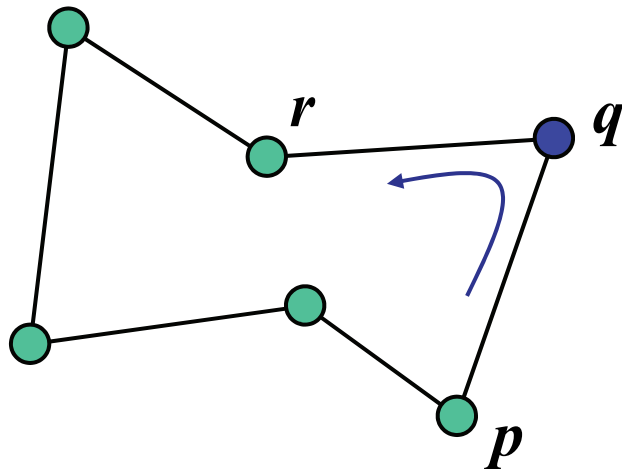
Sorting by Angle

- Computing angles from coordinates is complex and leads to numerical inaccuracy
- We can **sort a set of points by angle** with respect to the anchor point a using a comparator based on the orientation function
 - $b < c \Leftrightarrow \text{orientation}(a, b, c) = \text{CCW}$
 - $b = c \Leftrightarrow \text{orientation}(a, b, c) = \text{COLL}$
 - $b > c \Leftrightarrow \text{orientation}(a, b, c) = \text{CW}$



Removing Nonconvex Vertices

- Testing whether a vertex is convex can be done using the orientation function
- Let p , q and r be three consecutive vertices of a polygon, in counterclockwise order
 - q convex $\Leftrightarrow \text{orientation}(p, q, r) = \text{CCW}$
 - q nonconvex $\Leftrightarrow \text{orientation}(p, q, r) = \text{CW}$ or COLL

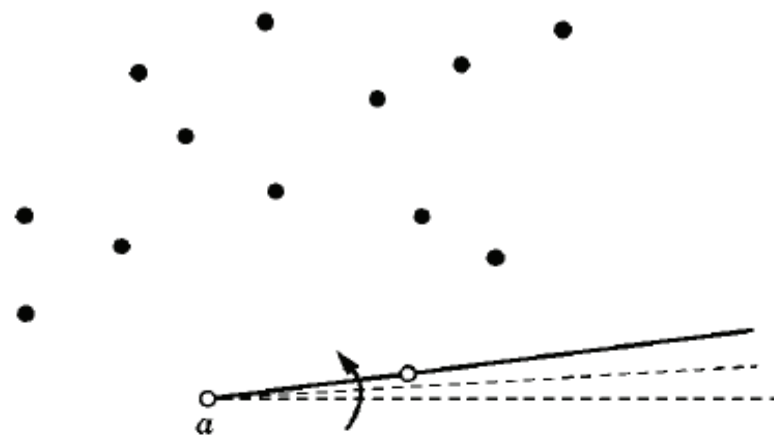


Gift Wrapping Algorithm

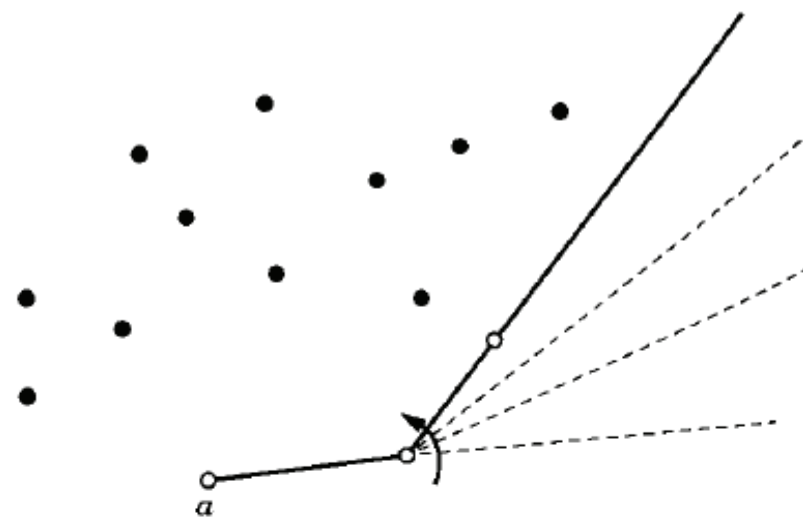
- We can identify a particular point, say one with minimum y-coordinate, that provides an initial starting configuration for an algorithm that computes the convex hull.
- The **gift wrapping algorithm** for computing the convex hull of a set of points in the plane is based on just such a starting point.

Gift Wrapping

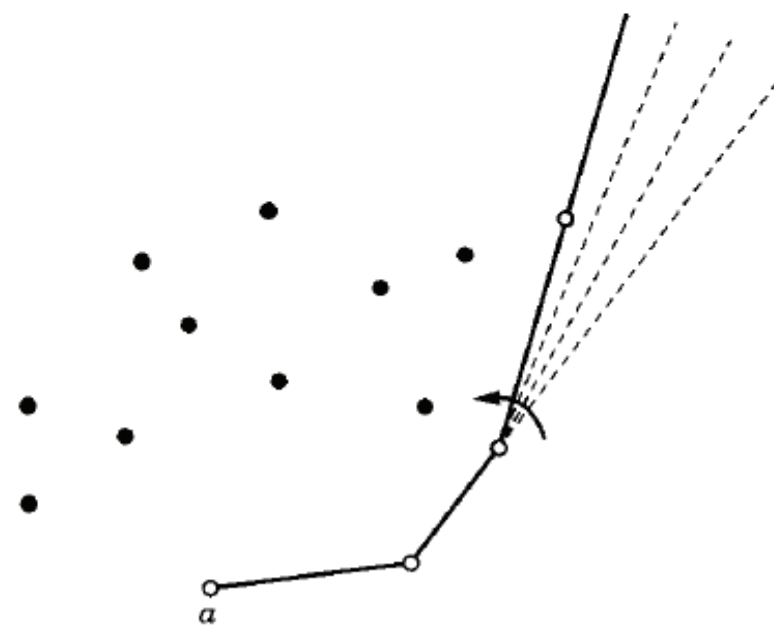
- View the points as pegs implanted in a level field, and imagine that we tie a rope to the peg corresponding to the point a with minimum y-coordinate (and minimum x-coordinate if there are ties). Call a the **anchor point**, and note that a is a vertex of the convex hull.
- Pull the rope to the right of the anchor point and rotate it counterclockwise until it touches another peg, which corresponds to the next vertex of the convex hull.
- Continue rotating the rope counterclockwise, identifying a new vertex of the convex hull at each step, until the rope gets back to the anchor point.



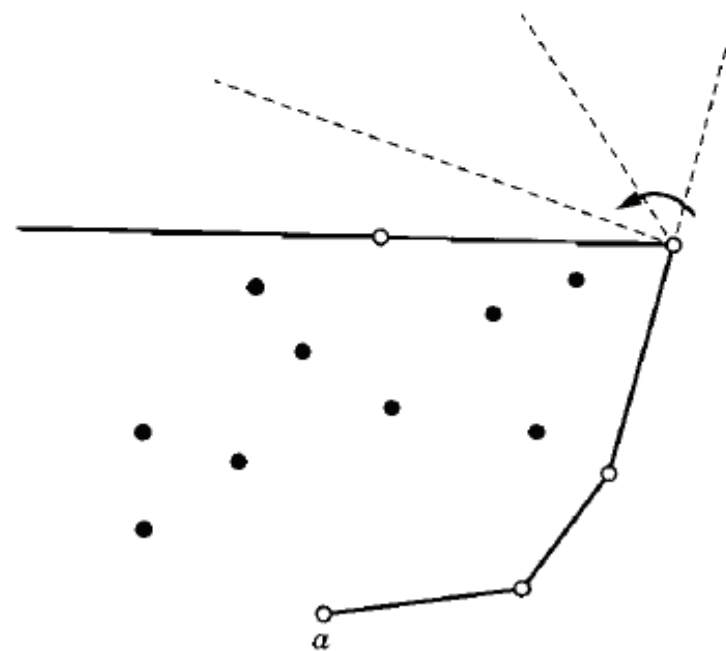
(a)



(b)



(c)



(d)

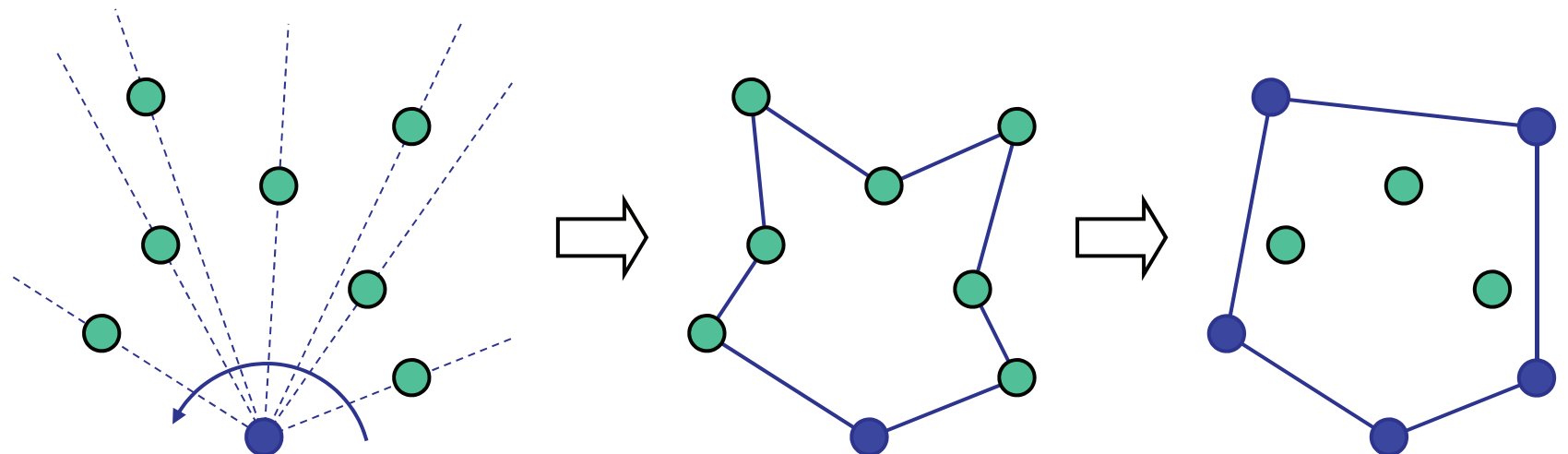
Graham's Scan Algorithm

- The following method computes the convex hull of a set of points

Phase 1: Find the lowest point (anchor point) on the hull. (the point with the minimum y value)

Phase 2: Form a nonintersecting polygon by sorting the points counterclockwise around the anchor point

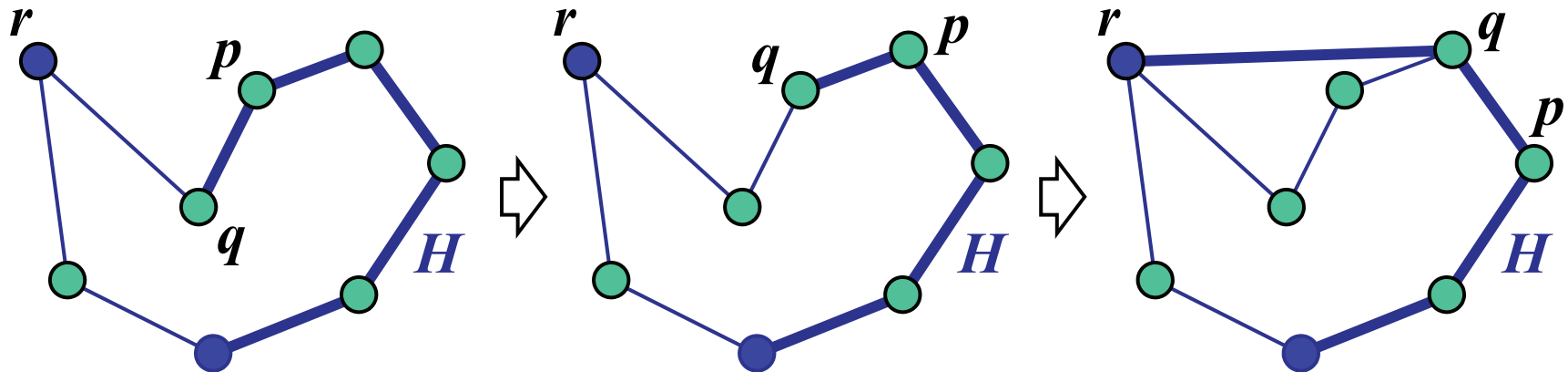
Phase 3: While the polygon has a nonconvex vertex, remove it

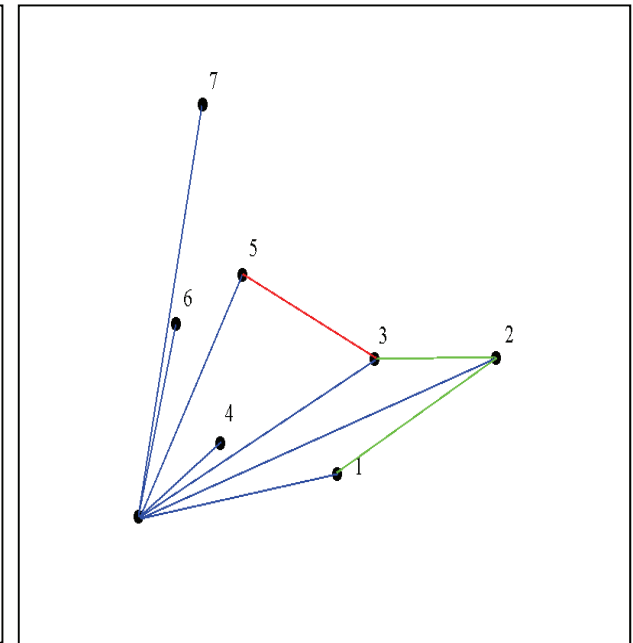
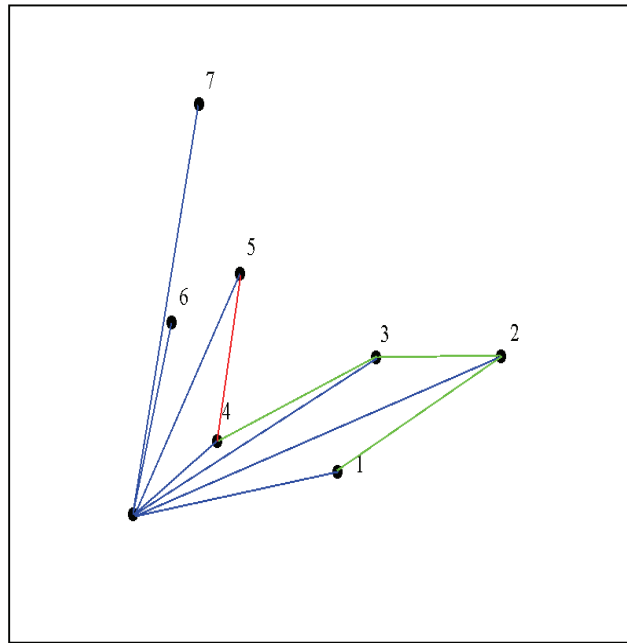
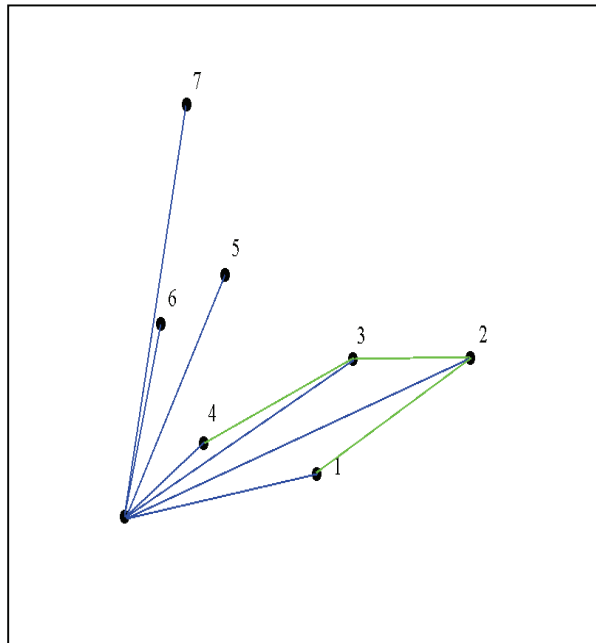
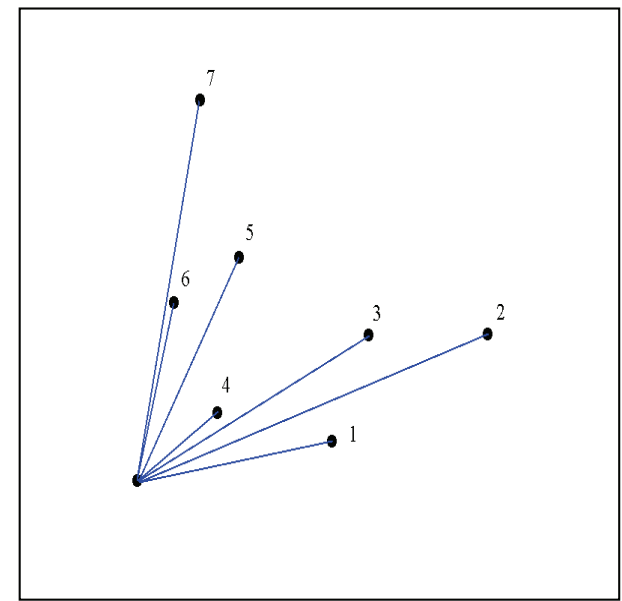
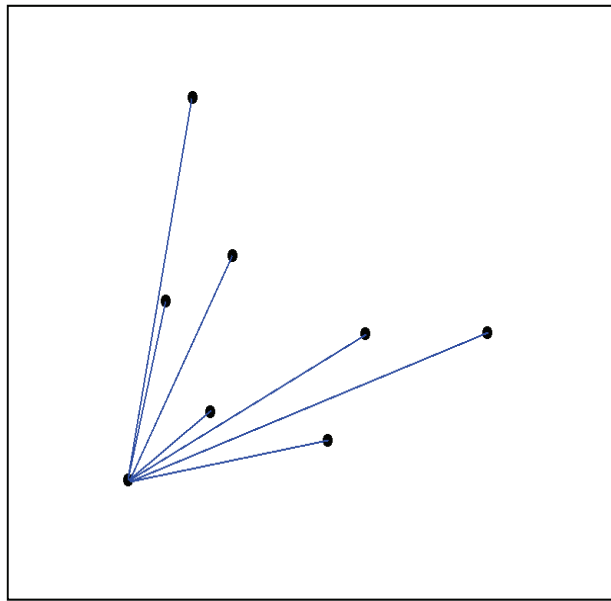
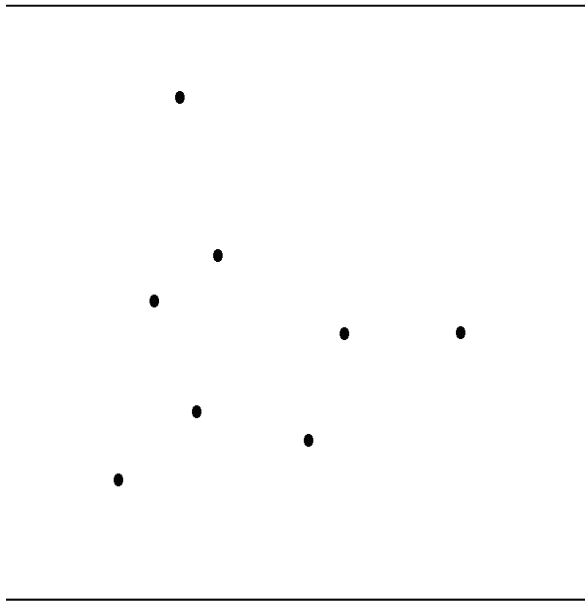


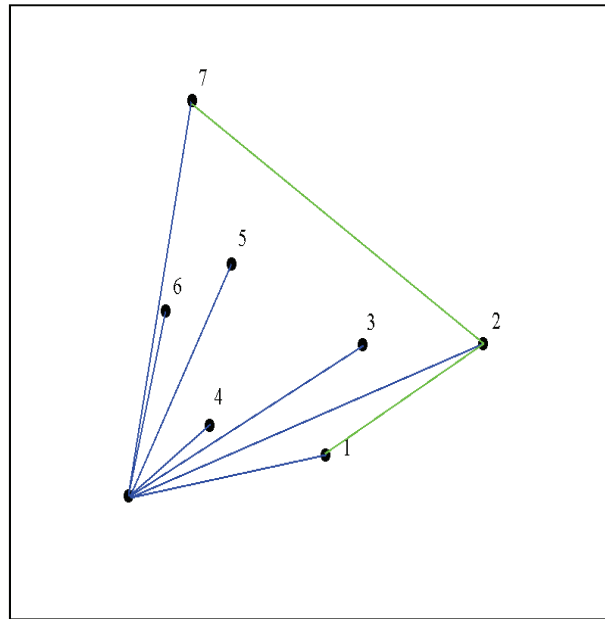
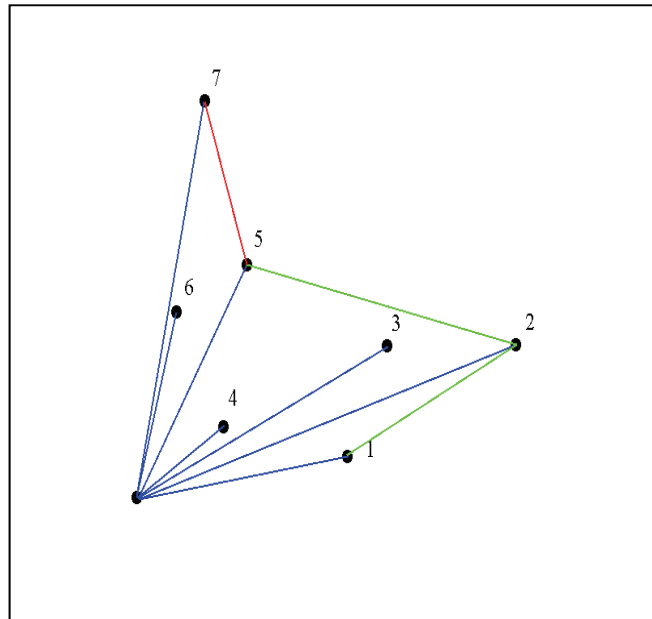
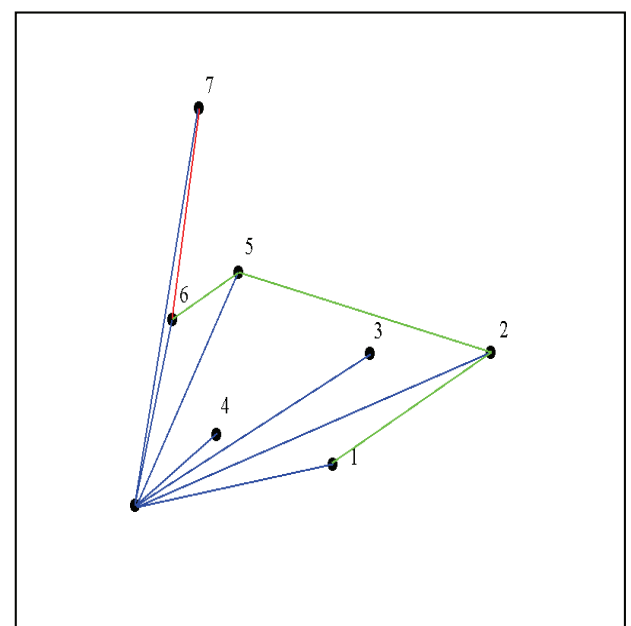
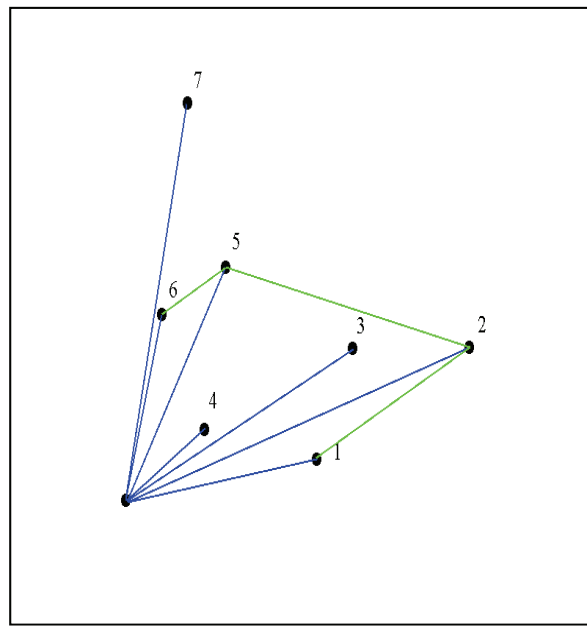
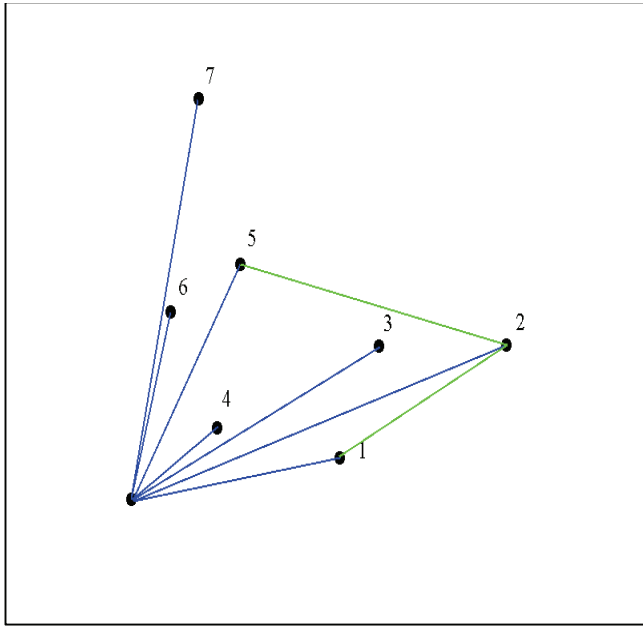
Graham Scan

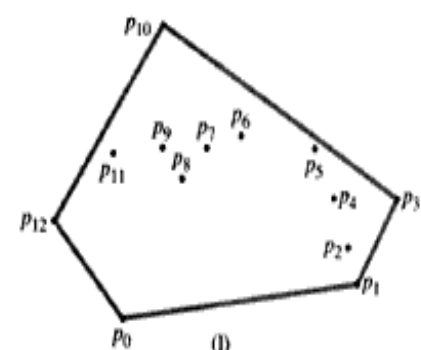
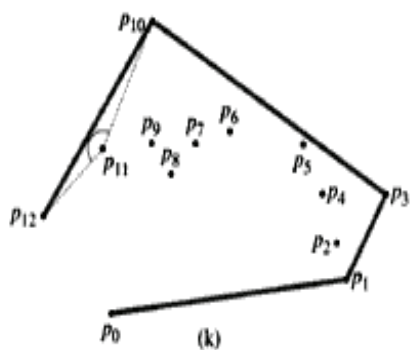
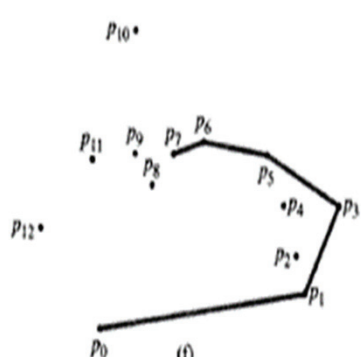
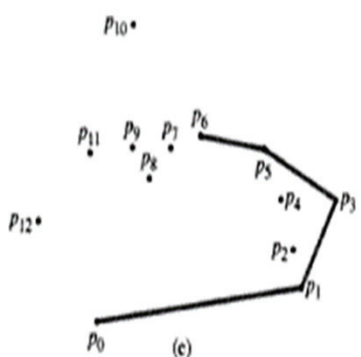
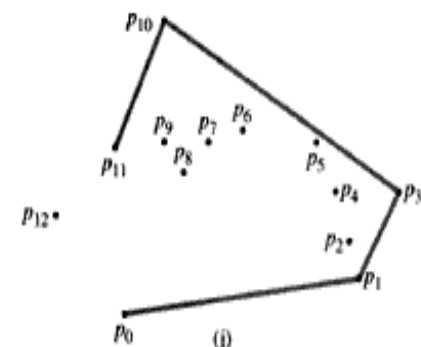
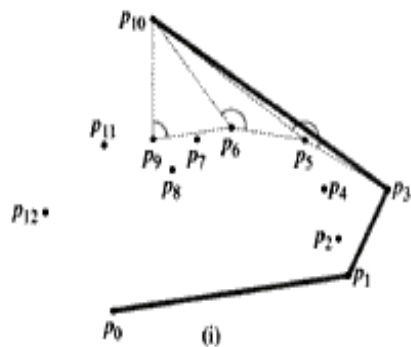
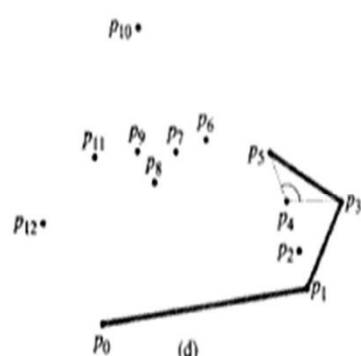
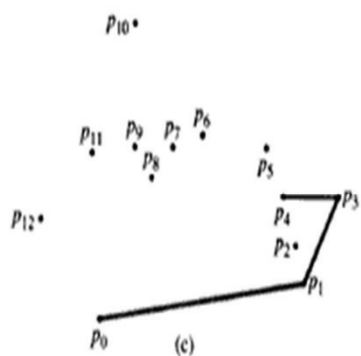
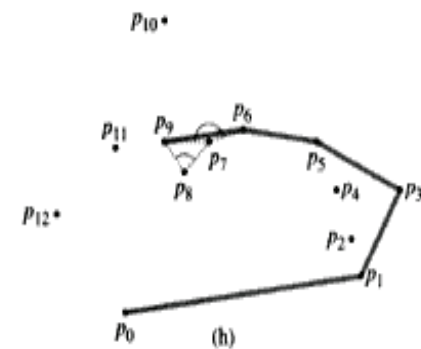
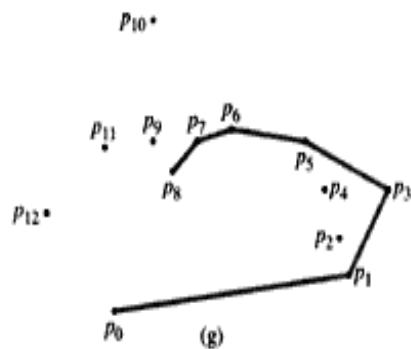
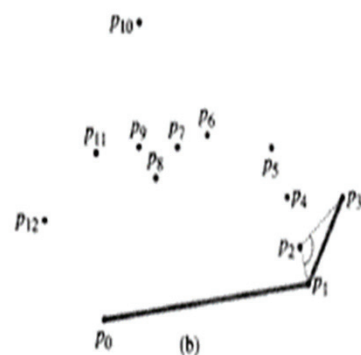
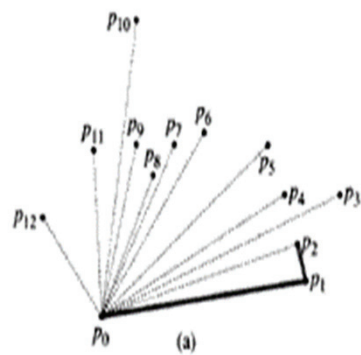
- The Graham scan is a systematic procedure for removing nonconvex vertices from a polygon
- The polygon is traversed counterclockwise and a sequence H of vertices is maintained

```
for each vertex  $r$  of the polygon
  Let  $q$  and  $p$  be the last and second last
  vertex of  $H$ 
  while  $\text{orientation}(p, q, r) = \text{CW or COLL}$ 
    remove  $q$  from  $H$ 
     $q \leftarrow p$ 
     $p \leftarrow$  vertex preceding  $p$  in  $H$ 
  Add  $r$  to the end of  $H$ 
```





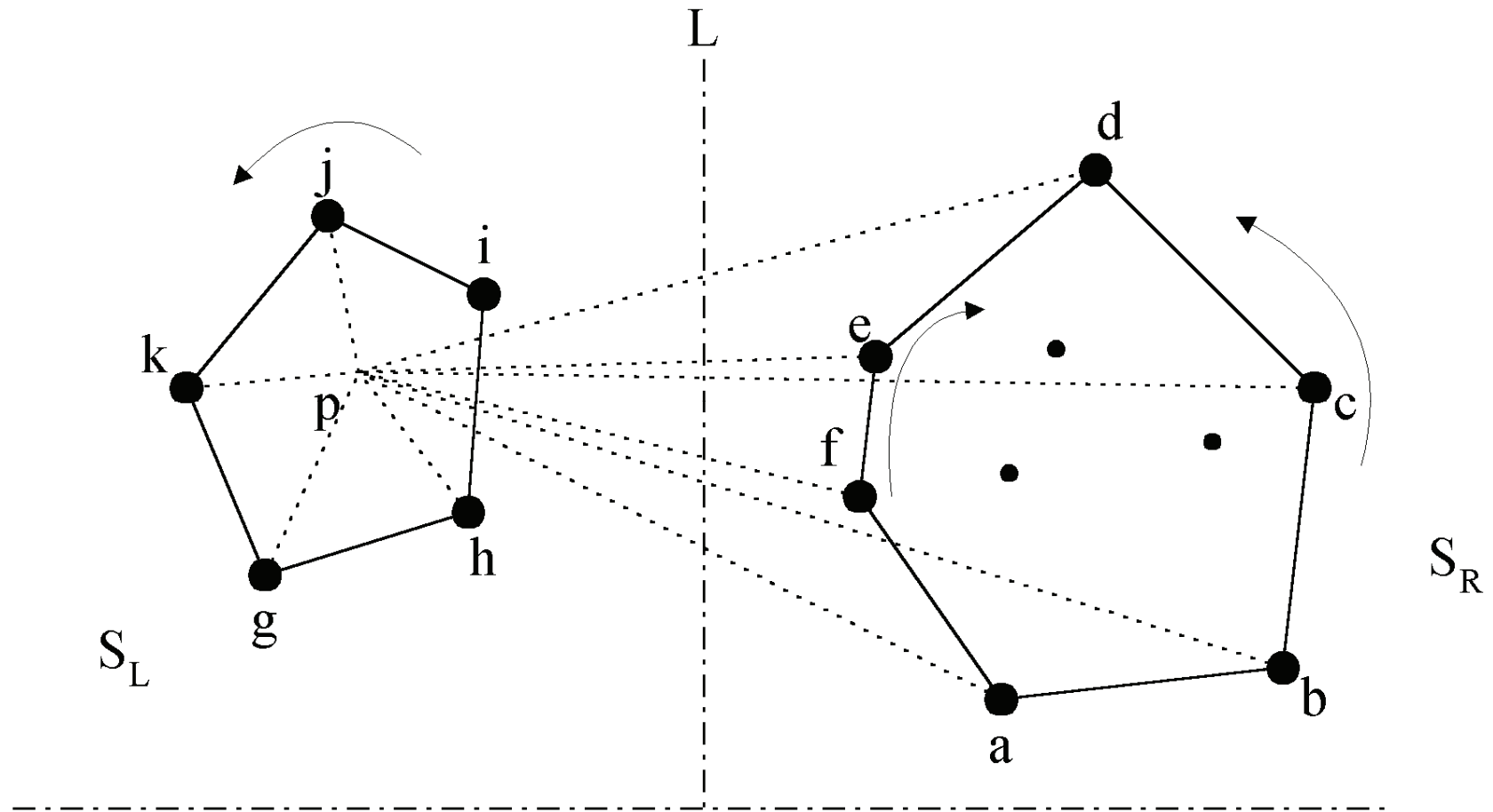




Analysis

- Computing the convex hull of a set of points takes $O(n \log n)$ time
 - Finding the anchor point takes $O(n)$ time
 - Sorting the points counterclockwise around the anchor point takes $O(n \log n)$ time
 - Use the orientation comparator and any sorting algorithm that runs in $O(n \log n)$ time (e.g., heap-sort or merge-sort)
 - The Graham scan takes $O(n)$ time
 - Each point is inserted once in sequence H
 - Each vertex is removed at most once from sequence H

Divide-and-Conquer strategy

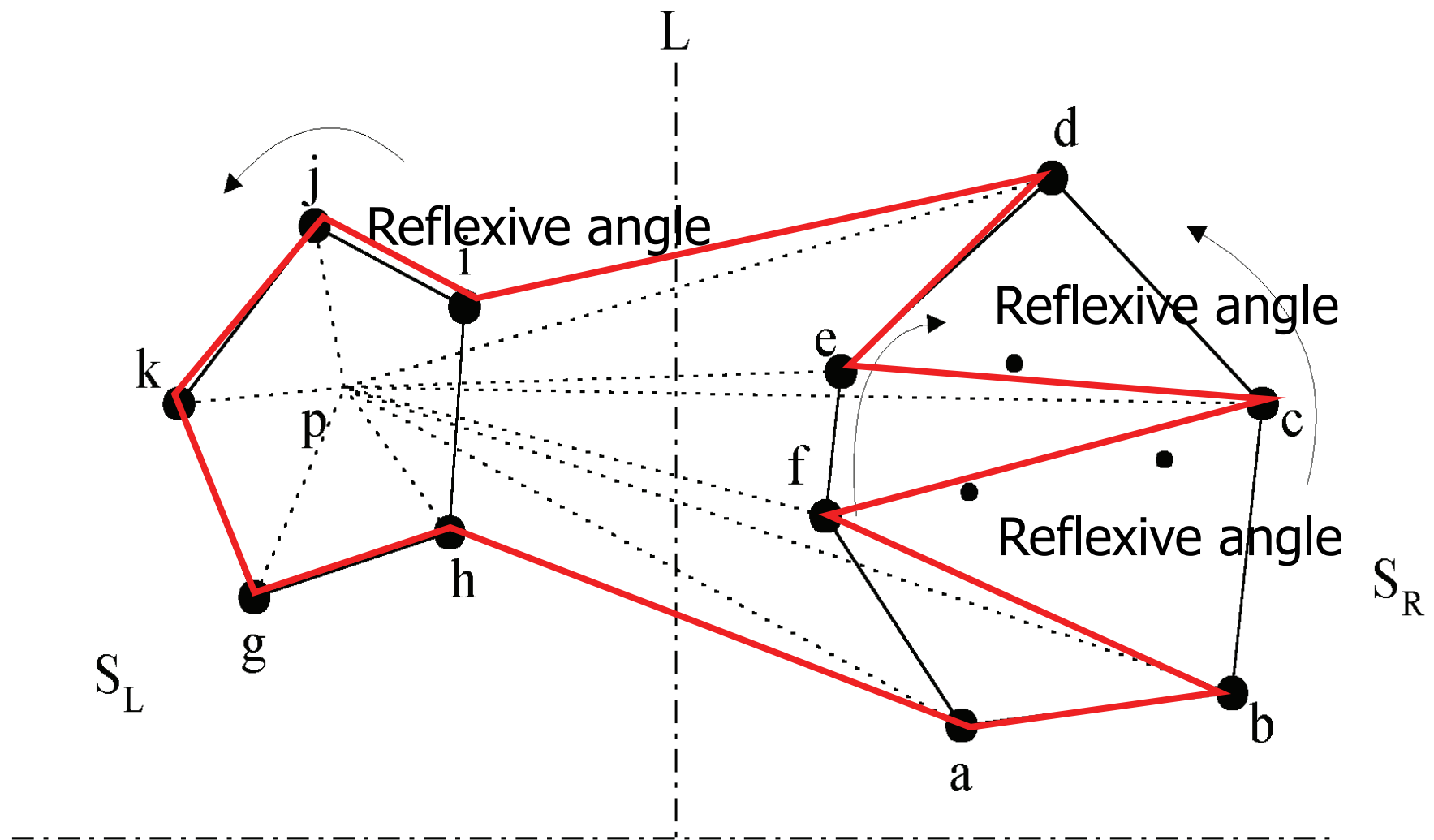


Convex Hull problem

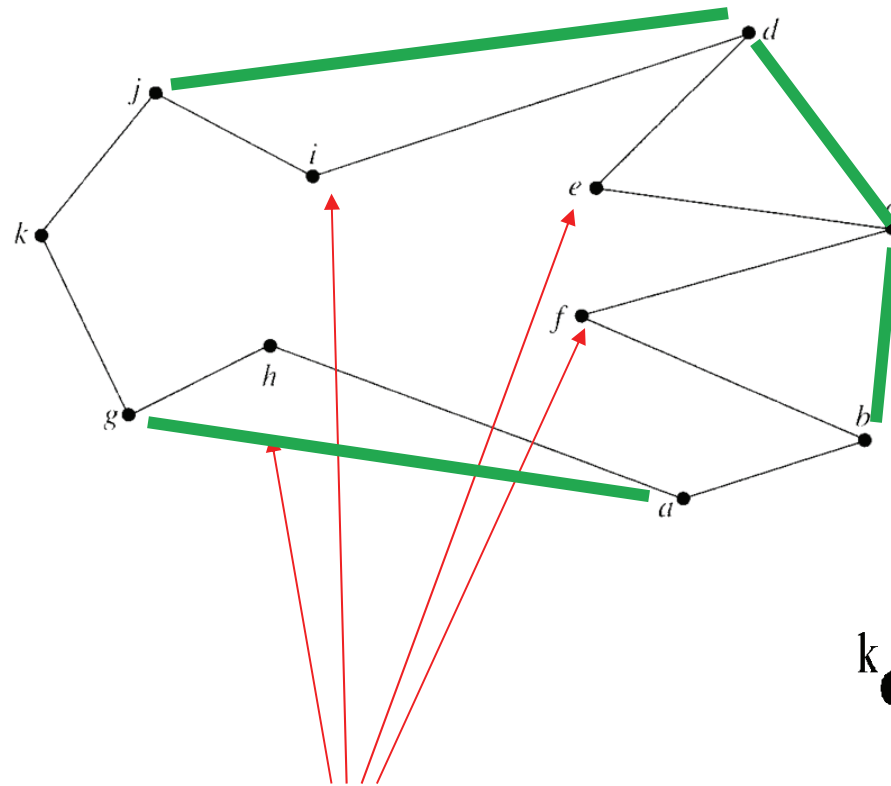
- To find a convex hull, we may use the divide-and-conquer.
- The set of planar points is **divided** into two subsets S_L and S_R by a line perpendicular to the x-axis.
- Convex hulls for S_L and S_R are now constructed and they are denoted as $\text{Hull}(S_L)$, $\text{Hull}(S_R)$ respectively.
- To **combine** $\text{Hull}(S_L)$ and $\text{Hull}(S_R)$ into one convex hull use the **Graham scan**.

Graham scan

- An **interior point** of **Hull(S_L)** is selected.
- Consider the point as the origin.
- Then each other point forms a **polar angle** with interior point.
- All of the points are now sorted with respect to these **polar angle**.
- The Graham scan examines the points one by one and eliminates the points which cause **reflexive angles**.

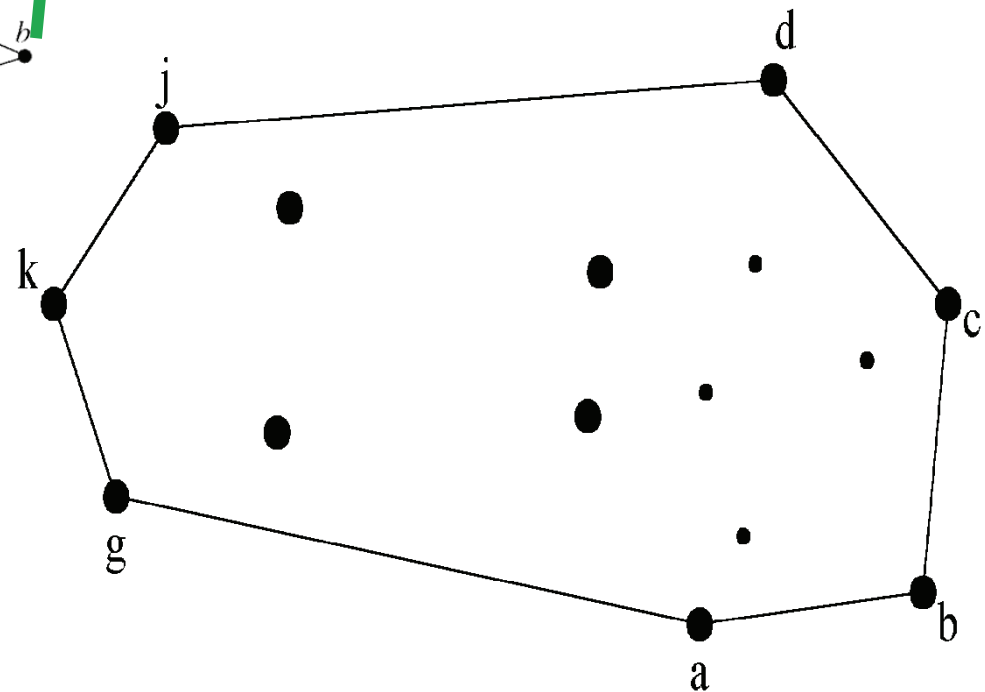


- e.g. points e, h, f and i need to be deleted.



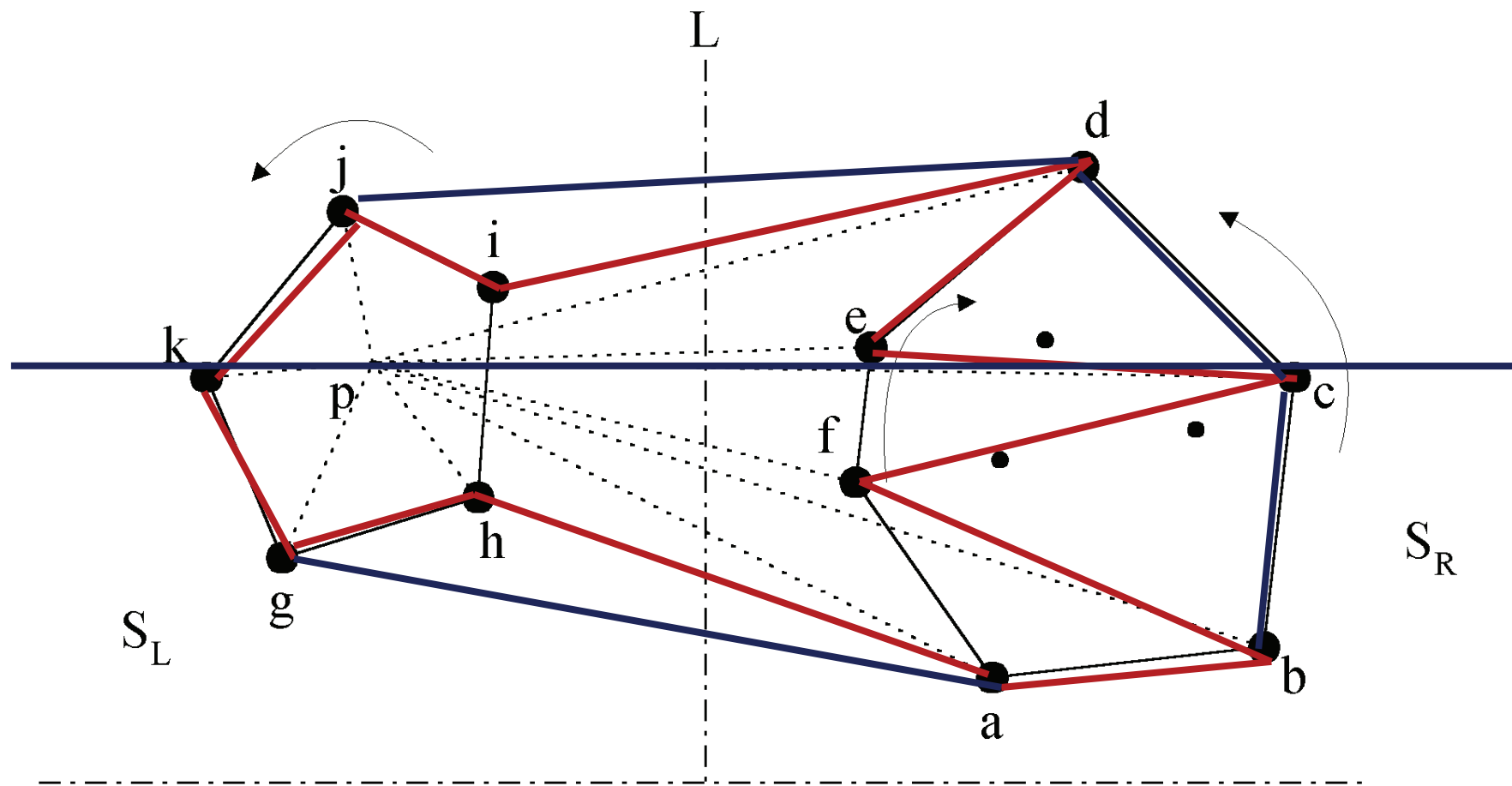
reflexive angles

Final result:



- The merging procedure:
 1. Select an interior point p .
 2. There are 3 sequences of points which have increasing polar angles with respect to p .
 - (1) g, h, i, j, k
 - (2) a, b, c, d
 - (3) f, e
 3. Merge these 3 sequences into 1 sequence:
 $g, h, a, b, f, c, e, d, i, j, k$.
 4. Apply Graham scan to examine the points one by one and eliminate the points which cause reflexive angles.

- The divide-and-conquer strategy to solve the problem:



Divide-and-conquer for convex hull

- Input : A set S of planar points

- Output : A convex hull for S

Step 1: If S contains no more than five points, use exhaustive searching to find the convex hull and return.

Step 2: Find a median line perpendicular to the X -axis which divides S into S_L and S_R ; S_L lies to the left of S_R .

Step 3: Recursively construct convex hulls for S_L and S_R .
Denote these convex hulls by $Hull(S_L)$ and $Hull(S_R)$ respectively.

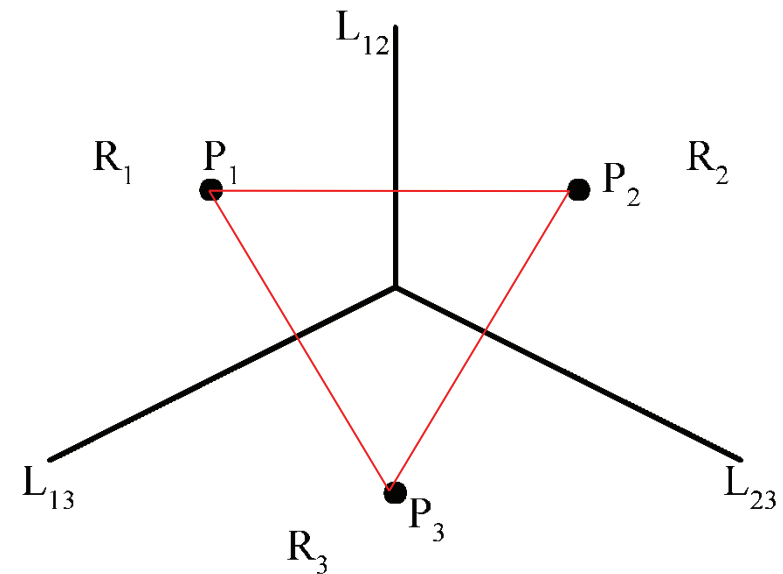
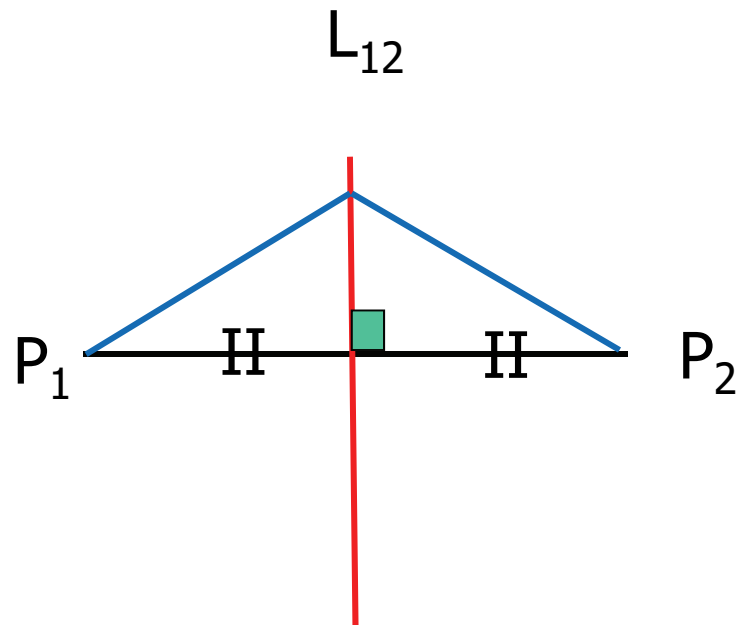
Step 4: Apply the merging procedure to merge $Hull(S_L)$ and $Hull(S_R)$ together to form a convex hull.

- Time complexity: $T(n) = 2T(n/2) + O(n) = O(n \log n)$

The Voronoi diagram problem

The Voronoi diagram problem

The Voronoi diagram for two & three points

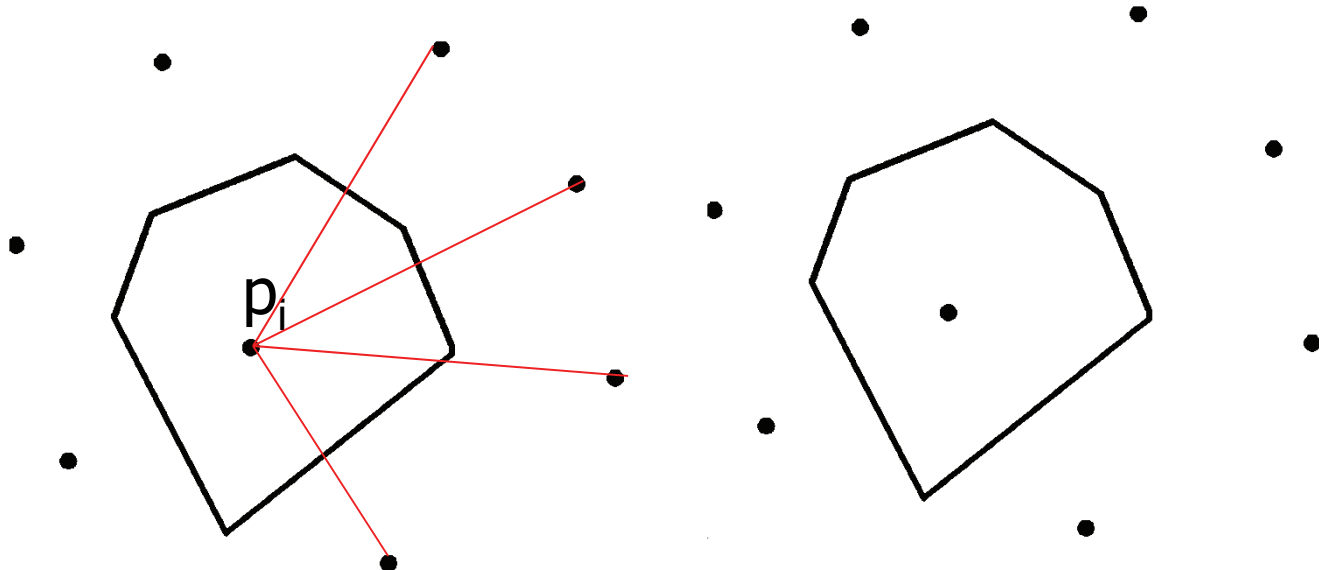


Each L_{ij} is the perpendicular bisector of the line.

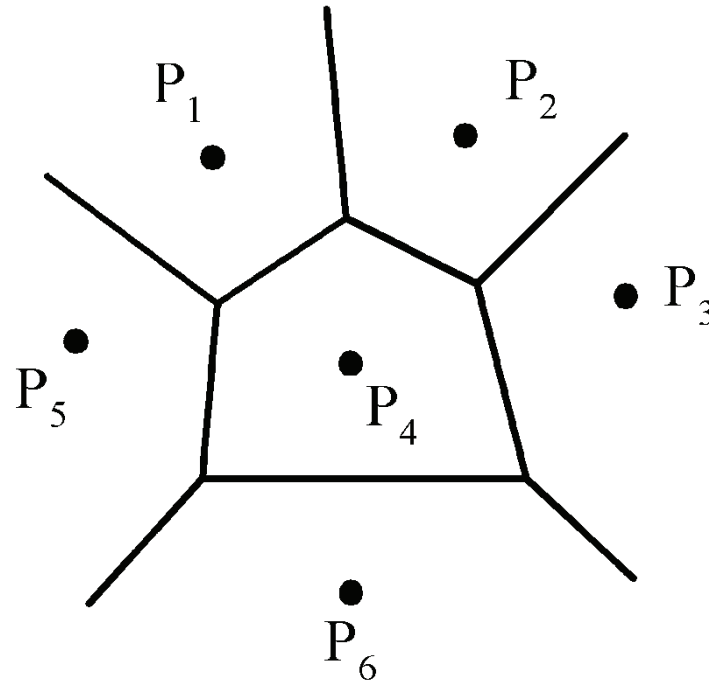
Definition of Voronoi diagrams

- Def : Given two points $P_i, P_j \in S$, let $H(P_i, P_j)$ denote the half plane containing P_i . The Voronoi polygon associated with P_i is defined as

$$\bigcap_{i \neq j} H(P_i, P_j)$$

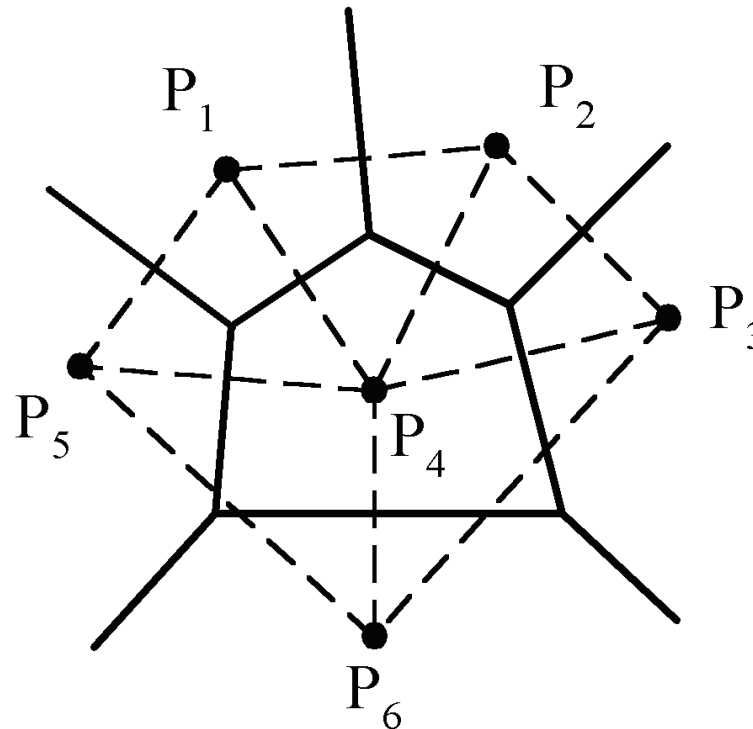


- Given a set of n points, the Voronoi diagram consists of all the Voronoi polygons of these points.



- The vertices of the Voronoi diagram are called Voronoi points and its segments are called Voronoi edges.

Delaunay triangulation



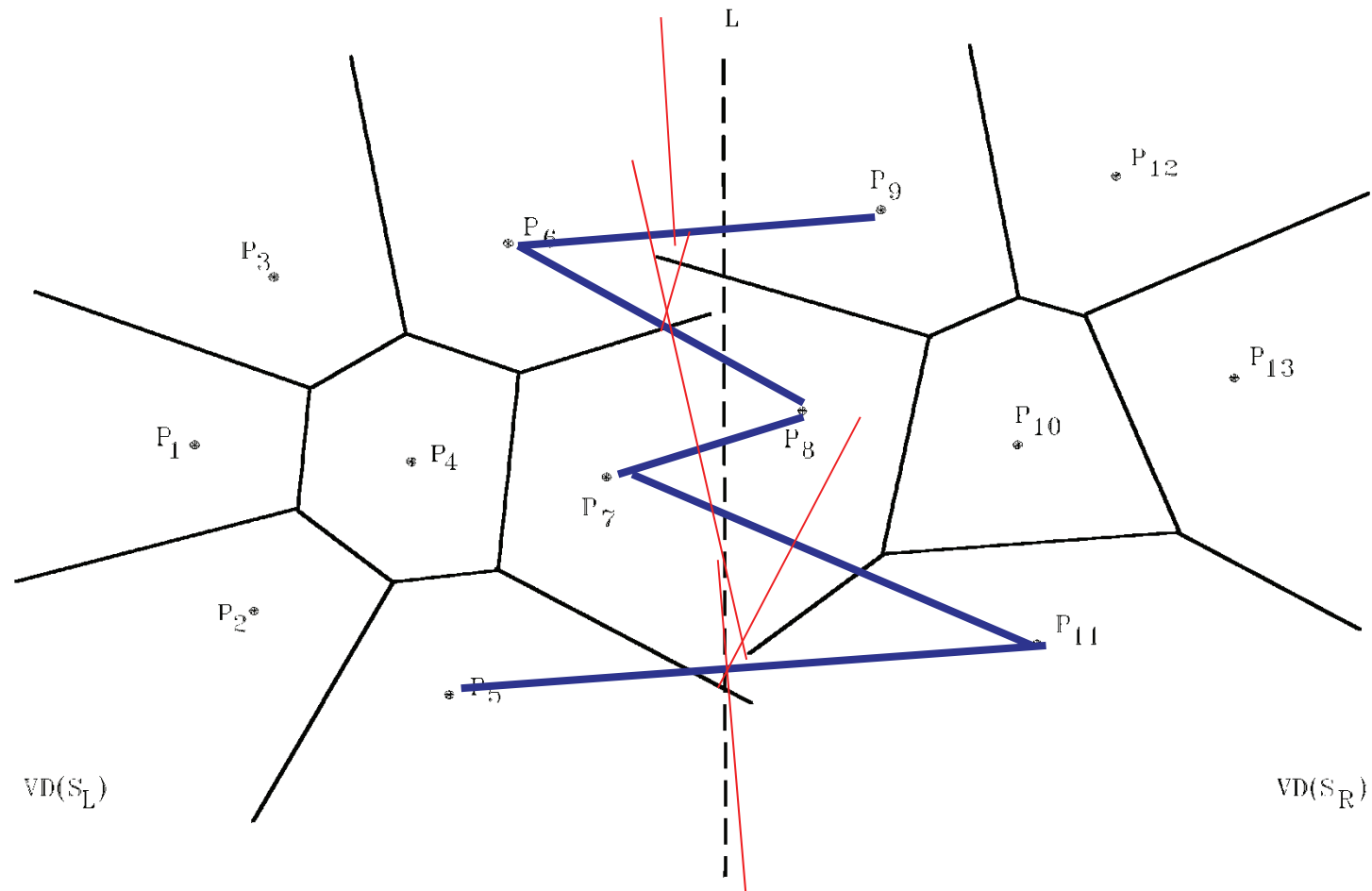
- The straight line dual of a Voronoi diagram is called the *Delaunay triangulation*, in honor of a famous French mathematician.
- There is a line segment connecting P_i and P_j in a Delaunay triangulation if and only if the Voronoi polygons of P_i and P_j share the same edge.

Application of Voronoi Diagram

- Voronoi diagrams are very useful for many purposes:
 - We can solve the so called **all closest pairs problem** by extracting information from the Voronoi diagram.
 - A **minimal spanning tree** can also be found from the Voronoi diagram.

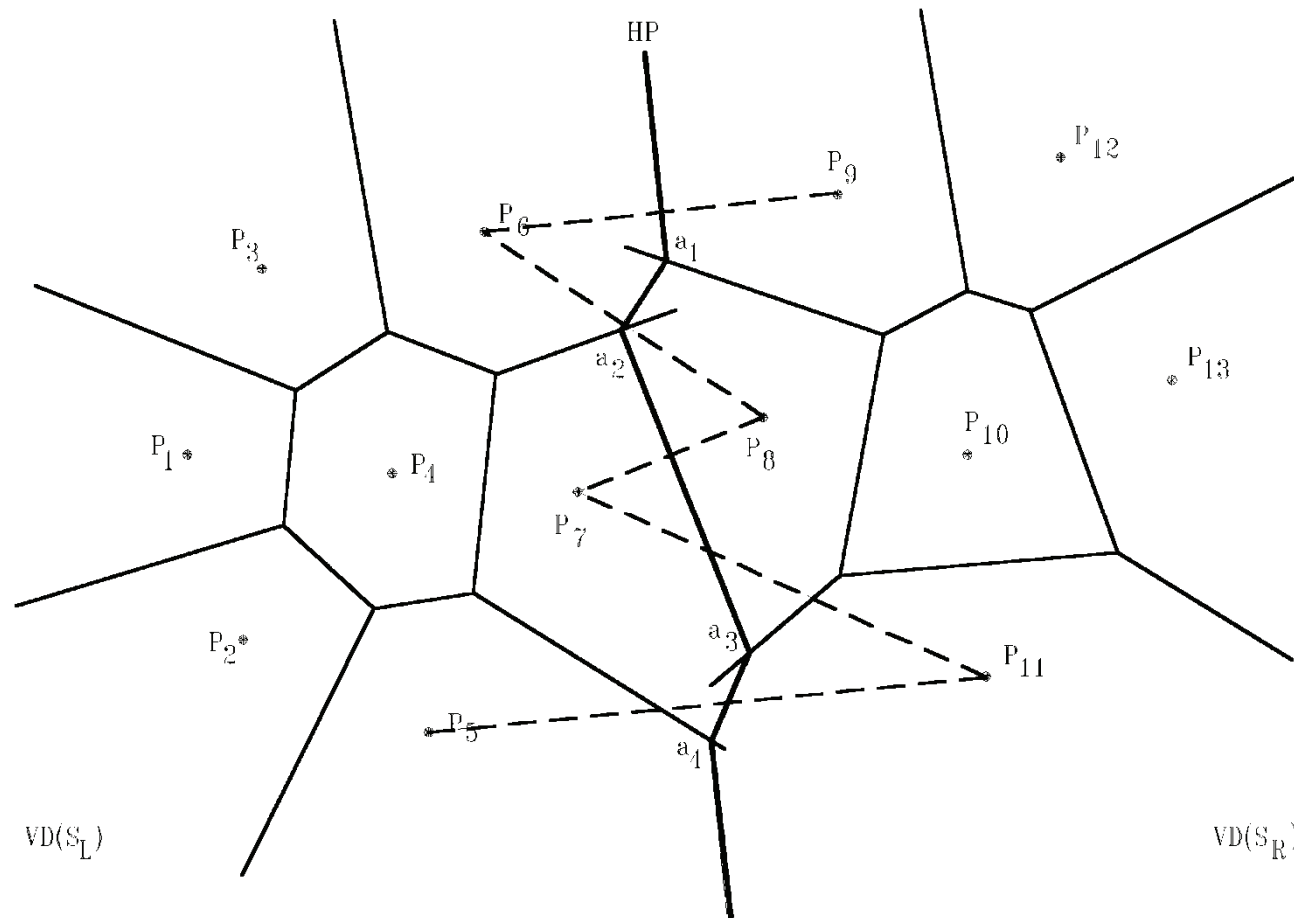
Example for constructing Voronoi diagrams

- Divide the points into two parts.



Merging two Voronoi diagrams

- Merging along the **piecewise linear hyperplane HP**

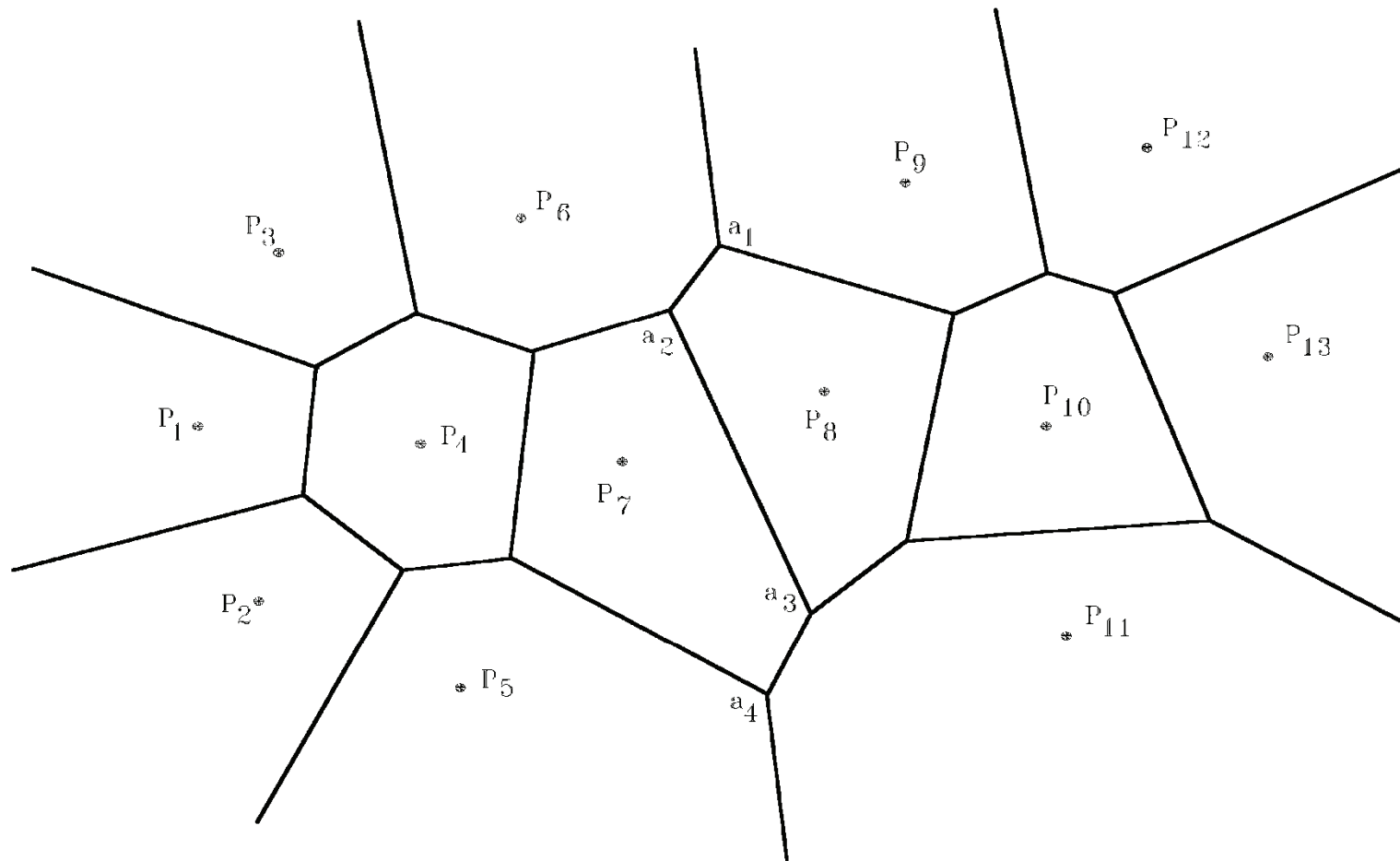


Property of HP

- If a point P is within the left(right) side of HP, the nearest neighbor of P must be a point in $S_L(S_R)$.
- After discarding all of $VD(S_L)$ to the right of HP and all of $VD(S_R)$ to the left of HP, we obtain the resulting Voronoi diagram.

The final Voronoi diagram

- After merging



Divide-and-conquer for Voronoi diagram

- Input: A set S of n planar points.
- Output: The Voronoi diagram of S .

Step 1: If S contains only one point, return.

Step 2: Find a median line L perpendicular to the X -axis which divides S into S_L and S_R such that S_L (S_R) lies to the left(right) of L and the sizes of S_L and S_R are equal.

Step 3: Construct Voronoi diagrams of S_L and S_R recursively.
Denote these Voronoi diagrams by $VD(S_L)$ and $VD(S_R)$.

Step 4: Construct a dividing piece-wise linear hyperplane HP which is the locus of points simultaneously closest to a point in S_L and a point in S_R .

Discard all segments of $VD(S_L)$ which lie to the right of HP and all segments of $VD(S_R)$ that lie to the left of HP.
The resulting graph is the Voronoi diagram of S .

Merging Two Voronoi Diagrams into One Voronoi Diagram

- Input: (a) S_L and S_R where S_L and S_R are divided by a perpendicular line L .

(b) $VD(S_L)$ and $VD(S_R)$.

- Output: $VD(S)$ where $S = S_L \cap S_R$

Step 1: Find the convex hulls of S_L and S_R , denoted as $Hull(S_L)$ and $Hull(S_R)$, respectively. (A special algorithm for finding a convex hull in this case will be given later.)

Step 2: Find segments $\overline{P_a P_b}$ and $\overline{P_c P_d}$ which join $\text{HULL}(S_L)$ and $\text{HULL}(S_R)$ into a convex hull (P_a and P_c belong to S_L and P_b and P_d belong to S_R) Assume that $\overline{P_a P_b}$ lies above $\overline{P_c P_d}$. Let $x = a$, $y = b$, $\text{SG} = \overline{P_x P_y}$ and $\text{HP} = \emptyset$.

Step 3: Find the perpendicular bisector of SG. Denote it by BS. Let $\text{HP} = \text{HP} \cup \{\text{BS}\}$. If $\text{SG} = \overline{P_c P_d}$, go to Step 5; otherwise, go to Step 4.

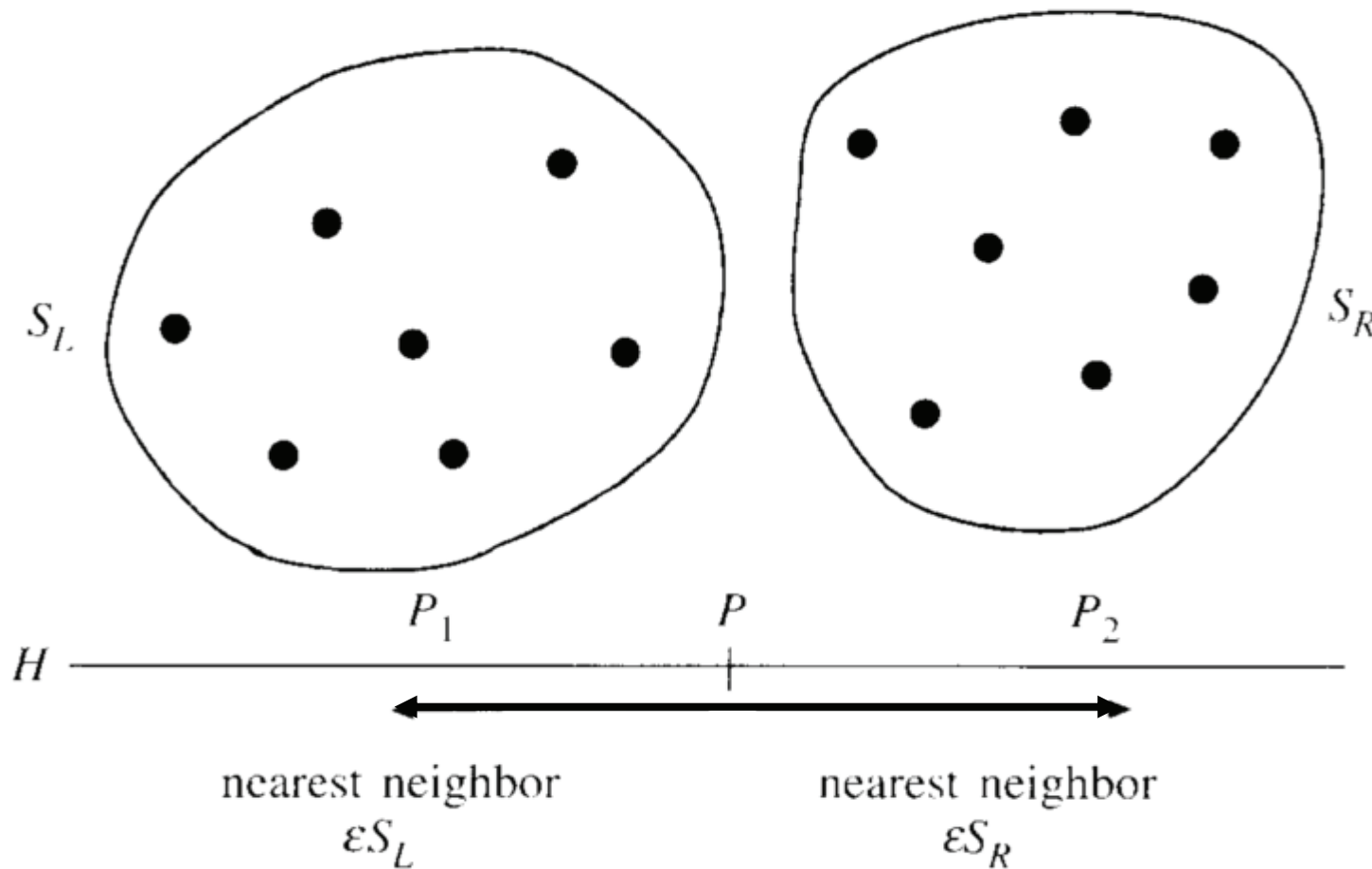
Step 4: The ray from $VD(S_L)$ and $VD(S_R)$ which BS first intersects with must be a perpendicular bisector of either $\overline{P_x P_z}$ or $\overline{P_y P_z}$ for some z . If this ray is the perpendicular bisector of $\overline{P_y P_z}$, then let $SG = \overline{P_x P_z}$; otherwise, let $SG = \overline{P_z P_y}$. Go to Step 3.

Step 5: Discard the edges of $VD(S_L)$ which extend to the right of HP and discard the edges of $VD(S_R)$ which extend to the left of HP. The resulting graph is the Voronoi diagram of $S = S_L \cup S_R$.

Properties of Voronoi Diagrams

- **Def :** Given a point P and a set S of points, the **distance between P and S** is the **distance** between P and P_i which is the nearest neighbor of P in S .
- The HP obtained from the above algorithm is the locus of points which keep equal distances to S_L and S_R .
- The HP is **monotonic** in y .

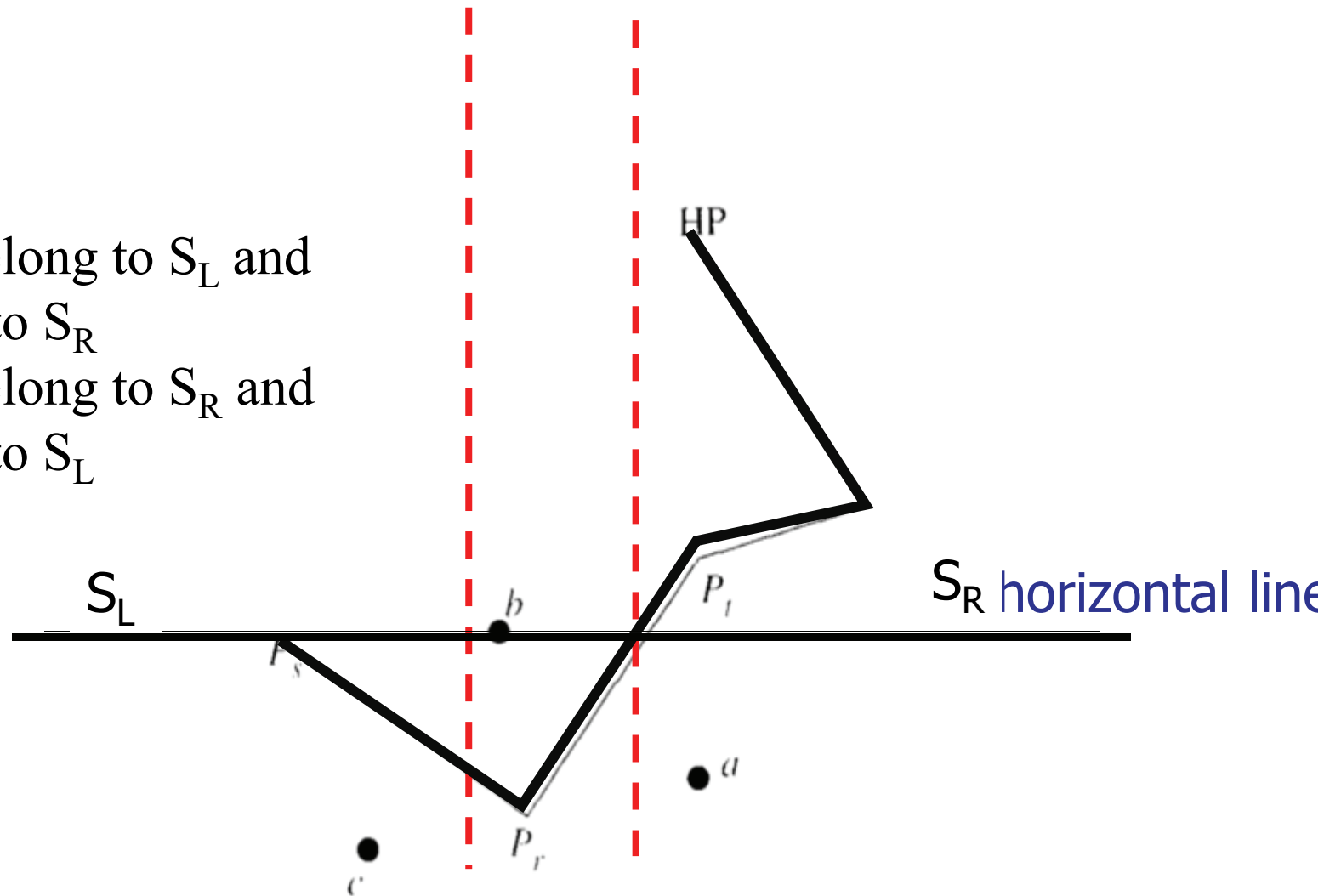
The relationship between a horizontal line H and S_L and S_R .



Each horizontal line H intersects with HP at one and only on point.

The HP is monotonic in y .

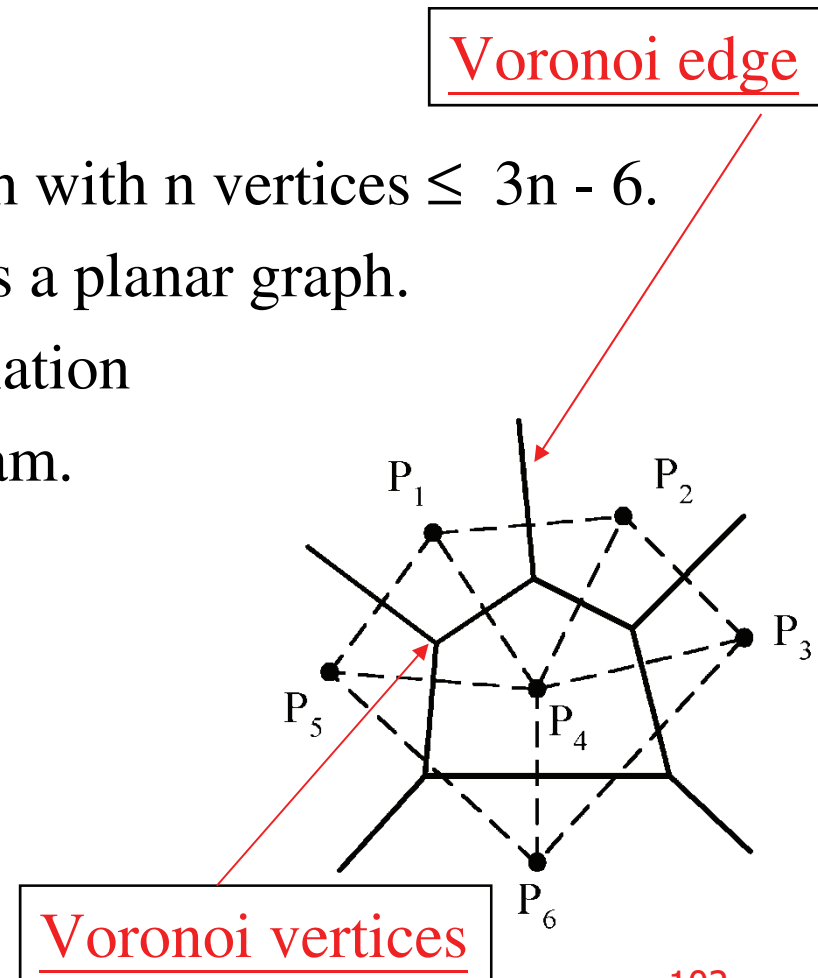
- (1) a, c belong to S_L and b belongs to S_R
- (2) a, c belong to S_R and b belongs to S_L



of Voronoi edges

- # of edges of a Voronoi diagram $\leq 3n - 6$, where n is # of points.
- Reasoning:
 - i. # of edges of a planar graph with n vertices $\leq 3n - 6$.
 - ii. A Delaunay triangulation is a planar graph.
 - iii. Edges in Delaunay triangulation
 $\xleftrightarrow{1-1}$ edges in Voronoi diagram.

Corollary: If G is a connected planar simple graph with E edges and V vertices where $V \geq 3$, then $E \leq 3V - 6$.



of Voronoi vertices

- # of Voronoi vertices $\leq 2n - 4$ (upper bound).

- Reasoning:

- i. Let F , E and V denote # of face(region), edges and vertices in a planar graph.

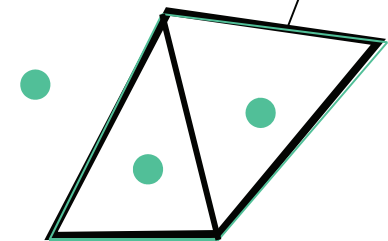
Euler's relation: $F = E - V + 2$.

- ii. In a Delaunay triangulation,

$$V = n, E \leq 3n - 6$$

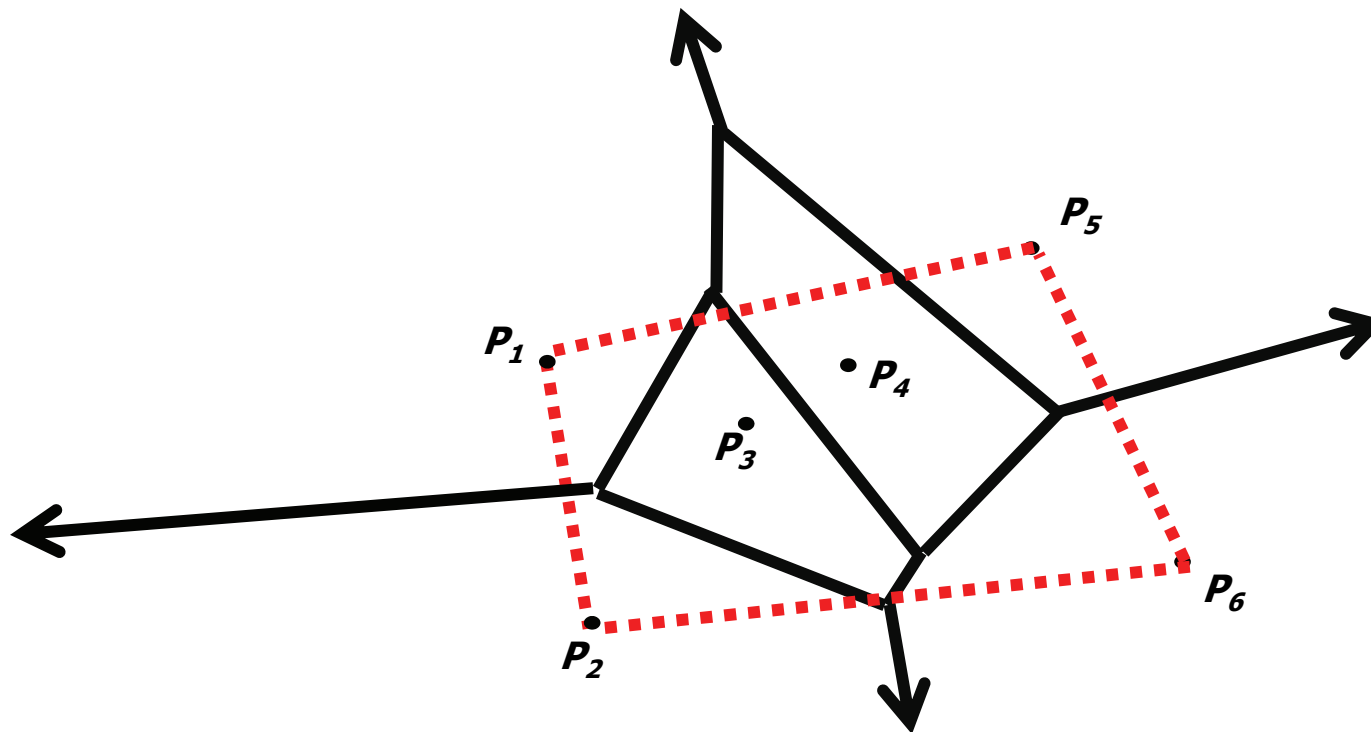
$$\Rightarrow F = E - V + 2 \leq 3n - 6 - n + 2 = 2n - 4.$$

Voronoi vertices



Construct a convex hull from a Voronoi diagram

- After a Voronoi diagram is constructed, a convex hull can be found in $O(n)$ time.
- Connecting the points associated with the **infinite rays**.



Construct Convex Hull from Voronoi diagram

Step 1: Find an infinite ray by examining all Voronoi edges. $O(n)$

Step 2: Let P_i be the point to the left of the infinite ray. P_i is a convex hull vertex. Examine the **Voronoi polygon** of P_i to find the next infinite ray.

Step 3: Repeat Step 2 until we return to the Starting ray.

Time complexity

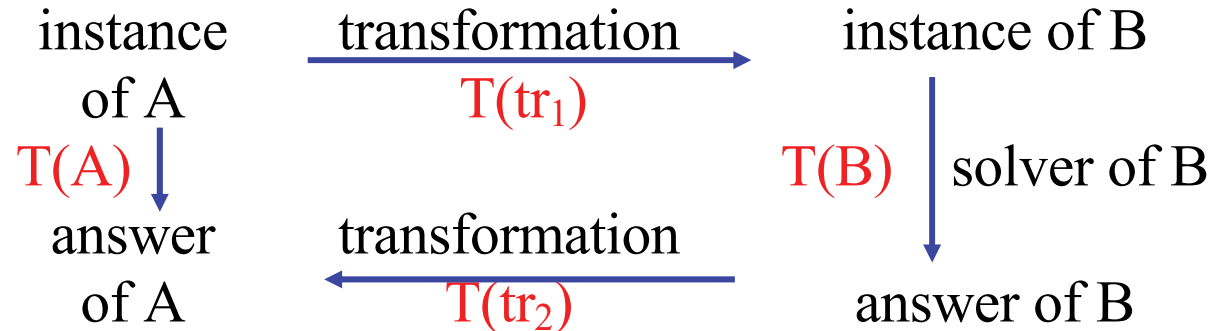
- Time complexity for merging 2 Voronoi diagrams:
Total: $O(n)$
 - Step 1: $O(n)$
 - Step 2: $O(n)$
 - Step 3 ~ Step 5: $O(n)$
(at most $3n - 6$ edges in $VD(S_L)$ and $VD(S_R)$ and at most n segments in HP)
- Time complexity for constructing a Voronoi diagram: $O(n \log n)$
because $T(n) = 2T(n/2) + O(n) = O(n \log n)$

Finding lower bound by problem transformation

Problem A reduces to problem B ($A \propto B$)

iff A can be solved by using any algorithm which solves B.

If $A \propto B$, B is more difficult.



Note: $T(tr_1) + T(tr_2) < T(B)$

$T(A) \leq T(tr_1) + T(tr_2) + T(B) \sim O(T(B))$

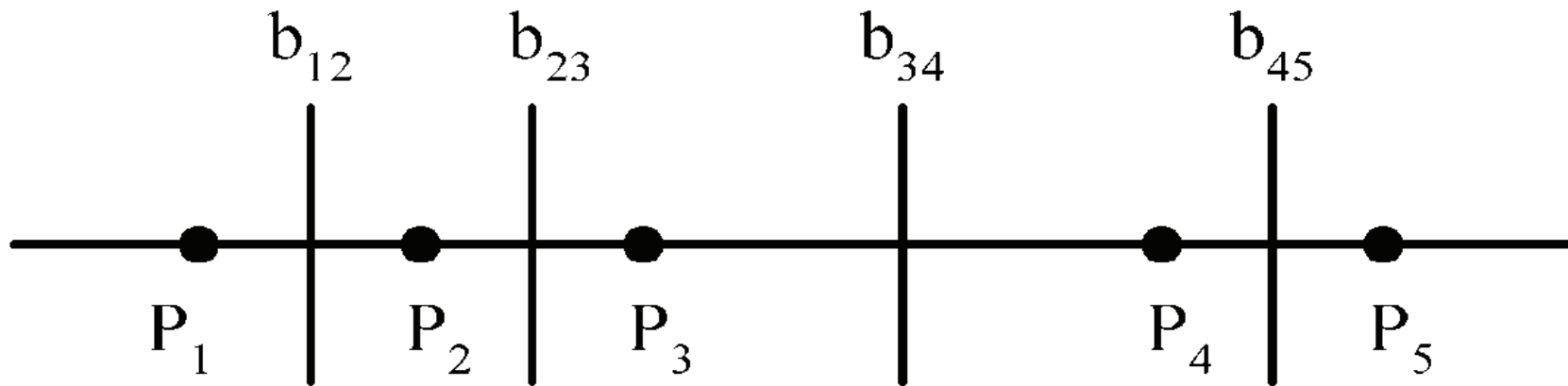
Lower bound of the Voronoi diagram

- Let us consider a set of points on a **straight line**.
- The Voronoi diagram of such a set of points consists of a set of bisecting lines.
- **After these lines have been constructed, a linear scanning of these Voronoi edges will accomplish the function of sorting.**
- In other words, *the Voronoi diagram problem can not be easier than the sorting problem.*
- A lower bound of the Voronoi diagram problem is therefore $\Omega(n \log n)$ and the algorithm is consequently optimal.

Lower bound

- The lower bound of the Voronoi diagram problem is $\Omega(n \log n)$.

sorting \propto Voronoi diagram problem



The Voronoi diagram for a set of points on a straight line

Applications of Voronoi diagrams

Applications of Voronoi diagrams

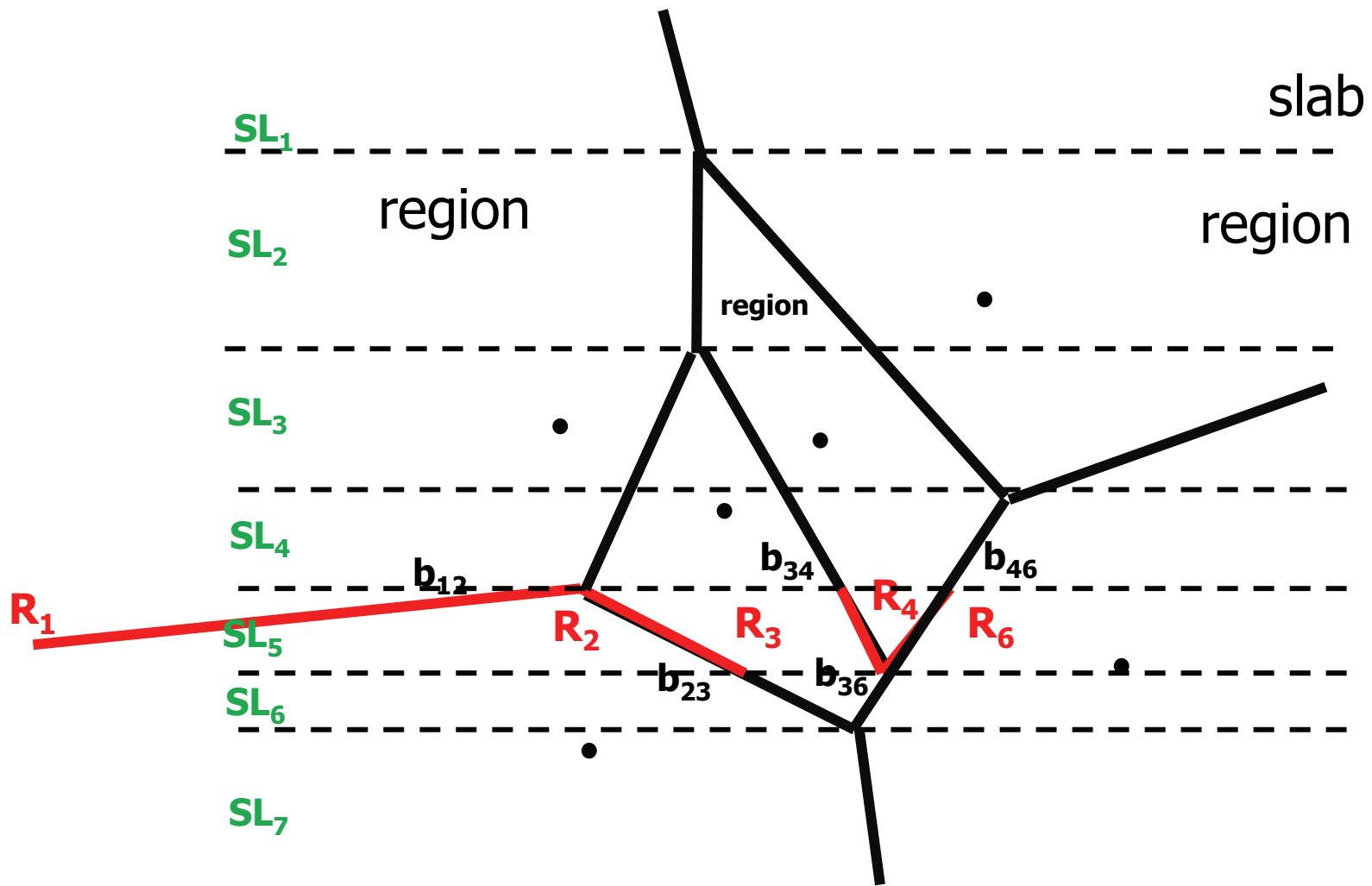
- The Euclidean nearest neighbor searching problem.
- The Euclidean all nearest neighbor problem.

The Euclidean nearest neighbor searching problem.

- The Euclidean nearest neighbor searching problem is defined as follows: We are given a set of n planar points: P_1, P_2, \dots, P_n , and a testing point P . Our problem is to find **a nearest neighbor of P** among P_i 's and the distance used is the Euclidean distance.
- A straightforward method is to conduct an exhaustive search. This algorithm would be an **$O(n)$** algorithm.
- Using the Voronoi diagram, we can reduce the searching time to **$O(\log n)$** with preprocessing time **$O(n \log n)$** .

- Note that the Voronoi diagram divides the entire plane into regions R_1, R_2, \dots, R_n . Within each region R_i , there is a point P_i .
- If a testing point falls within region R_i , then its nearest neighbor, among all points, is P_i .
- Therefore, we may avoid an exhaustive search by simply transforming the problem into a region location problem.
- That is, if we can determine which region R_i a testing point is located, we can determine a nearest neighbor of this testing point.

- A Voronoi diagram is a planar graph.
- Our first step is to sort these Voronoi vertices according to their y-values.
- The Voronoi vertices are labeled V_1, V_2, \dots, V_6 according to their decreasing y-values. For each Voronoi vertex, a horizontal line is drawn passing this vertex.
- These horizontal lines divide the entire space into slabs.



Euclidean nearest neighbor searching algorithm

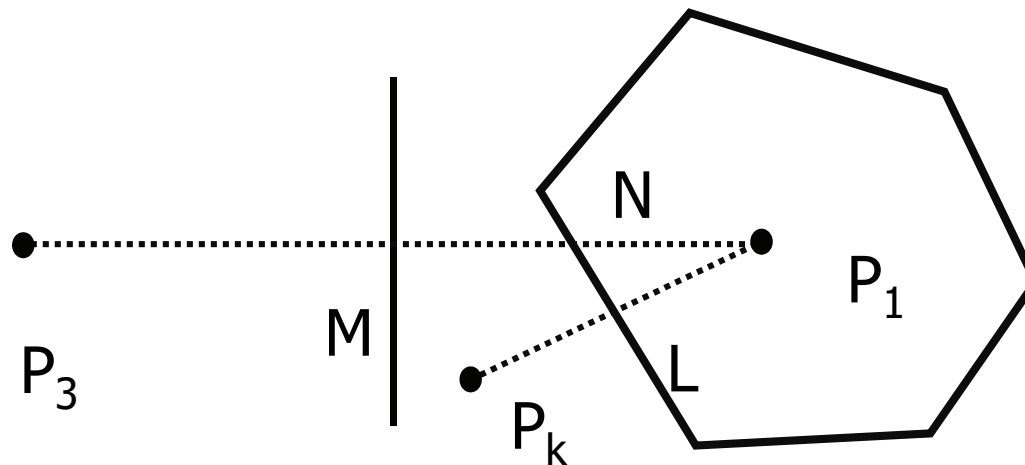
- Conduct a binary search to **determine which slab** this testing point is located. Since there are at most $O(n)$ Voronoi vertices, this can be done in $O(\log n)$ time.
- Within each slab, conduct a binary search to **determine which region** this point is located in. Since there are at most $O(n)$ Voronoi edges, this can be done in $O(\log n)$ time.
- The total searching time is $O(\log n)$.
- It is easy to see that the **preprocessing time** is $O(n \log n)$, essentially the time needed to construct the Voronoi diagram.

The Euclidean all nearest neighbor problem.

- We are given a set of n planar points P_1, P_2, \dots, P_n .
- The Euclidean **closest pair problem** is to find a nearest neighbor of every P_i .
- Properties:
 - *If P_j is a nearest neighbor of P_i , then P_i and P_j share the same Voronoi edge.*
 - *Moreover, the **midpoint** of segment P_iP_j is located exactly on this commonly shared Voronoi edge.*

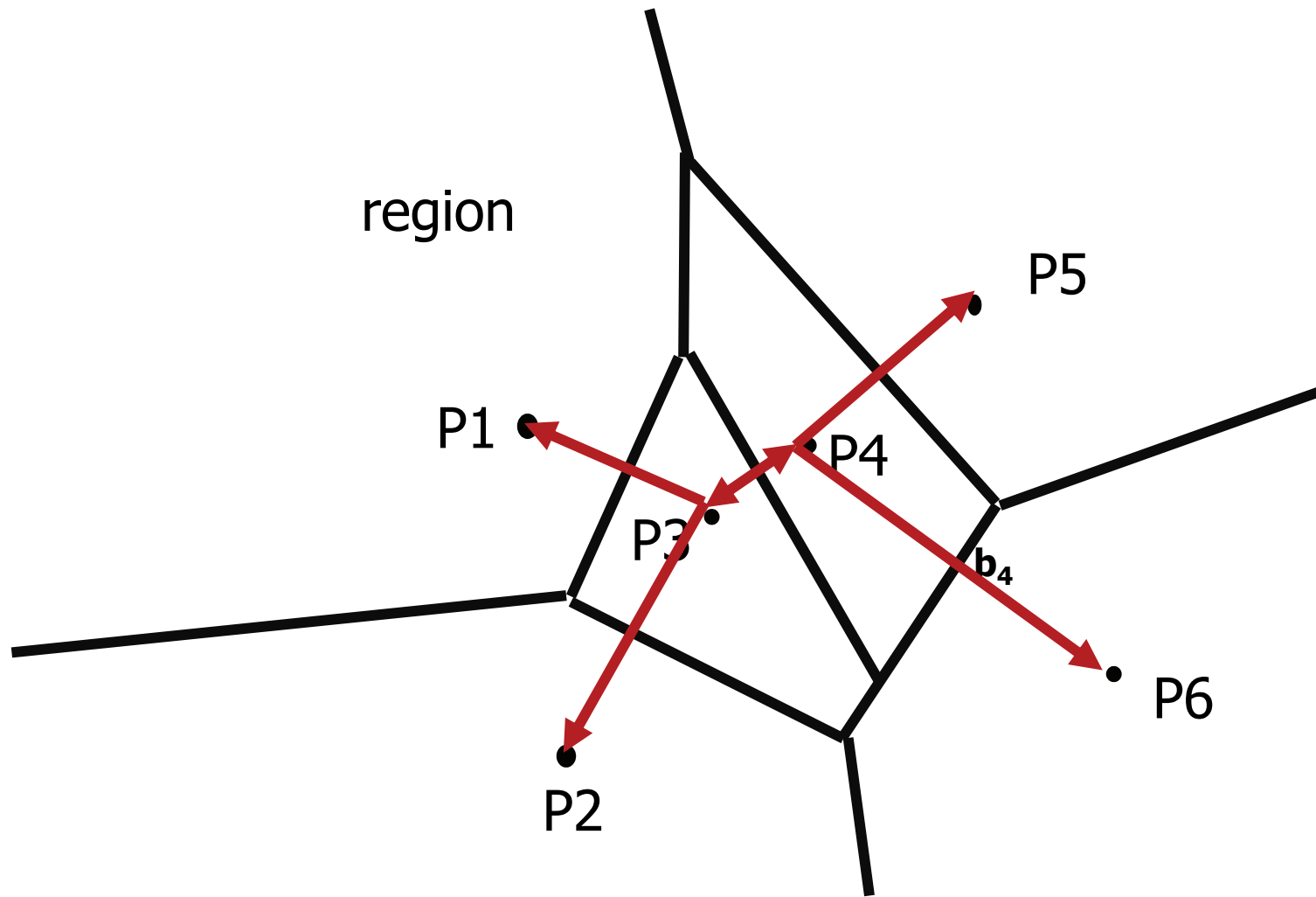
Proof

- We shall show this **property by contradiction**.
- Suppose that P_i and P_j do not share the same Voronoi edge.
By the definition of Voronoi polygons, the perpendicular bisector of $P_i P_j$ must be outside of the Voronoi polygon associated with P_i .
- Let P , P_i intersect the bisector at M and some Voronoi edge at N .



Euclidean all nearest neighbor problem

- Given the above property, the Euclidean all nearest neighbor problem can be solved by examining every Voronoi edge of each Voronoi polygon.
- Since each Voronoi edge is shared by **exactly two Voronoi polygons**, no Voronoi edge is examined more than twice.
- That is, this Euclidean all nearest neighbor problem can be solved in linear time after the Voronoi diagram is constructed.
- Thus this problem can be solved in **$O(n \log n)$ time**.



Matrix multiplication

Matrix multiplication

- Let A , B and C be $n \times n$ matrices

$$C = AB$$

$$C(i, j) = \sum_{1 \leq k \leq n} A(i, k)B(k, j)$$

- The straightforward method to perform a matrix multiplication requires $O(n^3)$ time.

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & b_{22} & b_{23} \\ b_{31} & b_{32} & b_{33} \end{bmatrix} = \begin{bmatrix} c_{11} & c_{12} & c_{13} \\ c_{21} & c_{22} & c_{23} \\ c_{31} & c_{32} & c_{33} \end{bmatrix}$$

$$c_{ij} = \sum_{k=1}^n a_{ik} \cdot b_{kj}$$

n 個乘法, $n-1$ 個加法,
產生了一個entry, 共有 n^2 個
entries

Divide-and-conquer approach

- $C = AB$

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$C_{11} = A_{11} B_{11} + A_{12} B_{21}$$

$$C_{12} = A_{11} B_{12} + A_{12} B_{22}$$

$$C_{21} = A_{21} B_{11} + A_{22} B_{21}$$

$$C_{22} = A_{21} B_{12} + A_{22} B_{22}$$

- Time complexity:

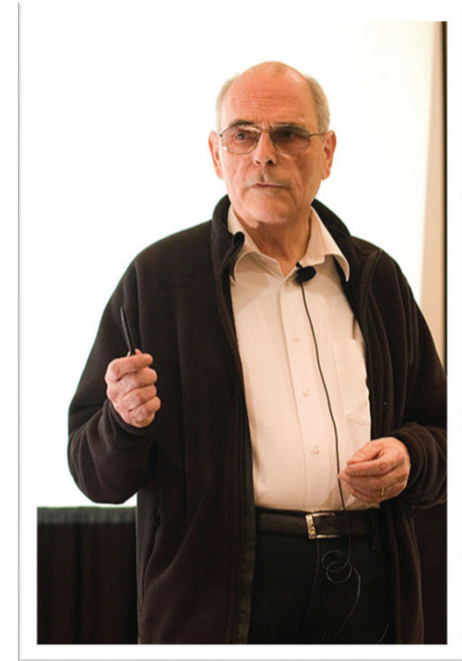
$$T(n) = \begin{cases} b & , n \leq 2 \\ 8T(n/2) + cn^2 & , n > 2 \end{cases}$$

$$T(n) = \Theta(1) + 8T\left(\frac{n}{2}\right) + \Theta(n^2) = 8T\left(\frac{n}{2}\right) + \Theta(n^2)$$

(# of additions : n^2) We get $T(n) = O(n^3)$

Strassen's matrix multiplication

- $P = (A_{11} + A_{22})(B_{11} + B_{22})$
 $Q = (A_{21} + A_{22})B_{11}$
 $R = A_{11}(B_{12} - B_{22})$
 $S = A_{22}(B_{21} - B_{11})$
 $T = (A_{11} + A_{12})B_{22}$
 $U = (A_{21} - A_{11})(B_{11} + B_{12})$
 $V = (A_{12} - A_{22})(B_{21} + B_{22}).$
- $C_{11} = P + S - T + V$
 $C_{12} = R + T$
 $C_{21} = Q + S$
 $C_{22} = P + R - Q + U$



德國數學家
Volker
Strassen
攝於2009年

Time complexity

- 7 multiplications and 18 additions or subtractions
- Time complexity:

$$T(n) = \begin{cases} b & , n \leq 2 \\ 7T(n/2) + an^2 & , n > 2 \end{cases}$$

$$\begin{aligned} T(n) &= an^2 + 7T(n/2) \\ &= an^2 + 7(a(n/2)^2 + 7T(n/4)) \\ &= an^2 + (7/4)an^2 + 7^2T(n/4) \\ &= \dots \\ &\vdots \\ &= an^2(1 + 7/4 + (7/4)^2 + \dots + (7/4)^{k-1} + 7^kT(1)) \\ &\leq cn^2(7/4)^{\log_2 n} + 7^{\log_2 n}, \quad c \text{ is a constant} \\ &= cn^{\log_2 4 + \log_2 7 - \log_2 4} + n^{\log_2 7} \\ &= O(n^{\log_2 7}) \\ &\cong O(n^{2.81}) \end{aligned}$$