# THE FORMALIZATION OF ALGEBRAIC CURVES

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#### Abstract

The Riemann-Roch theorem plays a crucial role in algebraic geometry. This paper aims to use Lean 4, an efficient proof assistant, to formalize the background definitions and theorems necessary for sketching the Riemann-Roch theorem. I will provide formal definitions of affine and projective algebraic varieties, as well as Zariski cotangent spaces, smooth curves, Weil divisors, Kähler differential forms, and related lemmas. Through this formalization, I hope to establish a rigorous, verified framework that will facilitate the formalization of the Riemann-Roch theorem and explore Lean 4's ability to describe traditional point-set mathematics.

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# 1 Terminology

The terminology of this paper is mostly consistent to GTM 106 by Silverman.

- We let  $\mathbb{N}$  denote the set of natural numbers **including** 0, i.e.,  $\mathbb{N} = \{0, 1, 2, 3, \dots\}$ .
- For a field  $\mathbb{K}$ , we let  $\mathbb{K}^*$  denote the **multiplicative group** of  $\mathbb{K}$ , i.e.,  $\mathbb{K}^* = \mathbb{K} \setminus \{0\}$ , the set of all nonzero elements of  $\mathbb{K}$  under multiplication.
- We only regard K as a perfect field.
- We denote by  $A \to B$  the set (type) of functions which have domain A and codomain B.
- We denote by  $\langle a_0, a_1, \dots, a_n \rangle$  the ideal generated by  $a_0, a_1, \dots, a_n \in R$ .
- Let M be a module. We denote by  $\langle S \rangle$  the submodule (ideal) generated by  $S \subseteq M$ , and particularly denote by  $\langle a_i : i \in I \rangle$  the ideal generated by  $\{a_i : i \in I\} \subseteq M$ .
- We denote by  $Free_R(S)$  the free R-module generated by the set of symbols S.

```
• scoped[MvPolynomial] notation:9000 R "[X,..]" n => MvPolynomial (Fin n) R
scoped[MvPolynomial] notation:9000 R "[X,..]" n "homo"
=> (homogeneousSubmodule (Fin n) R)
```

# 2 Introduction

**Definition 2.1.** For any set A and additive abelian group B, the **support** of a function  $f: A \to B$  is the set  $\text{supp}(f) := \{x \in A : f(x) \neq 0\}$ 

**Definition 2.2.** A function with finite support, denoted by  $f: A \to_0 B$ , is a function  $f: A \to B$  whose support is finite.

**Definition 2.3.** For  $f: A \to_0 B$ , the summation of f(x) over all  $x \in A$  is defined as

$$\sum_{x \in A} f(x) := \sum_{x \in \text{supp}(f)} f(x)$$

# 3 Affine Varieties

Intuitively, the affine n-space over the field  $\mathbb{K}$  can be regard as the n-dimensional space of points, where each point can be represented by an n-tuple of elements from  $\mathbb{K}$ , or functionally, a map from a finite index set to  $\mathbb{K}$  as shown below.

**Definition 3.1.** The **affine** n-space over  $\mathbb{K}$  is the set of  $\mathbb{K}$ -valued functions defined on the finite index set

$$\mathbb{A}^n_{\mathbb{K}} := \{0 \dots n-1\} \to \mathbb{K}$$

In this section, we defaultly suppose  $\mathbb{K}$  is a field, and  $n \in \mathbb{N}$  is the dimension of the space we are mentioning.

```
variable \{ \mathtt{n} \ : \ \mathbb{N} \}
```

In Lean4, we define the affine space as below:

**Definition 3.2.** For any ideal I of  $\mathbb{K}[X_1,..,X_n]$ , the **zero locus** of I is in  $\mathbb{A}^n_{\mathbb{K}}$  is the set

$$\mathbb{V}(I) := \{ P \in \mathbb{A}^n_{\mathbb{K}} : \forall f \in I, f(P) = 0 \}$$

For convinience, we directly use the Nullstellensatz package from Mathlib

**Definition 3.3.** Any subset of  $\mathbb{A}^n_{\mathbb{K}}$  is called an **(affine) algebraic set** if it is a zero locus of some ideal of  $\mathbb{K}[X_0,..,X_{n-1}]$ .

```
structure AlgSet (K : Type \ell) [Field K] (n : \mathbb{N}) : Type \ell where carrier : Set (\mathbb{A} K n) gen_by_ideal : \exists I : Ideal K[X,..]n, \mathbb{V} I = carrier
```

**Definition 3.4.** For any algebraic set  $V \subseteq \mathbb{A}^n_{\mathbb{K}}$ , the **vanishing ideal** of V is the set

$$\mathbb{I}(V) := \{ f \in \mathbb{K}[X_0, .., X_{n-1}] : \forall P \in V, f(P) = 0 \}$$

**proposition 3.1.** The vanishing ideal I(V) is an ideal of  $\mathbb{K}[X_0,..,X_{n-1}]$ 

The vanishing ideal can be defined as below

```
def I (V : AlgSet K n) : Ideal K[X,..]n where
 carrier := \{f : K[X,..]n \mid \forall P \in V.1, eval P f = 0\}
 add_mem' := by
   intro f g fh gh
   simp at fh gh ⊢
   intro P Ph
   rw [fh P Ph, gh P Ph]
    simp
 zero_mem' := by
    simp
 smul_mem' := by
    intro c f fh
   simp at fh \vdash
    intro P Ph
    right
    exact fh P Ph
```

For convinience, we directly use the Nullstellensatz package from Mathlib

**Definition 3.5.** For any algebraic set  $V \subseteq \mathbb{A}^n_{\mathbb{K}}$ , the **coordinate ring** of V is the quotient ring

$$\mathbb{K}[V] := \mathbb{K}[X_0, .., X_{n-1}]/\mathbb{I}(V)$$

and the function field of V is  $\mathbb{K}(V)$  the fraction field of  $\mathbb{K}[V]$ . A regular function is an element of  $\mathbb{K}(V)$ .

```
def AlgSet.coordRing (V : AlgSet K n) : Type \( \ell := (K[X,..]n) \) ( \( \mathbb{I} \) V.1)
instance AlgSet.coordRing.commRing (V : AlgSet K n) : CommRing V.coordRing :=
    Ideal.Quotient.commRing (\mathbb{I} \) V.1)
```

**Definition 3.6.** Any subset of  $\mathbb{A}^n_{\mathbb{K}}$  is called an **(affine) variety** if it is a zero locus of some prime ideal of  $\mathbb{K}[X_0,..,X_{n-1}]$ .

```
structure Variety (K : Type \ell) [Field K] (n : \mathbb{N}) : Type \ell where carrier : Set (\mathbb{A} K n) gen_by_prime : \exists I : Ideal K[X,..]n, I.IsPrime \wedge \mathbb{V} I = carrier
```

proposition 3.2. An affine variety is an affine algebraic set.

**Definition 3.7.** The maximal ideal corresponding to the point  $P = (x_0, ..., x_{n-1}) \in \mathbb{A}^n_{\mathbb{K}}$  is the vanishing ideal  $\mathfrak{m}_P := \mathbb{I}(\{P\})$ . If there is an affine variety  $V \subseteq \mathbb{A}^n_{\mathbb{K}}$  s.t. $P \in V$ , the maximal ideal at the point P of the affine variety V is defined as the maximal ideal  $\mathfrak{m}_{V,P} := \mathfrak{m}_P/\mathbb{I}(V) \subseteq \mathbb{K}[V]$ .

```
def AlgSet.m (V : AlgSet K n) {P : \mathbb{A} K n} (_ : P \in V) : Ideal V.coordRing where carrier := {f : V.coordRing | \exists f<sub>0</sub> \in \mathbb{I} {P}, Ideal.Quotient.mk (\mathbb{I} V.1) f<sub>0</sub> = f} add_mem' := by simp intro f g f<sub>0</sub> fh0 fh g<sub>0</sub> gh0 gh exists f<sub>0</sub> + g<sub>0</sub> constructor . simp [eval_add, fh0, gh0]
```

```
. show Ideal.Quotient.mk _ f<sub>0</sub> + Ideal.Quotient.mk _ g<sub>0</sub> = f + g
    rw [fh, gh]

zero_mem' := by exists 0; simp

smul_mem' := by
    simp
    apply Quotient.ind
    intro k f fh0
    exists k * f
    simp [fh0]
    congr
```

**Theorem 3.3.** For  $P \in \mathbb{A}^n_{\mathbb{K}}$ , the ideal  $\mathfrak{m}_P$  is maximal in  $\mathbb{K}[X_0,..,X_{n-1}]$ .

```
Theorem 3.4. For P = (x_0, ..., x_{n-1}) \in \mathbb{A}^n_{\mathbb{K}}, we have \mathfrak{m}_P = \langle X_0 - x_0, ..., X_{n-1} - x_{n-1} \rangle
```

**Theorem 3.5.** For algebraic set  $V \subseteq \mathbb{A}^n_{\mathbb{K}}$  and  $P \in V$ , the ideal  $\mathfrak{m}_{V,P}$  is maximal in  $\mathbb{K}[V]$ .

**Definition 3.8.** For any variety  $V \subseteq \mathbb{P}^n_{\mathbb{K}}$ , the **(Krull) dimension** of V, denoted by dim V, is the maximal n of strict chains  $\mathfrak{p}_{\mathfrak{o}} \subsetneq \mathfrak{p}_{\mathfrak{1}} \subsetneq \cdots \subsetneq \mathfrak{p}_{\mathfrak{n}}$  where  $\mathfrak{p}_{\mathfrak{o}}, \ldots, \mathfrak{p}_{\mathfrak{n}}$  are prime ideals of  $\mathbb{K}[V]$ .

In this paper, since we only need to talk about one-dimensional varieties, I did not formalize this definition.

**Definition 3.9.** For any affine variety  $V \subseteq \mathbb{A}^n_{\mathbb{K}}$  and  $P \in V$ , the (**Zariski**) cotangent space at  $P \in V$  is the structure  $T_P^*V := \mathfrak{m}_{V,P}/\mathfrak{m}_{V,P}^2$ .

```
abbrev AlgSet.cotKer (V : AlgSet K n) {P : A K n} (_ : P ∈ V)

: Submodule V.coordRing (V.m P) :=

V.m P • ⊤

abbrev AlgSet.cotSpace (V : AlgSet K n) {P : A K n} (PinV : P ∈ V) : Type ℓ :=

V.m P / V.cotKer PinV
```

**proposition 3.6.** For any affine variety  $V \subseteq \mathbb{A}^n_{\mathbb{K}}$  and  $P \in V$ ,  $T_P^*V$  is a finite-dimensional linear space over the residue field  $\mathbb{K}[X_0,..,X_{n-1}]/\mathfrak{m}_P$ .

#### **proposition 3.7.** dim $V \leq \dim T_P^* V \leq n$

Proof. Suppose  $(f + \mathbb{I}(V)) + \mathfrak{m}_{V,P}^2 \in T_P^*V$  and  $k + \mathfrak{m}_P \in \mathbb{K}[X_0,..,X_{n-1}]/\mathfrak{m}_P$ . Define the scalar multiplication  $(k + \mathfrak{m}_P) \bullet ((f + \mathbb{I}(V)) + \mathfrak{m}_{V,P}^2) := ((kf + \mathbb{I}(V)) + \mathfrak{m}_{V,P}^2)$ .

We arbitrarily pick  $p \in \mathfrak{m}_P$  and  $q \in \mathbb{I}(V)$ , then

$$\begin{split} &(k+p+\mathfrak{m}_{P}) \bullet ((f+q+\mathbb{I}(V))+\mathfrak{m}_{V,P}^{2}) \\ &= ((k+p) \cdot (f+q)+\mathbb{I}(V))+\mathfrak{m}_{V,P}^{2} \\ &= (k \cdot f+k \cdot q+p \cdot (f+q)+\mathbb{I}(V))+\mathfrak{m}_{V,P}^{2} \\ &= (k \cdot f+p \cdot (f+q)+\mathbb{I}(V))+\mathfrak{m}_{V,P}^{2} \\ &= (k \cdot f+\mathbb{I}(V))+(p \cdot (f+q)+\mathbb{I}(V)))+\mathfrak{m}_{V,P}^{2} \\ &= (k \cdot f+\mathbb{I}(V))+\mathfrak{m}_{V,P}^{2} \\ &= (k \cdot f+\mathbb{I}(V))+\mathfrak{m}_{V,P}^{2} \\ \end{split} \tag{$p \cdot (f+q)+\mathbb{I}(V) \in \mathfrak{m}_{V,P}^{2}$}$$

implying the scalar multiplication is well-defined. The abelian group properties of  $T_P^*V$  is given by the quotient ring, and it is easy to prove the other four properties.

# 4 Projective Varieties

**Definition 4.1.** The **projective** *n***-space** is the quotient set

$$\mathbb{P}^n_{\mathbb{K}}:=(\mathbb{A}^n_{\mathbb{K}}\backslash\{(0,..,0)\})/\sim$$

where for  $P,Q\in\mathbb{A}^n_{\mathbb{K}}\setminus\{(0,..,0)\}$ , let  $P\sim Q$  if and only if  $\exists k\in\mathbb{K}, P=kQ$  where  $(kQ)(i):=k\cdot Q(i)$ .

```
abbrev noO (A : Type \ell) [Zero A] : Type \ell := {x : A // x \neq 0}
variable \{K : Type \ell\} [Field K]
variable {n : N}
namespace A
instance : NoZeroSMulDivisors K (A K n) where
  eq_zero_or_eq_zero_of_smul_eq_zero := by
    intros k P kPh
    simp [A] at P
   have kPh' := congrFun kPh
    simp [forall_or_left] at kPh'
    cases kPh'
    case inl h => left; assumption
    case inr h => right; exact funext h
namespace no0
def mul' (a b : no0 K) : no0 K := (a.1 * b.1, by simp [a.2, b.2])
theorem mul_assoc' : \forall (a b c : no0 K), mul' (mul' a b) c = mul' a (mul' b c) := by
```

```
intros a b c
  simp only [mul', mul_assoc]
\frac{\text{def one'} : \text{no0 K} := \langle 1, \text{by simp} \rangle}{}
theorem one_mul' : \forall (a : no0 K), mul' one' a = a := by
 intro \langle a1, ah \rangle
  simp [mul', one']
theorem mul_one' : \forall (a : no0 K), mul' a one' = a := by
  intro \langle a, ah \rangle
  simp [mul', one']
def inv' (a : no0 K) : no0 K := (a.1^{-1}, by simp [a.2])
theorem mul_left_inv' : ∀ (a : noO K), mul' (inv' a) a = one' := by
 intro \langle a, ah \rangle
  simp [mul', inv', one']
  \textcolor{rw}{\texttt{rw}} \hspace{0.1cm} [\leftarrow \texttt{mul\_inv\_cancel ah}]
  apply mul_comm
theorem mul_comm' : \forall (a b : no0 K), mul' a b = mul' b a := by
  intro a b
  simp only [mul', mul_comm]
instance : CommGroup (no0 K) where
  mul := mul'
  mul_assoc := mul_assoc'
  one := one'
  one_mul := one_mul'
```

```
mul_one := mul_one'
  inv := inv'
  mul_left_inv := mul_left_inv'
  mul_comm := mul_comm'
@[simp]
def smul' (k : no0 K) (P: no0 (A K n)) : no0 (A K n) := ⟨ k.1 • P.1, by
  intro kPh
  rw [smul_eq_zero] at kPh
  cases kPh
  case inl h => exact absurd h k.2
  case inr h => exact absurd h P.2
theorem one_smul' : \forall (b : noO (A K n)), smul' one' b = b := by
  intro b
  simp [smul', one']
theorem mul_smul' : \forall (x y : no0 K) (b : no0 (A K n))
, smul' (mul' x y) b = smul' x (smul' y b) := by
  intro \langle x, xh \rangle \langle y, yh \rangle \langle b, bh \rangle
  simp only [smul', mul', mul_smul]
instance : MulAction (no0 K) (no0 (A K n)) where
  smul := smul'
  one_smul := one_smul'
  mul_smul := mul_smul'
@[simp]
{\tt abbrev} \ \ {\tt collinear} \ : \ {\tt no0} \ \ (\mathbb{A} \ \ {\tt K} \ \ {\tt n}) \ \to \ {\tt no0} \ \ (\mathbb{A} \ \ {\tt K} \ \ {\tt n}) \ \to \ {\tt Prop}
```

```
| xs, ys \Rightarrow \exists k : no0 K, xs \Rightarrow k \bullet ys
namespace collinear
theorem refl' (xs : no0 (A K n))
: collinear xs xs := by
 dsimp [collinear]
 exists 1
 simp
theorem symm' {xs ys : noO (A K n)}
: collinear xs ys \rightarrow collinear ys xs := by
 rintro \langle k, h \rangle
 exists k^{-1}
 symm
 simp [h]
theorem trans' {xs ys zs : no0 (A K n)}
: collinear xs ys 
ightarrow collinear ys zs 
ightarrow collinear xs zs := by
 rintro \langle k1, h1 \rangle \langle k2, h2 \rangle
 exists k1 * k2
 rw [mul_smul, ←h2]
  exact h1
instance eqv \{n : \mathbb{N}\} : Setoid (no0 (A K n)) where
 r := collinear
 iseqv := {
   refl := refl',
    symm := symm',
   trans := trans'
```

```
theorem smul_closed (P<sub>0</sub> : no0 (A K n))
: ∀ k : no0 K, Quotient.mk eqv (k • P<sub>0</sub>) = Quotient.mk eqv (P<sub>0</sub>) := by
intro k
simp [collinear]
exists k

end collinear
end no0
end A

def P (K : Type ℓ) [Field K] (n : N) : Type ℓ :=
Quotient (A.no0.collinear.eqv : Setoid (no0 (A K n.succ)))

namespace P

notation "A~" => A.no0.collinear.eqv

abbrev mk : no0 (A K n.succ) → P K n :=
Quotient.mk (A~ : Setoid (no0 (A K n.succ)))
```

**Definition 4.2.** For any homogeneous ideal I of graded ring  $\mathbb{K}[X_1,..,X_n]$ , the **zero locus** of I in  $\mathbb{P}^n_{\mathbb{K}}$  is the set

$$\mathbb{V}(I) := \{ [P] \in \mathbb{P}^n : \forall P_0 \in [P], \forall f \in I, f(P_0) = 0 \}$$

```
abbrev HomogeneousIdeal.zero_locus (I : HomogeneousIdeal K[X,..] n+1 homo)

: Set (ℙ K n) :=
{ P : ℙ K n | ∀ f ∈ I, P.vanish f}
```

**Definition 4.3.** Any subset of  $\mathbb{P}^n_{\mathbb{K}}$  is called an **(projective) algebraic set** if it is a zero locus of

some homogeneous ideal of  $\mathbb{K}[X_0,..,X_n]$ .

**Definition 4.4.** Let  $\mathbb{P}^n_{\mathbb{K}} \setminus j := \{ [x_0 : \cdots : x_n] \in \mathbb{P}^n_{\mathbb{K}} : x_j \neq 0 \}$ . For j = 0..n, the j-th **affine chart** of  $\mathbb{P}^n_{\mathbb{K}}$  is the bijection

$$\psi_j : \mathbb{A}^n_{\mathbb{K}} \leftrightarrow \mathbb{P}^n_{\mathbb{K}} \setminus j$$

$$\psi_j(x_0, \dots, x_n) := [x_0, \dots, x_{j-1}, 1, x_j, \dots, x_{n-1}]$$

$$\psi_j^{-1}[x_0 : \dots : x_n] := \left(\frac{x_0}{x_j}, \dots, \frac{x_{j-1}}{x_j}, \dots, \frac{x_{n-1}}{x_j}\right)$$

```
def \mathbb{P}.ne0_at (j : Fin n.succ) (P : \mathbb{P} K n) : Prop :=
  \forall P_0 : noO (A K n.succ), \mathbb{P}.mk P_0 = P \rightarrow P_0.1 j \neq O
abbrev \mathbb{P}.\mathtt{Part} (K : Type \ell) [Field K] (n : \mathbb{N}) (j : Fin n.succ) : Type \ell :=
  {P : P K n // P.ne0_at j}
abbrev \mathbb{A}.\mathsf{no0}.\mathsf{Part} (K : Type \ell) [Field K] (n : \mathbb{N}) (j : Fin n.succ) : Type \ell
:= {P : noO (\mathbb{A} K n.succ) // P.1 j \neq 0}
instance A.noO.Part.collinear.eqv {j : Fin n.succ}
     : Setoid (A.noO.Part K n j)
:= Subtype.instSetoid_mathlib (\lambda P : noO (\mathbb A K n.succ) \mapsto P.1 j \neq 0)
def A.no0.Part.toPPart (P : A.no0.Part K n j)
     : \mathbb{P}.Part K n \langle j.1, by linarith <math>[j.2] \rangle := \langle \mathbb{P}.mk P.1, (by linarith [j.2]) \rangle
  simp [A.no0.Part] at P
  simp [P.ne0_at]
  intro _ _ P<sub>0</sub>h
  rw [\mathbb{P}.eq_iff] at P_0h
  rcases P_0h with \langle k, k_no0, kh\rangle
```

```
simp [k.2, P.2]
) >
def A.noO.Part.EquivPPart (j : Fin n.succ)
     : Quotient (@A.no0.Part.collinear.eqv K _ n j) \simeq P.Part K n j := by
  symm
  apply Equiv.subtypeQuotientEquivQuotientSubtype
  intro (P, P_ne0)
  simp [P.ne0_at, P.mk]
  constructor
  . intro Pj_neO Q Q_neO Q_eqv_P
   rw [Quotient.eq] at Q_eqv_P
   rcases Q_eqv_P with \langle \langle k, k_ne0 \rangle, Q_eq_kP\rangle
   simp [..., SMul.smul] at Q_eq_kP
   simp [Q_eq_kP]
    exact (k_ne0, Pj_ne0)
  . intro h
    exact h P P_ne0 rfl
  simp [Setoid.r, ..., SMul.smul, ...]
\mathtt{def} \ \mathbb{A}.\mathsf{to}\mathbb{P} \ (\mathsf{j} : \mathsf{Fin} \ \mathsf{n}.\mathsf{succ}) \ (\mathsf{P} : \mathbb{A} \ \mathsf{K} \ \mathsf{n}) : \mathbb{P} \ \mathsf{K} \ \mathsf{n} := \mathbb{P}.\mathsf{mk} \ \langle
  (\lambda i \mapsto
    if oh : i < j then P \langle i, by
      have jh := j.2
       simp [LT.lt, Nat.lt] at oh jh
       exact Nat.le_trans oh jh
    else if ohh : i = j then 1
     else match i with
       | \langle .zero, _\rangle => by
```

```
simp at oh ohh
         have := ohh oh.symm
         contradiction
       | \langle .succ i_0, i_0h \rangle \Rightarrow P \langle i_0, Nat.le_of_succ_le_succ i_0h \rangle
 ), by
    intro F
   have := congrFun F j
    simp at this
def A.toPPart (j : Fin n.succ) (P : A K n) : P.Part K n j
:= \langle P.toP j, by
    simp [P.ne0_at, A.toP]
    intro P<sub>0</sub> P<sub>0</sub>_neO P<sub>0</sub>h
   rw [Quotient.eq] at Poh
    simp [HasEquiv.Equiv, Setoid.r] at P_0h
   rcases P_0h with \langle k, k_ne0, kh\rangle
   simp [..., SMul.smul, A.no0.smul'] at kh
   have := congrFun kh j
   simp at this
   rw [this]
    exact k_ne0
 \rangle
def A.no0.Part.toA (j : Fin n.succ) (P : A.no0.Part K n j) : A K n
:= \ \lambda \ \mathtt{i} \ \mapsto
 if i.1 < j.1 then
    P.1.1 (i.1, by apply lt_trans i.2; simp) / P.1.1 j
 else
    P.1.1 \langle i.1.succ, by rw [Nat.succ_lt_succ_iff]; exact i.2 \rangle / P.1.1 j
```

```
instance A.instSetoidEq : Setoid (A K n) := (Eq, Eq.refl, Eq.symm, Eq.trans)
\mathtt{def} \ \mathbb{P}.\mathtt{Part.toA} \ \{\mathtt{j} : \mathtt{Fin} \ \mathtt{n.succ} \} \ (\mathtt{P} : \mathtt{Part} \ \mathtt{K} \ \mathtt{n} \ \mathtt{j}) : \ \mathbb{A} \ \mathtt{K} \ \mathtt{n}
:= ((A.no0.Part.EquivPPart j).invFun P).lift (A.no0.Part.toA j) $ by
  simp
  intro P P_ne0 Pj_ne0 Q Q_ne0 Qj_ne0 P_eqv_Q
  rcases P_eqv_Q with \langle \langle k, k_ne0 \rangle, P_eq_kQ\rangle
  simp [...,SMul.smul] at P_eq_kQ
  ext i
  simp [A.no0.Part.toA]
  simp [P_eq_kQ, mul_div_mul_left _ _ k_ne0]
	exttt{def} AffineChart (K : Type \ell) [Field K] (n : \mathbb N) (j : Fin n.succ)
     : A K n \simeq P.Part K n j := {
  toFun := A.toPPart j
  invFun := \mathbb{P}.Part.toA
  left_inv := by
     simp [Function.LeftInverse, A.toPPart,
       \mathbb{P}.Part.to\mathbb{A}, \mathbb{A}.to\mathbb{P}, \mathbb{P}.mk, \mathbb{A}.no0.Part.Equiv\mathbb{P}Part,
       Equiv.subtypeQuotientEquivQuotientSubtype, Quotient.hrecOn,
       Quot.hrecOn, Quot.recOn, Quot.rec]
     intro P
     funext i
     simp [A.no0.Part.toA]
    if oh: i.1 < j.1 then
     . simp [oh]
       intro j_le_i
       have : i.1 \ge j.1 := by apply j_le_i
       have : False := by linarith
```

```
contradiction
  else
  . simp [oh]
     simp at oh
     if ohh : i.1.succ < j.1 then
      have : False := by linarith
       contradiction
     else
       simp at ohh
       simp [show \neg(\langle i.1 + 1, by linarith [i.2] \rangle < j) by
         rcases j with \langle j_1, j_1 h \rangle
         apply Fin.mk_le_mk.mpr
         apply ohh.ge
       ]
       intro ohhh
       rw \ [\leftarrow ohhh, Fin.val_mk]  at oh
       have : False := by linarith
       contradiction
right_inv := by
   \textbf{simp} \ [Function.RightInverse, Function.LeftInverse, $\mathbb{A}.to\mathbb{P}Part, $] \\
    P.Part.toA, A.toP, A.noO.Part.EquivPPart,
     {\tt Equiv.subtypeQuotientEquivQuotientSubtype,\ Quotient.hrecOn,}
     Quot.hrecOn, Quot.recOn, Quot.rec]
  intro P Pj_ne0
  rcases P with \langle P_a \rangle
  \verb"show _ = [\![ P_a]\!]
  rw [Quotient.eq]
  simp [.\approx., Setoid.r]
```

```
exists (P_a.1 j)^{-1}
have P_aj_inv_ne0 : (P_a.1 j)^{-1} \neq 0 := by
  simp [P.ne0_at, P.mk] at Pj_ne0
  apply inv_ne_zero (Pj_neO P_a P_a.2 $ by dsimp [Quotient.mk])
exists Paj_inv_ne0
apply Subtype.eq
simp [A.no0.Part.toA, ..., SMul.smul]
ext i
if oh : i < j then</pre>
  simp [oh, A.noO.Part.toA]
 rw [Fin.lt_def] at oh
  simp [oh]
  rw [div_eq_mul_inv, mul_comm]
else if ohh : i = j then
  simp [oh, ohh]
  rw [\leftarrowGroupWithZero.mul_inv_cancel _ P<sub>a</sub>j_inv_ne0, inv_inv]
else
  simp [oh, ohh]
  rcases i with \langle i_0, i_0 h \rangle
  cases io with
  | zero =>
   simp at oh ohh
    exact (ohh oh.symm).elim
  | succ i' =>
    rcases j with \langle j', j'h \rangle
    simp [] at oh ohh \vdash
    if ohhh : i' < j' then</pre>
      simp [ohhh]
      rw [div_eq_mul_inv, mul_comm]
      have : i' + 1 = j' := by linarith
```

```
contradiction
else
    simp [ohhh]
    rw [div_eq_mul_inv, mul_comm]
}
```

**Definition 4.5.** The **homogenization** of a polynomial  $f \in \mathbb{K}[X_0,..,X_{n-1}]$  with respect to  $X_j$  is a homogeneous polynomial

$$f_{\overline{j}}(X_0, \dots, X_n) := X_j^{\deg(f)} \cdot f(\frac{X_0}{X_j}, \dots, \frac{X_{j-1}}{X_j}, \frac{X_{j+1}}{X_j}, \dots, \frac{X_n}{X_j})$$

```
def homogenization (j : Fin n.succ) (p : K[X,..]n) : (K[X,..]n.succ) :=
    m ∈ p.support,
    aeval (embX j) (monomial m (coeff m p)) * (X j)^(p.totalDegree + 1 - degree m)
```

**Definition 4.6.** The **dehomogenization** of a homogeneous polynomial  $f \in \mathbb{K}[X_0, ..., X_{n-1}]_d$  with respect to  $X_j$  is a polynomial

$$f_{[j]} := f(X_0, \dots, X_{j-1}, 1, X_{j+1}, \dots, X_n)$$

**Definition 4.7.** The *j*-th projective closure of an affine algebraic set  $V \subseteq \mathbb{A}^n_{\mathbb{K}}$  is the projective algebraic set

$$V_{\overline{j}} := \mathbb{V}(\langle f_{\overline{j}} : f \in \mathbb{I}(V) \rangle)$$

```
def A.AlgSet.projClosure (V : A.AlgSet K n) (j : Fin n.succ) : P.AlgSet K n :=
    P.V (Ideal.span (MvPolynomial.homogenization j '' (A.I V.1)), (by
    apply Ideal.homogeneous_span
    intro f (f', _, homo_f'_eq_f)
    simp [SetLike.Homogeneous, ←homo_f'_eq_f]
```

```
exists f'.totalDegree
apply isHomogeneous_homogenization
))
```

**Definition 4.8.** The j-th affine part of an projective algebraic set  $V \subseteq \mathbb{P}^n_{\mathbb{K}}$  is the set

$$V_{[j]} := \psi_j^{-1}(V \cap \mathbb{P}^n_{\mathbb{K}} \setminus j)$$

**proposition 4.1.**  $V_{[j]}$  is an affine variety for any projective space V and index j.

**Definition 4.9.** Any subset of  $\mathbb{P}^n_{\mathbb{K}}$  is called an **(projective) variety** if it is a zero locus of some prime homogeneous ideal of  $\mathbb{K}[X_1,..,X_n]$ .

**Definition 4.10.** For any projective variety  $V \subseteq \mathbb{P}^n_{\mathbb{K}}$ , the (**Zariski**) cotangent space of  $P \in V$  is the cotangent space  $T_P^*V := T_{\psi_i^{-1}(P)}^*V_{[j]}$  for some j.

**proposition 4.2.** The definition above is valid for each  $P \in V$  and does not depend on the choice of j.

**Definition 4.11.** For any projective variety  $V \subseteq \mathbb{P}^n_{\mathbb{K}}$ , a point  $P \in V$  is **smooth (nonsingular)** if  $\dim T_P^*V = \dim V$ .

### 5 Smooth Curves

**Definition 5.1.** A projective/affine variety V is **smooth (nonsingular)** if all points in V are smooth.

**Definition 5.2.** An (algebraic) curve is a 1-dimensional projective variety.

## 6 Divisors

**Definition 6.1.** The order of  $f \in \mathbb{K}[C]_P$  at  $P \in C$  is

$$\operatorname{ord}_P(f) := \sup\{d \in \mathbb{Z} : f \in \mathfrak{m}_{C,P}^d\}$$

**Definition 6.2.** The order of  $f \in \mathbb{K}(C)^*$  at  $P \in C$  is

$$\operatorname{ord}_P\left(\frac{f}{g}\right) := \operatorname{ord}_P(f) - \operatorname{ord}_P(g)$$

**Definition 6.3.** A function  $t \in \mathbb{K}(C)$  is said to be a **uniformizer** for the curve C at  $P \in C$  if  $\operatorname{ord}_P(t) = 1$ , i.e. t is a generator for the maximal ideal  $\mathfrak{m}_{C,P}$ .

**Definition 6.4.** A function f is said to be **regular** at  $P \in C$  if  $\operatorname{ord}_P(f) \geq 0$ , and is said to be **nonvanishing** at  $P \in C$  if  $\operatorname{ord}_P(f) \leq 0$ .

Let C be a curve over an algebraically closed field  $\mathbb{K}$ . If  $f \in \mathbb{K}(C)$  is regular at P, then we can evaluate  $f(P) \in \mathbb{K}$ ; otherwise, we denote  $f(P) = \infty$ .

**Definition 6.5.** The **divisor group** of a curve C is the free abelian group

$$Div(C) := Free_{\mathbb{Z}}C$$

A divisor is an element  $D \in Div(C)$ , which can be written as a formal sum

$$D = \sum_{P \in C} n_P \left[ P \right]$$

where  $n_P = 0$  for all but finitely many  $P \in C$ .

The order of the divisor D at  $P \in C$  is defined by  $\operatorname{ord}_P(D) := n_P$ .

**Definition 6.6.** The degree of a divisor  $D \in Div(C)$  is

$$\deg D := \sum_{P \in C} \operatorname{ord}_P(D) \cdot \dim(\mathbb{K}[X_0,..,X_n]/\mathfrak{m}_P)$$

Particularly, if C is over an algebraically closed field  $\mathbb{K}$ , then

$$\deg D = \sum_{P \in C} \operatorname{ord}_P(D)$$

**proposition 6.1.** deg :  $Div(C) \to \mathbb{Z}$  is a homomorphism of abelian groups.

**Definition 6.7.** The **degree-**0 **divisor group** of a curve C is

$$\operatorname{Div}^{0}(C) := \ker(\operatorname{deg}) = \{ D \in \operatorname{Div}(C) : \operatorname{deg}D = 0 \}$$

**Definition 6.8.** The **principal divisor** associate to a function  $f \in \mathbb{K}(C)^*$  is

$$\operatorname{div}(f) := \sum_{P \in C} \operatorname{ord}_{P}(f)[P]$$

**Definition 6.9.** div :  $\mathbb{K}(C)^* \to \text{Div}^0(C)$  is defined as a homomorphism of abelian groups.

**Definition 6.10.** The principal divisor group of a curve C is div(C) := im(div).

**Definition 6.11.** The **Picard group (divisor class group)** of a curve C is the quotient group Pic(C) := Div(C)/div(C), and we define the **degree-0 part of Picard group** as the quotient  $Pic^0(C) := Div^0(C)/div(C)$ 

**proposition 6.2.** For  $f \in \mathbb{K}(C)^*$ ,  $\operatorname{div}(f) = 0$  iff f is a nonzero constant.

**proposition 6.3.** For  $f \in \mathbb{K}(C)^*$ ,  $\deg(\operatorname{div}(f)) = 0$ 

**Definition 6.12.** A divisor  $D \in \text{Div}(C)$  is **effective**, denoted by  $D \ge 0$ , if  $\text{ord}_P(D) \ge 0$  for  $P \in C$ . We say  $D_1 \le D_2$  if  $D_2 - D_1$  is effective.

### 7 Differentials

In the remainder of this note, we assume that  $\mathbb{K}$  is an algebraically closed field and C is an algebraic curve over  $\mathbb{K}$ .

**Definition 7.1.** The space of (Kähler) differential forms on C over  $\mathbb{K}$  is the  $\mathbb{K}$ -linear space generated by the form  $\mathrm{d} f$  for each  $f \in \mathbb{K}(C)$  s.t. for  $f,g \in \mathbb{K}(C),c \in \mathbb{K}$ ,

$$d(f+g) = df + dg (linearity)$$

$$d(fg) = gdf + fdg (Leibniz law)$$

$$dc = 0$$
 (vanishing constants)

It can be defined by the quotient K-linear space

$$\Omega_C := \operatorname{Free}_{\mathbb{K}} \{ \operatorname{d} f : f \in \mathbb{K}(C) \} / \langle \operatorname{d} (f+g) - \operatorname{d} f - \operatorname{d} g, \operatorname{d} (fg) - g \operatorname{d} f - f \operatorname{d} g, \operatorname{d} c : f, g \in \mathbb{K}(C), c \in \mathbb{K} \rangle$$

**proposition 7.1.**  $\dim_{\mathbb{K}(C)} \Omega_C = 1$ 

**proposition 7.2.** For all  $\omega \in \Omega_C$ , there exists a unique function  $g \in \mathbb{K}(C)$  s.t.  $\omega = gdt$ .

**Definition 7.2.** We denote by  $\frac{\omega}{dt}$  the unique g, which only depends on  $\omega \in \Omega_C$  and t, given by 7.2 **proposition 7.3.** If  $f \in \mathbb{K}(C)$  is regular at P, then  $\frac{df}{dt}$  is regular at P.

**proposition 7.4.** For all  $\omega \in \Omega_C$  with  $\omega = 0$ ,  $\operatorname{ord}_P(\frac{\omega}{\mathrm{d}t})$  does not depend on the choice of uniformizer t.

**Definition 7.3.** By 7.4, we can define the **order of the differential form**  $\omega \in \Omega_C$  at P as

$$\operatorname{ord}_P(\omega) := \operatorname{ord}_P\left(\frac{\omega}{\mathrm{d}t}\right)$$

**proposition 7.5.**  $(P \mapsto \operatorname{ord}_P(f)) : C \to_0 \mathbb{Z}$ 

Definition 7.4. The divisor associated to the differential form  $\omega \in \Omega_C$  is defined as

$$\operatorname{div}(\omega) := \sum_{P \in C} \operatorname{ord}_P(\omega) [P]$$

**Definition 7.5.** The differential form  $\omega \in \Omega_C$  is said to be **regular (or holomorphic)** 

**proposition 7.6.** div :  $\Omega_C \to \text{Div}(C)$  is a homomorphism of abelian groups.

**proposition 7.7.** For  $\omega_1, \omega_2 \in \Omega_C$ , we have  $\operatorname{div}(\omega_1) + \operatorname{div}(C) = \operatorname{div}(\omega_2) + \operatorname{div}(C) \in \operatorname{Pic}(C)$ .

**Definition 7.6.** The canonical divisor class on C is defined as

$$K_C := \operatorname{div}(\omega) + \operatorname{div}(C)$$

whatever the choice of  $\omega \in \Omega_C$  due to 7.7. Any divisor in the canonical divisor class is called a canonical divisor.

## 8 Riemann Roch Theorem

**Definition 8.1.** For  $D \in Div(C)$ , the **Riemann-Roch space** associate to D is the set

$$\mathcal{L}(D) := \{ f \in \mathbb{K}(C)^* : \operatorname{div}(f) \ge -D \} \cup \{0\}$$

**proposition 8.1.** For  $D \in \text{Div}(C)$ ,  $\mathcal{L}(D)$  is a finite-dimensional  $\mathbb{K}$ -linear space.

**Definition 8.2.**  $\ell(D) := \dim_{\mathbb{K}}(\mathcal{L}(D))$ 

**Theorem 8.2** (Riemann-Roch). For smooth curve C, there exists  $g \in \mathbb{N}$ , such that

$$\ell(D) - \ell(\mathbb{K}_C - D) = \deg D - g + 1$$

Such g is called the genus of C.