

# Database System Concept (CSE 3103)

Lecture 08-Day 03

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## Serializability

- Basic Assumption Each transaction preserves database consistency.
- Thus, serial execution of a set of transactions preserves database consistency.
- A (possibly concurrent) schedule is serializable if it is equivalent to a serial schedule. Different forms of schedule equivalence give rise to the notions of:
  - 1. conflict serializability
  - 2. view serializability

## Simplified view of transactions

- We ignore operations other than read and write instructions
- We assume that transactions may perform arbitrary computations on data in local buffers in between reads and writes.
- Our simplified schedules consist of only **read** and **write** instructions.

#### Conflicting Instructions

• Let  $I_i$  and  $I_j$  be two Instructions of transactions  $T_i$  and  $T_j$  respectively. Instructions  $I_i$  and  $I_j$  conflict if and only if there exists some item Q accessed by both  $I_i$  and  $I_j$ , and at least one of these instructions wrote Q.

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1. I_i = \text{read}(Q), I_j = \text{read}(Q). I_i and I_j don't conflict.
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- 2.  $I_i = \text{read}(Q)$ ,  $I_i = \text{write}(Q)$ . They conflict.
- 3.  $I_i = \mathbf{write}(Q)$ ,  $I_i = \mathbf{read}(Q)$ . They conflict
- 4.  $I_i = \mathbf{write}(Q)$ ,  $I_j = \mathbf{write}(Q)$ . They conflict
- Intuitively, a conflict between  $l_i$  and  $l_j$  forces a (logical) temporal order between them.
  - If  $I_i$  and  $I_j$  are consecutive in a schedule and they do not conflict, their results would remain the same even if they had been interchanged in the schedule.

# Conflict Serializability

- If a schedule S can be transformed into a schedule S ´ by a series of swaps of non-conflicting instructions, we say that S and S ´ are conflict equivalent.
- We say that a schedule *S* is **conflict serializable** if it is conflict equivalent to a serial schedule

# Conflict Serializability (Cont.)

• Schedule 3 can be transformed into Schedule 6 -- a serial schedule where  $T_2$  follows  $T_1$ , by a series of swaps of non-conflicting instructions. Therefore, Schedule 3 is conflict serializable.

$T_1$	$T_2$		$T_1$	$T_2$
read ( <i>A</i> ) write ( <i>A</i> )	read ( <i>A</i> ) write ( <i>A</i> )	·	read ( <i>A</i> ) write ( <i>A</i> ) read ( <i>B</i> ) write ( <i>B</i> )	
read ( <i>B</i> ) write ( <i>B</i> )	read ( <i>B</i> ) write ( <i>B</i> )			read (A) write (A) read (B) write (B)

Schedule 3

Schedule 6

#### Conflict Serializability (Cont.)

• Example of a schedule that is not conflict serializable:

$T_3$	$T_4$	
read (Q)	ruzmito (O)	
write (Q)	write (Q)	

• We are unable to swap instructions in the above schedule to obtain either the serial schedule  $< T_3, T_4 >$ , or the serial schedule  $< T_4, T_3 >$ .