Week 2: Analysis of Algorithms

Analysis of Algorithms

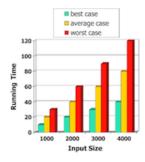
Running Time

An algorithm is a step-by-step procedure

- for solving a problem
- in a finite amount of time

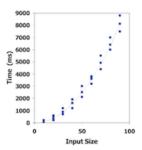
Most algorithms map input to output

- running time typically grows with input size
- average time often difficult to determine
- Focus on worst case running time
 - o easier to analyse
 - o crucial to many applications: finance, robotics, games, ...



Empirical Analysis

- 1. Write program that implements an algorithm
- 2. Run program with inputs of varying size and composition
- 3. Measure the actual running time
- 4. Plot the results



Limitations:

2/87

3/87

- requires to implement the algorithm, which may be difficult
- results may not be indicative of running time on other inputs
- same hardware and operating system must be used in order to compare two algorithms

Theoretical Analysis

4/87

- Uses high-level description of the algorithm instead of implementation ("pseudocode")
- Characterises running time as a function of the input size, n
- Takes into account all possible inputs
- · Allows us to evaluate the speed of an algorithm independent of the hardware/software environment

Pseudocode 5/87

Example: Find maximal element in an array

... Pseudocode

Control flow

```
if ... then ... [else] ... end if
while .. do ... end while repeat ... until for [all][each] .. do ... end for
```

Function declaration

```
• f(arguments):
Input ...
Output ...
```

Expressions

- = assignment
- = equality testing
- n² superscripts and other mathematical formatting allowed

• swap A[i] and A[j] verbal descriptions of simple operations allowed

... Pseudocode 7/8

- More structured than English prose
- Less detailed than a program
- Preferred notation for describing algorithms
- Hides program design issues

Exercise #1: Pseudocode 8/87

Formulate the following verbal description in pseudocode:

To reverse the order of the elements on a stack S with the help of a queue:

- 1. In the first phase, pop one element after the other from S and enqueue it in queue Q until the stack is empty.
- 2. In the second phase, iteratively dequeue all the elements from Q and push them onto the stack.

As a result, all the elements are now in reversed order on S.

Sample solution:

```
while S is not empty do
   pop e from S, enqueue e into Q
end while
while Q is not empty do
   dequeue e from Q, push e onto S
end while
```

Exercise #2: Pseudocode

10/87

Implement the following pseudocode instructions in C

```
1. A is an array of ints
```

```
swap A[i] and A[j]
...

2. S is a stack
...
swap the top two elements on S
```

```
1. int temp = A[i];
```

```
A[i] = A[j];
A[j] = temp;

2. x = StackPop(S);
y = StackPop(S);
StackPush(S, x);
StackPush(S, y);
```

The following pseudocode instruction is problematic. Why?

```
\hdots swap the two elements at the front of queue Q \hdots
```

The Abstract RAM Model

12/87

RAM = Random Access Machine

- A CPU (central processing unit)
- A potentially unbounded bank of memory cells
- each of which can hold an arbitrary number, or character
 Memory cells are numbered, and accessing any one of them takes CPU time

Primitive Operations

13/87

- Basic computations performed by an algorithm
- Identifiable in pseudocode
- Largely independent of the programming language
- Exact definition not important (we will shortly see why)
- Assumed to take a constant amount of time in the RAM model

Examples:

- evaluating an expression
- indexing into an array
- calling/returning from a function

Counting Primitive Operations

14/87

By inspecting the pseudocode ...

- we can determine the maximum number of primitive operations executed by an algorithm
- as a function of the input size

Example:

```
arrayMax(A):
```

Estimating Running Times

Algorithm arrayMax requires 5n-2 primitive operations in the worst case

• best case requires 4n - 1 operations (why?)

Define:

- a ... time taken by the fastest primitive operation
- b ... time taken by the slowest primitive operation

Let T(n) be worst-case time of arrayMax. Then

$$a \cdot (5n - 2) \le T(n) \le b \cdot (5n - 2)$$

Hence, the running time T(n) is bound by two linear functions

... Estimating Running Times

Seven commonly encountered functions for algorithm analysis

- Constant ≅ 1
- Logarithmic $\approx \log n$
- Linear $\approx n$
- N-Log-N $\cong n \log n$
- Quadratic $\approx n^2$
- Cubic $\approx n^3$
- Exponential $\approx 2^n$

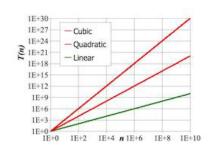
... Estimating Running Times

17/87

16/87

15/87

In a log-log chart, the slope of the line corresponds to the growth rate of the function

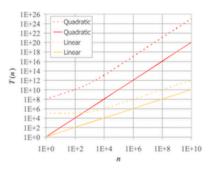


... Estimating Running Times

18/87

The growth rate is not affected by constant factors or lower-order terms

- Examples:
 - $10^2 n + 10^5$ is a linear function
 - \circ 10⁵ n^2 + 10⁸n is a quadratic function



... Estimating Running Times

19/87

Changing the hardware/software environment

- affects T(n) by a constant factor
- but does not alter the growth rate of T(n)
- \Rightarrow *Linear* growth rate of the running time T(n) is an intrinsic property of algorithm arrayMax

Exercise #3: Estimating running times

20/87

Determine the number of primitive operations

```
matrixProduct(A,B):
    Input    n×n matrices A, B
    Output    n×n matrix A·B
```

```
matrixProduct(A,B):
   Input n×n matrices A, B
   Output n×n matrix A·B
   for all i=1..n do
                                             2n+1
      for all j=1..n do
                                            n(2n+1)
         C[i,j]=0
                                            n^{2}(2n+1)
         for all k=1..n do
            C[i,j]=C[i,j]+A[i,k]\cdot B[k,j] n^3\cdot 4
         end for
      end for
   end for
   return C
                                             6n^3+4n^2+3n+2
                                    Total
```

Big-Oh

Big-Oh Notation

Given functions f(n) and g(n), we say that

$$f(n) \in \mathcal{O}(g(n))$$

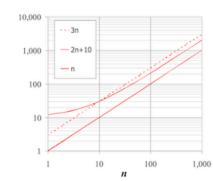
if there are positive constants c and n_0 such that

$$f(n) \le c \cdot g(n) \quad \forall n \ge n_0$$

Hence: O(g(n)) is the set of all functions that do not grow faster than g(n)

... Big-Oh Notation 24/87

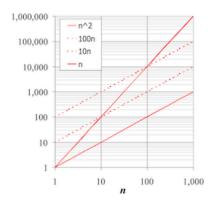
Example: function 2n + 10 is in O(n)



- $2n+10 \le c \cdot n$
 - \Rightarrow $(c-2)n \ge 10$
 - $\Rightarrow n \ge 10/(c-2)$
- pick c=3 and $n_0=10$

... Big-Oh Notation

Example: function n^2 is not in O(n)



- $n^2 \le c \cdot n$ $\Rightarrow n \le c$
- inequality cannot be satisfied since c must be a constant

Exercise #4: Big-Oh

Show that

23/87

- 1. 7n-2 is in O(n)
- 2. $3n^3 + 20n^2 + 5$ is in $O(n^3)$
- 3. $3 \cdot \log n + 5$ is in $O(\log n)$

25/87

26/87

1. $7n-2 \in O(n)$

need c>0 and $n_0 \ge 1$ such that $7n-2 \le c \cdot n$ for $n \ge n_0$

$$\Rightarrow$$
 true for c=7 and n₀=1

2. $3n^3 + 20n^2 + 5 \in O(n^3)$

need c>0 and $n_0 \ge 1$ such that $3n^3 + 20n^2 + 5 \le c \cdot n^3$ for $n \ge n_0$

- \Rightarrow true for c=4 and n₀=21
- 3. $3 \cdot \log n + 5 \in O(\log n)$

need c>0 and $n_0 \ge 1$ such that $3 \cdot \log n + 5 \le c \cdot \log n$ for $n \ge n_0$

 \Rightarrow true for c=8 and n₀=2

Big-Oh and Rate of Growth

28/87

- Big-Oh notation gives an upper bound on the growth rate of a function
 - \circ "f(n) \in O(g(n))" means growth rate of f(n) no more than growth rate of g(n)
- use big-Oh to rank functions according to their rate of growth

	$f(n) \in O(g(n))$	$g(n) \in O(f(n))$
g(n) grows faster	yes	no
f(n) grows faster	no	yes
same order of growth	yes	yes

Big-Oh Rules

• If f(n) is a polynomial of degree $d \Rightarrow f(n)$ is $O(n^d)$

- o lower-order terms are ignored
- constant factors are ignored
- Use the smallest possible class of functions
 - say "2n is O(n)" instead of "2n is O(n²)"
 - but keep in mind that, $2n \text{ is in } O(n^2), O(n^3), \dots$
- Use the simplest expression of the class
 - say "3n + 5 is O(n)" instead of "3n + 5 is O(3n)"

Exercise #5: Big-Oh

30/87

29/87

Show that $\sum_{i=1}^{n} i$ is $O(n^2)$

$$\sum_{i=1}^{n} i = \frac{n(n+1)}{2} = \frac{n^2 + n}{2}$$

which is $O(n^2)$

Asymptotic Analysis of Algorithms

32/87

Asymptotic analysis of algorithms determines running time in big-Oh notation:

- find worst-case number of primitive operations as a function of input size
- express this function using big-Oh notation

Example:

algorithm arrayMax executes at most 5n − 2 primitive operations
 ⇒ algorithm arrayMax "runs in O(n) time"

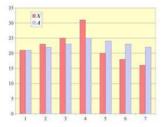
Constant factors and lower-order terms eventually dropped ⇒ can disregard them when counting primitive operations

Example: Computing Prefix Averages

33/87

• The *i-th prefix average* of an array X is the average of the first i elements:

$$A[i] = (X[0] + X[1] + ... + X[i]) / (i+1)$$



NB. computing the array A of prefix averages of another array X has applications in financial analysis

... Example: Computing Prefix Averages

34/87

A *quadratic* algorithm to compute prefix averages:

```
for all j=1...i do
                                      O(n^2)
        s=s+X[j]
                                     O(n^2)
   end for
   A[i]=s/(i+1)
                                     0(n)
end for
return A
                                      0(1)
                            2 \cdot O(n^2) + 3 \cdot O(n) + O(1) = O(n^2)
```

 \Rightarrow Time complexity of algorithm prefixAverages1 is $O(n^2)$

... Example: Computing Prefix Averages

35/87

The following algorithm computes prefix averages by keeping a running sum:

```
prefixAverages2(X):
  Input array X of n integers
  Output array A of prefix averages of X
  s=0
   for all i=0..n-1 do
                                O(n)
                                0(n)
      s=s+X[i]
     A[i]=s/(i+1)
                                 O(n)
   end for
  return A
                                0(1)
```

Thus, prefixAverages 2 is O(n)

Example: Binary Search

36/87

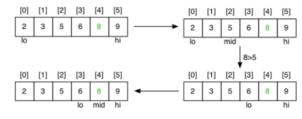
37/87

The following recursive algorithm searches for a value in a *sorted* array:

```
search(v,a,lo,hi):
   Input value v
          array a[lo..hi] of values
   Output true if v in a[lo..hi]
          false otherwise
   mid=(lo+hi)/2
   if lo>hi then return false
   if a[mid]=v then
      return true
   else if a[mid]<v then</pre>
      return search(v,a,mid+1,hi)
   else
      return search(v,a,lo,mid-1)
   end if
```

... Example: Binary Search

Successful search for a value of 8:

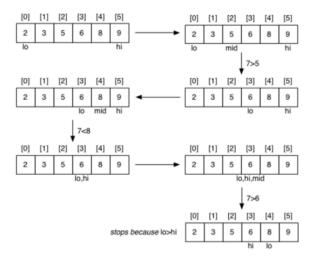


succeeds with a[mid]==v

... Example: Binary Search

38/87

Unsuccessful search for a value of 7:



... Example: Binary Search

39/87

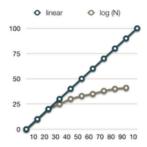
Cost analysis:

- C_i = #calls to search() for array of length i
- for best case, $C_n = 1$
- for a[i..j], j<i (length=0) \circ $C_0 = 0$
- for a[i..j], i≤j (length=n) $\circ C_n = 1 + C_{n/2} \implies C_n = \log_2 n$

Thus, binary search is $O(\log_2 n)$ or simply $O(\log n)$ (why?)

... Example: Binary Search

Why logarithmic complexity is good:



Math Needed for Complexity Analysis

Logarithms

```
 \circ \log_b(xy) = \log_b x + \log_b y
```

$$\circ \log_b(x/y) = \log_b x - \log_b y$$

$$\circ \log_b x^a = a \log_b x$$

Exponentials

$$\circ$$
 $a^{(b+c)} = a^b a^c$

$$a^{bc} = (a^b)^c$$

o
$$a^{b} / a^{c} = a^{(b-c)}$$

$$\circ \quad b = a^{log_ab}$$

o
$$b = a^{\log_a b}$$

o $b^c = a^{c \cdot \log_a b}$

• Proof techniques

- Summation (addition of sequences of numbers)
- Basic probability (for average case analysis, randomised algorithms)

Exercise #6: Analysis of Algorithms

What is the complexity of the following algorithm?

```
enqueue(Q,Elem):
```

```
Input queue Q, element Elem
Output Q with Elem added at the end

Q.top=Q.top+1
for all i=Q.top down to 1 do
    Q[i]=Q[i-1]
end for
Q[0]=Elem
return Q
```

Answer: O(|Q|)

40/87

41/87

42/87

Exercise #7: Analysis of Algorithms

44/87

What is the complexity of the following algorithm?

```
binaryConversion(n):
```

Assume that creating a stack and pushing an element both are O(1) operations ("constant")

Answer: O(log n)

Relatives of Big-Oh

46/87

big-Omega

• $f(n) \in \Omega(g(n))$ if there is a constant c > 0 and an integer constant $n_0 \ge 1$ such that

$$f(n) \ge c \cdot g(n) \quad \forall n \ge n_0$$

big-Theta

• $f(n) \in \Theta(g(n))$ if there are constants c', c'' > 0 and an integer constant $n_0 \ge 1$ such that

$$c' \cdot g(n) \le f(n) \le c'' \cdot g(n) \quad \forall n \ge n_0$$

... Relatives of Big-Oh

47/87

- f(n) belongs to O(g(n)) if f(n) is asymptotically *less than or equal* to g(n)
- f(n) belongs to $\Omega(g(n))$ if f(n) is asymptotically greater than or equal to g(n)
- f(n) belongs to $\Theta(g(n))$ if f(n) is asymptotically *equal* to g(n)

... Relatives of Big-Oh

48/87

Examples:

• $\sqrt[1]{4}n^2 \in \Omega(n^2)$

- need c > 0 and $n_0 \ge 1$ such that $\frac{1}{4}n^2 \ge c \cdot n^2$ for $n \ge n_0$
- \circ let c=\\\ and n_0=1
- $\frac{1}{4}n^2 \in \Omega(n)$
 - need c > 0 and $n_0 \ge 1$ such that $\frac{1}{4}n^2 \ge c \cdot n$ for $n \ge n_0$
 - \circ let c=1 and n₀=4
- $\sqrt[1]{4}n^2 \in \Theta(n^2)$
 - since $\frac{1}{4}n^2$ belongs to $\Omega(n^2)$ and $O(n^2)$

Complexity Analysis: Arrays vs. Linked Lists

Static/Dynamic Sequences

50/87

Previously we have used an array to implement a stack

- fixed size collection of homogeneous elements
- can be accessed via index or via "moving" pointer

The "fixed size" aspect is a potential problem:

- how big to make the (dynamic) array? (big ... just in case)
- what to do if it fills up?

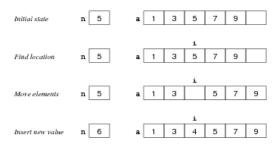
The rigid sequence is another problems:

• inserting/deleting an item in middle of array

... Static/Dynamic Sequences

51/87

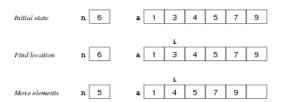
Inserting a value (4) into a sorted array a with n elements:



... Static/Dynamic Sequences

52/87

Deleting a value (3) from a sorted array a with n elements:

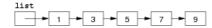


... Static/Dynamic Sequences

53/87

The problems with using arrays can be solved by

- allocating elements individually
- linking them together as a "chain"



Benefits:

- insertion/deletion have minimal effect on list overall
- only use as much space as needed for values

Self-referential Structures

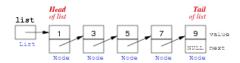
54/87

To realise a "chain of elements", need a node containing

- a value
- a link to the next node

To represent a chained (linked) list of nodes:

- we need a *pointer* to the first node
- each node contains a pointer to the next node
- the next pointer in the last node is NULL



... Self-referential Structures

55/87

Linked lists are more flexible than arrays:

- values do not have to be adjacent in memory
- values can be rearranged simply by altering pointers
- the number of values can change dynamically
- values can be added or removed in any order

Disadvantages:

- it is not difficult to get pointer manipulations wrong
- each value also requires storage for next pointer

... Self-referential Structures

56/87

Create a new list node:

Exercise #8: Creating a Linked List

57/87

Write pseudocode to create a linked list of three nodes with values 1, 42 and 9024.

```
mylist=makeNode(1)
mylist.next=makeNode(42)
(mylist.next).next=makeNode(9024)
```

Iteration over Linked Lists

59/87

When manipulating list elements

- typically have pointer p to current node
- to access the data in current node: p.value
- to get pointer to next node: p.next

To iterate over a linked list:

- set p to point at first node (head)
- examine node pointed to by p
- change p to point to next node
- stop when p reaches end of list (NULL)

... Iteration over Linked Lists

60/87

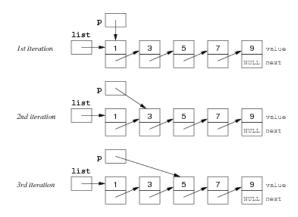
Standard method for scanning all elements in a linked list:

```
list // pointer to first Node in list
p // pointer to "current" Node in list
```

```
p=list
while p≠NULL do
| ... do something with p.value ...
| p=p.next
end while
```

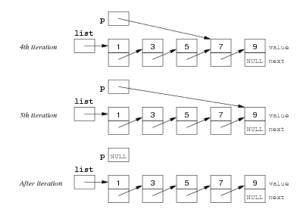
... Iteration over Linked Lists

61/87



... Iteration over Linked Lists

62/87



... Iteration over Linked Lists

63/87

Check if list contains an element:

```
inLL(L,d):
    Input linked list L, value d
    Output true if d in list, false otherwise
```

Time complexity: O(|L|)

... Iteration over Linked Lists

64/87

Print all elements:

```
showLL(L):
    Input linked list L
|    p=L
| while p≠NULL do
| print p.value
| p=p.next
| end while
```

Time complexity: O(|L|)

Exercise #9: Traversing a linked list

65/87

What does this code do?

```
p=list
while p≠NULL do
print p.value
if p.next≠NULL then
p=p.next.next
else
p=NULL
end if
end while
```

What is the purpose of the conditional statement in line 4?

Every second list element is printed.

If p happens to be the last element in the list, then p.next.next does not exist.

The if-statement ensures that we do not attempt to assign an undefined value to pointer p in line 5.

Exercise #10: Traversing a linked list

67/87

Rewrite **showLL()** as a recursive function.

```
showLL(L):
    Input linked list L
    if L≠NULL do
        print L.value
        showLL(L.next)
    end if
```

Modifying a Linked List

69/87

Insert a new element at the beginning:

```
insertLL(L,d):
    Input linked list L, value d
    Output L with d prepended to the list
    new=makeNode(d) // create new list element
    new.next=L // link to beginning of list
    return new // new element is new head
```

Time complexity: O(1)

... Modifying a Linked List

70/87

Delete the *first* element:

Delete a *specific* element (recursive version):

```
deleteLL(L,d):
```

end if return L

Time complexity: O(|L|)

Exercise #11: Implementing a Queue as a Linked List

71/87

Develop a datastructure for a queue based on linked lists such that ...

- enqueuing an element takes constant time
- dequeuing an element takes constant time

Use pointers to both ends



Dequeue from the front ...

```
dequeue(Q):
   Input non-empty queue Q
   Output front element d, dequeued from Q
   d=0.front.value
                           // first element in the list
   0.front=0.front.next
                           // move to second element
   return d
Enqueue at the rear ...
enqueue(Q,d):
   Input queue Q
   new=makeNode(d)
                        // create new list element
   Q.rear.next=new
                        // add to end of list
                        // link to new end of list
   O.rear=new
```

Comparison Array vs. Linked List

73/87

Complexity of operations, *n* elements

	array	linked list
insert/delete at beginning	O(n)	<i>O</i> (1)
insert/delete at end	O(1)	O(1) ("doubly-linked" list, with pointer to rear)
insert/delete at middle	O(n)	O(n)
	O(n)	. , , , , , , , , , , , , , , , , , , ,

find an element	$O(n)$ $O(\log n)$, if array is sorted)	O(n)
index a specific element	O(1)	O(n)

Complexity Classes

Complexity Classes

75/87

Problems in Computer Science ...

- some have *polynomial* worst-case performance (e.g. n^2)
- some have *exponential* worst-case performance (e.g. 2^n)

Classes of problems:

- P = problems for which an algorithm can compute answer in polynomial time
- NP = includes problems for which no P algorithm is known

Beware: NP stands for "nondeterministic, polynomial time (on a theoretical Turing Machine)"

... Complexity Classes

76/87

Computer Science jargon for difficulty:

- tractable ... have a polynomial-time algorithm (useful in practice)
- intractable ... no tractable algorithm is known (feasible only for small n)
- non-computable ... no algorithm can exist

Computational complexity theory deals with different degrees of intractability

Generate and Test

77/87

In scenarios where

- it is simple to test whether a given state is a solution
- it is easy to generate new states (preferably likely solutions)

then a generate and test strategy can be used.

It is necessary that states are generated systematically

- so that we are guaranteed to find a solution, or know that none exists
 - some randomised algorithms do not require this, however (more on this later in this course)

... Generate and Test

Simple example: checking whether an integer n is prime

- generate/test all possible factors of *n*
- if none of them pass the test $\Rightarrow n$ is prime

Generation is straightforward:

• produce a sequence of all numbers from 2 to *n-1*

Testing is also straightforward:

• check whether next number divides n exactly

... Generate and Test

79/87

80/87

81/87

78/87

Function for primality checking:

Complexity of isPrime is O(n)

Can be optimised: check only numbers between 2 and $|\sqrt{n}| \Rightarrow O(\sqrt{n})$

Example: Subset Sum

Problem to solve ...

Is there a subset S of these numbers with $\Sigma_{x \in S} x = 1000$?

```
34, 38, 39, 43, 55, 66, 67, 84, 85, 91, 101, 117, 128, 138, 165, 168, 169, 182, 184, 186, 234, 238, 241, 276, 279, 288, 386, 387, 388, 389
```

General problem:

- given *n* arbitrary integers and a target sum *k*
- is there a subset that adds up to exactly *k*?

... Example: Subset Sum

Generate and test approach:

- How many subsets are there of *n* elements?
- How could we generate them?

... Example: Subset Sum

82/87

Given: a set of n distinct integers in an array A ...

• produce all subsets of these integers

A method to generate subsets:

- represent sets as *n* bits (e.g. *n*=4,0000,0011,1111 etc.)
- bit *i* represents the *i* th input number
- if bit i is set to 1, then A[i] is in the subset
- if bit i is set to 0, then A[i] is not in the subset
- e.g. if A[] == $\{1, 2, 3, 5\}$ then 0011 represents $\{1, 2\}$

... Example: Subset Sum

83/87

Algorithm:

```
subsetsum1(A,k):

| Input set A of n integers, target sum k | Output true if \Sigma_{x \in S} x = k for some SSA | false otherwise |

| for s=0..2^n-1 do | if k = \Sigma_{(i^{th} \text{ bit of s is 1})} A[i] then | return true | end if end for return false
```

Obviously, subsetsum1 is $O(2^n)$

... Example: Subset Sum

```
Alternative approach ...
```

```
\verb|subsetsum2(A,n,k)| (returns true if any subset of A[0..n-1] sums to $k$; returns false otherwise)
```

- if the nth value A[n-1] is part of a solution ...
 then the first n-1 values must sum to k − A[n-1]
- if the nth value is not part of a solution ...
 then the first n-1 values must sum to k
- base cases: k=0 (solved by {}); n=0 (unsolvable if k>0)

```
subsetsum2(A,n,k):
```

... Example: Subset Sum

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Cost analysis:

- C_i = #calls to subsetsum2() for array of length i
- for worst case,
 - $\circ C_1 = 2$
 - $\circ C_n = 2 + 2 \cdot C_{n-1} \implies C_n \cong 2^n$

Thus, subsetsum2 also is $O(2^n)$

... Example: Subset Sum

86/87

85/87

84/87

Subset Sum is typical member of the class of NP-complete problems

- intractable ... only algorithms with exponential performance are known
 - o increase input size by 1, double the execution time
 - \circ increase input size by 100, it takes $2^{100} = 1,267,650,600,228,229,401,496,703,205,376$ times as long to execute
- but if you can find a polynomial algorithm for Subset Sum, then any other *NP*-complete problem becomes *P* ...

Summary 87/87

- Big-Oh notation
- Asymptotic analysis of algorithms
- Examples of algorithms with logarithmic, linear, polynomial, exponential complexity
- Linked lists vs. arrays
- · Suggested reading:
 - Sedgewick, Ch. 2.1-2.4, 2.6

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