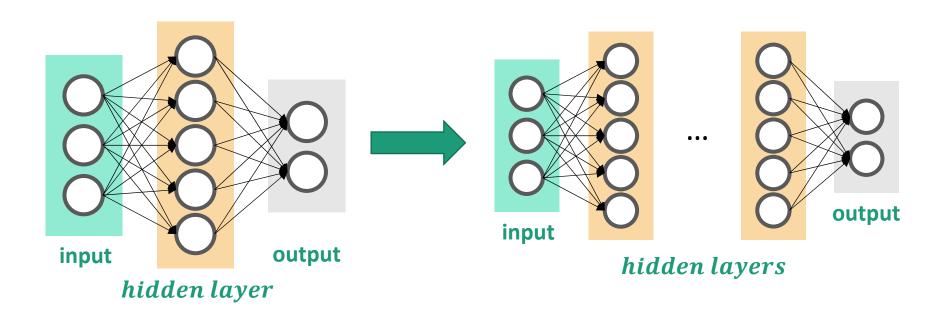
# Deep Learning

### The "deep" in deep learning

- Deep learning:
  - puts an emphasis on learning successive layers of increasingly meaningful representations,
  - How many layers contribute to a model of the data is called the depth of the model. (tens or even hundreds)
  - Also named as layered representations learning and hierarchical representations learning.

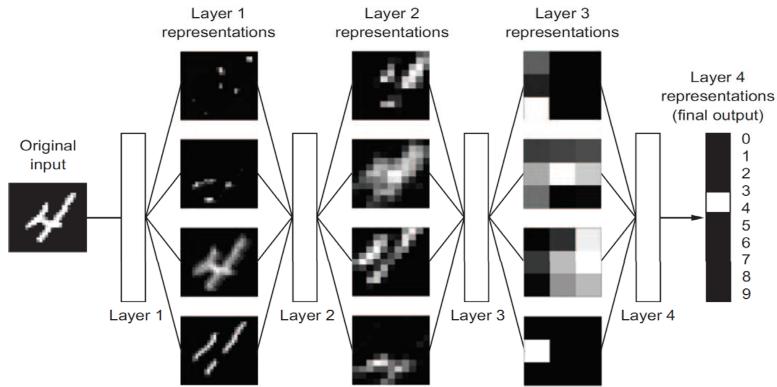
### **Deep Neural Network**

• Deep: more hidden layers

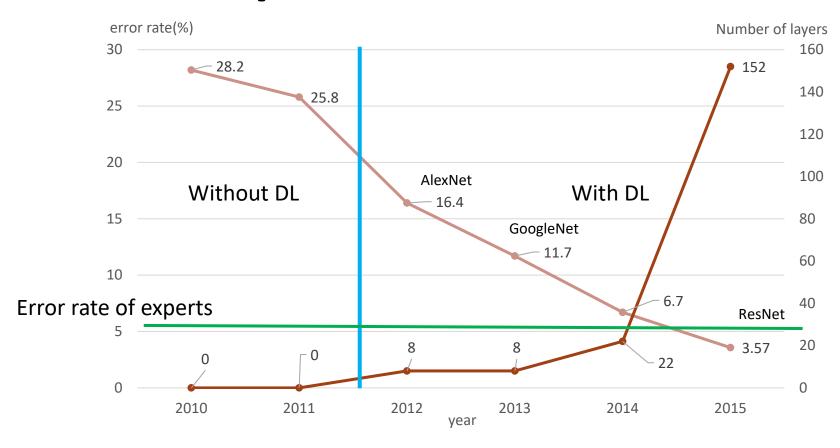


### **Deep Neural Network**

 You can think of a deep network as a multistage information-distillation operation, where information goes through successive filters and comes out increasingly purified (that is, useful with regard to some task).



### The Deeper the Better?



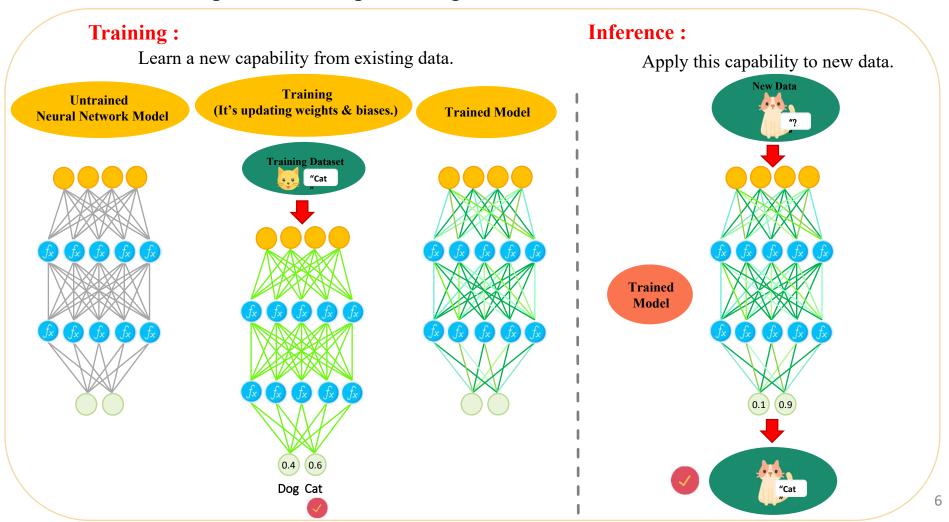
Olga Russakovsky\*, Jia Deng\*, Hao Su, Jonathan Krause, Sanjeev Satheesh, Sean Ma, Zhiheng Huang, Andrej Karpathy, Aditya Khosla, Michael Bernstein, Alexander C. Berg and Li Fei-Fei.

ImageNet Large Scale Visual Recognition Challenge. IJCV, 2015.



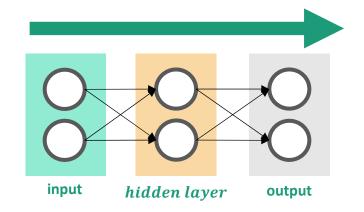
### Two Phases of Deep Learning

• There are two phases in deep learning:

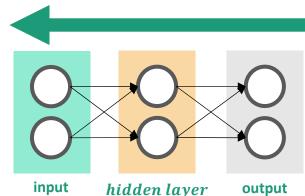


#### **How to Train the NNs**

- Input some examples
- Calculate the output
  - Forward propagation



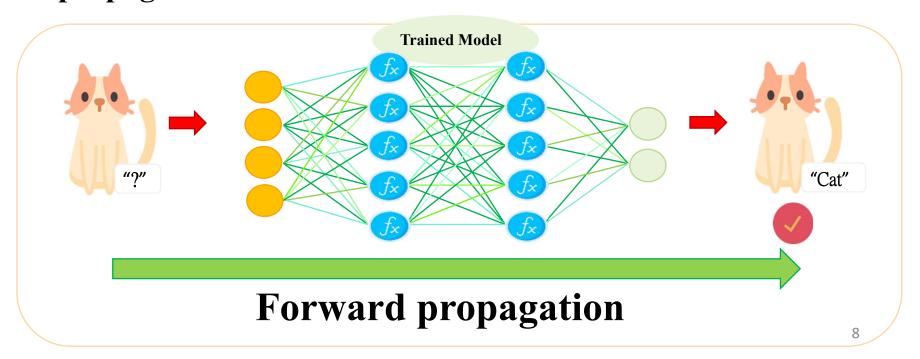
- Measure the errors between the outputs and answers
- Update the weights in NN
  - Back propagation





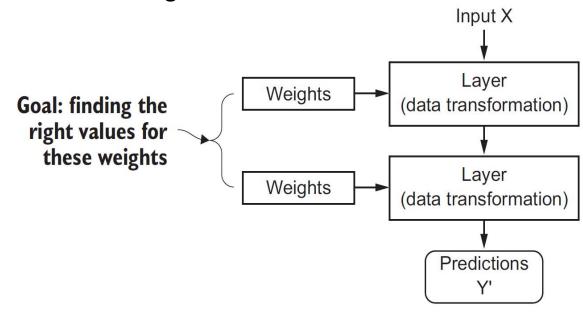
#### **Forward Propagation**

- After a neural network is trained, it is deployed to run inference to classify, recognize, and process new inputs without updating parameters.
- The inference(predict) processing is also known as "forward propagation."



### How deep learning works?

- The specification of what a layer does to its input data is stored in the layer's weights, which in essence are a bunch of numbers.
- The transformation implemented by a layer is *parameterized* by its weights (or *parameters*).
- In this context, *learning* means finding a set of values for the weights of all layers in a network, such that the network will correctly map example inputs to their associated targets.



#### **Problem**

- Causing problems:
  - A deep neural network can contain tens of millions of parameters.
  - Finding the correct value for all of them may seem like a daunting task, especially given that modifying the value of one parameter will affect the behavior of all the others!

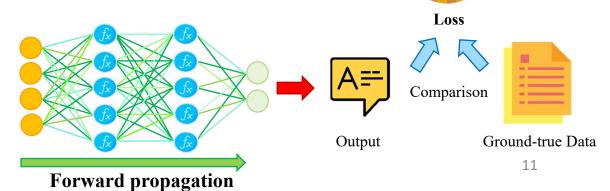
#### Method

- To control the output of a neural network, you need to be able to measure how far this output is from what you expected.
- This is the job of the *loss function* of the network, also called the *objective function*.
- The loss function takes the predictions of the network and the true target (what you wanted the network to output) and computes a distance score, capturing how well the network has done on this specific example.

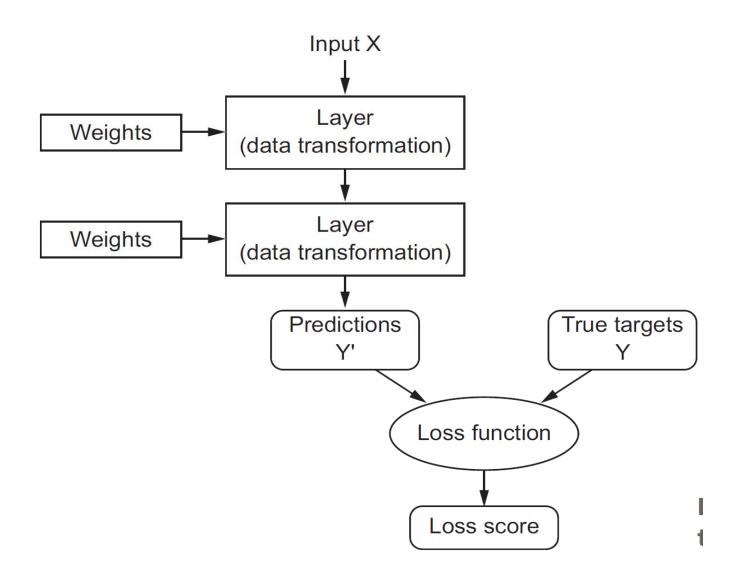


#### **Loss Function**

- Before mentioning backward propagation, we have to know about loss function, **gradient**, and **gradient descent** first.
- Loss function is a criterion that evaluates the performance of neural networks.
   It qualifies the agreement between the predicted output and the ground truth output.
- Neural networks calculate the loss of training data and find a set of parameters at the minimum value of loss function.
- There are two commonly used loss functions:
  - Mean square error.
  - Cross-entropy error.



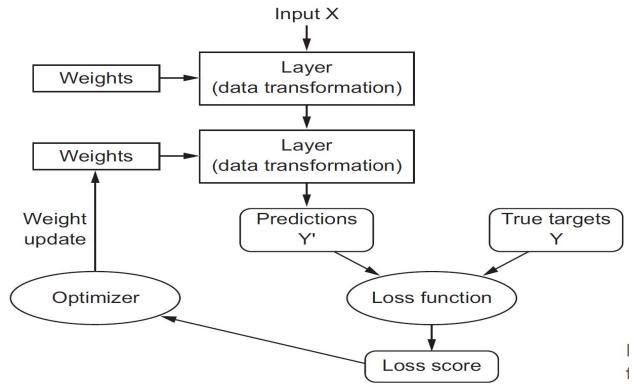
### **Loss Function**



### Feedback and Backpropagation algorithm

 Deep Learning model uses this loss score as a feedback signal to adjust the value of the weights a little, in a direction that will lower the loss score for the current example.

• This adjustment is the job of the *optimizer*, which implements what's called the *Backpropagation* algorithm: the central algorithm in deep learning.

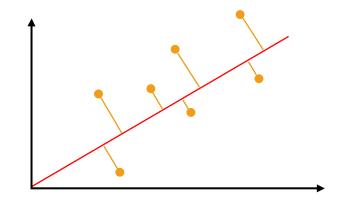




#### **Mean Square Error**

• Mean square error (MSE) is a measure of the quality of an estimator: The difference between the estimators and what is estimated, is always non-negative, and values closer to zero are better.

$$E = \frac{1}{k} \sum_{k} (y_k - t_k)^2$$



$$t_k = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad y_k = \begin{bmatrix} 0.4 \\ 0.6 \end{bmatrix} \longrightarrow E = 0.16$$

Training data (one-hot encoding)

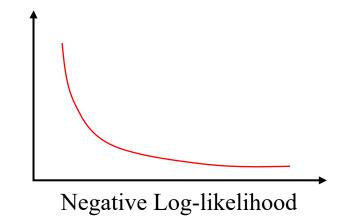
Outputs of the network



#### **Cross-Entropy**

Cross-entropy measures the difference between two probability distributions. If outputs approximate to corresponding labels, the result of cross-entropy is close to zero.

$$E = -\sum_{k} t_k \log y_k$$



$$t_k = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \qquad y_k = \begin{bmatrix} 0.4 \\ 0.6 \end{bmatrix} \longrightarrow E = 0.736$$

Training data (one-hot encoding)

Outputs of the network

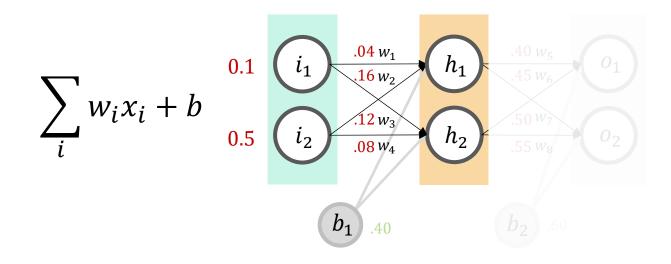
### **Training loop**

- Training loop:
  - Initially, the weights of the network are assigned random values, so the network merely implements a series of random transformations.
  - Naturally, its output is far from what it should ideally be, and the loss score is accordingly very high.
  - But with every example the network processes, the weights are adjusted a little in the correct direction, and the loss score decreases.
- The training loop repeated a sufficient number of times
- A network with a minimal loss is one for which the outputs are as close as they can be to the targets: a trained network.

### **Updating Weights**

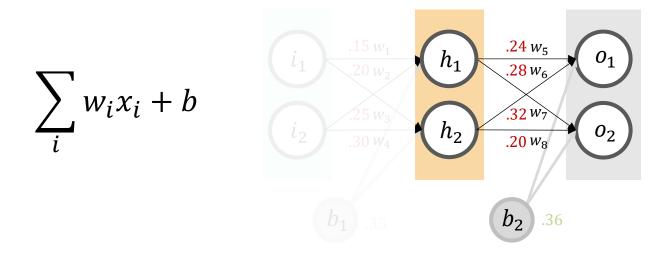
- The only layer with the answer is the output layer
  - The only layer we can know the errors
- We need to update the weights from the output layer to hidden layers
- Solution: Back-propagation

### **Forward Propagation**



$$net_{h_1} = w_1 * i_1 + w_2 * i_2 + b_1 * 1$$
  
 $= 0.04 * 0.1 + 0.12 * 0.5 + 0.40 * 1$   
 $= 0.464$   
 $out_{h_1} = \frac{1}{1 + e^{-net_{h_1}}} = \frac{1}{1 + e^{-0.464}}$   
 $= 0.613962657$   
 $out_{h_2} = 0.611114647$ 

### **Forward Propagation**



$$net_{o_1} = w_5 * out_{h_1} + w_6 * out_{h_2} + b_2 * 1$$

$$= 0.24 * 0.613962657 + 0.32 * 0.611114647 + 0.36 * 1$$

$$= 0.702907725$$

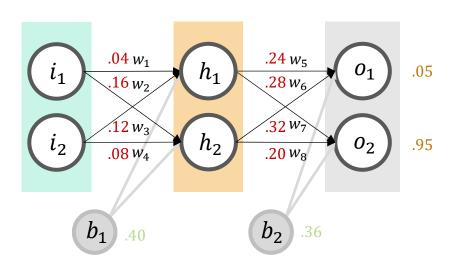
$$out_{o_1} = \frac{1}{1 + e^{-net_{o_1}}} = \frac{1}{1 + e^{-0.702907725}}$$

$$= 0.668832137$$

$$out_{o_2} = 0.657941101$$

### The Errors of Outputs

$$E_{total} = \sum_{i=1}^{n} \frac{1}{2} (target - output)^2$$



$$E_{o_1} = \frac{1}{2}(target - output)^2$$
$$= \frac{1}{2}(0.05 - 0.668832137)^2$$
$$= 0.191476607$$

The total error for the neural network is the sum of these errors:

$$E_{total} = E_{o_1} + E_{o_2} = 0.191476607 + 0.042649200 = 0.234125807$$

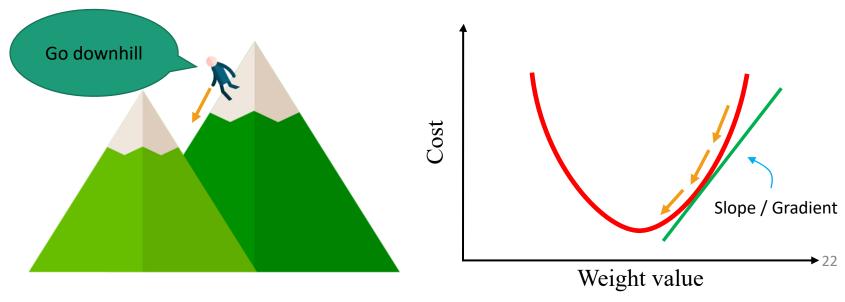
### **Updating Weights**

- The only layer with the answer is the output layer
  - The only layer we can know the errors
- We need to update the weights from the output layer to hidden layers
- Solution: Back-propagation



#### **Gradient Descent**

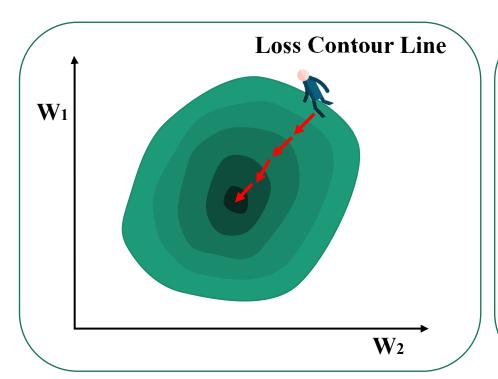
- Neural networks will find the best solution of parameters in the training phase while minimizing the loss function.
- □ In most cases, these parameters cannot be solved analytically, but they can be approximated well with iterative optimization algorithms like gradient descent.
- □ If we want to **minimize the loss function**, the parameters are updated to the negative direction of differential value (**gradient or slope**).

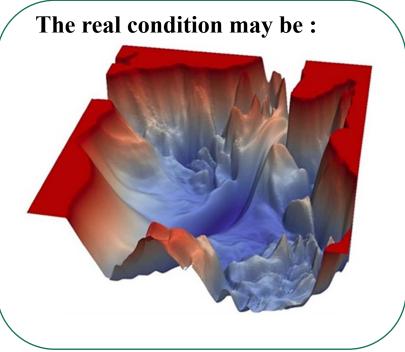




#### **Gradient Descent**

- Gradients in deep learning can be calculated by :  $\frac{\partial L}{\partial W}$ 
  - *L* is the loss function.
  - W is all weights in a neural network.
- If there are only two weights in loss function:

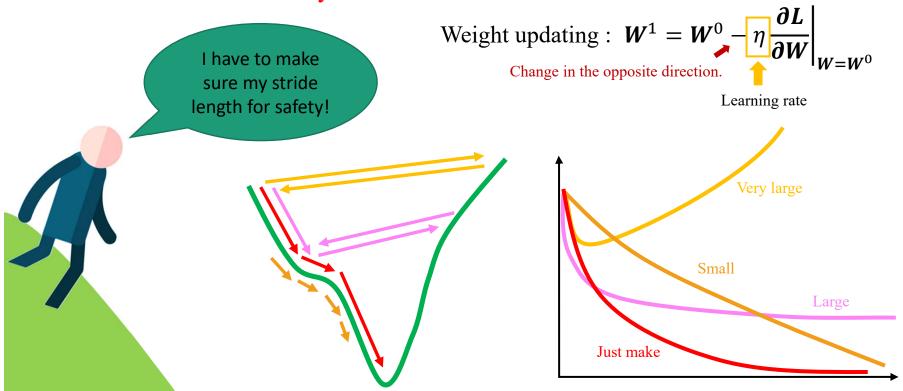






#### **Learning Rate**

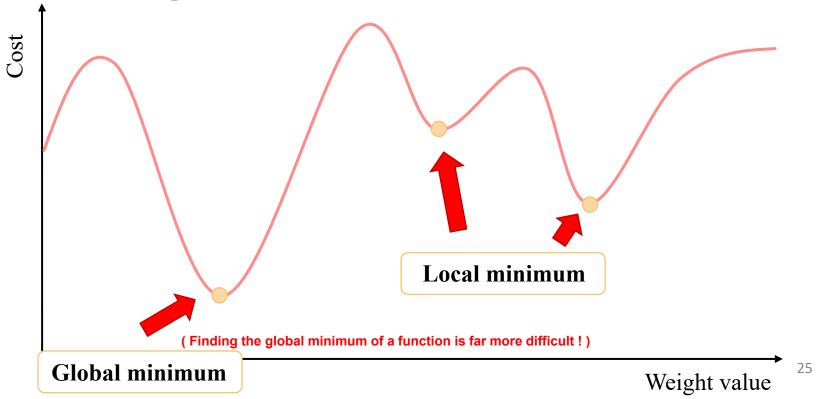
- □ **Learning rate** decides how far the step is to the next position on the loss function.
- ☐ It is also a kind of hyper-parameter determined by humans. Thus we have to set the value carefully.





#### **Critical Point**

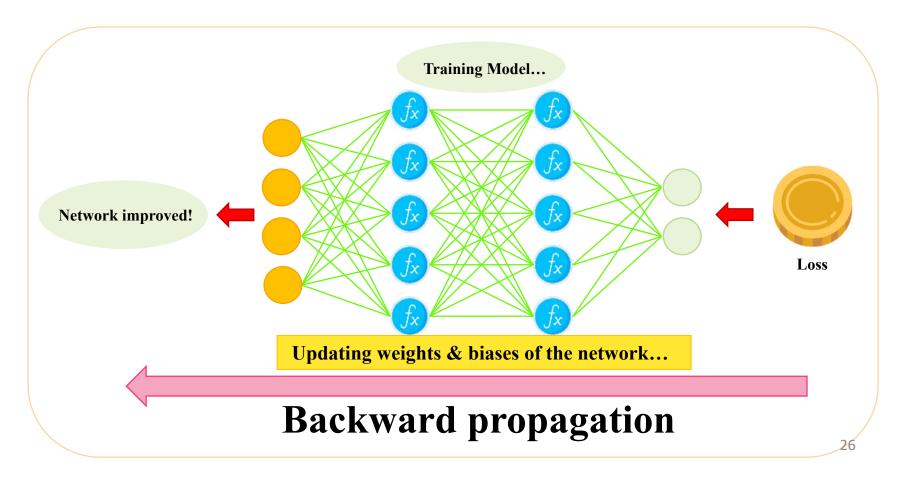
- □ A **local minimum** of a function is a point where the function value is smaller than the nearby points.
- A global minimum is a point where the function value is smaller than at all other feasible points.





#### **Backward Propagation**

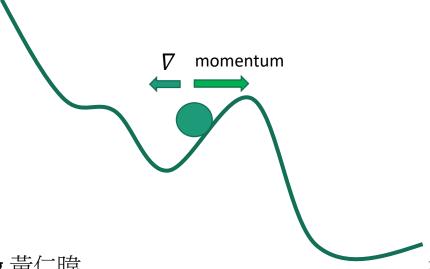
 When the loss function has been calculated. We can apply it to backward propagation, utilizing the gradients and learning rate to update the weight.



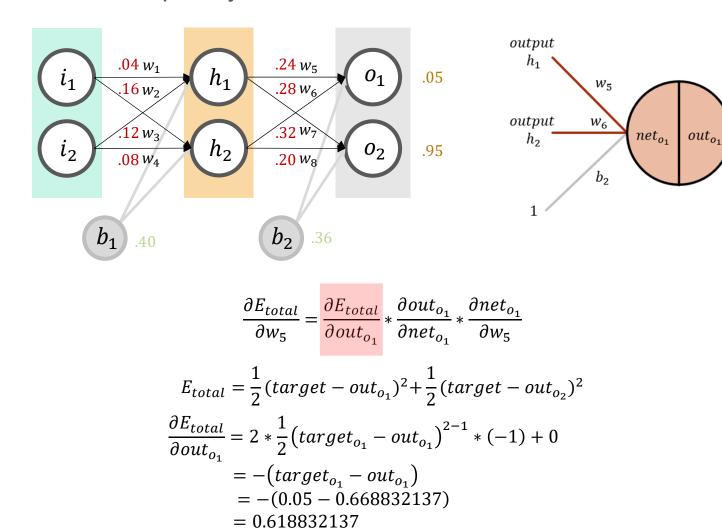
## **Different Way to Optimize Neural Networks**

- Stochastic Gradient Descent (SGD)
  - Update the weights at each input example instead of update the weight after each epoch
- Add momentums on gradient descent

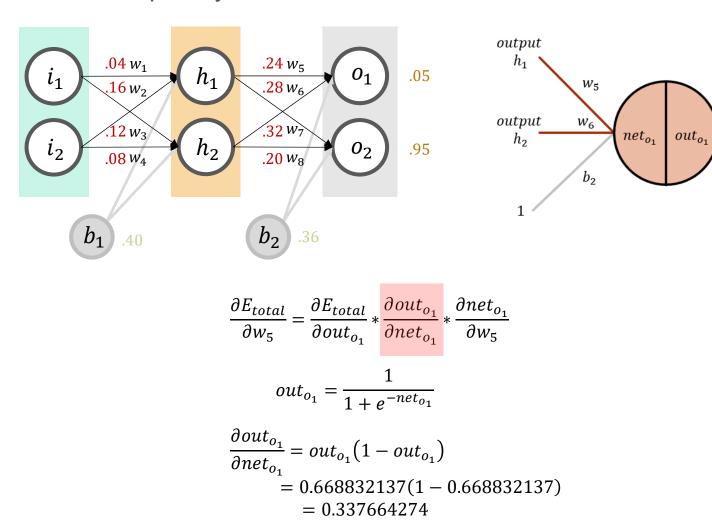
• 
$$v_{t+1} = \lambda v_t - (1 - \lambda) * \frac{\partial E_{total}}{\partial w_1}$$
  
•  $w_1^+ = w_1 + \eta * v_{t+1}$ 



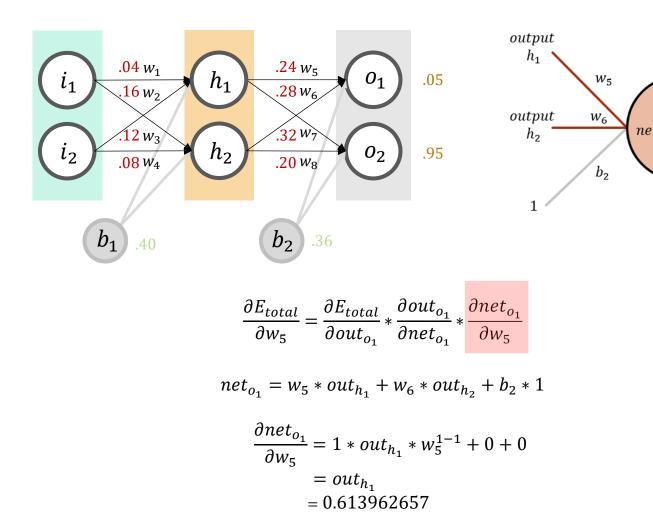
Output layer



Output layer



Output layer



 $out_{o_1}$ 

Output layer

$$\frac{\partial E_{total}}{\partial w_{5}} = \frac{\partial E_{total}}{\partial out_{o_{1}}} * \frac{\partial out_{o_{1}}}{\partial net_{o_{1}}} * \frac{\partial net_{o_{1}}}{\partial w_{5}}$$

$$\frac{\partial E_{total}}{\partial w_5} = 0.618832137 * 0.337664274 * 0.613962657 = 0.128292105$$

Learning rate 
$$w_5^+ = w_5 - \eta * \frac{\partial E_{total}}{\partial w_5}$$

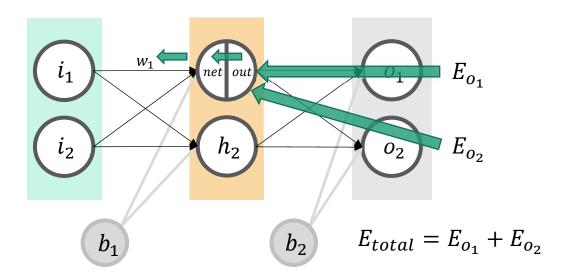
$$= 0.15 - 0.5 * 0.128292105$$

$$= 0.858539475$$

$$w_6^+ = 0.238117666$$

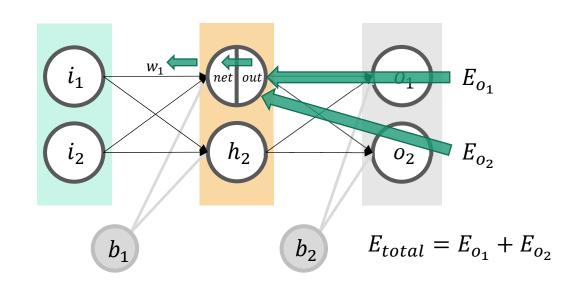
$$w_7^+ = 0.300177638$$

$$w_8^+ = 0.300084039$$

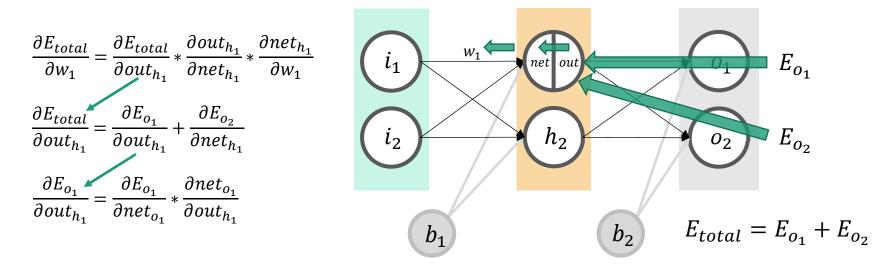


$$\frac{\partial E_{total}}{\partial w_1} = \frac{\partial E_{total}}{\partial out_{h_1}} * \frac{\partial out_{h_1}}{\partial net_{h_1}} * \frac{\partial net_{h_1}}{\partial w_1}$$

$$\begin{split} \frac{\partial E_{total}}{\partial w_{1}} &= \frac{\partial E_{total}}{\partial out_{h_{1}}} * \frac{\partial out_{h_{1}}}{\partial net_{h_{1}}} * \frac{\partial net_{h_{1}}}{\partial w_{1}} \\ \frac{\partial E_{total}}{\partial out_{h_{1}}} &= \frac{\partial E_{o_{1}}}{\partial out_{h_{1}}} + \frac{\partial E_{o_{2}}}{\partial net_{h_{1}}} \\ \frac{\partial E_{o_{1}}}{\partial out_{h_{1}}} &= \frac{\partial E_{o_{1}}}{\partial net_{o_{1}}} * \frac{\partial net_{o_{1}}}{\partial out_{h_{1}}} \\ \frac{\partial E_{o_{1}}}{\partial net_{o_{1}}} &= \frac{\partial E_{o_{1}}}{\partial out_{o_{1}}} * \frac{\partial out_{o_{1}}}{\partial net_{o_{1}}} \end{split}$$



$$\frac{\partial E_{o_1}}{\partial net_{o_1}} = \frac{\partial E_{o_1}}{\partial out_{o_1}} * \frac{\partial out_{o_1}}{\partial net_{o_1}} = 0.618832137 * 0.337664274 = 0.208957504$$



$$\begin{split} net_{o_1} &= w_5 * out_{h_1} + w_6 * out_{h_2} + b_2 * 1 \\ &\frac{\partial net_{o_1}}{\partial out_{h_1}} = w_5 = 0.24 \\ \\ &\frac{\partial E_{o_1}}{\partial out_{h_1}} = \frac{\partial E_{o_1}}{\partial net_{o_1}} * \frac{\partial net_{o_1}}{\partial out_{h_1}} = 0.208957504 * 0.24 = 0.050149801 \end{split}$$

$$\frac{\partial E_{total}}{\partial w_1} = \frac{\partial E_{total}}{\partial out_{h_1}} * \frac{\partial out_{h_1}}{\partial net_{h_1}} * \frac{\partial net_{h_1}}{\partial w_1}$$

$$\frac{\partial E_{total}}{\partial out_{h_1}} = \frac{\partial E_{o_1}}{\partial out_{h_1}} + \frac{\partial E_{o_2}}{\partial net_{h_1}}$$

$$b_1$$

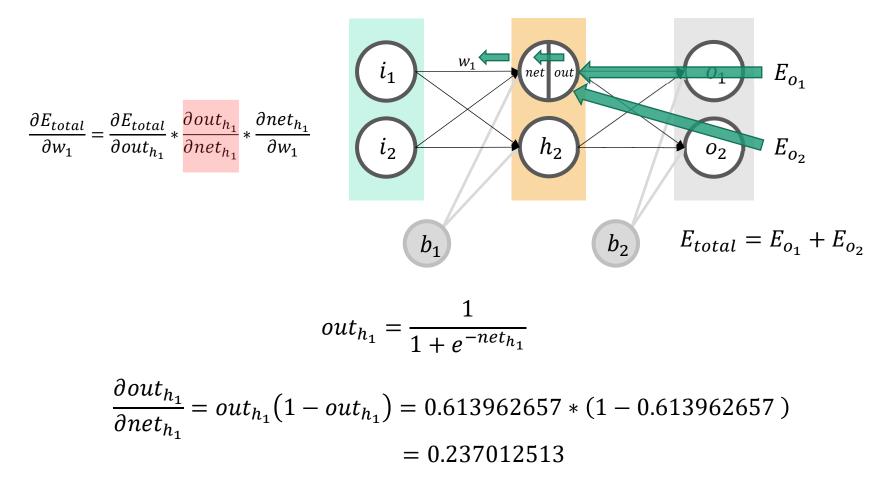
$$E_{o_1}$$

$$b_2$$

$$E_{total} = E_{o_1} + E_{o_2}$$

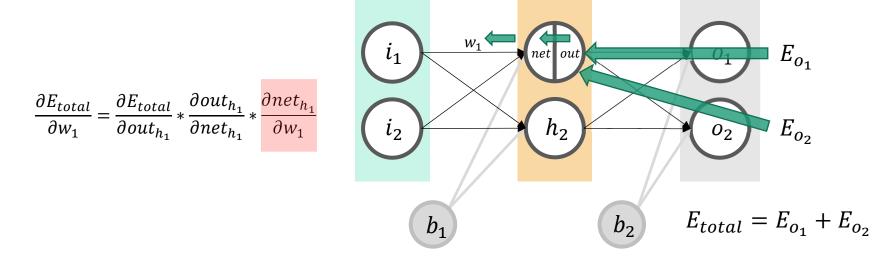
$$\frac{\partial E_{o_1}}{\partial out_{h_1}} = 0.050149801, \qquad \frac{\partial E_{o_2}}{\partial out_{h_1}} = -0.018404176$$

$$\frac{\partial E_{total}}{\partial out_{h_1}} = \frac{\partial E_{o_1}}{\partial out_{h_1}} + \frac{\partial E_{o_2}}{\partial net_{h_1}} = 0.050149801 + (-0.018404176) = 0.031745625$$



#### **Backward Propagation to Update w1**

Hidden layer



$$net_{h_1} = w_1 * i_1 + w_2 * i_2 + b_1 * 1$$

$$\frac{\partial net_{h_1}}{\partial w_1} = i_1 = 0.1$$

#### **Backward Propagation to Update w1**

Hidden layer

$$\frac{\partial E_{total}}{\partial w_1} = \frac{\partial E_{total}}{\partial out_{h_1}} * \frac{\partial out_{h_1}}{\partial net_{h_1}} * \frac{\partial net_{h_1}}{\partial w_1}$$

$$\frac{\partial E_{total}}{\partial w_1} = 0.031745625 * 0.237012513 * 0.1 = 0.000752411$$

$$w_1^+ = w_1 - \eta * \frac{\partial E_{total}}{\partial w_1}$$

$$= 0.04 - 0.5 * 0.0000752411$$

$$= 0.039623795$$

$$w_2^+ = 0.118118973$$

$$w_3^+ = 0.159635010$$

$$w_4^+ = 0.078175051$$

# How to Design A Good Neural Network Model

## **The Hyper Parameters**

- Dimensions
  - The number of neurons in each layer
  - Can be different in each layer
- Numbers of layers
  - How depth is your model
- Activation function
  - Linear: ReLu
  - Non-linear: Sigmoid, tanh
- The bias in each layer

#### How Many Random Variables in Neural Networks

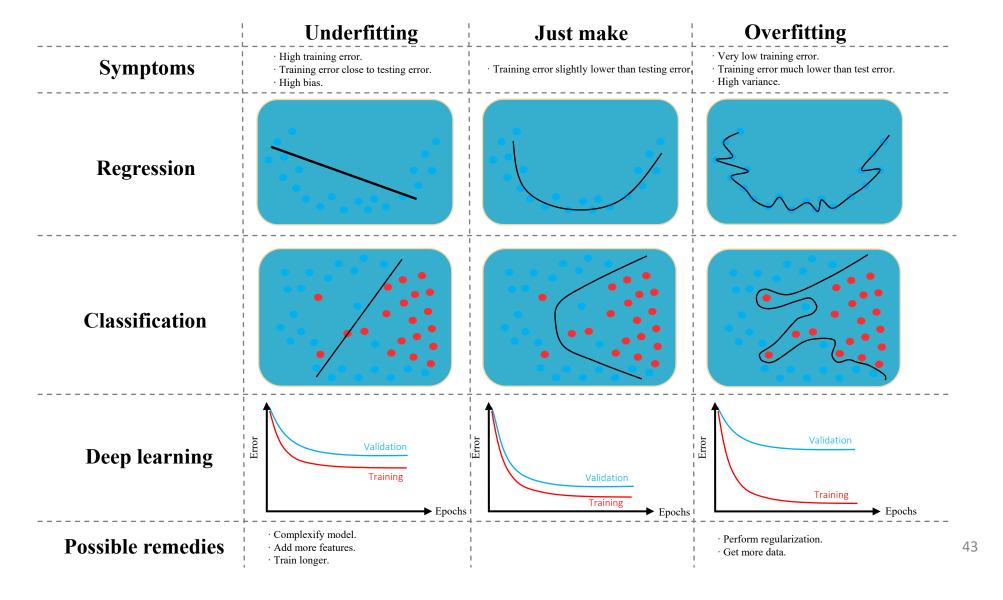
- Consider a neural network
  - 10 layers
  - 100 nodes in each layer
  - 1 bias in each layer
- 1 layer has 10100 parameters
  - 100\*100+100
- 10 layers has 101000 parameters
  - 10100\*10

## The More Random Variables The Better?

- More random variables can represent more latent information
- Too many random variables will lead overfitting
  - Too fit to some special cases



## **Overfitting & Underfitting**



## **How to Prevent Overfitting**

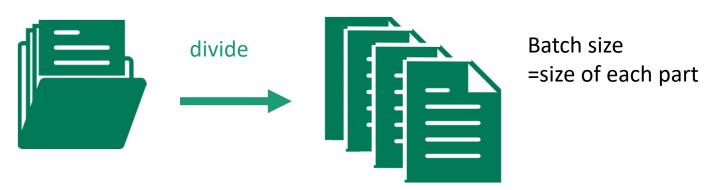
- Decrease your random variables
  - Decrease your dimensions or layers
  - May incur some errors
- Increase your training data
  - Very difficult in practice
- **Dropout** some variables
  - Let some variables not be trained in the training phase
  - Still in use in the testing phase

## How Much Training Data We Need

- 10~30 times data to train random variables
  - we need 1010000 ~ 3030000 data to train 101000 variables
- Few data may not be able to train a good model
  - Some variables may not be trained well

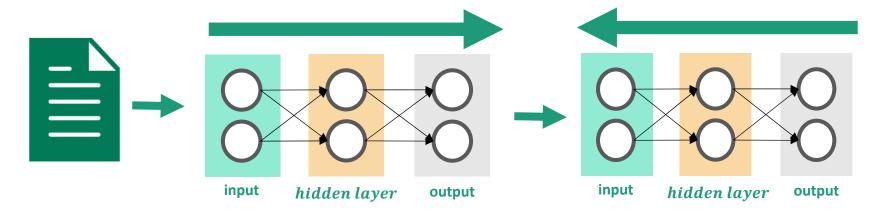
### **More Hyper Parameters**

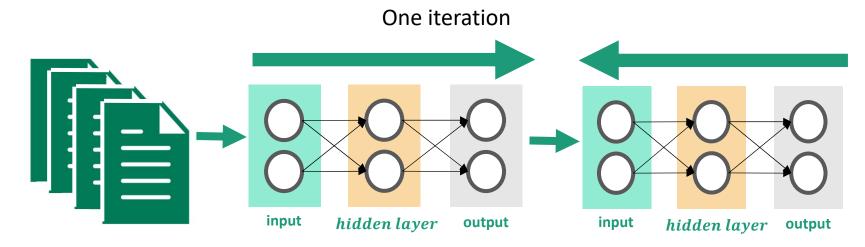
- Learning rate
  - How many updates of the weight via gradients
- Batch size
  - How many input data in each iteration
- Epoch [epək]
  - How many times of passing the training data in the model



A training dataset

## The Difference Between Iteration and Epoch

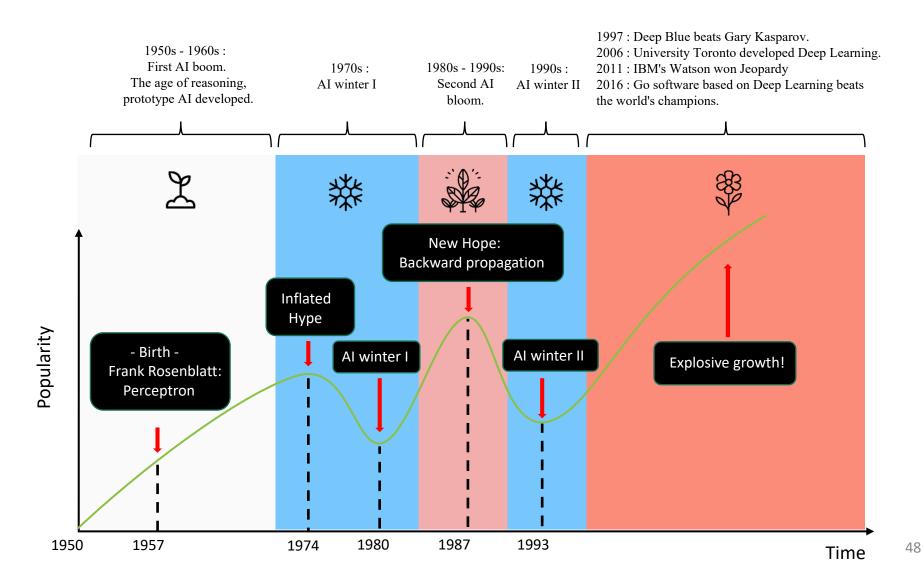




One epoch



## The History of AI

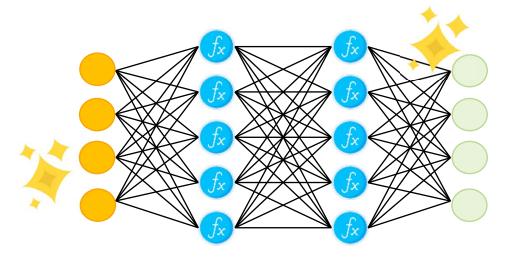




### What Can Deep Learning Do?

- Image recognition
  - Deep learning can reach a high accuracy that humans cannot accomplish.
- Game

- AlphaGo
- The computer can learn by itself and even better than humans.
- There are more and more applications of deep learning.







Learning

**Algorithms** 

#### **Learning Algorithms**

#### **Supervised Learning**



Supervised learning requires a labeled dataset.

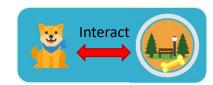
The network can learn from it to make inferences or predictions of the problem.

#### **Unsupervised Learning**



Unsupervised learning is the opposite of supervised learning. There is **no labeled dataset** in unsupervised learning.

#### **Reinforce Learning**

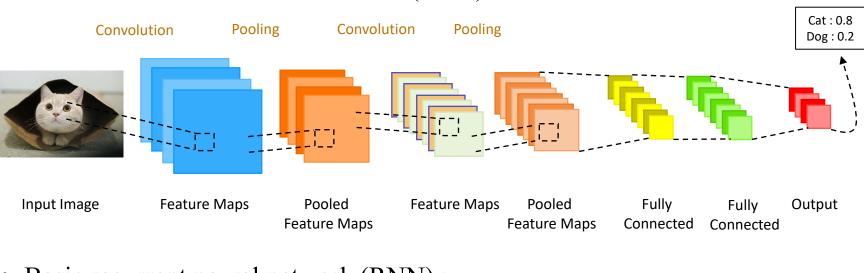


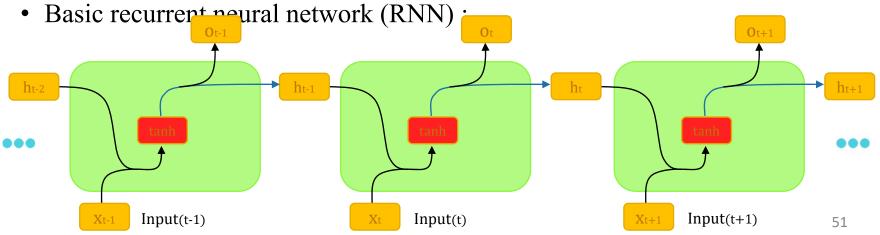
Reinforce learning model will learn to react to the environment by itself, with a system composed of reward, state, and action.



#### **Basic Model of Neural Network**

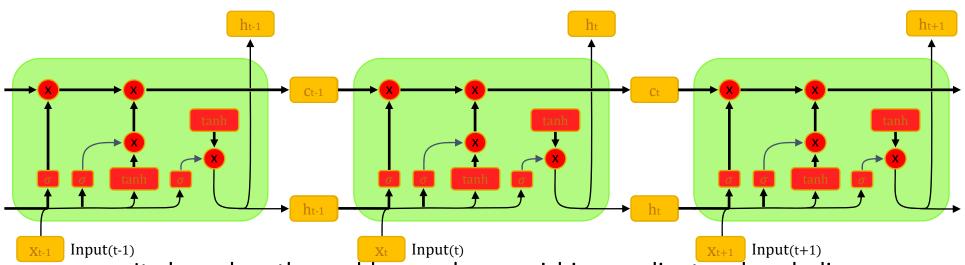
• Basic convolutional neural network (CNN):





#### **Advanced Model of Neural Network**

- Long short-term memory (LSTM):
  - LSTM enables RNN to remember inputs over a long time.

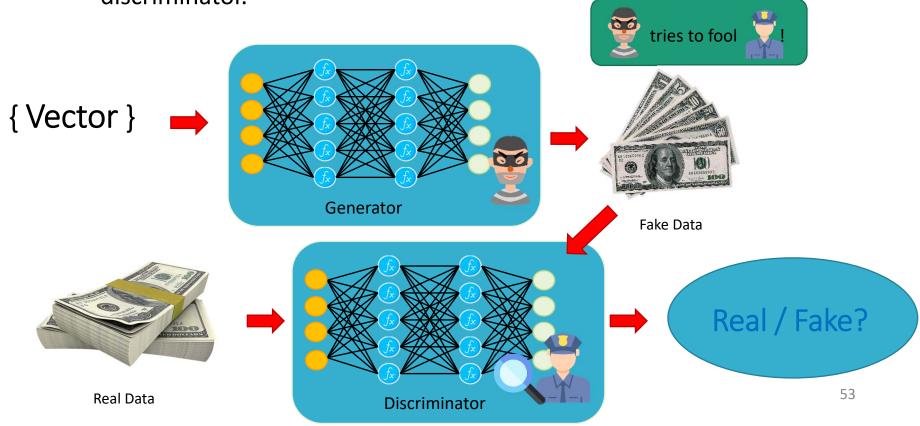


• It also solves the problem such as vanishing gradient and exploding gradient.

#### **Advanced Model of Neural Network**

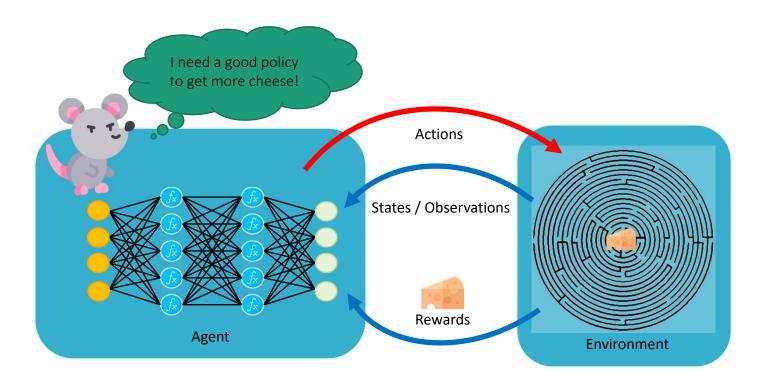
- Generative adversarial network (GAN) :
  - GAN is a potential network that can generate image/voice/text data.

Basic GAN architecture includes two networks. The generator and the discriminator.



#### **Advanced Model of Neural Network**

- Deep Q network (DQN):
  - The mission of DQN is to find an optimized **policy(strategy)** for winning more rewards.
  - In DQN, we will put the agent in the environment. It will learn better policy during interacting with the environment.



### **Applications**

• Image segmentation :



• Object detection :

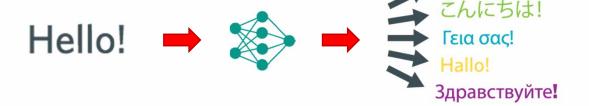


• Speech recognition :



## **Applications**

• Language translation :



• Generate text/image/voice :



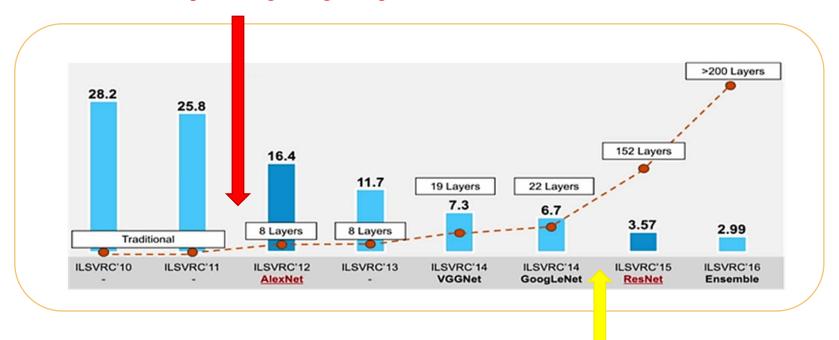
• Self-Driving System:



#### **ILSVRC**

- ImageNet Large Scale Visual Recognition Challenge.
- Deep models first perform good performance in commercial applications.

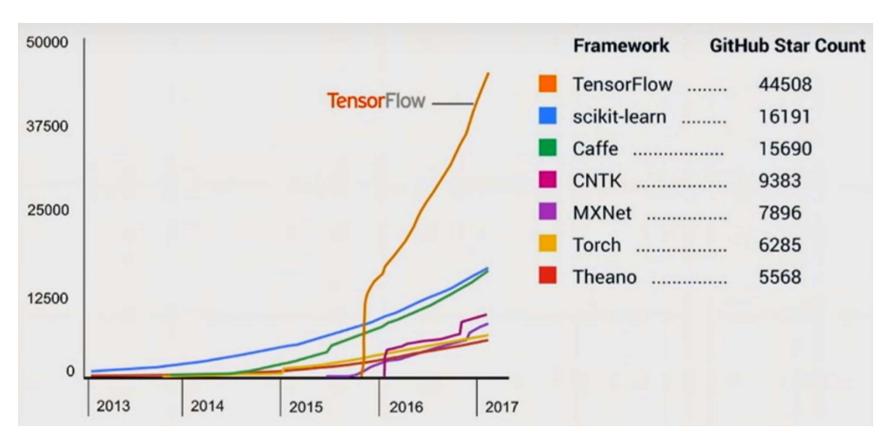
Era of deep learning is beginning.



Break through human recognition performance<sup>57</sup>

## Introduction to Keras

## Python framework for ANN

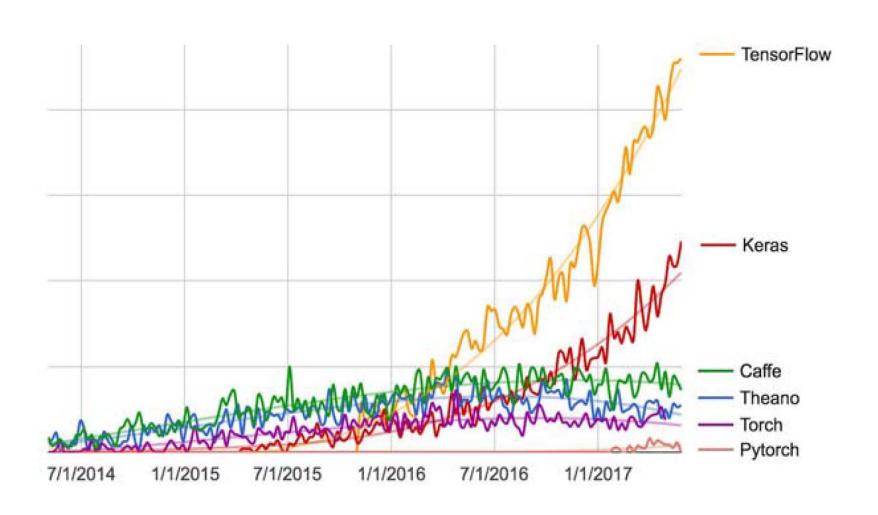


- TensorFlow 網路聲量最高
- Keras 則是支援TensorFlow的更高階函數庫(Meta Framework),可以用很簡潔的程式碼完成一個 Neural Network 模型,非常適合入門學習。

#### **Introduction to Keras**

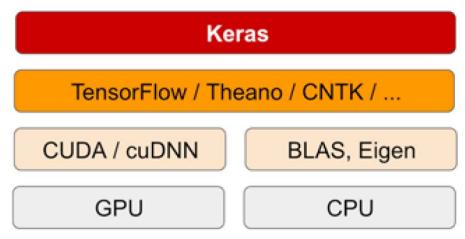
- Keras (https://keras.io).
- deep-learning framework for Python that provides a convenient way to define and train almost any kind of deep-learning model
- key features:
  - It allows the same code to run seamlessly on CPU or GPU.
  - It has a **user-friendly API** that makes it easy to quickly prototype deep-learning models.
  - It has built-in support for **convolutional networks** (for computer vision), **recurrent networks** (for sequence processing), and any combination of both.
  - It supports arbitrary network architectures: multi-input or multi-output models, layer sharing, model sharing, and so on. This means Keras is appropriate for building essentially any deep-learning model, from a generative adversarial network to a neural Turing machine.
- permissive MIT license, which means it can be freely used in commercial projects. compatible with Python from 2.7 to 3.9

## Google web search interest



#### Keras

- Keras is a model-level library, providing high-level building blocks for developing deep-learning models.
- It doesn't handle low-level operations such as tensor manipulation and differentiation.
- Instead, it relies on a specialized, well-optimized tensor library to do so, serving as the backend engine of Keras.
- Rather than choosing a single tensor library and tying the implementation of Keras to that library, Keras handles the problem in a modular way



#### **Tensorflow GPU**

- Via Tensorflow (or Theano, or CNTK), Keras is able to run seamlessly on both CPUs and GPUs.
- When running on CPU, TensorFlow is itself wrapping a low-level library for tensor operations called **Eigen** (http://eigen.tuxfamily.org).
- On GPU, TensorFlow wraps a library of well-optimized deeplearning operations called the NVIDIA CUDA Deep Neural Network library (cuDNN).

## 安裝系統

- 安裝 <u>Anaconda</u>: 它包含 Python 及常用的套件(Packages), 例如NumPy、Pandas等
- 安裝 Tensorflow:可以選擇CPU或GPU版,安裝CPU版, 直接在 DOS下,輸入 pip install tensorflow。
- 安裝 Keras:在 DOS 下,輸入 pip install keras。
- 測試環境
  - import tensorflow as tf hello = tf.constant('Hello, TensorFlow!') sess = tf.Session() print(sess.run(hello))
- 那 IDE:記事本、NodePad++、PyCharm, VS 2017
   Community版本,
- Jupyter Notebook

## Developing with Keras: a quick overview

- Typical Keras workflow
  - **Define your training data**: input tensors and target tensors.
  - Define a network of layers (or model) that maps your inputs to your targets.
    - Sequential class (only for linear stacks of layers, which is the most common network architecture by far)
    - Functional API (for directed acyclic graphs of layers, which lets you build completely arbitrary architectures)
  - Configure the learning process by choosing a loss function, an optimizer, and some metrics to monitor.
  - Iterate on your training data by calling the fit() method of your model.

## **Example**

Using the Sequential class model:

```
from keras import models from keras import layers

model = models.Sequential()
model.add(layers.Dense(32, activation='relu', input_shape=(784, )))

#用 model 物件的 add 方法,新增一個輸入為 784 維、輸出為 32 維(等同於 unit 的數量),

#並使用 relu 啟動函數的輸入層和隱藏層 (Keras 的最開頭一層具有一般神經網路輸入層和隱藏層的功能,
#詳細請參考 3-1-1 節的小編補充,將在後續 3-4-3 節開始實作)

model.add(layers.Dense(10, activation='softmax'))

#用 model 物件的 add 方法,新增輸出為 10 維(10 unit),並使用 softmax 啟動函數的輸出層

model 物件的 add 方法,新增輸出為 10 維(10 unit),並使用 softmax 啟動函數的輸出層
```

#### **Functional API**

Using the functional API:

```
input_tensor = layers.Input(shape=(784, ))
#建立一個 input_tensor 物件,輸入層 shape為 784 維的張量
x = layers.Dense(32, activation='relu')(input_tensor)
#建立 x 物件,使用 input_tensor 物件,並使用 relu 啟動函數輸出一個 32 維張量的輸入層
output_tensor = layers.Dense(10, activation='softmax')(x)
#建立 model 物件,使用 models.Model 方法,且輸入層為 input_tensor 物件,輸出層為 output_tensor 物件
model = models.Model(inputs=input_tensor, outputs=output_tensor)
#建立一個 output_tensor 物件,使用 x 物件,並使用 softmax 啟動函數輸出一個 10 維張量的輸出層
```

## Setting training parameters

```
from keras import optimizers #從 keras 套件中匯入optimizers 模組
model.compile(optimizer=optimizers.RMSprop(lr=0.001),
           #使用 model.compile 方法,對訓練模型進行設定。
           #使用 RMSProp 優化器並將學習率定為 0.001
           loss='mse',
           #使用 mean squared error 損失函數
           metrics=['accuracy'])
#量測時使用 accuracy 準確度評估模型
model.fit(input tensor, target tensor, batch size=128, epochs=10)
#使用 model.fit() 進行訓練, 傳入輸入資料、標籤資料 (標準答案)、
#一次訓練週期所使用的資料筆數 batch_size、和訓練週期次數 epochs
```

### Layers

- The fundamental data structure, is a data-processing module that takes as input one or more tensors and that outputs one or more tensors,
- Layers have a state: the layer's weights, one or several tensors learned with stochastic gradient descent, which together contain the network's knowledge.
- Different layers are appropriate for different tensor formats and different types of data processing.

## **Example of layers**

- For instance,
  - <u>Simple vector data</u>, stored in 2D tensors of shape (samples, features), is often processed by *densely connected* layers, also called *fully connected* or *dense* layers (the Dense class in Keras).
  - <u>Sequence data</u>, stored in 3D tensors of shape (samples, timesteps, features), is typically processed by recurrent layers such as an LSTM layer.
  - <u>Image data</u>, stored in 4D tensors, is usually processed by 2D convolution layers (Conv2D).
- Layer compatibility: refers that every layer will only accept input tensors of a certain shape and will return output tensors of a certain shape.

## Keras example

from keras import layers
layer = layers.Dense(32, input\_shape=(784,))

- input 2D tensors where the first dimension is 784 (axis 0, the batch dimension, is unspecified).
- This layer will return a tensor where the first dimension has been transformed to be 32 (outputs).

```
from keras import models
from keras import layers
model = models.Sequential()
model.add(layers.Dense(32, input_shape=(784,)))
model.add(layers.Dense(32))
```

• The second layer didn't receive an input shape argument—instead, it automatically inferred its input shape as being the output shape of the layer that came before. (input:32, output:32)

## Loss functions and optimizers: keys to configuring the learning process

#### Loss function (objective function)

- The quantity that will be minimized during training.
- It represents a measure of success for the task at hand.
- For multiple output, these loss are combined to a single scalar.

#### Optimizer

- Determines how the network will be updated based on the loss function.
- It implements a specific variant of stochastic gradient descent (SGD).

#### For instance(\*),

- use binary crossentropy for a two-class classification problem,
- categorical crossentropy for a many-class classification problem,
- Mean squared error (MSE) for a regression problem,
- connectionist temporal classification (CTC) for a sequence-learning problem

# **Handwritten Digit Recognition**

## Handwritten digit recognition

- ▶ 3-nearest-neighbor classifier (stored images) = 2.4% error
- ▶ Shape matching based on computer vision = 0.63% error
- ► 400-300-10 unit MLP = 1.6% error
- ► LeNet 768-192-30-10 unit MLP = 0.9% error
- ▶ Boosted neural network = 0.7% error
- ► Support vector machine = 1.1% error
- Current best: virtual support vector machine = 0.56% error
- ▶ Humans  $\approx 0.2\%$  error

## A first look at a neural network

- A neural network that uses the Python library Keras to learn to classify handwritten digits.
- To classify grayscale images of handwritten digits ( $28 \times 28$  pixels) into their 10 categories (0 through 9).
- Input: image -> output: digit (0-9)
- The National Institute of Standards and Technology (the NIST in MNIST)
   MNIST dataset, a classic in the machine-learning community,
- 60,000 training images, plus 10,000 test images,
- The "Hello World" of deep learning.
- In machine learning, a category in a classification problem is called a class. Data points are called samples.
- The class associated with a specific sample is called a label.









## Loading the MNIST dataset in Keras

### Two sets of example

- **train\_images** and **train\_labels** form the *training set*, the data that the model will learn from.
- The model will then be tested on the test set, test\_images and test\_labels.
- The images are encoded as Numpy arrays, and the **labels** are an array of digits, ranging from 0 to 9.
- The images and labels have a one-to-one correspondence.

### Code example

#### **Import keras**

```
In [1]: import keras
    keras.__version__

Using TensorFlow backend.
Out[1]: '2.2.4'
```

- 導入(import)要使用的函式庫,包括 NumPy(矩陣運算)、Keras、matplotlib (繪圖)。
- 從網路載入 MNIST 資料集,請 Keras 自動分為『訓練組』及『測試組』資料, MNIST 是由 AI 大師 Yann LeCun 所建立的手寫阿拉伯數字資料集(Dataset)。

```
另一個範例
# 導入函式庫
import numpy as np
from keras.models import Sequential
from keras.datasets import mnist
from keras.layers import Dense, Dropout, Activation, Flatten
from keras.utils import np_utils # 用來後續將 label 標籤轉為 one-hot-encoding
from matplotlib import pyplot as plt
# 載入 MNIST 資料庫的訓練資料,並自動分為『訓練組』及『測試組』
(X_train, y_train), (X_test, y_test) = mnist.load_data()
```

# **Example code (Jupyter Notebook)**

```
In [3]:
        train images.shape
Out[3]: (60000, 28, 28)
In [4]:
        len(train labels)
Out[4]:
        60000
In [5]: train_labels #標籤是 0-9 之間的數字, 資料型別為 uint8
Out[5]: array([5, 0, 4, ..., 5, 6, 8], dtype=uint8)
        Let's have a look at the test data:
In [6]:
        test images.shape
Out[6]:
        (10000, 28, 28)
        len(test labels)
In [7]:
Out[7]:
        10000
In [8]:
        test labels
Out[8]: array([7, 2, 1, ..., 4, 5, 6], dtype=uint8)
                                                            78
```

## The Network Architecture

- The core building block of neural networks is the *layer*, a dataprocessing module that you can think of as a filter for data.
- Most of deep learning consists of **chaining together simple layers** that will implement a form of progressive *data distillation*.
- Dense layers, which are densely connected (also called *fully connected*) neural layers.
- The second (and **last**) layer is a 10-way *softmax* layer, which means it will return an array of 10 probability scores (summing to 1).

```
from keras import models
from keras import layers

28*28

network = models.Sequential()
network.add(layers.Dense(512, activation='relu', input_shape=(28 * 28,)))
network.add(layers.Dense(10, activation='softmax'))
```

- 建立最簡單的線性模型(Sequential),就是一層層往下執行,沒有分叉(If), 也沒有迴圈(loop),這裡只設一層隱藏層(Dense)。
- 執行模型評估,計算模型參數預測新資料了。

#建立簡單的線性執行的模型

$$CrossEntropy = -\sum_i (L_i \cdot \log(S_i))$$

```
# 建立簡單的線性執行的模型
                                                 另一個範例
model = Sequential()
# Add Input layer, 隱藏層(hidden layer) 有 256個輸出變數
model.add(Dense(units=256, input_dim=784, kernel_initializer='normal', activat
ion='relu'))
# Add output layer
model.add(Dense(units=10, kernel initializer='normal', activation='softmax'))
# 編譯: 選擇損失函數、優化方法及成效衡量方式
model.compile(loss='categorical crossentropy', optimizer='adam', metrics=['acc
uracy'])
```

# **Compilation Step**

- A loss function 損失函數(crossentropy)
  - How the network will be able to measure its performance on the training data, and thus how it will be able to steer itself in the right direction.
- An optimizer 優化方法(adam)  $\frac{CrossEntropy}{i} = -\sum_{i}(L_i \cdot \log(S_i))$ 
  - The mechanism through which the network will update itself based on the data it sees and its loss function. (weight updating method)
- Metrics to monitor during training and testing 成效衡量方式 (accuracy)

# DNN 處理流程

- 建立model: 確立Input格式、要經過幾層處理、每一層要作甚麼處理,
- 確立目標及求解方法:以compile函數定義損失函數(loss)、優化函數 (optimizer)及成效衡量指標
- 訓練:以compile函數進行訓練,指定訓練的樣本資料(x,y),並撥一部分資料作驗證,還有要訓練幾個週期、訓練資料的抽樣方式。
- 評估(Evaluation):訓練完後,計算成效。
  - # 進行訓練,訓練過程會存在 train\_history 變數中
    train\_history = model.fit(x=x\_Train\_norm, y=y\_TrainOneHot, validation\_split=0.
    2, epochs=10, batch\_size=800, verbose=2)
- 預測(Prediction):經過反覆訓練,有了可信模型後,我們就可將系統上線使用了。
  - # 顯示訓練成果(分數)
    scores = model.evaluate(x\_Test\_norm, y\_TestOneHot)

# Keras 模型類別

- Sequential Model (順序式模型):
  - 就是一種簡單的模型,單一輸入、單一輸出,按順序 一層(Dense)一層的由上往下執行。
  - Sequential model 線性堆疊
    - Input\_shape:size/none
    - 2D: input dim

```
model = Sequential()
model.add(Dense(32, input_dim=784))
model.add(Activation('relu'))
```

```
from keras.models import Sequential
from keras.layers import Dense, Activation

model = Sequential([
    Dense(32, input_shape=(784,)),
    Activation('relu'),
    Dense(10),
    Activation('softmax'),
])
```

- Functional API :
  - 支援多個輸入、多個輸出,

## **Loss Function**

- 均方誤差 MSE (mean\_squared\_error) 最小平方法(Least Square) 的目標函數
  - 預測值與實際值的差距之平均值
  - 變化

- $\sum \left(\hat{y}^2 y^2\right)/N$
- mean\_absolute\_error \
   mean\_absolute\_percentage\_error \
   mean\_squared\_logarithmic\_error
- Hinge Error (hinge)
  - 是一種單邊誤差,不考慮負值,適用於『支援向量機』 (SVM)的最大間隔分類法(maximum-margin classification),

$$\ell(y) = \max(0, 1 - t \cdot y)$$

## **Loss Function**

Cross Entropy

$$D = -\sum_i (L_i \cdot \log(S_i))$$

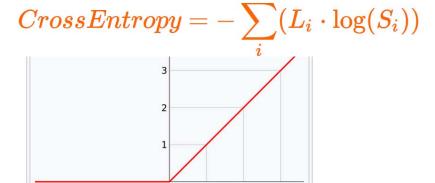
- Categorical\_crossentropy 多分類損失函數
  - 當預測值與實際值愈相近,損失函數就愈小,反之差 距很大,就會更影響損失函數的值
  - 變形
    - sparse\_categorical\_crossentropy
    - binary\_crossentropy

$$L(\mathbf{w}) \ = \ rac{1}{N} \sum_{n=1}^N H(p_n,q_n) \ = \ - rac{1}{N} \sum_{n=1}^N \ \left[ y_n \log \hat{y}_n + (1-y_n) \log (1-\hat{y}_n) 
ight]$$

## **Activation Functions**

### • Relu

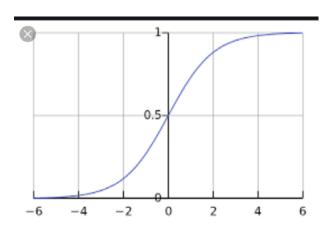
- · 整流線性單位函數(Rectif 稱修正線性單元
- F(x)=max (0, x)



### • Softmax [0,1]

- · pdf 機率函數
- 多分類時使用

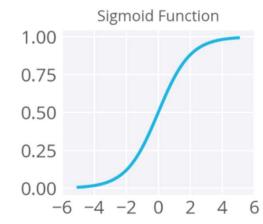
$$\sigma(\mathbf{z})_j = rac{e^{z_j}}{\sum_{k=1}^K e^{z_k}}$$
 for  $j$  = 1, ...,  $K$ .



## **Activation Functions**

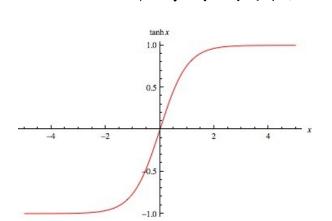
### sigmoid

• 值介於[0,1]之間,且分布兩極化,大部分不是0,



### tanh

- 與sigmoid類似,但值介於[-1,1]之間
- 即傳導有負值。



$$f(x)= anh(x)=rac{2}{1+e^{-2x}}-1$$

# 權重初始化 kernel\_initializer

Kernel\_initializer and bias\_initializer

- Zeros():全部為0的矩陣。//initializers.Zeros()
- Ones():全部為1的矩陣。//initializers.Ones()
- **Const()**:全部為固定常數的矩陣 initializers.Constant(**value**=0)
- Identity:對角線為1的矩陣
- TruncatedNormal :
  - 裁掉極端值常態分配的隨機亂數,參數為N倍標準差。
- RandomNormal:常態分配初始化
  - initializers.RandomNormal(mean=0.0, stddev=0.05, seed=None)
- RandomUniform : 均匀分配初始化
  - keras.initializers.RandomUniform(minval=-0.05, maxval=0.05, seed=None)
     下界與上界間平均分配

# 優化函數(Optimizer)

- 隨機梯度下降法(Stochastic Gradient Descent, SGD)
  - 就是利用偏微分,逐步按著下降的方向,尋找最佳解。
  - Learning Rate (Ir):
    - 逼近最佳解的學習速率,速率訂的太小,計算最佳解的時間花費較長,訂的太大,可能會在最佳解兩旁擺盪,找不到最佳解。

#### momentum :

- 更新的動能,一開始學習速率可以大一點,接近最佳解時, 學習速率步幅就要小一點,
- 一般訂為 0.5, 不要那麼大時, 可改為 0.9。

### decay :

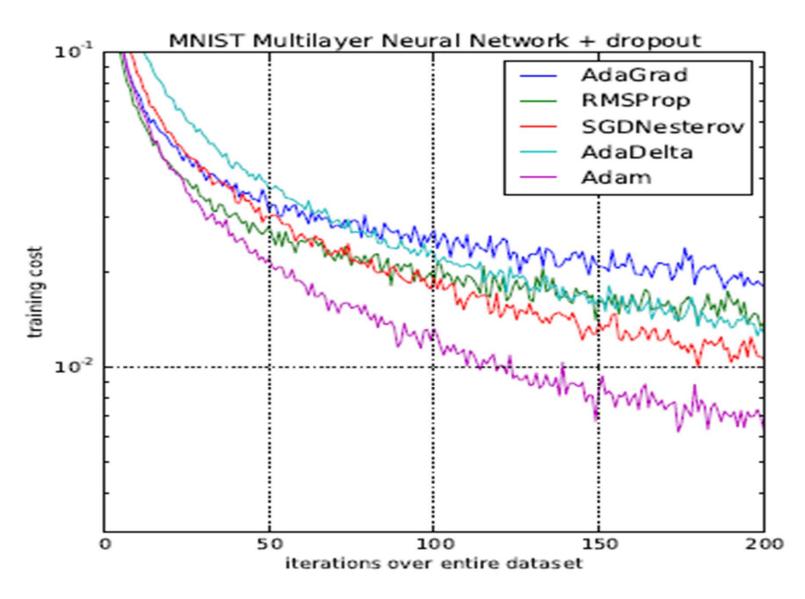
• 每次更新後,學習速率隨之衰減的比率。

# 優化函數(Optimizer)

- · Adam:一般而言,比SGD模型訓練成本較低
  - · Ir: 逼近最佳解的學習速率,預設值為 0.001。
  - beta\_1:一階矩估計的指數衰減因子,預設值為 0.9。
  - beta\_2: 二階矩估計的指數衰減因子,預設值為 0.999。
  - *epsilon*:為一大於但接近 0 的數,放在分母,避免產生除以 0 的錯誤,預設值為1e-08。
  - · decay:每次更新後,學習速率隨之衰減的比率。

$$m_t = \beta_1 m_{t-1} + (1 - \beta_1) \frac{\partial L_t}{\partial W_t}$$
$$v_t = \beta_1 v_{t-1} + (1 - \beta_2) (\frac{\partial L_t}{\partial W_t})^2$$

# 優化函數比較



## **Hidden Layer and Parameters of Dense**

#### Keras:

 全連階層(Dense)、Activation layer、Dropout、Flatten、Reshape、 Permute、RepeatVector、Lambda、ActivityRegularization、 Masking。

#### Dense

- output = activation(dot(input, kernel) + bias) // y = g(x \* W + b)
  - units: 輸出矩陣的維數,愈大表示分類更細,擬合度愈高,雖然準確率提高,但也要防止過度擬合(Overfit)。
  - activation: 若未設定,即簡化為y=x\*W+b
  - use\_bias: 是否使用偏差項(Bias),若未設定或為 False,即簡 化為 y = g(x \* W)。
  - kernel\_initializer: 權重(W)的初始值。
  - bias\_initializer: 偏差項(Bias)的初始值。

# Parameters of Dense (cont.)

- kernel\_regularizer:
  - 權重(W)正規化(或稱正則項)函數,
  - 對權重矩陣加上懲罰性函數(Penalty),以防止過度擬合(overfit)。
- bias\_regularizer:
  - 偏差項(Bias)的正規化函數。
- activity\_regularizer:
  - 輸出(y)的正規化函數。
- kernel\_constraint:
  - 針對權重(W)加上限制條件,
- bias\_constraint:
  - 針對偏差項(Bias)加上限制條件,

## Before training – data preprocessing

- Preprocess the data by reshaping it into the shape the network expects and scaling it so that all values are in the [0, 1] interval.
- Training images were stored in an array of shape (60000, 28, 28) of type uint8 with values in the [0, 255] interval.
- We transform it into a float32 array of shape (60000, 28 \* 28)
   with values between 0 and 1.

(# of images, Size of image)

```
train_images = train_images.reshape((60000, 28 * 28))
#reshape 是 NumPy 陣列的 method

train_images = train_images.astype('float32') / 255

Change to real value within [0, 1]

test_images = test_images.reshape((10000, 28 * 28))

test_images = test_images.astype('float32') / 255
```

## **Prepare Labels**

```
from keras.utils import to_categorical

train_labels = to_categorical(train_labels)
test_labels = to_categorical(test_labels)
```

```
from keras.utils.np utils import *
1
2
3
    b = [0,1,2,3,4,5,6,7,8]
4
    b = to categorical(b, 9)
5
    print(b)
6
7
8
    [[1. 0. 0. 0. 0. 0. 0. 0. 0.]
9
     [O. 1. O. O. O. O. O. O. O.]
10
     [0. 0. 1. 0. 0. 0. 0. 0. 0.]
11
     [0. 0. 0. 1. 0. 0. 0. 0. 0.]
12
     [0. 0. 0. 0. 1. 0. 0. 0. 0.]
13
     [0. 0. 0. 0. 0. 1. 0. 0. 0.]
14
     [0. 0. 0. 0. 0. 0. 1. 0. 0.]
15
     [0. \ 0. \ 0. \ 0. \ 0. \ 0. \ 1. \ 0.]
16
17
     [0. \ 0. \ 0. \ 0. \ 0. \ 0. \ 0. \ 1.]]
```

2022/9/12

# Preparing the labels and train (fit)

- train the network, by calling fit method—we fit the model to its training data:
- Two quantities are displayed during training: the loss of the network over the training data, and the accuracy of the network over the training data.
- It reaches an accuracy of 0.989 (98.9%) on the training data.

## **Test data**

- The test-set accuracy turns out to be 97.8%—that's quite a bit lower than the training set accuracy.
- This gap between training accuracy and test accuracy is an example of overfitting: the fact that machine-learning models tend to perform worse on new data than on their training data.

```
Using TensorFlow backend.
2.3.1
(60000, 28, 28)
60000
[5 0 4 ... 5 6 8]
(10000, 28, 28)
10000
[7 2 1 ... 4 5 6]
Epoch 1/5
Epoch 2/5
Epoch 3/5
Epoch 4/5
Epoch 5/5
10000/10000 [=============== ] - 1s 63us/step
test acc: 0.980400025844574
```

# Compile 編譯模型

compile(self, optimizer, loss, metrics=None, loss\_weights=None,
sample\_weight\_mode=None, weighted\_metrics=None,
target\_tensors=None)

### Optimizer

• 優化器,為預定義優化器名或優化器對象,

#### Loss

• 損失函數,為預定義損失函數名或一個目標函數,

#### Metrics

- 列表,包含評估模型在訓練和測試時的性能的指標,
- 典型用法是metrics=['accuracy']

# Fit訓練參數設定

# 進行訓練, 訓練過程會存在 train\_history 變數中
train\_history = model.fit(x=x\_Train\_norm, y=y\_TrainOneHot, validation\_split=0.
2, epochs=10, batch\_size=800, verbose=2)

- X:輸入數據。如果模型只有一個輸入,那麼X的類型是numpy array,如果模型有多個輸入,那麼X的類型應當為list,list的元素是對應於各個輸入的numpy array。如果模型的每個輸入都有名字,則可以傳入一個字典,將輸入名與其輸入數據對應起來。
- y:標籤, numpy array。如果模型有多個輸出,可以傳入一個numpy array的list。如果模型的輸出擁有名字,則可以傳入一個字典,將輸出名與其標簽對應起來。
- batch\_size:整數,指定進行梯度下降時每個batch包含的樣本數。 訓練時一個batch的樣本會被計算一次梯度下降,使目標函數優化一 步。
- callbacks: list,其中的元素是keras.callbacks.Callback的對象。這個 list中的回調函數將會在訓練過程中的適當時機被調用,

## **Fit Parameters**

### epochs :

• 整數,訓練終止時的epoch值,訓練將在達到該epoch值時停止, 當沒有設置initial\_epoch時,它就是訓練的總輪數,否則訓練的總 輪數為epochs - inital\_epoch

#### verbose :

• 日誌顯示, 0為不在標準輸出流輸出日誌信息, 1為輸出進度條記錄, 2為每個epoch輸出一行記錄

### validation\_split

- 0~1之間的浮點數,用來指定訓練集的一定比例數據作為驗證集。
- 驗證集將不參與訓練,並在每個epoch結束後測試的模型的指標, 如損失函數、精確度等。
- validation\_split的劃分在shuffle之後,因此如果你的數據本身是有序的,需要先手工打亂再指定validation\_split,否則可能會出現驗證集樣本不均勻。

### validation\_data

形式為(X,y)或(X,y,sample\_weights)的tuple,是指定的驗證集。此參數將覆蓋validation spilt。

## **Evaluate**

evaluate(self, x, y, batch\_size=32, verbose=1,
sample\_weight=None)

```
# 顯示訓練成果(分數)
scores = model.evaluate(x_Test_norm, y_TestOneHot)
```

- x:輸入數據,與fit一樣,是numpy array或numpy array的list
- y:標籤, numpy array
- batch size:整數,含義同fit的同名參數
- verbose:含義同fit的同名參數,但只能取O或1
- sample\_weight: numpy array,含義同fit的同名參數

## Other instructions

#### Predict

- predict(self, x, batch\_size=32, verbose=0)
- · 本函數按batch獲得輸入數據對應的輸出,
- 函數的返回值是預測值的numpy array

### train\_on\_batch

- train\_on\_batch(self, x, y, class\_weight=None, sample\_weight=None)
- 本函數在一個batch的數據上進行一次參數更新
- 函數返回訓練誤差的標量值或標量值的list,與evaluate的情形相同。

### test\_on\_batch

- test\_on\_batch(self, x, y, sample\_weight=None)
- predict\_on\_batch
  - predict on batch(self, x)

# Python code

```
# 將 training 的 input 資料轉為2維
X train 2D = X train.reshape(60000, 28*28).astype('float32')
X test 2D = X test.reshape(10000, 28*28).astype('float32')
x Train norm = X train 2D/255
x Test norm = X test 2D/255
# 進行訓練,訓練過程會存在 train history 變數中
train_history = model.fit(x=x_Train_norm, y=y_TrainOneHot, validation_split=0.
2, epochs=10, batch size=800, verbose=2)
#顯示訓練成果(分數)
scores = model.evaluate(x Test norm, y TestOneHot)
print()
print("\t[Info] Accuracy of testing data = {:2.1f}%".format(scores[1]*100.0))
# 預測(prediction)
X = x \text{ Test norm}[0:10,:]
predictions = model.predict classes(X)
# get prediction result
print(predictions)
```

```
# 顯示 第一筆訓練資料的圖形,確認是否正確 plt.imshow(X_test[0]) plt.show()
```

