

# Implementing Durability

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- Atomicity/Durability
- Durability
- Dealing with Transactions
- Architecture for Atomicity/Durability
- Execution of Transactions
- Transactions and Buffer Pool

## ❖ Atomicity/Durability

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Reminder:

Transactions are **atomic**

- if a tx commits, all of its changes persist in DB
- if a tx aborts, none of its changes occur in DB

Transaction effects are **durable**

- if a tx commits, its effects persist  
(even in the event of subsequent (catastrophic) **system failures**)

Implementation of atomicity/durability is intertwined.

## ❖ Durability

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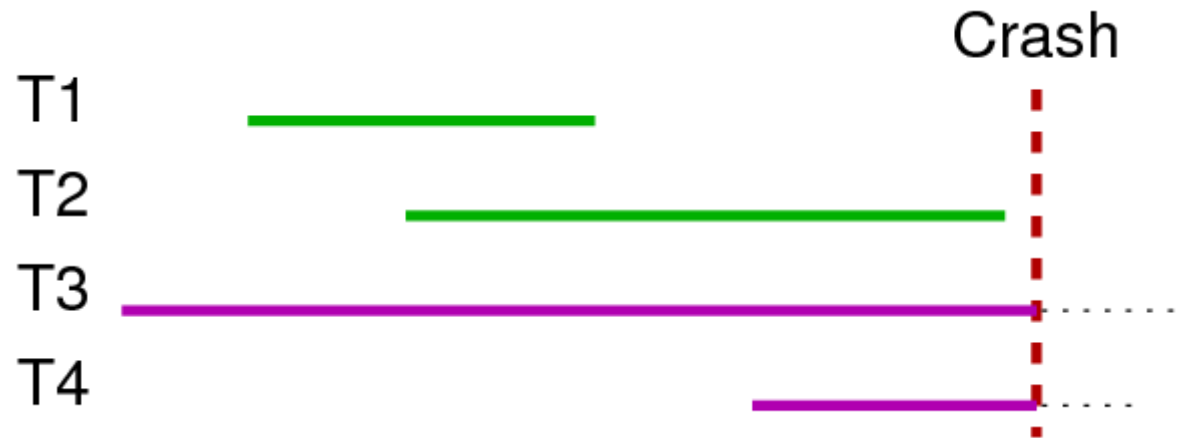
What kinds of "system failures" do we need to deal with?

- single-bit inversion during transfer mem-to-disk
- decay of storage medium on disk (some data changed)
- failure of entire disk device (data no longer accessible)
- failure of DBMS processes (e.g. **postgres** crashes)
- operating system crash; power failure to computer room
- complete destruction of computer system running DBMS

The last requires off-site [backup](#); all others should be locally recoverable.

## ❖ Durability (cont)

Consider following scenario:



Desired behaviour after system restart:

- all effects of T1, T2 persist
- as if T3, T4 were aborted (no effects remain)

## ❖ Durability (cont)

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Durability begins with a **stable disk storage subsystem**

- i.e. **putPage()** and **getPage()** always work as expected

We can prevent/minimise loss/corruption of data due to:

- mem/disk transfer corruption  $\Rightarrow$  parity checking
- sector failure  $\Rightarrow$  mark "bad" blocks
- disk failure  $\Rightarrow$  RAID (levels 4,5,6)
- destruction of computer system  $\Rightarrow$  off-site backups

## ❖ Dealing with Transactions

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The remaining "failure modes" that we need to consider:

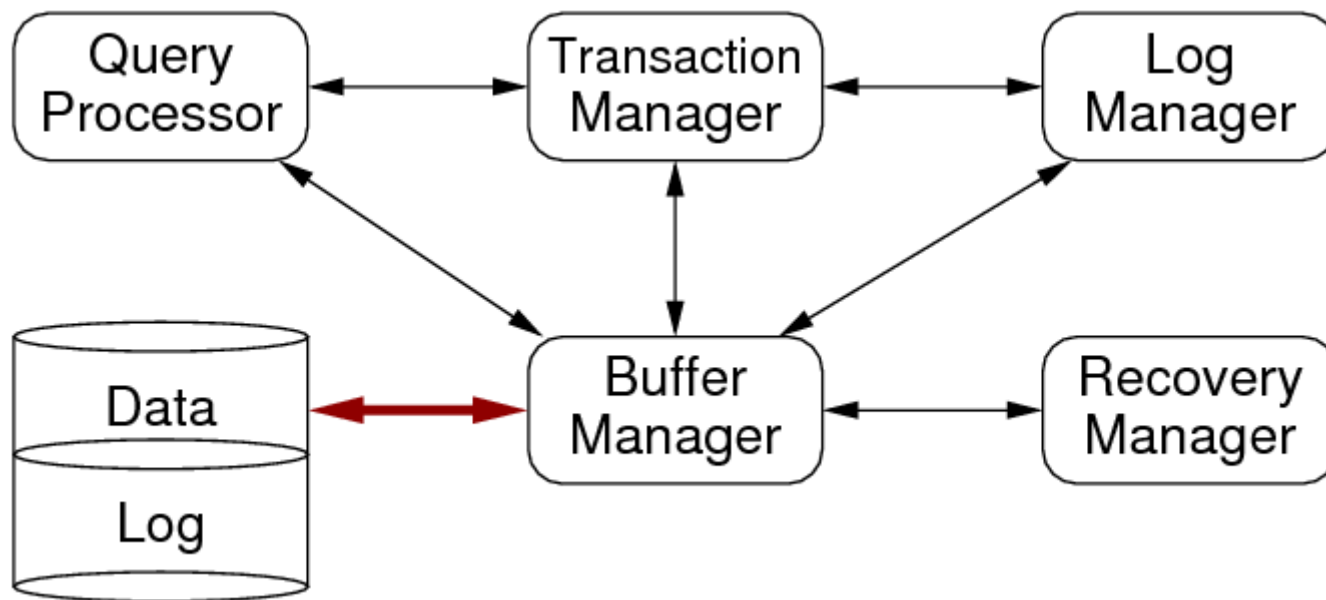
- failure of DBMS processes or operating system
- failure of transactions (**ABORT**)

Standard technique for managing these:

- keep a **log** of changes made to database
- use this log to restore state in case of failures

## ❖ Architecture for Atomicity/Durability

How does a DBMS provide for atomicity/durability?



## ❖ Execution of Transactions

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Transactions deal with three address/memory spaces:

- stored data on the disk (representing persistent DB state)
- data in memory buffers (where held for sharing by tx's)
- data in their own local variables (where manipulated)

Each of these may hold a different "version" of a DB object.

PostgreSQL processes make heavy use of shared buffer pool

⇒ transactions do not deal with much local data.



## ❖ Execution of Transactions (cont)

Operations available for data transfer:

- **INPUT (X)** ... read page containing **X** into a buffer
- **READ (X, v)** ... copy value of **X** from buffer to local var **v**
- **WRITE (X, v)** ... copy value of local var **v** to **X** in buffer
- **OUTPUT (X)** ... write buffer containing **X** to disk

**READ/WRITE** are issued by transaction.

**INPUT/OUTPUT** are issued by buffer manager (and log manager).

**INPUT/OUTPUT** correspond to **getPage()**/**putPage()** mentioned above

## ❖ Execution of Transactions (cont)

Example of transaction execution:

```
-- implements A = A*2; B = B+1;  
BEGIN  
READ(A,v); v = v*2; WRITE(A,v);  
READ(B,v); v = v+1; WRITE(B,v);  
COMMIT
```

**READ** accesses the buffer manager and may cause **INPUT**.

**COMMIT** needs to ensure that buffer contents go to disk.

## ❖ Execution of Transactions (cont)

States as the transaction executes:

t	Action	v	Buf(A)	Buf(B)	Disk(A)	Disk(B)
(0)	BEGIN	.	.	.	8	5
(1)	READ(A, v)	8	8	.	8	5
(2)	$v = v * 2$	16	8	.	8	5
(3)	WRITE(A, v)	16	16	.	8	5
(4)	READ(B, v)	5	16	5	8	5
(5)	$v = v + 1$	6	16	5	8	5
(6)	WRITE(B, v)	6	16	6	8	5
(7)	OUTPUT(A)	6	16	6	16	5
(8)	OUTPUT(B)	6	16	6	16	6

After tx completes, we must have either  
 Disk(A)=8, Disk(B)=5 or Disk(A)=16, Disk(B)=6

If system crashes before (8), may need to undo disk changes.  
 If system crashes after (8), may need to redo disk changes.

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## ❖ Transactions and Buffer Pool

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Two issues arise w.r.t. buffers:

- **forcing** ... **OUTPUT** buffer on each **WRITE**
  - ensures durability; disk always consistent with buffer pool
  - poor performance; defeats purpose of having buffer pool
- **stealing** ... replace buffers of uncommitted tx's
  - if we don't, poor throughput (tx's blocked on buffers)
  - if we do, seems to cause atomicity problems?

Ideally, we want stealing and not forcing.

## ❖ Transactions and Buffer Pool (cont)

Handling **stealing**:

- transaction T loads page P and makes changes
- T<sub>2</sub> needs a buffer, and P is the "victim"
- P is output to disk (it's dirty) and replaced
- if T aborts, some of its changes are already "committed"
- must log values changed by T in P at "steal-time"
- use these to UNDO changes in case of failure of T

## ❖ Transactions and Buffer Pool (cont)

Handling **no forcing**:

- transaction T makes changes & commits, then system crashes
- but what if modified page P has not yet been output?
- must log values changed by T in P as soon as they change
- use these to support REDO to restore changes

Above scenario may be a problem, even if we are forcing

- e.g. system crashes immediately after requesting a **WRITE ( )**

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