

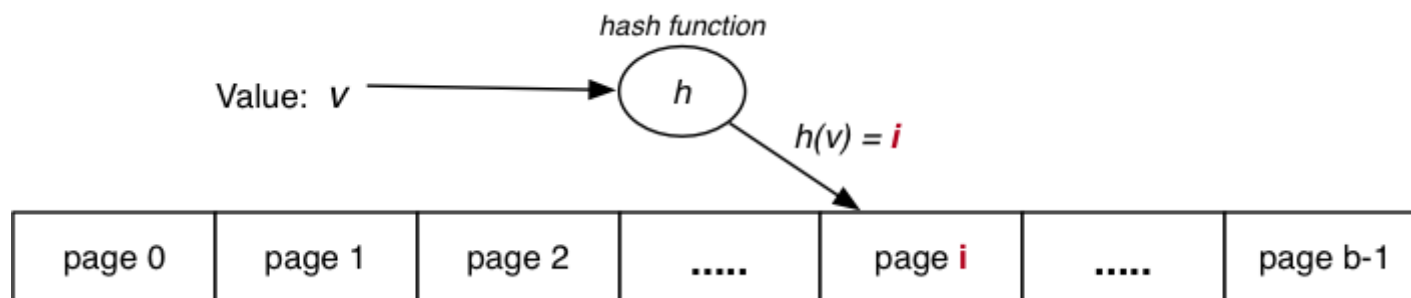
# Hashed Files

---

- Hashing
- Hashing Performance
- Selection with Hashing
- Insertion with Hashing
- Deletion with Hashing
- Problem with Hashing...
- Flexible Hashing

## ❖ Hashing

Basic idea: use key value to compute page address of tuple.



e.g. tuple with key =  $v$  is stored in page  $i$

Requires: hash function  $h(v)$  that maps  $KeyDomain \rightarrow [0..b-1]$ .

- hashing converts key value (any type) into integer value
- integer value is then mapped to page index
- note: can view integer value as a bit-string

## ❖ Hashing (cont)

PostgreSQL hash function (simplified):

```
Datum hash_any(unsigned char *k, int keylen)
{
    uint32 a, b, c, len, *ka = (uint32 *)k;
    /* Set up the internal state */
    len = keylen;
    a = b = c = 0x9e3779b9+len+3923095;
    /* handle most of the key */
    while (len >= 12) {
        a += ka[0]; b += ka[1]; c += ka[2];
        mix(a, b, c);
        ka += 3; len -= 12;
    }
    ... collect data from remaining bytes into a,b,c ...
    mix(a, b, c);
    return UInt32GetDatum(c);
}
```

See **backend/access/hash/hashfunc.c** for details (incl **mix()**)

COMP9315 21T1 ◇ Hashed Files ◇ [2/15]

## ❖ Hashing (cont)

**hash\_any()** gives hash value as 32-bit quantity (**uint32**).

Two ways to map raw hash value into a page address:

- if  $b = 2^k$ , bitwise AND with  $k$  low-order bits set to one

```
uint32 hashToPageNum(uint32 hval) {  
    uint32 mask = 0xFFFFFFFF;  
    return (hval & (mask >> (32-k)));  
}
```

- otherwise, use *mod* to produce value in range  $0..b-1$

```
uint32 hashToPageNum(uint32 hval) {  
    return (hval % b);  
}
```

## ❖ Hashing Performance

---

Aims:

- distribute tuples evenly amongst buckets
- have most buckets nearly full (attempt to minimise wasted space)

Note: if data distribution not uniform, address distribution can't be uniform.

**Best case:** every bucket contains same number of tuples.

**Worst case:** every tuple hashes to same bucket.

**Average case:** some buckets have more tuples than others.

Use overflow pages to handle "overflow" buckets (cf. sorted files)

All tuples in each bucket must have same hash value.

## ❖ Hashing Performance (cont)

Two important measures for hash files:

- load factor:  $L = r/bc$
- average overflow chain length:  $O_v = b_{ov}/b$

Three cases for distribution of tuples in a hashed file:

Case	$L$	$O_v$
Best	$\cong 1$	0
Worst	$>> 1$	**
Average	$< 1$	$0 < O_v < 1$

(\*\* performance is same as Heap File)

To achieve average case, aim for  $0.75 \leq L \leq 0.9$ .

COMP9315 21T1 ◇ Hashed Files ◇ [5/15]



## ❖ Selection with Hashing

Select via hashing on unique key  $k$  (*one*)

```
// select * from R where k = val
getPageViaHash(R, val, P)
for each tuple t in page P {
    if (t.k == val) return t
}
for each overflow page Q of P {
    for each tuple t in page Q {
        if (t.k == val) return t
    }
}
```

$Cost_{one}$ : Best = 1, Avg =  $1 + Ov/2$  Worst =  $1 + max(OvLen)$

## ❖ Selection with Hashing (cont)

---

Working out which page, given a key ...

```
getPageViaHash(Reln R, Value key, Page p)
{
    uint32 h = hash_any(key, len(key));
    PageID pid = h % nPages(R);
    get_page(R, pid, buf);
}
```

## ❖ Selection with Hashing (cont)

Select via hashing on non-unique hash key  $nk(pmr)$

```
// select * from R where nk = val
getPageViaHash(R, val, P)
for each tuple t in page P {
    if (t.nk == val) add t to results
}
for each overflow page Q of P {
    for each tuple t in page Q {
        if (t.nk == val) add t to results
    }
}
return results
```

$$Cost_{pmr} = 1 + Ov$$

If  $Ov$  is small (e.g. 0 or 1), very good retrieval cost

## ❖ Selection with Hashing (cont)

---

Hashing does not help with *range* queries\*\* ...

$$Cost_{range} = b + b_{ov}$$

Selection on attribute *j* which is not hash key ...

$$Cost_{one}, Cost_{range}, Cost_{pmr} = b + b_{ov}$$

\*\* unless the hash function is order-preserving (and most aren't)

## ❖ Insertion with Hashing

Insertion uses similar process to *one* queries.

```
// insert tuple t with key=val into rel R
getPageViaHash(R, val, P)
if room in page P {
    insert t into P; return
}
for each overflow page Q of P {
    if room in page Q {
        insert t into Q; return
    }
}
add new overflow page Q
link Q to previous page
insert t into Q
```

$Cost_{insert}$ : Best:  $1_r + 1_w$  Worst:  $1 + \max(OvLen))_r + 2_w$

## ❖ Deletion with Hashing

Similar performance to select on non-unique key:

```
// delete from R where k = val
// f = data file ... ovf = overflow file
getPageViaHash(R, val, P)
ndel = delTuples(P,k,val)
if (ndel > 0) put_page(dataFile(R),P.pid,P)
for each overflow page Q of P {
    ndel = delTuples(Q,k,val)
    if (ndel > 0) put_page(ovFile(R),Q.pid,Q)
}
```

Extra cost over select is cost of writing back modified pages.

Method works for both unique and non-unique hash keys.

## ❖ Problem with Hashing...

---

So far, discussion of hashing has assumed a fixed file size ( $b$ ).

What size file to use?

- the size we need right now (performance degrades as file overflows)
- the maximum size we might ever need (significant waste of space)

Problem: change file size  $\Rightarrow$  change hash function  $\Rightarrow$  rebuild file

Methods for hashing with files whose size changes:

- extendible hashing, dynamic hashing (need a directory, no overflows)
- **linear hashing** (expands file "systematically", no directory, has overflows)

## ❖ Flexible Hashing

---

All flexible hashing methods ...

- treat hash as 32-bit bit-string
- adjust hashing by using more/less bits

Start with hash function to convert value to bit-string:

```
uint32 hash(unsigned char *val)
```

Require a function to extract  $d$  bits from bit-string:

```
uint32 bits(int d, uint32 val)
```

Use result of **bits()** as page address.



## ❖ Flexible Hashing (cont)

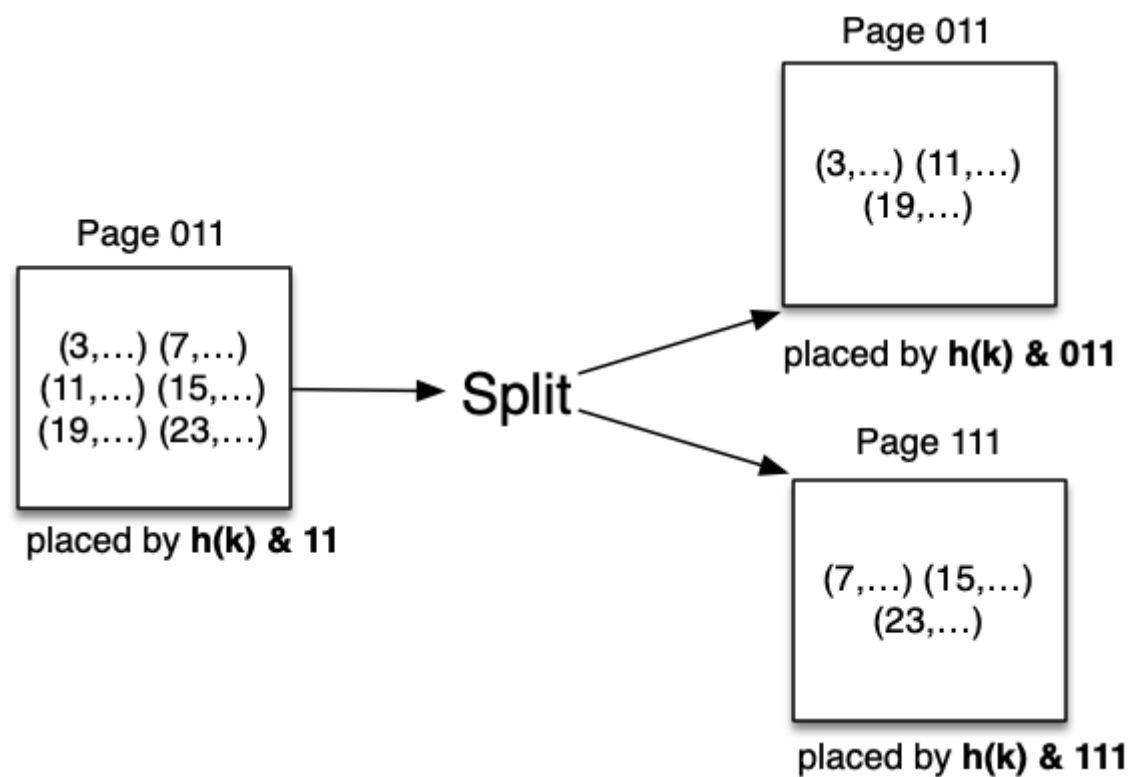
Important concept for flexible hashing: **splitting**

- consider one page (all tuples have same hash value)
- recompute page numbers by considering one extra bit
- if current page is **101**, new pages have hashes **0101** and **1101**
- some tuples stay in page **0101** (was **101**)
- some tuples move to page **1101** (new page)
- also, rehash any tuples in overflow pages of page **101**

Result: expandable data file, never requiring a complete file rebuild

## ❖ Flexible Hashing (cont)

Example of splitting:



Tuples only show key value; assume  $h(val) = val$

Produced: 7 Mar 2021