



# Numerical Methods of Optimal Quantum Control

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# What is Quantum Control?

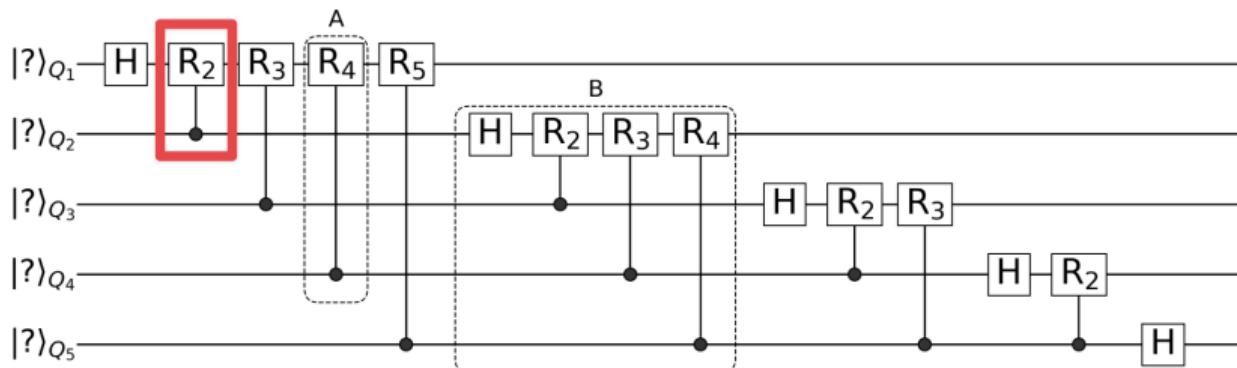
**Steer a quantum system in some desired way**

# Quantum Gates

docs.yaoquantum.org

## Quantum Fourier Transformation

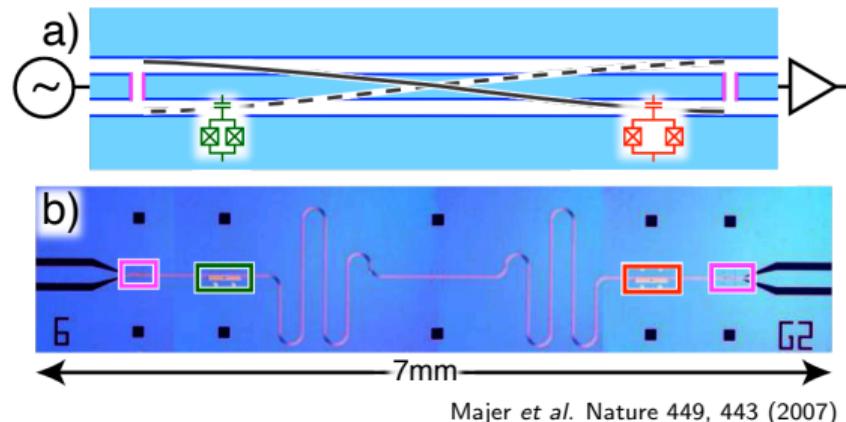
The Quantum Fourier Transformation (QFT) circuit is to repeat two kinds of blocks repeatedly:



Quantum Fourier Transformation circuit of size 5

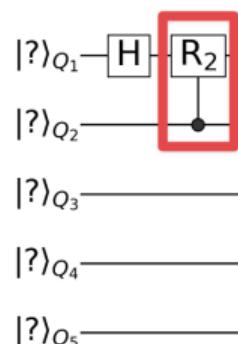
The basic building block control phase shift gate is defined as

## Two-Transmon Gate



$$\hat{H} = \hat{H}_0 + \epsilon(t)\hat{H}_1$$

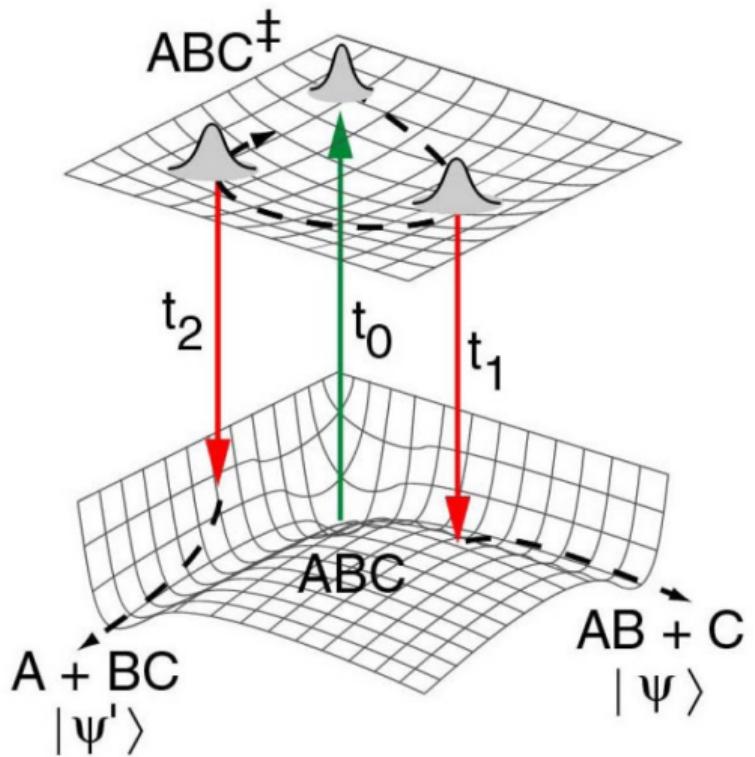
↑  
microwave field in transmission line



$$\left. \begin{array}{l} |00\rangle \rightarrow CR_2|00\rangle \\ |01\rangle \rightarrow CR_2|01\rangle \\ |10\rangle \rightarrow CR_2|10\rangle \\ |11\rangle \rightarrow CR_2|11\rangle \end{array} \right\}$$

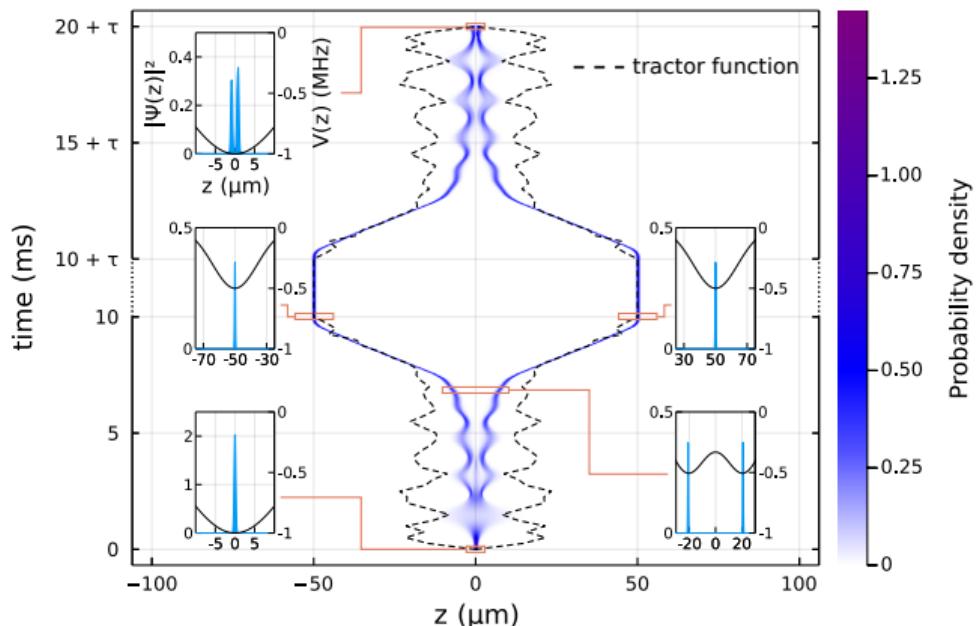
with the same  $\epsilon(t)$ ;  
acting on logical subspace

## Controlling photo-chemical reactions



- Kosloff, Rice, et. al. *Wavepacket dancing: Achieving chemical selectivity by shaping light pulses*. Chem. Phys. 139, 201 (1989).
- Tannor, Jin. *Design of femtosecond pulse sequences to control photochemical products*, in *Mode Selective Chemistry* (Springer, 1991)
- Shi, Rabitz. *Optimal control of bond selectivity in unimolecular reactions*. Comput. Phys. Commun. 63, 71 (1991)
- Judson, Rabitz. *Teaching lasers to control molecules*. Phys. Rev. Lett. 68, 1500 (1992).

# Tractor atom interferometry



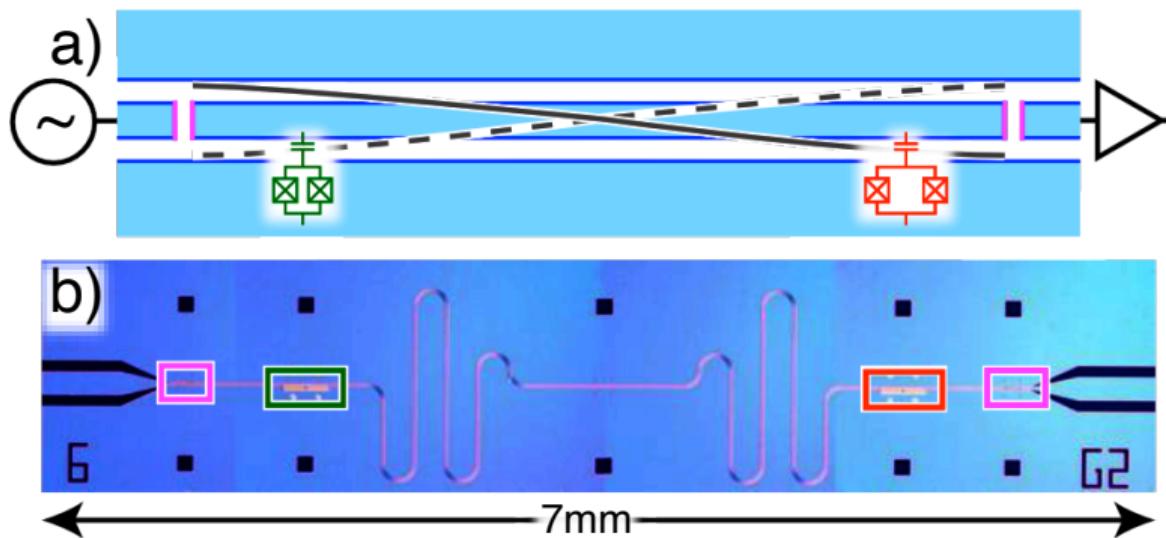
Find non-adiabatic tractor potential closing interferometric path

Raihel et al. Quantum Sci. Technol. 8, 014001 (2022)

# Outline

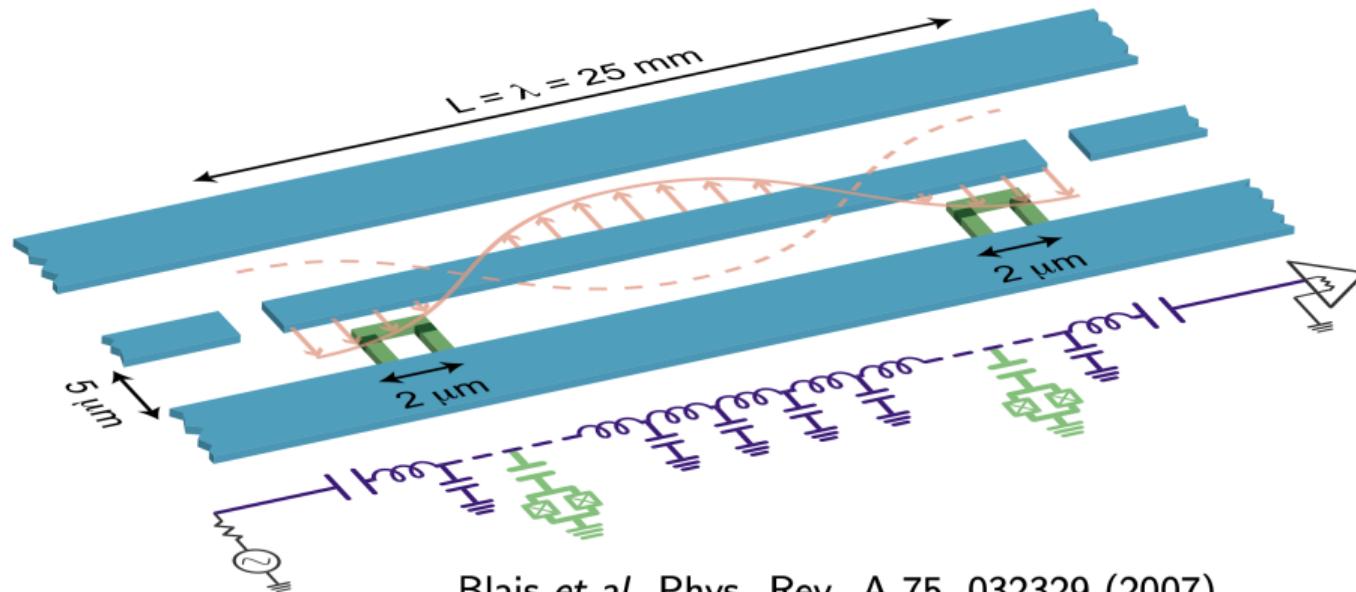
- Formulating the control problem
  - Quantum gates with coupled transmon qubits
  - Unconstrained control problems
- Gradient-ascent (GRAPE)
  - Simulating time dynamics
  - Evaluating gradients
  - Semi-automatic differentiation: evaluate arbitrary functionals
  - Example: Maximizing gate entanglement
- Krotov's method
- QuantumControl.jl: efficiently implementing quantum control
- Parametrized and constrained control problems

# Quantum gates with coupled transmon qubits

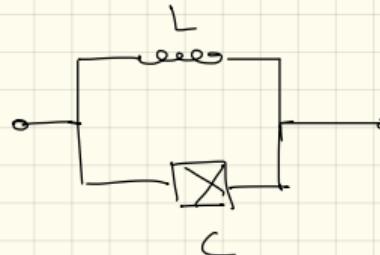
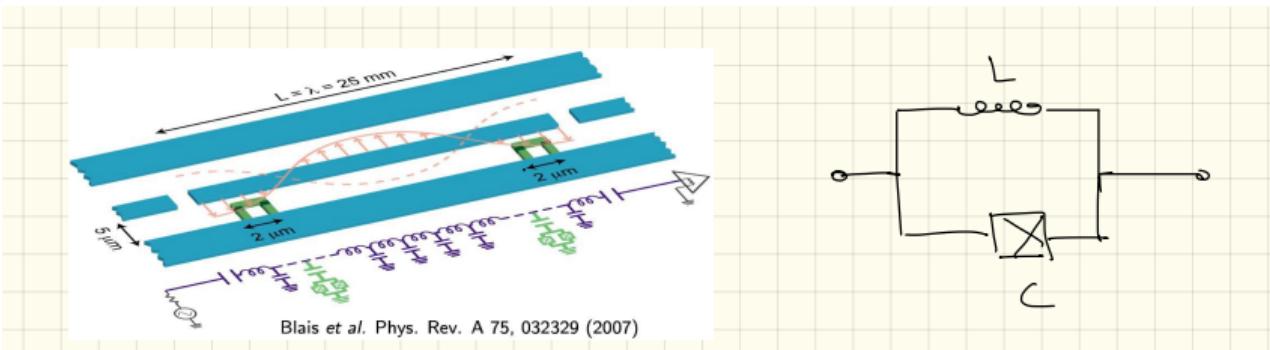


Majer *et al.* Nature 449, 443 (2007)

# Quantum gates with coupled transmon qubits



Blais et al. Phys. Rev. A 75, 032329 (2007)



$$\begin{aligned}\hat{H} = & \omega_1 \hat{u}_1 - \frac{\alpha_1}{2} (\hat{u}_1 - \hat{u}_1^2) \\ & + \omega_2 \hat{u}_2 - \frac{\alpha_2}{2} (\hat{u}_2 - \hat{u}_2^2) \\ & + \gamma (\hat{b}_1^\dagger \hat{b}_2 + \hat{b}_1 \hat{b}_2^\dagger) \\ & + \varepsilon(t) [\hat{b}_1^\dagger + \hat{b}_1 + \gamma \hat{b}_2 + \gamma \hat{b}_2^\dagger]\end{aligned}$$

$$\hat{u}_1 = \hat{b}_1^\dagger \hat{b}_1$$

$$\begin{aligned}\omega_1 &= 4.4 \text{ GHz} \\ \omega_2 &= 4.6 \text{ GHz} \\ \alpha_1 &= 210 \text{ MHz} \\ \alpha_2 &= 215 \text{ MHz}\end{aligned}$$

Rotating wave approximation :

$$\varepsilon(t) = \mathcal{R}(t) \cdot \cos(\omega_d t) \quad \omega_d = 4.5 \text{ GHz}$$

$$\tilde{\omega}_{112} = \omega_{112} - \omega_d \quad \downarrow \frac{1}{2} (e^{i\omega_d t} + e^{-i\omega_d t})$$

$$\hat{H} = \tilde{\omega}_1 \hat{n}_1 - \frac{\alpha_1}{2} (\hat{n}_1 - \hat{n}_1^2)$$

$$+ \tilde{\omega}_2 \hat{n}_2 - \frac{\alpha_2}{2} (\hat{n}_2 - \hat{n}_2^2)$$

$$+ \gamma (\hat{b}_1^+ \hat{b}_2 + \hat{b}_1 \hat{b}_2^+)$$

$$+ \frac{\mathcal{R}_{\text{re}}(t)}{2} [\hat{b}_1^+ + \hat{b}_1 + \gamma \hat{b}_2 + \gamma \hat{b}_2^+]$$

2 controls!

$$+ i \frac{\mathcal{R}_{\text{im}}(t)}{2} [\hat{b}_1^+ - \hat{b}_1 + \gamma b_2^+ - \gamma b_2]$$

Logical Subspace

$$\hat{b}_n = \sum_{n=1}^{\infty} \sqrt{n} |n-1\rangle \langle n|$$

$|0\rangle, |1\rangle$  — logical subspace

embedded in larger Hilbert space,

$|0\rangle, |1\rangle, |2\rangle, |3\rangle, \dots$  (truncate)

Optimization Functional

$$\mathcal{J}(\{\xi_k(t)\}) = \mathcal{J}_T(\{\xi| \tau_{k_n}(t)\}) + \sum_0^T g_a(\xi_k(t)) dt + \sum_0^T g_b(\xi_k(t)) dt$$

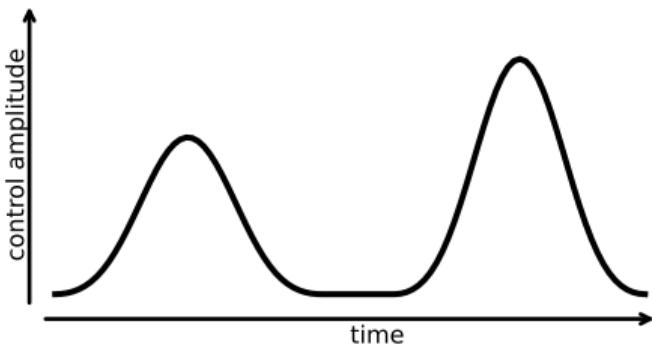
CNOT Gate  $\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$

$$|00\rangle \rightarrow |00\rangle, |01\rangle \rightarrow |01\rangle,$$

$$|10\rangle \rightarrow |11\rangle, |11\rangle \rightarrow |10\rangle$$

$$\mathcal{J}_T = 1 - \left| \frac{1}{4} \sum_n \langle \tau_{k_n}(T) | \tau_{k_n}^{+*} \rangle \right|^2$$

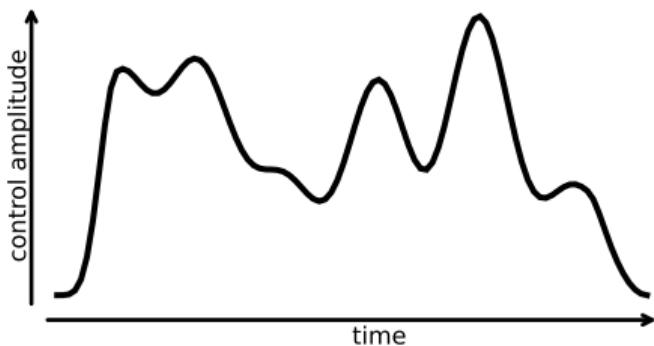
## Time Discretization



$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H}(\{\epsilon_l(t)\}) |\Psi(t)\rangle$$

$$i\hbar \frac{\partial}{\partial t} \hat{\rho}(t) = \mathcal{L}(\{\epsilon_l(t)\}) [\hat{\rho}(t)]$$

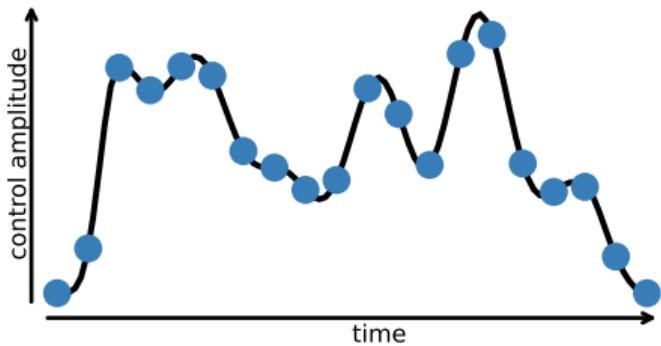
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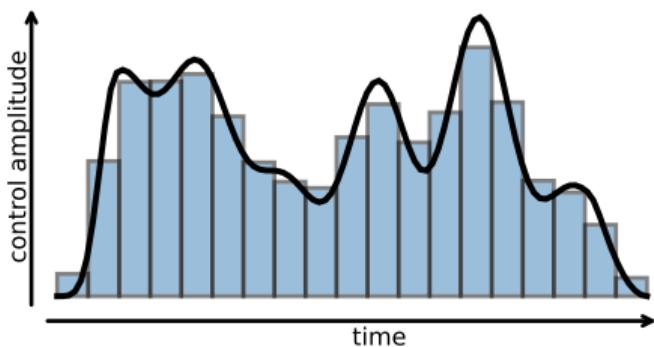
## Time Discretization



$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H}(\{\epsilon_I(t)\}) |\Psi(t)\rangle$$

$$i\hbar \frac{\partial}{\partial t} \hat{\rho}(t) = \mathcal{L}(\{\epsilon_I(t)\}) [\hat{\rho}(t)]$$

# Time Discretization



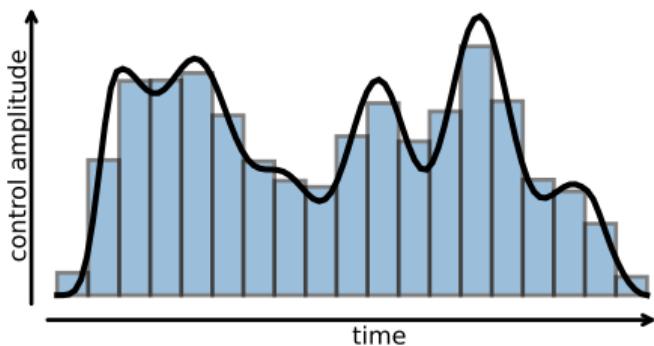
$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H}(\{\epsilon_I(t)\}) |\Psi(t)\rangle$$

$$i\hbar \frac{\partial}{\partial t} \hat{\rho}(t) = \mathcal{L}(\{\epsilon_I(t)\}) [\hat{\rho}(t)]$$

Piecewise constant:  $\hat{H}_n = \hat{H}(\{\epsilon_{nl}\})$  with  $\epsilon_{nl} = \epsilon_I(t = t_n)$  for  $n$ 'th time slice

$$J(\{\epsilon_I(t)\}) = J_T(|\Psi_k(T)\rangle) + \int_0^T \dots dt$$

# Time Discretization



$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H}(\{\epsilon_l(t)\}) |\Psi(t)\rangle$$

$$i\hbar \frac{\partial}{\partial t} \hat{\rho}(t) = \mathcal{L}(\{\epsilon_l(t)\}) [\hat{\rho}(t)]$$

Piecewise constant:  $\hat{H}_n = \hat{H}(\{\epsilon_{nl}\})$  with  $\epsilon_{nl} = \epsilon_l(t = t_n)$  for  $n$ 'th time slice

$$J(\{\epsilon_{nl}\}) = J_T(|\Psi_k(T)\rangle) + \int_0^T \dots dt$$

Gradient  $\nabla J \equiv \frac{\partial J}{\partial \epsilon_{nl}}$   $\Rightarrow$  LBFGS

# Gradient Ascent Pulse Engineering (GRAPE)

How to calculate  $\nabla J$  for PWC controls

Khaneja, Reiss, Kehlet, Schulte-Herbrüggen, Glaser. *Optimal control of coupled spin dynamics: Design of NMR pulse sequences by gradient ascent algorithms.*  
J. Magnet. Res. 172, 296 (2005)

GRAPE Scheme

$$\begin{aligned} \mathcal{J} &= 1 - \left| \frac{1}{4} \sum_n \underbrace{\langle \psi_n(\tau) | \psi_n^{(tgt)} \rangle}_{\equiv \tau_n} \right|^2 \\ &= 1 - \frac{1}{16} \sum_{nn} \tau_n^* \tau_n \end{aligned}$$

$$\begin{aligned} \frac{\partial \mathcal{J}}{\partial E_{nl}} &= -\frac{1}{16} \sum_{nl} \left( \frac{\partial \tau_n^*}{\partial E_{nl}} \tau_n + \tau_n^* \frac{\partial \tau_n}{\partial E_{nl}} \right) \\ &= -\frac{1}{16} \operatorname{Re} \left[ \sum_{nl} \tau_n^* \frac{\partial \tau_n}{\partial E_{nl}} \right] \end{aligned}$$

## Aside: Wirtinger derivatives — derivatives w.r.t. complex numbers

$$J_T(\{\tau_k\}) = J_T(\{\operatorname{Re}[\tau_k], \operatorname{Im}[\tau_k]\}); \quad J_T \in \mathbb{R}, \quad \tau_k \in \mathbb{C}$$

$$\frac{\partial J_T(\{\tau_k\})}{\partial \epsilon_{nl}} = \sum_k \left( \frac{\partial J_T}{\partial \operatorname{Re}[\tau_k]} \frac{\partial \operatorname{Re}[\tau_k]}{\partial \epsilon_{nl}} + \frac{\partial J_T}{\partial \operatorname{Im}[\tau_k]} \frac{\partial \operatorname{Im}[\tau_k]}{\partial \epsilon_{nl}} \right); \quad \epsilon_{nl} \in \mathbb{R}$$

Define

$$\frac{\partial J_T(\{\tau_k\})}{\partial \tau_k} \equiv \frac{1}{2} \left( \frac{\partial J_T}{\partial \operatorname{Re}[\tau_k]} - i \frac{\partial J_T}{\partial \operatorname{Im}[\tau_k]} \right)$$

$$\frac{\partial J_T(\{\tau_k\})}{\partial \tau_k^*} \equiv \frac{1}{2} \left( \frac{\partial J_T}{\partial \operatorname{Re}[\tau_k]} + i \frac{\partial J_T}{\partial \operatorname{Im}[\tau_k]} \right) = \left( \frac{\partial J_T}{\partial \tau_k} \right)^*$$

$$\frac{\partial J_T(\{\tau_k\})}{\partial \epsilon_{nl}} = \sum_k \left( \frac{\partial J_T}{\partial \tau_k} \frac{\partial \tau_k}{\partial \epsilon_{nl}} + \frac{\partial J_T}{\partial \tau_k^*} \frac{\partial \tau_k^*}{\partial \epsilon_{nl}} \right) = 2 \operatorname{Re} \left[ \sum_k \frac{\partial J_T}{\partial \tau_k} \frac{\partial \tau_k}{\partial \epsilon_{nl}} \right]$$

Gradient of State Overlap

$$\frac{\partial}{\partial \epsilon_{\text{ene}}} \tau_n = \frac{\partial}{\partial \epsilon_{\text{ene}}} \langle \psi_n(\tau) | \psi_n^{+\text{tgt}} \rangle$$

$$|\psi_n(\tau)\rangle = \hat{U}_N \dots \hat{U}_2 \hat{U}_1 |\psi_n(0)\rangle$$

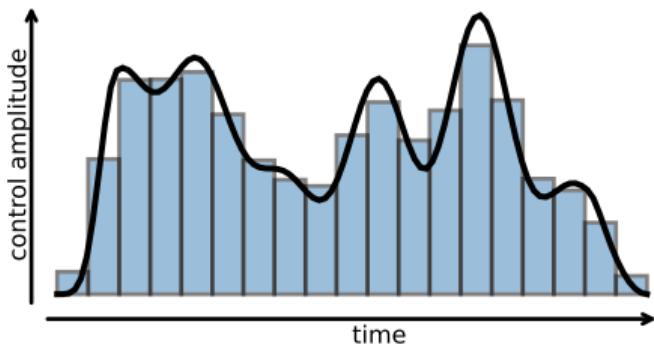
$$\frac{\partial \tau_n}{\partial \epsilon_{\text{ene}}} = \frac{\partial}{\partial \epsilon_{\text{ene}}} \langle \psi_n(0) | \hat{U}_1^+ \hat{U}_2^+ \dots \hat{U}_n^+ \dots \hat{U}_N^+ | \psi_n^{+\text{tgt}} \rangle$$

$$= \underbrace{\langle \psi_n(0) | \hat{U}_1^+ \dots \hat{U}_{n-1}^+}_{\langle \psi_n(t_n) |} \frac{\partial \hat{U}_n^+}{\partial \epsilon_{\text{ene}}} \underbrace{\hat{U}_{n+1}^+ \dots \hat{U}_N^+ | \psi_n^{+\text{tgt}} \rangle}_{| \psi_n(t_{n+1}) \rangle}$$

forward-prop

backward-prop

## Piecewise-constant time propagation



$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H}(\{\epsilon_I(t)\}) |\Psi(t)\rangle$$

$$i\hbar \frac{\partial}{\partial t} \hat{\rho}(t) = \mathcal{L}(\{\epsilon_I(t)\}) [\hat{\rho}(t)]$$

PWC propagator:  $\hat{U}_n = \exp[-\frac{i}{\hbar} \hat{H}_n dt]$  for  $n$ 'th time slice

⇒ evaluate  $\hat{U}_n |\Psi\rangle$  (or  $\mathcal{U}_n[\hat{\rho}]$ ) as a polynomial expansion

- Hermitian Hamiltonian → Chebychev polynomials
- Non-Hermitian Hamiltonian or Liouvillian → Newton polynomials

# Chebychev Propagation

## Chebychev Polynomials

$$P_0(x) = 1; \quad P_1(x) = x; \quad P_n(x) = 2xP_{n-1}(x) - P_{n-2}(x)$$

$P_n(x)$  are defined for  $x \in [-1, 1]$

$$|\Psi(t + dt)\rangle = e^{-i\hat{H}dt} |\Psi(t)\rangle = \sum_n a_n \underbrace{P_n(-i\hat{H}_{\text{norm}})}_{\equiv |\Phi_n\rangle} |\Psi(t)\rangle,$$

$$\hat{H}_{\text{norm}} = 2 \frac{\hat{H} - E_{\min} \mathbf{1}}{\Delta} - \mathbf{1}, \quad a_n = (2 - \delta_{n0}) e^{-\frac{i}{\hbar} \left( \frac{\Delta}{2} + E_{\min} \right) dt} J_k(\alpha),$$

$$|\Phi_0(x)\rangle = |\Psi(t)\rangle; \quad |\Phi_1(x)\rangle = -i\hat{H}_{\text{norm}} |\Phi_0\rangle; \quad |\Phi_n(x)\rangle = -2i\hat{H}_{\text{norm}} |\Phi_{n-1}\rangle + |\Phi_{n-2}\rangle$$

# Chebychev Propagation – Pseudocode

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**Algorithm 2** CHEBYCHEV-PROPAGATOR Evaluate  $\vec{w} = f(\pm \hat{A} dt) \vec{v}$ ,  
with  $f(\pm \hat{A} dt) = e^{\pm i \hat{A} dt}$ .

---

**Input:** input vector  $\vec{v} \in \mathbb{C}^N$ ; operator  $\hat{A} \in \mathbb{C}^{N \times N}$ ; time step  $dt$ ;  
**Output:** Approximation of propagated vector  $\vec{w} = e^{-i\hat{A}dt}\vec{v} \in \mathbb{C}^N$

```

1: procedure CHEBY( $\vec{v}, \hat{A}, dt$ )
2:    $\Delta$  = spectral radius of  $\hat{A}$ 
3:    $E_{\min}$  = minimum eigenvalue of  $\hat{A}$ 
4:    $[a_0 \dots a_n] = \text{EXPCHEBYCOEFFS}(\Delta, E_{\min}, dt)$ 
5:    $d = \frac{1}{2}\Delta; \beta = d + E_{\min}$ 
6:    $\vec{v}_0 = \vec{v}$ 
7:    $\vec{w}^{(0)} = a_0 \vec{v}_0$ 
8:    $\vec{v}_1 = \pm \frac{i}{d} (\hat{A} \vec{v}_0 - \beta \vec{v}_0)$ 
9:    $\vec{w}^{(1)} = \vec{w}^{(0)} + a_1 \vec{v}_1$ 
10:  for  $i = 2 : n$  do
11:     $\vec{v}_i = \pm \frac{2i}{d} (\hat{A} \vec{v}_{i-1} - \beta \vec{v}_{i-1}) + \vec{v}_{i-2}$ 
12:     $\vec{w}^{(i)} = \vec{w}^{(i-1)} + a_i \vec{v}_i$ 
13:  end for
14:  return  $e^{\pm i \beta dt} \vec{w}^{(n)}$ 
15: end procedure

```

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**Algorithm 3** CHEBYCHEVCOEFFICIENTS for  $f(\pm \hat{A} dt) = e^{\pm i \hat{A} dt}$ .

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**Input:** spectral radius  $\Delta$  of  $\hat{A}$ ; minimum eigenvalue  $E_{\min}$  of  $\hat{A}$ ; time step  $dt$

**Output:** Array of Chebychev coefficients  $[a_0 \dots a_n]$  allowing to approximate  $f(\hat{A} dt)$  to pre-defined precision.

```

1: procedure EXPCHEBYCOEFFS( $\Delta, E_{\min}, dt$ )
2:    $\alpha = \frac{1}{2}\Delta dt$ 
3:    $a_0 = J_0(\alpha)$             $\triangleright$  0'th order Bessel-function of first kind
4:   for  $i = 1 : n_{\max} \approx 4[\alpha]$  do
5:      $a_i = 2J_i(\alpha)$             $\triangleright$   $i$ 'th order Bessel-function of first kind
6:     if  $|a_i| < \text{limit}$  then exit loop with  $n = i$ 
7:   end for
8:   return  $[a_0, \dots a_n]$ 
9: end procedure

```

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Goerz, PhD Thesis, Appendix F

<https://michaelgoerz.net>

```

130 function cheby!(ψ, H, dt, wrk; kwargs...)
1
2     E_min = get(kwargs, :E_min, wrk.E_min)
3     check_normalization = get(kwargs, :check_normalization, false)
4
5     Δ = wrk.Δ
6     β::Float64 = (Δ / 2) + E_min # "normfactor"
7     @assert abs(dt) ≈ abs(wrk.dt) "wrk was initialized for dt=$(wrk.dt), not dt=$dt"
8     if dt > 0
9         c = -2im / Δ
10    else
src/cheby.jl
161    for i = 3:wrk.n_coeffs
1       # v2 = -2i * H_norm * v1 + v0 = c * (H * v1 - β * v1) + v0
2       mul!(v2, H, v1)
3       axpy!(-β, v1, v2)
4       lmul!(c, v2)
5       # v2 += v0
6       axpy!(true, v0, v2)
7       # ψ += a[i] * v2
8       axpy!(a[i], v2, ψ)
9       v0, v1, v2 = v1, v2, v0 # switch w/o copying
10      end
11      lmull!(exp(-im * β * dt), ψ)

```

N 68% ¶ 161/236: 1) α src/cheby.jl  
/mul!(v2, H, v1)  
<0:nvim>

(julia&lt;master

[1/1]

(08/23 01:30 &lt;ophelia(jqc)

# Gradient of Time Evolution Operator

$$\begin{pmatrix} \frac{\partial \hat{U}_n^\dagger}{\partial \epsilon_{n1}} |\chi_k(t_n)\rangle \\ \vdots \\ \frac{\partial \hat{U}_n^\dagger}{\partial \epsilon_{nL}} |\chi_k(t_n)\rangle \\ \hat{U}_n^\dagger |\chi_k(t_n)\rangle \end{pmatrix} = \exp \left[ -i \begin{pmatrix} \hat{H}_n^\dagger & 0 & \dots & 0 & \hat{H}_n^{(1)\dagger} \\ 0 & \hat{H}_n^\dagger & \dots & 0 & \hat{H}_n^{(2)\dagger} \\ \vdots & & \ddots & & \vdots \\ 0 & 0 & \dots & \hat{H}_n^\dagger & \hat{H}_n^{(L)\dagger} \\ 0 & 0 & \dots & 0 & \hat{H}_n^\dagger \end{pmatrix} dt_n \right] \begin{pmatrix} 0 \\ \vdots \\ 0 \\ |\chi_k(t_n)\rangle \end{pmatrix}$$

$$\hat{U}_n = \exp[-i\hat{H}_n dt_n]; \quad \hat{H}_n^{(I)} = \frac{\partial \hat{H}_n}{\partial \epsilon_I(t)}$$

— Goodwin, Kuprov, J. Chem. Phys. 143, 084113 (2015)

<https://github.com/JuliaQuantumControl/QuantumGradientGenerators.jl>

# Optimizing for a Maximally Entangling Gate

## Cartan decomposition

$$\hat{U} = \hat{k}_1 \exp \left[ \frac{i}{2} (c_1 \hat{\sigma}_x \hat{\sigma}_x + c_2 \hat{\sigma}_y \hat{\sigma}_y + c_3 \hat{\sigma}_z \hat{\sigma}_z) \right] \hat{k}_2$$

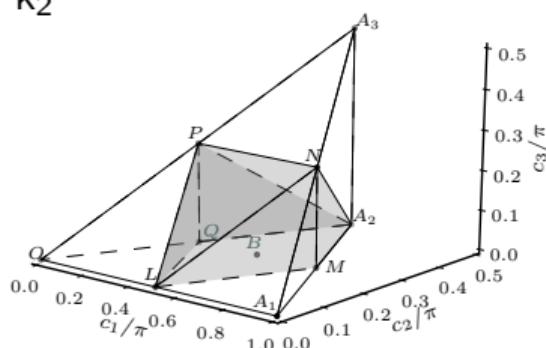
$\hat{k}_{1,2}$ : Single qubit gates;  $c_{1,2,3}$ : Weyl chamber coordinates

## Gate concurrence of two-qubit gate $\hat{U}$

- 1  $c_1, c_2, c_3 \propto \text{eigvals}(\hat{U}\tilde{U})$ ;  $\tilde{U} = (\hat{\sigma}_y \otimes \hat{\sigma}_y) \hat{U} (\hat{\sigma}_y \otimes \hat{\sigma}_y)$
- 2  $C(\hat{U}) = \max |\sin(c_{1,2,3} \pm c_{3,1,2})|$

Childs et al. Phys. Rev. A 68, 052311 (2003)

Not analytic!



## Automatic differentiation (AD)

- Build computational graph for time propagation
- Elementary operations have known derivatives
- Let computer apply chain rule at each node in graph
- Backward pass to accumulate gradient

- Leung *et al.* Phys. Rev. A 95, 042318 (2017)
- Abdelhafez *et al.*, Phys. Rev. A 99, 052327 (2019)
- Schäfer, *et al.* Mach. Learn.: Sci. Technol. 1, 035009 (2020)
- Abdelhafez *et al.* Phys. Rev. A 101, 022321 (2020)

# Automatic differentiation (AD)

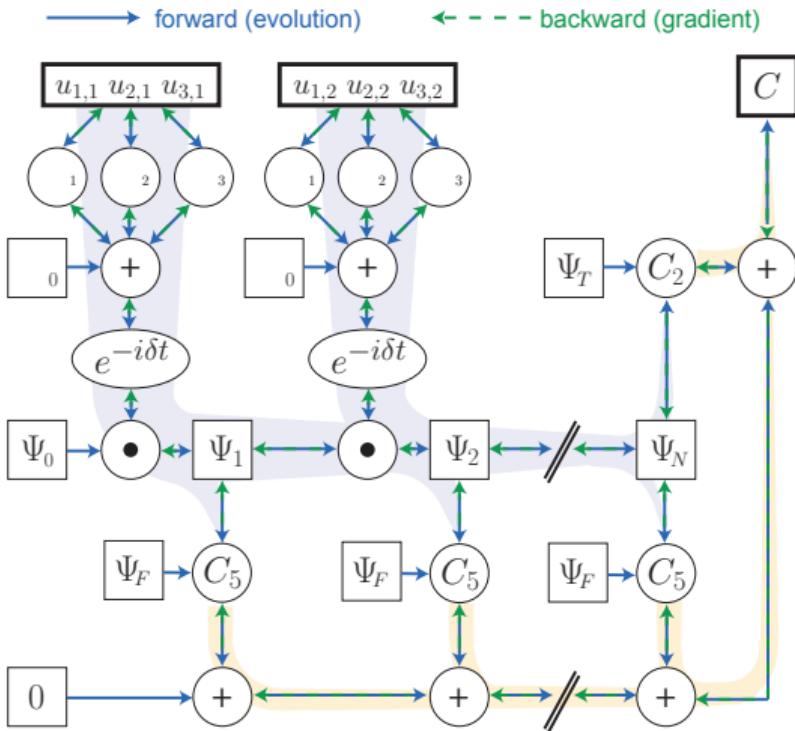


Fig. 2 in Leung *et al.* Phys. Rev. A 95, 042318 (2017)

## Semi-Automatic Differentiation

$$\nabla_{\vec{\sigma}} \mathcal{J} = \frac{\partial \mathcal{J}(\{\vec{\sigma} | \psi_n(\tau)\})}{\partial \varepsilon_{\text{rel}}}$$

$$|\psi_1\rangle \hat{=} |z\rangle$$

$$\langle \psi_1 | \hat{=} z^*$$

$$= 2 \operatorname{Re} \sum_n \underbrace{\frac{\partial \mathcal{J}}{\partial |\psi_n(\tau)\rangle}}_{\equiv \langle x_n |} \cdot \frac{\partial |\psi_n(\tau)\rangle}{\partial \varepsilon_{\text{rel}}}$$

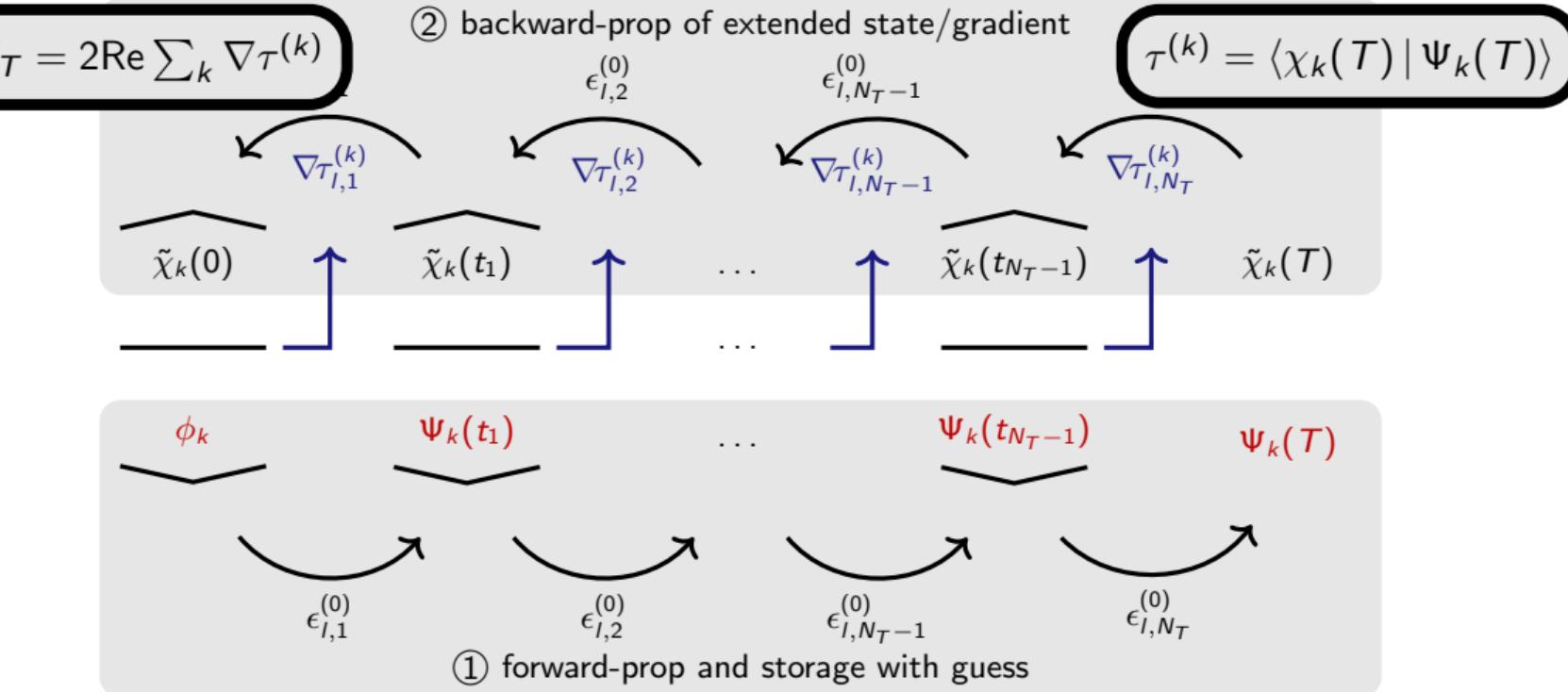
$$; |x_n\rangle = \frac{\partial \mathcal{J}}{\partial \langle \psi_n(\tau) |}$$

$$= 2 \operatorname{Re} \sum_n \frac{\partial}{\partial \varepsilon_{\text{rel}}} \langle x_n | \psi_n(\tau) \rangle$$

# Generalized GRAPE scheme

$$\nabla J_T = 2\text{Re} \sum_k \nabla \tau^{(k)}$$

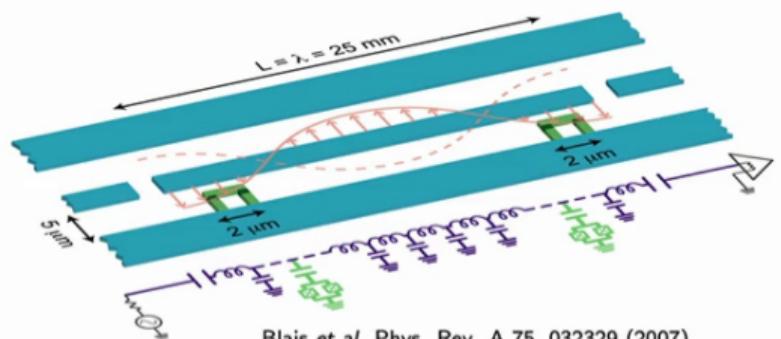
② backward-prop of extended state/gradient



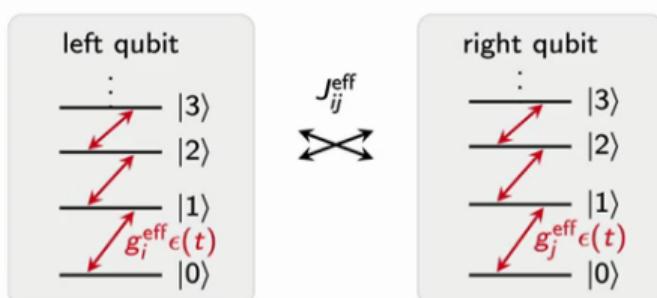
— Goerz et al. Quantum 6, 871 (2022)

## Example: Optimization of Perfectly Entangling Quantum gate

### Two Transmon qubits with a shared transmission line ¶



Blais et al. Phys. Rev. A 75, 032329 (2007)



Goerz et al. EPJ Quantum Tech. 2, 21 (2015)

Goerz et al. npj Quantum Information 3, 37 (2017)

### Hamiltonian

<https://github.com/JuliaQuantumControl/JuliaCon2023-Demo>

GRAPE: discretize first, then calculate gradient

GRAPE: discretize first, then calculate gradient

Alternative: variational calculus  $\frac{\partial J}{\partial \epsilon(t)}$  — *then* discretize

- Adjoint method: add TDSE as constraint with Lagrange multiplier  $\langle \chi_k |$ 
  - Shi, Rabitz, J. Chem. Phys. 92, 364 (1990)
  - Zhu, Botina, Rabitz, J. Chem. Phys. 108, 1953 (1998)
- Krotov's method: constructive approach
  - Krotov, Feldman, Eng. Cybern. 21, 123 (1983)
  - Tannor, Kazakov, Orlov. In *Time-dependent quantum molecular dynamics* (1992)
  - Reich, Ndong, Koch. J. Chem. Phys. Physics 136, 104103 (2012)
  - Goerz *et al.* SciPost Phys. 7, 080 (2019) [Python implementation]

Krotov's Method

$$\mathcal{J} = \text{Tr}(\sum \langle \tilde{\psi}_n(t) \rangle) + \int g_a(\sum \varepsilon_e(t)) dt + \int g_b(\sum \tilde{\psi}_e(t)) dt$$

- Given: guess  $\varepsilon_e^{(0)}(t)$

- necessary and sufficient conditions for new field  $\varepsilon_e^{(n)}(t)$

$$\text{so that } \mathcal{J}(\sum \varepsilon_e^{(n)}(t)) \leq \mathcal{J}(\sum \varepsilon_e^{(0)}(t))$$

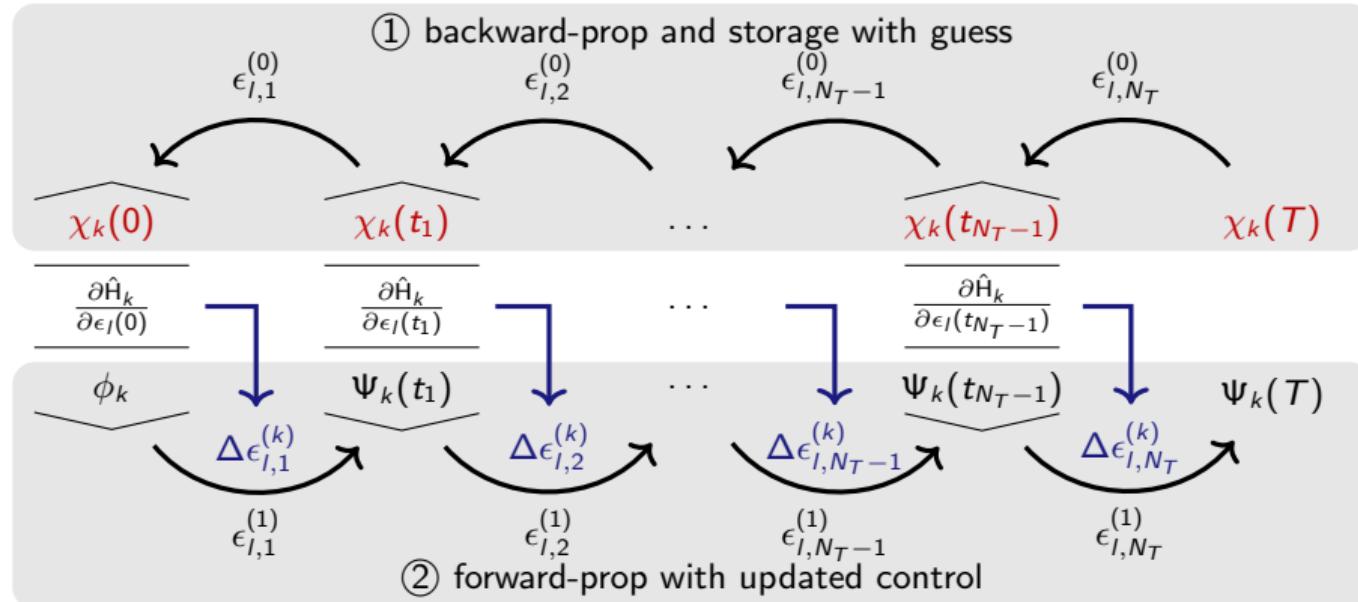
$$\frac{\partial g_a}{\partial \varepsilon_e^{(n)}} = -2 \text{Im} \sum_n \langle \tilde{\psi}_n^{(0)} | \frac{\partial H}{\partial \varepsilon} | \tilde{\psi}_n^{(n)}(t) \rangle$$

$$| \tilde{\psi}_n^{(0)} \rangle = -\frac{\partial \mathcal{J}}{\partial \langle \tilde{\psi}_n^{(0)} |}$$

$$g_a = \frac{\lambda_a}{S(t)} \int (\Delta \varepsilon_e(t))^2 dt ; \quad \Delta \varepsilon_e(t) = \varepsilon_e^{(n)}(t) - \varepsilon_e^{(0)}(t)$$

$$\Rightarrow \Delta \varepsilon_e = \frac{S(t)}{\lambda_a} \sum_n \langle \tilde{\psi}_n^{(0)}(t) | \frac{\partial H}{\partial \varepsilon} | \tilde{\psi}_n^{(n)}(t) \rangle$$

# Krotov Numerical Scheme

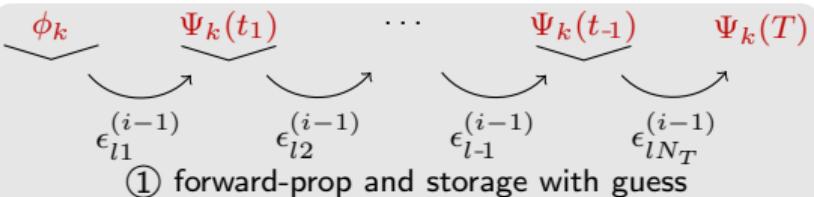
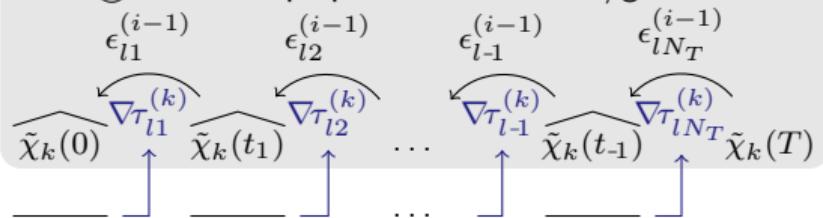


— Goerz et al. Quantum 6, 871 (2022)

# GRAPE and Krotov Numerical Scheme Comparison

(a) GRAPE

② backward-prop of extended state/gradient

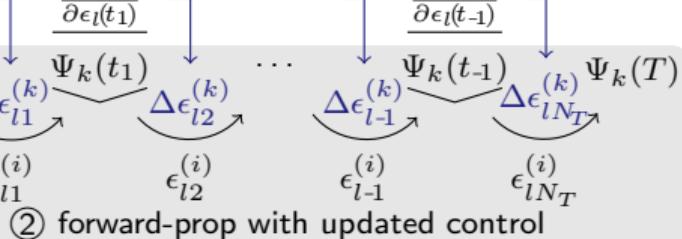
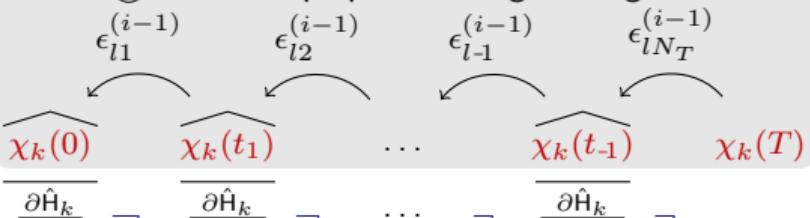


① forward-prop and storage with guess

concurrent update

(b) Krotov's method

① backward-prop and storage with guess



② forward-prop with updated control

sequential update

— Goerz et al. Quantum 6, 871 (2022)

# QuantumControl.jl

github.com

The screenshot shows a GitHub repository page for 'JuliaQuantumControl'. The repository has 20 followers and a URL of <https://juliaquantumcontrol.github.io...>. The main content includes a README.md file with a title 'A Julia Framework for Quantum Optimal Control.' and sections on top languages (Julia, Makefile) and most used topics (julia, quantum, grape, optimal-control, quantum-computing). The sidebar features a 'People' section with three profile icons.

**JuliaQuantumControl**

Julia Framework for Quantum Optimal Control

20 followers <https://juliaquantumcontrol.github.io...>

Overview Repositories 15 Discussions Projects Packages People 3

README.md

## A Julia Framework for Quantum Optimal Control.

docs stable docs dev

The [JuliaQuantumControl](#) organization collects packages implementing a comprehensive collection of methods of open-loop quantum optimal control.

Quantum optimal control theory attempts to steer a quantum system in some desired way by finding optimal control parameters or control fields inside the system Hamiltonian or Liouvillian. Typical control tasks are the preparation of a specific quantum state or the realization of a logical gate in a quantum computer. Thus, quantum control theory is a critical part of realizing quantum technologies, at the lowest level. Numerical methods of *open-loop* quantum control (methods that do not involve measurement feedback from a physical quantum device) such as [Krotov's method](#) and [GRAPE](#) address the control problem by [simulating the dynamics of the system](#) and then iteratively improving the value of a functional that encodes the desired outcome.

### People

Top languages

Julia Makefile

Most used topics

julia quantum grape  
optimal-control quantum-computing

# Dynamical Generator

<https://github.com/JuliaQuantumControl/JuliaCon2023-Slides>

Glossary



**Generator** – Dynamical generator (Hamiltonian / Liouvillian) for the time evolution of a state, i.e., the right-hand-side of the equation of motion (up to a factor of  $i$ ) such that  $|\Psi(t + dt)\rangle = e^{-i\hat{H}dt}|\Psi(t)\rangle$  in the infinitesimal limit. We use the symbols  $G$ ,  $\hat{H}$ , or  $L$ , depending on the context (general, Hamiltonian, Liouvillian). Examples for supported forms a Hamiltonian are the following, from the most general case to simplest and most common case of linear controls,

$$\hat{H} = \overbrace{\hat{H}_0}^{\text{drift term}} + \sum_l \overbrace{\hat{H}_l(\{\epsilon_l(t)\}, t)}^{\text{control term}} \quad (\text{G1})$$

$$\hat{H} = \hat{H}_0 + \sum_l \underbrace{a_l(\{\epsilon_l(t)\}, t)}_{\text{control function}} \hat{H}_l \quad (\text{G2})$$

$$\hat{H} = \hat{H}_0 + \sum_l \overbrace{\epsilon_l(t)}^{\text{control operator}} \underbrace{\hat{H}_l}_{\text{control operator}} \quad (\text{G3})$$

# Dynamical Generator

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```
return hamiltonian(̂H₀, (̂H₁re, Ωre), (̂H₁im, Ωim))
```

$$\hat{H} = \hat{H}_0 + \sum_l \underbrace{a_l(\{\epsilon_l(t)\}, t)}_{\text{control function}} \hat{H}_l \quad (\text{G2})$$

$$\hat{H} = \hat{H}_0 + \sum_l \overbrace{\epsilon_l(t)}^{\text{control amplitude}} \underbrace{\hat{H}_l}_{\text{control operator}} \quad (\text{G3})$$

# Generator Interface

[←](#) [→](#) [↻](#)

juliaquantumcontrol.github.io

[API](#) / [Subpackages](#) / [QuantumPropagators](#)

```
@test check_generator(generator; state, tlist,
                      for mutable_state=true, for immutable_state=true,
                      for_expval=true, atol=1e-15)
```



verifies the given generator:

- `get_controls(generator)` must be defined and return a tuple
- all controls returned by `get_controls(generator)` must pass `check_control`
- `evaluate(generator, tlist, n)` must return a valid operator (`check_operator`), with forwarded keyword arguments (including `for_expval`)
- `evaluate!(op, generator, tlist, n)` must be defined
- `substitute(generator, replacements)` must be defined
- If `generator` is a `Generator` instance, all elements of `generator.amplitudes` must pass `check_amplitude`.

[source](#)

# Propagator Interface

← → ⌂

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Overview

⟳ ⚙️ Ⓝ

## The Propagator interface

As a lower-level interface than `propagate`, the `QuantumPropagators` package defines an interface for "propagator" objects. These are initialized via `init_prop` as, e.g.,

```
using QuantumPropagators: init_prop

propagator = init_prop(Ψ₀, H, tlist)
```

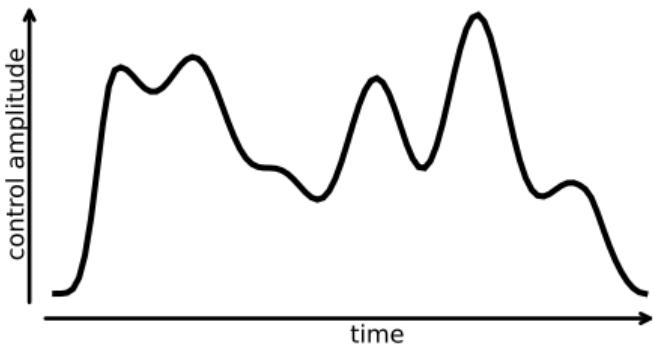
The `propagator` is a propagation-method-dependent object with the interface described by `AbstractPropagator`.

The `prop_step!` function can then be used to advance the `propagator`:

```
using QuantumPropagators: prop_step!

Ψ = prop_step!(propagator) # single step
```

## Parametrized Control Fields



piecewise-constant pulses  
⇒ parametrized continuous controls

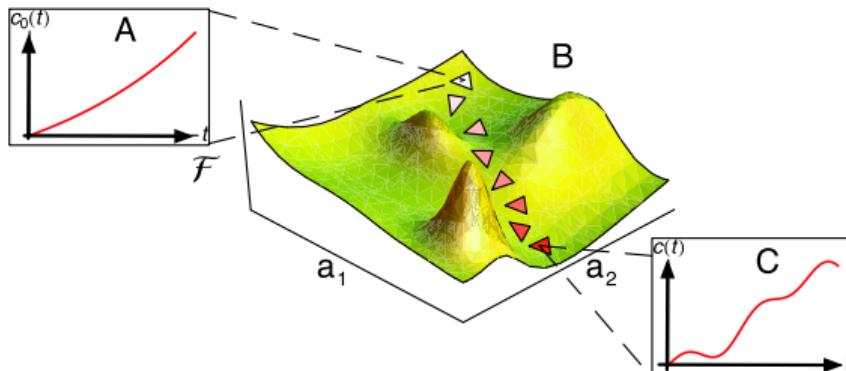
$$\epsilon(t) = \epsilon(\{u_n\}, t)$$

E.g. CRAB – Chopped Random (spectral) Basis

$$\epsilon(t) = \sum_{i=1}^{10} (a_n \cos(\omega_n t) + b_n \sin(\omega_n t))$$

— Caneva *et al.* Phys. Rev. A 84, 022326 (2011)

# Gradient-free optimization



Doria et al. PRL 106, 190501 (2011)

e.g. Nelder-Mead (simplex), genetic algorithms...

# Gradients of parametrized pulses

$$\begin{pmatrix} \frac{\partial \hat{U}}{\partial u_1} |\Psi_k\rangle \\ \vdots \\ \frac{\partial \hat{U}}{\partial u_N} |\Psi_k\rangle \\ \hat{U} |\Psi_k\rangle \end{pmatrix} = \exp \left[ -i\mathcal{T} \int_0^T \begin{pmatrix} \hat{H}(t) & 0 & \dots & 0 & \hat{H}^{(1)}(t) \\ 0 & \hat{H}(t) & \dots & 0 & \hat{H}^{(2)}(t) \\ \vdots & & \ddots & & \vdots \\ 0 & 0 & \dots & \hat{H}(t) & \hat{H}^{(N)}(t) \\ 0 & 0 & \dots & 0 & \hat{H}(t) \end{pmatrix} dt \right] \begin{pmatrix} 0 \\ \vdots \\ 0 \\ |\Psi_k\rangle \end{pmatrix}$$

with  $\hat{H}^{(n)}(t) = \frac{\partial \hat{H}(t)}{\partial u_n}$

— “GOAT”: Machnes *et al.* Phys. Rev. Lett. 120, 150401 (2018)

# Open Quantum Systems

**Lindblad equation:**

$$\begin{aligned}\frac{d}{dt}\hat{\rho}(t) &= -i \left[ \hat{H}, \hat{\rho}(t) \right] + \mathcal{L}_D(\hat{\rho}(t)) \\ &= -i \left[ \hat{H}, \hat{\rho}(t) \right] + \sum_k \left( \hat{A}_k \hat{\rho} \hat{A}_k^\dagger - \frac{1}{2} \hat{A}_k^\dagger \hat{A}_k \hat{\rho} - \frac{1}{2} \hat{\rho} \hat{A}_k^\dagger \hat{A}_k \right)\end{aligned}$$

**Vectorization rule:**

$$\text{vec} \left( \hat{A} \hat{\rho} \hat{B} \right) = \left( \hat{B}^T \otimes \hat{A} \right) \vec{\rho}$$

**Matrix representation of Lindbladian:**

$$\hat{L} = -i(\mathbf{1} \otimes \hat{H}) + i(\hat{H}^T \otimes \mathbf{1}) + \sum_k \left[ (\hat{A}_k^\dagger)^T \otimes \hat{A}_k - \frac{1}{2} \left( \mathbf{1} \otimes \hat{A}_k^\dagger \hat{A}_k \right) - \frac{1}{2} \left( (\hat{A}_k^\dagger \hat{A}_k)^T \otimes \mathbf{1} \right) \right]$$

— Goerz et. al. arXiv:1312.0111v2 (2021), Appendix B